Course IMSc Chennai, India January-March 2017

Enumerative and algebraic combinatorics, a bijective approach:

commutations and heaps of pieces

(with interactions in physics, mathematics and computer science)

Monday and Thursday 14h-15h30

www.xavierviennot.org/coursIMSc2017



IMSc January-March 2017 Xavier Viennot CNRS, LaBRI, Bordeaux

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Chapter 2 Heaps generating functions

IMSc, Chennai 16 January 2017 from the previous lecture

$$(a_0, a_1, a_2, \dots, a_n)$$
 $a_0 + a_1 t + a_2 t + \dots + a_n t^n + \dots$

[K[[t]] formal power series algebra

(in one variable t and coefficients in [K)

generating power series
of the coefficients (numbers
$$a_n$$
)
$$\sum_{n \geq 0} a_n t^n = f(t)$$
(ordinary generating function)

· sum
· product
· sequence
· sequence
· objects"

symbolic method

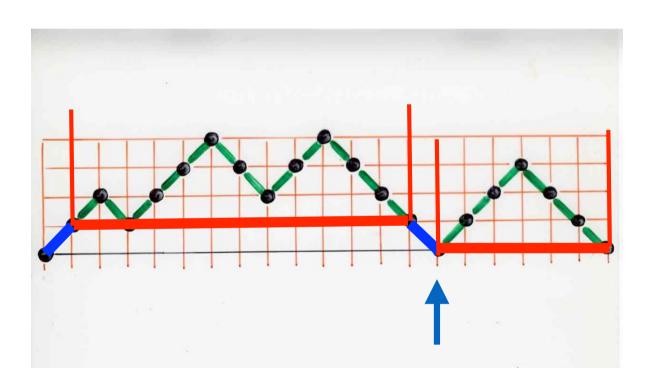
Philippe Flajslet (1948-2011)

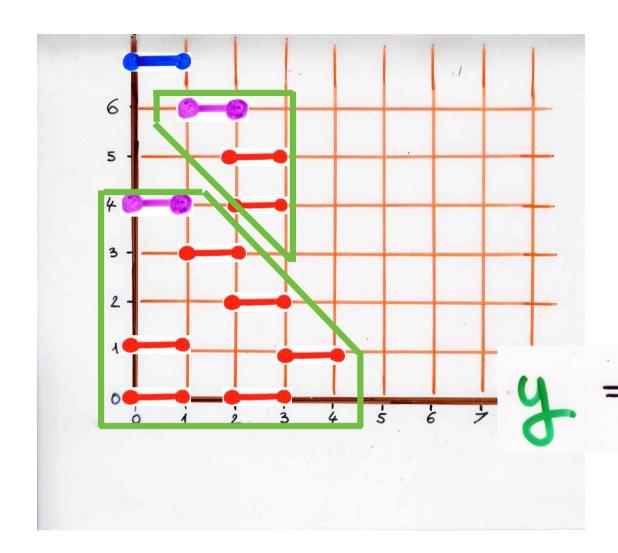
(with Robert Sedgewick)

Analytic Combinatorics

(Cambridge Univ. Press, 2008)

Dyck path



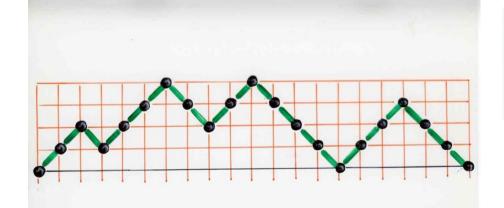


semi-pyramid of dimers on IN the unique maximal piece has projection [0,1]

Catalan number
$$C_n = \frac{1}{(n+1)} \binom{2n}{n}$$

"philosophy"
underlying
this course

Dyck path

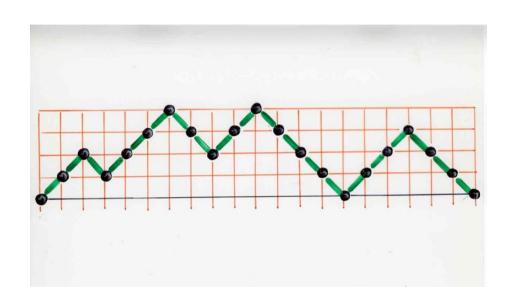


y = 1 + ty2

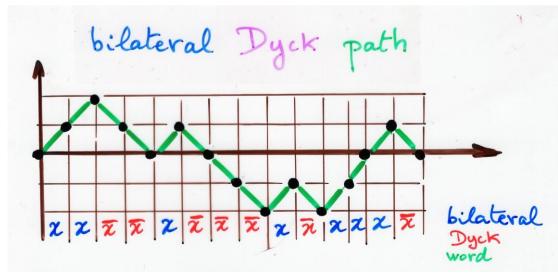
bijective proof

Catalan number
$$C_n = \frac{1}{(n+1)} \binom{2n}{n}$$

Dyck path







bijective proof

exercise difficult!

bijective proof of an identity

symbolic method

Philippe Flajslet (1948-2011)

(with Robert Sedgewick)

Analytic Combinatorics

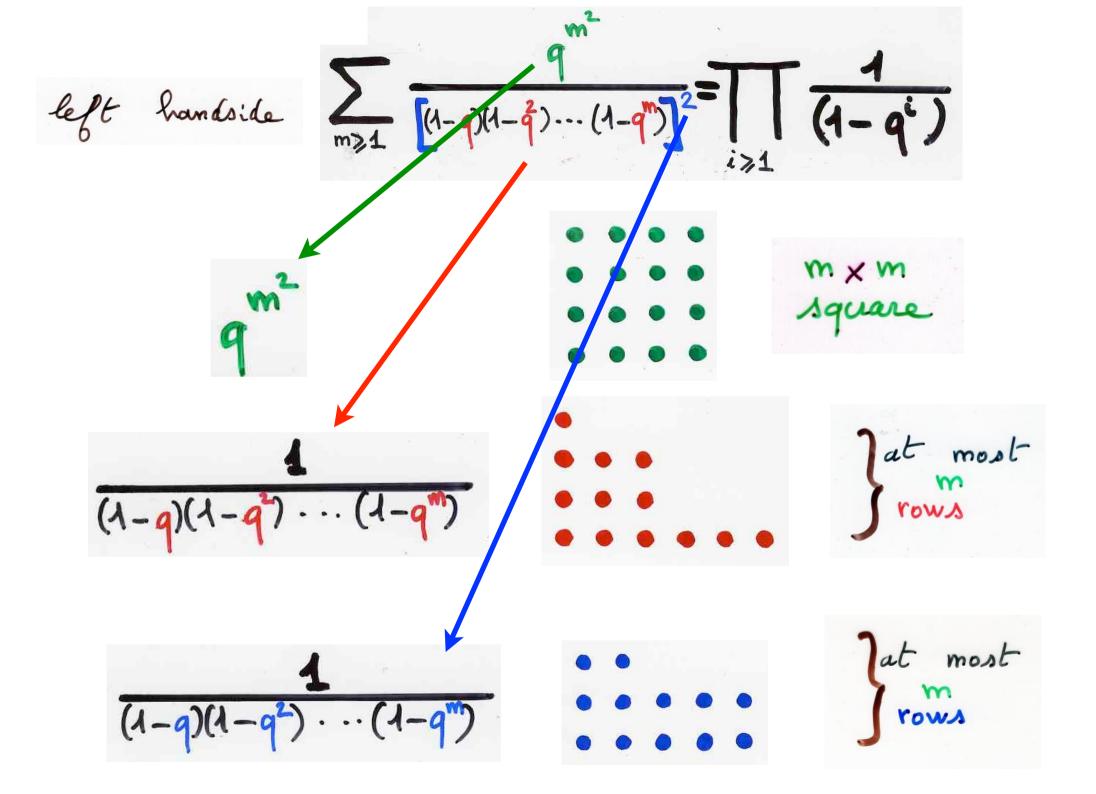
(Cambridge Univ. Press, 2008)

$$\sum_{m\geqslant 1} \frac{q}{[q-q)q-\dot{q})\cdots(q-q^n)]^2} = \prod_{i\geqslant 1} \frac{1}{(4-q^i)}$$

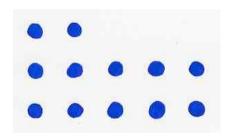
$$\sum_{m\geqslant 1} \frac{q^{m^{2}}}{[(1-q)(1-q^{2})\cdots(1-q^{m})]^{2}} = \prod_{i\geqslant 1} \frac{1}{(1-q^{i})}$$

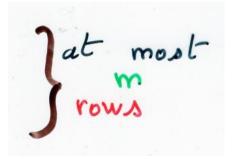
right handside

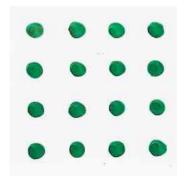
$$= \prod_{i \geqslant 1} \frac{1}{(1-q^i)}$$

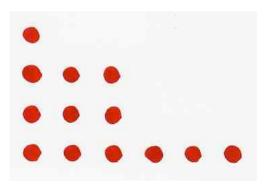


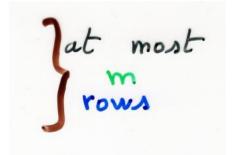
m x m square



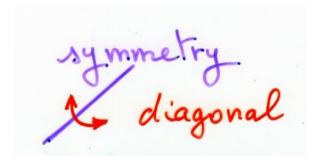


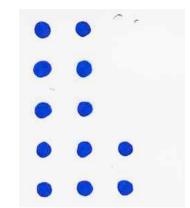


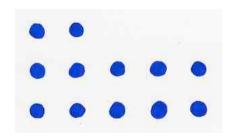


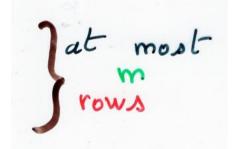


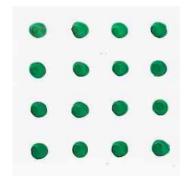


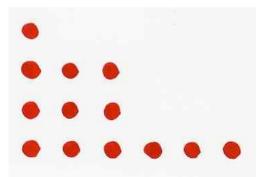


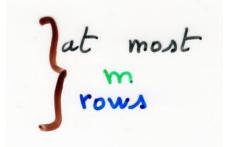




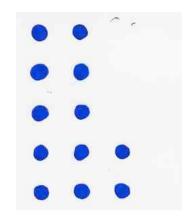


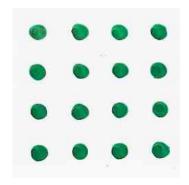


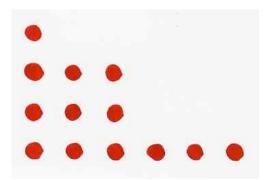


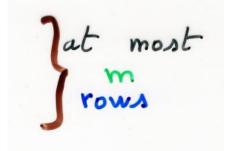






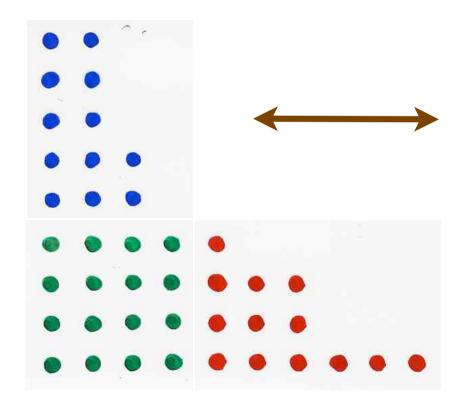


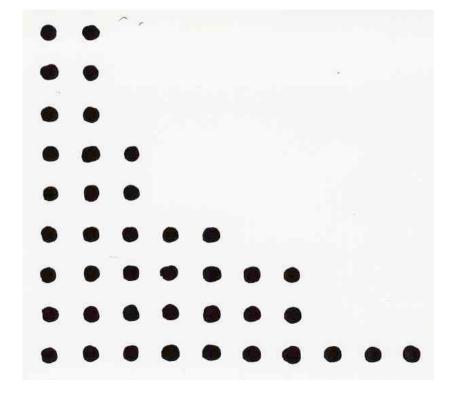




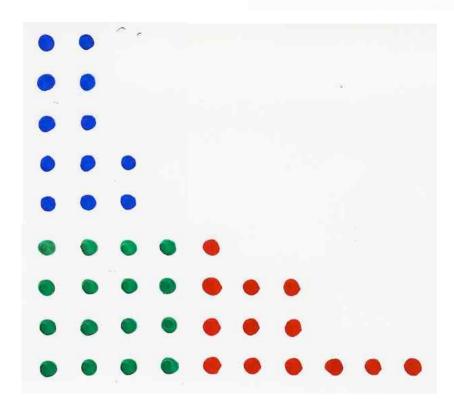
left handside

right handside





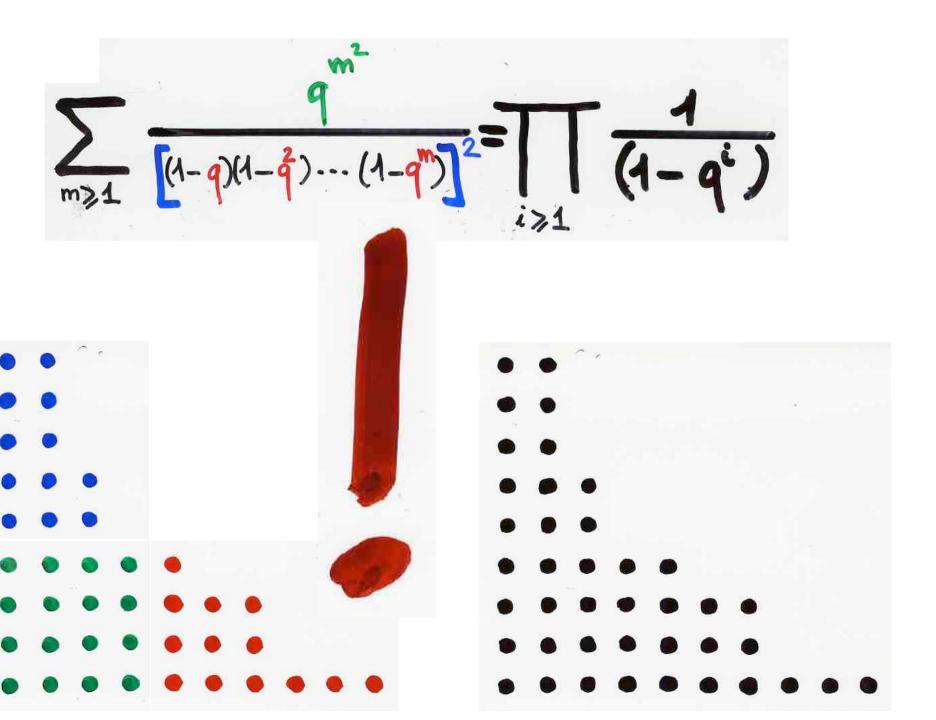
The identity means:



extract the Ferrers biggest square = diagram

what remains . diagram having at most m rows . diagram having at most m columns

m size of the square



"drawing" calculus
computing with "drawings"
(figures)







better understanding

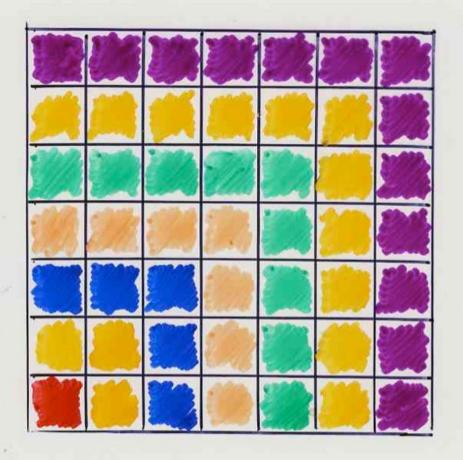
"philosophy"
underlying
this course

bijective proof

"Visual" proof

without words

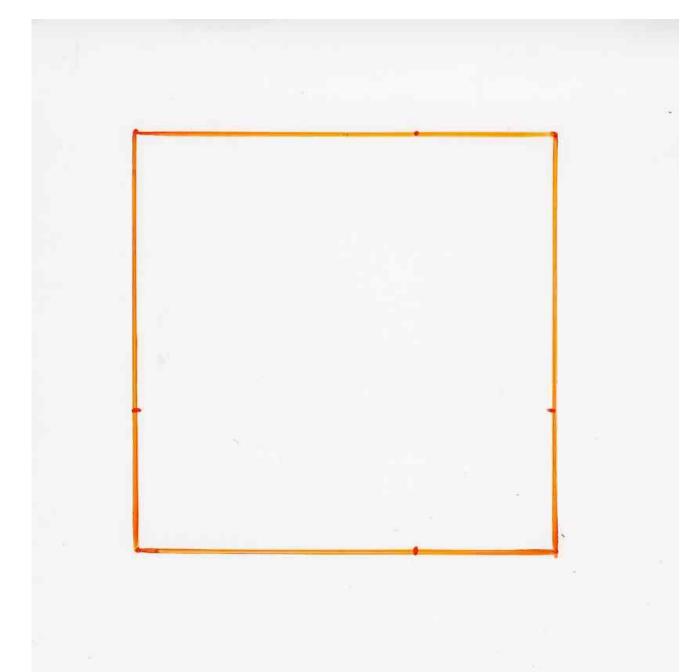
« proof without words »

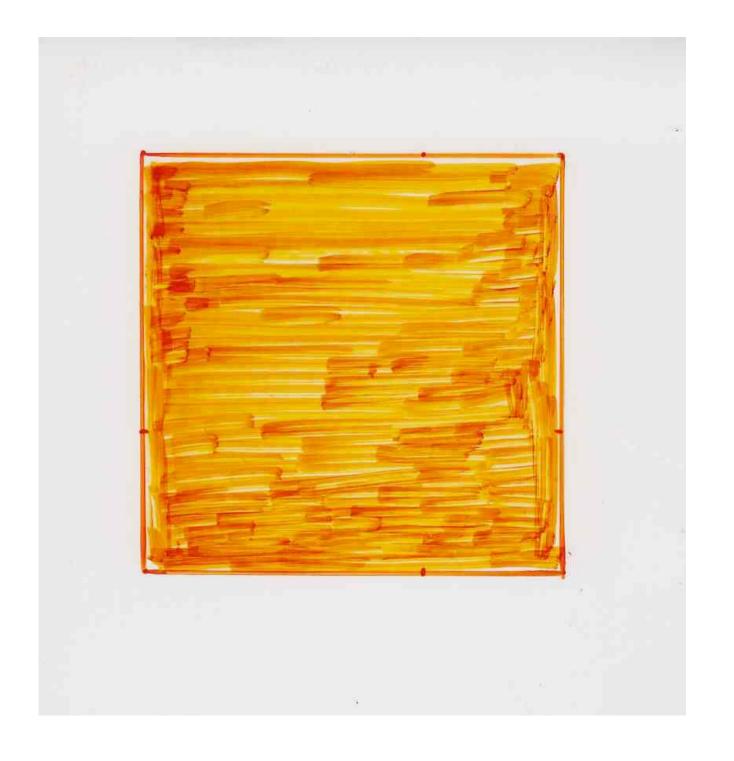


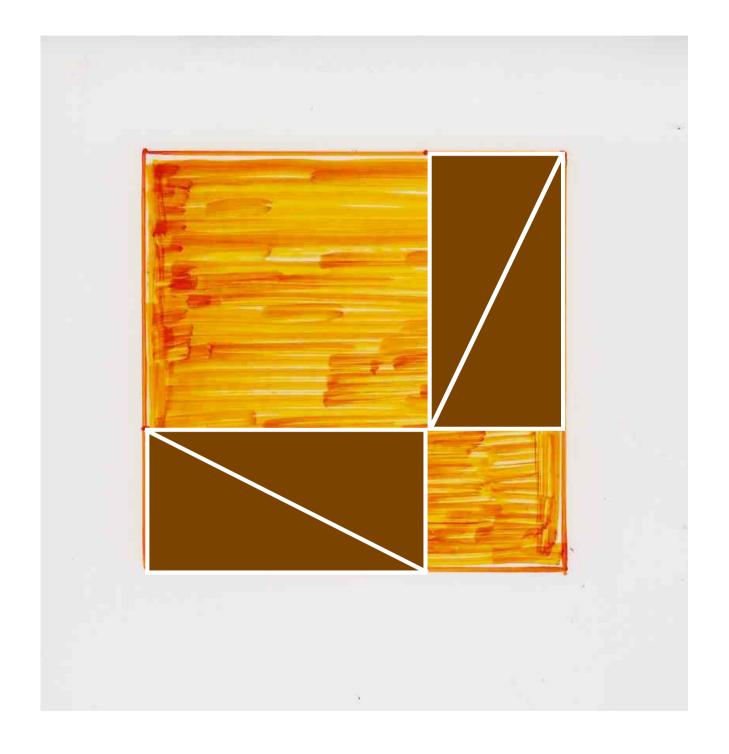
$$n^2 = 1 + 3 + ... + (2n-1)$$

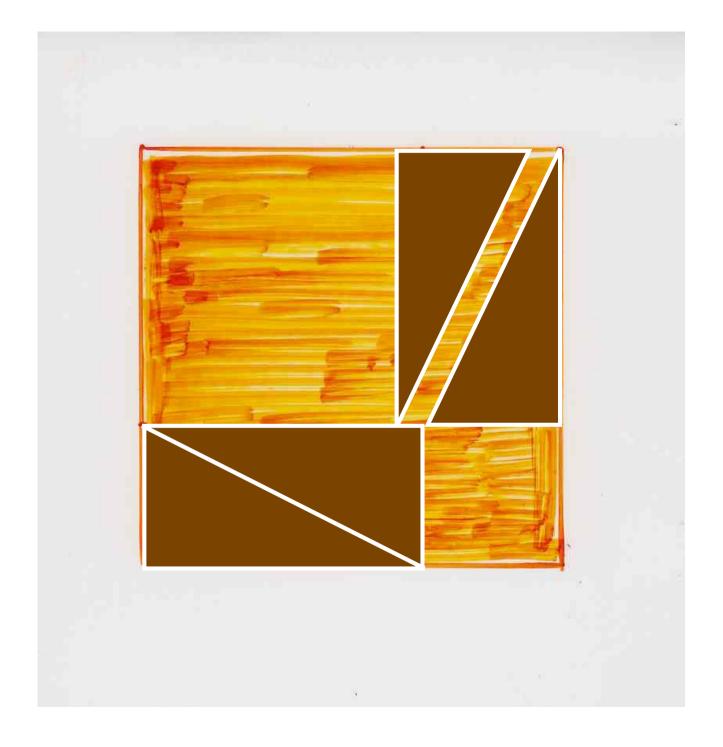
« proof without words »

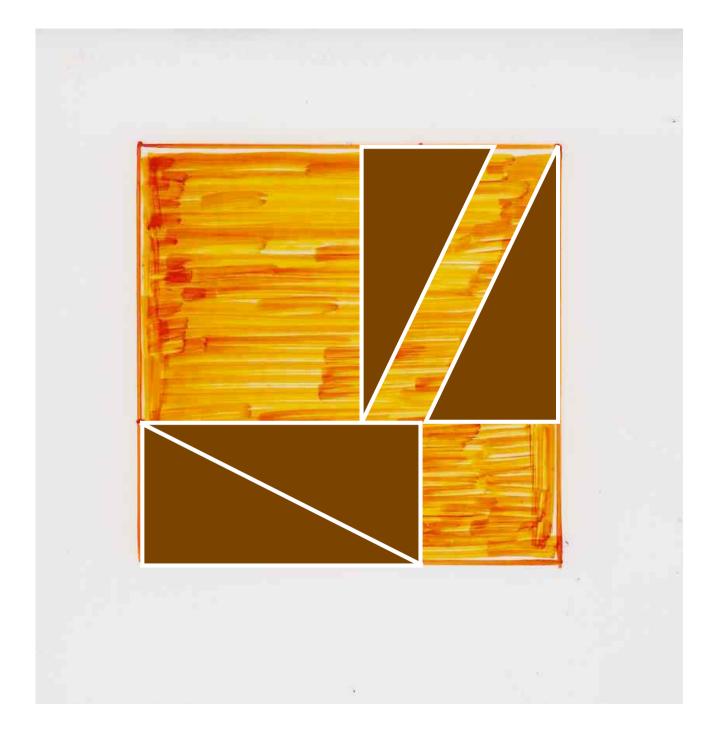
Pythagoras

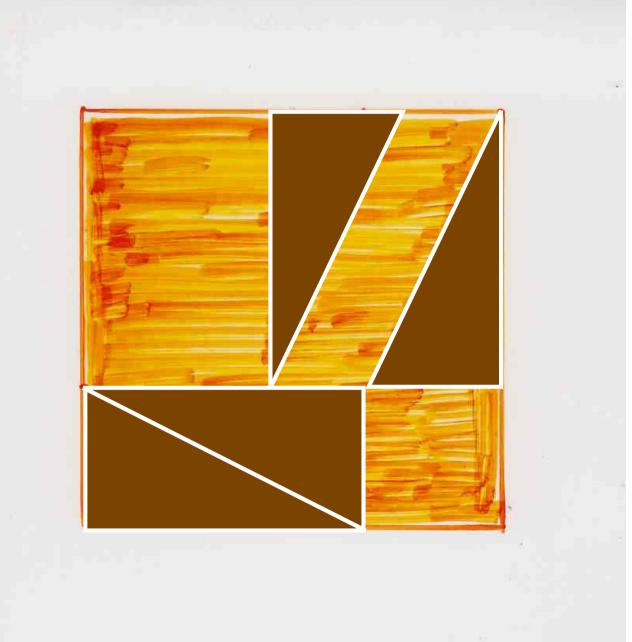


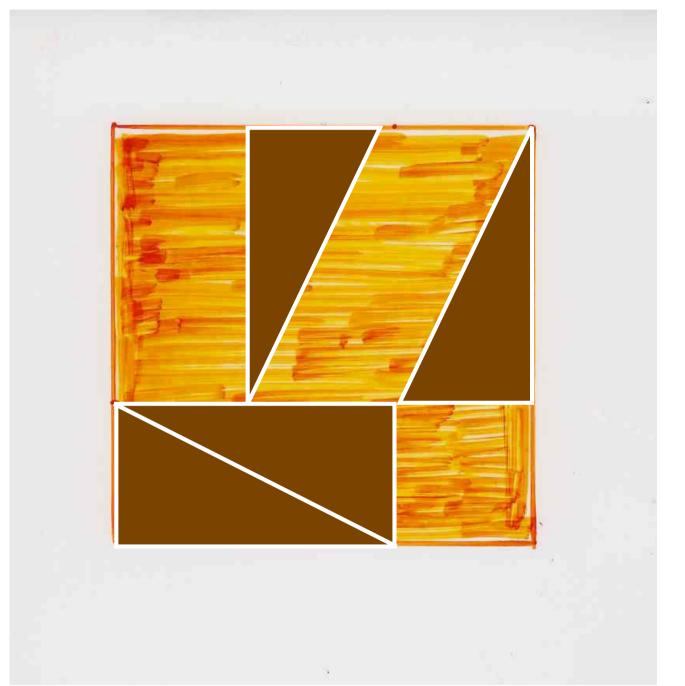


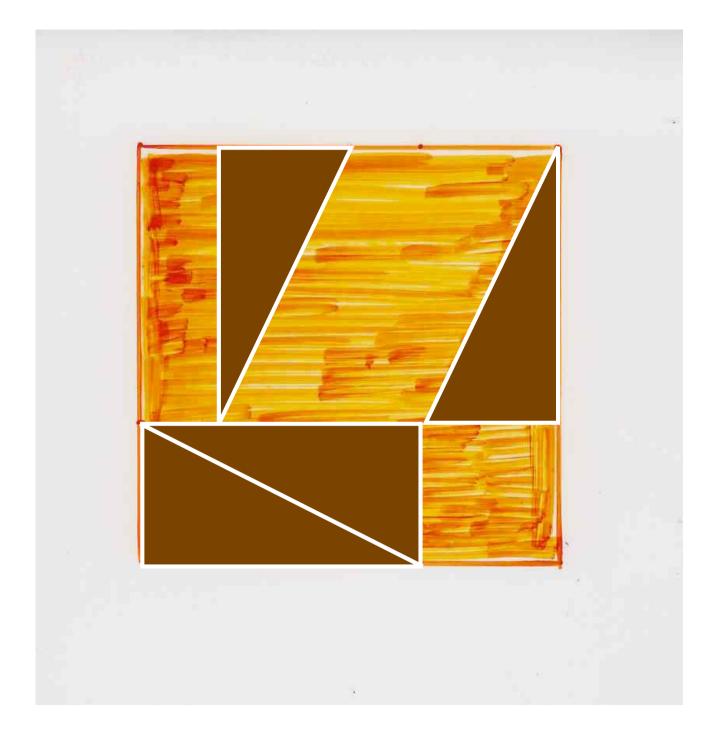


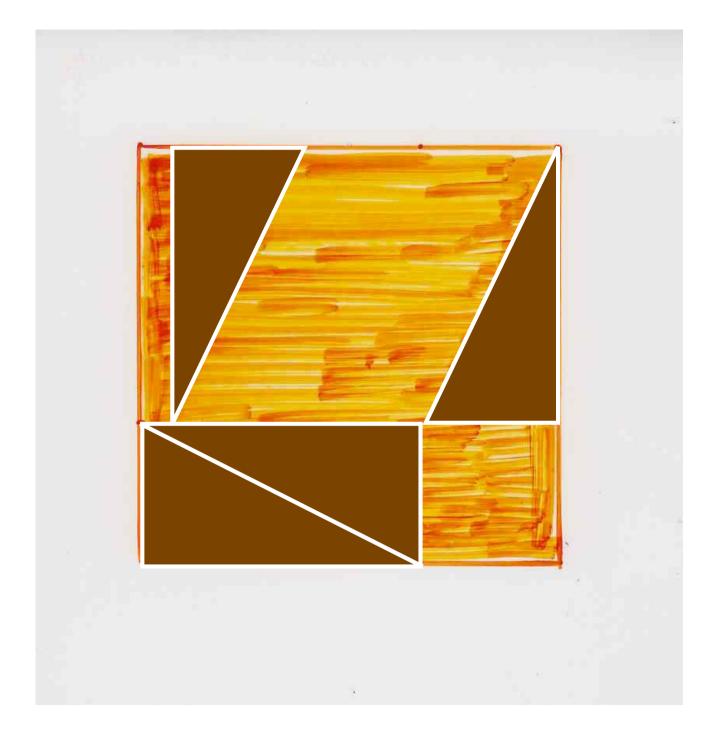


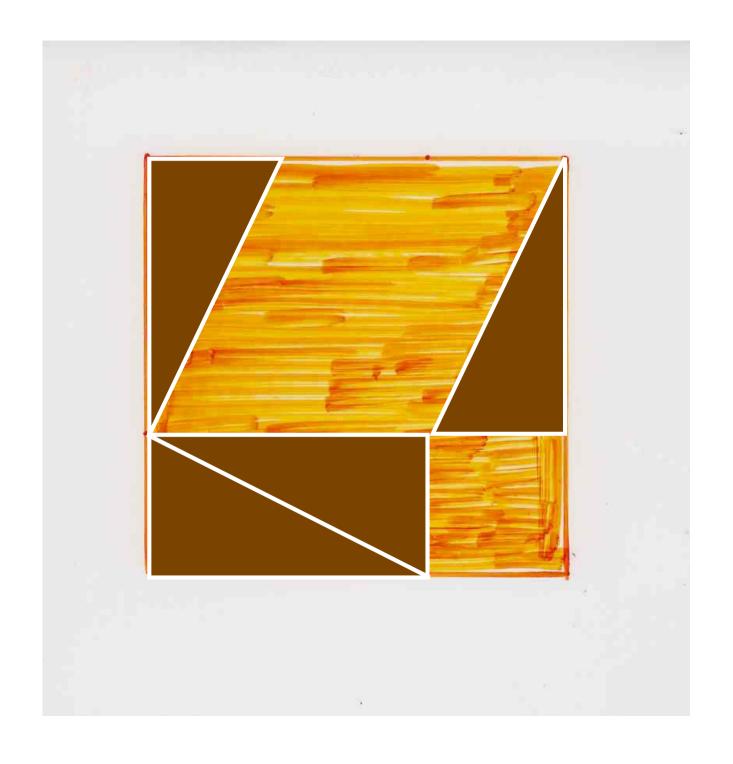


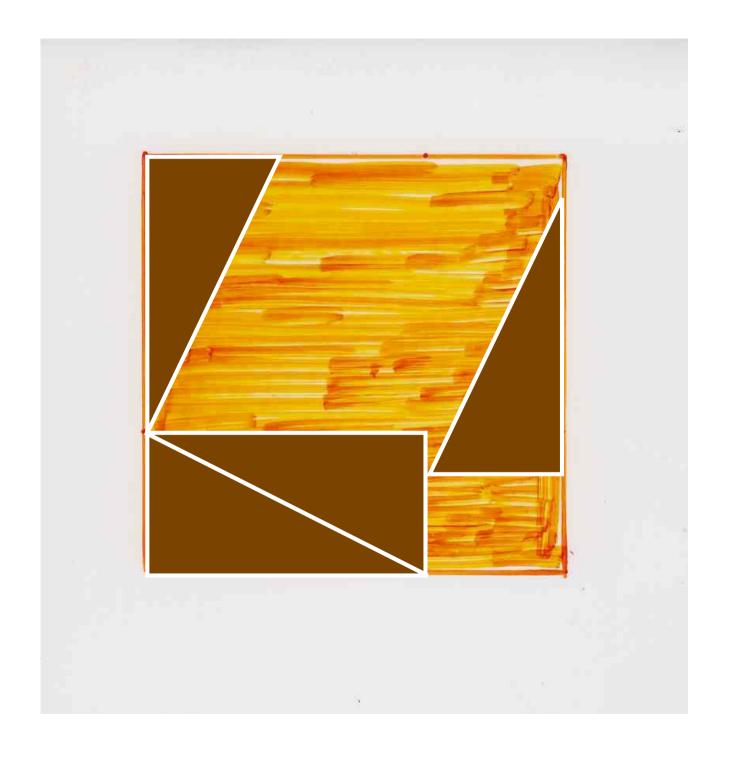


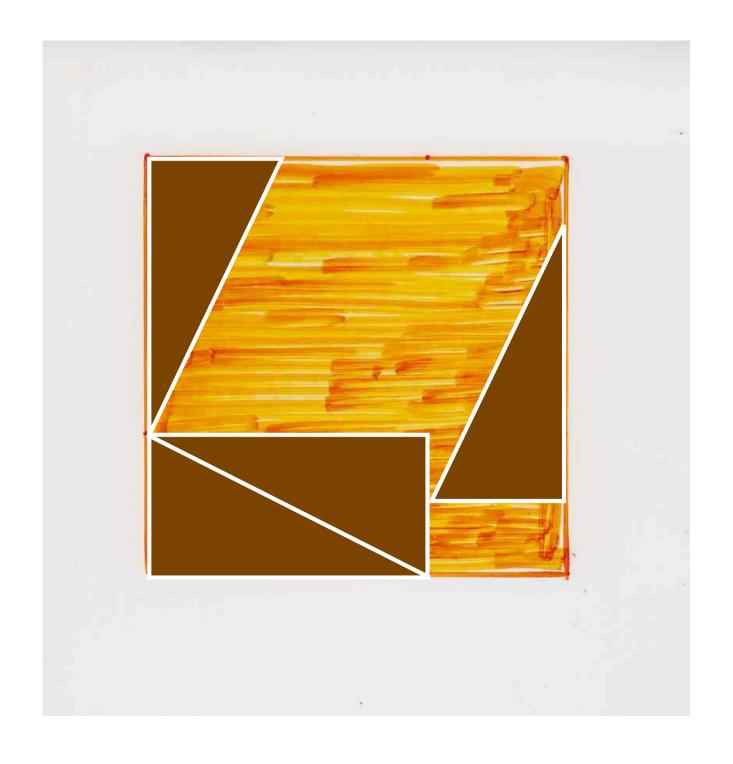


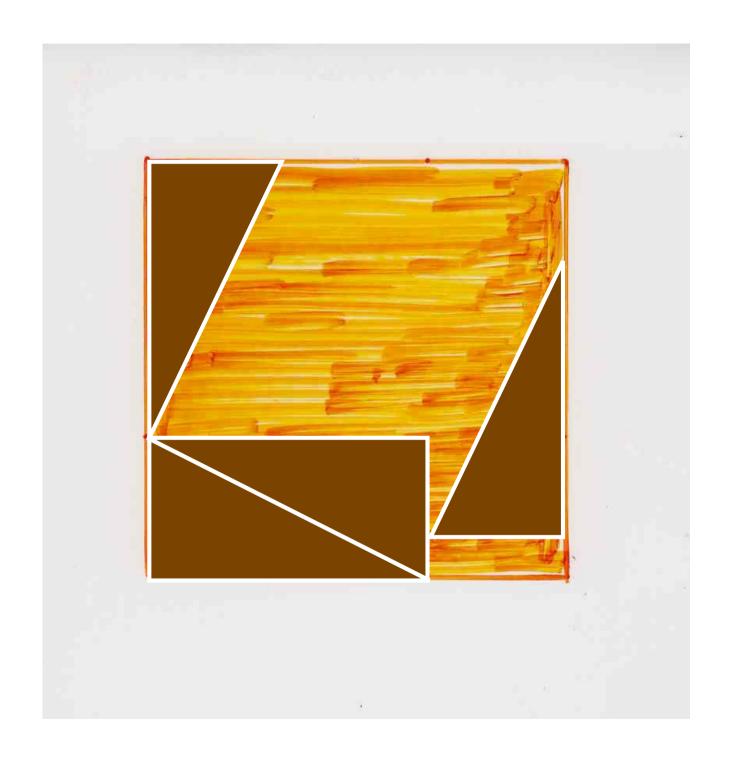


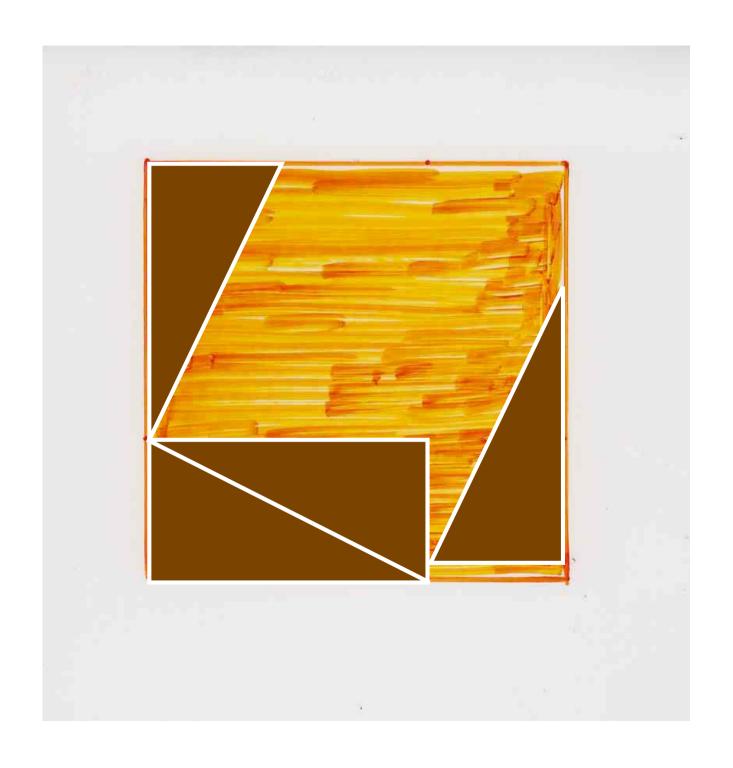


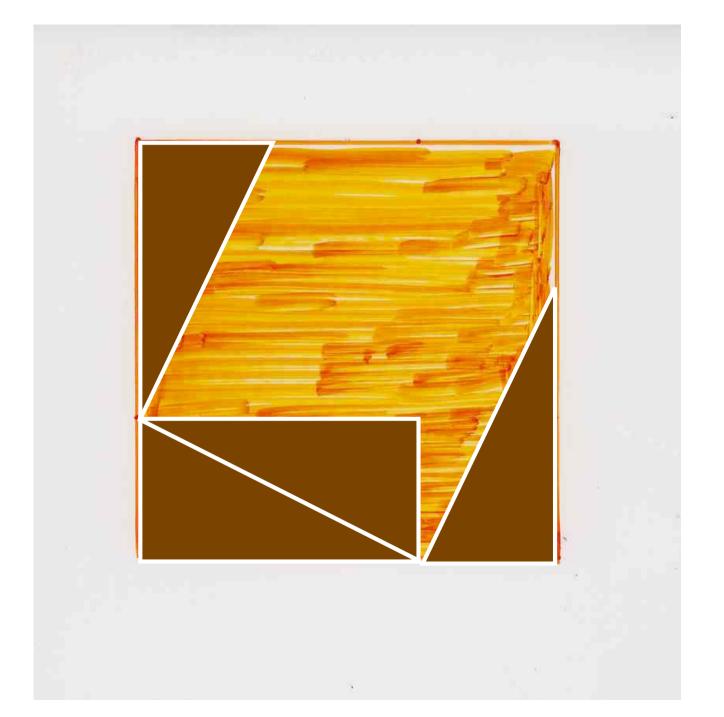


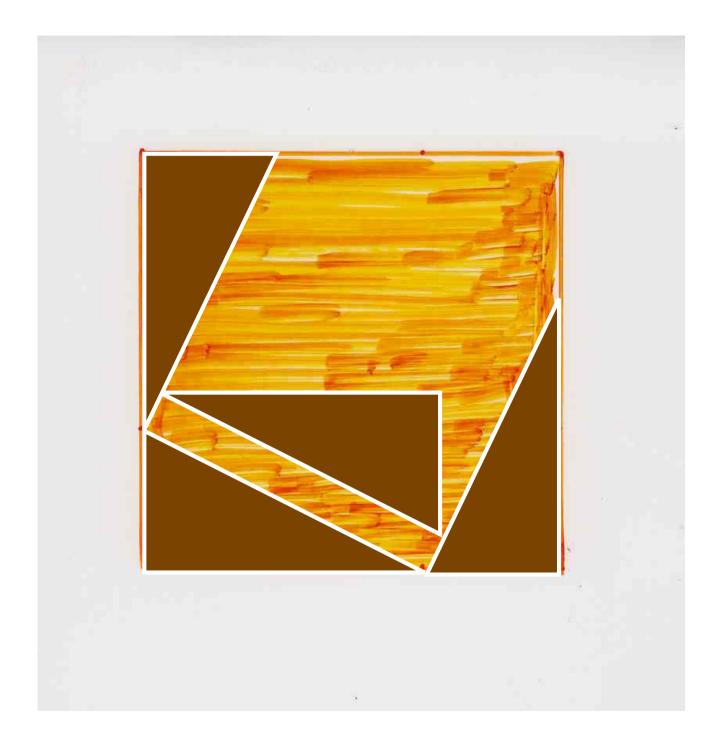


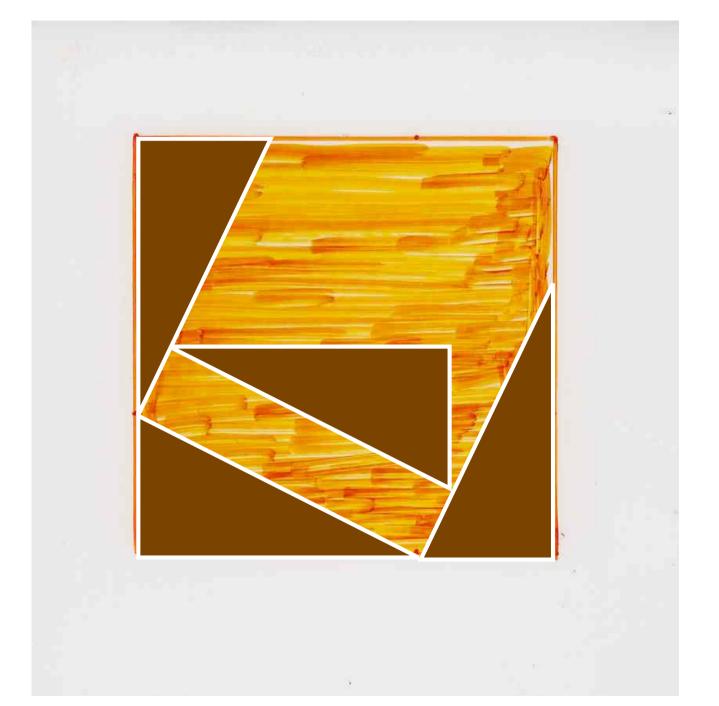


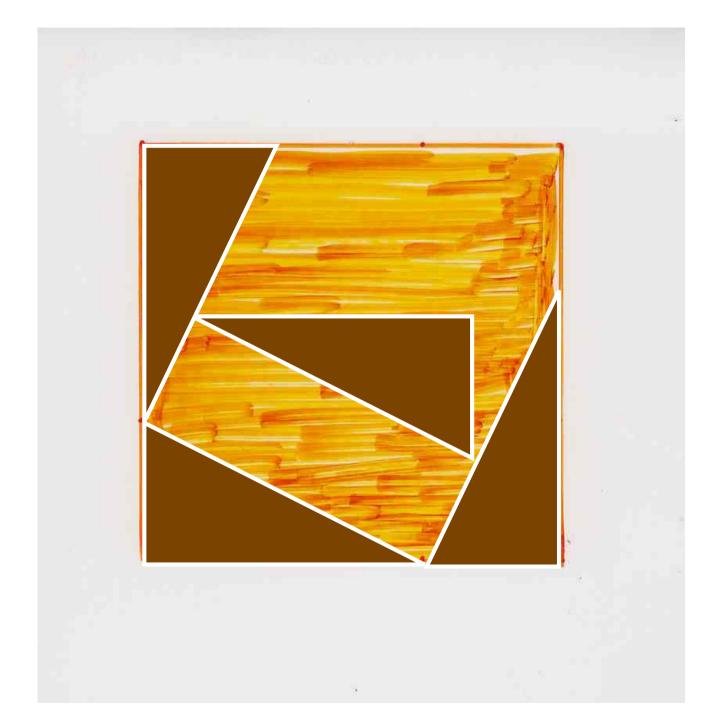


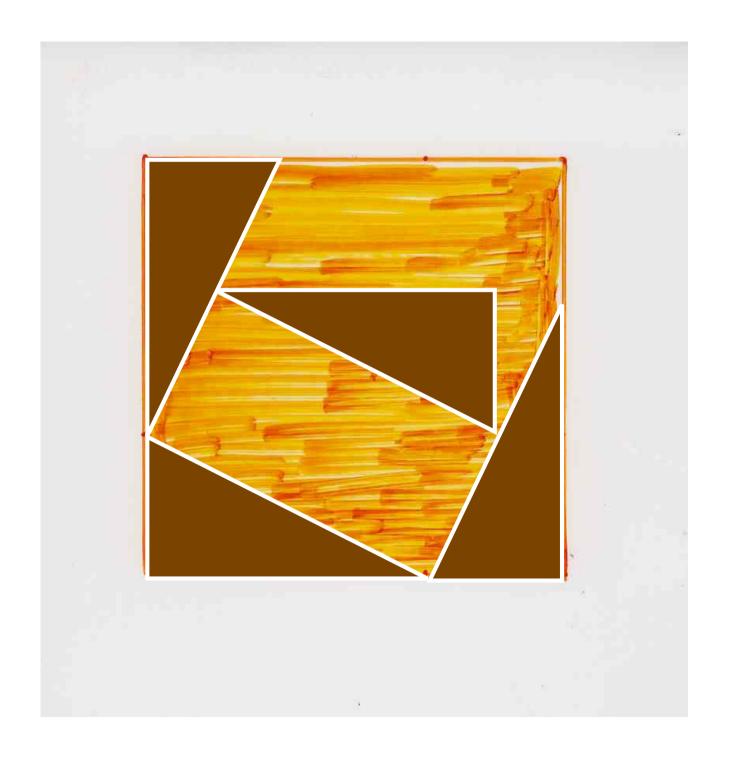


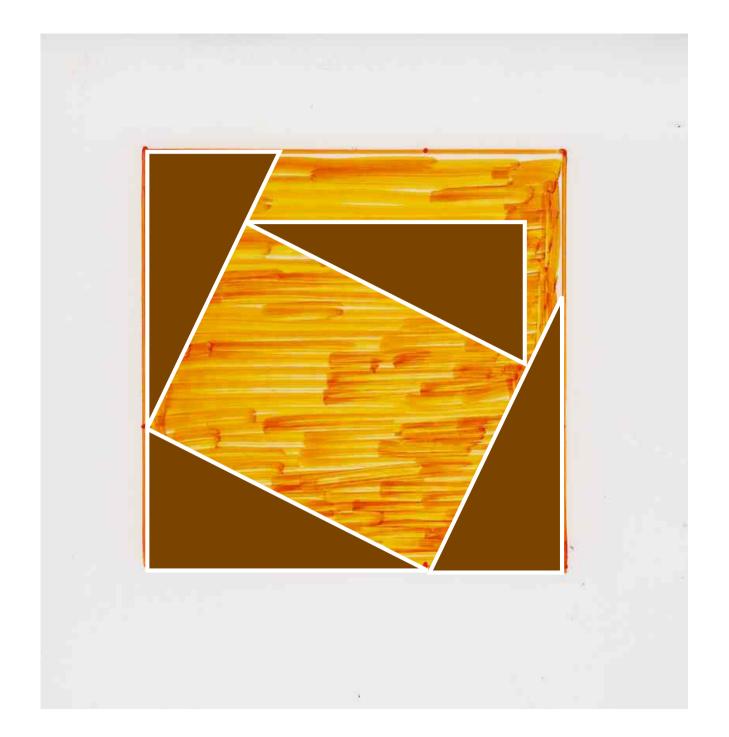


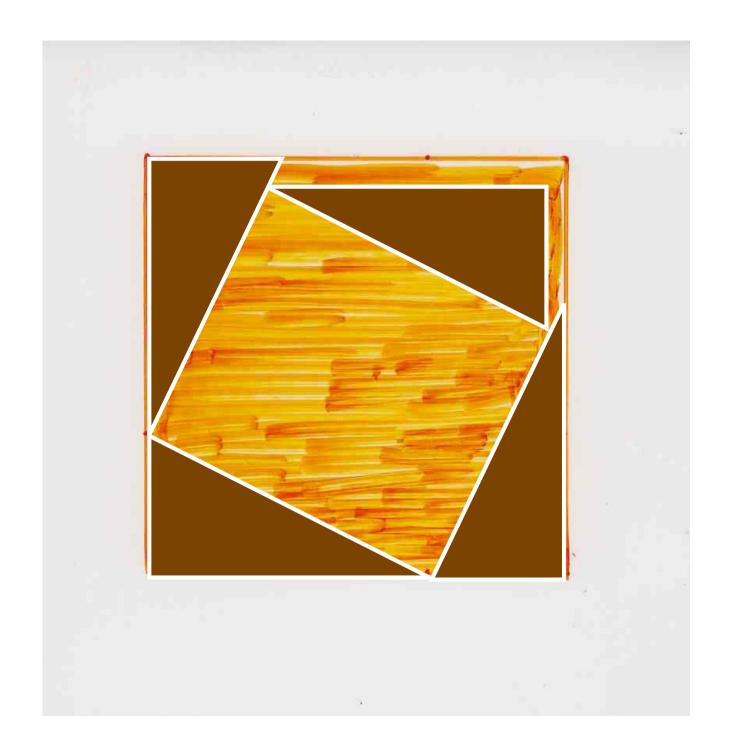


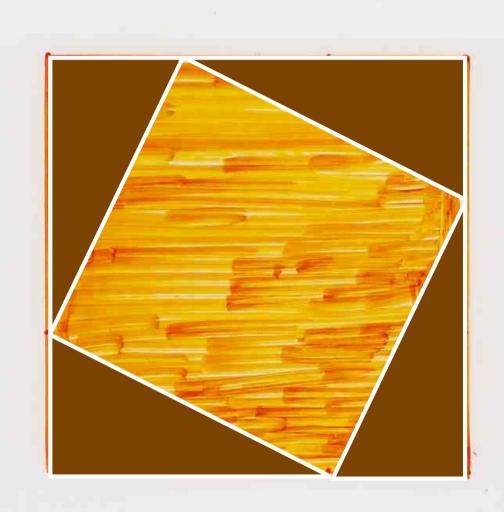


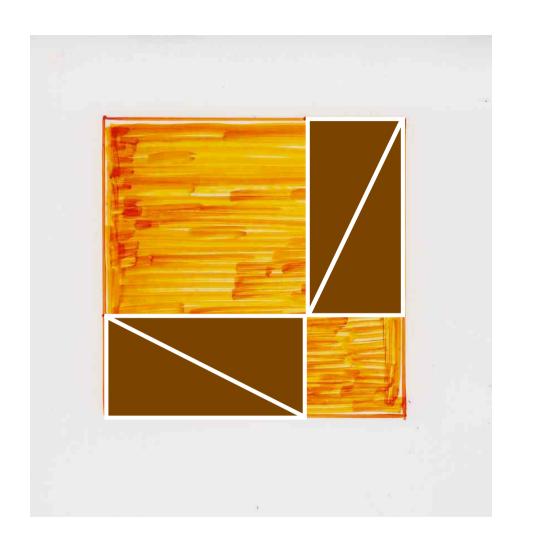




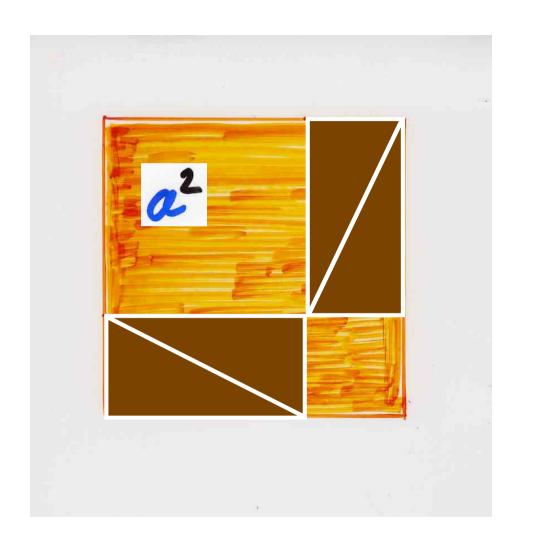




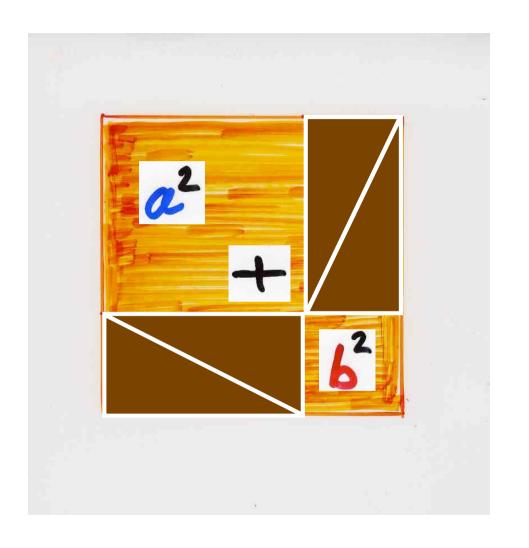


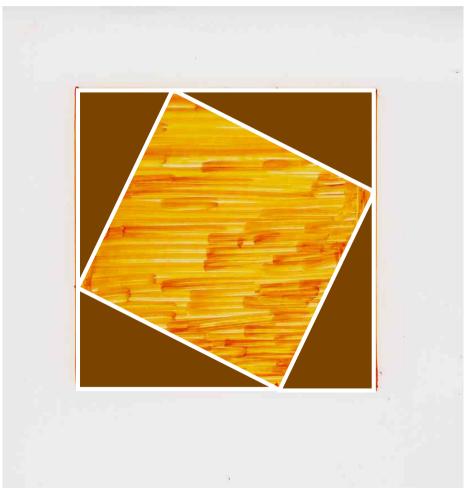


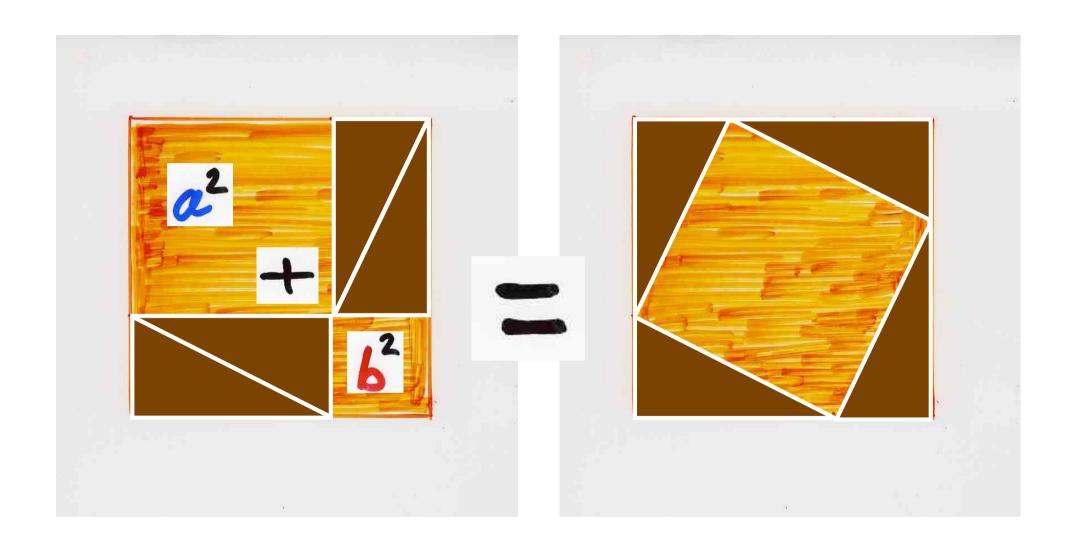


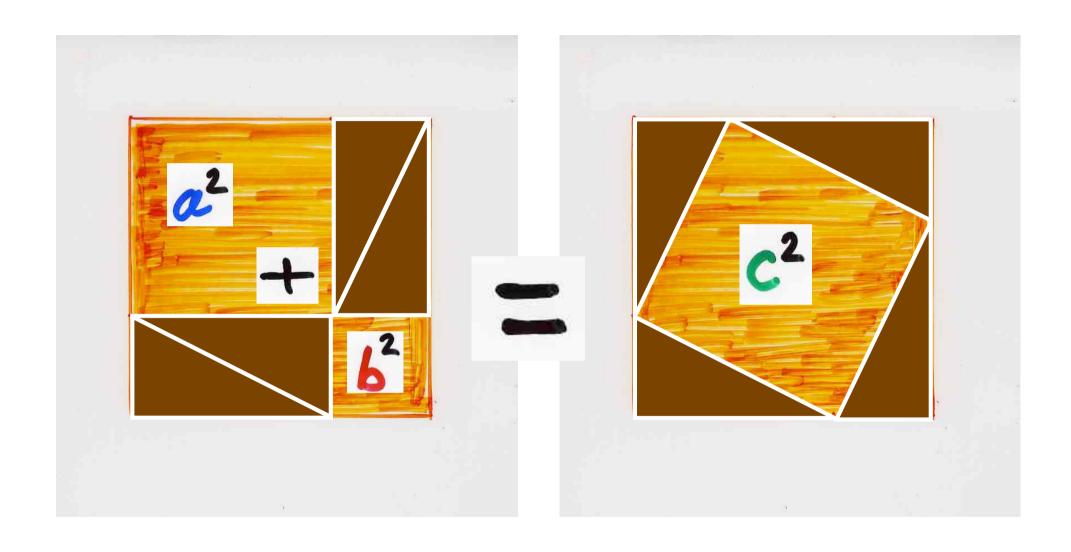






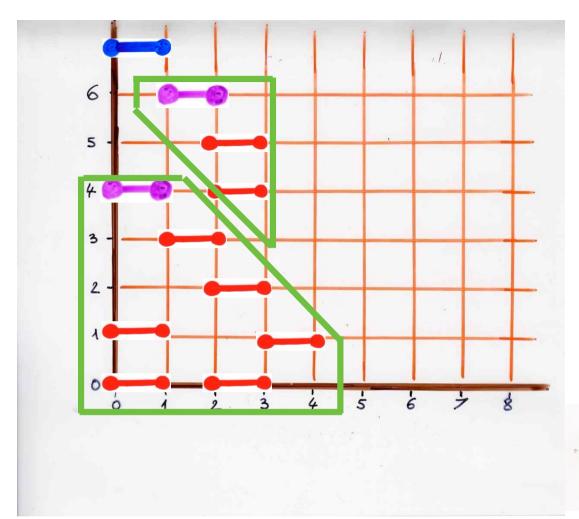






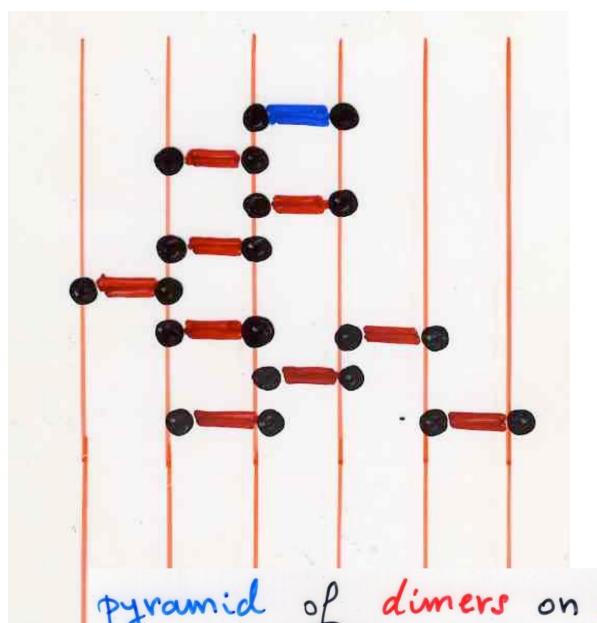
generating functions

rational algebraic D-finite



algebraic generating function

semi-pyramid of dimers on IN the unique maximal piece has projection [0,1]



algebraic generating function

 $\frac{1}{2}$ $\binom{2n}{n}$

pyramid of dimers on Z (up to translation) having n dimers

system of algebraic equations

rational power series
algebraic power series
P-recursive
(D-finite)
power series

$$\sum_{n \geq 0} a_n t' = \frac{N(t)}{D(t)}$$

P(y, t) = 0

rational recurrence with Po, Pe constants

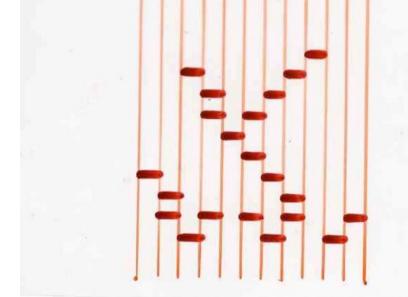
$$\sum_{n \geq 0} F_n t^n = \frac{1}{1 - t - t^2}$$
Filonacci numbers

$$C_n = \frac{1}{(n+1)} \binom{2n}{n}$$

Catalan numbers

$$2(2n+1)C_n = (n+2)C_{n+1}$$

$$a_n = n!$$



connected heap = no empty
column
dimers

C(t) g.f. connected

heap

(Bousquet-Meibu, Rechnitzer) 2002

$$C(t) = \frac{Q}{(1-Q)\left[1-\sum_{k>1} \frac{Q^{k+1}}{1-Q^{k}(1+Q)}\right]}$$

not D-finite

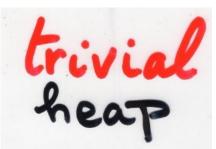
$$Q = \sum_{n \geq 1} C_n t^n$$
Catalan number

First basic lemma on heaps: the inversion lemma

1/D

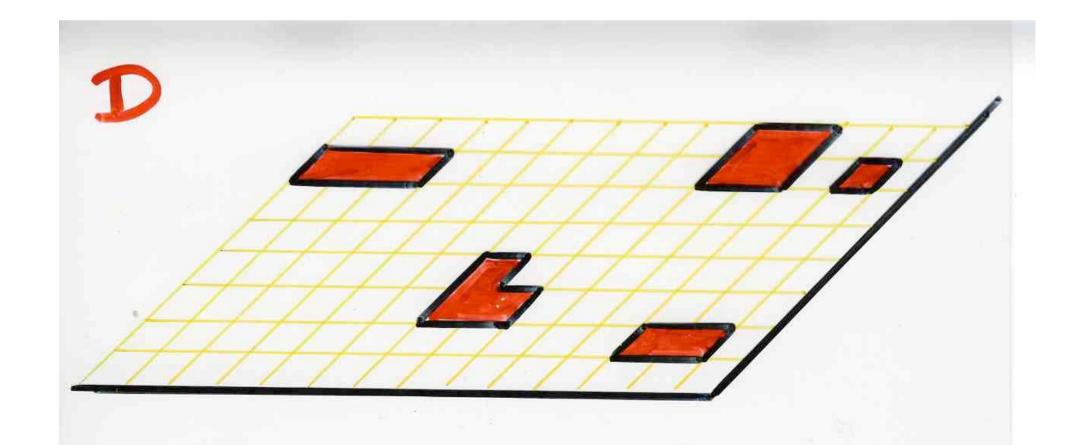
the inversion lemma

all pieces (d, i) at level 0





all pieces (4,i) at level 0



valuation

$$V: P \longrightarrow K[x,y,...]$$

lasic

piece

$$V(E) = \prod V(\alpha i)$$

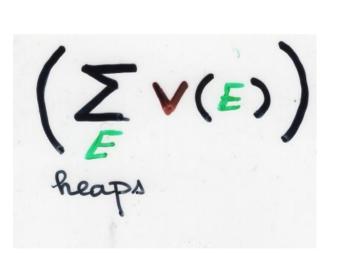
heap $(\alpha,i) \in E$

the inversion lemma

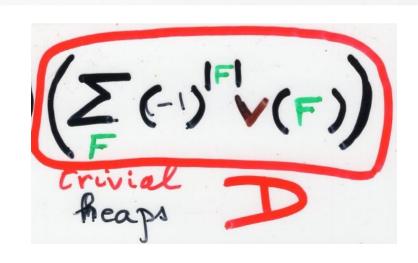
1

(Z(-1)FV(F)) trivial fleaps

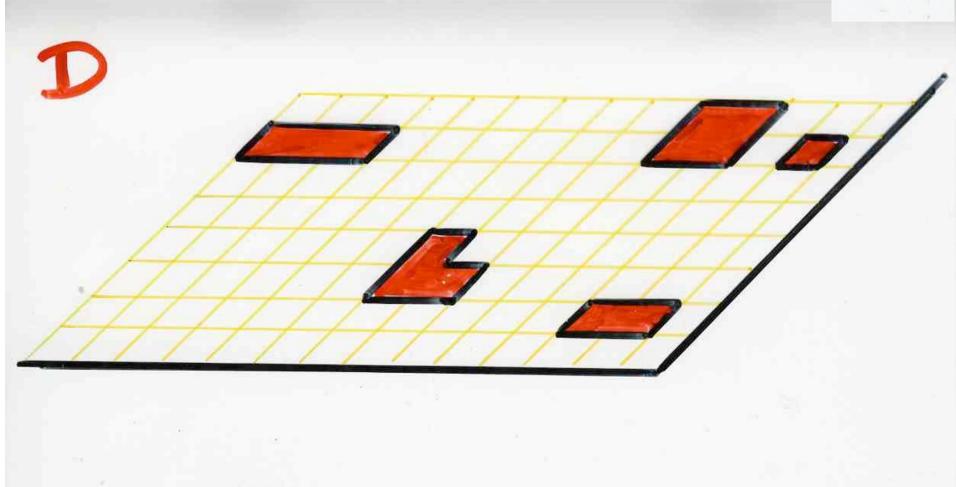
the inversion lemma

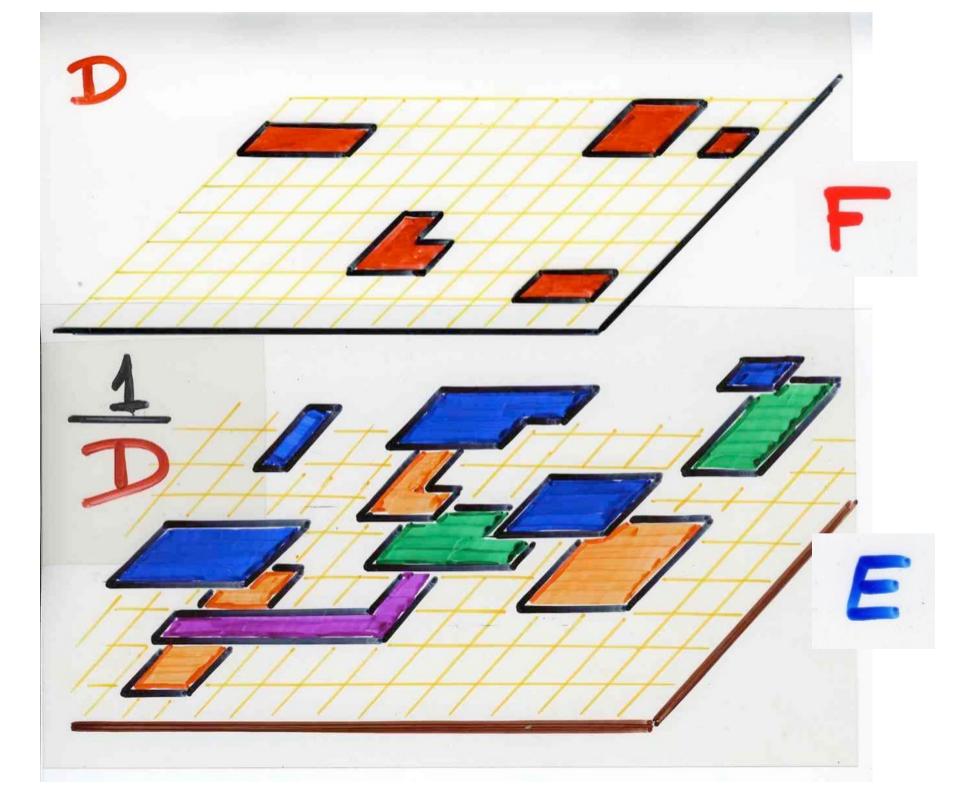


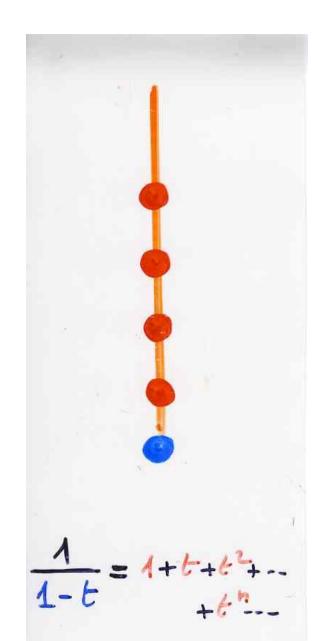
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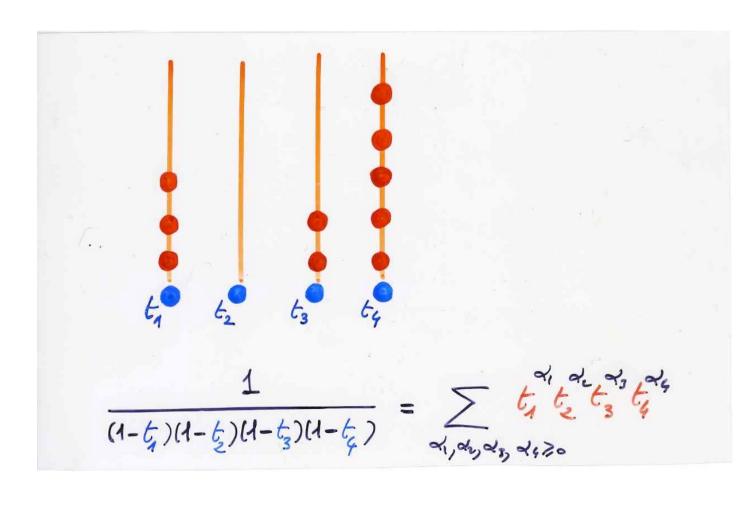


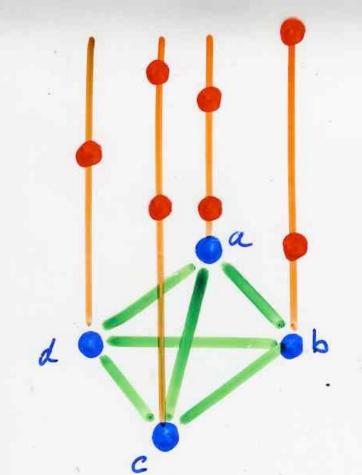












$$\frac{1}{1-X} = \frac{X^*}{X}$$

Proof of

the inversion lemma

define an involution
$$\varphi$$
 $(\varphi(E, F) = (E', F')$

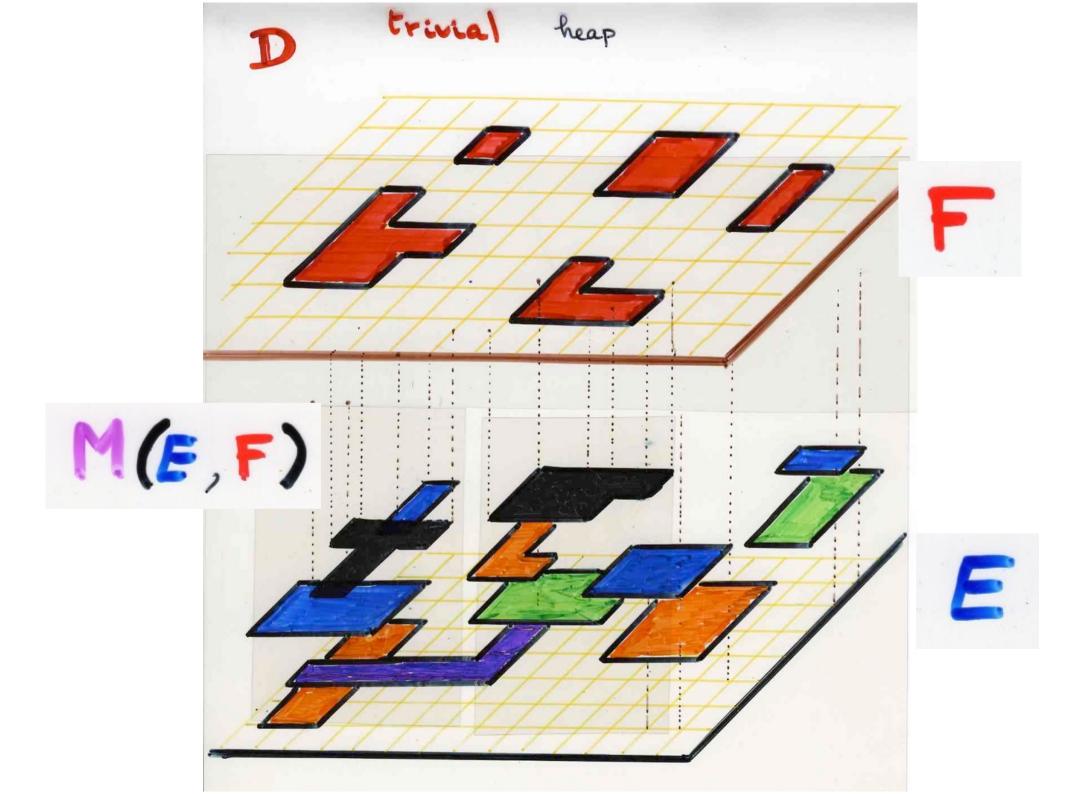
heap trivial
heap

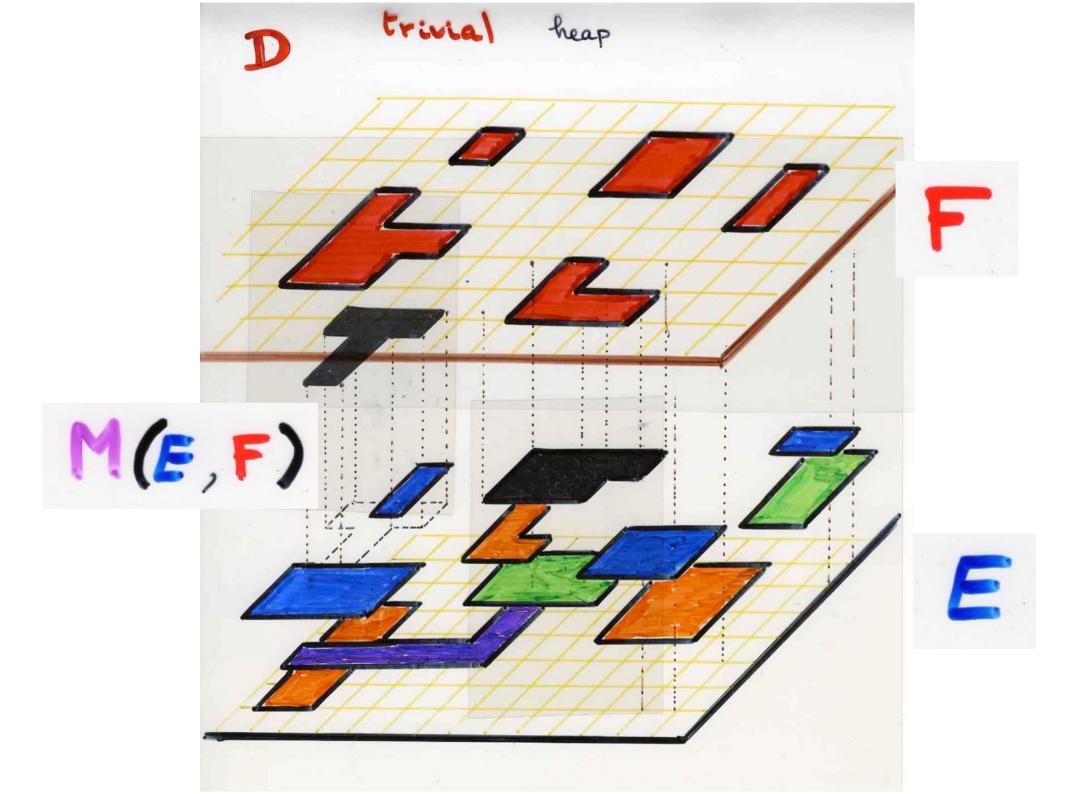
$$\left\{ \begin{array}{l} \bullet \ \lor (E) \lor (F) = \lor (E') \lor (F') \\ \bullet \ (-1)^{|F|} = - (-1)^{|F|} \end{array} \right.$$

$$\varphi$$
 not defined
for $(E, F) = (\emptyset, \emptyset)$

$$M(E,F) = \{ m = (\beta,i) \}$$

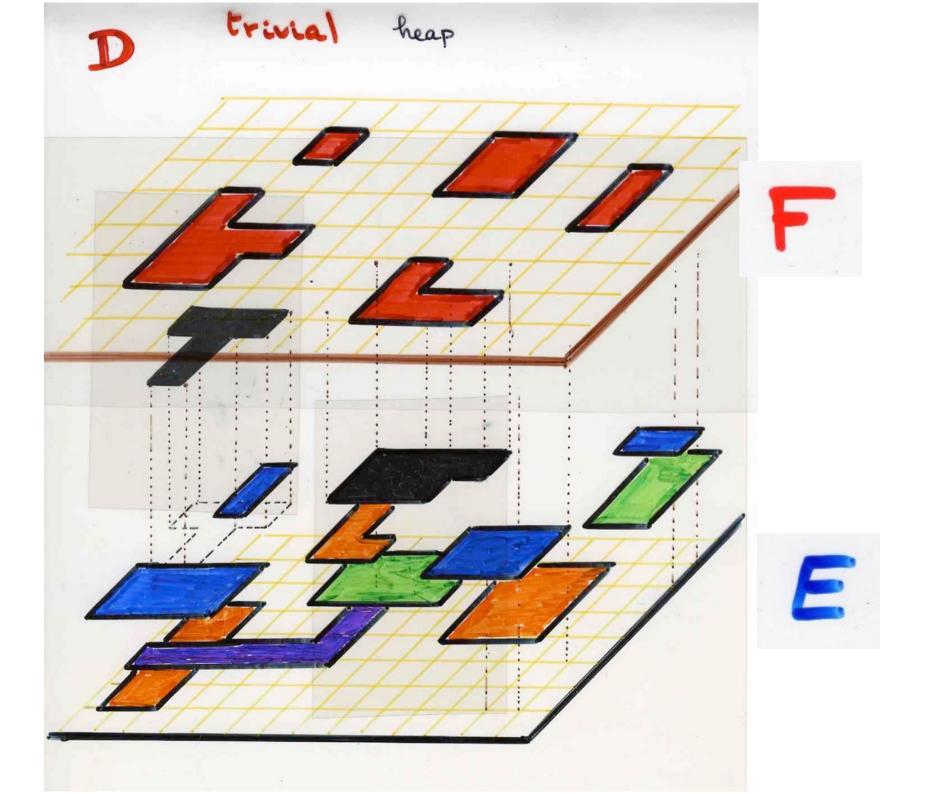
ie FU {B} is a trivial heap

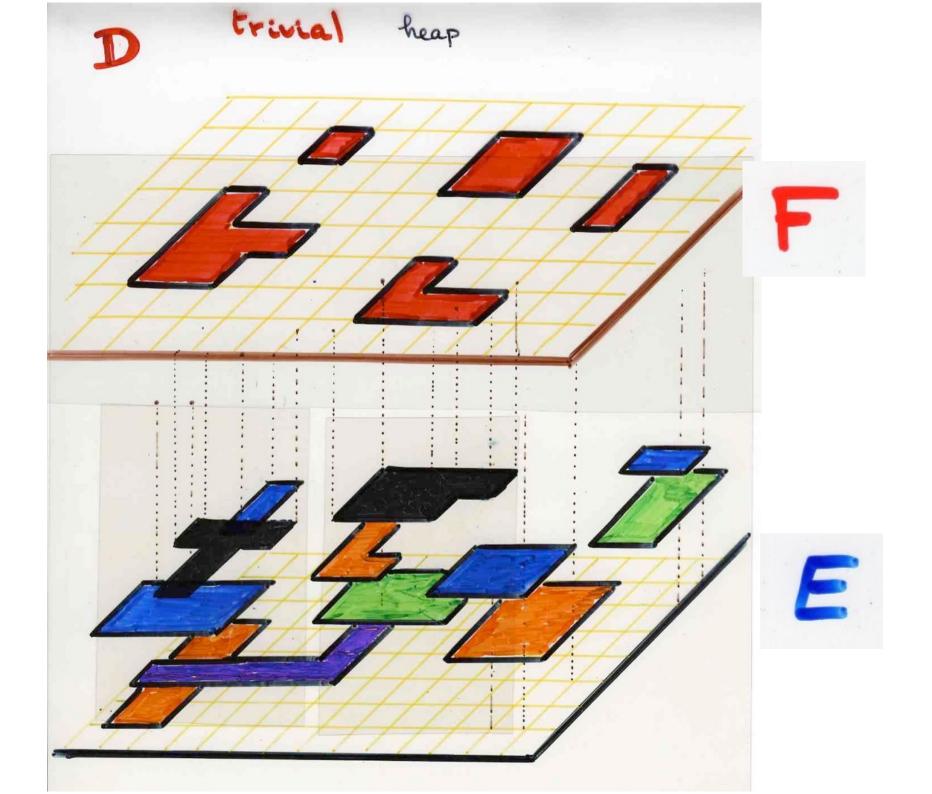


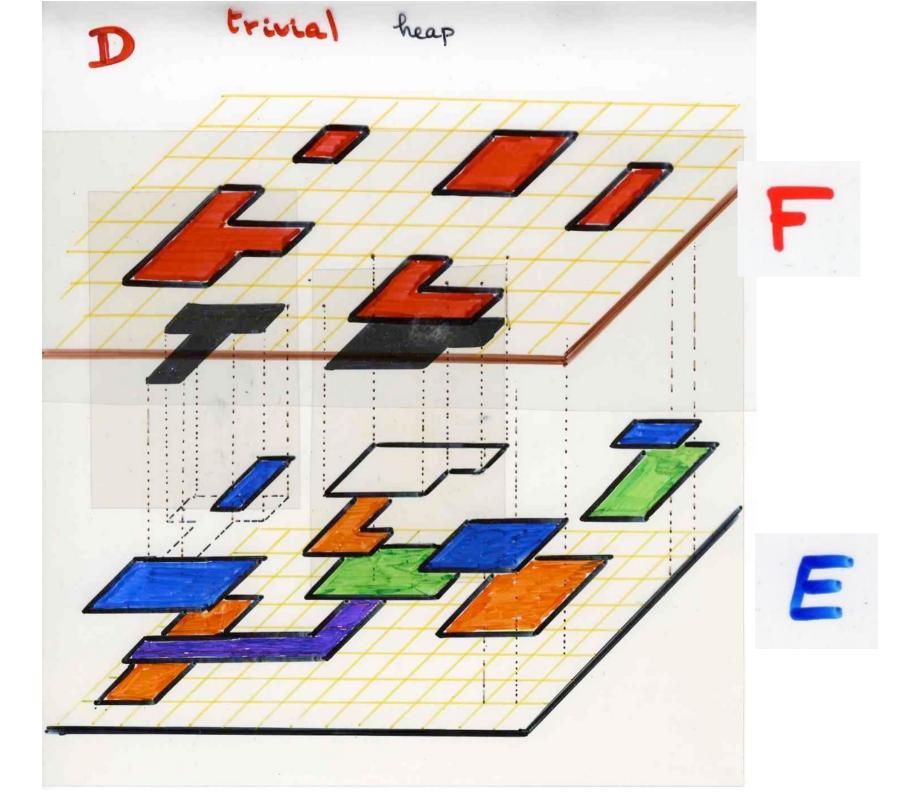


ie FU SBB is a trivial heap

Trans
$$(E,F) = F \cup M(E,F)$$







define a total order on the set P

Let $\delta \in P$ be the smallest basic piece such that $(\delta, i) \in Trans(E, F)$ (for a certain $i \ge 0$)

F n T(M(E,F)) = Ø

• if
$$X \in F$$
, then $F' = F \setminus \{Y\}$
 $[Y = (Y,0)]$
 $E' = E \circ \{Y\}$

(adding Y on the top of E)

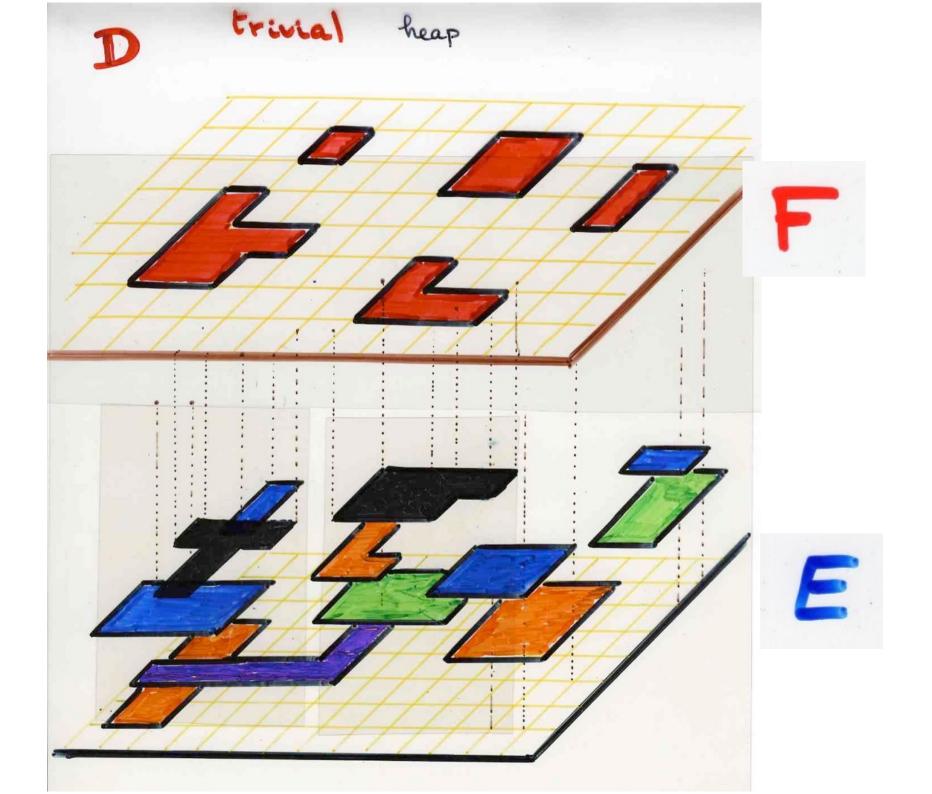
 O product of heaps $Y = (Y,0)$

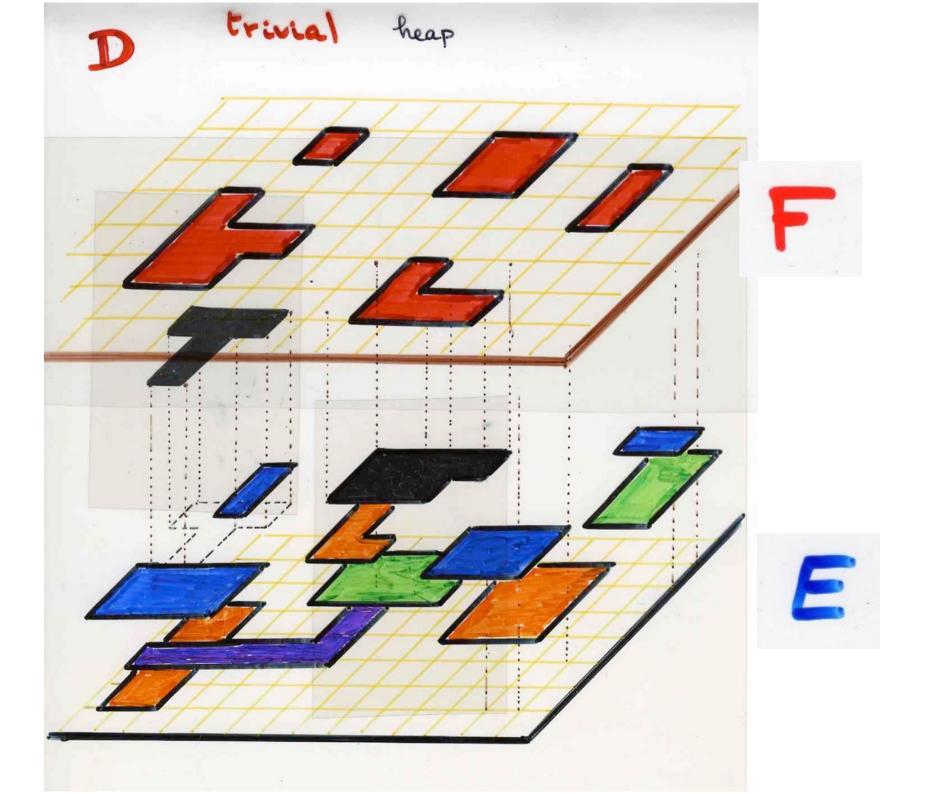
• if
$$Y \in TT(M(E,F))$$
, then $F' = F \cup \{Y\}$

$$E' = E - \{Y\}$$

E' is the unique
heap such that
$$E'o(X, 0) = E$$

deleting a maximal piece from a heap





Trans
$$(E, F) = \emptyset$$
 $\Rightarrow F = \emptyset$
 $\Rightarrow E = \emptyset$

$$\varphi^2 = Id$$

$$\begin{cases} \bullet \ \lor (E) \lor (F) = \lor (E') \lor (F') \\ \bullet \ (-1)^{|F|} = - (-1)^{|F|} \end{cases}$$

$$\left(\sum_{E} V(E)\right) \left(\sum_{F} (-1)^{F} V(F)\right)$$
heaps
trivial
heaps

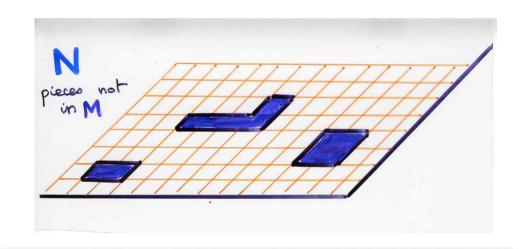
extension of the inversion lemma

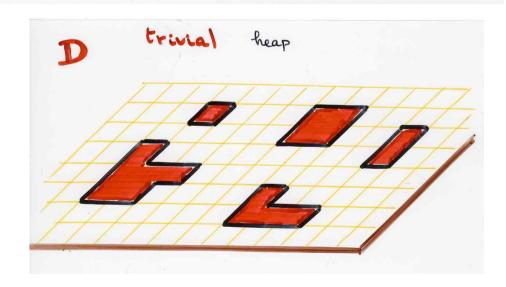
N/D

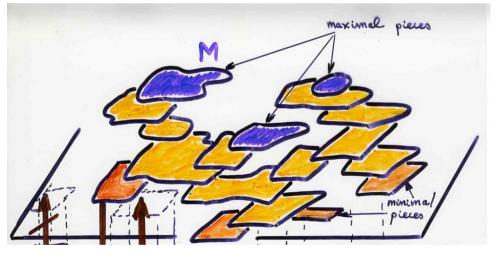
extension of the inversion lemma
$$M \subseteq P$$

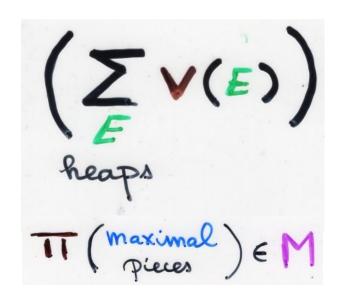
$$\sum v(E) = \frac{N}{D}$$

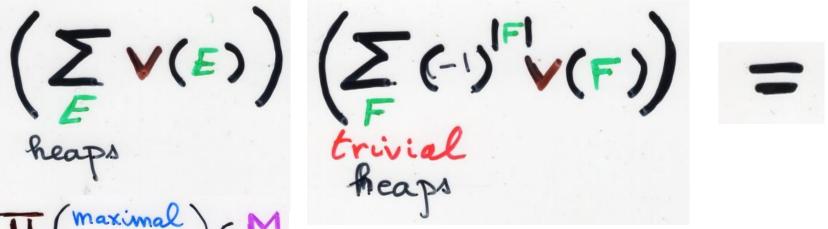
$$T(\text{maximal pieces}) \in M$$









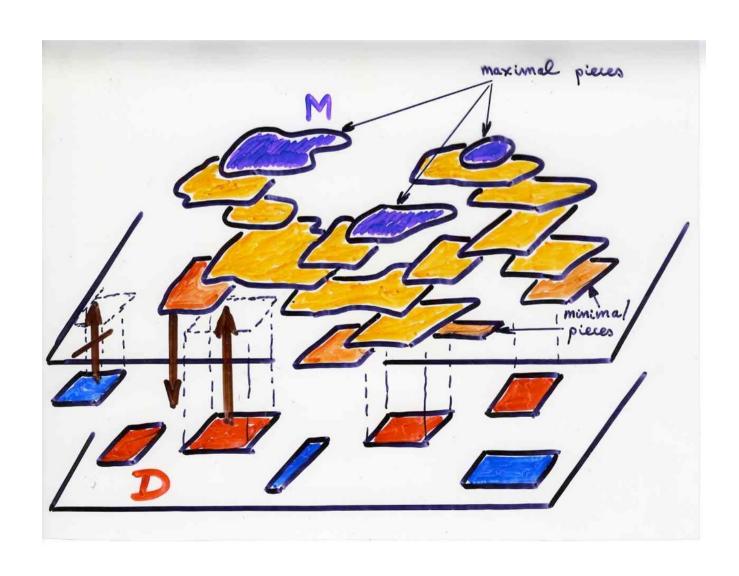








Proof by involution



define an involution
$$\varphi$$
 $(\varphi(E, F) = (E', F')$

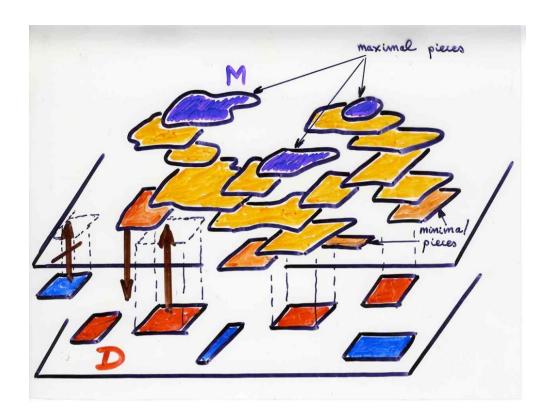
heap trivial

heap

pieces $) \in M$

$$\begin{cases} \bullet \ \lor (E) \lor (F) = \lor (E') \lor (F') \\ \bullet \ (-1)^{|F|} = - (-1)^{|F|} \end{cases}$$

$$\varphi$$
 not defined
for (E,F) with
 $E=\emptyset$, $F\subseteq P-M$



$$m(E,F) = \begin{cases} m = (\beta, 0) & \text{minimal piece of } E \\ \text{such that } \alpha \text{ for all } \alpha \in F \end{cases}$$

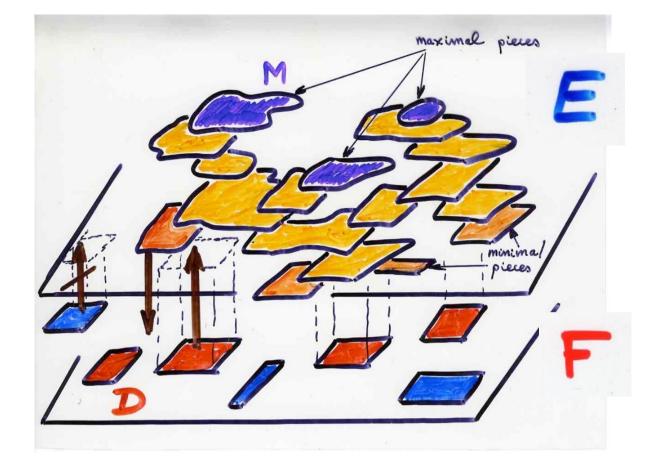
define a total order on the set P

Let $\delta \in P$ be the smallest basic piece such that $(\delta, i) \in Trans(E, F)$ (for a certain $i \ge 0$)

then
$$F' = F \setminus \{ \} \}$$

$$E' = \{ \} \} \circ E$$

deleting a minimal piece from a heap



(i) if
$$\delta \in \{\alpha \in F, (\alpha, 0) \in E\}$$

[$\delta = (\delta, 0)$] is a heap with $\delta = (\delta, 0) \in M$

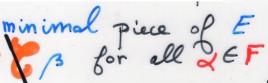
Pieces

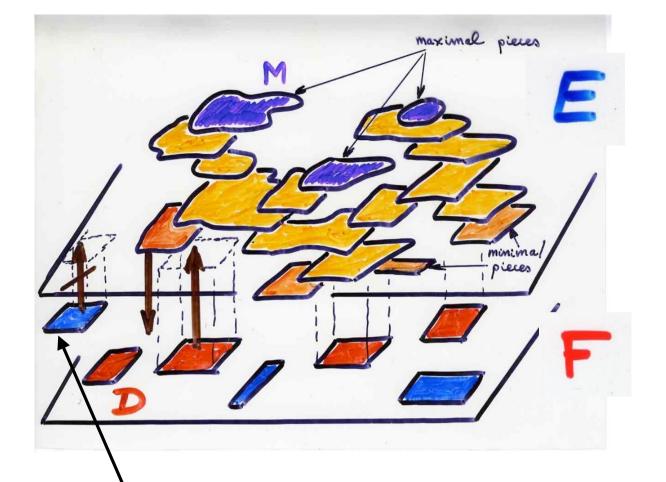




(ii) • if
$$\mathcal{E}(m(E,F)) = \{ m = (\beta, 0) | minimal piece of E \}$$

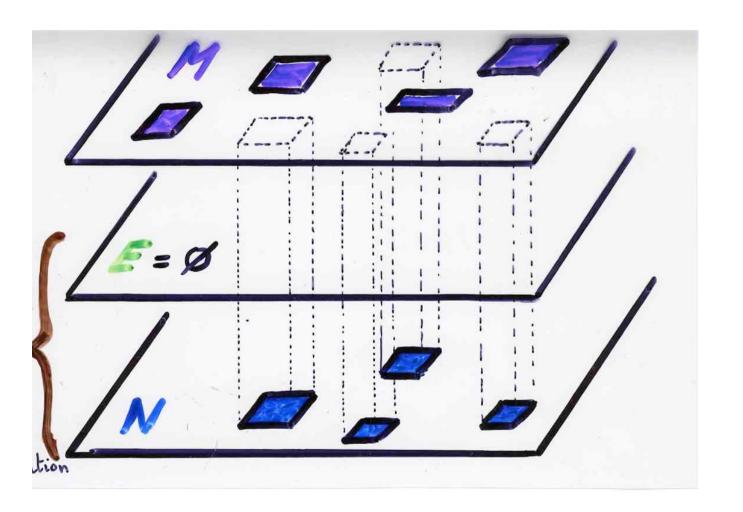
$$\int_{\text{such that}} m = (\beta, 0)$$





$$\varphi$$
 not defined
for (E,F) with
 $E=\emptyset$, $F\subseteq P-M$

 $\{ \alpha \in F, \alpha \in B, \text{ for any } \beta \in E \}$ and $\alpha \notin M$



 φ not defined for (E,F) with $E=\emptyset$, $F\subseteq P-M$

$$\varphi^2 = Id$$

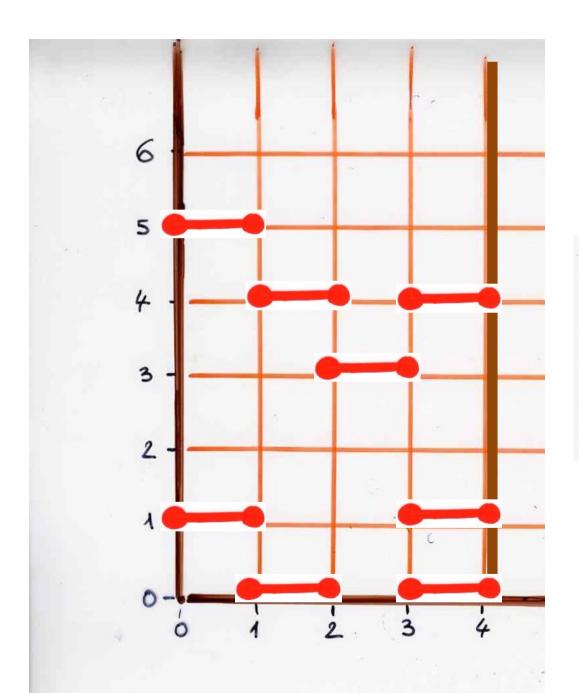
$$\begin{cases} \bullet \ \lor (E) \lor (F) = \lor (E') \lor (F') \\ \bullet \ (-1)^{|F|} = - (-1)^{|F|} \end{cases}$$

$$\varphi$$
 not defined
for (E,F) with
 $E=\emptyset$, $F\subseteq P-M$

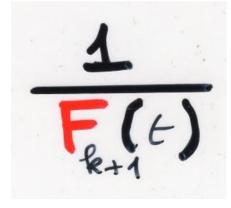
$$D = \sum_{F} (-1)^{|F|} \vee (F)$$
trivial heaps

examples:

heaps of dimers on a segment



generating function of heaps of dimers
on the segment [0, k]
(enumerated by the number of dimers)

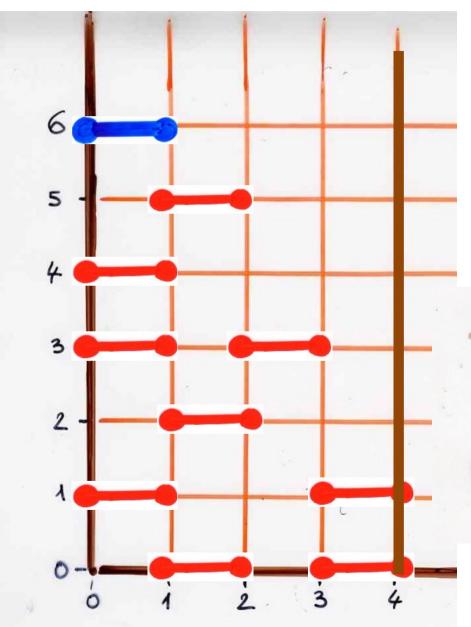


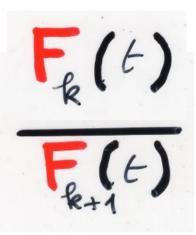
$$F_{n}(x) = \sum_{k \geq 0} (-1)^{k} a_{n,k} x^{k}$$

$$= \sum_{\substack{(-\infty)\\\text{matchings}\\ \text{of }}} (-\infty)^{\text{IMI}}$$

Fibonacci Polynomials

Fibonacci polynomials



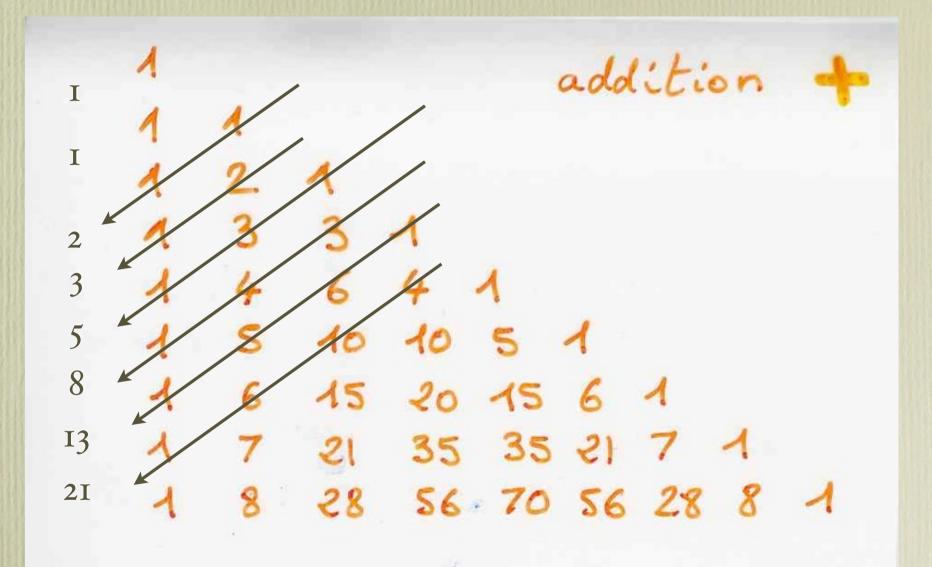


generating function
of semi-pyramids of dimers
on the segment [0, k]

(enumerated by the
number of dimers)

exercise

$$a_{n,k} = \binom{n-k}{k}$$



Pingala (2nd century B.C.)

Aksarachandah.

Chandah number of syllables
later 4 feet (pada)

number of matras (time measure)
short syllabe: one matras
long syllabe: two matras

relation with Fibonacci numbers?



exercise

Fibonacci polynomials and generating function of Catalan numbers

notations

D = 1 + Q

generating function of Catalan numbers

$$Q(t) = \frac{1-2t-\sqrt{1-4t}}{2t}$$
generating function for
$$half-pyramid (\neq \emptyset)$$

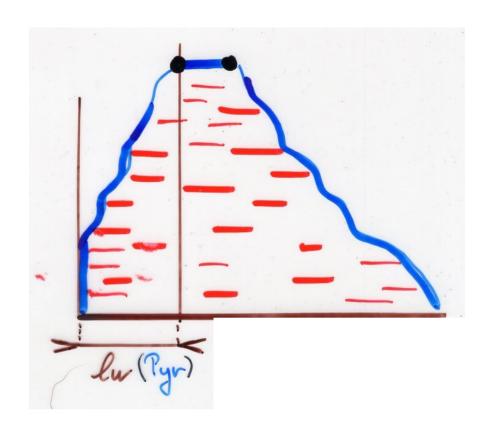
$$= \sum_{n\geqslant 1} C_n t^n$$
Catalan

Fn(t) nth Fibonacci polynomial

we want to prove the following edentity.

$$\overline{F_n} = \frac{(A - Q^{n+\Delta})}{(A - Q)(A + Q)^n}$$

$$(1+Q)^n = \frac{1}{E} \times (1+Q+...+Q^n)$$



semi-pyramid: lu (Pyr) = 0

left-width
of a

pyramid
of dimers
lw (Pyr)

a) Prove that the generating function of (non-empty) pyramids of dimers Pyr with left-width lur (Pyr) = k, is equal to



Prove that both sides of the identity are the generating function of:

$$(A + Q)^n = \frac{1}{E} \times (A + Q + ... + Q^n)$$

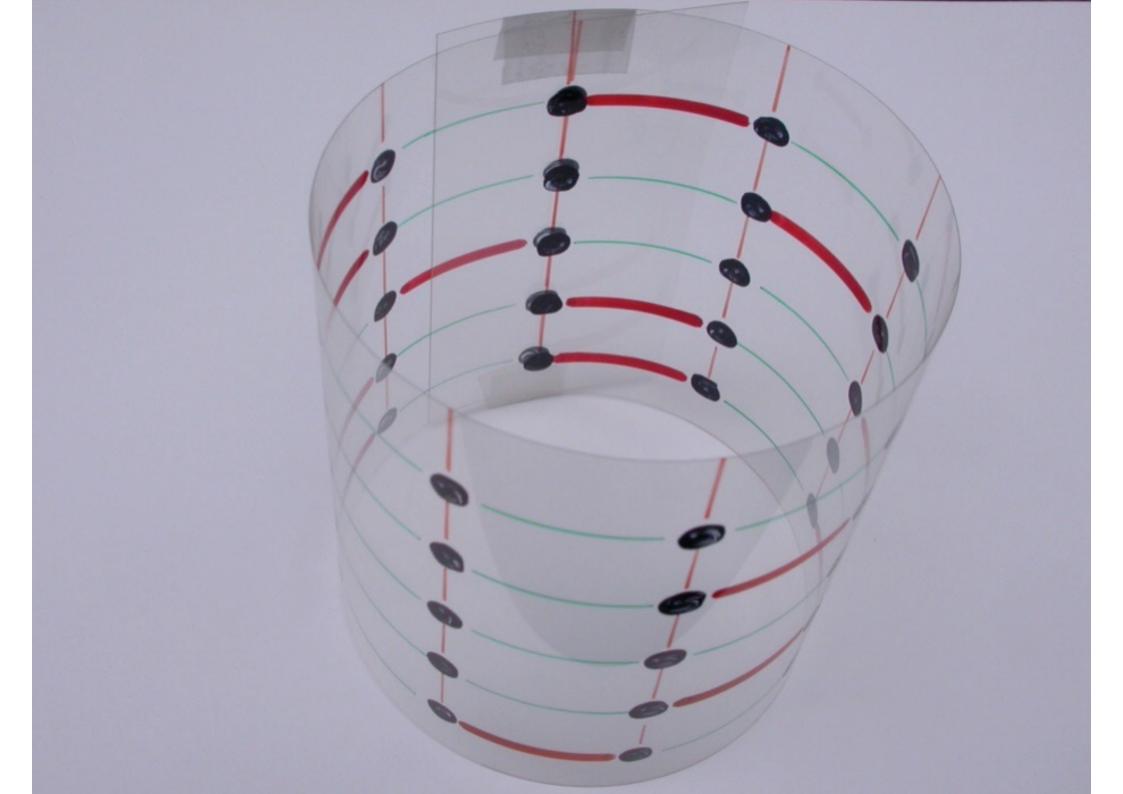
$$heaps of dimers on [o, \infty[$$

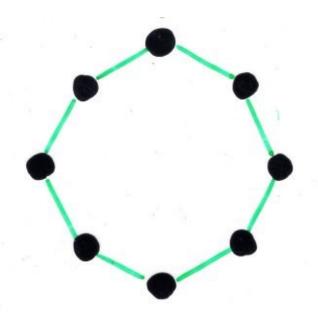
$$maximal pieces, projection \subseteq [o, n]$$

examples:

heaps of dimers on a circle

heaps of dimers on the "cycle" the of length k



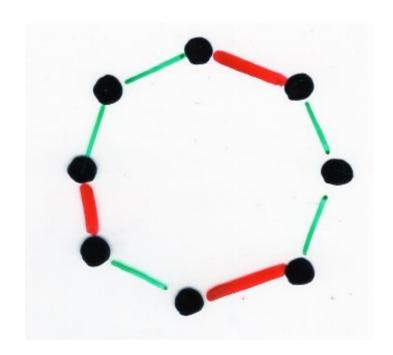


heaps of dimers on the "cycle" of length k

generating

(enumerated by the number of dinners)

1 L_k(t)



Lucas polynomial

$$\frac{1}{4} = \frac{1}{4} = \frac{1}$$

$$L_{N}(x) = \sum_{\substack{\text{matchings M} \\ \text{of a cycle } x}} (-x)^{|M|}$$
ength n

exercise (very easy)

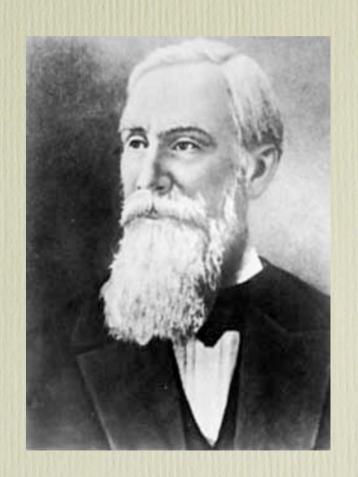
generating function

for pyramids of dimers

on the cycle of ?



Fibonacci and Tchebychef polynomials



$$sin((n+1)\theta) = sin \theta U_n(cos \theta)$$
 $U_n(x)$ The byschef
polynomial 2nd kind

sequence of orthogonal polynomials

$$\frac{2}{\pi} \int_{1}^{+1} U_{n}(x) U_{m}(x) (1-x^{2})^{1/2} dx = \begin{cases} 0 & \text{if } n \neq m \\ 1 & \text{else} \end{cases}$$

$$M_{n}(x) = \sum_{k \geq 0} (-1)^{k} \alpha_{n,k} x^{n-2\cdot k}$$

matching

polynomial

of the

Segment

graph

matchings

of $(-1)^{1}M$

ip (M)

is the number

of isolated points

of M

$$\frac{x}{1}$$
 $\frac{-1}{2}$ $\frac{x}{3}$ $\frac{-1}{4}$ $\frac{-1}{5}$ $\frac{x}{6}$ $\frac{-1}{8}$ $\frac{9}{5}$

$$M_{n}^{*}(x) = x^{n} M_{n}(1/x)$$

$$= \sum_{\substack{M \text{matchings} \\ \text{of } 11, \dots, n}} (-x^{2})^{|M|}$$

$$= \sum_{\substack{M \text{matchings} \\ \text{of } 21, \dots, n}} (x^{2})$$

$$sin((n+1)\theta) = sin \theta U_n(cos \theta)$$
 $U_n(x)$ The byschef
polynomial 2nd kind

$$U_n(x) = M_n(2x)$$

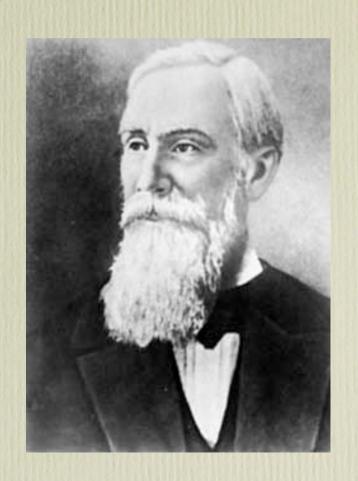
$$M_{n+1}(x) = x M_{N}(x) - M_{n-1}(x)$$

$$\begin{cases} M_o = 1 \\ M_1 = 2 \end{cases}$$

$$\begin{cases} x^{4} & (2 \cos \theta)^{4} \\ -3x^{2} - 3(2 \cos \theta)^{2} \\ +1 & 1 \end{cases}$$



Lucas
and
Tchebycheff polynomials



Tohebychaf
polynomial
polynomial
tot kind



Lucas polynomial

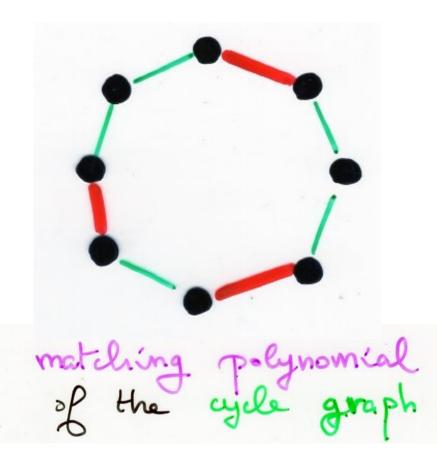
$$\frac{1}{4} = \frac{1}{4} = \frac{1}$$

$$L_{N}(x) = \sum_{\substack{\text{matchings M} \\ \text{of a cycle } x}} (-x)^{|M|}$$
ength n



of the cycle graph

reciprocal of
$$L_n(x^2)$$
 is
$$C_n(x) = \sum_{\text{matching M}} (-1)^{|M|} x^{ip} (M)$$
where f isolated points of f



$$T_{n}(x) = \frac{1}{2}C_{n}(2x)$$

$$C_{n+1}(x) = x C_n(x) - \lambda_n C_{n-1}(x)$$

$$\begin{cases} C_0 = 1 \\ C_1 = 2 \end{cases}$$

$$\begin{cases} \lambda_1 = 2 \\ \lambda_n = 1 \\ (n \ge 2) \end{cases}$$

Tn (cos 0)

exercise

factorisation
$$F_{2n+1}(t) = F_n(t) \times L_{n+1}(t)$$

