

BACKDOORS TO SATISFIABILITY

NEW DEVELOPMENTS IN
EXACT ALGORITHMS AND LOWER BOUNDS

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M. S. RAMANUJAN
UNIVERSITY OF BERGEN, NORWAY

Outline

- Motivation
- 2 perspectives on backdoors
- Parameterized algorithms for SAT via backdoors

satisfiability

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- Best known algorithm for 3-SAT — 1.308^n (Hertli, FOCS 2011)

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- Resulting instances often have up to a million variables and several million clauses.
- Even for 300 variables, worst case bounds exceed age of the universe.

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The instances arising in practice must
have some structure!

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DPLL= Davis-Putnam-Logemann-Loveland

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- If there is a unit clause then the literal is set accordingly and the formula reduced.

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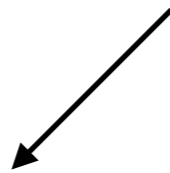
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Horn formulas : formulas with at most one positive literal in every clause, solved just by unit propagation.

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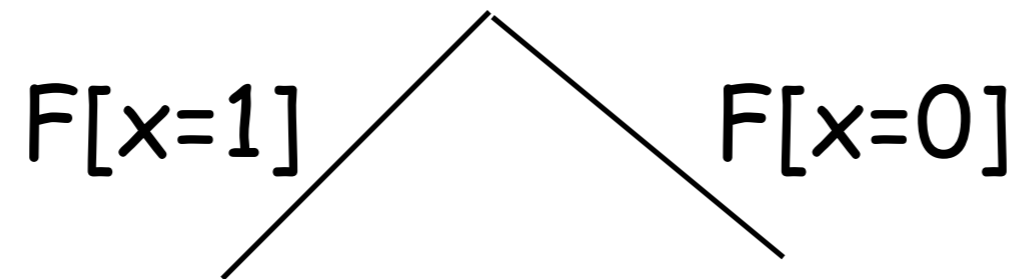
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- Select a variable (based on some heuristic) and explore both assignments.



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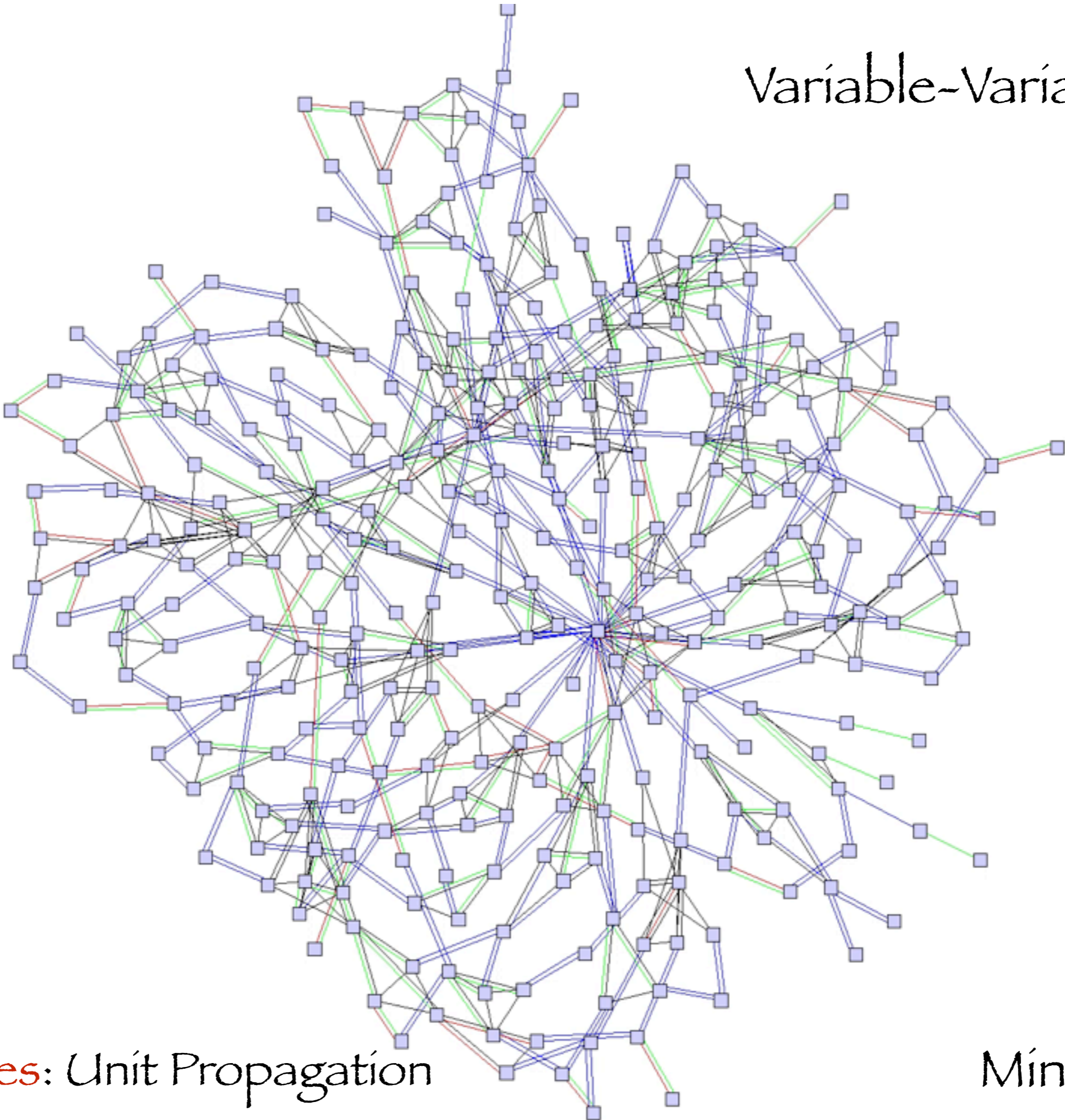
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- 'Learning' clauses.
- 'watching' literals for fast unit propagations.

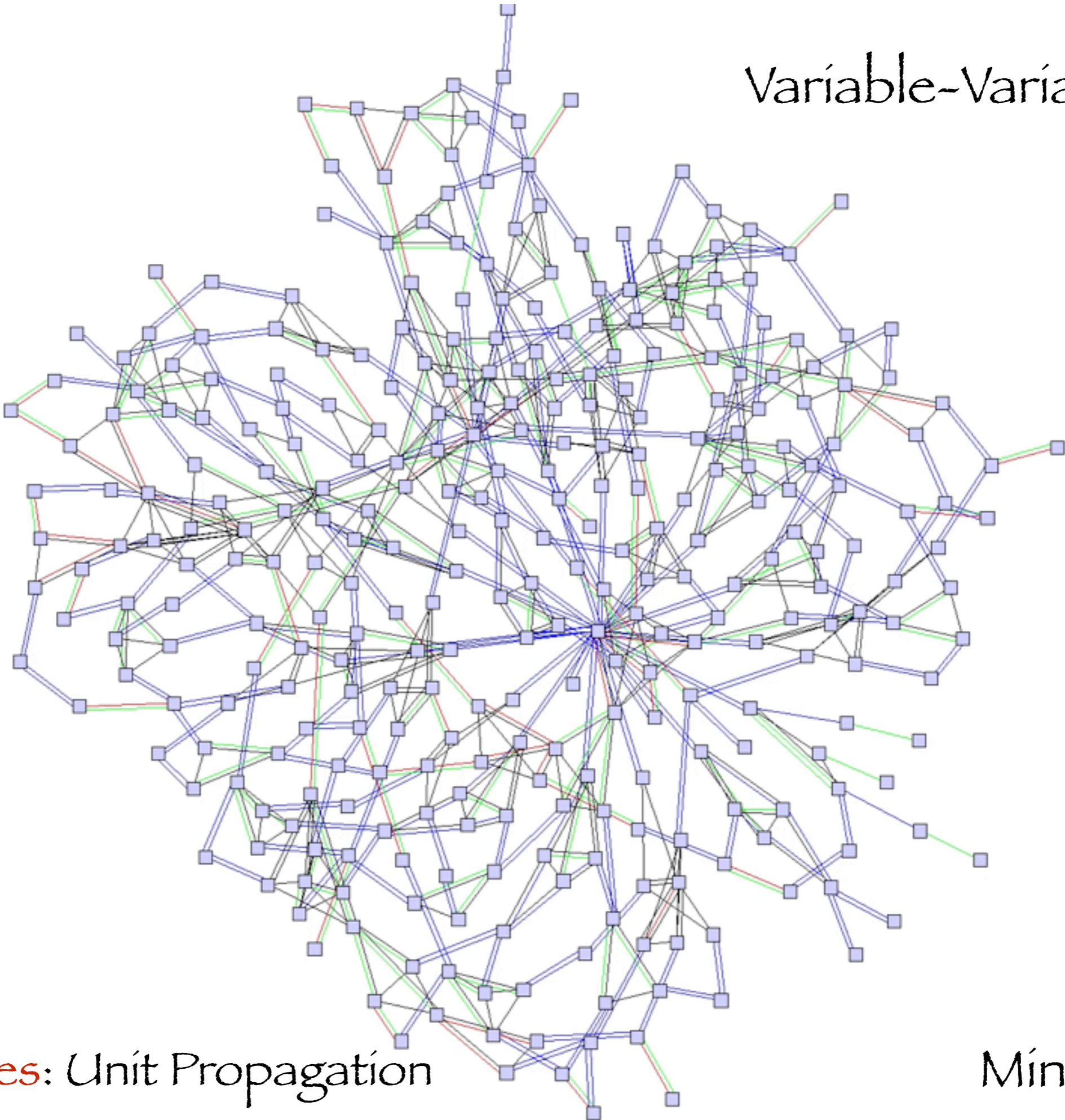
Variable-Variable Graph



Red nodes: Unit Propagation

Mini-SAT

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- Fixing an assignment to this set propagates to the rest of the variables.
- Lots of real world instances seem to have a small set on which the remaining variables are dependent.
- Can we capture the structure of an instance through this small set of variables ?

Backdoor sets

Introduced by
Williams, Gomes, Selman (IJCAI 2003)
and
Crama, Ekin, Hammer (D. A. M. 1997)

Informally, a set of variables whose instantiation results
in a significantly simplified formula.

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For every tractable base class for SAT, we have a sub-solver that solves instances in this class and rejects the rest.

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Base class = sub-solver

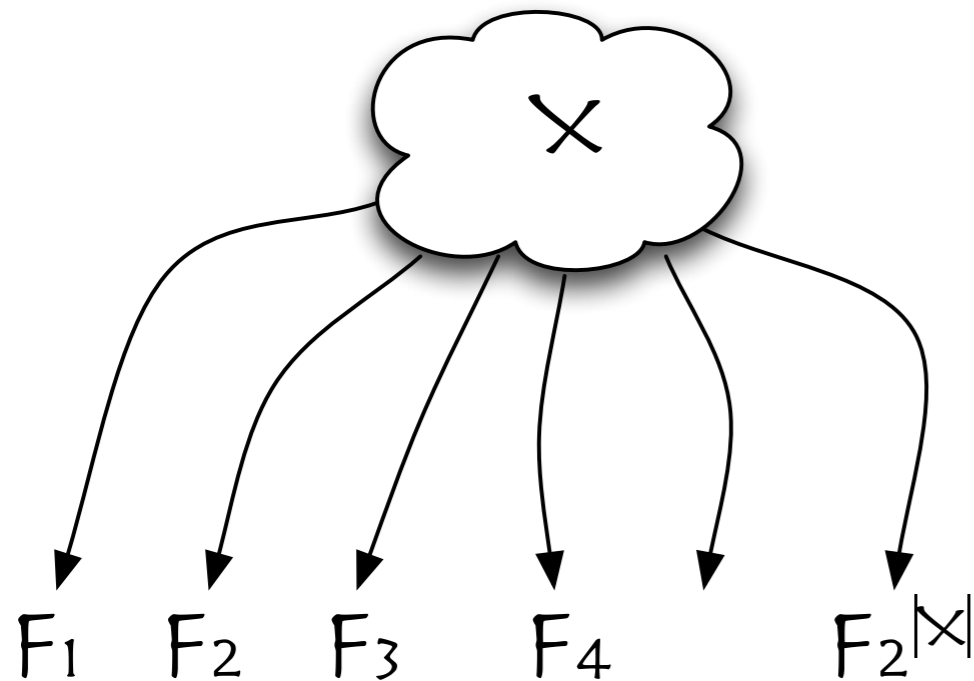
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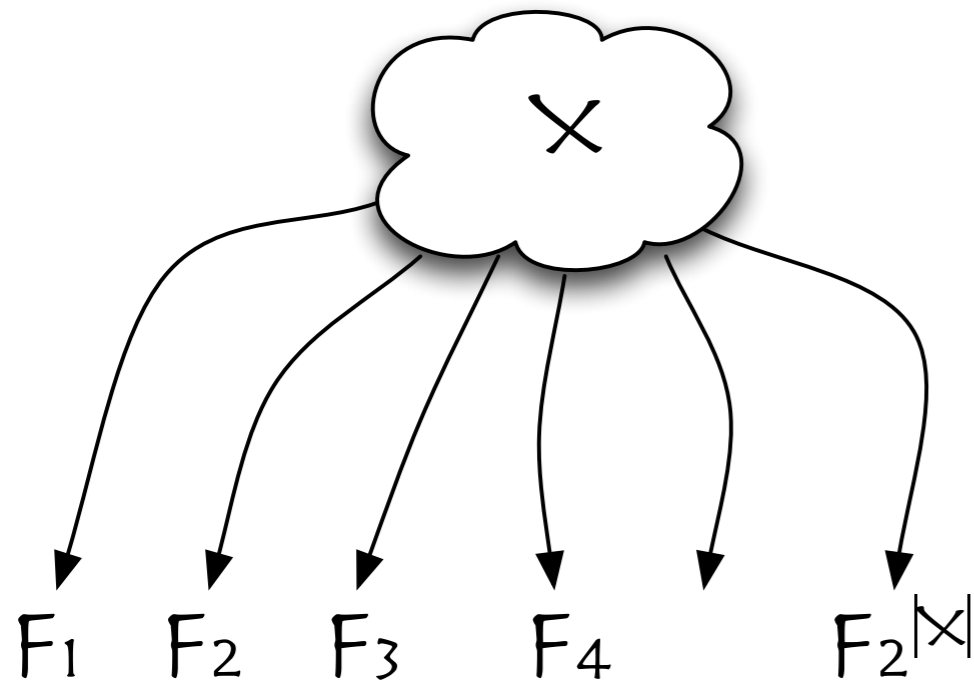


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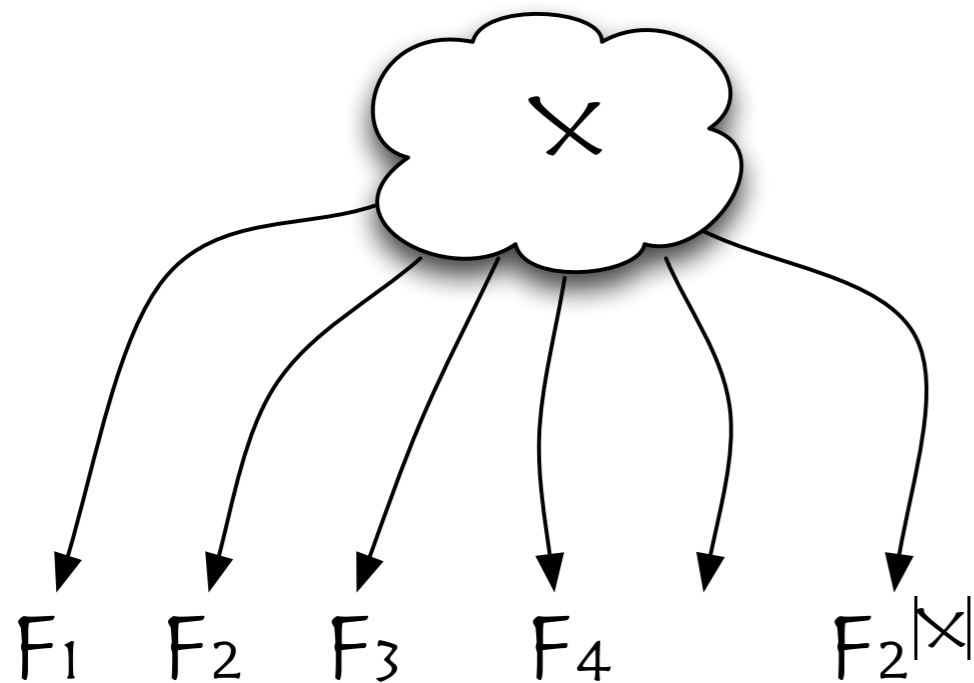
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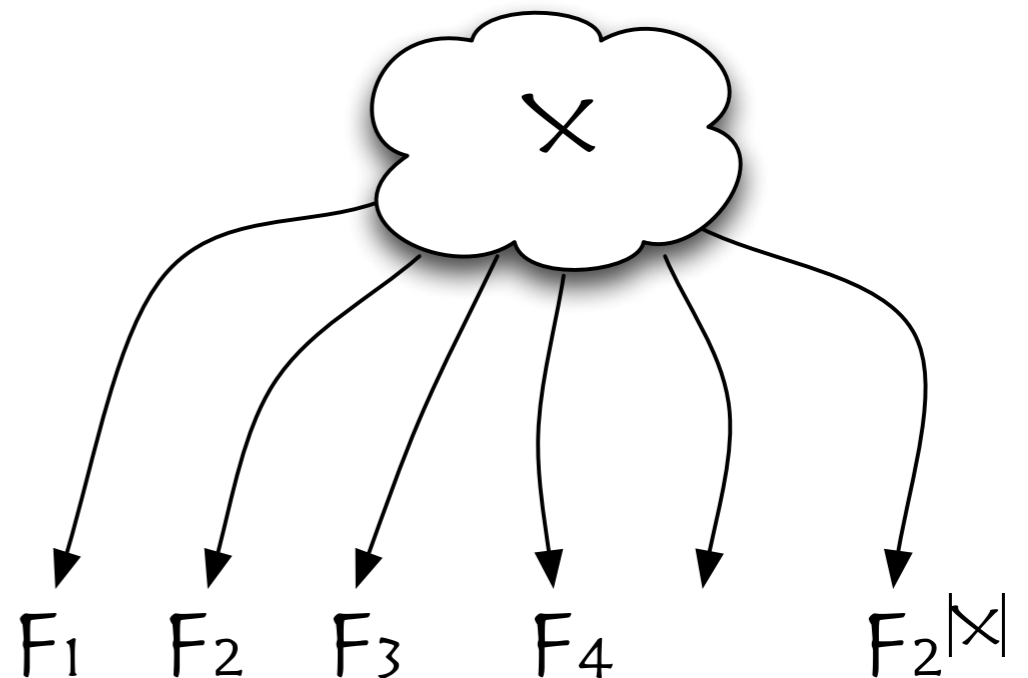
Backdoors to SAT

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Some assignment leads to a **satisfiable** instance in the base class C

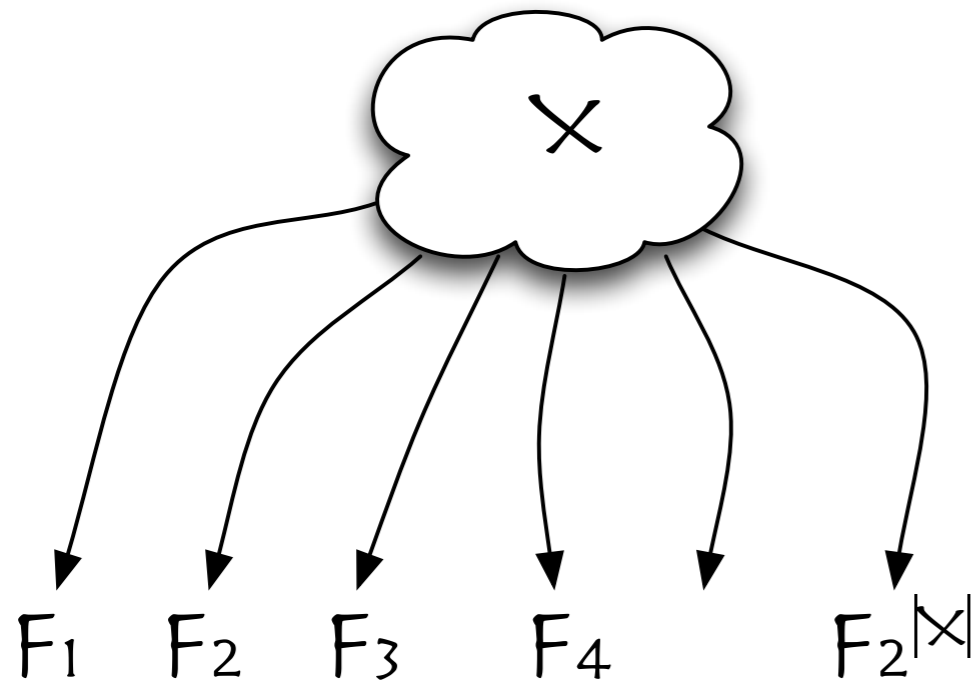
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All assignments lead to an instance in the base class C .

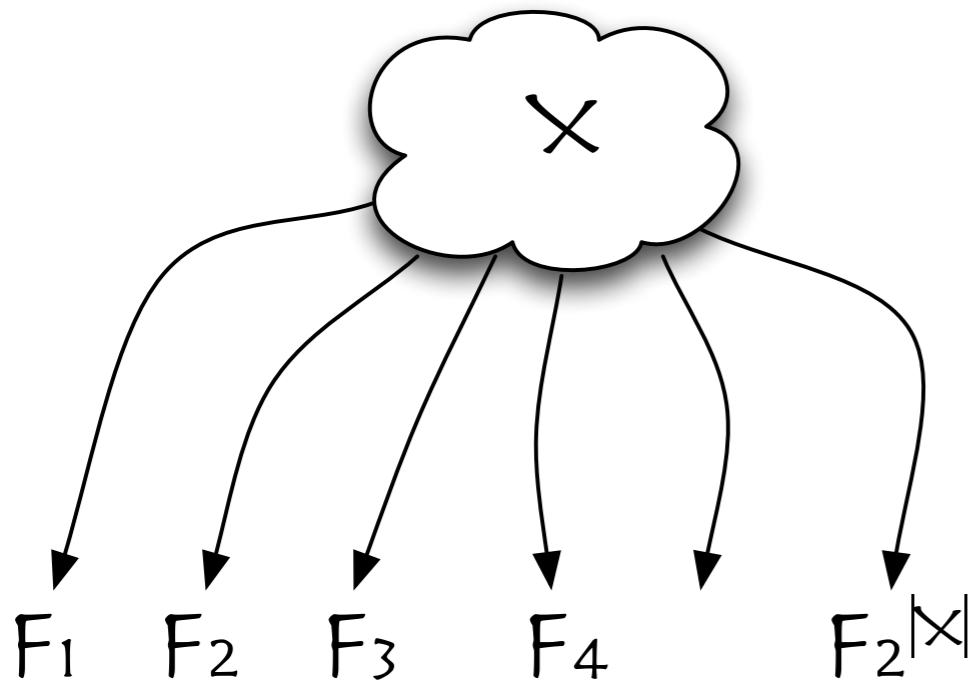
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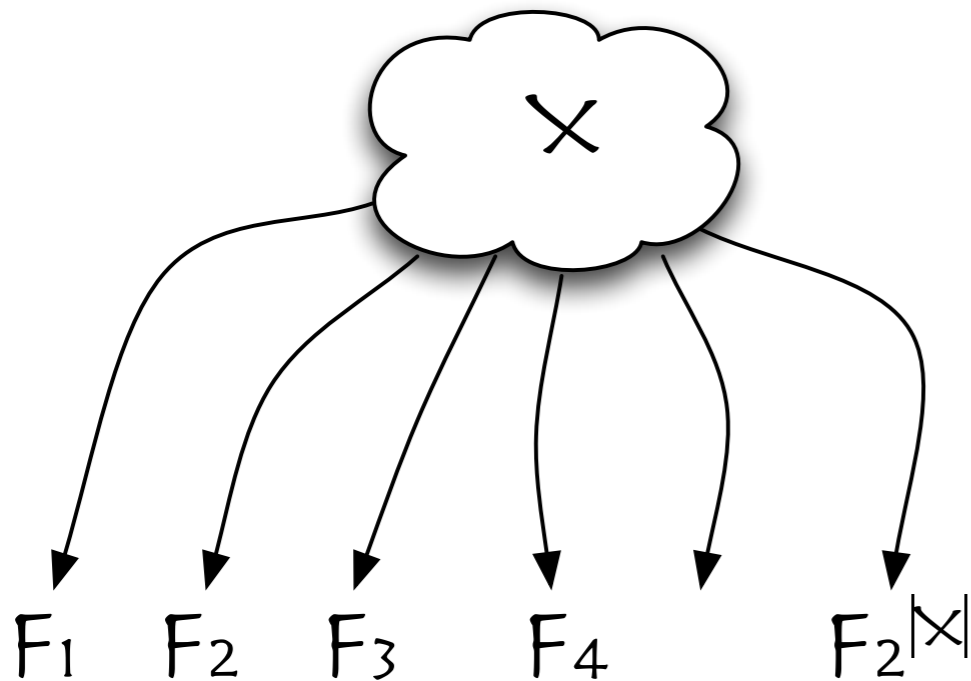
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If the instance is **satisfiable** then every strong backdoor is also a weak backdoor!



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$$wbd \leq sbd$$

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- (b) (Crama, Ekin, Hammer) backdoor sets provide an excellent framework to extend tractability results for SAT.

eg. SAT is in P for 2-cnf formulas \rightarrow SAT is in P for formulas with a strong backdoor of size 10 to 2-cnf.

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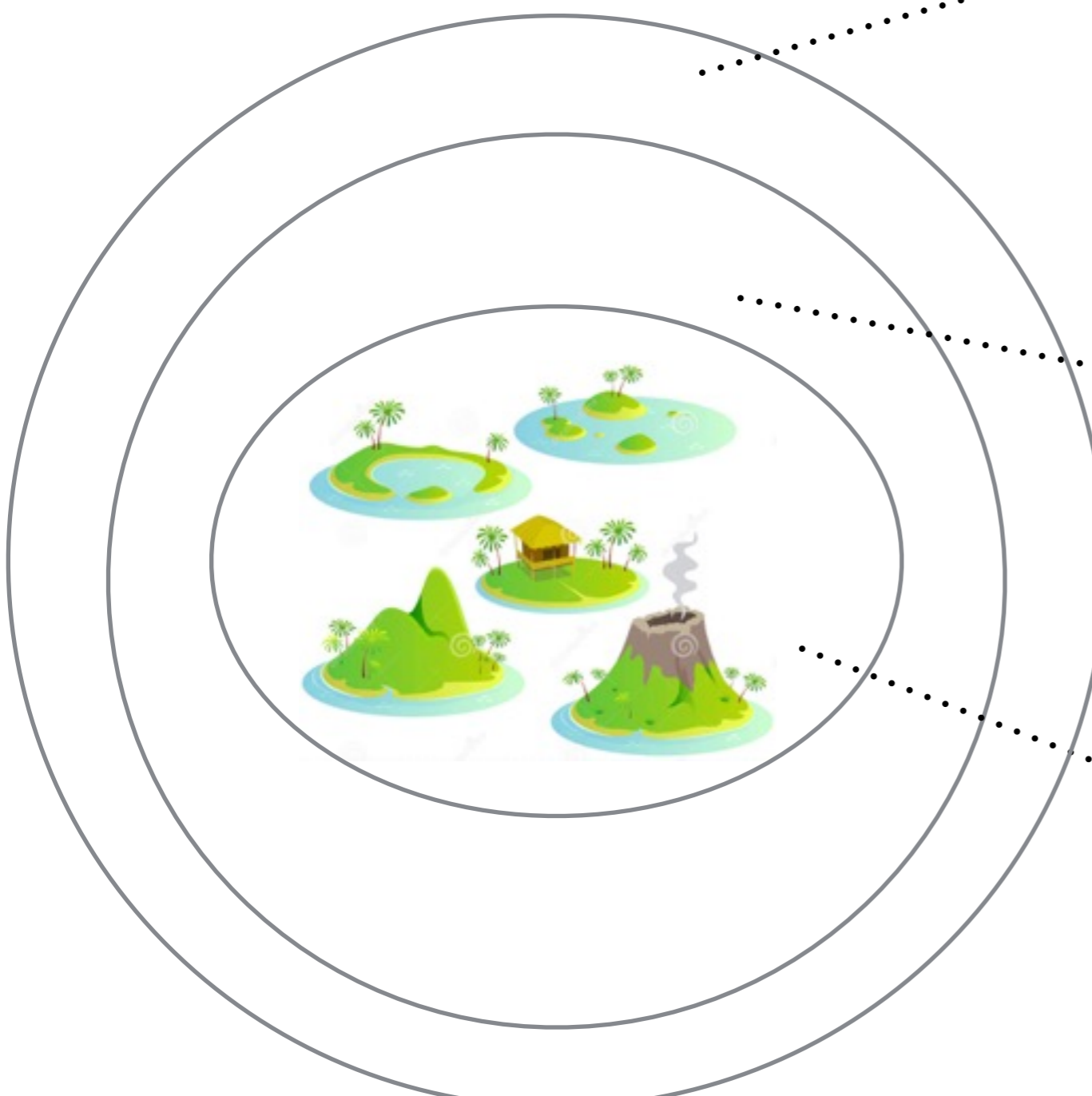
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- An instance with a `small` backdoor to one of these base classes is `close` to an island of tractability.
- **Objective:** If an instance is close to an island of tractability, then we can solve it efficiently.

Research Agenda



Instances with a backdoor of size $\log^2 n$ to an island

Instances with a backdoor of size $\log n$ to an island

Instances with a backdoor of size c to an island

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Fixed-Parameter Algorithms!

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- W -hierarchy: $\text{FPT} \subseteq W[1] \subseteq W[2] \subseteq \dots \subseteq \text{XP}$

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- Discuss some interesting connections between the 2 perspectives.

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Nishimura, Ragde, Szeider SAT 2004

Classical sub-solvers

Class Backdoor	Schaefer [Nishimura, Ragde, Szeider 2004]	Unit Prop + Pure Lit. Elim [Szeider 2005]
Weak	W[2]-hard (FPT for 3-cnf)	W[2]-hard (FPT for 3-cnf)
Strong	FPT	W[2]-hard

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- Can change if restricted to 3-cnf formulas.

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$w(x) = 1$ and $w(\neg x) = 0$ for all x $w(x) = w(\neg x) = 1/2$ for all x

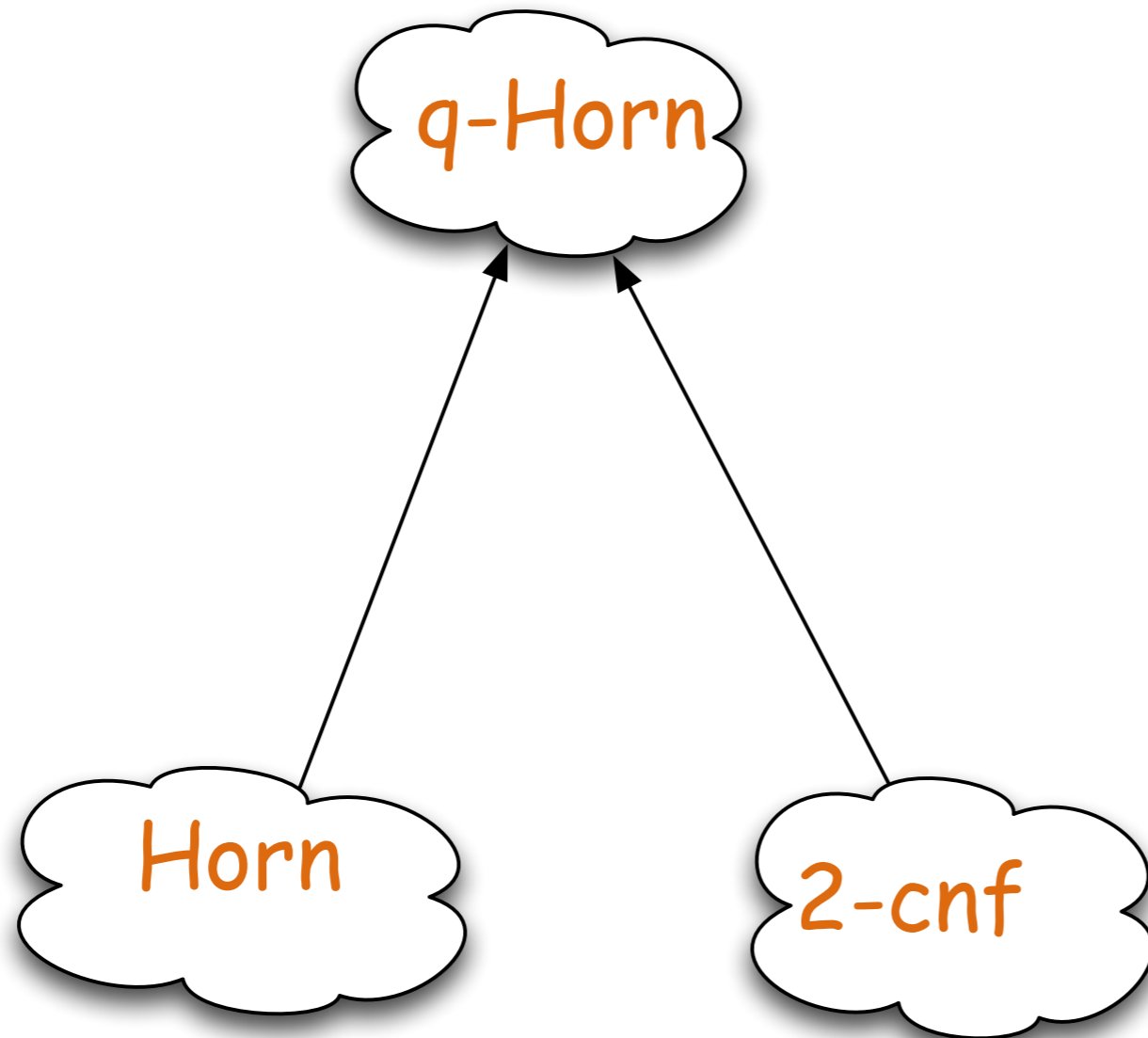
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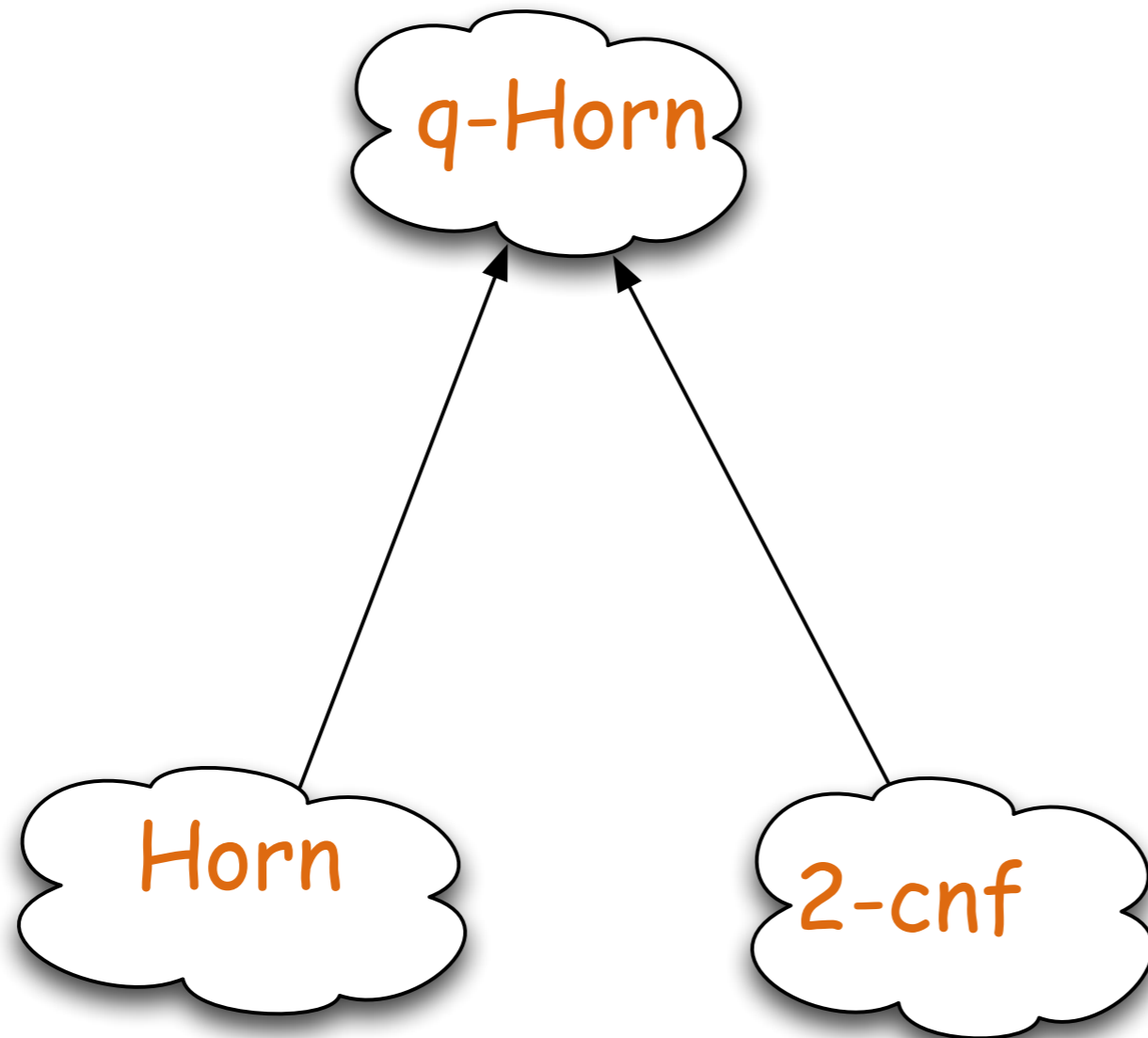
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- q-Horn generalizes Horn and 2-cnf.
SAT is in P for q-Horn formulas!

Backdoors to q-Horn



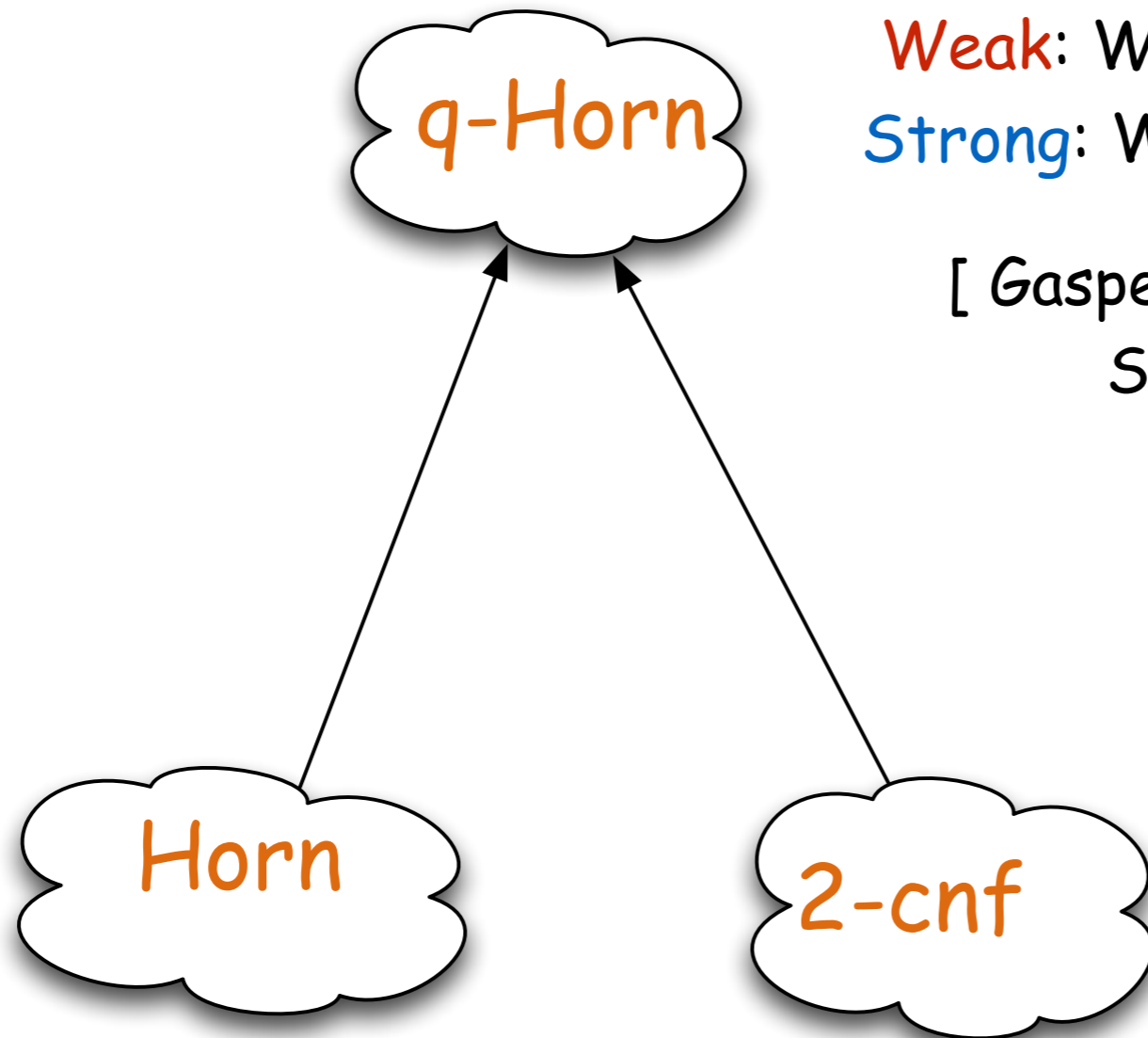
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Weak: $W[2]$ -hard

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Backdoors to q -Horn



Weak: W[2]-hard
Strong: W[2]-hard

[Gaspers, Ordyniak, [R.](#), Saurabh,
Szeider STACS 2013]

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- What other notions of 'distance' can we have?
- What about distance through deletion instead of instantiation?

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Deletion Backdoors

- A deletion backdoor set of F to the base class C is a set of variables S such that $F-S$ is in C .

$$(x_1 \vee x_2) \wedge (\neg x_1 \vee x_3 \vee x_5) \wedge (x_2 \vee \neg x_3 \vee x_4)$$

$$x_3 = 0 \quad (x_1 \vee x_2) \wedge (\neg x_1 \vee x_5)$$

$$(x_1 \vee x_2) \wedge (\neg x_1 \vee x_5) \wedge (x_2 \vee x_4)$$

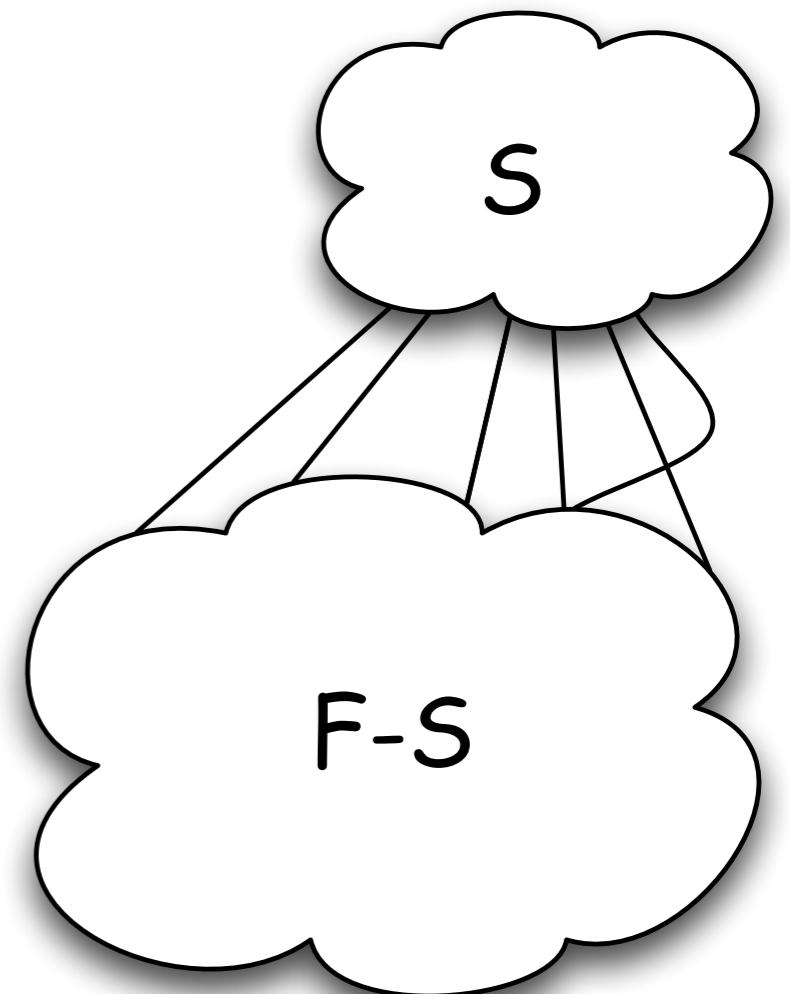
$$x_3 = 1 \quad (x_1 \vee x_2) \wedge (x_2 \vee x_4)$$

Deleting x_3

x_3 is a deletion backdoor into 2-cnf.

Deletion Backdoors

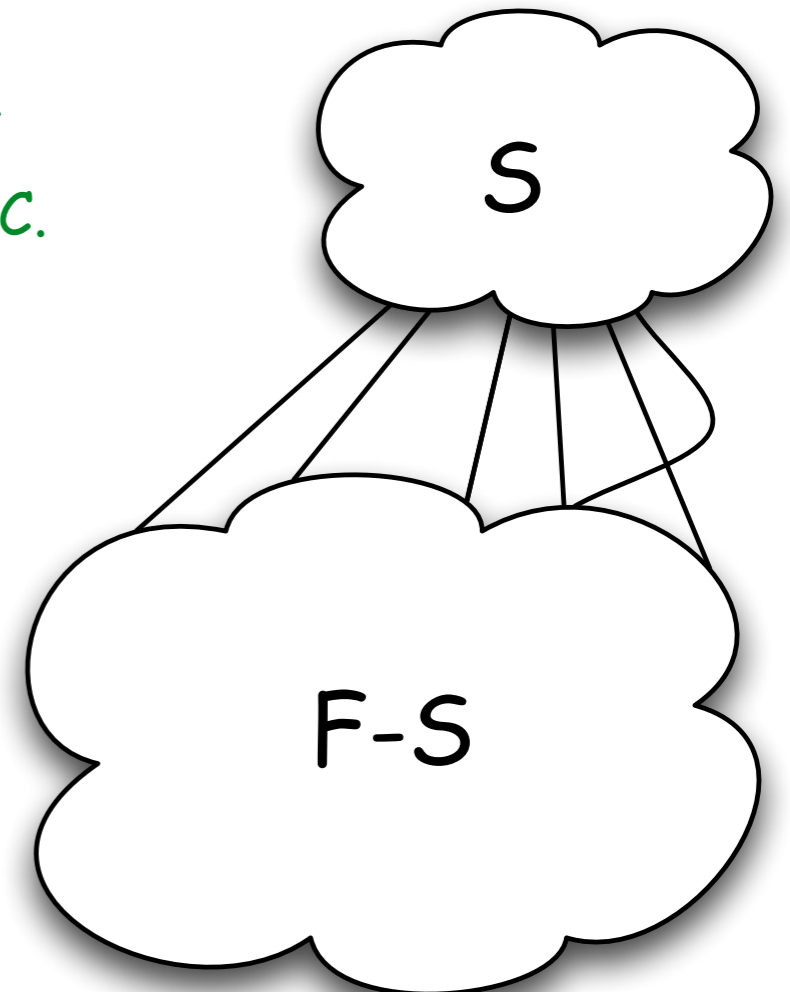
Deletion Backdoors



Deletion Backdoors

If F is in C , every subformula of F induced by a subset of clauses is in C .

If the base class C is 'clause induced' then S is also a strong backdoor to C .

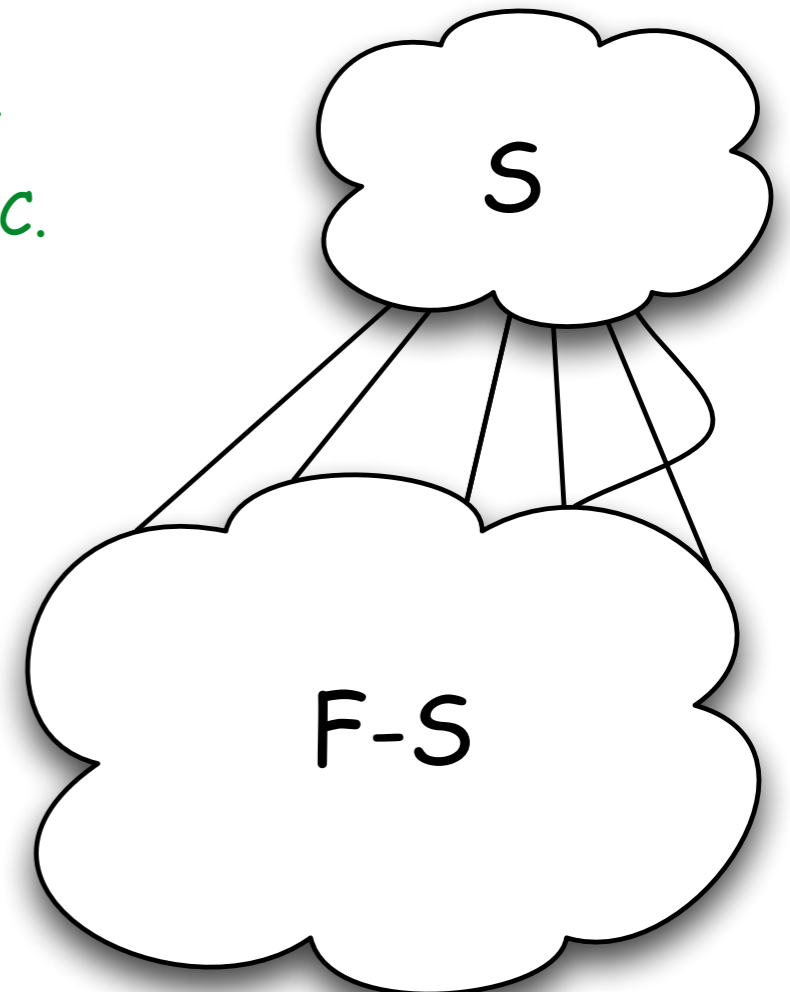


Deletion Backdoors

$$wbd \leq sbd \leq del. bd$$

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Deletion Backdoors

Deletion Backdoors

Deletion Backdoors

- The detection of strong backdoors being W -hard is not a dead end.

Deletion Backdoors

- The detection of strong backdoors being W -hard is not a dead end.
- If we can detect **deletion** backdoors then we can still extend the tractable region for SAT.

Backdoors to q -Horn

Backdoors to q -Horn

Backdoors to q -Horn

- q -Horn is clause induced. Can we find a deletion backdoor to q -Horn in FPT time?

Backdoors to q -Horn

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Backdoors to q -Horn

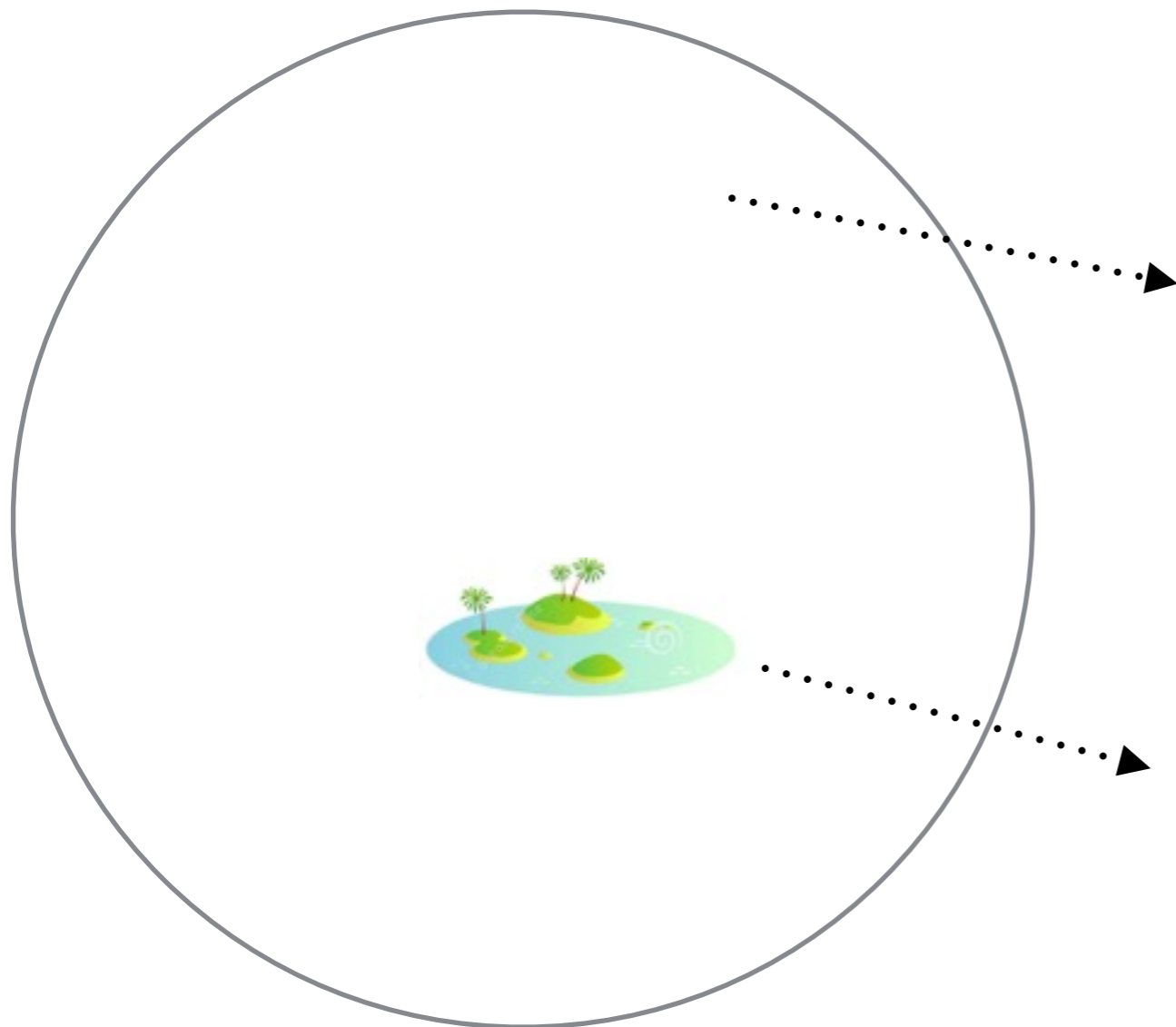
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- In $O(6^k mn)$ time, we can either conclude no del backdoor of size k or compute a deletion backdoor of size at most $2k^2$.

SAT parameterized by size of deletion backdoor to q -Horn can be solved in time $2^{O(k^2)} mn$.

Backdoors to q -Horn



SAT is in P for instances with a del. backdoor of size $O(\sqrt{\log n})$ to q -Horn.

SAT is in P for q -Horn

Backdoors to q -Horn

[R. and Saurabh, SODA 2014]

Deletion backdoor set detection to q -Horn can be solved in time $O(12^k m)$.

Backdoors to q -Horn

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SAT parameterized by size of deletion backdoor to q -Horn can be solved in time $2^{O(k)} m$.

Backdoors to q -Horn

SAT is in P for instances with a del. backdoor of size $O(\log n)$ to q -Horn [R. and Saurabh 2014].

SAT is in P for instances with a del. backdoor of size $O(\sqrt{\log n})$ to q -Horn [Gaspers, Ordyniak, R., Saurabh, Szeider 2014].

SAT is in P for q -Horn



Backdoors to q -Horn

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- A linear time algorithm for SAT instances `close' to being q -Horn.

Backdoors to q -Horn

- A linear time algorithm for SAT instances `close' to being q -Horn.
- **Corollary:** Deletion backdoor detection for RHorn can be done in time $O(4^k m)$.

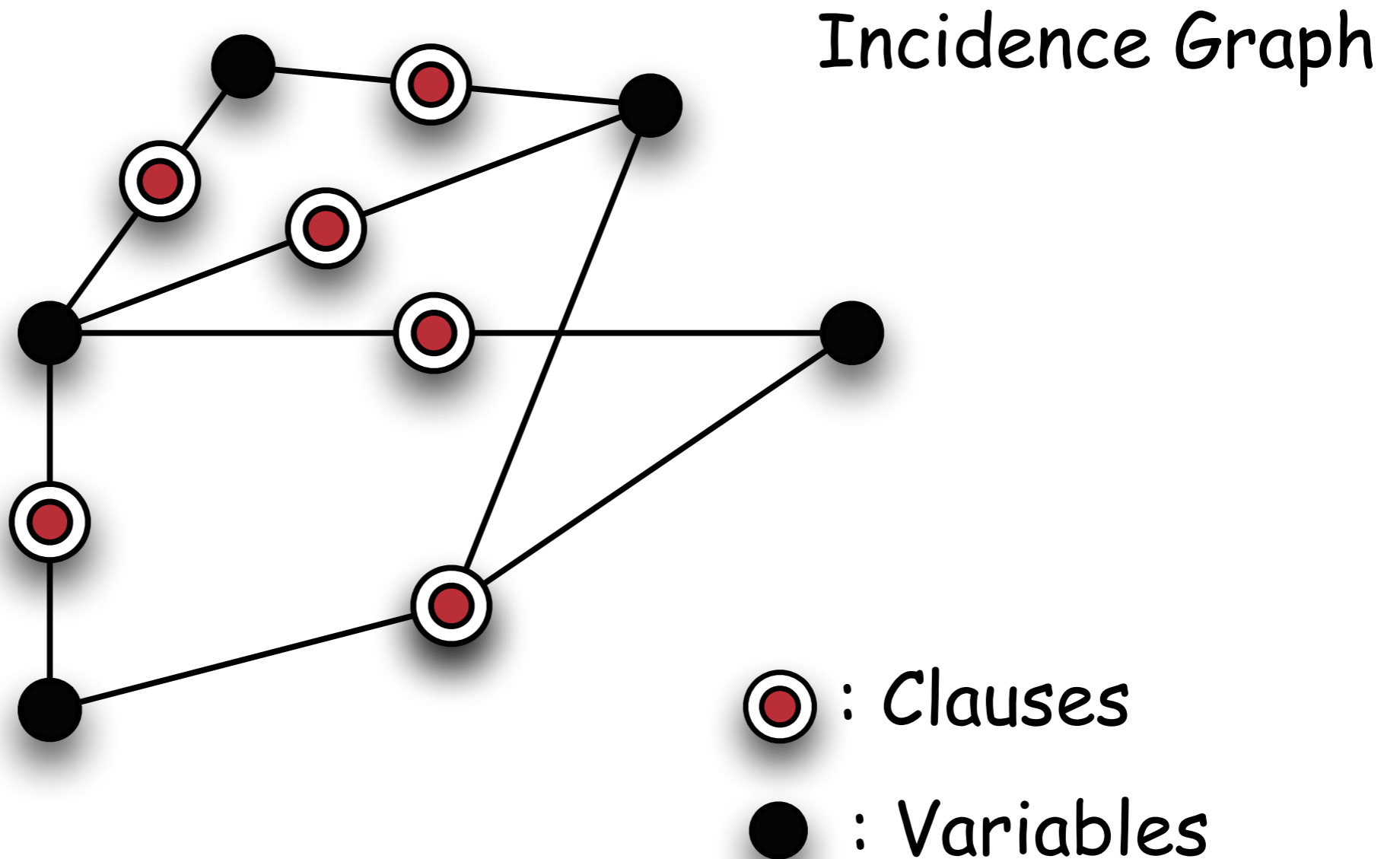
Backdoors to q -Horn

- A linear time algorithm for SAT instances `close' to being q -Horn.
- **Corollary:** Deletion backdoor detection for RHorn can be done in time $O(4^k m)$.
- A further consequence of this algorithm: the first linear time FPT algorithm for **Odd Cycle Transversal** (open problem of Reed, Smith and Vetta, 2003).

Backdoors to Bounded Treewidth SAT

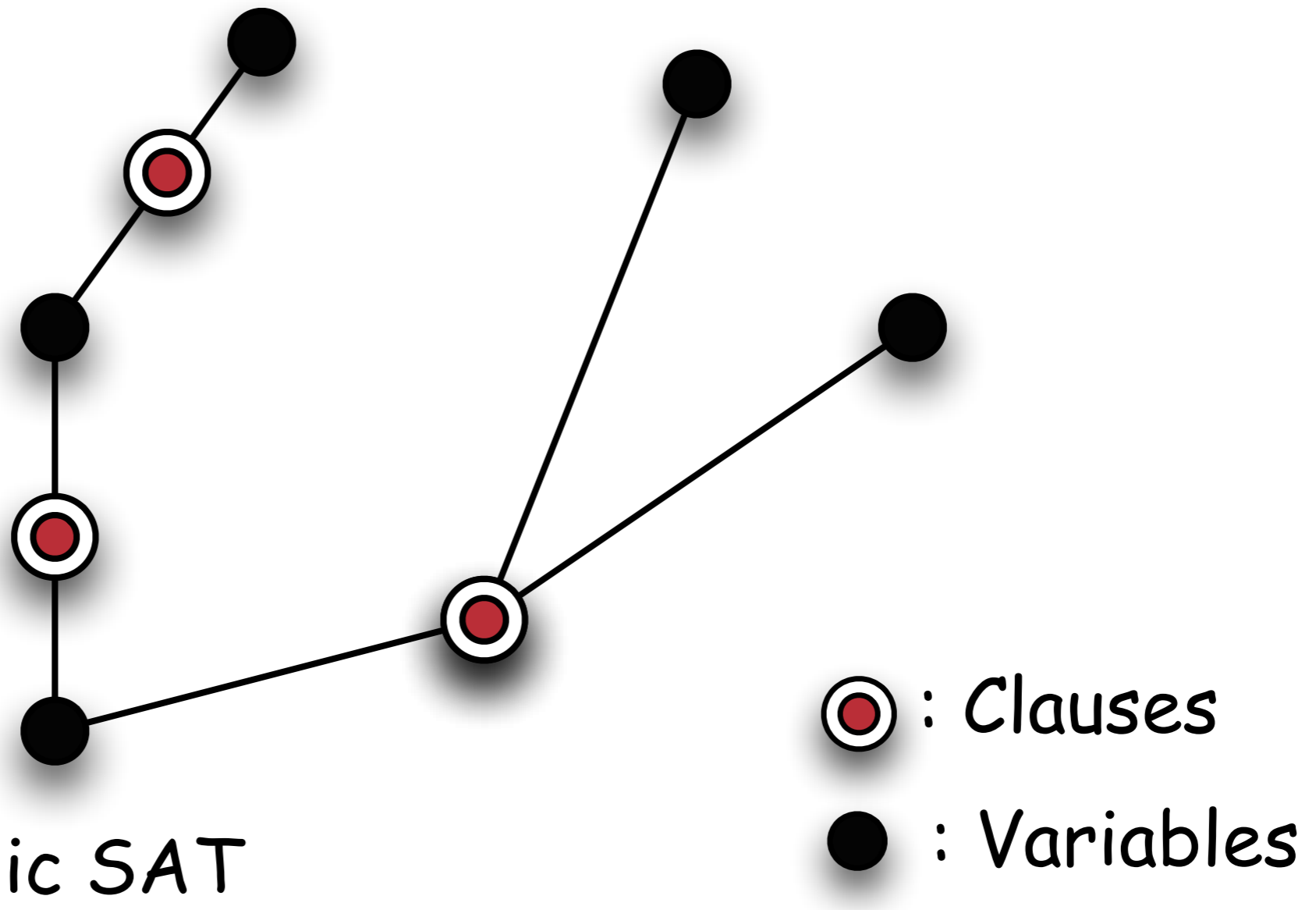
Backdoors to acyclic SAT

Modeling CNF-formulas as graphs



Backdoors to acyclic SAT

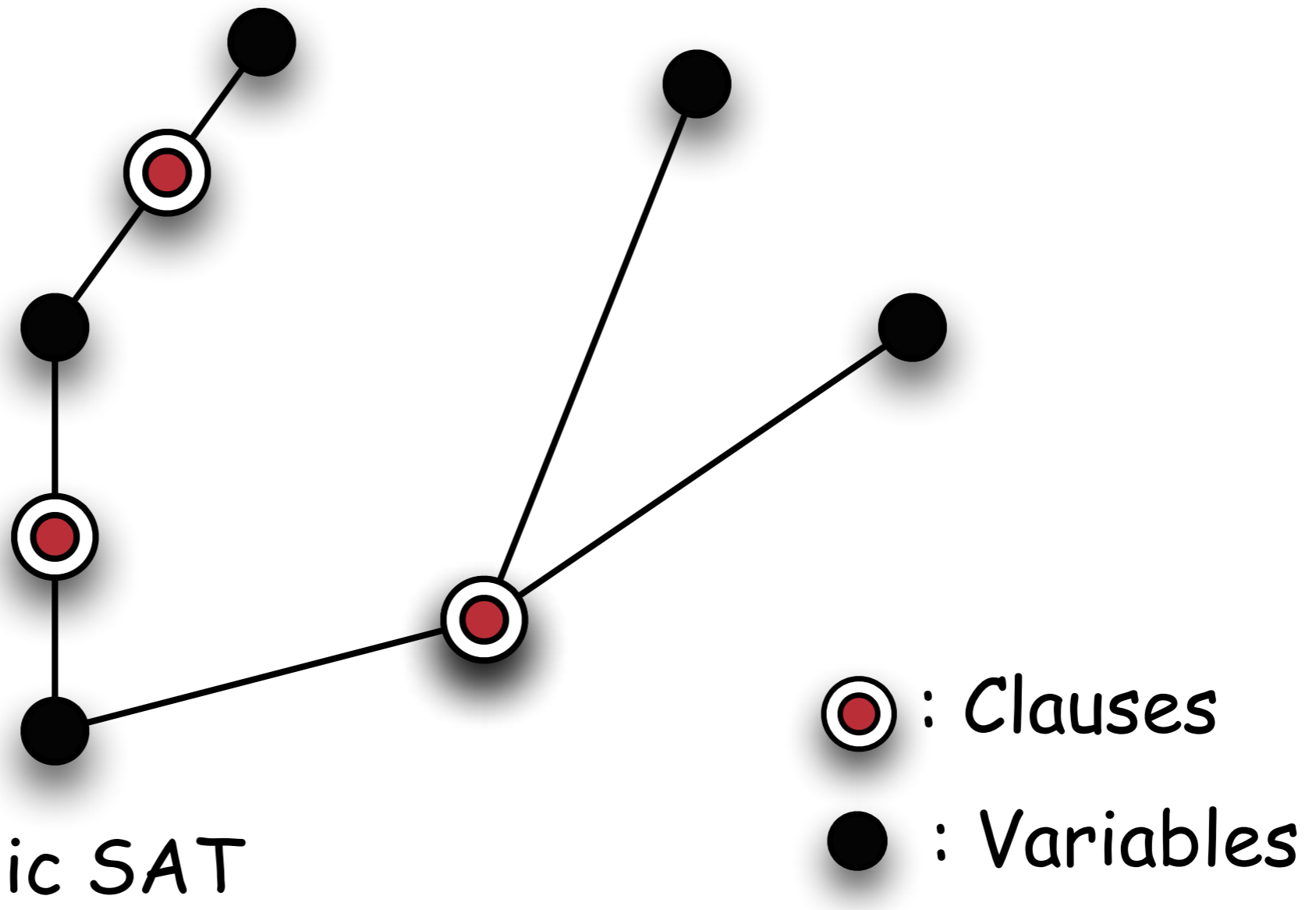
If the Incidence graph is a forest then SAT is in P
(Fischer, Makowsky, Ravve 2008).



Acyclic SAT

Backdoors to acyclic SAT

What about formulas with small backdoors to Acyclic SAT?
Is SAT tractable on these formulas?



Acyclic SAT

Backdoors to acyclic SAT

Gaspers and Szeider (ICALP 2012) :

- weak backdoor detection to acyclic SAT is $W[2]$ -hard.
- weak backdoor detection to acyclic 3-SAT is FPT.
- strong backdoor detection to acyclic SAT is
FPT-approximable.

Backdoors to acyclic SAT

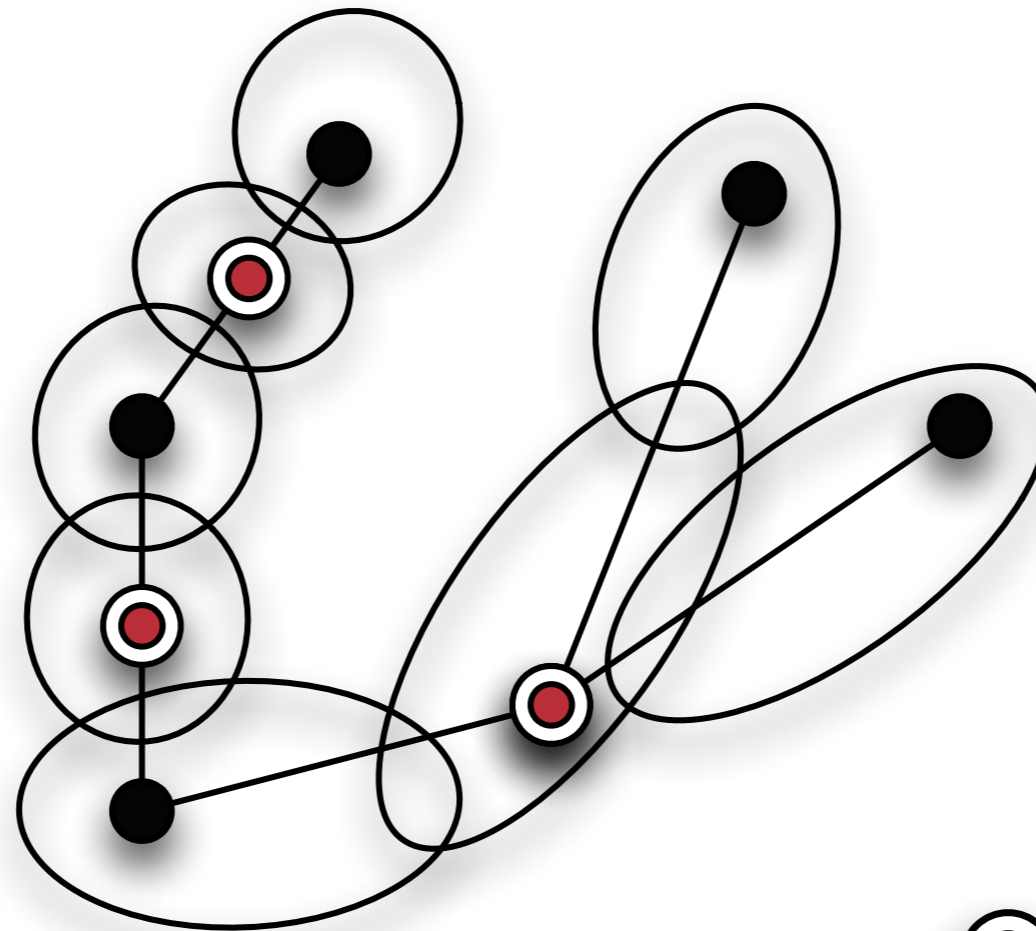
Gaspers and Szeider (ICALP 2012) :

- weak backdoor detection to acyclic SAT is $W[2]$ -hard.
- weak backdoor detection to acyclic 3-SAT is FPT.
- strong backdoor detection to acyclic SAT is FPT-approximable.

In FPT time, either conclude there is no strong backdoor of size k or compute a strong backdoor of size 2^k

Backdoors to bounded tw SAT

If the Incidence graph is **tree-like** then SAT is in P
(Fischer, Makowsky, Ravve 2008).



Incidence Graph

tw-SAT

⊙ : Clauses

● : Variables

Backdoors to bounded tw SAT

Gaspers and Szeider (FOCS 2013) :

Strong backdoor detection to tw SAT is FPT-approximable.

Backdoors to bounded tw SAT

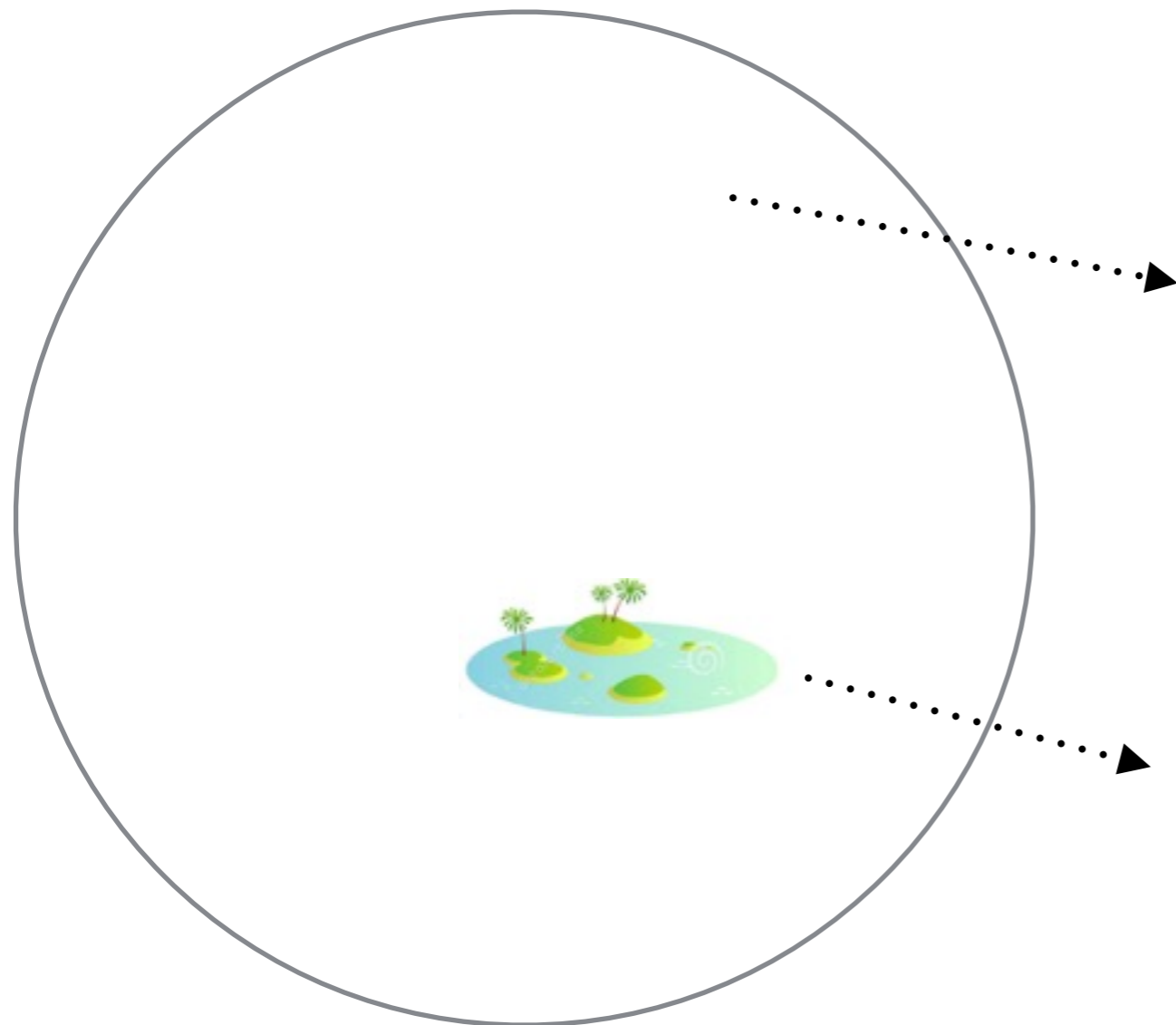
Gaspers and Szeider (FOCS 2013) :

Strong backdoor detection to tw SAT is FPT-approximable.

SAT parameterized by size of sbd to tw SAT is FPT.

Running time : $2^{2^k} n^3$

Backdoors to bounded tw SAT

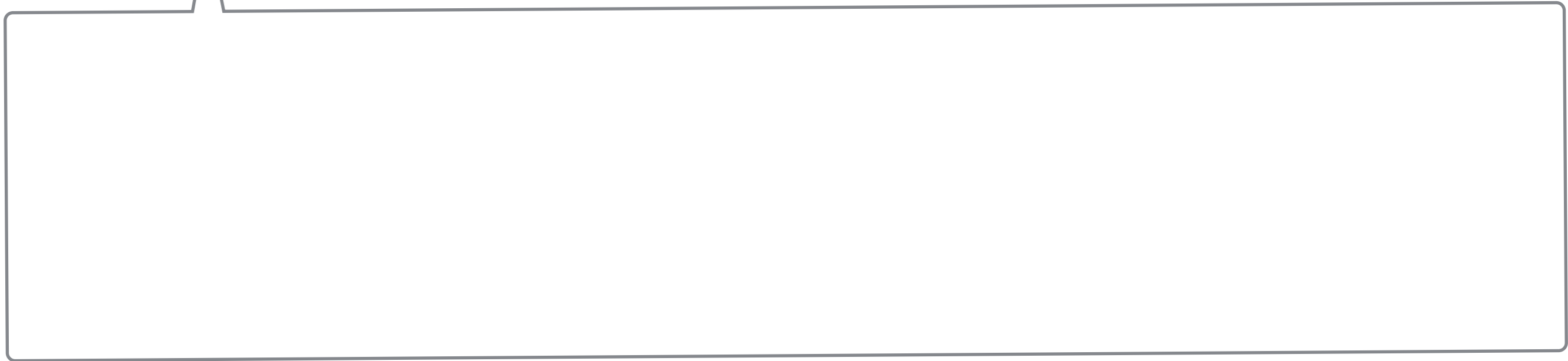


SAT is in P for instances
with sbd of size
 $O(\log \log n)$ to tw SAT.

tw SAT is in P.

Backdoors to bounded tw SAT

Fomin, Lokshantov, Misra, R., Saurabh (SODA 2015)



Backdoors to bounded tw SAT

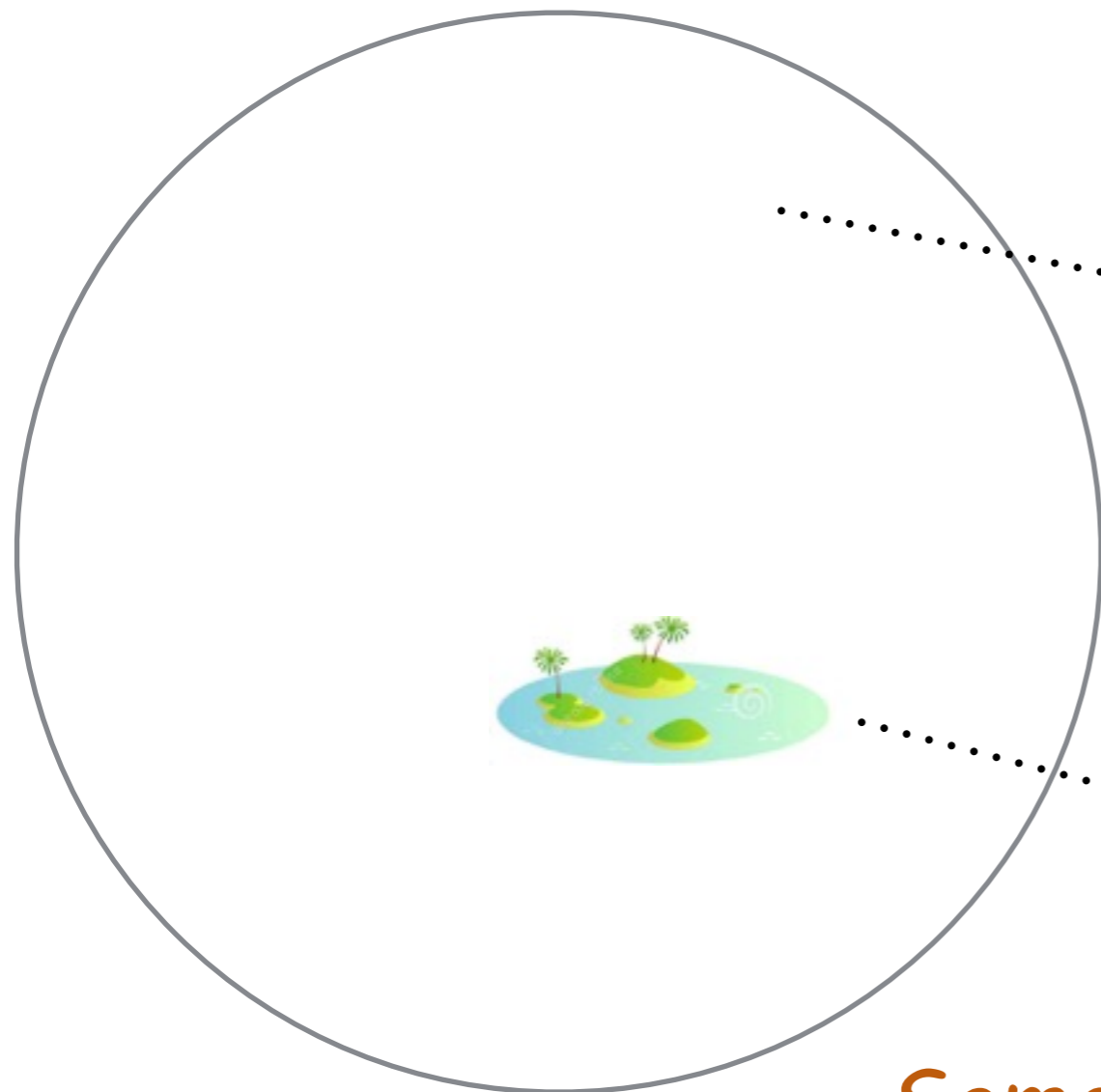
Fomin, Lokshantov, Misra, R., Saurabh (SODA 2015)

3-SAT parameterized by $k = \min\{sbd, wbd\}$ to tw 3-SAT can be solved in time $2^{O(k)} m$.

This running time is optimal both w.r.t parameter and input-size.

Backdoors to bounded tw SAT

This region cannot be extended.



3-SAT is in P for instances with a s/w backdoor of size $O(\log n)$ to tw 3-SAT.

tw 3-SAT is in P.

Some new features in this algorithm!

Combining the perspectives

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- Williams et al. proposed that SAT solvers encounter backdoor sets without actually searching for them.

Combining the perspectives

- Williams et al. proposed that SAT solvers encounter backdoor sets without actually searching for them.
- **This algorithm:** revisit this perspective.

Backdoors to bounded tw SAT

DPLL

Backdoors to bounded tw SAT

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- Apply UP and PLE

Backdoors to bounded tw SAT

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- Apply UP and PLE
- Select a variable x u.a.r

Backdoors to bounded tw SAT

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- Apply UP and PLE
- Select a variable x u.a.r
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- If formula has constant tw, then solve in poly time.

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- If formula has constant tw, then solve in poly time.
- Reduce all 'protrusions'.

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DPLL' is an FPT algorithm for 3-SAT par by $\min\{sbd, wbd\}$ to tw 3-SAT.

Backdoors to bounded tw SAT

Backdoors to bounded tw SAT

- Protrusion replacement takes the place of UP and PLE.

Backdoors to bounded tw SAT

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Backdoors to bounded tw SAT

- Protrusion replacement takes the place of UP and PLE.
- Since the base class is more complex, the preprocessing is also involved.
- **But intuition remains the same:** Remove 'irrelevant' parts of the formula or at the very least replace them with a 'small' equivalent formula.

Backdoors to bounded tw SAT

Backdoors to bounded tw SAT

- First FPT algorithm for SAT which does not depend on computing a backdoor set first.

Backdoors to bounded tw SAT

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- Optimal running time (parameter and i/p size)

Backdoors to bounded tw SAT

- First FPT algorithm for SAT which does not depend on computing a backdoor set first.
- Optimal running time (parameter and i/p size)
- Again, techniques developed here have other applications: improving several kernelization and FPT algorithms to linear time.

Composite Base Classes

Heterogenous backdoors

Heterogenous backdoors

Consider the following formula.

Heterogenous backdoors

Consider the following formula.

$$(x \vee \neg a_1 \vee \neg a_2 \dots \vee \neg a_n) \wedge (\neg x \vee b_1 \vee c_1) \wedge (\neg x \vee b_2 \vee c_2) \dots \wedge (\neg x \vee b_n \vee c_n)$$

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What is the size of a smallest strong backdoor set into Horn?

Heterogenous backdoors

Consider the following formula.

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at least n

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What is the size of a smallest strong backdoor set into Horn?

at least n

What is the size of a smallest strong backdoor set into 2-cnf?

Heterogenous backdoors

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What is the size of a smallest strong backdoor set into Horn?

at least n

What is the size of a smallest strong backdoor set into 2-cnf?

at least $n-1$

Heterogenous backdoors

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Consider $F[x=0]$

$$(\neg a_1 \vee \neg a_2 \dots \vee \neg a_n)$$

Heterogenous backdoors

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Consider $F[x=0]$ $(\neg a_1 \vee \neg a_2 \dots \vee \neg a_n)$

Consider $F[x=1]$ $(b_1 \vee c_1) \wedge (b_2 \vee c_2) \dots \wedge (b_n \vee c_n)$

Heterogenous backdoors

Consider the following formula.

$$(x \vee \neg a_1 \vee \neg a_2 \dots \vee \neg a_n) \wedge (\neg x \vee b_1 \vee c_1) \wedge (\neg x \vee b_2 \vee c_2) \dots \wedge (\neg x \vee b_n \vee c_n)$$

Consider $F[x=0]$ $(\neg a_1 \vee \neg a_2 \dots \vee \neg a_n)$ Horn

Consider $F[x=1]$ $(b_1 \vee c_1) \wedge (b_2 \vee c_2) \dots \wedge (b_n \vee c_n)$

Heterogenous backdoors

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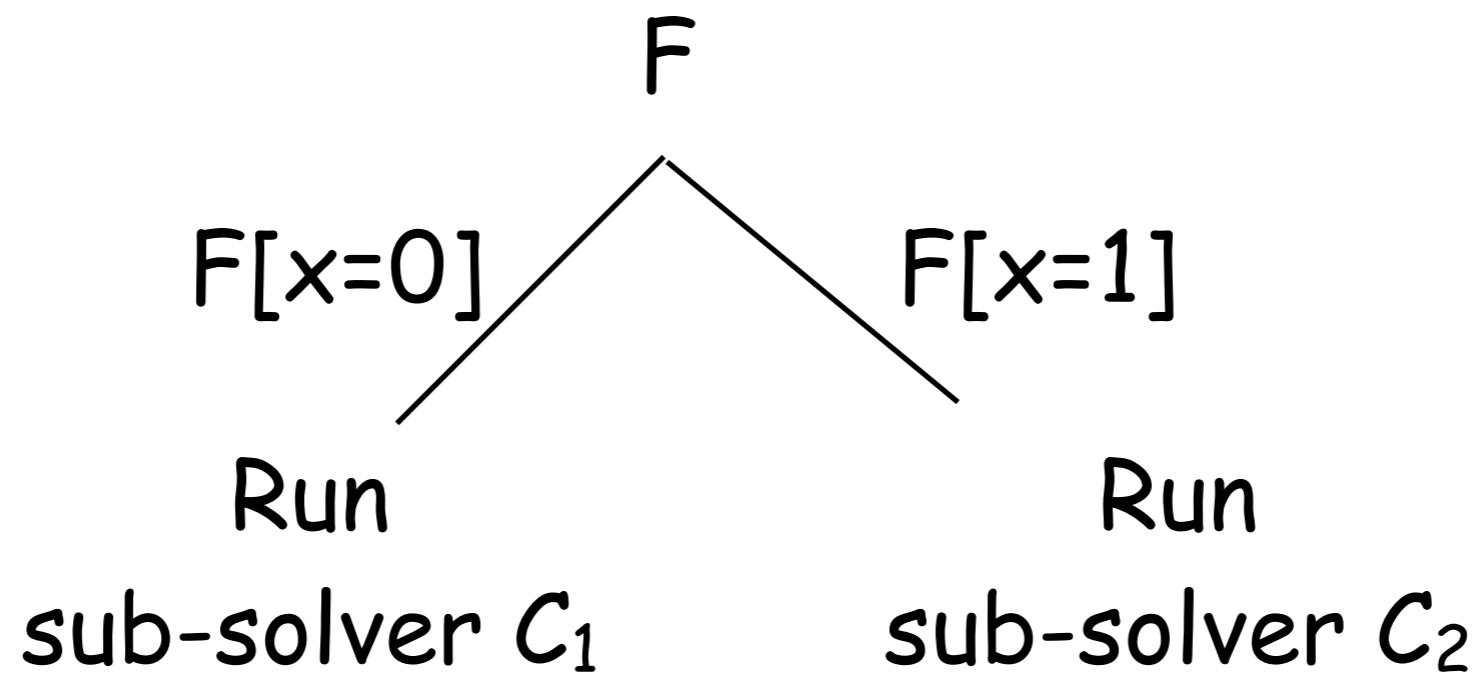
$$(x \vee \neg a_1 \vee \neg a_2 \dots \vee \neg a_n) \wedge (\neg x \vee b_1 \vee c_1) \wedge (\neg x \vee b_2 \vee c_2) \dots \wedge (\neg x \vee b_n \vee c_n)$$

Consider $F[x=0]$ $(\neg a_1 \vee \neg a_2 \dots \vee \neg a_n)$ Horn

Consider $F[x=1]$ $(b_1 \vee c_1) \wedge (b_2 \vee c_2) \dots \wedge (b_n \vee c_n)$ 2-cnf

Heterogenous backdoors

C_1, C_2 : Horn, 2-cnf



Heterogenous backdoors

Let C_1, \dots, C_r be islands of tractability.

X is a **heterogenous backdoor** into C_1, \dots, C_r if for every assignment of X , the reduced formula is in some C_i .

Heterogenous backdoors

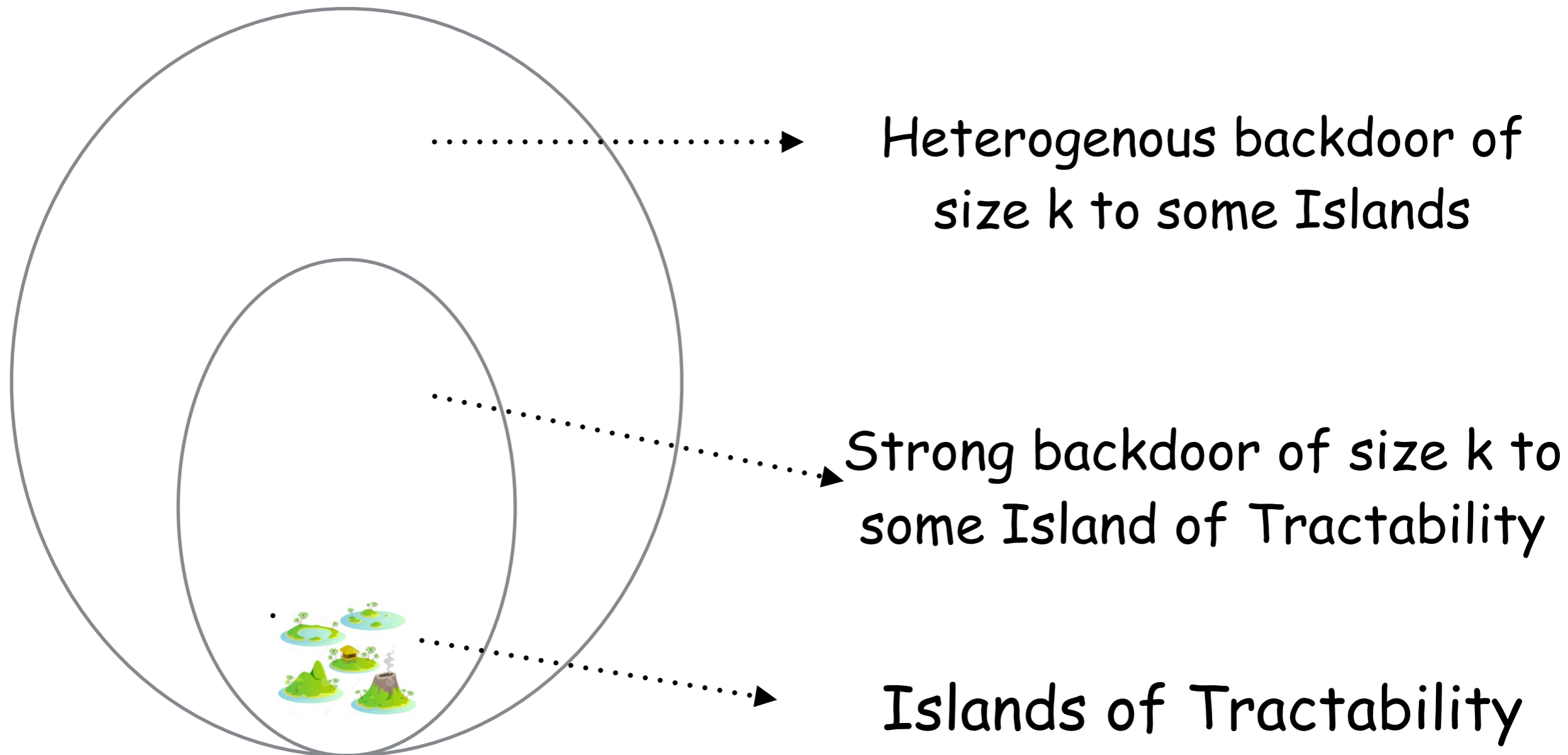
Heterogenous backdoors

- Heterogenous backdoors can be arbitrarily smaller than normal strong backdoors.

Heterogenous backdoors

- Heterogenous backdoors can be arbitrarily smaller than normal strong backdoors.
- Class of instances with small heterogenous backdoors is a much larger class than instances with small strong backdoor.

Heterogenous backdoors



Heterogenous backdoors

Heterogenous backdoors

Gaspers, Ordyniak, Misra, Szeider, Zivny (AAAI 2014)

Heterogenous backdoors

Gaspers, Ordyniak, Misra, Szeider, Zivny (AAAI 2014)

1. If $H = \text{Horn/dual-Horn} \cup 2\text{CNF}$ then detecting heterogenous backdoors to H is FPT
2. For every other combination of Schaefer classes, detecting heterogenous backdoors to H is $W[2]$ -hard.

but FPT for 3-cnf formulas

Archipelagos of tractability

Archipelagos of tractability

$$(x \vee \neg a_1 \vee \neg a_2 \dots \vee \neg a_n) \wedge (\neg x \vee \neg p_1 \vee \neg p_2 \dots \vee \neg p_n)$$

\wedge

$$(\neg x \vee b_1 \vee c_1) \wedge (\neg x \vee b_2 \vee c_2) \dots \wedge (\neg x \vee b_n \vee c_n)$$

\wedge

$$(x \vee q_1 \vee r_1) \wedge (x \vee q_2 \vee r_2) \dots \wedge (x \vee q_n \vee r_n)$$

Archipelagos of tractability

$$(x \vee \neg a_1 \vee \neg a_2 \dots \vee \neg a_n) \wedge (\neg x \vee \neg p_1 \vee \neg p_2 \dots \vee \neg p_n)$$

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$$(\neg x \vee b_1 \vee c_1) \wedge (\neg x \vee b_2 \vee c_2) \dots \wedge (\neg x \vee b_n \vee c_n)$$

\wedge

$$(x \vee q_1 \vee r_1) \wedge (x \vee q_2 \vee r_2) \dots \wedge (x \vee q_n \vee r_n)$$

What is the size of a smallest
heterogenous backdoor set
into Horn \cup 2-cnf?

Archipelagos of tractability

$$\begin{aligned} & (x \vee \neg a_1 \vee \neg a_2 \dots \vee \neg a_n) \wedge (\neg x \vee \neg p_1 \vee \neg p_2 \dots \vee \neg p_n) \\ & \quad \wedge \\ & (\neg x \vee b_1 \vee c_1) \wedge (\neg x \vee b_2 \vee c_2) \dots \wedge (\neg x \vee b_n \vee c_n) \\ & \quad \wedge \\ & (x \vee q_1 \vee r_1) \wedge (x \vee q_2 \vee r_2) \dots \wedge (x \vee q_n \vee r_n) \end{aligned}$$

What is the size of a smallest
heterogenous backdoor set at least $2n$
into Horn \cup 2-cnf?

Archipelagos of tractability

Archipelagos of tractability

$$(x \vee \neg a_1 \vee \neg a_2 \dots \vee \neg a_n) \wedge (\neg x \vee \neg p_1 \vee \neg p_2 \dots \vee \neg p_n)$$

\wedge

$$(\neg x \vee b_1 \vee c_1) \wedge (\neg x \vee b_2 \vee c_2) \dots \wedge (\neg x \vee b_n \vee c_n)$$

\wedge

$$(x \vee q_1 \vee r_1) \wedge (x \vee q_2 \vee r_2) \dots \wedge (x \vee q_n \vee r_n)$$

Archipelagos of tractability

$$(x \vee \neg a_1 \vee \neg a_2 \dots \vee \neg a_n) \wedge (\neg x \vee \neg p_1 \vee \neg p_2 \dots \vee \neg p_n)$$

\wedge

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$$(x \vee q_1 \vee r_1) \wedge (x \vee q_2 \vee r_2) \dots \wedge (x \vee q_n \vee r_n)$$

Consider $F[x=0]$

$$(\neg a_1 \vee \neg a_2 \dots \vee \neg a_n) \wedge$$

$$(q_1 \vee r_1) \wedge (q_2 \vee r_2) \dots \wedge (q_n \vee r_n)$$

Archipelagos of tractability

$$(x \vee \neg a_1 \vee \neg a_2 \dots \vee \neg a_n) \wedge (\neg x \vee \neg p_1 \vee \neg p_2 \dots \vee \neg p_n)$$

\wedge

$$(\neg x \vee b_1 \vee c_1) \wedge (\neg x \vee b_2 \vee c_2) \dots \wedge (\neg x \vee b_n \vee c_n)$$

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Consider $F[x=1]$

$$(\neg p_1 \vee \neg p_2 \dots \vee \neg p_n) \wedge$$

$$(b_1 \vee c_1) \wedge (b_2 \vee c_2) \dots \wedge (b_n \vee c_n)$$

Archipelagos of tractability

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\wedge

$$(\neg x \vee b_1 \vee c_1) \wedge (\neg x \vee b_2 \vee c_2) \dots \wedge (\neg x \vee b_n \vee c_n)$$

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Archipelagos of tractability

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\wedge

$$(\neg x \vee b_1 \vee c_1) \wedge (\neg x \vee b_2 \vee c_2) \dots \wedge (\neg x \vee b_n \vee c_n)$$

\wedge

$$(x \vee q_1 \vee r_1) \wedge (x \vee q_2 \vee r_2) \dots \wedge (x \vee q_n \vee r_n)$$

Horn

$$(\neg a_1 \vee \neg a_2 \dots \vee \neg a_n) \wedge$$

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Consider $F[x=1]$

Archipelagos of tractability

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\wedge

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Archipelagos of tractability

$$(x \vee \neg a_1 \vee \neg a_2 \dots \vee \neg a_n) \wedge (\neg x \vee \neg p_1 \vee \neg p_2 \dots \vee \neg p_n)$$

\wedge

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\wedge

$$(x \vee q_1 \vee r_1) \wedge (x \vee q_2 \vee r_2) \dots \wedge (x \vee q_n \vee r_n)$$

Consider $F[x=0]$

$$(\neg a_1 \vee \neg a_2 \dots \vee \neg a_n) \wedge$$

$$(q_1 \vee r_1) \wedge (q_2 \vee r_2) \dots \wedge (q_n \vee r_n) \quad \text{2-cnf}$$

Consider $F[x=1]$

$$(\neg p_1 \vee \neg p_2 \dots \vee \neg p_n) \wedge$$

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Horn

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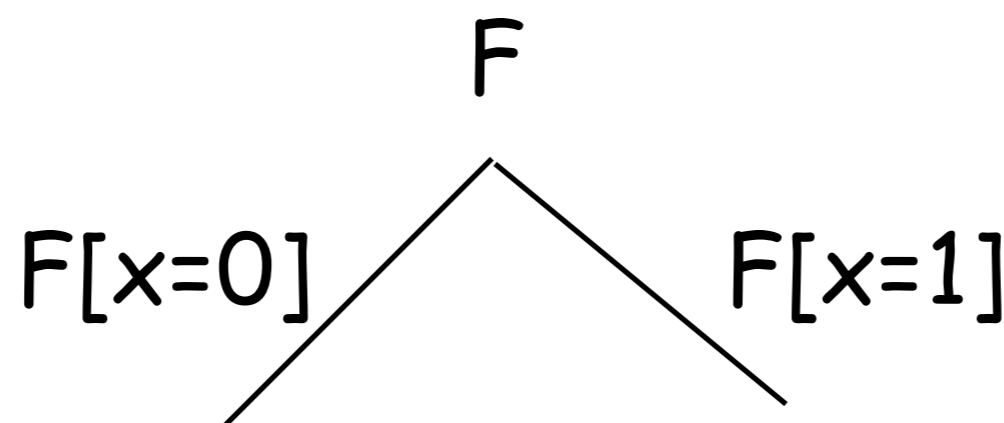
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Archipelagos of tractability

C_1, C_2 : Horn, 2-cnf



Run appropriate sub-solver C_i on each part variable-disjoint from the rest

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Split backdoors

Let C_1, \dots, C_r be islands of tractability.

X is a **split backdoor** into C_1, \dots, C_r if for every assignment of X , every connected component of the reduced formula is in some C_i .

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X is a **split backdoor** into C_1, \dots, C_r if for every assignment of X , every connected component of the reduced formula is in some C_i .

A minimal set of clauses which is variable-disjoint from the remaining clauses.

Split backdoors

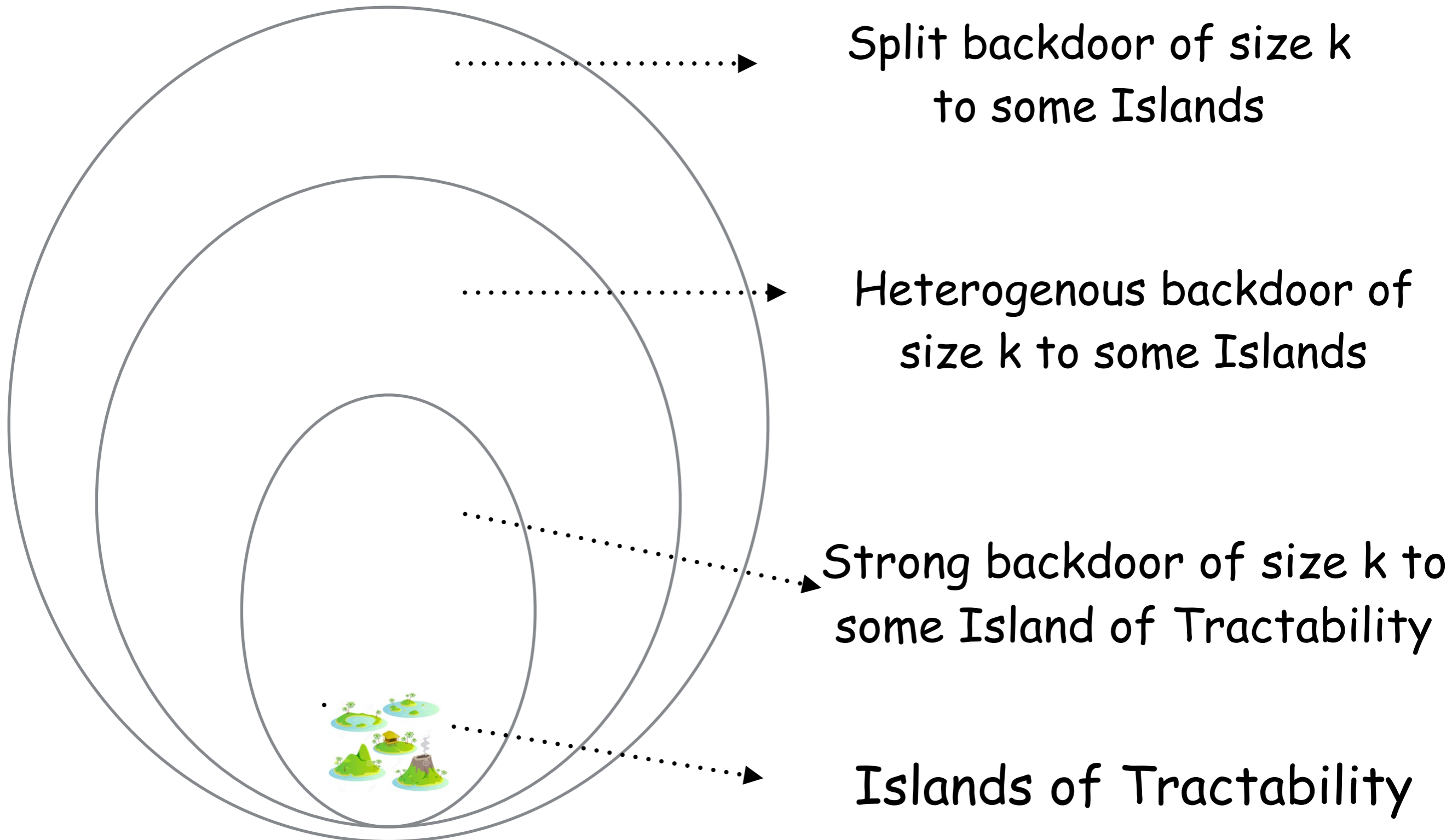
Split backdoors

- Split backdoors can be arbitrarily smaller than heterogenous backdoors.

Split backdoors

- Split backdoors can be arbitrarily smaller than heterogenous backdoors.
- Class of instances with small split backdoors is a much larger class than class of instances with small heterogenous backdoor.

Split backdoors



Split backdoors

Ganian, R., Szeider (2014):

If H is a finite set of finite constraint languages, then detecting split-backdoors of the given CSP to H is FPT.

Builds on a combination of traditional FPT tools and new graph separation tools like important separators, sequences and CSP based pattern replacements.

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- Stronger definitions of sub-solvers and base classes allowing us to prove tractability for larger classes of instances.

Summing up

- We have seen how backdoors and fixed parameter tractability provide a framework to extend tractability results for SAT based on the `distance' of instances to islands of tractability.
- Stronger definitions of sub-solvers and base classes allowing us to prove tractability for larger classes of instances.
- Several other variants of backdoors have been proposed, eg. **backdoor trees** (Samer and Szeider AAAI 2008), **learning sensitive backdoors** (Dilkina, Gomes, Sabharwal SAT 2009).

Future research

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- So far backdoor sets and variants have provided the best and theoretically most robust explanation for the performances of SAT solvers.

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- What other structural properties of instances are correlated to the computation time and can be effectively formalized in theory?

Future research

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- So far, `small` backdoors treated as certificates for closeness.
- Better measures than *size*?
- i.e. backdoors of potentially unbounded size but with some structure.

Future research

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- Analysis of existing SAT algorithms in terms of FPT parameterized by backdoors.

Thank you for your attention!

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