

Course IMSc Chennai, India

January-March 2017

Enumerative and algebraic combinatorics,
a bijective approach:

commutations and heaps of pieces

(with interactions in physics, mathematics and computer science)

Monday and Thursday 14h-15h30

www.xavierviennot.org/coursIMSc2017



IMSc

January-March 2017

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Chapter 7

Heaps in statistical mechanics

(3)

(slides: second part)

q-Bessel functions in physics

IMSc, Chennai

16 March 2017

complements

q-Bessel functions
and SOS models

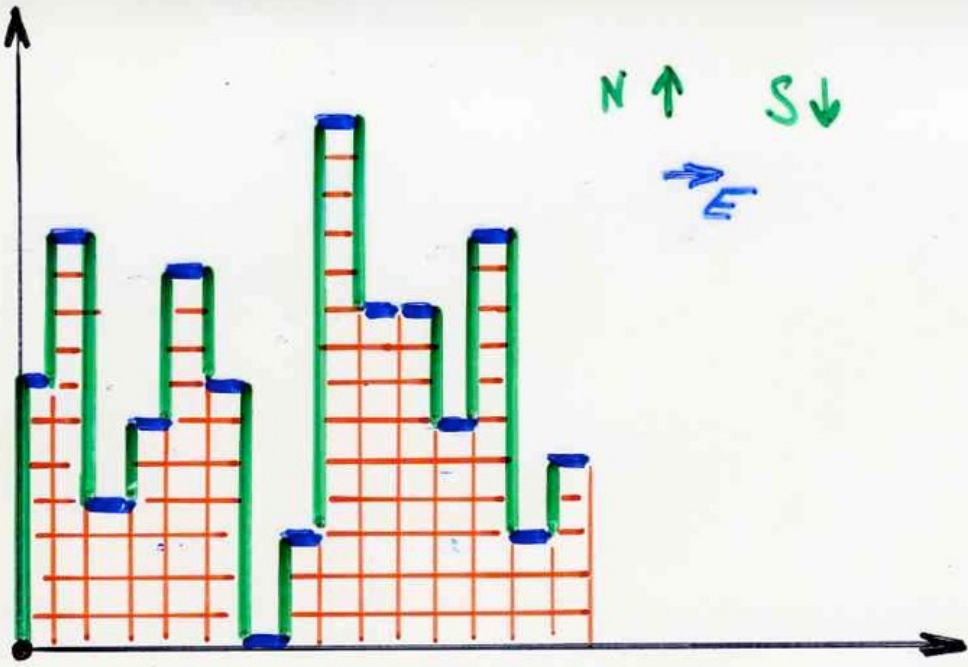
(Solid on solid)

discrete $(1+1)$ -dimensional
SOS model with

- magnetic field
- boundary potential
- surface interactions

exact solution

A. Dzworek, T. Prallberg (1993)



N ↑ S ↓
 E →

Partially directed
 self-avoiding walks
 (paths)

$$G(x, y, q, \kappa) = \sum_{\omega \text{ SOS path}} v(\omega)$$

weight



y

level
j



$$\begin{cases} x q^j \\ x \kappa \end{cases}$$

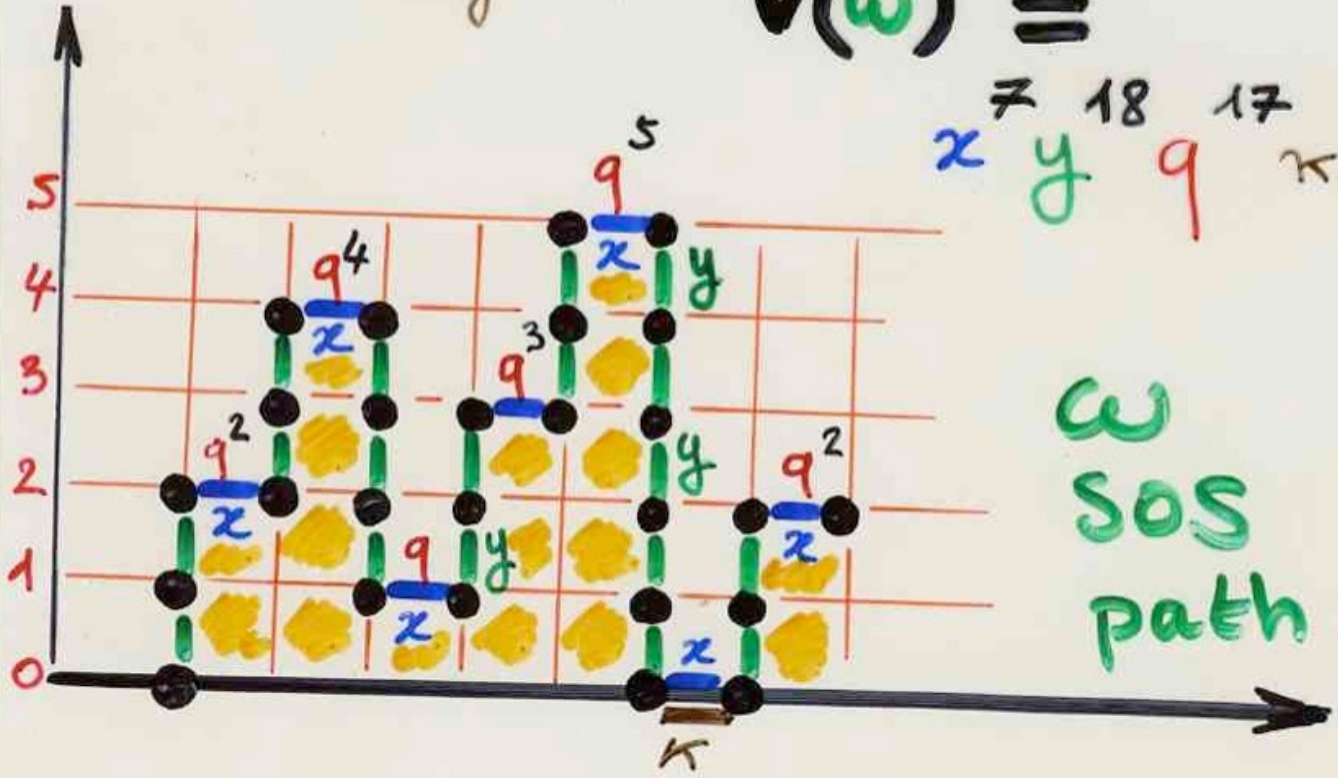
if $j > 0$
if $j = 0$

A. Owczarek, T. Prellberg (1993)

weight

$$v(\omega) =$$

$$\begin{matrix} \neq & 18 & 17 \\ x & y & q & \kappa \end{matrix}$$



ω
SOS
path

ou encore :

$$\sum_{\substack{\omega \\ \text{chemins SOS} \\ \text{arrivant au} \\ \text{niveau 0}}} v(\omega) = x \frac{H(qy^2, q, x(1-y^2)q)}{H(y^2, q, x(1-y^2))}$$

Owczarek, Prellberg
(1993)

notations:

$$H(u, q, t) = \sum_{n \geq 0} \frac{(-t)^n q^{\binom{n}{2}}}{(u, q)_n (q, q)_n}$$

$$\text{avec } \begin{matrix} (u, q)_n \\ (u)_n \end{matrix} = (1-u)(1-uq) \dots (1-uq^{n-1})$$

$$J_0 = H(\mu q, q, xq)$$

$$J_1 = H(\mu q, q, xq) - H(\mu q, q, xq^2)$$

$$\frac{J_1}{J_0}$$

or

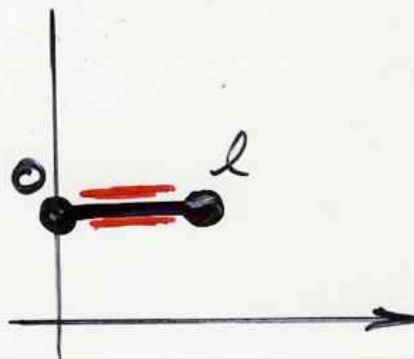
$$\frac{H(u_1, q, x_1^2)}{H(u_1, q, x_1)}$$

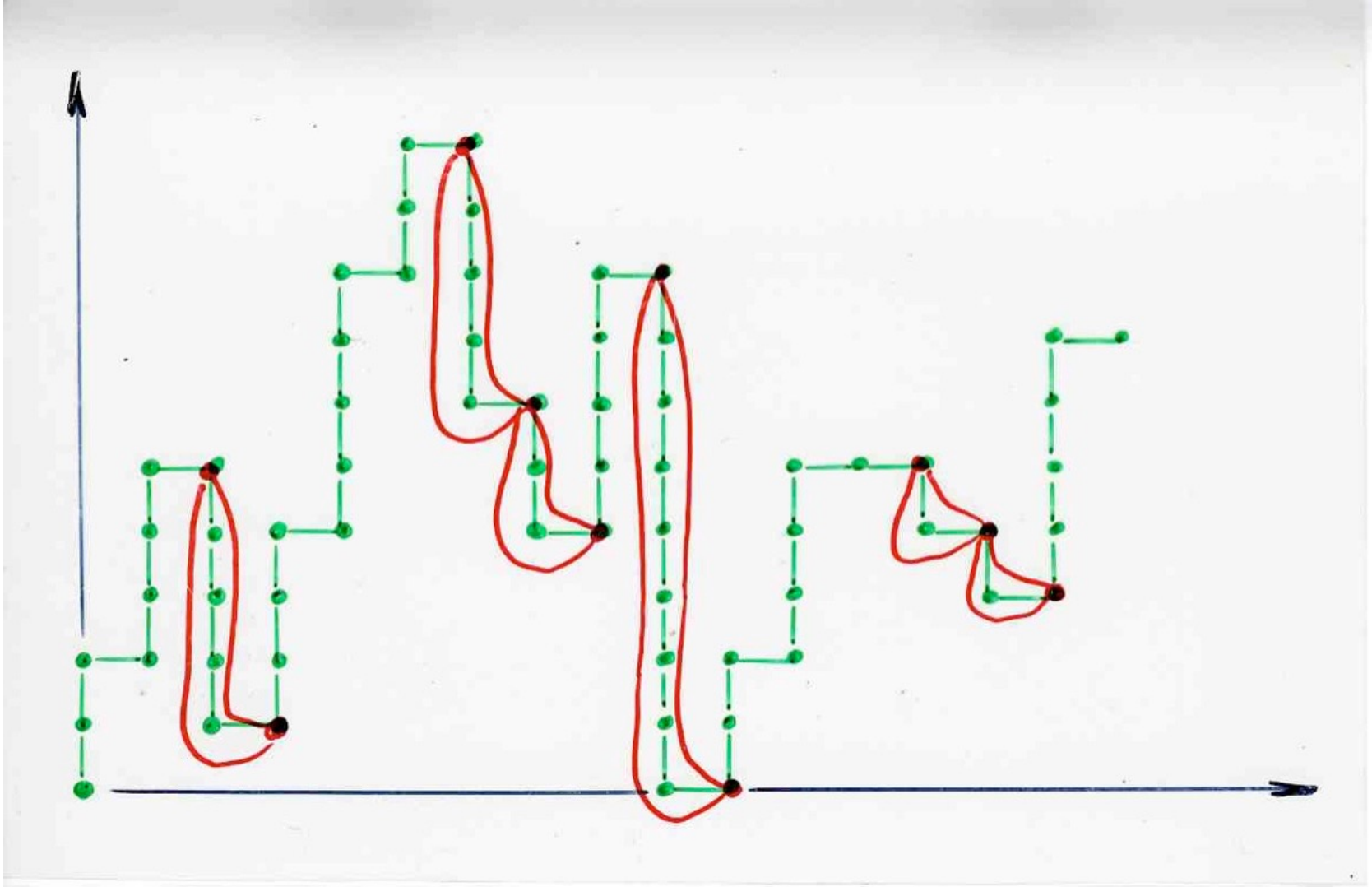
$$\frac{N}{D}$$

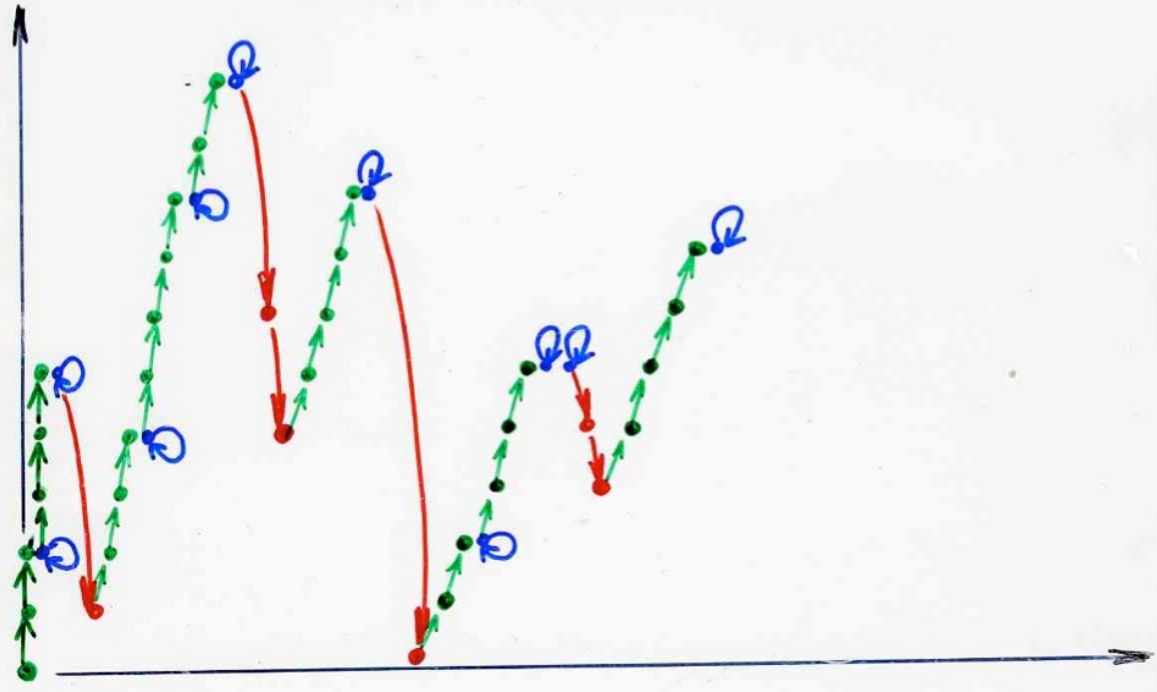
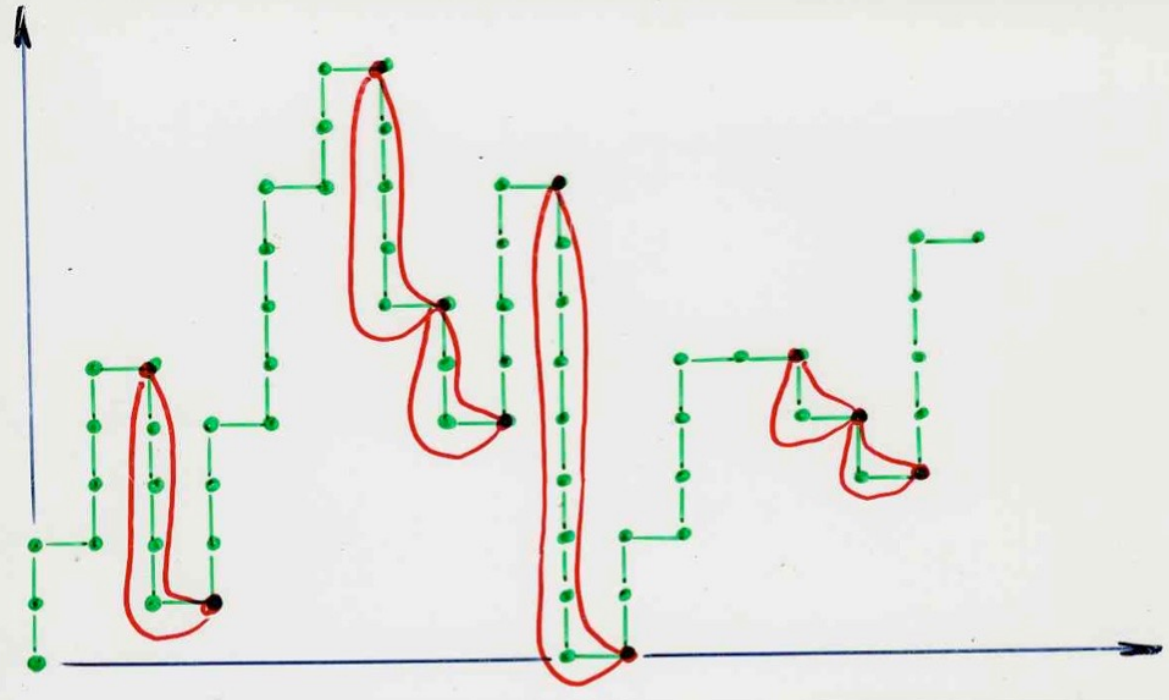
$$= \sum_{\mathcal{P}} v(\mathcal{P})$$

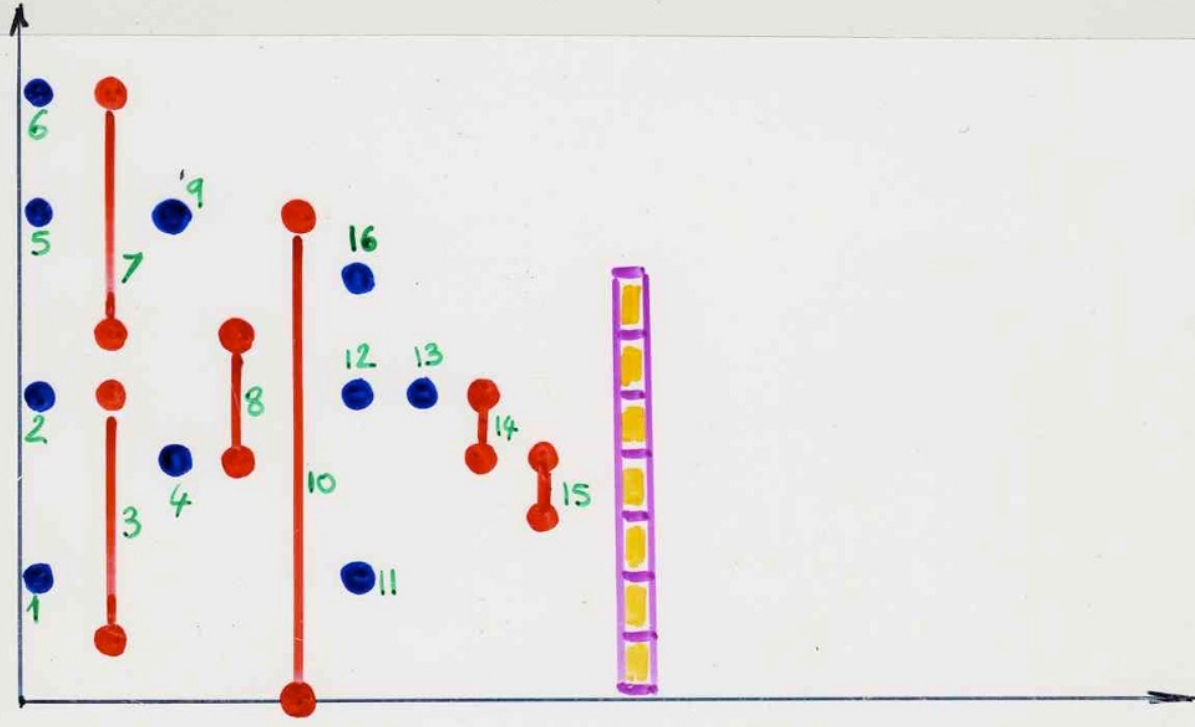
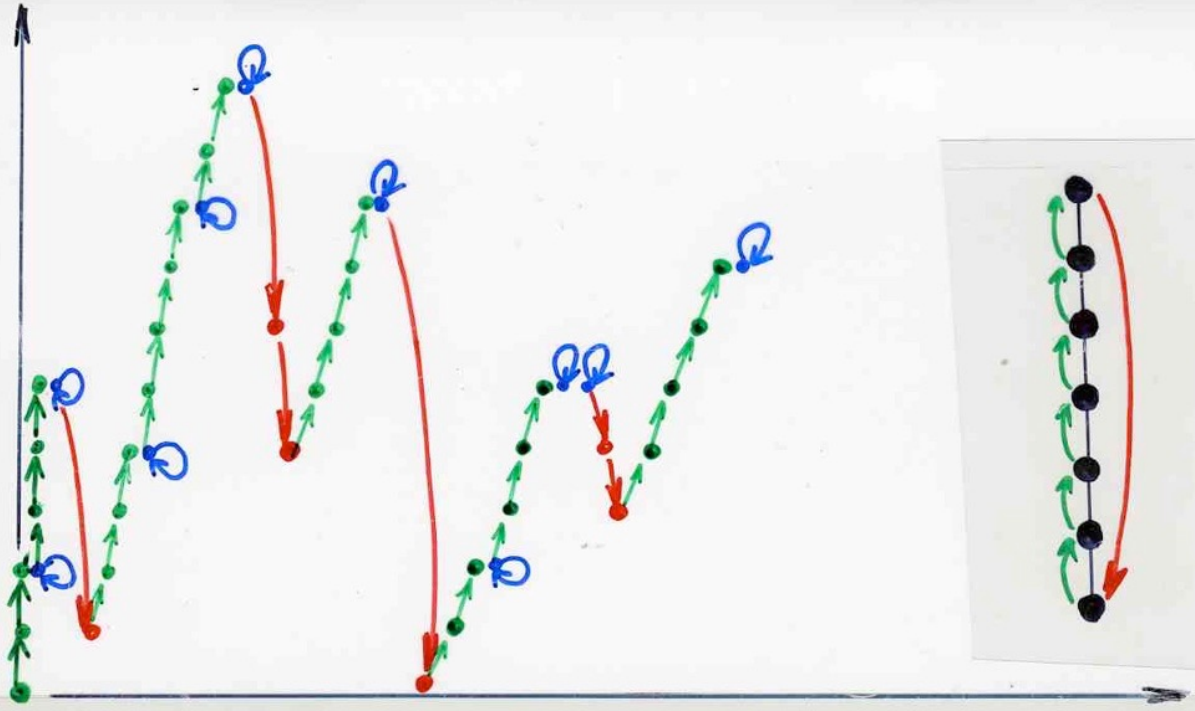
\mathcal{P} pyramid

maximal piece is









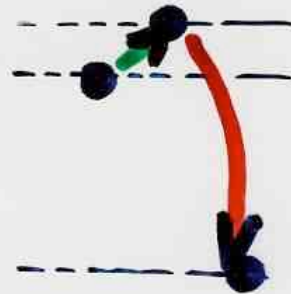


$$v_g([i, j]) = t u^{(j-i)} q^i$$

$0 \leq i \leq j$

Paths with no

peaks



$$t \leftarrow x(1 - y^2)$$

● q-Bessel

chemins partiellement dirigés
avec interaction

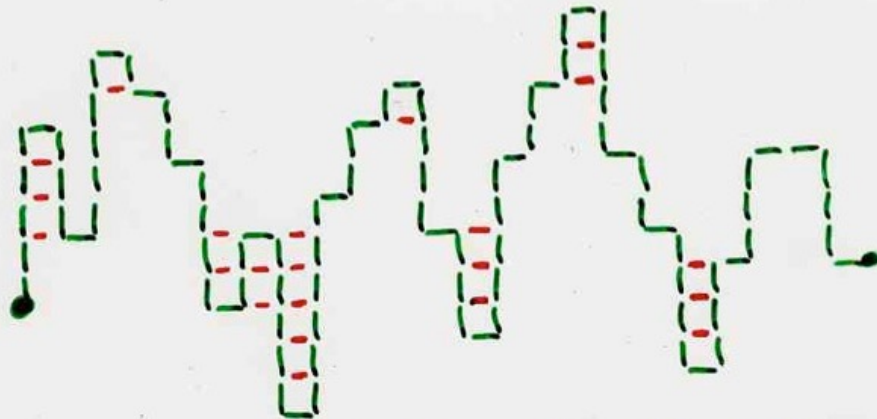
"effondrement" des polymères

Brak, Guttmann, Whittington 1992

Owczarek, Pruellberg, Brak 1993

Zwanzig, Lauritzen 1968, 1970

autres familles de polyominoes
convexes

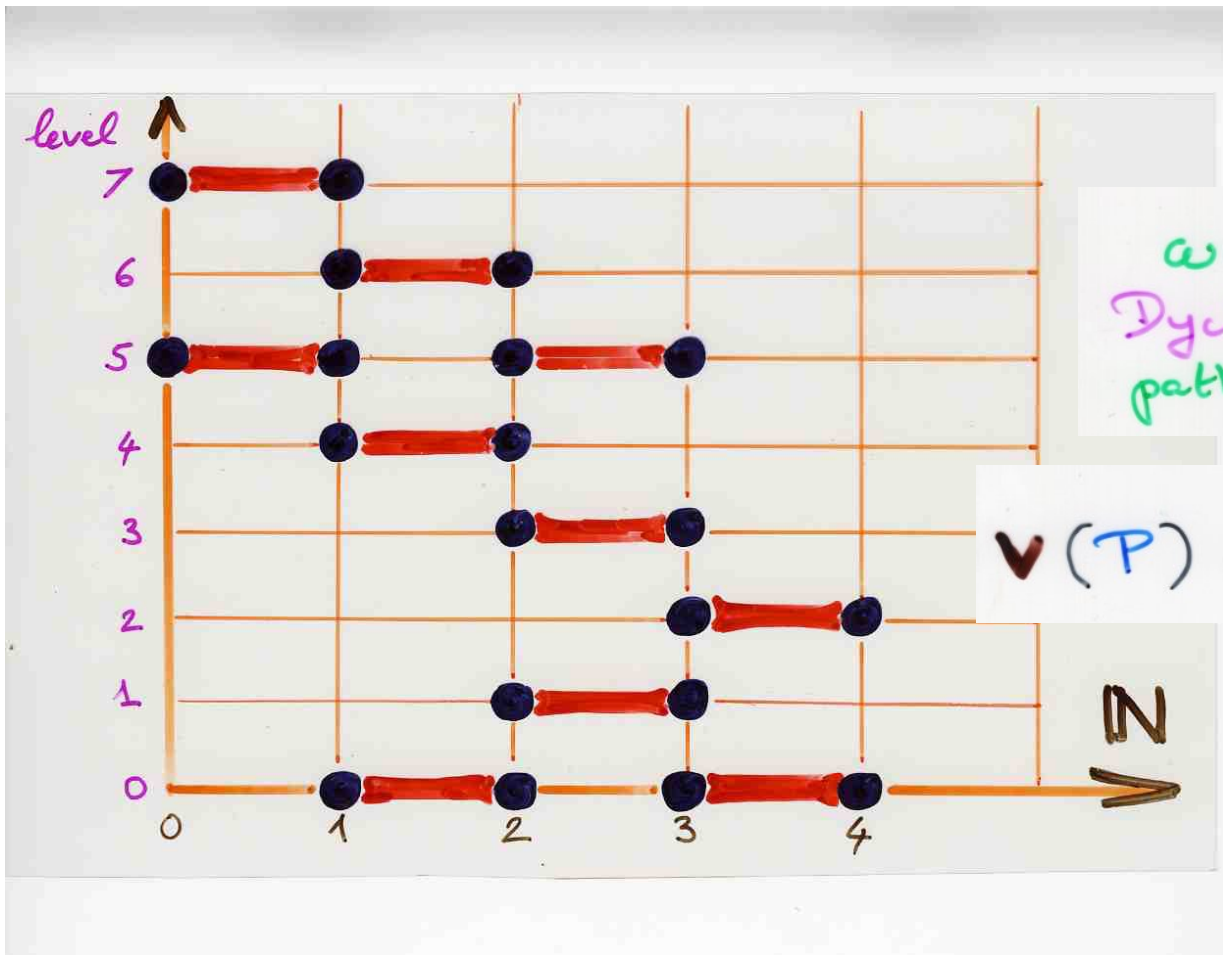


chemin partiellement dirigé avec interactions

particular case:
heaps of dimers
and

Ramanujan continued fraction

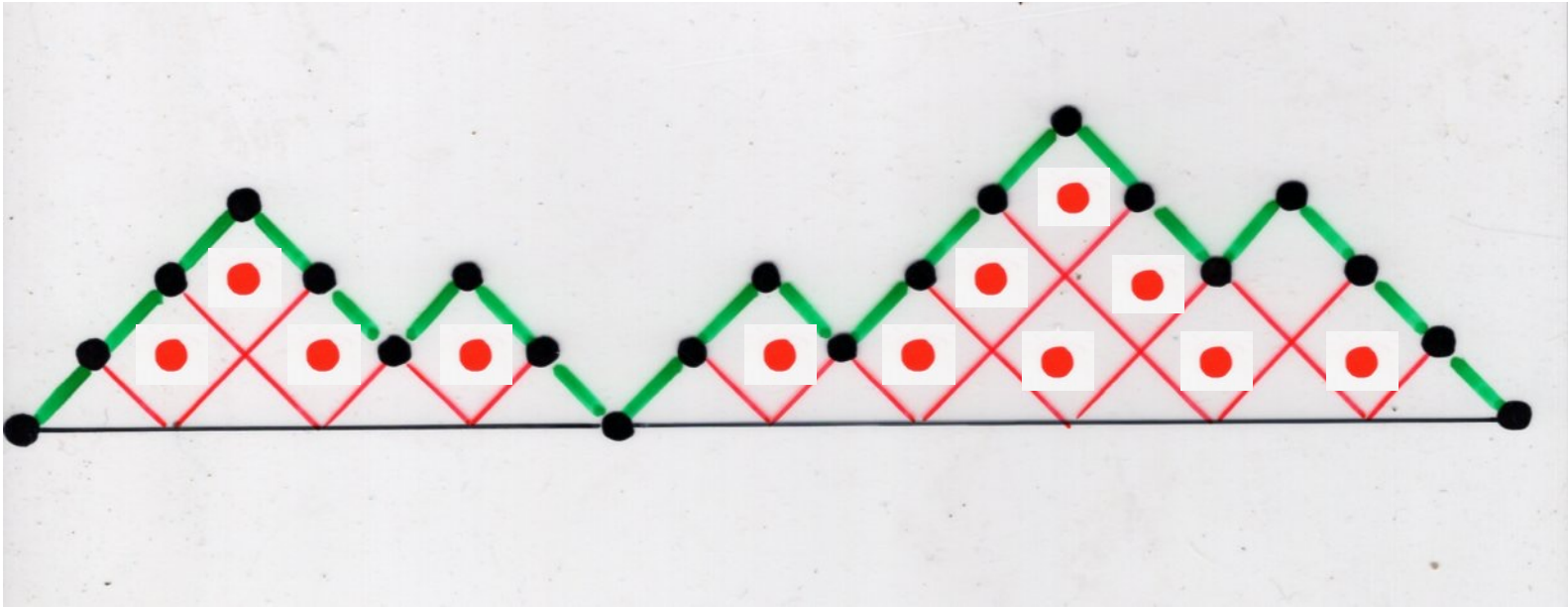
$$v([k-1, k]) = q^k t$$



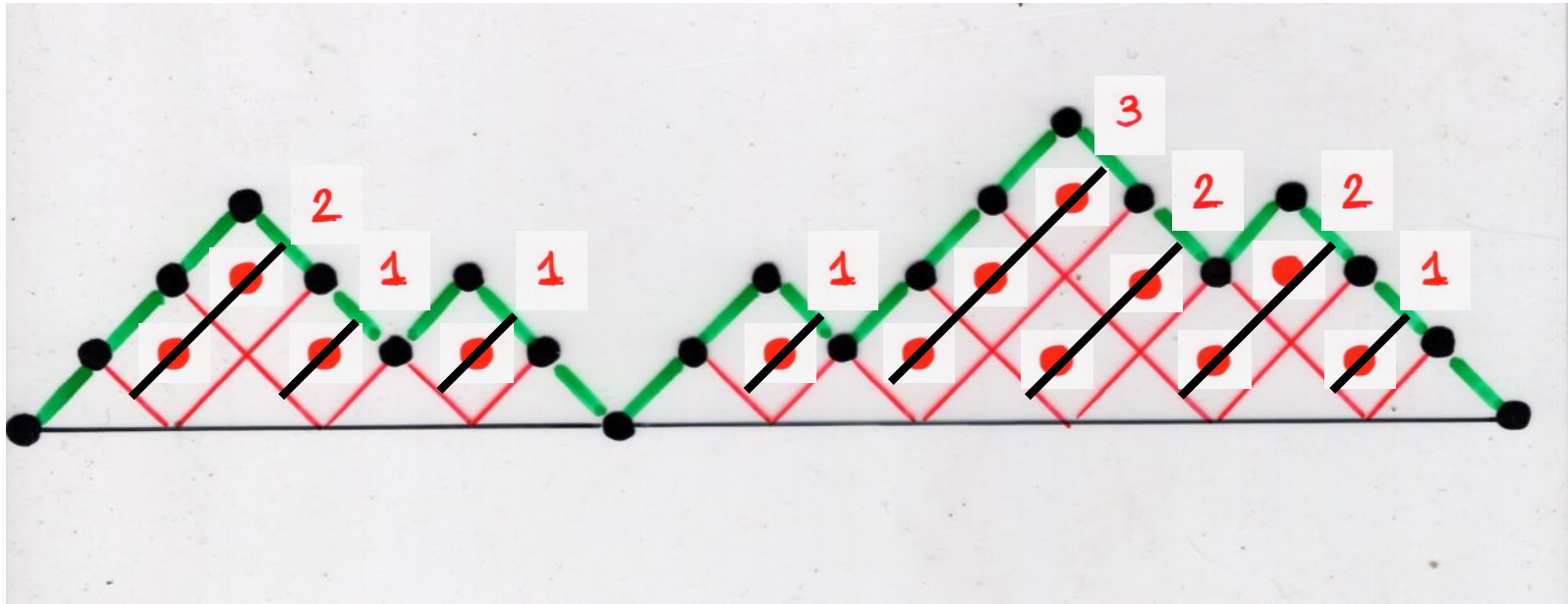
ω Dyck path \rightarrow \mathcal{P} semi-pyramid
 of dimers
 on \mathbb{N}

$$v(\mathcal{P}) = q^{|\omega|/2 + \text{area}(\omega)} t^{|\omega|/2}$$

area = 13



area = 13



$$v([k-1, k]) = q^k t$$

ω Dyck path \rightarrow \mathcal{P} semi-pyramid
 of dimers on \mathbb{N}

$$v(\mathcal{P}) = q^{|\omega|/2 + \text{area}(\omega)} t^{|\omega|/2}$$

$$v([k-1, k]) = q^{k-1} t$$

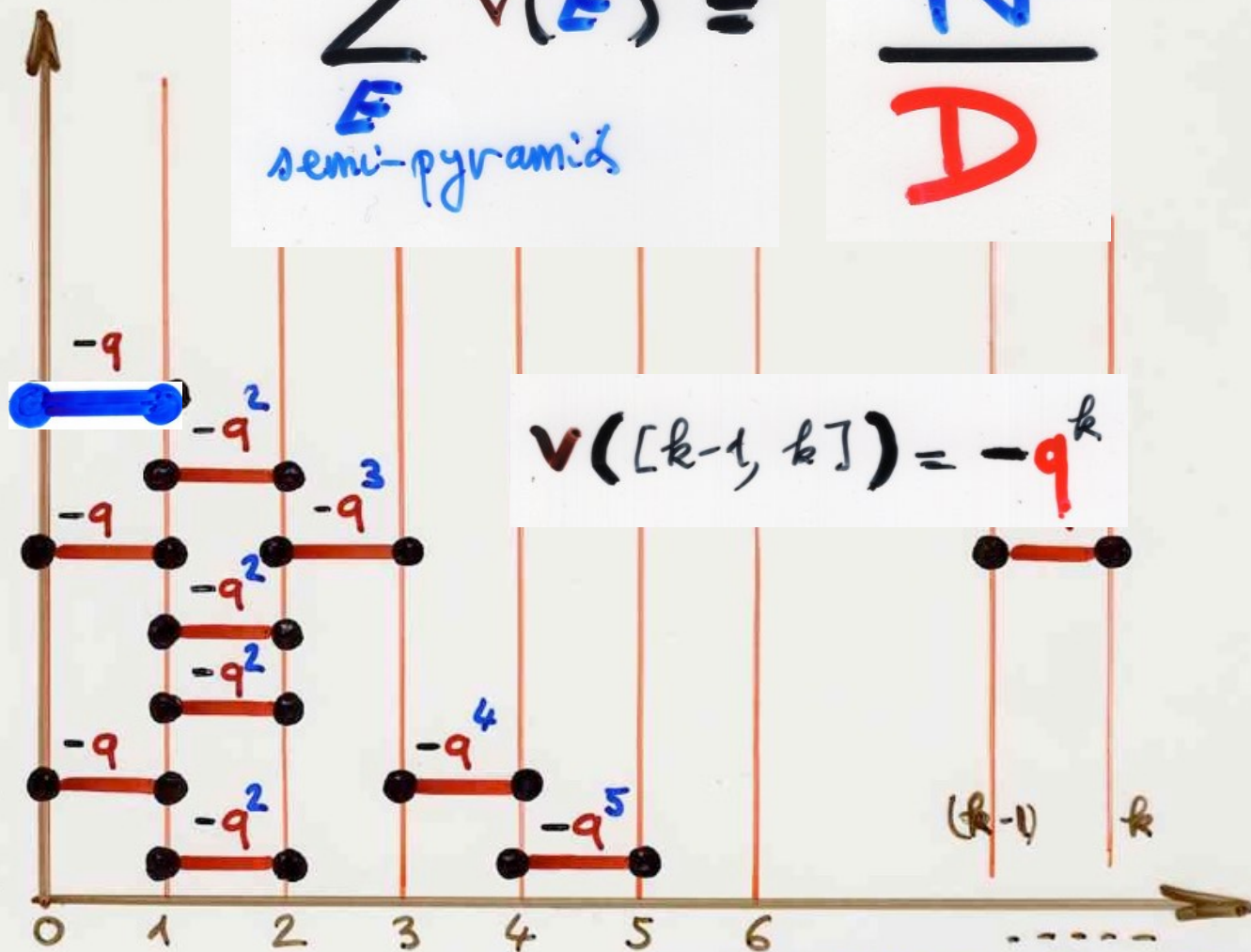
$$v(\mathcal{P}) = q^{\text{area}(\omega)} t^{|\omega|/2}$$

weighted heap $v(E)$

$$\sum_E v(E) =$$

semi-pyramid

$$\frac{N}{D}$$

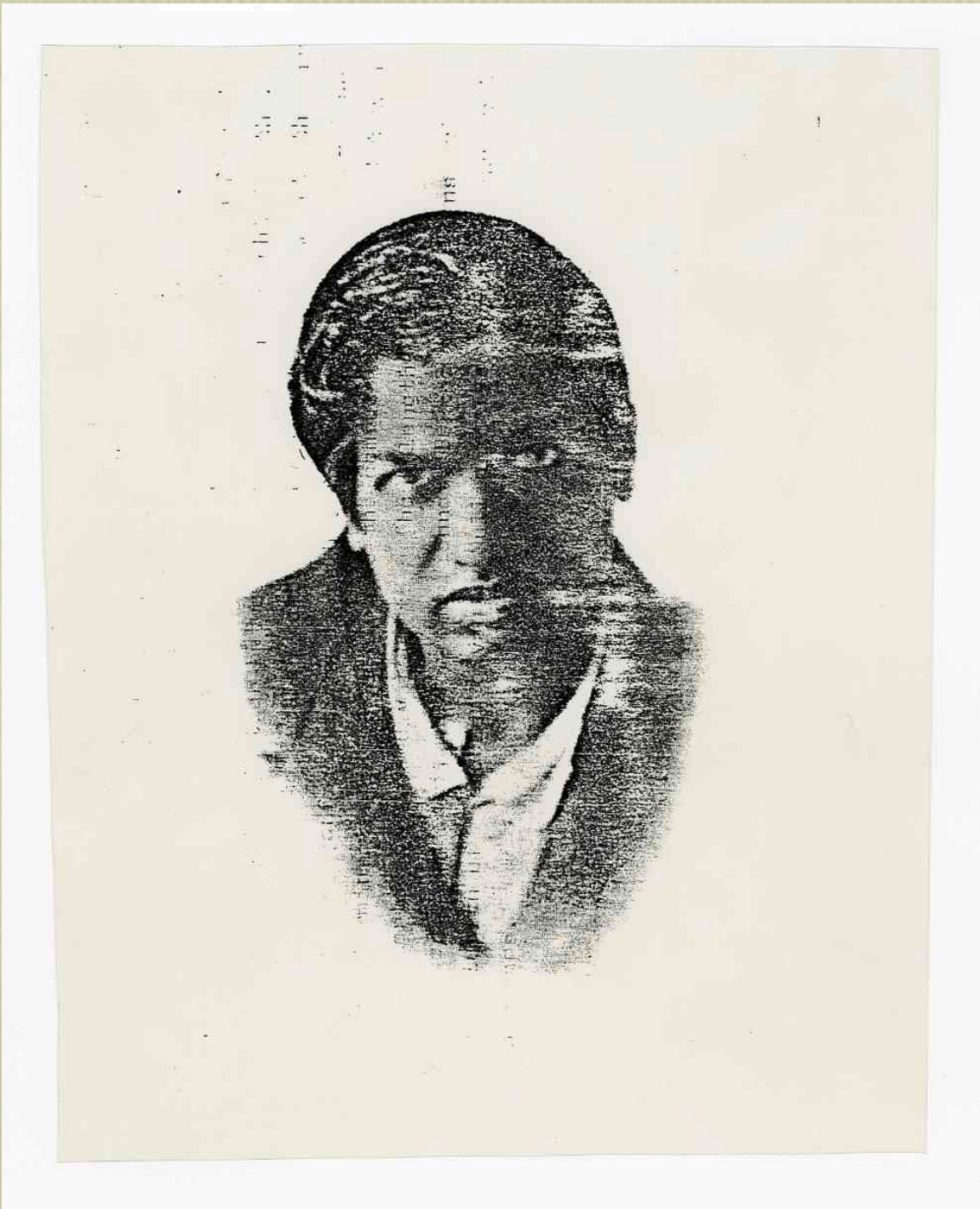


$$v([k-1, k]) = -q^k$$

total weight

$$(-1)^{10} 9^{1+1+1+2+2+2+2+3+4+5} = 9^{23}$$

Rogers-Ramanujan identities



Rogers - Ramanujan identities

$$R_I \quad \sum_{n \geq 0} \frac{q^{n^2}}{(1-q)(1-q^2)\dots(1-q^n)} = \prod_{\substack{i \equiv 1, 4 \\ \text{mod } 5}} \frac{1}{(1-q^i)}$$

$$R_{II} \quad \sum_{n \geq 0} \frac{q^{n^2+n}}{(1-q)(1-q^2)\dots(1-q^n)} = \prod_{\substack{i \equiv 2, 3 \\ \text{mod } 5}} \frac{1}{(1-q^i)}$$

D-partition

$$\lambda = (\lambda_1, \dots, \lambda_k)$$

$$\lambda_i - \lambda_{i+1} \geq 2$$

$$(1 \leq i < k)$$

generating function
for D-partitions

$$\sum_{m \geq 0}$$

$$\frac{q^{\binom{m}{2}}}{(1-q)(1-q^2)\dots(1-q^m)}$$

Partition

ayant
au plus
 n parts

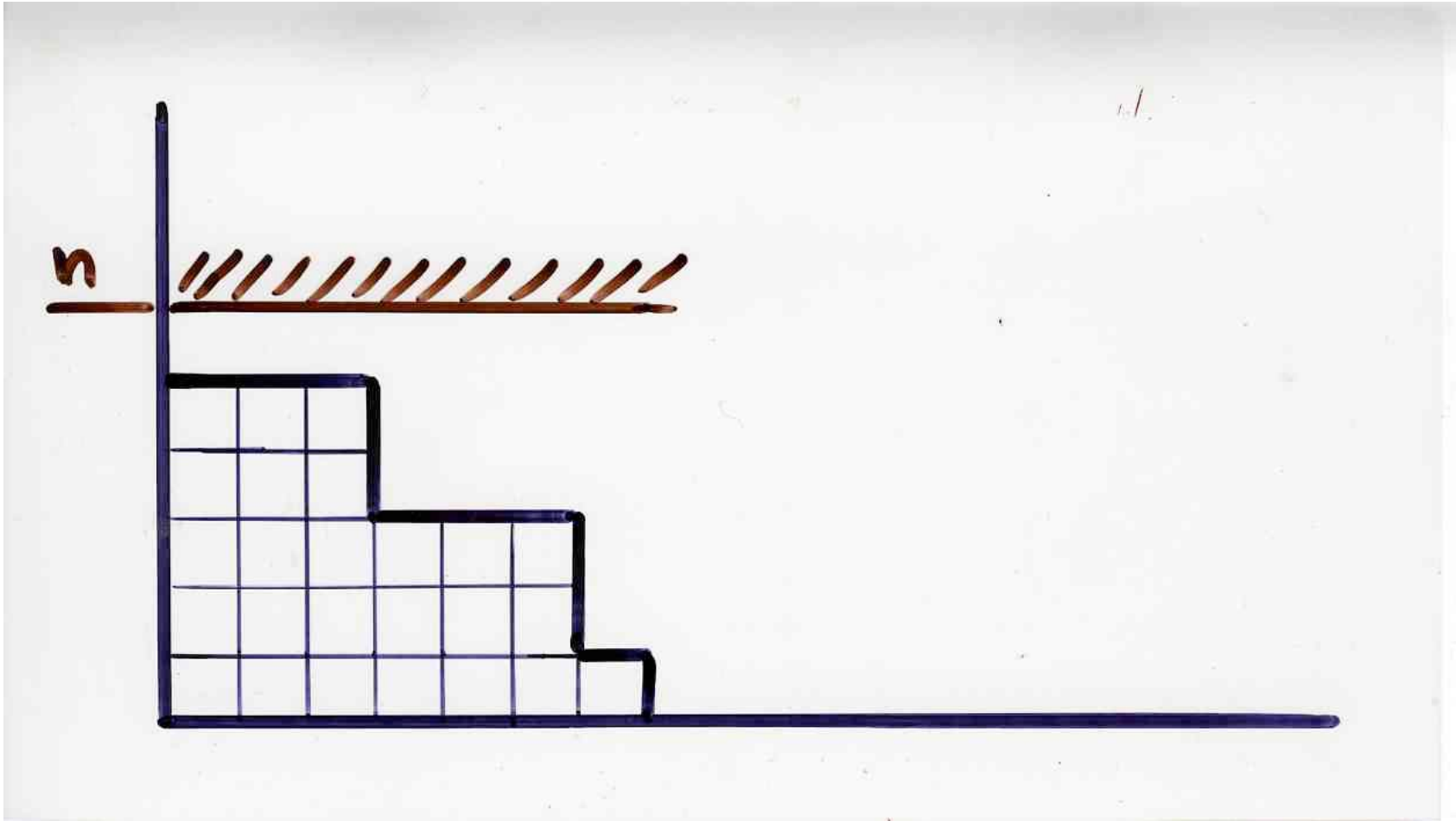
$$0 \leq (\lambda_1 \leq \lambda_2 \leq \dots \leq \lambda_n)$$

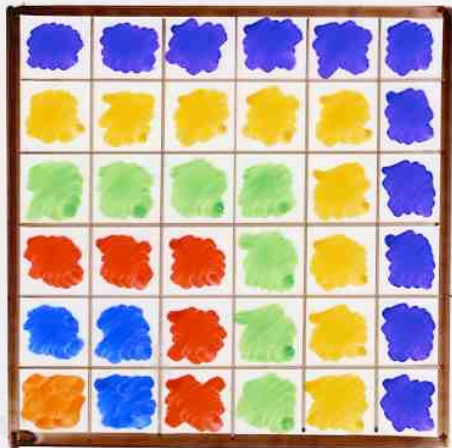


D-partition

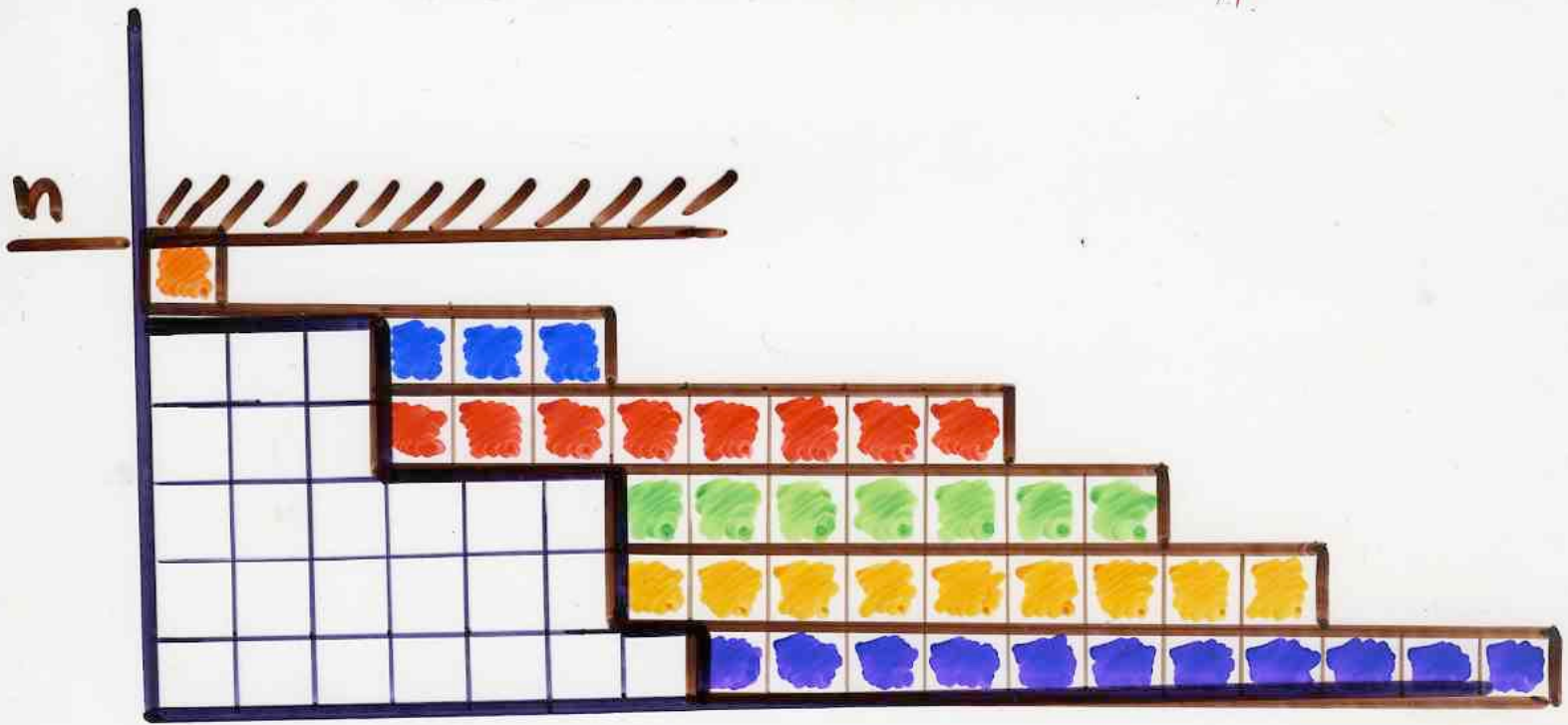
ayant
exactement
 n parts

$$(1 + \lambda_1, 3 + \lambda_2, \dots, (2n-1) + \lambda_n)$$





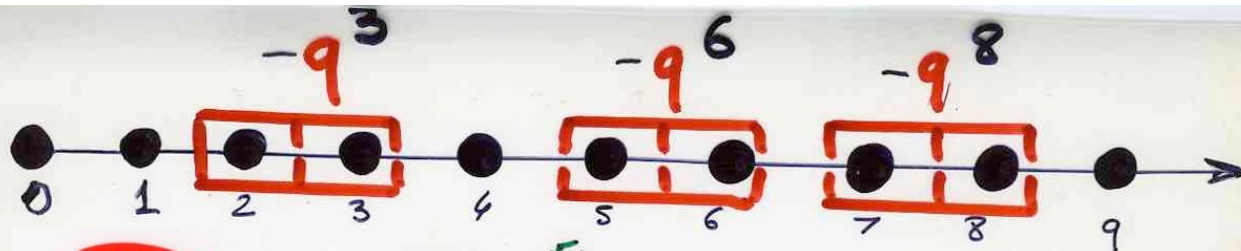
$$n^2 = 1 + 3 + \dots + (2n - 1)$$



Rogers-Ramanujan

1st identity

$$D = \sum_{n \geq 0} \frac{q^{n^2}}{(1-q)(1-q^2)\dots(1-q^n)}$$



$$D = \sum_{E \text{ trivial heaps of dimers on } \mathbb{N}} (-1)^{|E|} v(E)$$

trivial heaps
of
dimers on \mathbb{N}

$$v([k-1, k]) = -q^k$$

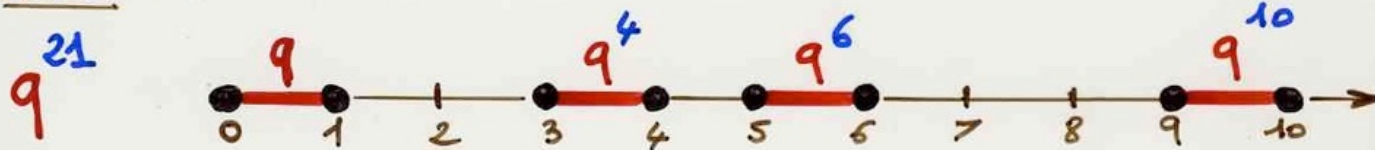
Rogers-Ramanujan

1st identity

D

$$= \sum_{n \geq 0} \frac{q^{n^2}}{(1-q)(1-q^2)\dots(1-q^n)}$$

poids total



D-partition $\lambda = (10, 6, 4, 1)$
 $21 = 10 + 6 + 4 + 1$



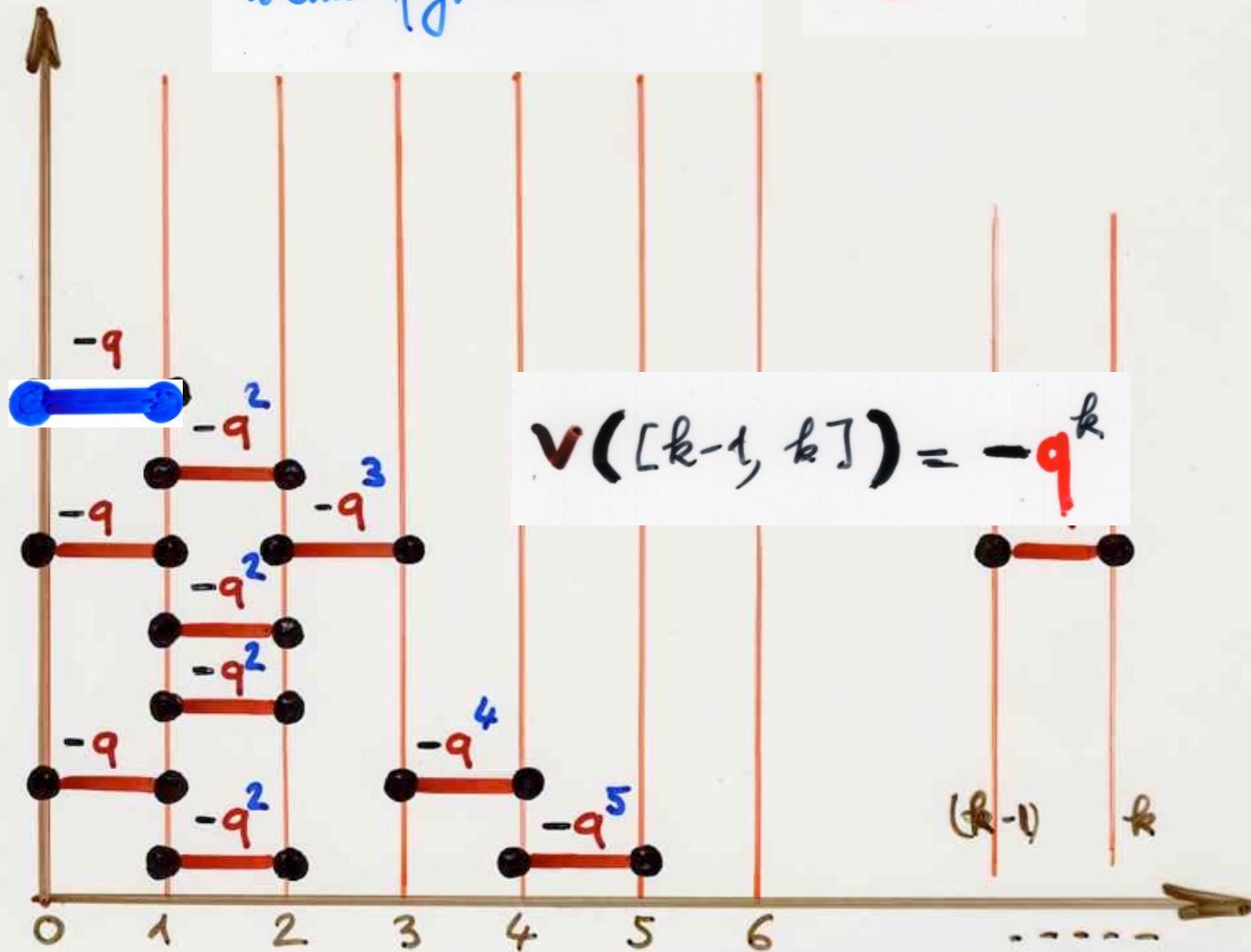
$\lambda = (8, 6, 3)$
 $17 = 8 + 6 + 3$

N

$$= \sum_{n \geq 0} \frac{q^{n^2+n}}{(1-q)(1-q^2)\dots(1-q^n)} = \delta D$$

$$\sum_{E \text{ semi-pyramid}} v(E) =$$

$$\frac{N}{D}$$



$$v([k-1, k]) = -9^k$$

total weight

$$(-1)^{10} 9^{1+1+1+2+2+2+2+3+4+5} = 9^{23}$$

Rogers - Ramanujan identities

$$R_I \quad \sum_{n \geq 0} \frac{q^{n^2}}{(1-q)(1-q^2)\dots(1-q^n)} = \prod_{\substack{i \equiv 1, 4 \\ \text{mod } 5}} \frac{1}{(1-q^i)}$$

partitions

parts $\equiv 1, 4$

$$\left\{ \begin{array}{l} q \\ 4+4+1 \\ 6+1+1+1 \\ 4+1+1+1+1 \end{array} \right. \quad \left. \begin{array}{l} \text{mod } 5 \\ \\ \{1+\dots+1\} \end{array} \right.$$

Rogers - Ramanujan identities

$$R_I \quad \sum_{n \geq 0} \frac{q^{n^2}}{(1-q)(1-q^2)\dots(1-q^n)} = \prod_{\substack{i \equiv 1, 4 \\ \text{mod } 5}} \frac{1}{(1-q^i)}$$

D_9 partitions

- 8+1
- 7+2
- 6+3
- 5+3+1



partitions

- parts $\equiv 1, 4 \pmod{5}$
- 9
 - 4+4+1
 - 6+1+1+1
 - 4+1+1+1+1+1
- $\left. \begin{array}{l} 6+1+1+1 \\ 4+1+1+1+1+1 \end{array} \right\} 1+\dots+1$

$$R_{II} \sum_{n \geq 0} \frac{q^{n^2+n}}{(1-q)(1-q^2)\dots(1-q^n)} = \prod_{\substack{i \equiv 2, 3 \\ \text{mod } 5}} \frac{1}{(1-q^i)}$$

D-partitions

parts $\neq 1$

- 7 + 2
- 6 + 3
- 9



Partitions

parts $\equiv 2, 3$
mod 5

- 2 + 2 + 2 + 3
- 3 + 3 + 3
- 7 + 2

Ramanujan continued fraction

$$\sum_{\substack{E \\ \text{semi-pyramid}}} v(E) =$$

$$\frac{1}{1+q} + \frac{1}{1+q^2} + \dots + \frac{1}{1+q^k} + \dots$$

Semi-pyramid

= sequence of "primitive" semi-pyramids

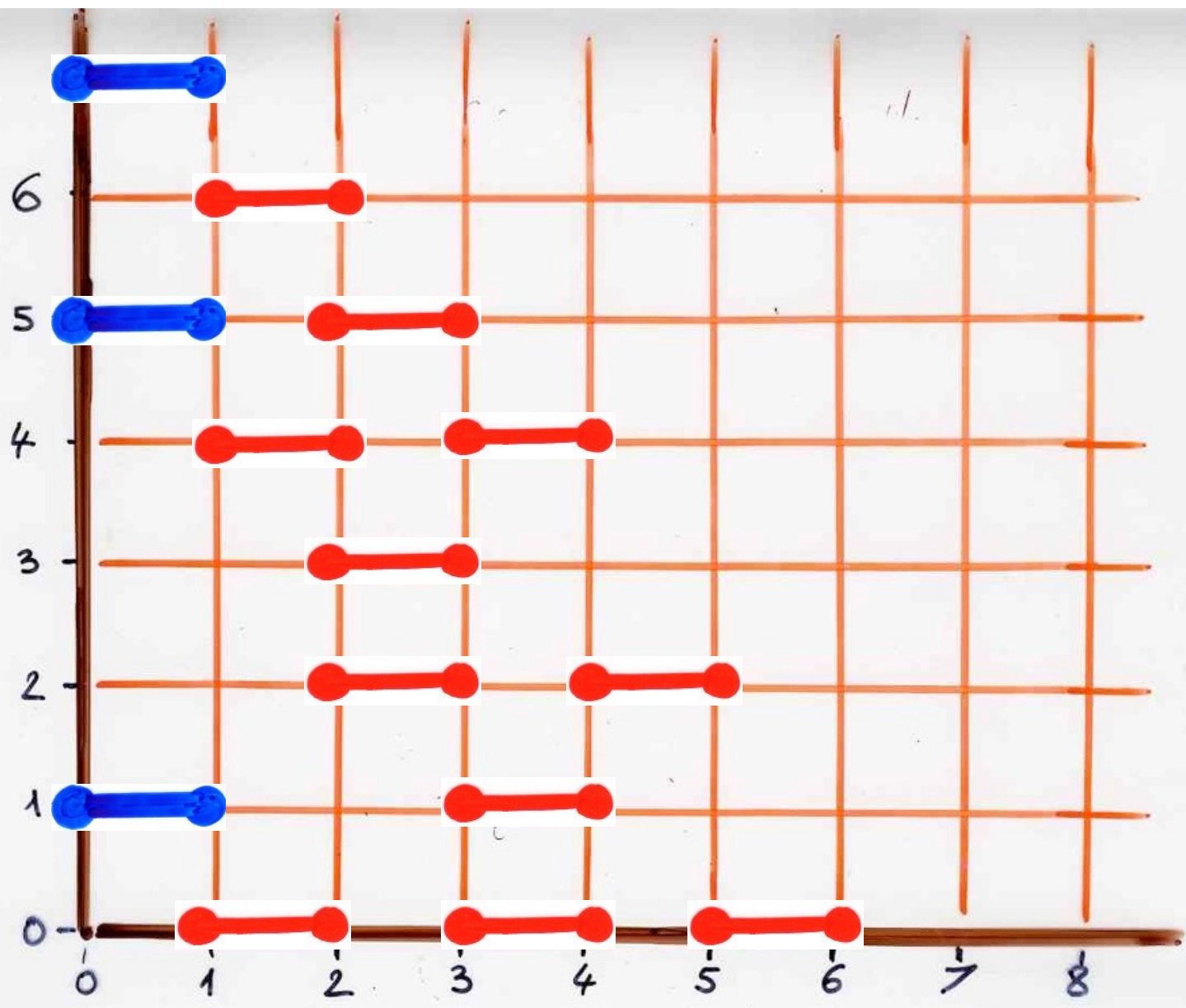
"Primitive"
semi-pyramid

=

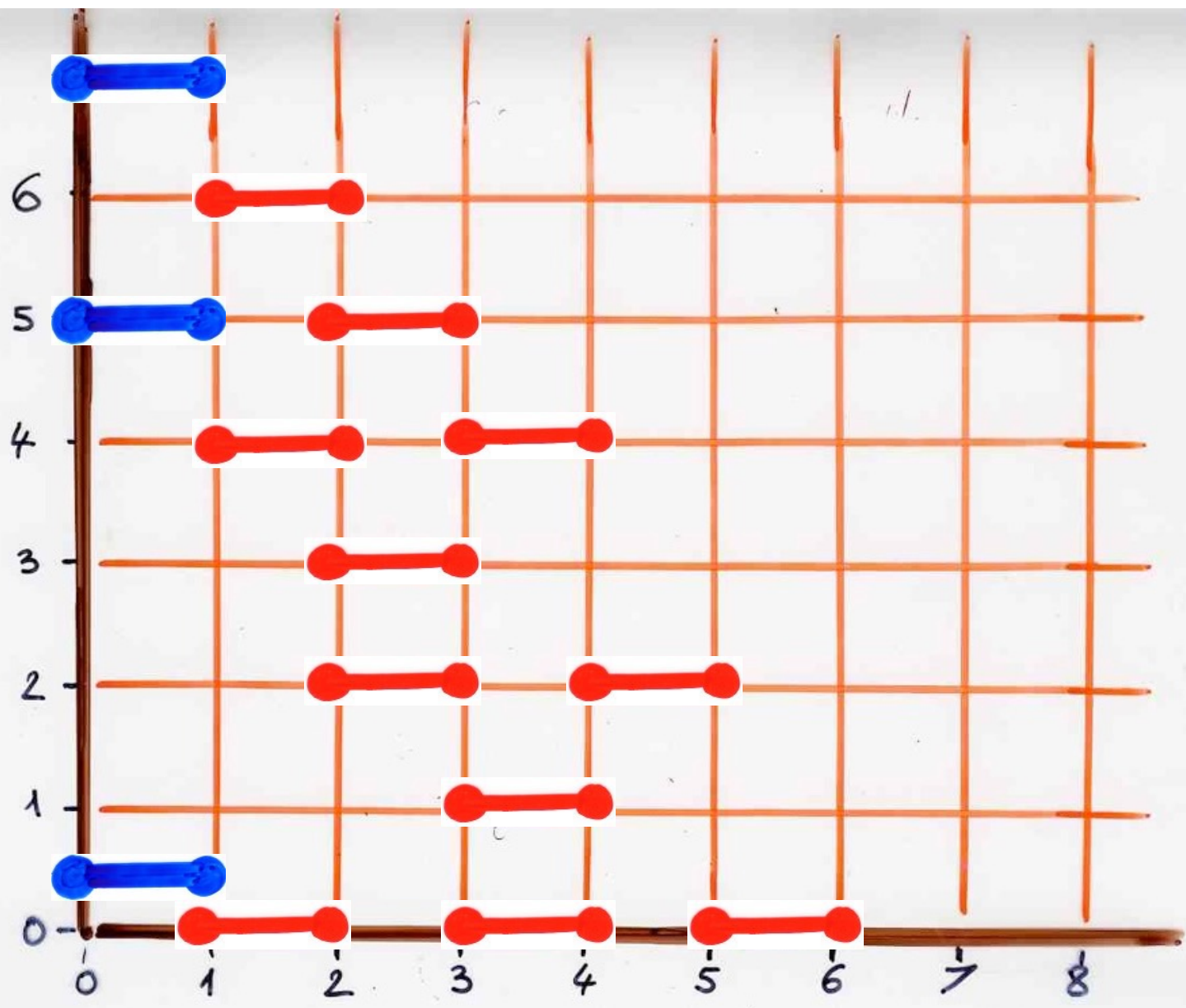


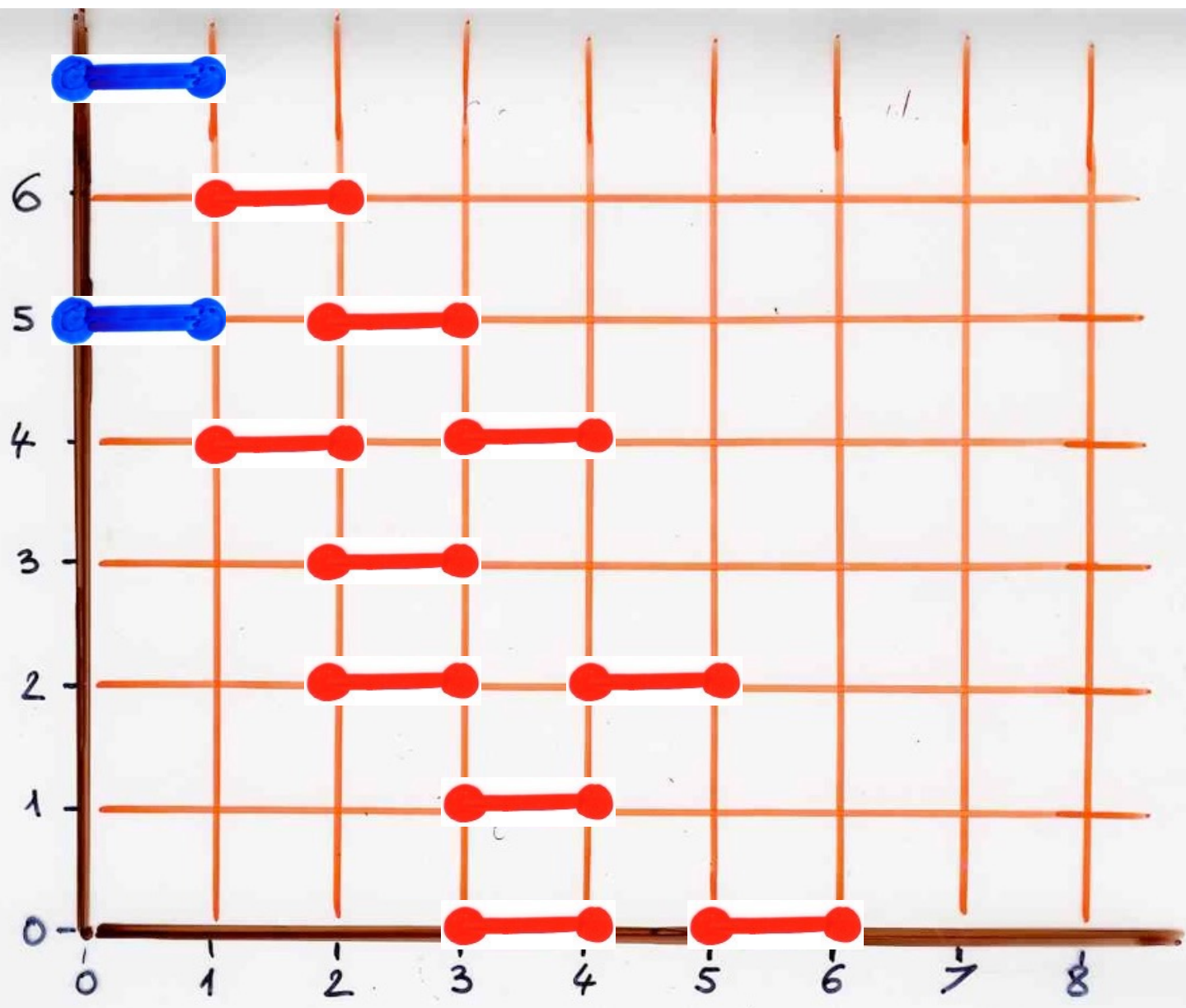
"shifted"
semi-pyramid

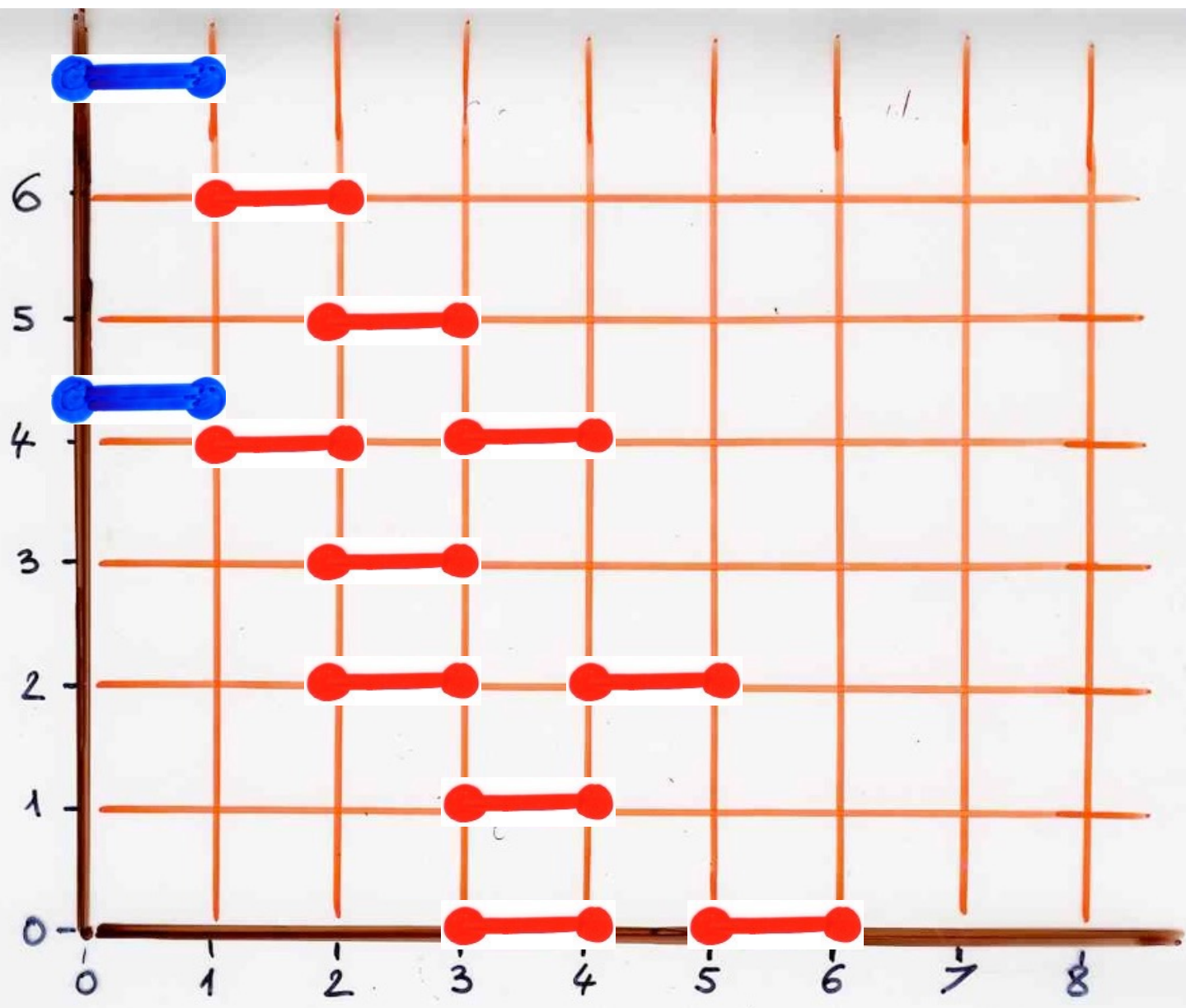
weight: $q^i \rightarrow q^{i+1}$

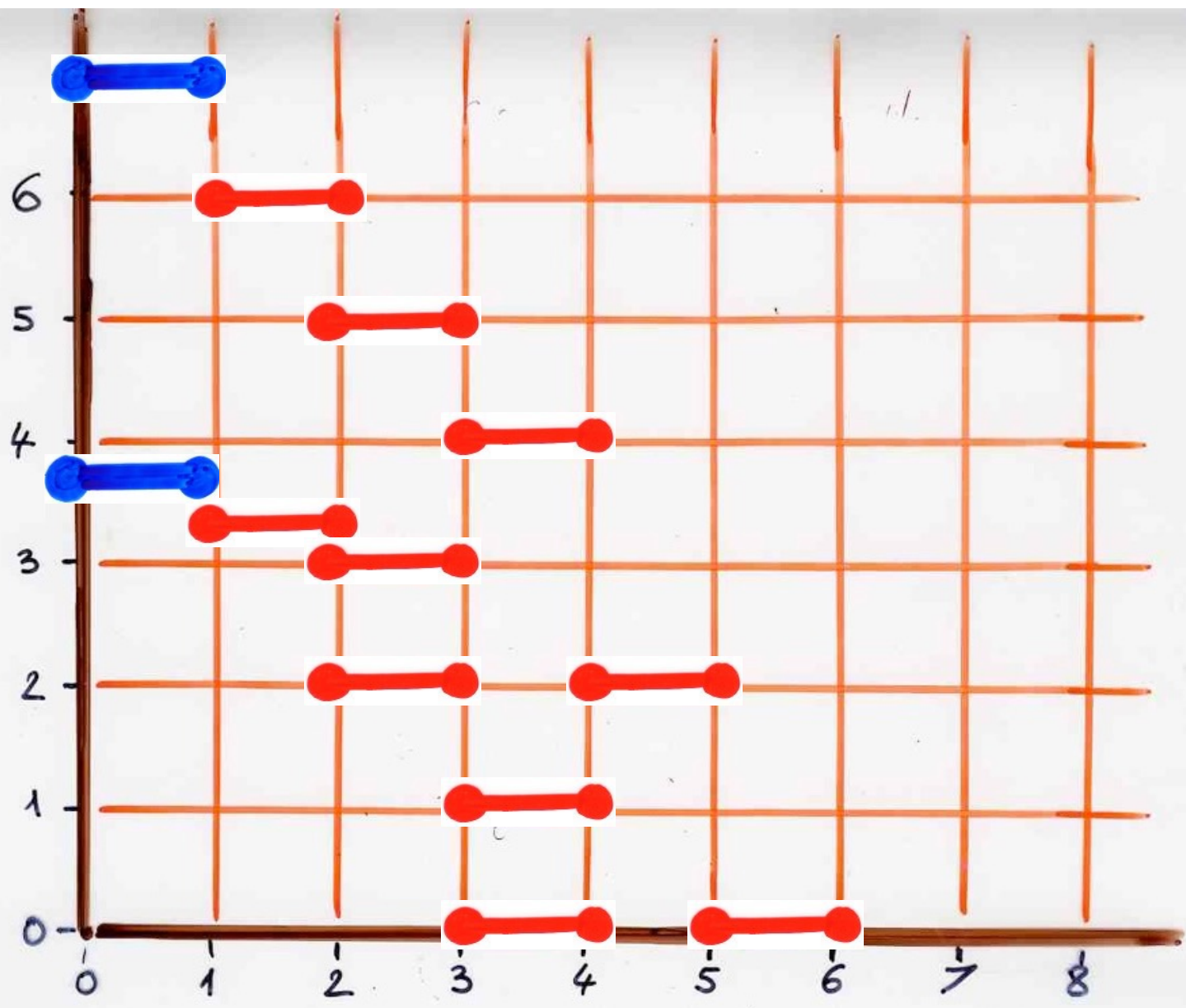


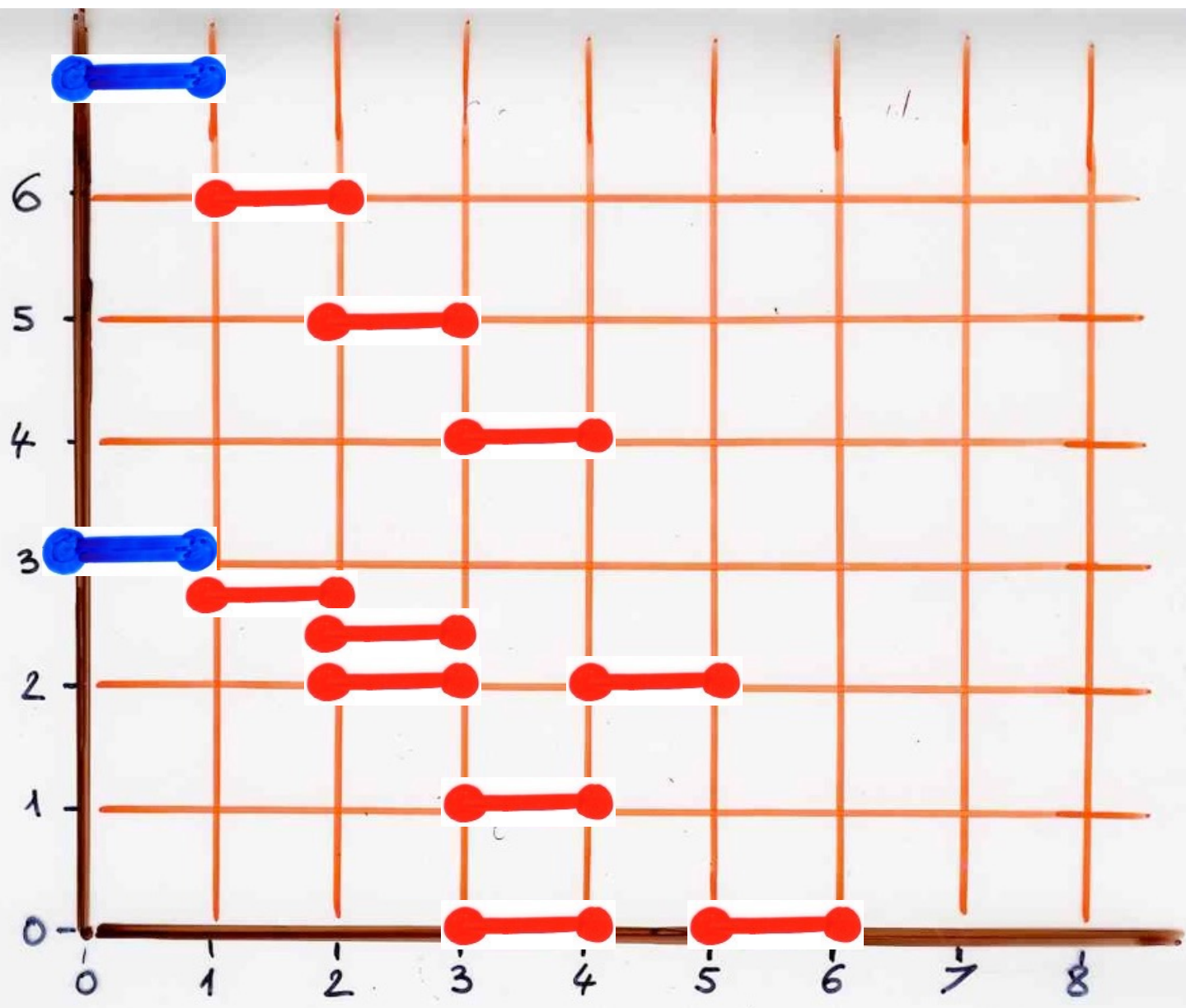
11.

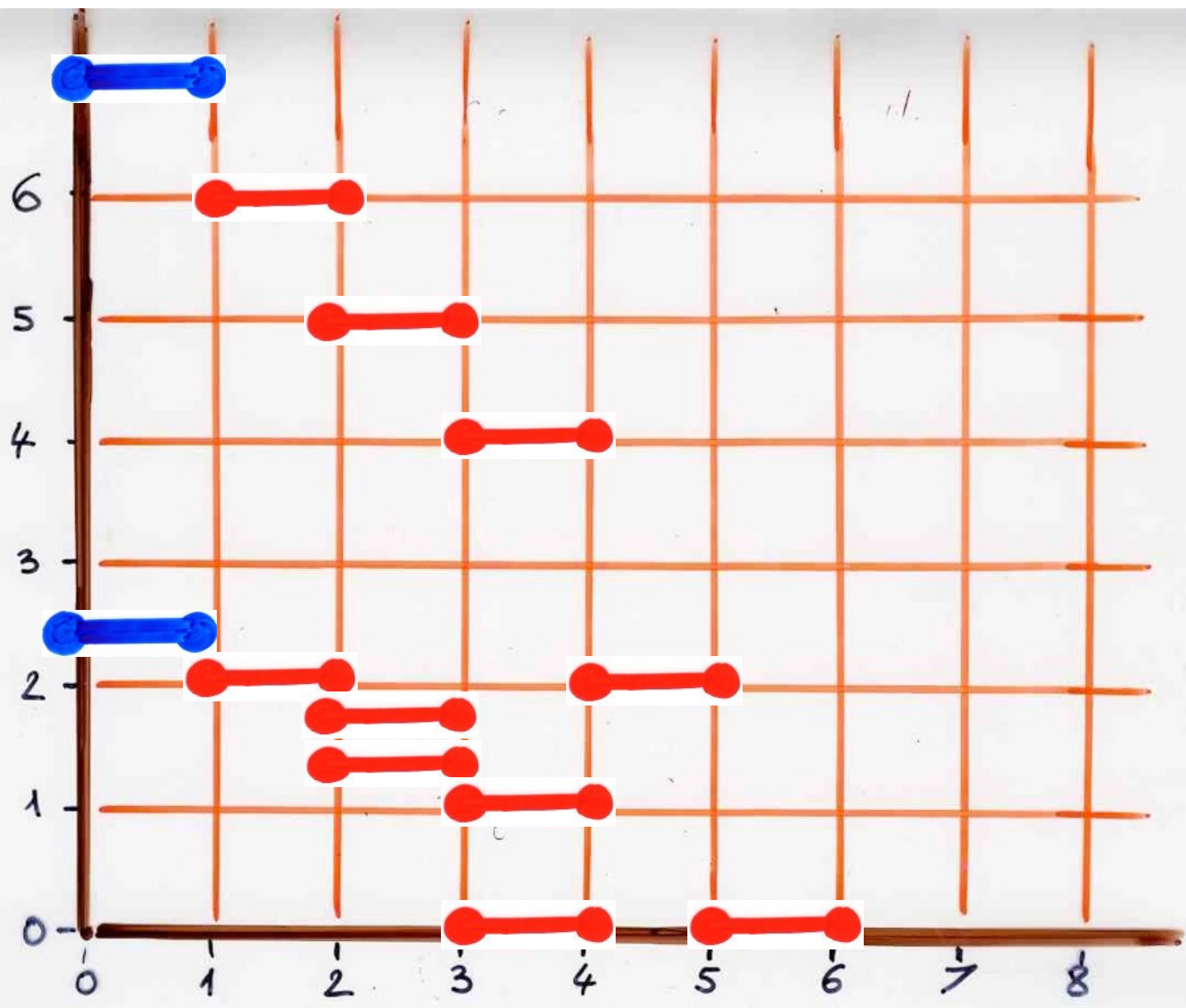


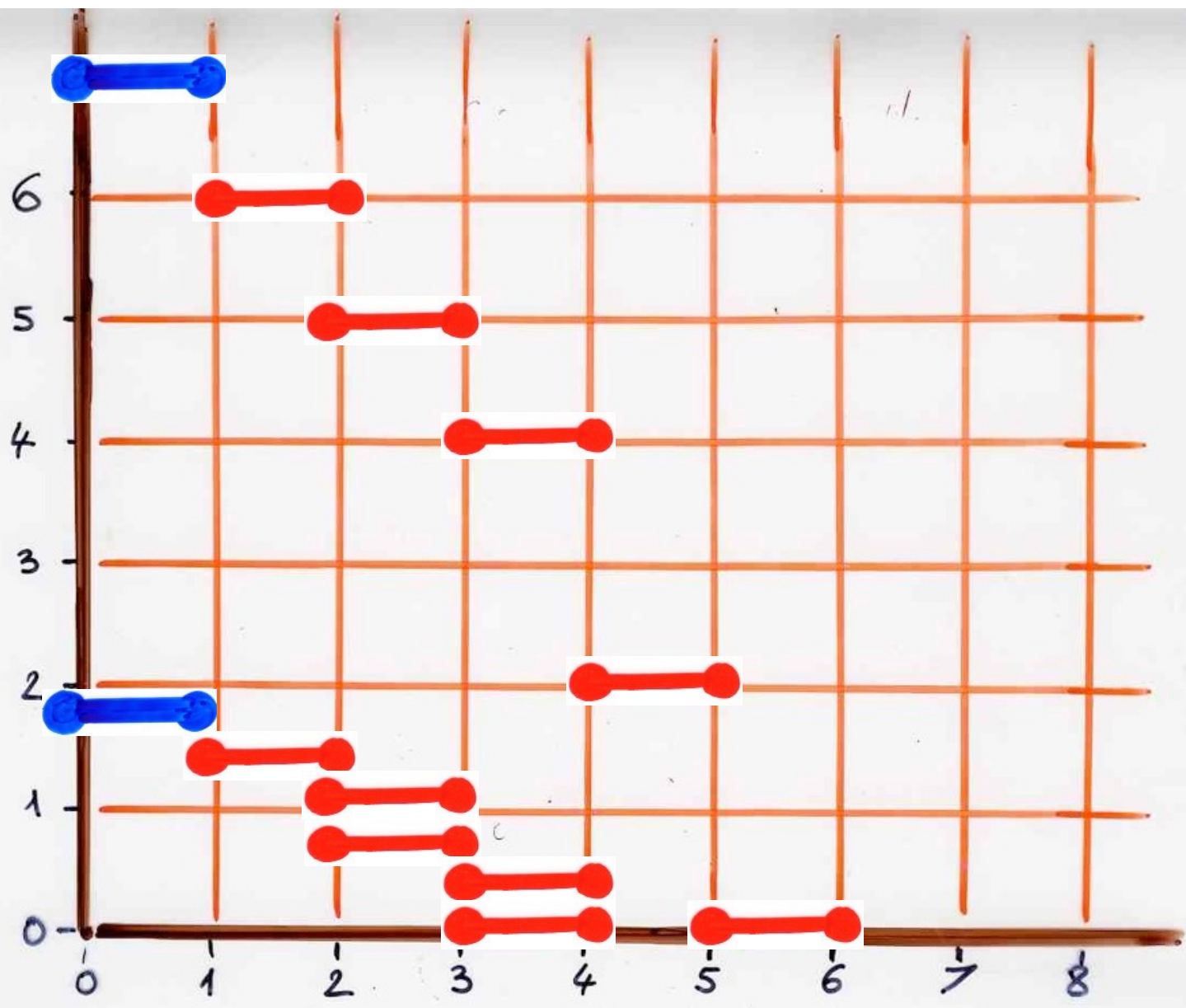


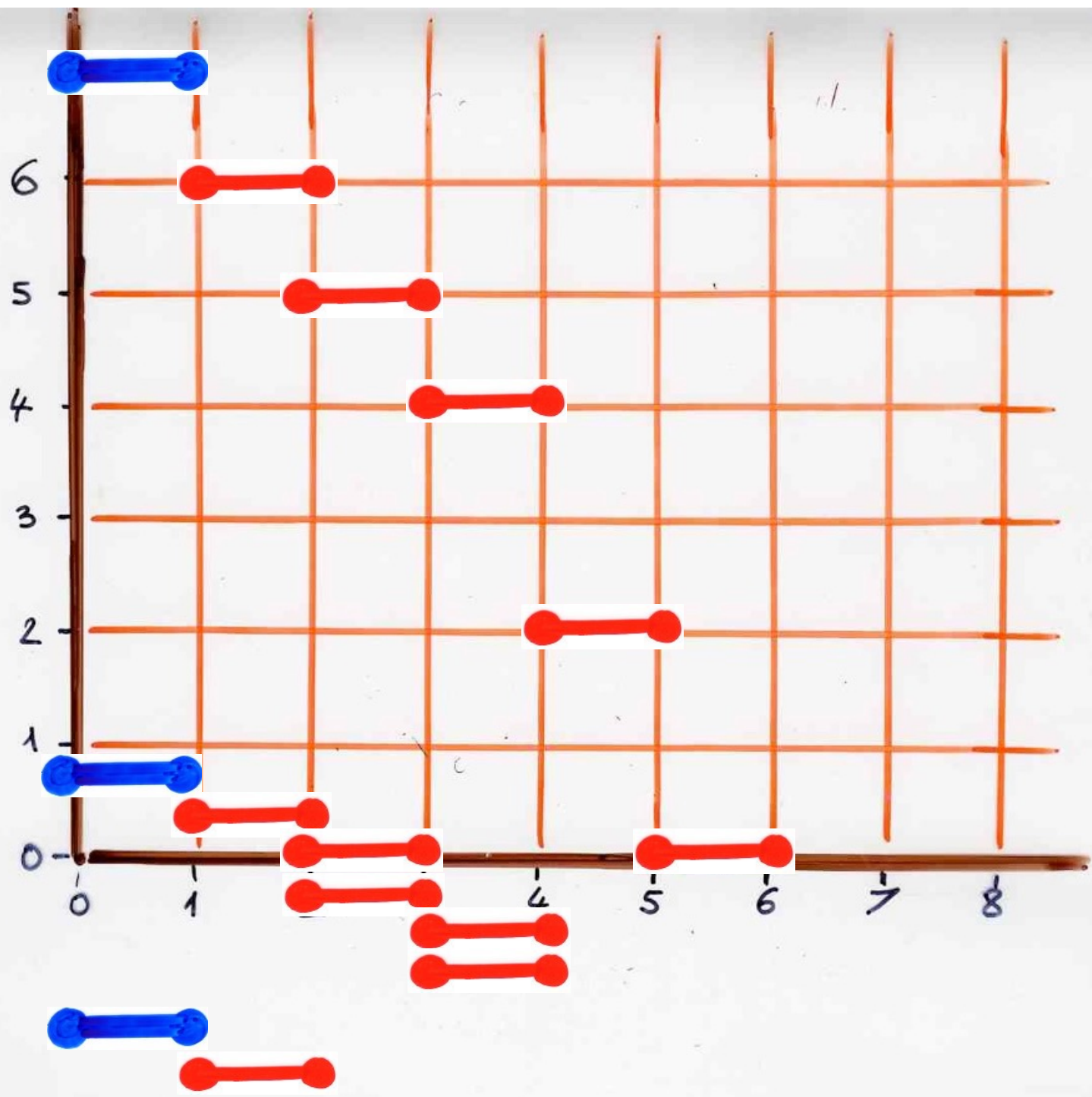


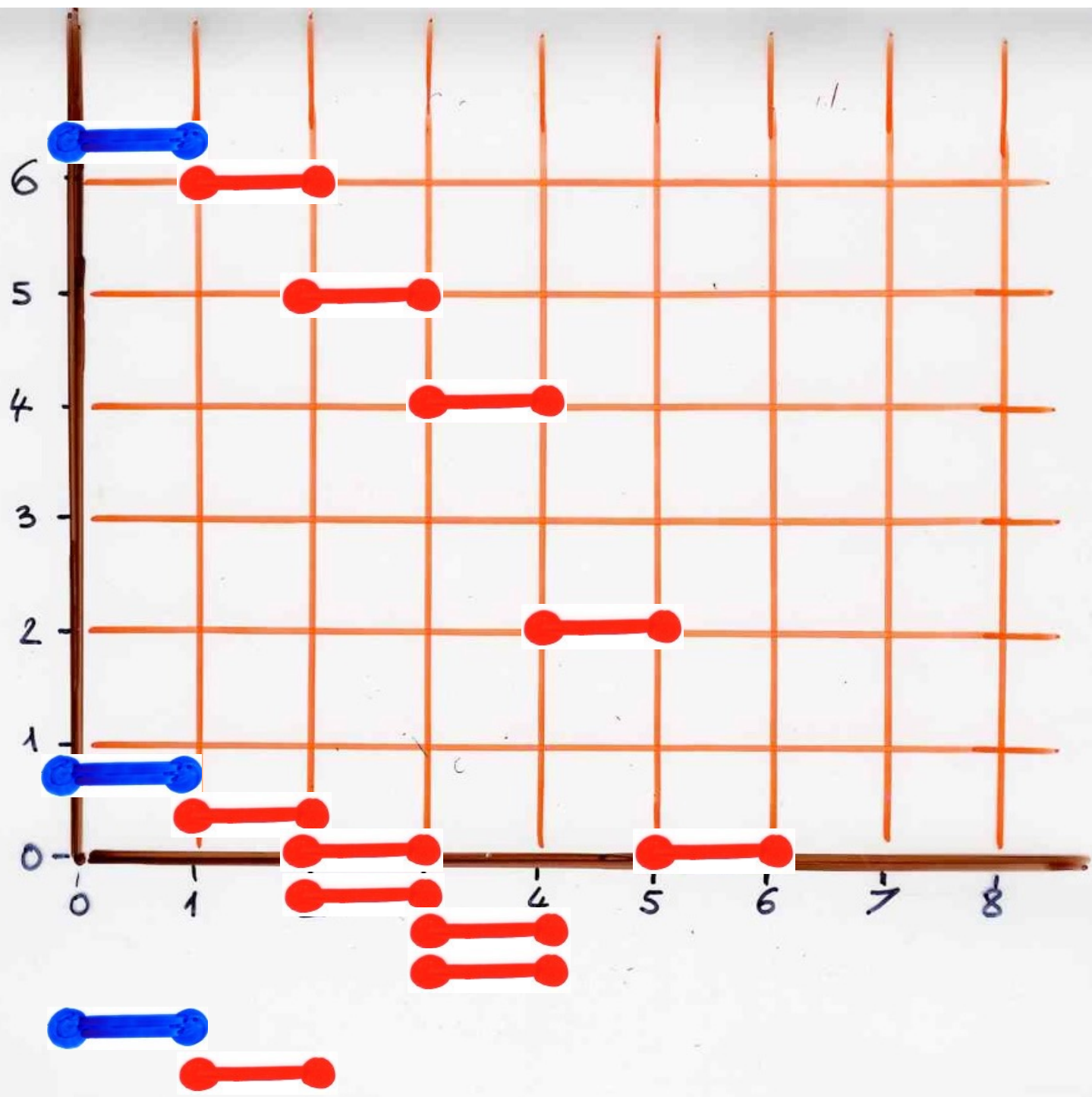


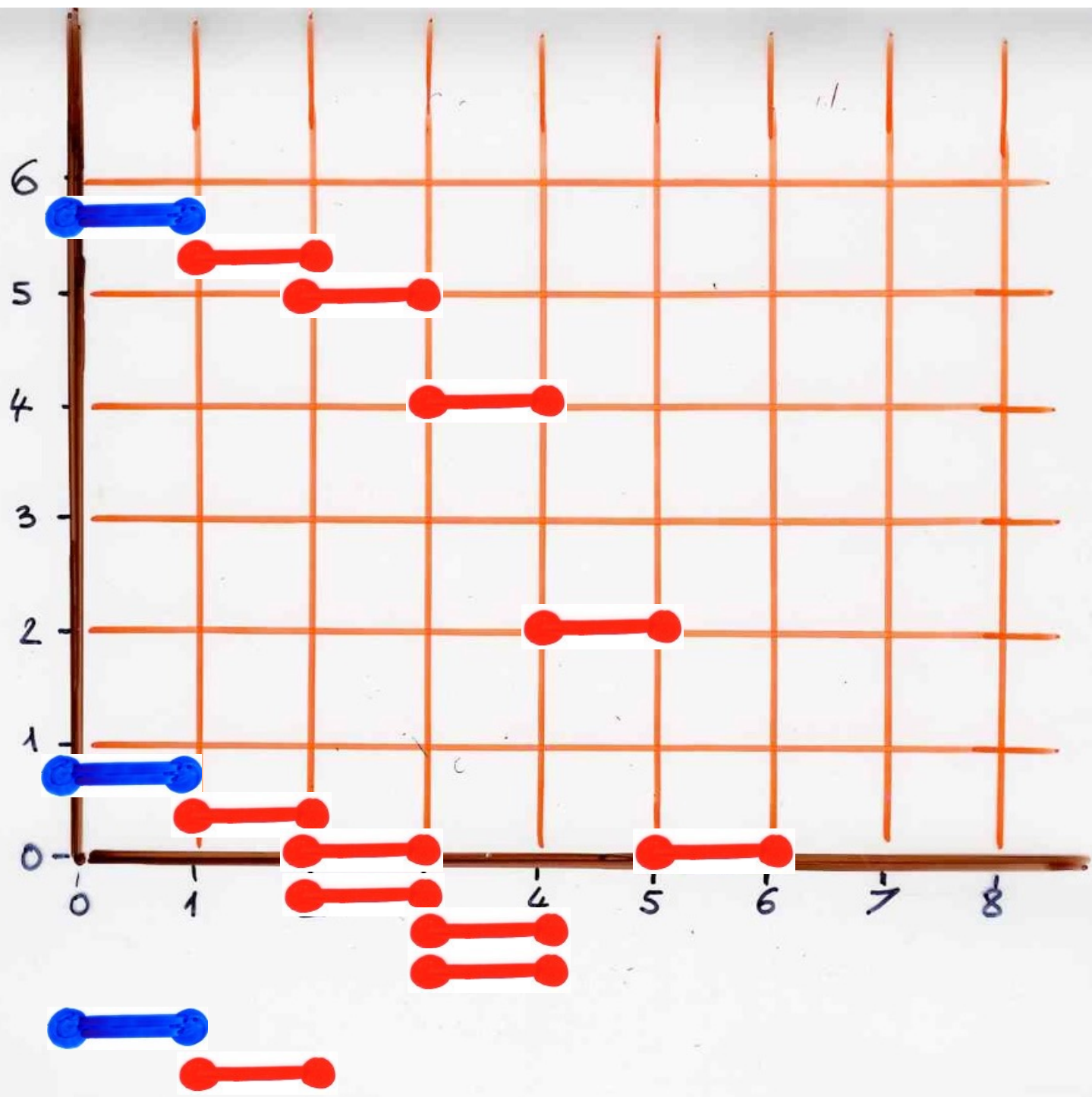


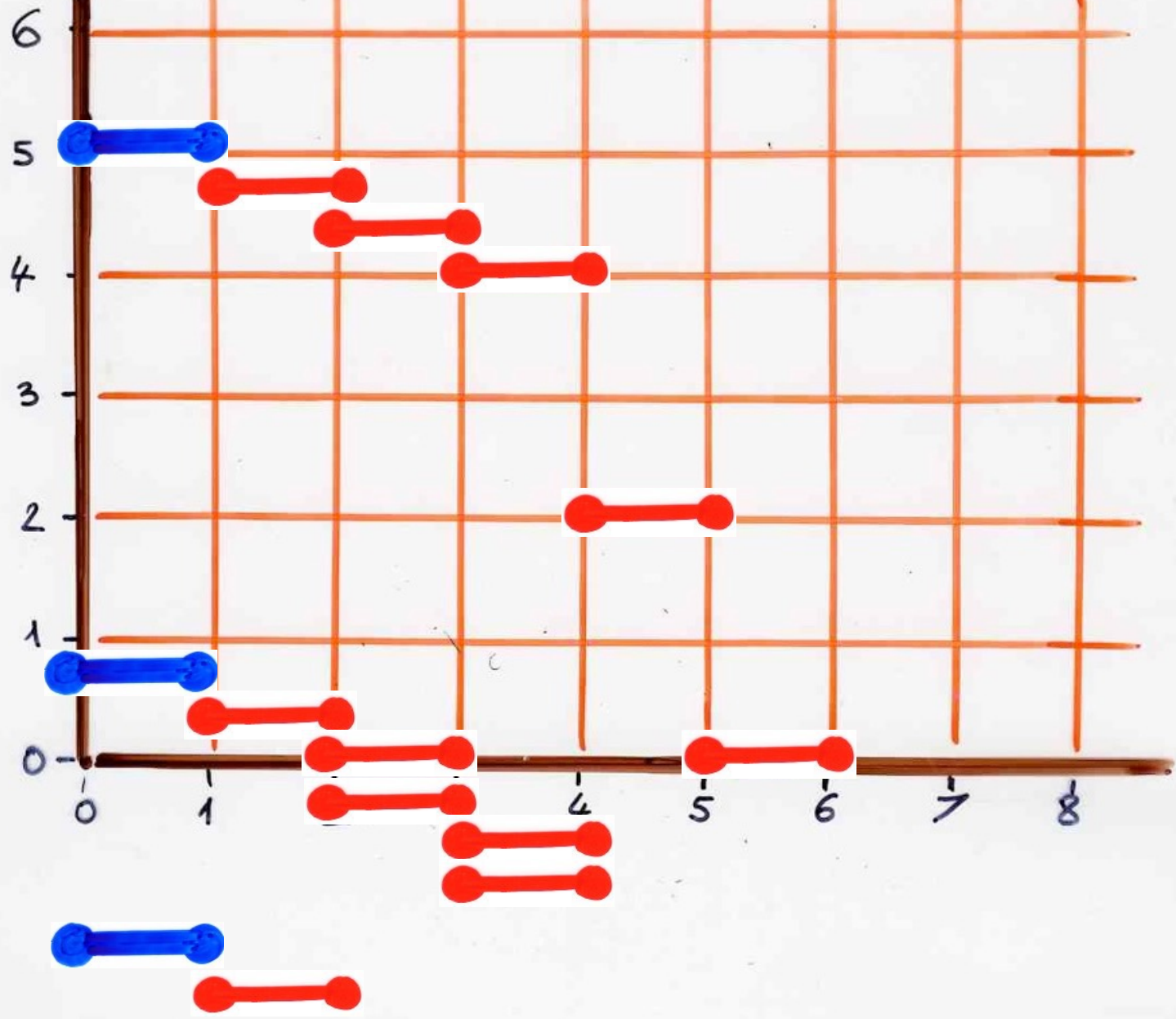


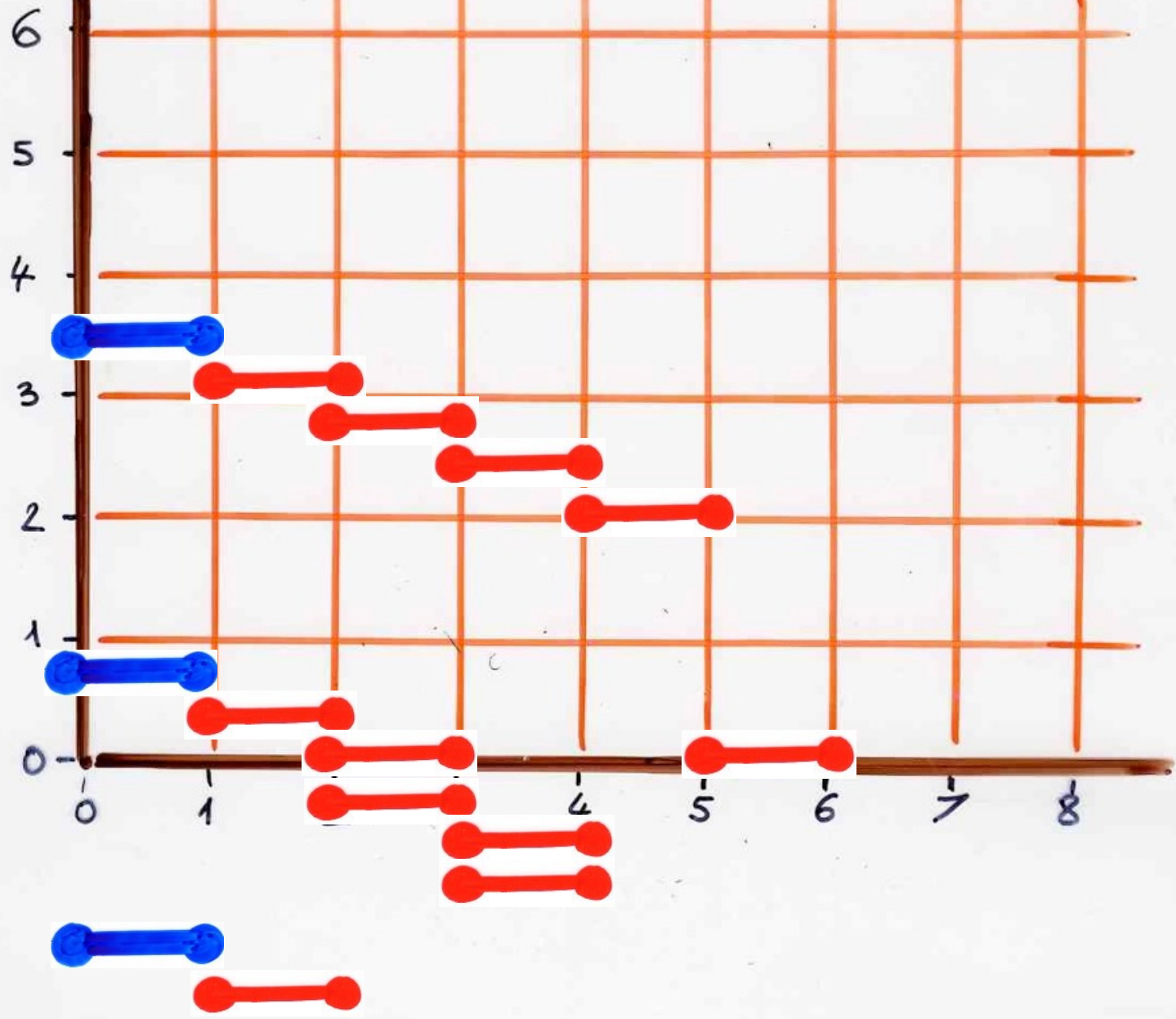


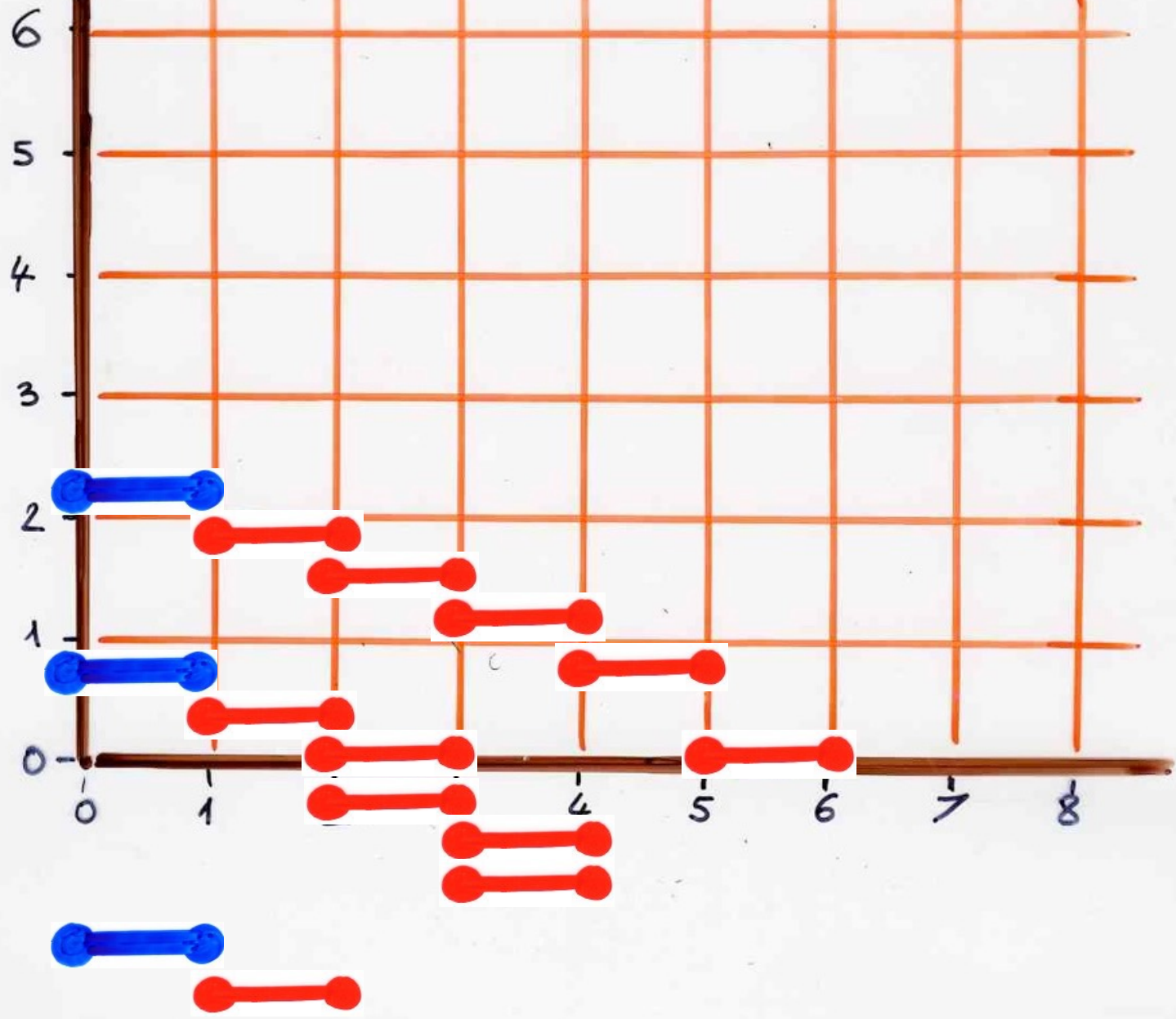






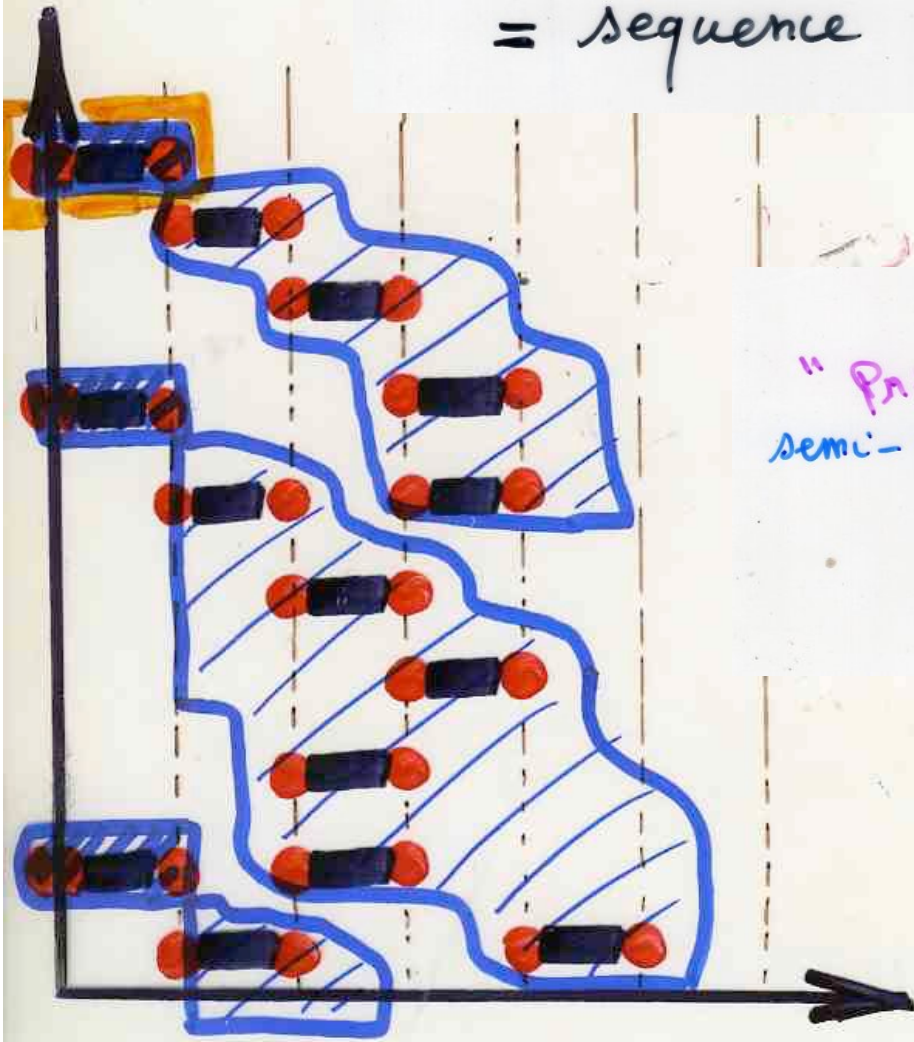




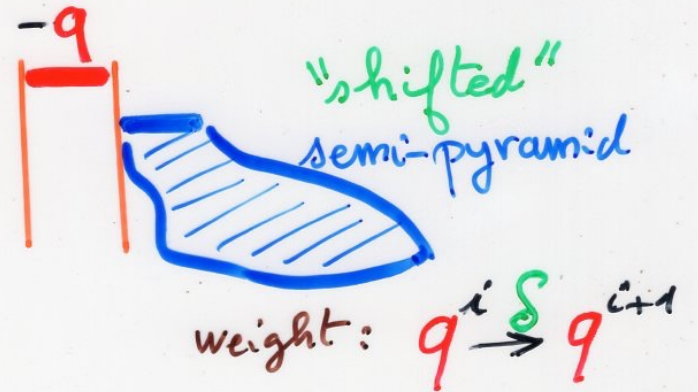


Semi-pyramid

= sequence of "primitive" semi-pyramids



"Primitive"
semi-pyramid =

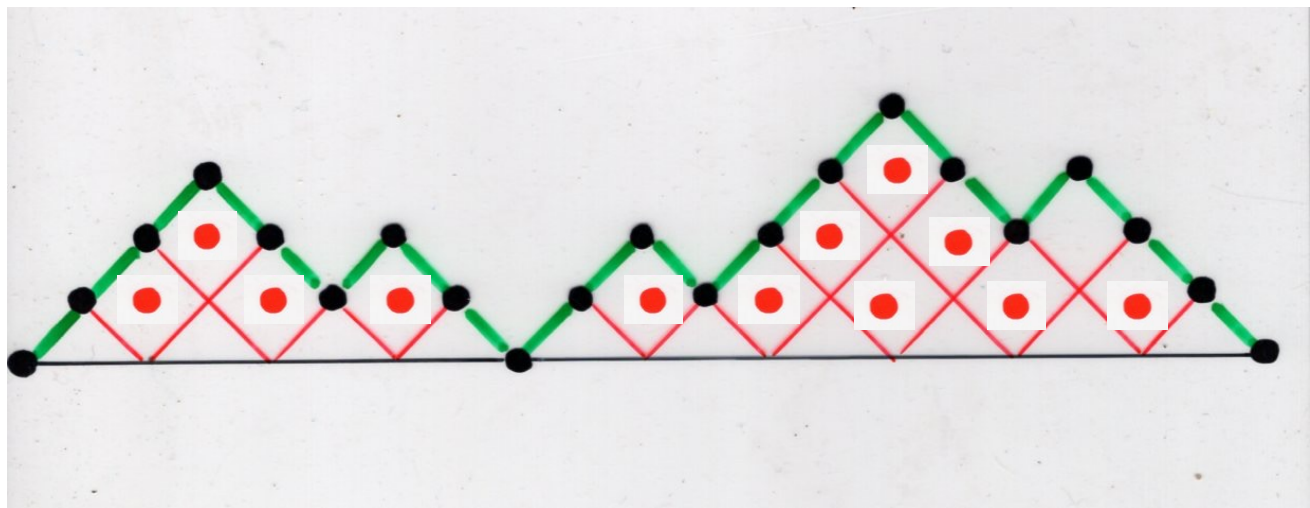


$$\sum_{\substack{E \\ \text{semi-pyramid}}} v(E) = \frac{1}{1 - (-9) \sum_{\substack{E \\ \text{semi-pyramid}}} \delta v(E)}$$

$$= \frac{1}{1 + 9 \frac{1}{1 + 9^2 \sum_{\substack{E \\ \text{semi-pyramid}}} \delta^2 v(E)}}$$

$$\sum_{\substack{E \\ \text{semi-pyramid}}} v(E) =$$

$$\frac{1}{1+q} + \frac{1}{1+q^2} + \dots + \frac{1}{1+q^k} + \dots$$



$$\sum_{\omega} q^{\text{area}(\omega)} t^{|\omega|/2}$$

Dyck paths

$$= \frac{1}{1-t} \frac{1}{1-tq} \frac{1}{1-tq^2} \dots \frac{1}{1-tq^k} \dots$$

$$\sum_{\substack{E \\ \text{semi-pyramid}}} v(E) =$$

$$\frac{N}{D}$$

$$1 + \frac{1}{1 + \frac{q}{1 + \frac{q^2}{1 + \frac{q^3}{\dots}}}} =$$

$$\frac{\sum_{n \geq 0} \frac{q^{n^2+n}}{(1-q)(1-q^2)\dots(1-q^n)}}{\sum_{n \geq 0} \frac{q^{n^2}}{(1-q)(1-q^2)\dots(1-q^n)}}$$

Hard
Hexagons
gas model

$$R(q) = \prod_{n \geq 0} \frac{(1-q^{5n+1})(1-q^{5n+4})}{(1-q^{5n+3})(1-q^{5n+2})} = \frac{R_{II}}{R_I}$$

Baxter
(1980)

$$t = -q [R(q)]^5$$

$$Y(q) = \prod_{n \geq 0} \frac{(1-q^{6n+2})(1-q^{6n+3})^2(1-q^{6n+4})(1-q^{5n+1})^2(1-q^{5n+4})^2(1-q^{5n})^2}{(1-q^{6n+1})(1-q^{6n+5})(1-q^{6n})^2(1-q^{5n+2})^3(1-q^{5n+3})^3}$$

$Z(t)$

partition
function

$$Z(t) = Y(q(t))$$

Andrews interpretation
of the «reciprocal» of
Ramanujan continued fraction

quasi-partitions
of n

G. Andrews (1981)

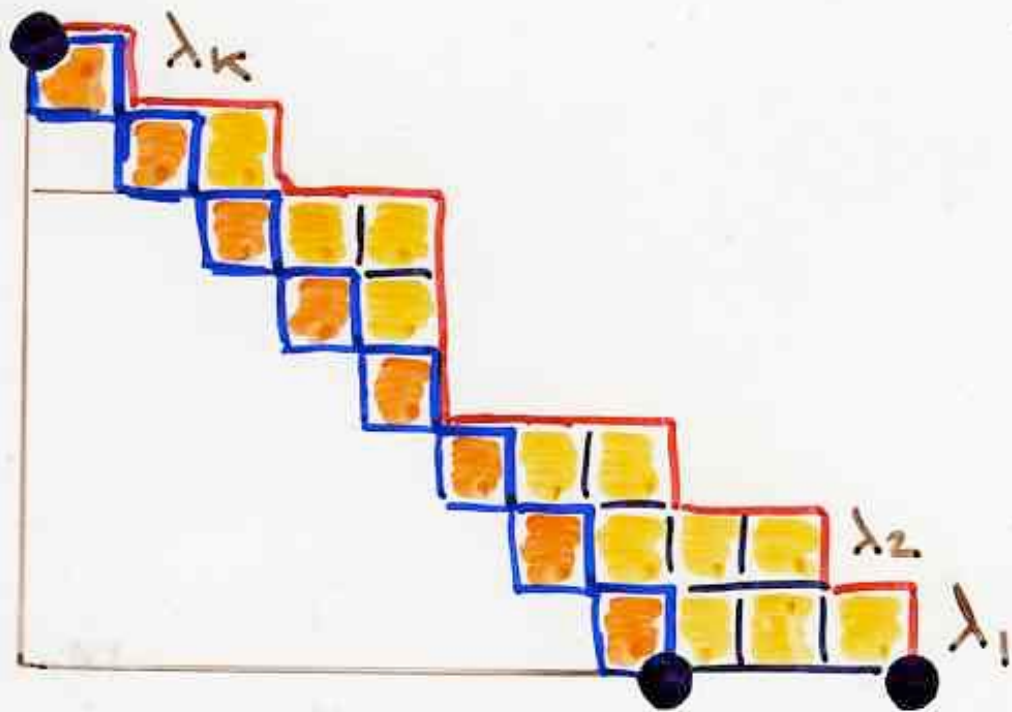
reciprocal of
Rogers-Ramanujan
identities

$$n = \lambda_1 + \lambda_2 + \dots + \lambda_k$$

$$1 + \lambda_i \geq \lambda_{i+1}$$

$$i = 1, \dots, k-1$$

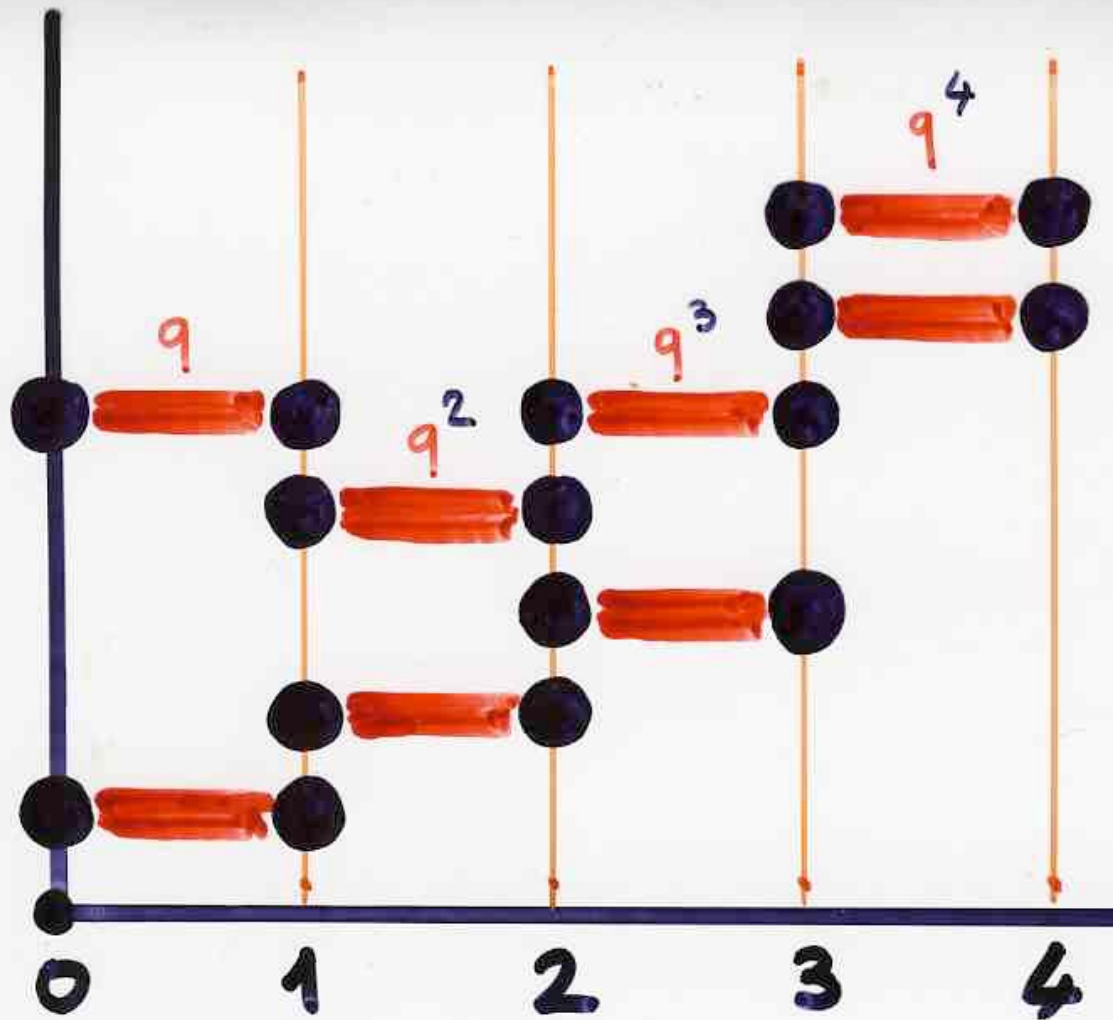
$$\lambda = (4, 4, 3, 1, 2, 3, 2, 1)$$



exercise
Ch 1b, p 71

weight-preserving
bijection

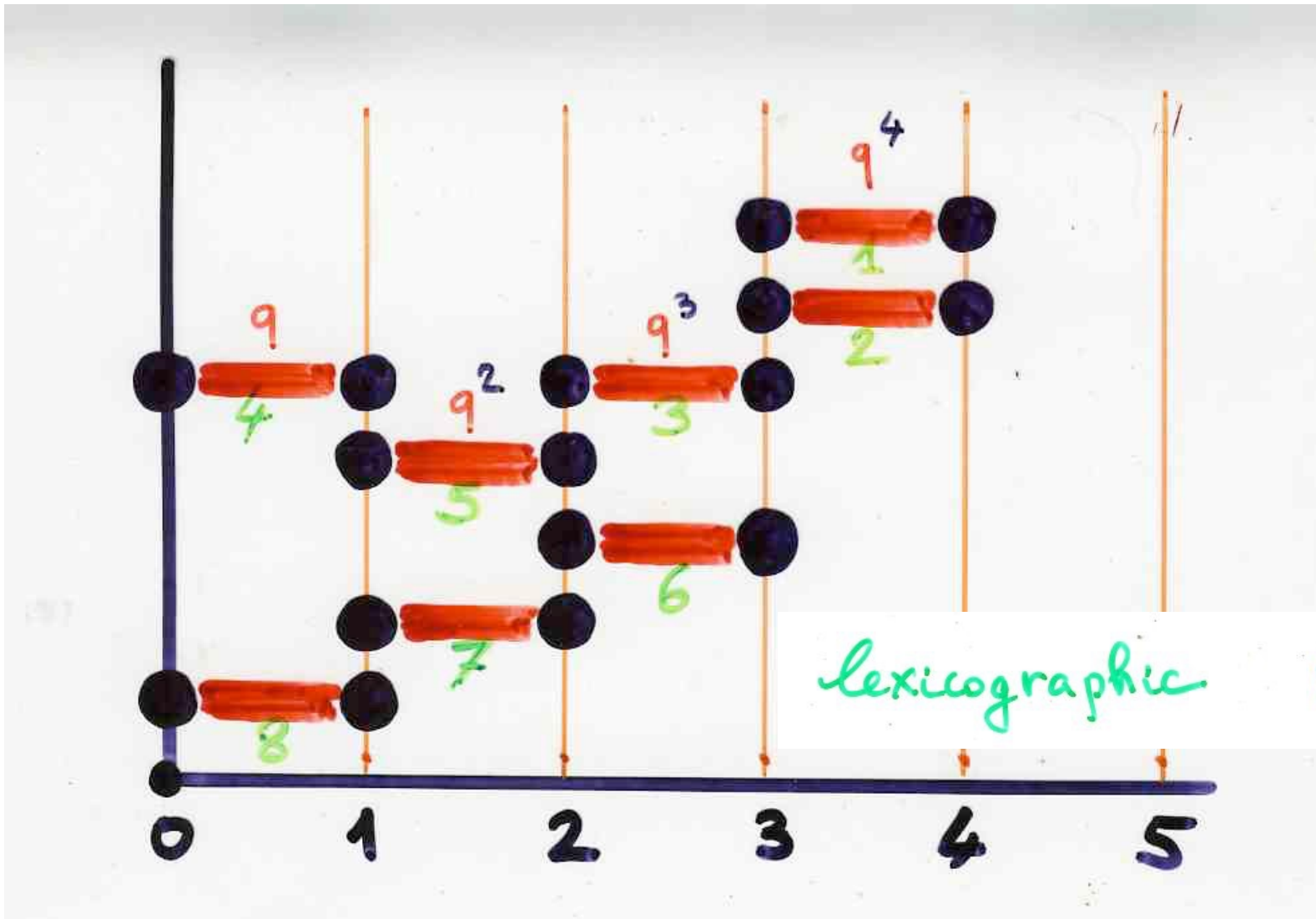
quasi-partitions
heaps \leftrightarrow dimers
on \mathbb{N}



exercise
Ch 1b, p 71

weight-preserving
bijection

quasi-partitions
 \updownarrow
 heaps of dimers
 on \mathbb{N}



lexicographic

normal

form

$$H \rightarrow \lambda = (4, 4, 3, 1, 2, 3, 2, 1)$$

1
2
3
4
5
6
7
8

quasi-partition

$$\frac{1}{D} = \sum_{\substack{E \\ \text{heaps} \\ \text{of} \\ \text{dimers}}} v(E)$$

$$\frac{1}{R_I} = \sum_{\substack{\lambda \\ \text{quasi-} \\ \text{partitions}}} (-1)^{\ell(\lambda)} q^{|\lambda|}$$

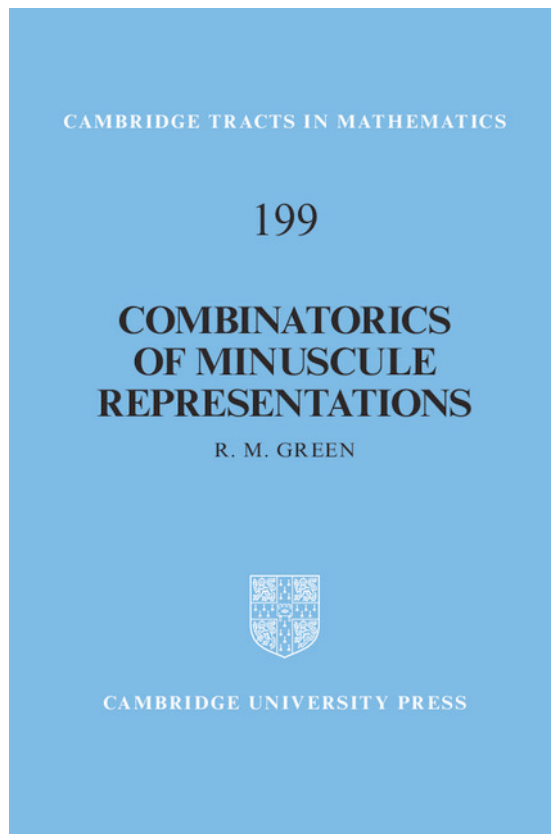
G. Andrews (1981)

reciprocal of
Rogers-Ramanujan
identities

other future chapters

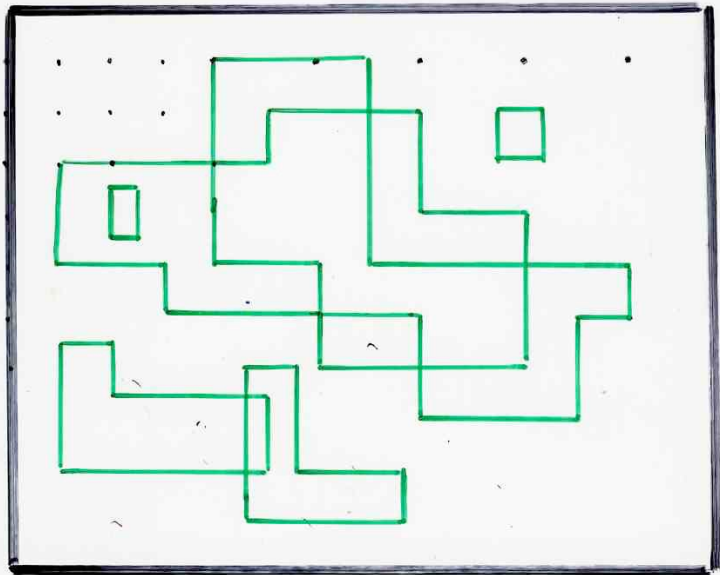
Complementary Topics

- minuscule representations of Lie algebra
(R. Green and students) book
- basis of free partially commutative
Lie algebra (Lalonde, Duchamp-Krob, ...)



Lyndon words
Lyndon heaps

R. Green (2013)



"closed" graph

Ising
model

- statistical physics: Ising model (T. Helmuth) revisited
- string theory and gauge theory, quivers (Ramgoulam) and heaps

Q-systems, heaps, paths
and clusters positivity

Di Francesco, Kedem (2008)

- computer science:
the SAT problem revisited with heaps
(D. Knuth, vol 4, Fascicle 6)
- computer science:
Petri nets, asynchronous automata,
Zielonka theorem

