

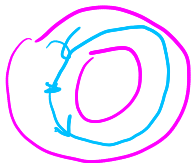
# Introduction to Symplectic Geometry : Lecture 10

September 15, 2021

$$\pi_1(U_0 \cap U_1)$$

$$\begin{aligned} &\rightarrow \pi_1(\mathrm{Sp}(\mathbb{R}^{2n}), A_0) \\ &\rightarrow \pi_1(\mathrm{Sp}(\mathbb{R}^{2n}), A_1) \end{aligned}$$

$$\psi_{10} : U_0 \cap U_1 \rightarrow \mathrm{Sp}(\mathbb{R}^{2n}) \quad A \in \mathrm{Sp}(\mathbb{R}^{2n})$$



$$A \cdot \psi_{10}(\gamma(b))$$

$$\neq \psi_{10}(\gamma(b))A$$

$$\tilde{\psi}_{10} := A \psi_{10} A^{-1}$$

$$\pi_1(U_0 \cap U_1, z) \rightarrow \pi_1(\mathrm{Sp}(\mathbb{R}^{2n}), \tilde{\psi}_{10}(z))$$

## Recall : the group of symplectomorphisms


- Last time we showed : Let  $(M, \omega)$  be a compact symplectic manifold. The tangent space  $T_{\text{Id}} \text{Symp}(M, \omega)$  is linearly isomorphic to  $\{\alpha \in \Omega^1(M) : d\alpha = 0\}$ .

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- A vector field  $v \in \text{Vect}(M)$  is a **symplectic vector field** if  $d(i_v \omega)$  is closed. The flow of a time-dependent family  $v_t$  of symplectic vector fields is a symplectomorphism in  $\text{Symp}_0(M, \omega)$ .


$$L_v \omega = 0$$

# Hamiltonian diffeomorphisms

- Let  $M$  be a symplectic manifold. A **Hamiltonian vector field** is a vector field  $v \in \text{Vect}(M)$  such that  $i_v\omega$  is exact.
- A Hamiltonian vector field  $v$  is generated by a Hamiltonian function  $H : M \rightarrow \mathbb{R}$  by the condition  $dH = i_v\omega$ .

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- Thus a Hamiltonian diffeomorphism is given by a time-dependent **Hamiltonian function**  $H_t : M \rightarrow \mathbb{R}$ ,  $t \in [0, 1]$ , and the generating vector field is

$$\underline{i_{v_t}\omega = dH_t.}$$

# Hamiltonian diffeomorphisms

Egs in  $\text{Symp}(M, \omega) \setminus \text{Symp}_0(M, \omega)$  ?

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- If  $M$  is simply connected,  $\text{Symp}_0(M, \omega) = \text{Ham}(M, \omega)$ ,

$$H^1(M) = 0$$

$$\underbrace{V \in \text{Vect}(M)}_{i_v \omega \text{ closed}} \Rightarrow i_v \omega \text{ is exact}$$

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- If  $M$  is simply connected,  $\text{Symp}_0(M, \omega) = \text{Ham}(M, \omega)$ , otherwise they are different.

# Arnold's conjecture

## Conjecture

Let  $(M, \omega)$  be a compact symplectic manifold, and let

$$H_t : M \rightarrow \mathbb{R}, \quad t \in \mathbb{R}/\mathbb{Z}$$

$$H_t = H_{t+1}$$

be a time-dependent Hamiltonian function. Assume that <sup>all</sup> the fixed points of the time one flow  $\phi : M \rightarrow M$  are non-degenerate. Then,

$$\# \text{Fix}(\phi) \geq \sum_{i=1}^{\dim(M)} \text{rank}(H^i(M)).$$

$$p \in \text{Fix}(\phi)$$

$p$  is non-degenerate fixed pt  
 $\Leftrightarrow d\phi_p : T_p M \rightarrow T_p M$   
does not have 1  
as an eigenvalue

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$p$  is a non deg fixed point of  $\phi$   
 $\Rightarrow p$  is an isolated fixed point

# Remarks about Arnold's conjecture

- The result does not hold if 'Hamiltonian diffeomorphism' is replaced by a 'symplectomorphism isotopic to the identity'.



$$\phi_\tau(t, \theta) := (t + \tau, \theta)$$

$$\phi_0 = \text{Id}$$

$$\left( \phi_\tau \sim \phi_0 \right) \\ \text{Isotopic}$$

no fixed pts  
 $\phi_\tau \in \text{Symp}_0$

$$\mathbb{T}^2 = ((\mathbb{R} \times S^1) / \mathbb{Z}, dt \wedge d\theta)$$



$\phi_\tau \in \text{Symp}_0(\mathbb{T}^2)$ . does not have fixed points

# Remarks about Arnold's conjecture

- The result does not hold if 'Hamiltonian diffeomorphism' is replaced by a 'symplectomorphism isotopic to the identity'.
- The result is easy to see if the Hamiltonian function is required to be time-independent, i.e.  $H_t = H$ .

$H_t = H$       what are some fixed points?

$$p \in M \quad dH(p) = 0 \quad i_v \omega = dH \\ \Rightarrow v(p) = 0$$

$\phi =$  time 1 flow of  $v$

$$\Rightarrow \phi(p) = p$$

Morse theory  $\Rightarrow$

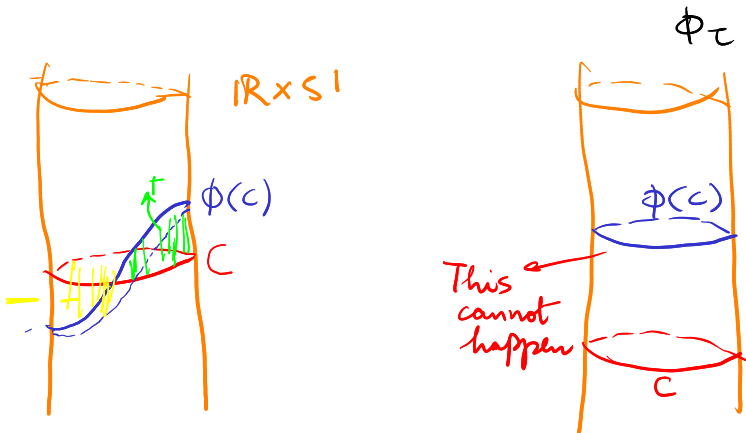
$$\# \text{crit}(H) \geq \sum_{i=0}^{\dim M} \alpha K(H^i(M))$$

# $\text{Ham}(M, \omega) \neq \text{Symp}_0(M, \omega)$

- **Result:** Let  $M = \mathbb{R} \times S^1$  be the cylinder with the standard symplectic form  $dt \wedge d\theta$ . Let  $\phi : M \rightarrow M$  be a Hamiltonian diffeomorphism. Let  $C := \{0\} \times S^1$ .

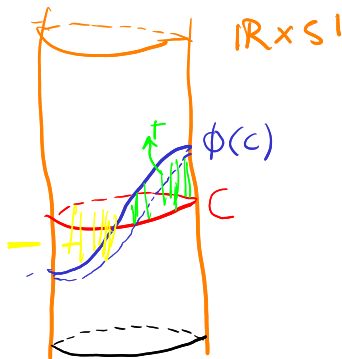
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Signed area  
b/w Blue and Red  
:= Area b/w Blue &  
Black  
- Area b/w Red  
& Black.

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- Proof : Suppose  $\phi$  is generated by the time-dependent Hamiltonian  $H_t : M \rightarrow \mathbb{R}, t \in [0, 1]$ .

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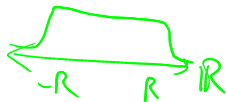
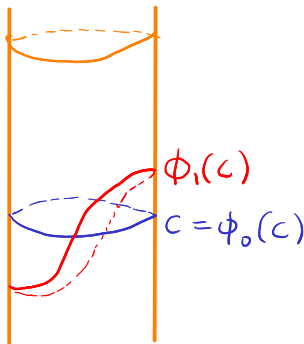
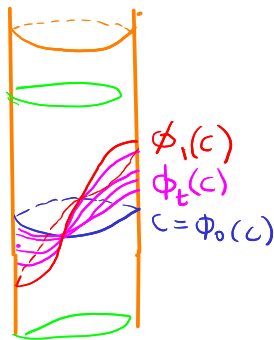
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- We may replace  $H_t$  by  $\bar{H}_t$  such that
  - 1  $\cup_t \text{supp}(\bar{H}_t)$  is compact,
  - 2 and if  $\bar{\phi}_t$  is the Hamiltonian flow generated by  $\bar{H}_t$ , then,

$$\bar{\phi}_t(C) = \phi_t(C).$$

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$$\left( \bar{\phi}_t(C) = \phi_t(C). \right)$$



Let  $\eta: \mathbb{R} \times S^1 \rightarrow [0, 1]$

be a bump function

$\eta \equiv 1$  on

$$\bigcup_{t \in [0, 1]} \Phi_t(C)$$

$\text{supp } \eta$  is  
compact.

Then,  $\bar{H}_t := \eta H_t$

## Proof continued

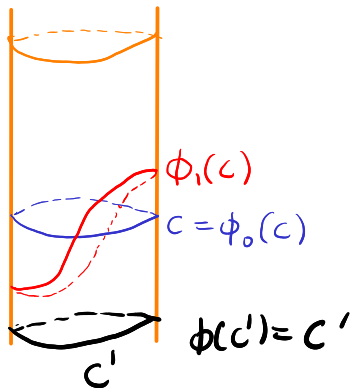
- Let's assume  $\bigcup_{t \in [0,1]} \text{supp}(H_t)$  is compact
- Choose a loop  $C' = \{t\} \times S^1$  lying outside  $\text{supp}(H)$ .

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- We have proved the following : Let  $M = \mathbb{R} \times S^1$  be the cylinder with the standard symplectic form  $dt \wedge d\theta$ . Let  $\phi : M \rightarrow M$  be a Hamiltonian diffeomorphism. Let  $C := \{0\} \times S^1$ . Then the signed area between  $C$  and  $\phi(C)$  is zero.

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- There exists  $\phi \in \text{Symp}_0(M)$  such that the signed area between  $C$  and  $\phi(C)$  is non-zero.

# Complex structures on symplectic vector spaces

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$$i : \mathbb{R}^2 \rightarrow \mathbb{R}^2 \quad \begin{array}{l} \partial_x \mapsto \partial_y \\ \partial_y \mapsto -\partial_x \end{array}$$

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$$\text{(Tameness)} \quad \omega(v, Jv) > 0 \quad \forall v \in V \setminus \{0\}.$$

- Additionally, a tame complex structure is **compatible** if

$$\text{(Compatible)} \quad \omega(v, w) = \omega(Jv, Jw) \quad \forall v, w \in V.$$

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$$\begin{aligned} \underbrace{(v, w)}_{\text{compatibility}} &\mapsto \omega(v, Jw) = \omega(Jv, J^2w) \\ &= \omega(v, Jv) = \langle w, v \rangle \end{aligned}$$

$$\text{Tameness} \Rightarrow (v, v) > 0 \quad \text{if } v \neq 0$$

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- On a symplectic manifold  $(M, \omega)$  **tame and compatible almost complex structures** are defined in the obvious way.
- We will show later : on symplectic manifolds tame and compatible almost complex structures exist.

# Contractibility of the space of complex structures

- Let  $(V, \omega)$  be a symplectic vector space, and let  $\mathcal{J}(V, \omega)$  be the space of  $\omega$ -compatible almost complex structures.

Claim:  $\mathcal{J}(V, \omega)$  is non-empty.

pf  $\exists$  basis  $e_1, \dots, e_n, f_1, \dots, f_n$

$$\omega = \sum_{i=1}^n e_i^* \wedge f_i^*$$

$$\mathcal{J}e_i := f_i$$

$$\mathcal{J}f_i = -e_i \quad \square$$

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- Let  $(V, \omega)$  be a symplectic vector space, and let  $\mathcal{J}(V, \omega)$  be the space of  $\omega$ -compatible almost complex structures.
- Result :  $\mathcal{J}(V, \omega)$  is contractible.

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- There is an inclusion

$$\psi : \mathcal{J}(V, \omega) \rightarrow \mathcal{M}(V), \quad \underline{J} \mapsto \psi(J) := \underline{g_J} := \omega(\cdot, J\cdot).$$

$\mathcal{J}_J(V, \omega) := \omega(\cdot, J\cdot)$

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- $\mathcal{M}(V)$  is convex,

$$g_0, g_1 \in \mathcal{M}(V) \Rightarrow (1-t)g_0 + tg_1 \in \mathcal{M}(V) \\ \forall t \in [0, 1]$$

$$g_0 \text{ --- } g_1$$

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- $\mathcal{M}(V)$  is convex, hence contractible.

Fix  $g_0$

$$\mathcal{M}(V) \times [0, 1] \rightarrow \mathcal{M}(V)$$

$$g, t \mapsto (1-t)g + tg_0$$

is cont in  $g, t$

$\Rightarrow \mathcal{M}(V)$  deformation retracts to a point

# Contractibility of the space of complex structures

- Proof, Step 1 : There exists a continuous map

$$r : \text{Met}(V) \rightarrow \mathcal{J}(V, \omega).$$

such that  $r(g_J) = J$  for all  $J \in \mathcal{J}(V, \omega)$ .

( Thinking of  $\mathcal{J} \hookrightarrow \mathcal{M}$   
as an inclusion  
 $\mathcal{J}(V, \omega) \xrightarrow{\iota} \mathcal{M}(V)$ ,  
then  $r$  is a retraction)  
 $r \circ \iota = \text{Id}_{\mathcal{J}}$

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- Step 2 : Fix a  $J_0 \in \mathcal{J}(V, \omega)$ . For any  $J \in \mathcal{J}(V, \omega)$ , the path

$$[0, 1] \ni t \mapsto \underline{r((1-t)g_J + tg_{J_0})}$$

lies in  $\mathcal{J}(V, \omega)$  and connects  $J$  to  $J_0$ .

$$\begin{array}{l} \mathcal{J} \rightarrow \mathcal{J} \\ g \rightarrow \mathcal{M} \end{array}$$



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lies in  $\mathcal{J}(V, \omega)$  and connects  $J$  to  $J_0$ . The path varies continuously with  $J, t$ . Therefore,  $\mathcal{J}(V, \omega)$  deformation retracts to a point.

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Consider  $g \in \text{Met}(V)$

$$\exists A : V \rightarrow V$$

$$g(Av, w) = \omega(v, w) \\ \forall v, w \in V$$

$$g(v, w) := v^T g w \rightarrow \text{Matrix}$$

$$\omega(v, w) = v^T \omega w$$

if  $g = g_J$   
then  $A = J$   
In general  
 $A^2 \neq -\text{Id}$

$$A^T g = \omega \\ A = (g^{-1} \omega)^T$$

# Contractibility of the space of complex structures

- Proof, Step 1 : There exists a continuous map

$$r : \text{Met}(V) \rightarrow \mathcal{J}(V, \omega).$$

such that  $r(g_J) = J$  for all  $J \in \mathcal{J}(V, \omega)$ .

Consider  $g \in \text{Met}(V)$

$$\exists A : V \rightarrow V \quad g(Av, w) = \omega(v, w) \\ \forall v, w \in V$$

\*  $A$  is  $g$ -skew adjoint

$$g(Av, w) = -g(v, Aw)$$

$$A^* = -A$$

$$\omega(v, w)$$

$$\omega(w, v)$$

Consider  $g \in \text{Met}(V)$

$$\exists A: V \rightarrow V \quad g(Av, w) = \omega(v, w) \\ \forall v, w \in V$$

\*  $A$  is  $g$ -skew adjoint  $A^* = -A$   
 $(Av, w) = -(v, Aw)$

\*  $A^*A = -A^2$  is  $g$ -symmetric  
and positive definite

$$\rightarrow g(A^*Av, w) = g(Av, Aw) = g(v, AA^*w)$$

$$\rightarrow g(A^*Av, v) = g(Av, Av) > 0 \\ \forall v \neq 0$$

$$* J := (A^*A)^{1/2} A$$

$$\text{If } A = J \Rightarrow n(J) = J \\ (-A^2)^{1/2} A = J$$

There is class on Mon 20

No Class on Wed 22

Fri 24

Mon 27

Next class is on Wed 29

↑ and then Fri  
1<sup>st</sup> Oct

\*  $A^*A = -A^2$  is  $g$ -symmetric  
and positive definite

$$\rightarrow g(A^*A v, w) = g(Av, Aw) = g(v, A^*Aw)$$

$$\rightarrow g(A^*A v, v) = g(Av, Av) > 0 \quad \forall v \neq 0$$

\*  $(A^*A)^t$  is well-defined  $\forall t \in \mathbb{R}$   
and it commutes with  $A$ .

$\rightarrow$  Commutes with  $A \because A^*A = -A^2$

$$\rightarrow A^*A = P^{-1} \begin{bmatrix} \lambda_1 & & \\ & \ddots & \\ & & \lambda_n \end{bmatrix} P \quad \lambda_i > 0$$

$$(A^*A)^t = P^{-1} \begin{bmatrix} \lambda_1^t & & 0 \\ & \ddots & \\ 0 & & \lambda_n^t \end{bmatrix} P$$

\*  $A^*A = -A^2$  is  $g$ -symmetric  
and positive definite

$$\rightarrow g(A^*A v, w) = g(A v, A w) = g(v, A^*A w)$$

$$\rightarrow g(A^*A v, v) = g(A v, A v) > 0 \quad \forall v \neq 0$$

\*  $(A^*A)^t$  is well-defined  $\forall t \in \mathbb{R}$   
and it commutes with  $A$ .

$$* J = (A^*A)^{-1/2} A \Rightarrow J^2 = -\text{Id}$$
$$(-A^2)^{1/2} A$$