

# Kernelization Lower Bounds: A Brief History

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# Parameterized Complexity

A brief review

- ▶ One way of coping with NP-hard problems

# Parameterized Complexity

## A brief review

### Example (VERTEX COVER, standard parameterization)

- ▶ Input:
  - ▶ A graph  $G = (V, E)$
  - ▶ A positive integer  $k$
- ▶ Question: Is there a set  $S \subseteq V$  of at most  $k$  vertices (a *vertex cover* of  $G$ ) such that every edge in  $G$  has at least one vertex of  $S$  as an end-point?
- ▶ “Standard” parameter: The number  $k$

# Notions of Tractability

## Fixed-parameter tractability

### Definition (Fixed-parameter tractability)

A parameterized problem is *fixed-parameter tractable* (FPT) if there is an algorithm which solves instances  $(x, k)$  of the problem in time  $f(k) \cdot |x|^c$  where

- ▶  $f()$  is a computable function of  $k$  alone;
- ▶  $c$  is a constant, independent of  $k$  and  $|x|$ .

### Example (VERTEX COVER is FPT)

- ▶ A simple branching algorithm which runs in  $\mathcal{O}(2^k \cdot |G|)$  time.

# Notions of Tractability

## Fixed-parameter tractability

Problem	$f(k)$
VERTEX COVER	$1.2738^k$
FEEDBACK VERTEX SET	$3.619^k$
$d$ -HITTING SET	$(d - 1 + \varepsilon)^k$
$k$ -PATH	$4^k$
CONNECTED VERTEX COVER	$2^k$
STEINER TREE	$2^k$
DIRECTED FEEDBACK VERTEX SET	$4^k \cdot k!$
$\vdots$	$\vdots$

- ▶ From the Table of FPT Races at <http://fpt.wikidot.com/fpt-races>.

# Notions of Tractability

## Fixed-parameter tractability

- ▶ The corresponding notion of *in*tractability: *W*-hardness.
- ▶ If a parameterized problem is *W*-complete, then it is unlikely to be FPT
  - ▶ Because they “must all hang together, or they shall all hang separately”
  - ▶ Just like NP-completeness
  - ▶ Lots of examples of *W*-hard problems
  - ▶ Standard parameterizations of INDEPENDENT SET (so also CLIQUE), DOMINATING SET, ...

# Notions of Tractability

## Kernelization

### Definition (Kernelization, Kernel, Kernel size)

A *kernelization algorithm* for a parameterized problem is an algorithm which, given an input  $(x, k)$  of the problem,

- ▶ Runs in time *polynomial* in  $|x| + k$ ;
- ▶ Outputs an instance  $(x', k')$  of the problem where:
  - ▶  $(x', k')$  is a **Yes** instance iff  $(x, k)$  is a **Yes** instance, and,
  - ▶  $|x'|, k' \leq g(k)$  for some computable function  $g()$
- ▶  $(x', k')$  is called a *kernel*
- ▶  $g(k)$  is the *size* of the kernel

# Notions of Tractability

## Kernelization

### Example (The “Buss” kernel for VERTEX COVER)

- ▶ **Observation:** If a vertex is not in a vertex cover, then *all* its neighbours *must* be in that vertex cover.
- ▶ **Implication:** Every vertex of degree more than  $k$  must be in *any* vertex cover of size at most  $k$ .
- ▶ **Algorithm:**
  - ▶ Pick all vertices of degree more than  $k$
  - ▶ If these are already more than  $k$ , then return **No**
  - ▶ Now: if there are more than  $k^2$  edges left, then return **No**
  - ▶ Return the remaining graph: a kernel with  $\mathcal{O}(k^2)$  vertices and edges



# Notions of Tractability

## Kernelization

<b>Problem</b>	<b><math>f(k)</math></b>	<b>Size of the smallest known kernel</b>
VERTEX COVER	$1.2738^k$	$\mathcal{O}(k^2)$
FEEDBACK VERTEX SET	$3.619^k$	$\mathcal{O}(k^2)$
$d$ -HITTING SET	$(d - 1 + \varepsilon)^k$	$\mathcal{O}(k^d)$
$k$ -PATH	$4^k$	$4^k$
CONNECTED VERTEX COVER	$2^k$	$2^k$
STEINER TREE	$2^k$	$2^k$
DIRECTED FEEDBACK VERTEX SET	$4^k \cdot k!$	$4^k \cdot k!$

# Notions of Tractability

The “first theorem” of Parameterized Complexity

## Theorem

*A parameterized problem is fixed-parameter tractable if and only if it has a kernel.*

## Remark

The proof of the more interesting direction shows that if a problem can be solved in  $f(k) \cdot n^c$  time then it has a kernel of size  $f(k)$ .

# Notions of Tractability

## Kernelization lower bounds I

- ▶ What is a corresponding notion of *intractability*?
- ▶ The theorem rules out kernels of *any size* for W-hard problems\*
- ▶ What about problems which *are* FPT?
  - ▶ The (proof of the) theorem gives kernels of size  $f(k)$
  - ▶  $f(k)$  is exponential in  $k$  for NP-hard problems<sup>†</sup>
  - ▶ We have polynomial-size kernels for many FPT problems
  - ▶ Which FPT problems do *not* have polynomial kernels?
  - ▶ How do we go about proving such lower bounds?

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\*Under widely believed assumptions.

<sup>†</sup>For sensible parameters  $k$ , and if solving NP-hard problems takes exponential time.

# Notions of Tractability

## Kernelization lower bounds II

- ▶ What about problems which *do* have polynomial-size kernels?
- ▶ Kernel sizes tend to decrease with passing years
- ▶ Example: FEEDBACK VERTEX SET
  - ▶ First polynomial-size kernel:  $\mathcal{O}(k^{11})$  (Burrage et al., 2006)
  - ▶ Improved to:  $\mathcal{O}(k^3)$  (Bodlaender, 2007)
  - ▶ Current best:  $\mathcal{O}(k^2)$  (Thomassé, 2009)
- ▶ How far can this go on?
- ▶ When do we know to stop?
- ▶ How do we prove lower bounds on the polynomial *degrees* of kernel sizes?

# A (somewhat) different look at kernelization

- ▶ Given an instance of a (classical) decision problem:
  - ▶ How *small* can we make it in polynomial time, *without* losing the **Yes/No** answer?
- ▶ If the problem is in P, then we can reduce it all the way to 1 bit
- ▶ If the problem is NP-hard, then we cannot<sup>a</sup> reduce its size
  - ▶ Even by *one bit*, *without* losing the **Yes/No** answer.
  - ▶ (Otherwise, we could repeat the procedure and solve the problem in PTIME.)

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<sup>a</sup>Unless  $P=NP$ .

## A (somewhat) different look at kernelization

- ▶ What is a "correct" question to ask about the polynomial-time "compressibility" of NP-hard problems?
- ▶ The PC view: ask how small we can make an instance *in terms of the parameter*, in polynomial time
- ▶ When we ask for kernels and kernel-size lower bounds, we are asking the question "What can we (not) do in polynomial time?"
- ▶ For a more refined notion of "do" which is relevant for NP-hard problems

# Compressing CLIQUE

A non-standard parameterization

## Definition (CLIQUE parameterized by number of vertices)

- ▶ Input:
  - ▶ A graph  $G = (V, E)$  on  $n$  vertices
  - ▶ A positive integer  $k$
- ▶ Question: Is there a set  $S \subseteq V$  of *at least*  $k$  vertices (a *clique*) in  $G$  such that there is an edge in  $G$  between every pair of vertices in  $S$ ?
- ▶ Parameter: The number  $n$
- ▶ What is the smallest kernel for this parameterization of CLIQUE?

# Compressing CLIQUE

A non-standard parameterization

- ▶ How much can we compress CLIQUE in polynomial time w/o losing the **Yes/No** answer?
  - ▶ Recall: the size of the kernel is measured in terms of the parameter, here  $n$ .
- ▶ A kernel of size  $\mathcal{O}(n^2)$  is easy:
  - ▶ Encode  $G$  as its adjacency matrix:  $\mathcal{O}(n^2)$  bits
  - ▶ Encode  $k$  in binary:  $\mathcal{O}(\log n)$  bits
- ▶ Is this trivial encoding for CLIQUE the best we can do in polynomial time?
  - ▶ The size of the encoding is measured in terms of  $n$ 
    - ▶  $n$  is *not* the size of the input instance here!
  - ▶ An encoding into, say,  $n^{\frac{3}{2}}$  bits does not directly imply that  $P=NP$
- ▶ A question about kernel lower bounds!



## Summarizing ...

- ▶ Kernelization is polynomial-time reduction in instance size
- ▶ Sizes are measured in terms of a parameter
- ▶ A parameterized problem has a kernel (of some size) iff it is FPT
- ▶ Interesting questions:
  - ▶ How do we separate FPT problems which have *polynomial-size* kernels, from those which don't?
  - ▶ How do we prove lower-bounds on the polynomial *degree* of problem kernels?
- ▶ The latter question is interesting from a purely classical pov as well (e.g: CLIQUE.)

# This Talk

## Outline

- ▶ **Introduction**
- ▶ Ruling out polynomial-size kernels
  - ▶ For problems which *do* have exponential-size kernels
    - ▶ AKA problems which have FPT algorithms
  - ▶ Based on Fortnow and Santhanam (STOC 2008), Bodlaender et al (ICALP 2008).
- ▶ Lower-bounding the degrees of polynomial-size kernels
  - ▶ Can we have smaller-than- $\mathcal{O}(k^2)$  kernels for VERTEX COVER or FEEDBACK VERTEX SET ...
  - ▶ Or compress CLIQUE to less than  $n^2$  bits in polynomial time?
  - ▶ Based on Dell and van Melkebeek (STOC 2010).

# Ruling Out Polynomial Kernels

# Based on ...

- ▶ *On problems without polynomial kernels*
  - ▶ Bodlaender, Downey, Fellows and Hermelin,
  - ▶ ICALP 2008, JCSS 2009
- ▶ *Infeasibility of instance compression and succinct PCPs for NP*
  - ▶ Fortnow and Santhanam
  - ▶ STOC 2008, JCSS 2011

# Composition algorithms

- ▶ Simple criterion for ruling out polynomial kernels
  - ▶ Simple to understand
  - ▶ Not always easy to *apply*!

# OR-Composition Algorithms

For parameterized problems

## Definition

An OR-composition algorithm for a parameterized problem  $L$  is an algorithm that:

- ▶ Takes as input a list of instances  $((x_1, k), (x_2, k), \dots, (x_t, k))$  for any integer  $t$ ;
- ▶ Runs in time polynomial in  $\sum_i (|x_i| + k)$ ;
- ▶ And outputs an instance  $(y, k')$  such that
  1.  $(y, k') \in L$  if and only if *at least one*  $(x_i, k) \in L$
  2.  $k'$  is polynomial in  $k$ .

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## Example (OR-composition)

- ▶  $k$ -PATH: Does graph  $G$  have a simple path of length at least  $k$ ?

# Polynomial kernel lower bounds

## Theorem (Bodlaender et al., Fortnow and Santhanam)

Let  $L$  be a parameterized problem whose underlying classical problem is NP-complete. Then **at most one** of the following is true:

- ▶  $L$  has an OR-composition;
- ▶  $L$  has a polynomial-size kernel,

unless  $\text{coNP} \subseteq \text{NP/poly}$ .

## Remark

The condition  $\text{coNP} \subseteq \text{NP/poly}$  is considered unlikely, because it implies a collapse in the Polynomial Hierarchy.



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The condition  $\text{coNP} \subseteq \text{NP/poly}$  is considered unlikely, because it implies a collapse in the Polynomial Hierarchy.

## Corollary

$k$ -Path does not have a polynomial-size kernel, unless  $\text{coNP} \subseteq \text{NP/poly}$ .

# Some consequences of the Theorem

Problems with no polynomial kernels unless  $\text{coNP} \subseteq \text{NP/poly}$

- ▶ Essentially every NP-complete problem which asks for a “subgraph of some kind”: K-PATH, K-CYCLE, K-EXACT CYCLE, K-MINOR ORDER TEST, K-PLANAR SUBGRAPH TEST, K-BOUNDED TREEWIDTH SUBGRAPH TEST, . . .
- ▶ Many NP-complete problems parameterized by the *treewidth* of the input graph: W-VERTEX COVER, W-INDEPENDENT SET, W-CLIQUE, W-DOMINATING SET
- ▶ Many more problems, using clever composition techniques and reductions. E.g: K-DISJOINT CYCLES, K-DISJOINT PATHS (Bodlaender, Thomassé, Yeo, ESA 2009), CONNECTED VERTEX COVER, STEINER TREE (Dom, Lokshtanov, Saurabh, ICALP 2009)
- ▶ Lots of problems by now!

## Revisiting the table ...

Problem	$f(k)$	Kernel size
VERTEX COVER	$1.2738^k$	$\mathcal{O}(k^2)$
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$d$ -HITTING SET	$(d - 1 + \varepsilon)^k$	$\mathcal{O}(k^d)$
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# AND-Composition

Replace “at least one instance” with “all instances”

## Theorem (Bodlaender et al., ICALP 2008)

*k*-TREEWIDTH (and many other problems) does not have polynomial-size kernels unless NP-complete problems can have AND-distillation algorithms.

- ▶ Bodlaender et al. thought it unlikely that NP-complete problems have AND-distillation algorithms
- ▶ They could not connect this to any complexity-theoretic assumption.

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## Theorem (Drucker, FOCS 2012)

*If NP-complete problems have AND-distillation algorithms, then  $\text{coNP} \subseteq \text{NP/poly}$ .*

# Lower-Bounding the Degrees of Polynomial Kernels

## Based on ...

- ▶ *Satisfiability allows no nontrivial sparsification unless the polynomial-time hierarchy collapses*
  - ▶ Dell and van Melkebeek
  - ▶ STOC 2010, JACM 2014

# An Oracle Communication Protocol

- ▶ Two players, Alice and Bob
  - ▶ Alice is polynomially bounded, Bob has unbounded computational power
- ▶ Together, they want to decide if a string  $x$  belongs to a specified language  $L$ 
  - ▶ In the beginning, Alice holds the string  $x$
  - ▶ In the end, Alice should know if  $x \in L$
  - ▶ They can communicate with each other to achieve this
  - ▶ The *cost* of this protocol is the number of bits sent *from* Alice *to* Bob
    - ▶ The bits sent from Bob to Alice do not count in the cost



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- ▶ Again: “What can we (not) do in polynomial time?”
- ▶ For yet another notion of “do”

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  - ▶ The *cost* of this protocol is the number of bits sent *from* Alice *to* Bob
    - ▶ The bits sent from Bob to Alice do not count in the cost
- ▶ A generalization of kernelization
- ▶ E.g: VERTEX COVER has a protocol of cost  $\mathcal{O}(k^2)$ 
  1. Alice computes a kernel of size  $\mathcal{O}(k^2)$
  2. She sends the kernel to Bob
  3. Bob solves the instance and sends **Yes** or **No** back to Alice
  4. Total cost:  $\mathcal{O}(k^2)$

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## Theorem(Dell and van Melkebeek)

VERTEX COVER admits no protocol of cost  $\mathcal{O}(k^{2-\epsilon})$  where  $k$  is the standard parameter, unless  $\text{coNP} \subseteq \text{NP}/\text{poly}$ .

# Some More Lower Bounds

All these carry over directly to the standard parameterizations

## Theorem

VERTEX COVER admits no protocol of cost  $\mathcal{O}(n^{2-\epsilon})$  where  $n$  is the number of vertices, unless  $\text{coNP} \subseteq \text{NP/poly}$ . So also for CLIQUE.

## Theorem

More generally: for any  $d \geq 2$ ,  $d$ -Hitting Set over a universe of size  $n$  admits no protocol of cost  $\mathcal{O}(n^{d-\epsilon})$ , unless  $\text{coNP} \subseteq \text{NP/poly}$ .

## Theorem

Let  $\Pi$  be a nontrivial graph property that is inherited by subgraphs. There is no protocol of cost  $\mathcal{O}(k^{2-\epsilon})$  for deciding if a graph satisfying  $\Pi$  can be obtained from a given graph by deleting at most  $k$  vertices, unless  $\text{coNP} \subseteq \text{NP/poly}$ .

## Corollary

FEEDBACK VERTEX SET has no kernel of size  $\mathcal{O}(k^{2-\epsilon})$  unless . . .

# The table, one final time

Problem	$f(k)$	Kernel size
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# A closer look at the lower bounds

- ▶ VERTEX COVER: Kernels with  $\mathcal{O}(k^2)$  edges, no kernel with  $\mathcal{O}(k^{2-\varepsilon})$  edges
- ▶ What about the number of *vertices* in a kernel?
  - ▶ The relaxed VERTEX COVER LP has the half-integrality property
    - ▶ Can find an optimal  $\{0, \frac{1}{2}, 1\}$ -solution in PTIME
  - ▶ Theorem (Nemhauser and Trotter, 1975): There is a smallest vertex cover which contains all the 1s and none of the 0s
  - ▶ All the  $\frac{1}{2}$ s together induce a kernel with  $\leq 2k$  vertices

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- ▶ Upper bound on #vertices in a kernel:  $\mathcal{O}(k)$

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  - ▶ Theorem (Nemhauser and Trotter, 1975): There is a smallest vertex cover which contains all the 1s and none of the 0s
  - ▶ All the  $\frac{1}{2}$ s together induce a kernel with  $\leq 2k$  vertices
- ▶ Upper bound on #vertices in a kernel:  $\mathcal{O}(k)$
- ▶ Lower bound on #vertices in a kernel:  $\Omega(k)$ 
  - ▶ Follows directly from the size lower bound
  - ▶  $n$ -vertex graphs can be encoded with  $\mathcal{O}(n^2)$  bits
  - ▶ E.g: An  $\mathcal{O}(k^{\frac{3}{4}})$ -vertex kernel would have total size  $\mathcal{O}(k^{\frac{3}{2}}) = \mathcal{O}(k^{2-\frac{1}{2}})$  bits, contradiction.



# A closer look at the lower bounds

- ▶ VERTEX COVER:

- ▶ Kernels with  $\mathcal{O}(k^2)$  edges, no kernel with  $\mathcal{O}(k^{2-\varepsilon})$  edges
- ▶ Kernels with  $\mathcal{O}(k)$  vertices, no kernel with  $\mathcal{O}(k^{1-\varepsilon})$  edges

- ▶ FEEDBACK VERTEX SET:

- ▶ Kernels with  $\mathcal{O}(k^2)$  edges, no kernel with  $\mathcal{O}(k^{2-\varepsilon})$  edges
- ▶ Current upper bound on #vertices:  $\mathcal{O}(k^2)$
- ▶ Dell and van Melkebeek only rule out kernels with  $\mathcal{O}(k^{1-\varepsilon})$  vertices
- ▶ Gap!

# A closer look at the lower bounds

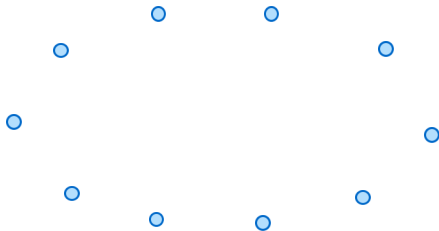
- ▶ VERTEX COVER:
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- ▶ FEEDBACK VERTEX SET:
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  - ▶ Current upper bound on #vertices:  $\mathcal{O}(k^2)$
  - ▶ Dell and van Melkebeek only rule out kernels with  $\mathcal{O}(k^{1-\epsilon})$  vertices
  - ▶ Gap!
- ▶  $d$ -Hitting Set:
  - ▶ Best known kernels have  $\mathcal{O}(k^d)$  sets over a universe of size  $\mathcal{O}(k^{d-1})$
  - ▶ Dell and van Melkebeek rule out kernels with  $\mathcal{O}(k^{d-\epsilon})$  sets or a universe of size  $\mathcal{O}(k^{1-\epsilon})$
  - ▶ Gap!

# A tight non-trivial “structural” kernel lower bound

- ▶ For a variant of Hitting Set
- ▶ The first result of this kind
- ▶ An application of the full power of the protocol
- ▶ *Point Line Cover: The Easy Kernel is Essentially Tight*
  - ▶ Stefan Kratsch, G. Philip, and Saurabh Ray, SODA 2014

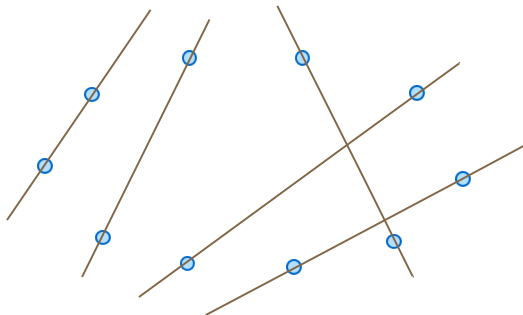
# The Point-Line Cover problem

- ▶ **Input:**
  - ▶ A set  $\mathcal{P} = \{p_1, p_2, \dots, p_n\}$  of  $n$  points in the plane
    - ▶ Each point is a pair of rational coordinates:  $p_i = (x_i, y_i)$
  - ▶ A positive integer  $k$
- ▶ **Question:** Is there a set  $\mathcal{L}$  of at most  $k$  lines in the plane which together *cover* all points in  $\mathcal{P}$ ?
  - ▶ Each point in the set  $\mathcal{P}$  must lie on at least one of the lines in  $\mathcal{L}$ .



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# The Point-Line Cover problem

- ▶ NP-hard (Megiddo and Tamir, 1982)
- ▶ Standard parameter:  $k$
- ▶ Kernel with  $\leq k^2$  points
  - ▶ Langerman and Morin, 2005
  - ▶ Uses the “Buss” idea, like for VERTEX COVER
- ▶ Open: Is there a kernel with  $o(k^2)$  points?

# Our Result

$\epsilon > 0$  is any positive constant

## Theorem

The Point-Line Cover problem does not have a kernel with  $\mathcal{O}(k^{2-\epsilon})$  points unless  $\text{coNP} \subseteq \text{NP/poly}$ .

# Our Result

$\varepsilon > 0$  is any positive constant

## Theorem

The Point-Line Cover problem does not have a kernel with  $\mathcal{O}(k^{2-\varepsilon})$  points unless  $\text{coNP} \subseteq \text{NP/poly}$ .

- ▶ This does *not* rule out kernels with, say,  $\mathcal{O}(\frac{k^2}{\log k}) = o(k^2)$  points
- ▶ We use  $\Omega(k^2)$  to denote a bound like in the theorem.



# Tight bound for #points in Point-Line Cover kernels

A first attempt

- ▶ We have:  $\mathcal{O}(k^2)$  upper bound on #points
- ▶ We want:  $\Omega(k^2)$  lower bound on #points
- ▶ How?

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- ▶ We have:  $\mathcal{O}(k^2)$  upper bound on #points
- ▶ We want:  $\Omega(k^2)$  lower bound on #points
- ▶ How?
- ▶ We derive:  $\Omega(k^2)$  lower bound on *total size*
  - ▶ The  $\Omega(k^2)$  lower bound on VERTEX COVER kernel size
  - ▶ Reduction from VERTEX COVER to Point-Line Cover
    - ▶  $k \rightarrow 2k$

# Tight bound for #points in Point-Line Cover kernels

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- ▶ We have:  $\Omega(k^2)$  lower bound on *total size*
- ▶ We want: An  $\mathcal{O}(n \cdot \text{polylog}(n))$ -bit polynomial-time encoding of Point-Line Cover instances with  $n$  points

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- ▶ We have:  $\Omega(k^2)$  lower bound on *total size*
- ▶ We want: An  $\mathcal{O}(n \cdot \text{polylog}(n))$ -bit polynomial-time encoding of Point-Line Cover instances with  $n$  points
- ▶ The best known such encoding has  $\mathcal{O}(n^2)$  bits
- ▶ This gives:  $\Omega(k)$  lower bound on #points in a kernel
  - ▶ E.g: An  $\mathcal{O}(k^{3/4})$ -point kernel implies a kernel of *total size*  $\mathcal{O}(k^{3/2})$
  - ▶ Contradicting the  $\Omega(k^2)$  lower bound on kernel size
  - ▶ Doesn't rule out kernels with, say,  $\mathcal{O}(k^{\frac{3}{2}})$  points
  - ▶ Such a kernel has total size  $\mathcal{O}(k^3)$  bits, contradicting nothing

# Tight bound for #points in Point-Line Cover kernels

A first attempt

- ▶ The best-known encoding gives :  $\Omega(k)$  lower bound on #points
- ▶ One way to improve this to  $\Omega(k^2)$  : Find an  $\mathcal{O}(n \log n)$ -bit polynomial-time encoding for  $n$ -point instances
  - ▶ Open since the very first SOCG (1985)
  - ▶ It is *known* that there exists such an encoding
  - ▶ The hard (and unknown) part is to find it in polynomial time
- ▶ We achieve this *without* finding a better encoding
- ▶ Using the Oracle Communication Protocol

# An Outline of the Proof

- ▶ Recall: A Point-Line Cover instance is  $(\mathcal{P}, k)$ ;  $\mathcal{P}$  is a set of  $n$  points.
- ▶ The proof has two main ingredients:
  1. A lower bound of  $\Omega(k^2)$  on the cost of a protocol for Point-Line Cover
  2. An *upper* bound of  $\mathcal{O}(n \log n)$  on the cost of a protocol for Point-Line Cover
- ▶ Together, these give us a lower bound of  $\Omega(k^2)$  on the *number of points* in a kernel

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  1. A lower bound of  $\Omega(k^2)$  on the cost of a protocol for Point-Line Cover
  2. An *upper* bound of  $\mathcal{O}(n \log n)$  on the cost of a protocol for Point-Line Cover
- ▶ Together, these give us a lower bound of  $\Omega(k^2)$  on the *number of points* in a kernel
- ▶ Suppose there was a kernel for Point-Line Cover with  $k^{2-\varepsilon}$  points
  - ▶ Alice is given an instance  $(\mathcal{P}, k)$ ;  $|\mathcal{P}| = n$  of Point-Line Cover
  - ▶ She computes kernel  $(\mathcal{P}', k')$  with  $n' = |\mathcal{P}'| = k^{2-\varepsilon}$  points
  - ▶ Alice and Bob use the second ingredient to decide  $(\mathcal{P}', k')$
  - ▶ Cost:  $\mathcal{O}(n' \log n') = \mathcal{O}(k^{2-\varepsilon} \log(k^{2-\varepsilon})) = \mathcal{O}(k^{2-\varepsilon} \log k) = \mathcal{O}(k^{2-\varepsilon'})$
  - ▶ This contradicts the cost lower bound

# The Lower Bound

A brief look

## Theorem

The Point-Line Cover problem does not admit an oracle communication protocol of cost  $\mathcal{O}(k^{2-\varepsilon})$  unless  $\text{coNP} \subseteq \text{NP/poly}$ .

- ▶ Outline of the proof:
  - ▶ Polynomial-time, parameter-preserving reduction from VERTEX COVER to Point-Line Cover
    - ▶  $(G, k)$  goes to  $(\mathcal{P}, 2k)$
  - ▶ The theorem now follows from the  $\Omega(k^{2-\varepsilon})$  lower bound on the cost of VERTEX COVER protocols



# The Upper Bound

A closer look

## Theorem

There is an oracle communication protocol which can solve Point-Line Cover instances with  $n$  points at a cost of  $\mathcal{O}(n \log n)$ .

# The Upper Bound

A first attempt at such a protocol

- ▶ Given an instance  $(\mathcal{P}, k)$ ;  $|\mathcal{P}| = n$  of Point-Line Cover
  - ▶ Alice computes an encoding  $X$  of  $\mathcal{P}$ , where  $X$  has  $\mathcal{O}(n \log n)$  bits
  - ▶ She then sends  $X$  over to Bob
    - ▶ Cost:  $\mathcal{O}(n \log n)$
  - ▶ Using  $X$ , Bob computes the size  $s$  of a smallest point-line cover of  $\mathcal{P}$
  - ▶ He then sends  $s$  over to Alice
    - ▶ Cost: Zero
  - ▶ Alice outputs  $s \stackrel{?}{\leq} k$
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- ▶ What's missing here?
  - ▶ No known Alice-time encoding of  $\mathcal{P}$  into  $\mathcal{O}(n \log n)$  bits

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- ▶ Our way out:
  - ▶ An Alice-time encoding of  $\mathcal{P}$  which *effectively* has  $\mathcal{O}(n \log n)$  bits
  - ▶ This encoding **actually** has many more bits, namely  $n^3$

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  - ▶ This encoding **actually** has many more bits, namely  $n^3$
- ▶ Any  $n$ -point instance of Point-Line Cover encodes to one of a set of  $2^{\mathcal{O}(n \log n)}$  strings, each of length  $n^3$
- ▶ We replace a *small* encoding with a *sparse* one

# The Upper Bound

## The sparse encoding

### Theorem (Alon, 1986)

There is an encoding of sets of points on a plane into bit strings such that:

1. The encoding can be computed in polynomial time
  2. It maps every  $n$ -point set to a bit string of length  $n^3$
  3. For each  $n$ , all these  $n^3$ -bit strings belong to a set  $B_n$ ;  $|B_n| = n^{\mathcal{O}(n)}$
  4. If point sets  $\mathcal{P}$  and  $\mathcal{Q}$  map to the same string in  $B_n$ , then they are equivalent with respect to Point-Line Cover
- The encoding is called an Abstract Order Type Representation

# The Upper Bound

A protocol of cost  $\mathcal{O}(n \log n)$  for Point-Line Cover

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  - ▶ Cost: A bit and a half

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- ▶ This is our second main ingredient: a protocol of cost  $\mathcal{O}(n \log n)$

# Open problems

- ▶ Close other such “structural” gaps in kernel bounds
- ▶ A first candidate: FEEDBACK VERTEX SET
  - ▶ We have:  $\mathcal{O}(k^2)$  upper bound on #vertices and #edges
  - ▶  $\Omega(k^2)$  lower bound on #edges
  - ▶ But only:  $\Omega(k)$  lower bound on #vertices
  - ▶ TODO: bridge this gap in the #vertices

Thank You!