

Introduction to Symplectic Geometry : Lecture 24

November 22, 2021

Moment polytopes

- Convexity theorem (Atiyah, Guillemin-Sternberg) : Let $T = (S^1)^n$ be a torus, and let (X, ω, T, μ) be a compact connected T -Hamiltonian space. Then
 - ▶ the level set $\mu^{-1}(c)$ is connected for any $c \in \mathfrak{t}^\vee$,
 - ▶ the image $\mu(X)$ is convex,
 - ▶ and $\mu(X)$ is the convex hull of $\mu(T$ -fixed points).

Moment polytopes

- Convexity theorem (Atiyah, Guillemin-Sternberg) : Let $T = (S^1)^n$ be a torus, and let (X, ω, T, μ) be a compact connected T -Hamiltonian space. Then
 - ▶ the level set $\mu^{-1}(c)$ is connected for any $c \in \mathfrak{t}^\vee$,
 - ▶ the image $\mu(X)$ is convex,
 - ▶ and $\mu(X)$ is the convex hull of $\mu(T$ -fixed points).

Moment polytopes

- Convexity theorem (Atiyah, Guillemin-Sternberg) : Let $T = (S^1)^n$ be a torus, and let (X, ω, T, μ) be a compact connected T -Hamiltonian space. Then
 - ▶ the level set $\mu^{-1}(c)$ is connected for any $c \in \mathfrak{t}^\vee$,
 - ▶ the image $\mu(X)$ is convex,
 - ▶ and $\mu(X)$ is the convex hull of $\mu(T$ -fixed points).
- The image $\mu(X)$ is called the **moment polytope** of the Hamiltonian action.

Moment polytopes

- Convexity theorem (Atiyah, Guillemin-Sternberg) : Let $T = (S^1)^n$ be a torus, and let (X, ω, T, μ) be a compact connected T -Hamiltonian space. Then
 - ▶ the level set $\mu^{-1}(c)$ is connected for any $c \in \mathfrak{t}^\vee$,
 - ▶ the image $\mu(X)$ is convex,
 - ▶ and $\mu(X)$ is the convex hull of $\mu(T$ -fixed points).
- The image $\mu(X)$ is called the **moment polytope** of the Hamiltonian action.
- Last time : We proved convexity of $\mu(X)$ assuming connectedness of level sets of μ .

We will prove $\mu^{-1}(c)$ is connected
if μ is an S^1 -moment map
using Morse theory.

Detour into Morse theory

- Given a smooth function $f : M \rightarrow \mathbb{R}$, p is a critical point if $df(p) = 0$.

Detour into Morse theory

- Given a smooth function $f : M \rightarrow \mathbb{R}$, p is a critical point if $df(p) = 0$. The critical point p is **non-degenerate** if the Hessian

$$H_p := \nabla df_p : T_p M \otimes T_p M \rightarrow \mathbb{R}$$

is non-singular.

Detour into Morse theory

- Given a smooth function $f : M \rightarrow \mathbb{R}$, p is a critical point if $df(p) = 0$. The critical point p is **non-degenerate** if the Hessian

$$H_p := \nabla df_p : T_p M \otimes T_p M \rightarrow \mathbb{R}$$

is non-singular.

- The function f is **Morse** if all critical points are non-degenerate.

Detour into Morse theory

- Given a smooth function $f : M \rightarrow \mathbb{R}$, p is a critical point if $df(p) = 0$. The critical point p is **non-degenerate** if the Hessian

$$H_p := \nabla df_p : T_p M \otimes T_p M \rightarrow \mathbb{R}$$

is non-singular.

- The function f is **Morse** if all critical points are non-degenerate.
- For a critical point p , the Hessian is symmetric. For a non-degenerate critical point, H_p has k negative eigen-values and $(n - k)$ positive eigen-values. Define the **index** of p as k .

Detour into Morse theory

- Given a smooth function $f : M \rightarrow \mathbb{R}$, p is a critical point if $df(p) = 0$. The critical point p is **non-degenerate** if the Hessian

$$H_p := \nabla df_p : T_p M \otimes T_p M \rightarrow \mathbb{R}$$

is non-singular.

- The function f is **Morse** if all critical points are non-degenerate.
- For a critical point p , the Hessian is symmetric. For a non-degenerate critical point, H_p has k negative eigen-values and $(n - k)$ positive eigen-values. Define the **index** of p as k .
- Lemma of Morse : In a neighborhood of a critical point p , there are coordinates (x_1, \dots, x_n) such that

$$\left(f(x) = f(p) - x_1^2 - \dots - x_k^2 + x_{k+1}^2 + \dots + x_n^2. \right)$$

Detour into Morse theory

$$L_v L_w f - L_w L_v f = [v, w](f) = 0$$

$v, w \in T_p M \quad \tilde{v}, \tilde{w} \in \text{Vect}(\tilde{U})$

$$H_p(v, w) := L_v L_w f - L_w L_v f = L_w L_v f$$

$p \in \text{crit}(f)$

- Given a smooth function $f : M \rightarrow \mathbb{R}$, p is a critical point if $df(p) = 0$. The critical point p is **non-degenerate** if the Hessian

$$H_p := \nabla df_p : T_p M \otimes T_p M \rightarrow \mathbb{R}$$

is non-singular.

$$H_p = \left(\frac{\partial^2 f}{\partial x_i \partial x_j} \right)_{i,j}$$

- The function f is **Morse** if all critical points are non-degenerate.
- For a critical point p , the Hessian is symmetric. For a non-degenerate critical point, H_p has k negative eigen-values and $(n - k)$ positive eigen-values. Define the **index** of p as k .
- Lemma of Morse: In a neighborhood of a critical point p , there are coordinates (x_1, \dots, x_n) such that

$$\text{coindex}(p) = n - k := \# \text{ +ve of eigenvalues of } H_p$$

$$f(x) = f(p) - x_1^2 - \dots - x_k^2 + x_{k+1}^2 + \dots + x_n^2.$$

- Observation : Critical points of a Morse function are isolated.

$$\text{Rnk} \quad \text{ind}(-f, p) = n - k = \text{coindex}(f, p)$$

Detour into Morse theory

- Classical Morse theory question : How does the homotopy type of

$$M_a := f^{-1}(-\infty, a] = \{f \leq a\}$$

change as we vary a ? Consider the example of the standing torus with f being the height function.

Detour into Morse theory

- Classical Morse theory question : How does the homotopy type of

$$M_a := f^{-1}(-\infty, a]$$

change as we vary a ? Consider the example of the standing torus with f being the height function.

- Theorem :

- 1 If there are no critical points in $[a, b]$ then M_a is diffeomorphic to M_b .

Detour into Morse theory

- Classical Morse theory question : How does the homotopy type of

$$M_a := f^{-1}(-\infty, a]$$

change as we vary a ? Consider the example of the standing torus with f being the height function.

- Theorem :

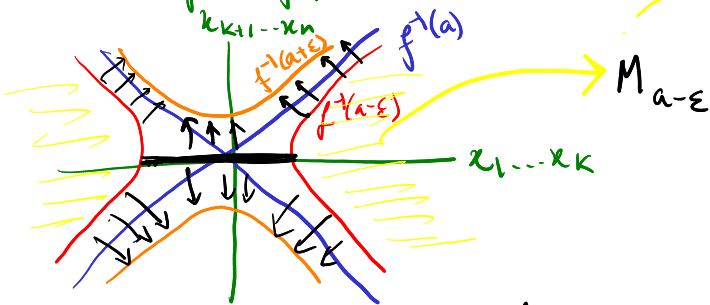
- 1 If there are no critical points in $[a, b]$ then M_a is diffeomorphic to M_b . ✓
- 2 If $a \in \text{crit}(f)$ and the index of a is k , $M_{a+\epsilon}$ is homotopy equivalent to $M_{a-\epsilon}$ with a k -cell attached.

$p \in M$ index k critical point

$$f(p) = a$$

In a nbhd of p

$$f = f(p) - x_1^2 - \dots - x_k^2 + x_{k+1}^2 + \dots + x_n^2$$



$M_{a-\epsilon} + \mathbb{D}^k$ homotopy equiv $\cong M_{a+\epsilon}$
 \downarrow attached to $M_{a-\epsilon}$ along $\partial \mathbb{D}^k$

Detour into Morse theory

- Classical Morse theory question : How does the homotopy type of

$$M_a := f^{-1}(-\infty, a]$$

change as we vary a ? Consider the example of the standing torus with f being the height function.

- Theorem :

- 1 If there are no critical points in $[a, b]$ then M_a is diffeomorphic to M_b .
- 2 If $a \in \text{crit}(f)$ and the index of a is k , $M_{a+\epsilon}$ is homotopy equivalent to $M_{a-\epsilon}$ with a k -cell attached.

- Analogous Theorem : Let $M_{[a_0, a]} := f^{-1}([a_0, a])$.

- 1 If there are no critical points in $[a, b]$ then $M_{[a_0, a]}$ is diffeomorphic to $M_{[a_0, b]}$.

Detour into Morse theory

- Classical Morse theory question : How does the homotopy type of

$$M_a := f^{-1}(-\infty, a]$$

change as we vary a ? Consider the example of the standing torus with f being the height function.

- Theorem :

- 1 If there are no critical points in $[a, b]$ then M_a is diffeomorphic to M_b .
- 2 If $p \in \text{crit}(f)$ and the index of p is k , $M_{a+\epsilon}$ is homotopy equivalent to $M_{a-\epsilon}$ with a k -cell attached.

- Analogous Theorem : Let $M_{[a_0, a]} := f^{-1}([a_0, a])$.

- 1 If there are no critical points in $[a, b]$ then $M_{[a_0, a]}$ is diffeomorphic to $M_{[a_0, b]}$.
- 2 If $p \in \text{crit}(f)$ and the index of p is k , $M_{[a_0, a+\epsilon]}$ is homotopy equivalent to $M_{[a_0, a-\epsilon]}$ with a k -cell attached.

Detour into Morse theory

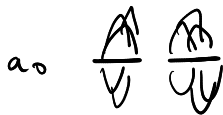
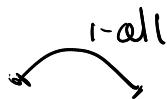
- Theorem : On a ^{compact} connected manifold M , if a Morse function $f : M \rightarrow \mathbb{R}$ does not have critical points with index 1 or co-index 1, then its level sets are connected.

Detour into Morse theory

- Theorem : On a connected manifold M , if a Morse function $f : M \rightarrow \mathbb{R}$ does not have critical points with index 1 or co-index 1, then its level sets are connected.
- Proof : Suppose there is a disconnected level, namely $f^{-1}(a_0)$.

Detour into Morse theory

- Theorem : On a connected manifold M , if a Morse function $f : M \rightarrow \mathbb{R}$ does not have critical points with index 1 or co-index 1, then its level sets are connected.
- Proof : Suppose there is a disconnected level, namely $f^{-1}(a_0)$. Attaching a k -cell, $k \geq 2$ cannot make a disconnected space connected. Therefore, the set $\{f \geq a_0\}$ is disconnected with the same components as $f^{-1}(a_0)$.

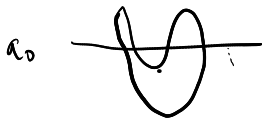


$\mathbb{R}P^k$ is connected
if $k \geq 2$

$f^{-1}(a_0)$ is disconnected
 $\Rightarrow \{f \geq a_0\}$ is also disconnected
with same components.

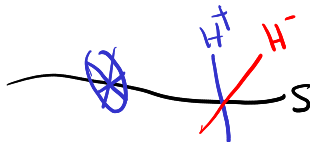
Detour into Morse theory

- Theorem : On a connected manifold M , if a Morse function $f : M \rightarrow \mathbb{R}$ does not have critical points with index 1 or co-index 1, then its level sets are connected.
- Proof : Suppose there is a disconnected level, namely $f^{-1}(a_0)$. Attaching a k -cell, $k \geq 2$ cannot make a disconnected space connected. Therefore,
 - ↳ the set $\{f \geq a_0\}$ is disconnected with the same components as $f^{-1}(a_0)$.
- Apply the same reasoning for $-f$, and we conclude that M is disconnected, which is a contradiction. □



Generalization to Morse-Bott functions

- A function $f : M \rightarrow \mathbb{R}$ is **Morse-Bott** if any connected critical set S is a submanifold and for any $s \in S$, f is non-degenerate in the directions normal to S .



Generalization to Morse-Bott functions

- A function $f : M \rightarrow \mathbb{R}$ is **Morse-Bott** if any connected critical set S is a submanifold and for any $s \in S$, f is non-degenerate in the directions normal to S . Thus there is a splitting

$$T_s M = \underline{T_s S} \oplus \underline{H_s^+} \oplus \underline{H_s^-},$$

where H_s^+ resp. H_s^- is the positive resp. negative eigen-space of the Hessian at s .

Generalization to Morse-Bott functions

- A function $f : M \rightarrow \mathbb{R}$ is **Morse-Bott** if any connected critical set S is a submanifold and for any $s \in S$, f is non-degenerate in the directions normal to S . Thus there is a splitting

$$T_s M = T_s S \oplus H_s^+ \oplus H_s^-,$$

where H_s^+ resp. H_s^- is the positive resp. negative eigen-space of the Hessian at s .

- Define $\text{index}(s) := \dim(H_s^-)$, $\text{co-index}(s) := \dim(H_s^+)$.

Generalization to Morse-Bott functions

- A function $f : M \rightarrow \mathbb{R}$ is **Morse-Bott** if any connected critical set S is a submanifold and for any $s \in S$, f is non-degenerate in the directions normal to S . Thus there is a splitting

$$T_s M = T_s S \oplus H_s^+ \oplus H_s^-,$$

where H_s^+ resp. H_s^- is the positive resp. negative eigen-space of the Hessian at s .

- Define $\text{index}(s) := \dim(H_s^-)$, $\text{co-index}(s) := \dim(H_s^+)$.
- Result : Suppose $a := f(s)$. Then, $M_{a+\epsilon}$ is homotopy equivalent to $M_{a-\epsilon}$ with an S -family of k -cells attached, where $k := \text{index}(s)$.

(No other critical points in $f^{-1}(a)$ besides S)

Morse case : $S = \text{point}$

Generalization to Morse-Bott functions

- A function $f : M \rightarrow \mathbb{R}$ is **Morse-Bott** if any connected critical set S is a submanifold and for any $s \in S$, f is non-degenerate in the directions normal to S . Thus there is a splitting

$$T_s M = T_s S \oplus H_s^+ \oplus H_s^-,$$

where H_s^+ resp. H_s^- is the positive resp. negative eigen-space of the Hessian at s .

- Define $\text{index}(s) := \dim(H_s^-)$, $\text{co-index}(s) := \dim(H_s^+)$.
- Result : Suppose $a := f(s)$. Then, $M_{a+\epsilon}$ is homotopy equivalent to $M_{a-\epsilon}$ with an S -family of k -cells attached, where $k := \text{index}(s)$. An S -family of k -cells is a k -disk bundle

$$\mathbb{D}^k \rightarrow \Delta \rightarrow S$$

attached to $M_{a-\epsilon}$ along $\partial\Delta$.

Generalization to Morse-Bott functions

- A function $f : M \rightarrow \mathbb{R}$ is **Morse-Bott** if any connected critical set S is a submanifold and for any $s \in S$, f is non-degenerate in the directions normal to S . Thus there is a splitting

$$T_s M = T_s S \oplus H_s^+ \oplus H_s^-,$$

where H_s^+ resp. H_s^- is the positive resp. negative eigen-space of the Hessian at s .

- Define $\text{index}(s) := \dim(H_s^-)$, $\text{co-index}(s) := \dim(H_s^+)$.
- Result : Suppose $a := f(s)$. Then, $M_{a+\epsilon}$ is homotopy equivalent to $M_{a-\epsilon}$ with an S -family of k -cells attached, where $k := \text{index}(s)$. An S -family of k -cells is a k -disk bundle

$$\mathbb{D}^k \rightarrow \Delta \rightarrow S$$

attached to $M_{a-\epsilon}$ along $\partial\Delta$.

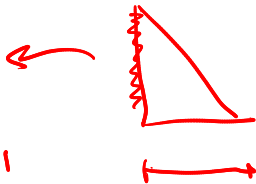
- Theorem : If a Morse-Bott function $f : M \rightarrow \mathbb{R}$ does not have critical points with index 1 or co-index 1, then its level sets are connected.

$$(S^1)^2 \hookrightarrow \mathbb{P}^2$$

$$[z_0 : z_1 : z_2] \xrightarrow{(\theta_1, \theta_2)} [z_0 : e^{i\theta_1} z_1 : e^{i\theta_2} z_2]$$

$$T_1 = \{(\theta_1, 1) \in (S^1)^2\}$$

\mathbb{P}^1 is a
critical
set of μ_{T_1}



Proof of convexity theorem, Part 2

- Theorem : An S^1 -moment map is Morse-Bott with critical points of even index and co-index.

Proof of convexity theorem, Part 2

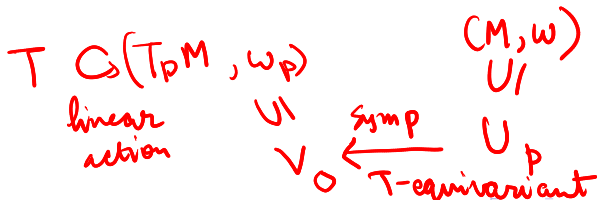
- Theorem : An S^1 -moment map is Morse-Bott with critical points of even index and co-index.
- Corollary : The level sets on an S^1 -moment map are connected.

Proof of convexity theorem, Part 2

- Theorem : An S^1 -moment map is Morse-Bott with critical points of even index and co-index.
- Corollary : The level sets on an S^1 -moment map are connected.
- Equivariant Darboux theorem : Suppose $T = (S^1)^m$ acts symplectically on (M, ω) and $p \in M$ is a fixed point. Then there are coordinates $(x_1, y_1, \dots, x_n, y_n)$ in a T -invariant neighborhood U of p such that

$$(x_1, y_1, \dots, x_n, y_n)(p) = 0$$

and the action of T is \mathbb{R} -linear in U .



Proof of convexity theorem, Part 2

- Theorem : An S^1 -moment map is Morse-Bott with critical points of even index and co-index.
- Corollary : The level sets on an S^1 -moment map are connected.
- Equivariant Darboux theorem : Suppose $T = (S^1)^m$ acts symplectically on (M, ω) and $p \in M$ is a fixed point. Then there are coordinates $(x_1, y_1, \dots, x_n, y_n)$ in a T -invariant neighborhood U of p such that

$$(x_1, y_1, \dots, x_n, y_n)(p) = 0$$

and the action of T is \mathbb{R} -linear in U .

- Result : Suppose T acts \mathbb{R} -linearly on $(\mathbb{R}^{2n}, \omega_{std})$. Then there is a linear ω_{std} -compatible J such that the T -action is \mathbb{C} -linear.

Pf: Same proof as contractibility of (V, ω)
Start with a T -invariant metric
 \Rightarrow get a T -invariant J

\Rightarrow T -action on (\mathbb{R}^{2n}, J) is \mathbb{C} -linear.

Proof of convexity theorem, Part 2

- Theorem : An S^1 -moment map is Morse-Bott with critical points of even index and co-index.
- Corollary : The level sets on an S^1 -moment map are connected.
- Equivariant Darboux theorem : Suppose $T = (S^1)^m$ acts symplectically on (M, ω) and $p \in M$ is a fixed point. Then there are coordinates $(x_1, y_1, \dots, x_n, y_n)$ in a T -invariant neighborhood U of p such that

$$(x_1, y_1, \dots, x_n, y_n)(p) = 0$$

and the action of T is \mathbb{R} -linear in U .

- Result : Suppose T acts \mathbb{R} -linearly on $(\mathbb{R}^{2n}, \omega_{std})$. Then there is a linear ω_{std} -compatible J such that the T -action is \mathbb{C} -linear.
- By Homework 9, Problem 4, there is a linear change of coordinates in U to $(U, \bar{x}_1, \bar{y}_1, \dots, \bar{x}_n, \bar{y}_n)$, and weights $\mu_i \in \mathfrak{t}^\vee$ such that

$$\mu = \mu(p) + \sum_i \frac{1}{2}(\bar{x}_i^2 + \bar{y}_i^2)\mu_i.$$

$$T = S^1$$
$$\mathfrak{t}^\vee_{\mathbb{Z}} = \mathbb{Z}$$

Proof of convexity theorem, Part 2

- Theorem : An S^1 -moment map $\mu : M \rightarrow \mathbb{R}$ is Morse-Bott with critical points of even index and co-index.

Proof of convexity theorem, Part 2

- Theorem : An S^1 -moment map $\mu : M \rightarrow \mathbb{R}$ is Morse-Bott with critical points of even index and co-index.
- Proof : Specializing the above discussion to $T = S^1$, for any critical point $p \in \text{crit}(\mu)$, there is a coordinate chart $(U, \bar{x}_1, \bar{y}_1, \dots, \bar{x}_n, \bar{y}_n)$

Proof of convexity theorem, Part 2

- Theorem : An S^1 -moment map $\mu : M \rightarrow \mathbb{R}$ is Morse-Bott with critical points of even index and co-index.
- Proof : Specializing the above discussion to $T = S^1$, for any critical point $p \in \text{crit}(\mu)$, there is a coordinate chart $(U, \bar{x}_1, \bar{y}_1, \dots, \bar{x}_n, \bar{y}_n)$ and integers $\underline{\mu_i} \in \mathbb{Z}$ such that

$$\mu = \mu(p) + \sum_i \frac{1}{2} (\bar{x}_i^2 + \bar{y}_i^2) \underline{\mu_i}.$$

Proof of convexity theorem, Part 2

- Theorem : An S^1 -moment map $\mu : M \rightarrow \mathbb{R}$ is Morse-Bott with critical points of even index and co-index.
- Proof : Specializing the above discussion to $T = S^1$, for any critical point $p \in \text{crit}(\mu)$, there is a coordinate chart $(U, \bar{x}_1, \bar{y}_1, \dots, \bar{x}_n, \bar{y}_n)$ and integers $\mu_i \in \mathbb{Z}$ such that

$$\mu = \mu(p) + \sum_i \frac{1}{2}(\bar{x}_i^2 + \bar{y}_i^2)\mu_i.$$

- The index is $\#2\{i : \underline{\mu_i} > 0\}$ and the co-index is $\#2\{i : \mu_i < 0\}$. □

Proof of convexity theorem, Part 2

- Theorem : An S^1 -moment map $\mu : M \rightarrow \mathbb{R}$ is Morse-Bott with critical points of even index and co-index.
- Proof : Specializing the above discussion to $T = S^1$, for any critical point $p \in \text{crit}(\mu)$, there is a coordinate chart $(U, \bar{x}_1, \bar{y}_1, \dots, \bar{x}_n, \bar{y}_n)$ and integers $\mu_i \in \mathbb{Z}$ such that

$$\mu = \mu(p) + \sum_i \frac{1}{2}(\bar{x}_i^2 + \bar{y}_i^2)\mu_i.$$

- The index is $\#2\{i : \mu_i > 0\}$ and the co-index is $\#2\{i : \mu_i < 0\}$. □
- We have shown that the level sets of an S^1 -moment map are connected.