# MODULAR THEORY IN OPERATOR ALGEBRAS



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# **Preface**

The discovery of the modular operator and the modular automorphism group associated with a normal semifinite faithful weight has led to a powerful theory — the modular theory — which is nowadays essential to the consideration of many problems concerning operator algebras. This theory has been developed in close association with the effort to understand the structure and produce examples and refined classifications of factors. Thus, the crossed product construction, which gave rise to the first non-trivial examples of factors, has been shown to play a fundamental role in the structure theory as well, by reducing the study of the purely infinite algebras to the study of the more familiar semifinite algebras and their automorphisms. Moreover, several algebraic invariants, previously defined only in some special cases, have been introduced via the modular theory for arbitrary factors and the corresponding classification has been proved to be almost complete for approximately finite dimensional factors.

The present book is a unified exposition of the technical tools of the modular theory and of its applications to the structure and classification of factors. It is based on several works recently published in periodicals or just circulated as preprints. The main sources used in writing this book are the works of W. B. Arveson, A. Connes, U. Haagerup, M. Landstad, G. K. Pedersen, M. Takesaki, J. Tomiyama. The general treatment of crossed products follows an article by Ş. Strătilă, D. Voiculescu and L. Zsidó. Due to the wealth and variety of results recently obtained, it has not been possible to include here a detailed exposition of the classification of injective factors and their automorphisms; these topics and several others are just mentioned in the Sections of Notes, together with appropriate references.

The reader is assumed to have a good knowledge of the general theory of von Neumann algebras, including the standard forms. Actually, the present book can be viewed as a sequel to a previous book, S. Strătilă and L. Zsidó—Lectures on von Neumann algebras, Editura Academiei & Abacus Press, 1979, whichis often quoted here and referred to as [L]. There is also an Appendix which contains some supplementary results on positive self-adjoint operators and introduces the terminology connected with W\*-algebras.

The list of references in the present book contains only those items which have been used, quoted or consulted. A more extensive bibliography

is contained in [L] (and in the Preprint Series, INCREST, Bucharest) and the new preprints are periodically recorded in  $C^{\bullet}$ -News (issued by CPT/CNRS, Marseille).

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Serban Strătilă

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# Chapter I Normal Normal weights

## §1. Characterizations of normality

In this Section we prove the Theorem of Haagerup asserting that every normal weight on a W\*-algebra is the pointwise least upper bound of the normal positive forms it majorizes.

**1.1.** Let  $\mathscr{A}$  be a  $C^*$ -algebra. A weight on  $\mathscr{A}$  is a mapping  $\varphi: \mathscr{A}^+ \to [0, +\infty]$ with the properties

$$\varphi(x+y) = \varphi(x) + \varphi(y), \quad \varphi(\lambda x) = \lambda \varphi(x) \qquad (x, y \in \mathcal{A}^+, \quad \lambda \in \mathbb{R}^+).$$

The set

$$\mathfrak{F}_{\varphi} = \{ x \in \mathscr{A}^+; \quad \varphi(x) < + \infty \}$$

is a face of  $\mathcal{A}^+$ , the set

$$\mathfrak{N}_{\varphi} = \{x \in \mathscr{A}; \ \varphi(x^*x) < + \infty\}$$

is a left ideal of  $\mathcal{A}$ , and the set

$$\mathfrak{M}_{\pmb{\varphi}}=\mathfrak{N}_{\pmb{\varphi}}^{\pmb{*}}\mathfrak{N}_{\pmb{\varphi}}=\operatorname{lin}\,\mathfrak{F}_{\pmb{\varphi}}$$

is a facial subalgebra of  $\mathscr{A}$  with  $\mathfrak{M}_{\varphi} \cap \mathscr{A}^+ = \mathfrak{F}_{\varphi}$  ([L], 3.21), hence  $\varphi$  can be extended uniquely to a positive linear form, still denoted by  $\varphi$ , on the \*-algebra  $\mathfrak{M}_{\varphi}$ .

A family F of weights on A is called sufficient if

$$x \in \mathcal{A}$$
 and  $\varphi(a^*x^*xa) = 0$  for all  $\varphi \in \mathcal{F}$ ,  $a \in \mathfrak{N}_{\varphi} \Rightarrow x = 0$ 

and is called separating if

$$x \in \mathcal{A}$$
 and  $\varphi(x^*x) = 0$  for all  $\varphi \in \mathcal{F} \Rightarrow x = 0$ .

In particular, the weight  $\varphi$  is called *faithful* if

$$x \in \mathcal{A}$$
 and  $\varphi(x^*x) = 0 \Rightarrow x = 0$ .

1.2. Let  $\varphi$  be a weight on the C\*-algebra  $\mathscr{A}$ . The formula

$$(a|b)_{\varphi} = \varphi(b^*a) \qquad (a, b \in \mathfrak{N}_{\varphi})$$

defines a pre-scalar product on  $\mathfrak{N}_{\varphi}$  with the properties:

Let  $\mathscr{H}_{\varphi}$  be the Hilbert space associated with  $\mathfrak{N}_{\varphi}$  with the scalar product  $(\cdot|\cdot)_{\varphi}$ . It follows that there exists a \*-representation  $\pi_{\varphi} \colon \mathscr{A} \to \mathscr{B}(\mathscr{H}_{\varphi})$ , uniquely determined, such that

(1) 
$$(\pi_{\varphi}(x) a_{\varphi} | b_{\varphi})_{\varphi} = \varphi(b^*xa) \qquad (x \in \mathscr{A}, \ a, b \in \mathfrak{R}_n).$$

where  $\mathfrak{R}_{\varphi} \ni a \mapsto a_{\varphi} \in \mathscr{H}_{\varphi}$  denotes the canonical mapping. The \*-representation  $\pi_{\varphi}$  is called the GNS representation or the standard representation associated with  $\varphi$ . We remark that

(2) 
$$\varphi(x^*) = \overline{\varphi(x)} \qquad (x \in \mathfrak{M}_n),$$

(3) 
$$|\varphi(b^*a)|^2 \leqslant \varphi(a^*a) \varphi(b^*b) \qquad (a, b \in \mathfrak{R}_{\varphi}).$$

1.3. Let  $\mathcal{M}$  be a  $W^*$ -algebra. A weight  $\varphi$  on  $\mathcal{M}$  is called normal if

$$\varphi(\sup_i x_i) = \sup_i \varphi(x_i)$$

for every norm-bounded increasing net  $\{x_i\}_i \subset \mathcal{M}^+$ , and lower w-semicontinuous if the convex sets

$${x \in \mathcal{M}^+; \ \varphi(x) \leq \lambda} \quad (\lambda \in \mathbb{R}^+)$$

are w-closed. An important result concerning weights on  $W^*$ -algebras is the following characterization of normality:

**Theorem** (U. Haagerup). Let  $\varphi$  be a weight on the W\*-algebra  $\mathcal{M}$ . The following statements are equivalent:

- (i)  $\varphi$  is normal;
- (ii)  $\varphi$  is lower w-semicontinuous;
- (iii)  $\varphi(x) = \sup \{ f(x); f \in \mathcal{M}_{*}^{+}, f \leq \varphi \} \text{ for all } x \in \mathcal{M}^{+}.$

Later (2.10, 5.8) we shall see that  $\varphi$  is normal if and only if it is a sum of normal positive forms, in accordance with the definition used in ([L], 10.14).

In Sections 1.4—1.7 we present some general results which will be used in the proof of the Theorem; Sections 1.6—1.12 contain the main steps of the proof.

**1.4. Proposition.** If  $x, x_1, \ldots, x_n \in \mathcal{B}(\mathcal{H})$  and  $x^*x = x_1^*x_1 + \ldots + x_n^*x_n$ , then there exist  $z_1, \ldots, z_n \in \mathcal{B}\{x, x_1, \ldots, x_n\}$  such that  $z_1^*z_1 + \ldots + z_n^*z_n = s(xx^*)$  and  $x_k = z_kx$  for all  $1 \le k \le n$ .

Proof. The equations  $z_k(x\xi) = x_k\xi$   $(\xi \in \mathcal{H})$  and  $z_k\eta = 0$   $(\eta \in \mathcal{H} \ominus x\mathcal{H})$  define operators  $z_k \in \mathcal{B}(\mathcal{H})$ ,  $||z_k|| \le 1$ , with  $x_k = z_kx$  and  $z_k(\mathcal{H} \ominus x\mathcal{H}) = 0$ . Using the double commutant theorem ([L], 3.2) it is easy to check that  $z_k \in \mathcal{B}\{x, x_k\}$ . Also the relation  $\sum_k z_k^* z_k = s(xx^*)$  follows, since the positive operator  $(\sum_k z_k^* z_k)^{1/2}$  vanishes on  $\mathcal{H} \ominus x\mathcal{H}$  and  $x^*(\sum_k z_k^* z_k) x = x^*x$ .

In particular if  $x, y \in \mathcal{B}(\mathcal{H})$  and  $y^*y \leq x^*x$ , there exists  $z \in \mathcal{R}\{x, y\}$  such that  $z^*z \leq s(xx^*)$  and y = zx.

1.5. For each  $\alpha > 0$  we shall consider the function

$$f_{\alpha}: (-\alpha^{-1}, +\infty) \to \mathbb{R}$$

defined by  $f_{\alpha}(t) = t(1 + \alpha t)^{-1} = \alpha^{-1}(1 - (1 + \alpha t)^{-1})$ . These functions have the following properties:

(1) 
$$f_{\alpha}(t) \leqslant \min\{t, \alpha^{-1}\} \qquad (t \in (-\alpha^{-1}, +\infty))$$

(2) 
$$\alpha \leqslant \beta \Rightarrow f_{\alpha}(t) \geqslant f_{\beta}(t) \qquad (t \in (-\alpha^{-1}, +\infty))$$

(3) 
$$\alpha \leqslant \beta \Rightarrow \alpha f_{\alpha}(t) \leqslant \beta f_{\beta}(t) \qquad (t \in [0, +\infty))$$

(4) 
$$f_{\alpha}(f_{\beta}(t)) = f_{\alpha+\beta}(t) \qquad (t \in (-(\alpha+\beta)^{-1}, +\infty))$$

(5) 
$$\lim_{\alpha\to 0} f_{\alpha}(t) = t \text{ uniformly on compact subsets of } \mathbb{R}$$

(6) 
$$\lim_{\alpha\to\infty} \alpha f_{\alpha}(t) = 1$$
 uniformly on compact subsets of  $\mathbb{R}^+$ .

A continuous function  $f: I \to \mathbb{R}$  is called *operator monotone* on the interval  $I \subset \mathbb{R}$  if for every  $x, y \in \mathcal{B}(\mathcal{H}), x = x^*, y = y^*$ , with  $Sp(x) \subset I$ ,  $Sp(y) \subset I$ , we have  $x \leq y \Rightarrow f(x) \leq f(y)$ . For instance, it is easy to see that

- (7) the functions  $f_{\alpha}$  are operator monotone  $(\alpha \in \mathbb{R}^+)$ . Also, we recall that (see [184] or [236])
- (8) the functions  $t \mapsto t^{\gamma}$  are operator monotone  $(0 < \gamma < 1)$ . On the other hand, using (A.2) we see that
- (9) the functions  $f_{\alpha}$  are operator continuous  $(\alpha \in \mathbb{R}^+)$ .
- **1.6.** Let  $\mathscr{X}$  be a locally convex Hausdorff real vector space with a partial ordering defined by a convex cone  $\mathscr{X}^+ \subset \mathscr{X}$  such that  $\mathscr{X}^+ \cap (-\mathscr{X}^+) = \{0\}$  and  $\mathscr{X} = (\mathscr{X}^+ \mathscr{X}^+)$ . The dual cone  $\mathscr{X}_+^* = \{f \in \mathscr{X}^*; f(x) \ge 0 \text{ for all } x \in \mathscr{X}^+\}$  defines a partial ordering on  $\mathscr{X}^*$ . A subset  $\mathscr{E}$  of  $\mathscr{X}^+$  is called hereditary if

$$x \in \mathcal{E}, \ y \in \mathcal{X}^+, \ x - y \in \mathcal{X}^+ \Rightarrow y \in \mathcal{E}.$$

For  $\mathscr{E} \subset \mathscr{X}^+$  and  $\mathscr{F} \subset \mathscr{X}^*_+$  we define  $\mathscr{E}^{\wedge}$  and  $\mathscr{F}^{\wedge}$  by

$$\mathscr{E}^{\wedge} = \{ f \in \mathscr{X}_{+}^{*}; f(x) \leq 1 \text{ for all } x \in \mathscr{E} \},$$

$$\mathscr{F}^{\wedge} = \{x \in \mathscr{X}^+; f(x) \leq 1 \text{ for all } f \in \mathscr{F}\}.$$

**Proposition.** For  $\mathcal{X}$  as above the following statements are equivalent:

(i)  $\mathscr{E} = (\overline{\mathscr{E} - \mathscr{X}^+}) \cap \mathscr{X}^+$  for every closed hereditary convex subset  $\mathscr{E}$  of  $\mathscr{X}^+$ ;

(ii)  $\mathscr{E} = \mathscr{E}^{\wedge}$  for every closed hereditary convex subset  $\mathscr{E}$  of  $\mathscr{X}^+$ ;

(iii) every subadditive, positively homogeneous, increasing and lower semicontinuous function  $\varphi: \mathcal{X}^+ \to [0, +\infty]$  has the property

$$\varphi(x) = \sup \{ f(x); f \in \mathcal{X}_+^*, f \leqslant \varphi \} \qquad (x \in \mathcal{X}_+^+)$$

*Proof.* We shall denote by  $\mathscr{S}^0$  the polar of a subset  $\mathscr{S}$  of  $\mathscr{X}$  or  $\mathscr{X}^*$ .

(i)  $\Rightarrow$  (ii). The sets  $\mathscr{F} = \mathscr{E}^{\wedge}$  and  $\mathscr{F}' = -(\mathscr{E} - \mathscr{X}^{+})^{0} = \{f \in \mathscr{X}^{*}; f(x) \leq 1 \text{ for all } x \in \mathscr{E} - \mathscr{X}^{+}\}$  are equal. Indeed, it is clear that  $\mathscr{F} \subset \mathscr{F}'$ . Let  $f \in \mathscr{F}'$  and  $x \in \mathscr{X}^{+}$ . Since  $0 \in \mathscr{E}$ , we have  $f(-\lambda x) \leq 1$  for all  $\lambda \geq 0$ , whence  $f(x) \geq 0$ . Thus  $\mathscr{F}' \subset \mathscr{X}^{*}_{+}$  and so  $\mathscr{F}' \subset \mathscr{F}$ .

By the bipolar theorem it follows that  $(\mathscr{E} - \mathscr{X}^+) = (\mathscr{E} - \mathscr{X}^+)^{00} = (-\mathscr{F})^0 = \{x \in \mathscr{X}; f(x) \leq 1 \text{ for all } f \in \mathscr{F} \}$  and, using (i), we get  $\mathscr{E} = (\mathscr{E} - \mathscr{X}^+) \cap \mathscr{X}^+ = \{x \in \mathscr{X}^+; f(x) \leq 1 \text{ for all } f \in \mathscr{F} \} = \mathscr{E}^{\wedge}$ .

(ii)  $\Rightarrow$  (iii). If  $\varphi$  satisfies the conditions required in (iii), then the set  $\mathscr{E} = \{x \in \mathscr{X}^+; \varphi(x) \leq 1\}$  is closed, hereditary and convex. Also,  $\mathscr{F} = \mathscr{E}^{\wedge} = \{f \in \mathscr{X}^+; f(x) \leq \varphi(x) \text{ for all } x \in \mathscr{X}^+\}$  and, by (ii)  $\{x \in \mathscr{X}^+; \varphi(x) \leq 1\} = \mathscr{E} = \mathscr{F}^{\wedge} = \{x \in \mathscr{X}^+; g(x) \leq 1\}$ . It follows that  $\varphi(x) = \sup \{f(x); f \in \mathscr{F}\}$ , for all  $x \in \mathscr{X}^+$ .

(iii)  $\Rightarrow$  (i). Let  $\mathscr{E} \subset \mathscr{X}^+$  be closed, hereditary and convex. Define  $\varphi(x) = \inf\{\lambda > 0; x \in \lambda\mathscr{E}\}\$  if  $x \in \bigcup_{\lambda > 0} \lambda\mathscr{E}$  and  $\varphi(x) = +\infty$  otherwise. Then  $\varphi$  satisfies the hypotheses in (iii) and therefore  $\varphi(x) = \sup\{f(x); f \in \mathscr{F}\}\$   $(x \in \mathscr{X}^+)$ , where  $\mathscr{F} = \{f \in \mathscr{X}_+^*; f(x) \leq \varphi(x) \text{ for all } x \in \mathscr{X}^+\}$ . It follows that  $\mathscr{E} - \mathscr{X}^+ \subset \{x \in \mathscr{X}; f(x) \leq 1 \text{ for all } f \in \mathscr{F}\}\$  and, since the latter set is closed, we get  $(\mathscr{E} - \mathscr{X}^+) \cap \mathscr{X}^+ \subset \{x \in \mathscr{X}^+; f(x) \leq 1 \text{ for all } f \in \mathscr{F}\} \subset \mathscr{E}$ , hence  $(\mathscr{E} - \mathscr{X}^+) \cap \mathscr{X}^+ = \mathscr{E}$ .

**1.7. Proposition.** Let  $\mathcal{M}$  be a  $W^*$ -algebra and  $\mathcal{E} \subset \mathcal{M}^+$  a w-closed hereditary convex set. Then  $\mathcal{E} = (\overline{\mathcal{E} - \mathcal{M}^+})^w \cap \mathcal{M}^+$ .

*Proof.* We shall use the properties of the functions  $f_{\alpha}$  from 1.5. For  $x \in \mathcal{M}_h$  let  $\alpha_x = \sup \{\alpha > 0; -\alpha^{-1} \leq x\}$ . Consider the set

$$\mathcal{S} = \{x \in \mathcal{M}_h; f_{\alpha}(x) \in \mathcal{E} - \mathcal{M}^+ \text{ for all } \alpha \in (0, \alpha_x)\},$$

and let  $\mathcal{M}_{\lambda} = \{x \in \mathcal{M}; ||x|| \leq \lambda\}.$ 

We first show that for every  $\lambda > 0$  the set  $\mathcal{S} \cap \mathcal{M}_{\lambda}$  is s-closed.

Indeed, let  $x \in \overline{\mathcal{S}} \cap \overline{\mathcal{M}}_{\lambda}^{s}$ . There is a net  $\{x_{i}\}_{i \in I} \subset \mathcal{S}$  such that  $||x_{i}|| \leq \lambda$  and  $x_{i} \xrightarrow{s} x$ . Then  $\alpha_{x_{i}} \geq 1/\lambda$ , hence  $f_{\alpha}(x_{i}) \in \mathcal{S} - \mathcal{M}^{+}$  for every  $\alpha \in (0, 1/2\lambda)$  and every  $i \in I$ . Let  $\alpha \in (0, 1/2\lambda)$  be fixed. There is a net  $\{y_{i}\}_{i \in I} \subset \mathcal{S}$  such that

$$f_a(x_i) \leqslant y_i \quad (i \in I).$$

Since  $f_{\alpha}$  is operator monotone,

$$f_{2\alpha}(x_i) = f_{\alpha}(f_{\alpha}(x_i)) \leqslant f_{\alpha}(y_i) \quad (i \in I).$$

Since  $f_{2\alpha}$  is operator continuous on  $[-\lambda, +\lambda]$ ,

$$f_{2\alpha}(x_i) \stackrel{s}{\to} f_{2\alpha}(x).$$

Since  $0 \le f_{\alpha}(y_i) \le \alpha^{-1}$  and  $\mathcal{M}_1$  is w-compact, we may assume that there is  $y \in \mathcal{M}$  such that

$$f_{\alpha}(y_i) \stackrel{\mathsf{w}}{\to} y.$$

Since  $0 \le f_{\alpha}(y_i) \le y_i \in \mathscr{E}$  and  $\mathscr{E}$  is hereditary,  $f_{\alpha}(y_i) \in \mathscr{E}$  and, since  $\mathscr{E}$  is w-closed, it follows that  $y \in \mathscr{E}$ . Then

$$y - f_{2\alpha}(x) = w - \lim_{i} (f_{\alpha}(y_i) - f_{2\alpha}(x_i)) \geqslant 0,$$

hence  $f_{2n}(x) \in \mathscr{E} - \mathscr{M}^+$ . We have thus proved that

$$f_{\alpha}(x) \in \mathscr{E} - \mathscr{M}^+$$
 for every  $\alpha \in (0, 1/\lambda)$ .

Consider now  $\alpha \in [1/\lambda, \alpha_x)$  and  $\beta \in (0, 1/\lambda)$ . Then  $f_{\alpha}(x) \leq f_{\beta}(x)$ , hence  $f_{\alpha}(x) \in (\mathcal{E} - \mathcal{M}^+) - \mathcal{M}^+ = \mathcal{E} - \mathcal{M}^+$ . We conclude that  $x \in \mathcal{S} \cap \mathcal{M}_{\lambda}$ .

We now show that  $\mathcal{S}$  is convex.

Indeed, it is sufficient to show that each  $\mathcal{S} \cap \mathcal{M}_{\lambda}$  is convex, and this will follow from the equality

$$\mathcal{S} \cap \mathcal{M}_{\lambda} = (\overline{(\mathcal{E} - \mathcal{M}^+) \cap \mathcal{M}_{\mu}})^s \cap \mathcal{M}_{\lambda} \quad \text{ for } \mu > \lambda.$$

If  $x \in \mathcal{S} \cap \mathcal{M}_{\lambda}$ , then  $f_{\alpha}(x) \in \mathcal{E} - \mathcal{M}^{+}$  for  $\alpha \in (0, \alpha_{x})$  and  $f_{\alpha}(x) \in \mathcal{M}_{\mu}$  for small  $\alpha > 0$ , hence

$$x = s - \lim_{\alpha \to 0} f_{\alpha}(x) \in \overline{((\mathscr{E} - \mathscr{M}^{+}) \cap \mathscr{M}_{\mu})^{s}} \cap \mathscr{M}_{\lambda}.$$

Conversely, since  $\mathscr E$  is hereditary and  $f_{\alpha}(x) \leq x$  for all  $\alpha \in (0, \alpha_x)$ , we have  $\mathscr E - \mathcal M^+ \subset \mathscr S$ , hence  $(\mathscr E - \mathcal M^+) \cap \mathcal M_{\mu} \subset \mathscr S \cap \mathcal M_{\mu}$ . Using the first part of the proof we get  $\overline{((\mathscr E - \mathcal M^+) \cap \mathcal M_{\mu})^s} \subset \mathscr S \cap \mathcal M_{\mu}$ , and the desired inclusion follows.

Using the Krein-Šmulian theorem ([L], C.1.1; [79], V.5.7), from the above it follows that  $\mathscr S$  is w-closed. We have seen that  $\mathscr S-\mathscr M^+\subset\mathscr S$ . Since  $x=w-\lim_{\alpha\to 0}f_\alpha(x)$ , we obtain  $\mathscr S\subset (\overline{\mathscr E}-\mathscr M^+)^w$ . Consequently,  $\mathscr S=(\overline{\mathscr E}-\mathscr M^+)^w$ .

Finally, let  $x \in (\mathscr{E} - \mathscr{M}^+)^w \cap \mathscr{M}^+ = \mathscr{S} \cap \mathscr{M}^+$ . For every  $\alpha > 0$  we have  $f_{\alpha}(x) \in (\mathscr{E} - \mathscr{M}^+) \cap \mathscr{M}^+$ , hence  $f_{\alpha}(x) \in \mathscr{E}$ , as  $\mathscr{E}$  is hereditary. It follows that  $x = w - \lim_{\alpha \to 0} f_{\alpha}(x) \in \mathscr{E}$ .

From Propositions 1.6 and 1.7 we obtain the equivalence (ii)  $\Leftrightarrow$  (iii) in Theorem 1.3, as the implication (iii)  $\Rightarrow$  (ii) is obvious.

In Sections 1.8–1.12 we assume that  $\varphi$  is a fixed normal weight on the  $W^*$ -algebra  $\mathcal{M}$ .

**1.8. Lemma.** There exists a linear mapping  $\Phi: \mathfrak{M}_{\varphi} \to \pi_{\varphi}(\mathcal{M})'_{*}$ , uniquely determined, such that

(1) 
$$\Phi(b^*a)(T') = (T'a_\alpha|b_\alpha)_\alpha \qquad (T' \in \pi_\alpha(\mathcal{M})', \ a, b \in \mathfrak{R}_\alpha).$$

Moreover, for every  $x \in \mathfrak{M}_{a} \cap \mathcal{M}_{b}$  we have

(2) 
$$\|\Phi(x)\| = \inf \{ \varphi(y) + \varphi(z); \ y, z \in \mathfrak{M}_{\sigma} \cap \mathcal{M}^+, \ x = y - z \}.$$

*Proof.* The uniqueness of  $\Phi$  follows from the relation  $\mathfrak{M}_{\alpha} = \mathfrak{N}_{\alpha}^* \mathfrak{N}_{\alpha}$ .

If  $a, b, c \in \mathfrak{N}_{\varphi}$ ,  $c^* = c$  and  $c^*c = a^*a + b^*b$ , then, by Proposition 1.4, there exist  $x, y \in \mathcal{M}$  such that a = xc, b = yc and  $x^*x + y^*y = s(cc^*) = s(c)$ , and for every  $T' \in \pi_{\varphi}(\mathcal{M})'$  we have

$$\begin{split} (T'c_{\varphi}|c_{\varphi})_{\varphi} &= (T'\pi_{\varphi}(x^*x + y^*y) \ c_{\varphi}|c_{\varphi})_{\varphi} \\ &= (T'\pi_{\varphi}(x) \ c_{\varphi}|\pi_{\varphi}(x) \ c_{\varphi})_{\varphi} + (T'\pi_{\varphi}(y) \ c_{\varphi}|\pi_{\varphi}(y) \ c_{\varphi})_{\varphi} \\ &= (T'a_{\varphi}|a_{\varphi})_{\varphi} + (T'b_{\varphi}|b_{\varphi})_{\varphi}. \end{split}$$

It follows that the mapping

$$\varPhi_0 \colon \mathfrak{M}_{\varphi} \ \cap \ \mathscr{M}^+ \ni a^*a \mapsto \omega_{a_{\varphi}} | \ \pi_{\varphi}(\mathscr{M})' \in \pi_{\varphi}(\mathscr{M})'_{*}.$$

is well defined and additive. Clearly,  $\Phi_0$  is positively homogeneous. Since  $\mathfrak{M}_{\varphi} = \lim (\mathfrak{M}_{\varphi} \cap \mathcal{M}^+)$ ,  $\Phi_0$  has a unique linear extension  $\Phi$  to  $\mathfrak{M}_{\varphi}$ , and (1) follows using the polarization relation ([L], p. 82).

The function  $\rho$  defined on  $\mathfrak{M}_{\varphi} \cap \mathcal{M}_{h}$  by the right hand side of (2) is a seminorm on  $\mathfrak{M}_{\varphi} \cap \mathcal{M}_{h}$ . If  $x \in \mathfrak{M}_{\varphi} \cap \mathcal{M}^{+}$ , then  $\|\Phi(x)\| = \Phi(x)$  (1)  $= ((x^{1/2})_{\varphi}|(x^{1/2})_{\varphi})_{\varphi} = \Phi(x) = \rho(x)$ . Consequently, for x = y - z, with  $y, z \in \mathfrak{M}_{\varphi} \cap \mathcal{M}^{+}$ , we have  $\|\Phi(x)\| \le \|\Phi(y)\| + \|\Phi(z)\| = \varphi(y) + \varphi(z)$ . Hence  $\|\Phi(x)\| \le \rho(x)$  for all  $x \in \mathfrak{M}_{\varphi} \cap \mathcal{M}_{h}$ .

Let  $x_0 \in \mathfrak{M}_{\varphi} \cap \mathcal{M}_h$ . By the Hahn-Banach theorem there exists a real linear form f on  $\mathfrak{M}_{\varphi} \cap \mathcal{M}_h$  such that  $f(x_0) = \rho(x_0)$  and  $|f(x)| \leq \rho(x)$  for every  $x \in \mathfrak{M}_{\varphi} \cap \mathcal{M}_h$ . Then f can be extended to a complex linear form, still denoted by f, on  $\mathfrak{M}_{\varphi}$ . Since  $-\varphi(x) \leq f(x) \leq \varphi(x)$  for any  $x \in \mathfrak{M}_{\varphi} \cap \mathcal{M}^+$ , we may consider  $\varphi + f$  and  $\varphi - f$  as weights on  $\mathscr{M}$ . Consequently, using the Schwarz inequality 1.2.(3), for  $a, b \in \mathfrak{N}_{\varphi}$  we obtain

$$\begin{split} f(b^*a) &\leqslant 2^{-1}[|(\varphi+f)\,(b^*a)| + |(\varphi-f)\,(b^*a)|] \\ &\leqslant 2^{-1}\,[(\varphi+f)\,(a^*a)^{1/2}(\varphi+f)\,(b^*b)^{1/2} + (\varphi-f)\,(a^*a)^{1/2}(\varphi-f)\,(b^*b)^{1/2}] \\ &\leqslant 2^{-1}\,[(\varphi+f)\,(a^*a) + (\varphi-f)\,(a^*a)]^{1/2}[(\varphi+f)\,(b^{\bullet}b) + (\varphi-f)\,(b^*b)]^{1/2} \\ &= \varphi(a^*a)^{1/2}\,\varphi(b^*b)^{1/2} = \|a_{\varphi}\|_{\varphi}\,\|b_{\varphi}\|_{\varphi}. \end{split}$$

Thus, there exists an operator  $T' \in \mathcal{B}(\mathcal{H}_{\varphi})$ ,  $||T'|| \leq 1$ , such that  $f(b^*a) = (T'a_{\varphi}|b_{\varphi})_{\varphi}$  for all  $a, b \in \mathfrak{N}_{\varphi}$ . Moreover  $T' \in \pi_{\varphi}(\mathcal{M})'$ , since for every  $x \in \mathcal{M}$  and every  $a, b \in \mathfrak{N}_{\varphi}$  we have

$$(T'\pi_{\varphi}(x) a_{\varphi}|b_{\varphi})_{\varphi} = f(b^*xa) = (\pi_{\varphi}(x) T'a_{\varphi}|b_{\varphi})_{\varphi}.$$

It follows that  $\rho(x_0) = |f(x_0)| = |\Phi(x_0)(T')| \le ||\Phi(x_0)|| \, ||T'|| \le ||\Phi(x_0)||$ .

**1.9. Lemma.** Let  $\{x_n\}$  be a norm-bounded sequence in  $\mathfrak{M}_{\varphi} \cap \mathcal{M}^+$  such that the sequence  $\{\Phi(x_n)\}$  is norm-convergent in  $\pi_{\varphi}(\mathcal{M})'_{\bullet}$ . Then:

(1) 
$$x_n \stackrel{s}{\to} x \in \mathcal{M} \Rightarrow x \in \mathfrak{M}_{\omega} \cap \mathcal{M}^+,$$

(2) 
$$x_n \stackrel{s}{\to} 0 \Rightarrow || \Phi(x_n) || \to 0.$$

*Proof.* Let  $\varepsilon > 0$  and  $\psi = \lim_{n} \Phi(x_n) \in \pi_{\varphi}(\mathcal{M})'_{+}$ . Without loss of generality we may assume that  $\|\Phi(x_n) - \psi\|' < \varepsilon/2^n$ , so that  $\|\Phi(x_{n+1} - x_n)\| < \varepsilon/2^{n-1}$  for all  $n \in \mathbb{N}$ . By Lemma 1.8 there exist sequences  $\{y_n\}$  and  $\{z_n\}$  in  $\mathfrak{M}_{\varphi} \cap \mathcal{M}^+$  such that

$$x_{n+1}-x_n=y_n-z_n$$
 and  $\varphi(y_n)+\varphi(z_n)<\varepsilon/2^{n-1}$   $(n\in\mathbb{N}).$ 

We shall again use the functions  $f_{\alpha}$  from Section 1.5.

(1) Since  $x_{n+1} \le x_1 + \sum_{k=1}^{n} y_k$  and  $x_{n+1} \stackrel{s}{\to} x$ , we obtain

$$f_{\alpha}(x) = s$$
- $\lim_{n} f_{\alpha}(x_{n+1}) \leq \sup_{n} f_{\alpha}\left(x_{1} + \sum_{k=1}^{n} y_{k}\right)$ 

and then, using the normality of  $\varphi$ ,

$$\varphi(f_{\alpha}(x)) \leq \sup_{n} \varphi\left(f_{\alpha}\left(x_{1} + \sum_{k=1}^{n} y_{k}\right)\right) \leq \sup_{n} \varphi\left(x_{1} + \sum_{k=1}^{n} y_{k}\right)$$

$$\leq \varphi(x_{1}) + \sum_{k=1}^{\infty} \varphi(y_{k}) \leq \varphi(x_{1}) + \sum_{k=1}^{\infty} \varepsilon/2^{k-1} = \varphi(x_{1}) + 2\varepsilon.$$

Since  $f_{\alpha}(x) \uparrow x$ , again using the normality of  $\varphi$  we get

$$\varphi(x) = \sup_{\alpha > 0} \varphi(f_{\alpha}(x)) \leqslant \varphi(x_1) + 2\varepsilon < +\infty,$$

hence  $x \in \mathfrak{M}_{\alpha} \cap \mathcal{M}^+$ .

(2) Since 
$$-\sup_{n} ||x_{n}|| \le x_{1} - x_{n+1} \le \sum_{k=1}^{n} z_{k}$$
, for  $\alpha > (\sup_{n} ||x_{n}||)^{-1}$  we obtain

$$f_{\alpha}(x_1-x_{n+1}) \leqslant \sup_{n} f_{\alpha}\left(\sum_{k=1}^{n} z_k\right).$$

Since  $x_1 - x_{n+1} \stackrel{s}{\to} x_1$ , it follows that

$$f_{\alpha}(x_1) = s - \lim_{n} f_{\alpha}(x_1 - x_{n+1}) \leqslant \sup_{n} f_{\alpha}\left(\sum_{k=1}^{n} z_k\right).$$

Using the normality of  $\varphi$  we infer that

$$\varphi(x_1) = \sup_{\alpha > 0} \varphi(f_\alpha(x_1)) \leqslant \sup_{\alpha > 0} \sup_{n} \varphi\left(f_\alpha\left(\sum_{k=1}^n z_k\right)\right)$$

$$\leqslant \sup_{n} \varphi\left(\sum_{k=1}^n z_k\right) \leqslant \sum_{k=1}^\infty \varepsilon/2^{k-1} = 2\varepsilon.$$

Consequently,  $\|\psi\| \le \|\psi - \Phi(x_1)\| + \|\Phi(x_1)\| \le \varepsilon/2 + 2\varepsilon = 3\varepsilon/2$ . We conclude that  $\psi = 0$ .

**1.10.** Let  $\mathscr{G}_{\varphi} = \{(x, x_{\varphi}); x \in \mathfrak{N}_{\varphi}\} \subset \mathscr{M} \times \mathscr{H}_{\varphi}$ . Since every Hilbert space is a reflexive Banach space,  $\mathscr{M} \times \mathscr{H}_{\varphi}$  is the dual of the Banach space  $\mathscr{M}_{*} \times \mathscr{H}_{\varphi}$ . For  $\lambda, \mu > 0$  let  $\mathscr{M}_{\lambda} = \{x \in \mathscr{M}; \|x\| \leq \lambda\}$  and  $(\mathscr{H}_{\varphi})_{\mu} = \{\xi \in \mathscr{H}_{\varphi}; \|\xi\| \leq \mu\}$ .

**Lemma.** If  $\mathcal{M}$  is countably decomposable, then  $\mathscr{G}_{\varphi} \cap (\mathcal{M}_{\lambda} \times (\mathcal{H}_{\varphi})_{\mu})$  is  $\sigma(\mathcal{M} \times \mathcal{H}_{\varphi}, \mathcal{M}_{*} \times \mathcal{H}_{\varphi})$ -compact, for every  $\lambda, \mu > 0$ .

*Proof.* Since  $\mathscr{G}_{\varphi} \cap (\mathscr{M}_{\lambda} \times (\mathscr{H}_{\varphi})_{\mu})$  is convex and bounded, it is sufficient to prove that it is closed with respect to the product topology  $\tau$  on  $\mathscr{M} \times \mathscr{H}_{\varphi}$  of the  $s^*$ -topology on  $\mathscr{M}$  and the norm-topology on  $\mathscr{H}_{\varphi}$ . Since  $\mathscr{M}$  is countably decomposable,  $\mathscr{M}_{\lambda}$  is  $s^*$ -metrizable ([L], E.5.7; [236], 8.12).

If  $(x, \xi) \in \mathcal{M} \times \mathcal{H}_{\varphi}$  is  $\tau$ -adherent to  $\mathcal{G}_{\varphi} \cap (\mathcal{M}_{\lambda} \times (\mathcal{H}_{\varphi})_{\mu})$ , then there exists a sequence  $\{x_n\}$  in  $\mathcal{M}_{\lambda}$  such that  $x_n \stackrel{s^*}{\to} x$ ,  $\|(x_n)_{\varphi}\|_{\varphi} \leqslant \mu$  and  $\|(x_n)_{\varphi} - \xi\| \to 0$ . Then  $x_n^*x_n \stackrel{s^*}{\to} x^*x$  and  $\Phi(x_n^*x_n) = \omega_{(x_n)_{\varphi}}$  is norm convergent to  $\omega_{\xi}$ , whence  $x \in \mathfrak{N}_{\varphi}$  by Lemma 1.8.(1). On the other hand,  $(x_n - x)^*(x_n - x) \stackrel{s}{\to} 0$  and

$$\Phi((x_n-x)^*(x_n-x))=\omega_{(x_n)_{\varphi}-x_{\varphi}}\to\omega_{\xi-x_{\varphi}}$$

so that  $\omega_{\xi-x_{\varphi}}=0$  by Lemma 1.8.(2). Thus  $\xi=x_{\varphi}$  and  $(x,\xi)\in\mathscr{G}_{\varphi}$ .

**1.11.** If  $\mathcal{M}$  is not countably decomposable, we consider the set  $\mathcal{P}_0$  of all countably decomposable projections of  $\mathcal{M}$  and put

$$\mathcal{M}_0 = \bigcup_{p \in \mathscr{P}_0} p \mathcal{M} p.$$

It is easy to check that  $\mathcal{M}_0$  is a self-adjoint ideal in  $\mathcal{M}$ .

**Lemma.** Let  $\mathscr{E}$  be a hereditary convex subset of  $\mathcal{M}_0 \cap \mathcal{M}^+$ . Then  $\mathscr{E}$  is w-closed in  $\mathcal{M}_0$  if and only if  $\mathscr{E} \cap p\mathcal{M}p$  is w-closed for every  $p \in \mathscr{P}_0$ .

*Proof.* Assume that  $\mathscr{E} \cap p\mathscr{M}p$  is w-closed for every  $p \in \mathscr{P}_0$ . The set  $\mathscr{F} = \{x \in \mathscr{M}; x^*x \in \mathscr{E}\}$  is convex and  $a\mathscr{F} \subset \mathscr{F}$  for all  $a \in \mathscr{M}_1$ .

We first show that  $p\mathcal{F}$ , or equivalently  $\mathcal{F}^*p$ , is w-closed for any  $p \in \mathcal{P}_0$ . Using the Krein-Smulian theorem and the fact that any s-closed convex set is also w-closed, it is sufficient to show that  $\mathcal{F}^*p \cap \mathcal{M}_{\lambda}$  is s-closed for every  $\lambda > 0$ . Let  $x \in \mathcal{M}$  be such that  $x^*$  is s-adherent to  $\mathcal{F}^*p \cap \mathcal{M}_{\lambda}$ . Since  $\mathcal{M}p \cap \mathcal{M}_{\lambda}$  is s-metrizable, there exists a sequence  $\{x_n\}$  in  $p\mathcal{F}$ ,  $\|x_n\| \leq \lambda$ , with  $x_n^* \stackrel{s}{\to} x^*$ . There exists a projection  $q \in \mathcal{P}_0$  such that  $x_n \in q\mathcal{M}q$  for all  $n \in \mathbb{N}$ . Thus

$$x_n \in \mathcal{F} \cap q\mathcal{M}q = \{ y \in q\mathcal{M}q; \ y^*y \in \mathcal{E} \cap q\mathcal{M}q \} \quad (n \in \mathbb{N}).$$

By assumption,  $\mathcal{E} \cap q\mathcal{M}q$  is w-closed, hence  $\mathcal{F} \cap q\mathcal{M}q$  is s-closed. It follows that  $\mathcal{F} \cap q\mathcal{M}q$  is w-closed and, consequently,  $x \in \mathcal{F} \cap q\mathcal{M}q$ . Since px = x and  $||x|| \leq \lambda$ , we get  $x^* \in \mathcal{F}^*p \cap \mathcal{M}_{\lambda}$ . Hence  $p\mathcal{F}$  is w-closed.

Let  $x \in \mathcal{M}_0$  be w-adherent to  $\mathscr{E}$ . There exists a net  $\{x_i\}_{i \in I} \subset \mathscr{E}$  such that  $x_i \xrightarrow{s} x$ . Then  $p = \mathbf{l}(x) \in \mathscr{P}_0$  and  $px_i^{1/2} \xrightarrow{s} px^{1/2} = x^{1/2}$ . By the above paragraph we know that  $p\mathscr{F}$  is s-closed, hence  $x^{1/2} \in p\mathscr{F} \subset \mathscr{F}$ , i.e.  $x \in \mathscr{E}$ . Hence  $\mathscr{E}$  is w-closed in  $\mathcal{M}_0$ .

The converse is obvious.

**1.12.** Proof of Theorem 1.3. As we have already seen (1.7), (ii)  $\Leftrightarrow$  (iii). The implication (ii)  $\Rightarrow$  (i) is obvious. To show that (i)  $\Rightarrow$  (ii) we have to prove that the set

$$\mathscr{E} = \{x \in \mathscr{M}^+; \ \varphi(x) \leqslant 1\}$$

is w-closed. Clearly, & is hereditary and convex.

Assume first that  $\mathcal{M}$  is countably decomposable. As in the last part of the proof of Lemma 1.11, it is sufficient to show that the set  $\mathcal{F} = \{x \in \mathcal{M}; \varphi(x^*x) \leq 1\}$  is w-closed. Since  $\mathcal{F} \cap \mathcal{M}_{\lambda}$  is the image of  $\mathcal{G}_{\varphi} \cap (\mathcal{M}_{\lambda} \times (\mathcal{H}_{\varphi})_1)$  by the canonical projection mapping  $(x, \xi) \mapsto x$ , from Lemma 1.10 it follows that  $\mathcal{F} \cap \mathcal{M}_{\lambda}$  is w-compact for every  $\lambda > 0$ . Since  $\mathcal{F}$  is convex, we infer that  $\mathcal{F}$  is w-closed.

Consider now the general case. By the above argument and by Lemma 1.11 it follows that  $\mathcal{E} \cap \mathcal{M}_0$  is w-closed in  $\mathcal{M}_0$ . Let  $x \in \mathcal{M}^+$  be w-adherent to  $\mathcal{E}$ . There exists a net  $\{x_i\}_{i \in I} \subset \mathcal{E}$  such that  $x_i \stackrel{s}{\to} x$ . Also, there exists an increasing net  $\{p_k\}_{k \in K} \subset \mathcal{P}_0$  with  $p_k \uparrow 1$ . Since  $\mathcal{M}_0$  is a two-sided ideal in  $\mathcal{M}$ , for every  $k \in K$  we have

$$\mathcal{E} \cap \mathcal{M}_0 \ni x_i^{1/2} p_k x_i^{1/2} \underset{i \in I}{\overset{\mathbf{w}}{\longmapsto}} x^{1/2} p_k x^{1/2} \in \mathcal{M}_0,$$

hence  $x^{1/2}p_kx^{1/2} \in \mathscr{E} \cap \mathscr{M}_0$ . Since  $x^{1/2}p_kx^{1/2} \uparrow x$ , using the normality of  $\varphi$  we infer that  $\varphi(x) = \sup_{k \in K} \varphi(x^{1/2}p_kx^{1/2}) \leqslant 1$ , i.e.  $x \in \mathscr{E}$ .

1.13. We recall that a positive form  $\varphi$  on the  $W^*$ -algebra  $\mathcal{M}$  is normal if and only if it is completely additive on projections ([L], 5.6, 5.11). This statement cannot be extended to weights, as the following example shows.

Let  $\ell^{\infty}(\mathbb{IN})$  be the  $W^*$ -algebra of all bounded complex sequences. The weight  $\varphi$  defined on  $\ell^{\infty}(\mathbb{IN})$  by  $\varphi(\{a_n\}) = \sum_n a_n$  if the set  $\{n \in \mathbb{IN}; a_n \neq 0\}$  is finite, and  $\varphi(\{a_n\}) = +\infty$  otherwise, is completely additive on projections, but is not normal.

**1.14. Proposition.** Let  $\varphi$  be a normal weight on the W\*-algebra  $\mathcal{M}$  and  $a,b\in\mathfrak{N}_{\varphi}$ . Then the mapping

$$\varphi(b^* \cdot a) : \mathcal{M} \ni x \mapsto \varphi(b^*xa) \in \mathbb{C}$$

is a w-continuous linear form on M.

**Proof.** Since  $a, b \in \mathfrak{N}_{\varphi}$ , for any  $x \in \mathcal{M}$  we have  $b^*xa \in \mathfrak{N}_{\varphi}^*\mathfrak{N}_{\varphi} = \mathfrak{M}_{\varphi}$ , hence  $\varphi(b^* \cdot a)$  is well defined. If  $x_i \uparrow x$  in  $\mathcal{M}^+$ , then  $a^*x_ia \uparrow a^*xa$  in  $\mathcal{M}^+$ , and hence  $\varphi(a^*x_ia) \uparrow \varphi(a^*xa)$ , since  $\varphi$  is normal. It follows that  $\varphi(a^* \cdot a)$  is w-continuous ([L], 5.11) and the general case is obtained using a polarization relation ([L], 3.21).

1.15. Notes. The main result (Thm. 1.3) of this Section is due to Haagerup [101]. For our exposition we have used [101] and [236].

## §2. The standard representation

In this Section we prove that every normal semifinite weight is the supremum of an upward directed family of normal positive forms; also, we review and complete the results in ([L], Chapter 10) concerning the associated standard representation.

**2.1.** Let  $\varphi$  be a normal weight on the  $W^*$ -algebra  $\mathcal{M}$ .

Using ([L], 2.22) and the normality of  $\varphi$  it is easy to see that

(1) 
$$x \in \mathcal{M}^+, \ \varphi(x) = 0 \Rightarrow \varphi(s(x)) = 0.$$

If  $e, f \in \mathcal{M}$  are projections and  $\varphi(e) = \varphi(f) = 0$ , then  $\varphi(e \vee f) = \varphi(s(e+f)) = 0$ . Thus the family  $\mathscr{E} = \{e \in Proj(\mathcal{M}); \varphi(e) = 0\}$  is upward directed. Let  $e_0 = \sup \mathscr{E}$ . By the normality of  $\varphi$  it follows that  $\varphi(e_0) = 0$ , so that  $e_0$  is the greatest projection in  $\mathcal{M}$  annihilated by  $\varphi$ . The projection  $s(\varphi) = 1 - e_0$  is called the *support* of  $\varphi$ . Using (1) we obtain

(2) 
$$\varphi(x^*x) = 0 \Leftrightarrow xs(\varphi) = 0 \qquad (x \in \mathcal{M}).$$

In particular,  $\varphi$  is faithful (1.1) if and only if  $s(\varphi) = 1$ . Also

(3) 
$$\varphi(x) = \varphi(s(\varphi) x s(\varphi)) \qquad (x \in \mathcal{M}^+).$$

On the other hand, the w-closure  $\overline{\mathfrak{N}}_{\varphi}^{w}$  of  $\mathfrak{N}_{\varphi}$  in a w-closed left ideal of  $\mathscr{M}$ , hence  $\overline{\mathfrak{N}}_{\varphi}^{w} = \mathscr{M}e$  for some projection  $e \in \mathscr{M}$  and  $\overline{\mathfrak{M}}_{\varphi}^{w} = e\mathscr{M}e$  ([L], 3.20, 3.21). The weight  $\varphi$  is called *semifinite* if e = 1, i.e. if  $\mathfrak{N}_{\varphi}$ , or equivalently,  $\mathfrak{M}_{\varphi}$ , is w-dense in  $\mathscr{M}$ . In this case there exists an increasing net  $\{u_i\}_{i\in I}$  in  $\mathfrak{F}_{\varphi} = \mathfrak{M}_{\varphi} \cap \mathscr{M}^+$  such that  $u_i \uparrow 1$  ([L], 3.20, 3.21).

We abbreviate the words 'normal semifinite faithful' to n.s.f. Recall that on every  $W^*$ -algebra there exists an n.s.f. weight, while the countably decomposable  $W^*$ -algebras are characterized by the existence of a normal faithful positive form ([L], 10.14, E.5.6).

**2.2.** Theorem. Let  $\varphi$  be a normal weight on the W\*-algebra  $\mathcal{M}$ . Then the associated GNS representation  $\pi_{\varphi} \colon \mathcal{M} \to \mathcal{B}(\mathcal{H}_{\varphi})$  is normal and non-degenerate. If  $\varphi$  is semifinite, then

(1) 
$$((\mathfrak{M}_{\varphi})^n)_{\varphi} \text{ is dense in } \mathcal{H}_{\varphi} (n \in \mathbb{N}).$$

If  $\varphi$  is an n.s.f. weight, then  $\pi_{\varphi}$  is a \*-isomorphism of  $\mathcal{M}$  onto the von Neumann algebra  $\pi_{\varphi}(\mathcal{M}) \subset \mathcal{B}(\mathcal{H}_{\varphi})$ .

*Proof.* Clearly,  $\pi_{\varphi}(1) = 1$ , hence  $\pi_{\varphi}$  is non-degenerate. To show that  $\pi_{\varphi}$  is normal, i.e. w-continuous, we have to check that  $\omega \circ \pi_{\varphi} \in \mathcal{M}_{*}$  for every  $\omega \in \mathcal{B}(\mathcal{H}_{\varphi})_{*}$ . Since the vector forms are total in  $\mathcal{B}(\mathcal{H}_{\varphi})_{*}$  ([L], 1.3) and  $\mathfrak{R}_{\varphi}$  is dense in  $\mathcal{H}_{\varphi}$ , it is

sufficient to do this only for  $\omega = \omega_{a_{\varphi}}$ ,  $b_{\varphi}$  with  $a, b \in \mathfrak{N}_{\varphi}$ . In this case we have  $\omega_{a_{\varphi}}$ ,  $b_{\varphi}$   $\circ \pi_{\varphi} = \varphi(b^* \cdot a) \in \mathcal{M}_{*}$ , by Proposition 1.14. Since  $\pi_{\varphi}$  is normal and non-degenerate,  $\pi_{\varphi}(\mathcal{M}) \subset \mathcal{B}(\mathcal{H}_{\varphi})$  is a von Neumann algebra ([L], 3.12).

If  $\varphi$  is semifinite, then there exists an increasing net  $\{u_i\}_{i\in I}$  in  $\mathfrak{F}_{\varphi}=\mathfrak{M}_{\varphi}\cap \mathcal{M}^+$  with  $u_i\uparrow 1$ . For  $a\in \mathfrak{N}_{\varphi}$  we have

(2) 
$$||a_{\varphi} - (u_i a)_{\varphi}||_{\varphi}^2 = \varphi((a - u_i a)^* (a - u_i a)) \leq 2[\varphi(a^* a) - \varphi(a^* u_i a)] \to 0.$$

Since  $u_i \in \mathcal{F}_{\varphi} \subset \mathfrak{N}_{\varphi}^*$  and  $a \in \mathfrak{N}_{\varphi}$ , we have  $u_i a \in \mathfrak{N}_{\varphi}^* \mathfrak{N}_{\varphi} = \mathfrak{M}_{\varphi}$ , and from (2) it follows that  $(\mathfrak{M}_{\varphi})_{\varphi}$  is dense in  $\mathfrak{N}_{\varphi}$ , hence also in  $\mathscr{H}_{\varphi}$ . Statement (1) follows now using (2) repeatedly.

Assume that  $\varphi$  is an n.s.f. weight. If  $x \in \mathcal{M}$  and  $\pi_{\varphi}(x) = 0$ , then  $\varphi(xa)^*(xa)) = \|\pi_{\varphi}(x) a_{\varphi}\|_{\varphi}^2 = 0$  for all  $a \in \mathfrak{N}_{\varphi}$ . Since  $\varphi$  is faithful it follows that  $x\mathfrak{N}_{\varphi} = 0$  and hence x = 0, as  $\varphi$  is semifinite. Consequently,  $\pi_{\varphi}$  is a \*-isomorphism.

In the next three sections we study the majorization relation between weights in terms of the associated GNS representation.

**2.3. Proposition.** Let  $\varphi, \psi$  be weights on the C\*-algebra  $\mathscr A$  such that  $\psi \leqslant \varphi$ , i.e.  $\psi(x) \leqslant \varphi(x)$  for all  $x \in \mathscr A^+$ . There exists a unique operator  $T' \in \pi_{\varphi}(\mathscr A)'$ ,  $0 \leqslant T' \leqslant 1$ , such that

(1) 
$$\psi(b^*a) = (T'a_{\varphi}|T'b_{\varphi})_{\varphi} \qquad (a, b \in \mathfrak{N}_{\varphi}).$$

*Proof.* Since  $\psi \leqslant \varphi$  we have  $\mathfrak{N}_{\varphi} \subset \mathfrak{N}_{\psi}$  and, for every  $a \in \mathfrak{N}_{\varphi}$ ,  $\|a_{\psi}\|_{\psi}^{2} = \psi(a^{*}a) \leqslant \varphi(a^{*}a) = \|a_{\varphi}\|_{\varphi}^{2}$ . It follows that there exists a unique linear operator  $S' \colon \mathscr{H}_{\varphi} \to \mathscr{H}_{\psi}$ ,  $\|S'\| \leqslant 1$ , such that  $S'a_{\varphi} = a_{\psi}$  for all  $a \in \mathfrak{N}_{\varphi}$ . Then  $T' = (S'^{*}S')^{1/2} \in \mathscr{B}(\mathscr{H}_{\varphi})$ ,  $0 \leqslant T' \leqslant 1$ . For every  $a, b \in \mathfrak{N}_{\varphi}$  and every  $x \in \mathscr{A}$  we have

$$\psi(b^*a) = (a_{\psi}|b_{\psi})_{\psi} = (S'a_{\varphi}|S'b_{\varphi})_{\psi} = (T'^2a_{\varphi}|b_{\varphi})_{\varphi} = (T'a_{\varphi}|T'b_{\varphi})_{\varphi},$$

$$(S'^*S'\pi_{\varphi}(x) a_{\varphi}|b_{\varphi})_{\varphi} = \psi(b^*xa) = \psi((x^*b)^*a) = (\pi_{\varphi}(x) S'^*S'a_{\varphi}|b_{\varphi})_{\varphi},$$

hence  $T'^2 = S'^*S' \in \pi_{\varphi}(\mathscr{A})'$ . Since  $\pi_{\varphi}(\mathscr{A})'$  is a  $C^*$ -algebra, we infer that  $T' = (T'^2)^{1/2} \in \pi_{\varphi}(\mathscr{A})'$ .

From (1) it follows that the numbers  $(T'^2a_{\varphi}|b_{\varphi})_{\varphi}$   $(a,b\in\Re_{\varphi})$ , are uniquely determined by  $\psi$  and  $\varphi$ , and this implies the uniqueness of T'.

If  $\varphi$  and  $\psi$  are finite and  $\mathscr A$  is unital, then from (1) it follows that

(2) 
$$\psi(x) = (\pi_{\varphi}(x) T' 1_{\varphi} | T' 1_{\varphi})_{\varphi} \quad (x \in \mathscr{A}).$$

**2.4. Corollary.** Let  $\varphi$  be a weight on the  $C^*$ -algebra  $\mathscr A$  and denote by  $\mathscr T'_{\varphi}$  the set of all  $T' \in \pi_{\varphi}(\mathscr A)'$  such that there exists some  $\lambda_{T'} > 0$  with the property that  $\|T'a_{\varphi}\|_{\varphi} \leqslant \lambda_{T'} \|a\|$  for all  $a \in \mathfrak N_{\varphi}$ . Then  $\mathscr T'_{\varphi}$  is a left ideal of the  $W^*$ -algebra  $\pi_{\varphi}(\mathscr A)'$  and:

(1) for every positive form f on  $\mathscr{A}$  with  $f \leqslant \varphi$  there exist a unique  $T' \in \mathscr{T}'_{\varphi}$ ,  $0 \leqslant T' \leqslant 1$ , and a unique  $\eta \in \overline{\pi_{\varphi}(\mathfrak{N}_{\varphi}^*)}\mathscr{H}_{\varphi}$  such that

$$f(b^*a) = (T'a_{\varphi}|T'b_{\varphi})_{\varphi} \qquad (a, b \in \mathfrak{R}_{\varphi});$$
 
$$f(x) = (\omega_n \circ \pi_{\varphi})(x) \qquad (x \in \mathfrak{M}_{\varphi}).$$

(2) for every  $T' \in \mathcal{T}'_{\varphi}$ ,  $0 \leqslant T' \leqslant 1$ , there exist a positive form  $f \leqslant \varphi$  on  $\mathscr{A}$  and a unique  $\eta \in \overline{\pi_{\varphi}(\mathfrak{N}^*_{\varphi})} \mathscr{H}_{\varphi}$  such that

$$f(b^*a) = (T'a_{\varphi}|T'b_{\varphi})_{\varphi} \qquad (a, b \in \mathfrak{N}_{\varphi});$$
 
$$T'a_{\varphi} = \pi_{\varphi}(a) \, \eta \qquad (a \in \mathfrak{N}_{\varphi}).$$

*Proof.* It is clear that  $\mathscr{T}'_{\varphi}$  is a left ideal of  $\pi_{\varphi}(\mathscr{A})'$ . Also, if  $f \leqslant \varphi$  is a positive form on  $\mathscr{A}$ , we infer from 2.3.(1) that  $||T'a_{\varphi}||_{\varphi} \leqslant ||f||^{1/2}||a||$   $(a \in \mathfrak{R}_{\varphi})$ , hence  $T' \in \mathscr{T}'_{m}$ .

Let  $\{u_i\}_{i\in I}$  be a right approximate unit for the left ideal  $\mathfrak{N}_{\varphi}$  of  $\mathscr{A}$  ([L], 3.20). For  $T'\in \mathscr{T}'_{\varphi}$  and  $a\in \mathfrak{N}_{\varphi}$  it follows that

$$\pi_{\varphi}(a) \ T'(u_i)_{\varphi} = T'(au_i)_{\varphi} \rightarrow T'a_{\varphi}.$$

Thus, if  $a_k \in \mathfrak{N}_{\varphi}$ ,  $\xi_k \in \mathscr{H}_{\varphi}$   $(1 \leq k \leq n)$ , and  $\zeta = \sum_{k=1}^n \pi_{\varphi}(a_k^*) \, \xi_k \in \pi_{\varphi}(\mathfrak{N}_{\varphi}^*) \, \mathscr{H}_{\varphi}$ , then

$$\left|\sum_{k=1}^n (\xi_k | T'(a_k)_{\varphi})_{\varphi}\right| = \lim_i |(\xi | T'(u_i)_{\varphi})_{\varphi}| \leqslant \lambda_{T'} \|\xi\|_{\varphi}.$$

It follows that the mapping  $\zeta \mapsto \sum_{k=1}^{n} (\xi_k | T'(a_k)_{\varphi})_{\varphi}$  defines a bounded linear form on  $\pi_{\varphi}(\mathfrak{N}_{\varphi}^*) \mathscr{H}_{\varphi}$  and, consequently, there exists a unique vector  $\eta \in \overline{\pi_{\varphi}(\mathfrak{N}_{\varphi}^*) \mathscr{H}_{\varphi}}$  such that

$$(\xi|T'a_{\varphi})_{\varphi}=(\xi|\pi_{\varphi}(a)\,\eta)_{\varphi}\qquad (a\in\mathfrak{N}_{\varphi},\ \xi\in\mathscr{H}_{\varphi}),$$

i.e.  $T'a_{\varphi} = \pi_{\varphi}(a) \eta$  for all  $a \in \mathfrak{N}_{\varphi}$ . In particular,  $f = \omega_{\eta} \circ \pi_{\varphi}$  is a positive form on  $\mathscr{A}$  and  $f(b^*a) = (T'a_{\varphi}|T'b_{\varphi})_{\varphi}$  for all  $a, b \in \mathfrak{N}_{\varphi}$ .

**2.5.** Corollary. Let  $\varphi$  be a normal weight on the W\*-algebra  $\mathcal{M}$  and  $f_0$  a positive form on  $\mathcal{M}$ . If  $f_0 \leqslant \varphi$ , then there exists a normal positive form f on  $\mathcal{M}$  such that  $f \leqslant \varphi$  and  $f | \mathfrak{M}_{\varphi} = f_0 | \mathfrak{M}_{\varphi}$ .

*Proof.* By Corollary 2.4.(1) there exists a vector  $\eta \in \mathcal{H}_{\varphi}$  such that  $f_0(x) = (\omega_{\eta} \circ \pi_{\varphi})(x)$  for every  $x \in \mathfrak{M}_{\varphi}$ . Since  $\varphi$  is normal,  $\pi_{\varphi}$  is normal (2.2), so we can take  $f = \omega_{\eta} \circ \pi_{\varphi}$ .

2.6. By Haagerup's theorem (1.3) every normal weight  $\varphi$  on the  $W^*$ -algebra  $\mathcal{M}$  is the pointwise supremum of the family  $\mathscr{F}_{\varphi} = \{f \in \mathcal{M}_*^+; f \leq \varphi\}$ ; thus  $\varphi$  is also the pointwise supremum of the family  $\{f \in \mathcal{M}_*^+; \text{ there exists } \varepsilon > 0 \text{ such that } (1 + \varepsilon)f \leq \varphi\}$ . The next result shows, in particular, that every normal semifinite weight on a  $W^*$ -algebra is the pointwise supremum of an upward directed family of normal positive forms.

**Theorem** (F. Combes). Let  $\varphi$  be a normal semifinite weight on the W\*-algebra  $\mathcal{M}$ . Then the family

$$\{f \in \mathcal{M}^+_{\bullet}; \text{ there exists } \varepsilon > 0 \text{ such that } (1+\varepsilon)f \leq \emptyset\}$$

is upward directed.

We first prove two general results of independent interest.

**2.7. Proposition.** Let  $\mathfrak{N}$  be a left ideal of the  $W^*$ -algebra  $\mathscr{M}$ . Then  $\mathfrak{F} = (\mathfrak{N}^*\mathfrak{N}) \cap \mathscr{M}^+$  is a face of  $\mathscr{M}^+$ ,  $\mathfrak{N} = \{x \in \mathscr{M}; x^*x \in \mathfrak{F}\}$  and  $\mathfrak{N}^*\mathfrak{N} = \text{lin } \mathfrak{F}$ . In particular,  $\mathfrak{N}^*\mathfrak{N}$  is a facial subalgebra of  $\mathscr{M}$ .

*Proof.* Clearly,  $\mathfrak{N} \subset \{x \in \mathcal{M}; x^*x \in \mathfrak{F}\}\$  and, by the polarization relation ([L], 3.21),  $\mathfrak{N}^*\mathfrak{N} = \lim \mathfrak{F}$ .

Let  $x \in \mathcal{M}$  be such that  $x^*x \leq b \in \mathcal{F}$ . Since b is self-adjoint, using again the polarization relation we can find  $x_k, y_k \in \mathcal{N}$   $(1 \leq k \leq n)$  such that

$$x^*x \le b = \sum_{k=1}^n x_k^*x_k - \sum_{k=1}^n y_k^*y_k \le \sum_{k=1}^n x_k^*x_k = a.$$

By Proposition 1.4 there exist  $z, z_k \in \mathcal{M}$   $(1 \le k \le n)$  such that  $x = za^{1/2}, x_k = z_k a^{1/2}$  and  $\sum_{k=1}^n z_k^* z_k = s(a)$ . It follows that

$$x = za^{1/2} = z\left(\sum_{k=1}^{n} z_{k}^{*} z_{k}\right) a^{1/2} = \sum_{k=1}^{n} zz_{k}^{*} x_{k} \in \mathfrak{R}.$$

Hence  $\mathfrak{F}$  is a face of  $\mathcal{M}^+$  and  $\{x \in \mathcal{M}; x^*x \in \mathfrak{F}\} \subset \mathfrak{N}$ .

**2.8. Proposition.** Let  $\mathscr{A}$  be a  $C^*$ -algebra and  $\mathfrak{M}$  a facial subalgebra of  $\mathscr{A}$ . Then the set  $\{x \in \mathfrak{M} \cap \mathscr{A}^+; ||x|| < 1\}$  is upward directed.

Proof. Let  $x, y \in \mathfrak{M} \cap \mathscr{A}^+$ , ||x|| < 1, ||y|| < 1, and let  $u = x(1-x)^{-1}$ ,  $v = y(1-y)^{-1}$ ,  $z = (u+v)(1+u+v)^{-1}$ . Then  $u, v, z \in \mathscr{A}^+$ , ||z|| < 1 and  $x = u(1+u)^{-1}$ ,  $y = v(1+v)^{-1}$ . Since the function  $f_1$  defined in Section 1.5 is operator monotone, we have  $x \le z$ ,  $y \le z$ . Since  $\mathfrak{M}$  is a facial subalgebra in  $\mathscr{A}$ ,  $\mathfrak{M} \cap \mathscr{A}^+$  is a face of  $\mathscr{A}^+$ . We have  $u \le ||(1-x)^{-1}|| x \in \mathfrak{M} \cap \mathscr{A}^+$ , so that  $u \in \mathfrak{M} \cap \mathscr{A}^+$  and, similarly,  $v \in \mathfrak{M} \cap \mathscr{A}^+$  and  $z \in \mathfrak{M} \cap \mathscr{A}^+$ .

**2.9.** Proof of Theorem 2.6. We have to show that for every  $f_1, f_2 \in \mathscr{F}_{\varphi}$  and every  $\varepsilon > 0$  there exists  $f \in \mathscr{F}_{\varphi}$  such that  $(1 - \varepsilon) f_1 \leq f$  and  $(1 - \varepsilon) f_2 \leq f$ . Let  $f_1, f_2 \in \mathscr{F}_{\varphi}$  and  $\varepsilon > 0$ . By Corollary 2.4, the set  $\mathscr{F}'_{\varphi}$  of all the operators  $T' \in \pi_{\varphi}(\mathscr{M})'$  such that there exists some  $\lambda_{T'} > 0$  with the property

$$||T'a_{\omega}||_{\omega} \leqslant \lambda_{T'}||a|| \qquad (a \in \mathfrak{N}_{\omega})$$

is a left ideal of the von Neumann algebra  $\pi_{\varphi}(\mathcal{M})'$ ; there exist  $T_1', T_2' \in \mathcal{F}_{\varphi}'$  such that  $0 \leq T_1' \leq 1$  and

(1) 
$$f_i(b^*a) = (T_i'a_{\omega}|T_j'b_{\omega})_{\omega} \qquad (a,b \in \mathfrak{N}_{\omega}, j = 1,2).$$

By Proposition 2.7 it follows that  $(\mathcal{F}'_{\varphi})^*\mathcal{F}'_{\varphi}$  is a facial subalgebra of  $\pi_{\varphi}(\mathcal{M})'$ . Using Proposition 2.8 we obtain an element  $X' \in (\mathcal{F}'_{\varphi})^*\mathcal{F}'_{\varphi}$  such that  $(1-\varepsilon)$   $T'_j^*T'_j \leq X' \leq 1$  (j=1,2). Let  $T'=(X')^{1/2}$ . Using again Proposition 2.7 we see that

(2) 
$$T' \in \mathcal{F}'_n$$
,  $0 \leqslant T' \leqslant 1$  and  $(1 - \varepsilon) T'_j * T'_j \leqslant T' * T'$   $(j = 1, 2)$ .

By Corollaries 2.4 and 2.5, there exists a normal positive form  $f \leqslant \varphi$ , that is  $f \in \mathscr{F}_{\varphi}$ , such that

(3) 
$$f(b^*a) = (T'a_{\varphi}|T'b_{\varphi})_{\varphi} \qquad (a, b \in \mathfrak{N}_{\varphi}).$$

From (1), (2) and (3) it follows that

(4) 
$$(1-\varepsilon)f_j(x) \leq f(x) \qquad (x \in \mathfrak{M}_{\varphi}, j=1,2).$$

Since  $\varphi$  is semifinite,  $\mathfrak{M}_{\varphi}$  is w-dense in  $\mathcal{M}$ . As  $f, f_1, f_2$  are w-continuous, it follows that inequalities (4) remain valid for every  $x \in \mathcal{M}$ , i.e.  $(1 - \varepsilon) f_j \leq f$  (j = 1, 2).

2.10. Corollary. Let  $\varphi$  be a normal semifinite weight on the W\*-algebra M. For

each w-continuous function  $\mathbb{R} \ni t \mapsto x(t) \in \mathcal{M}^+$  such that  $\int \|x(t)\| dt < +\infty$  we have

$$\varphi\left(\int_{-\infty}^{+\infty} x(t) dt\right) = \int_{-\infty}^{+\infty} \varphi(x(t)) dt.$$

*Proof.* Since  $\mathcal{M} = (\mathcal{M}_*)^*$  ([L], 1.10; A.16), the properties of the function  $t \mapsto x(t)$  show that there exists a unique element  $x = \int x(t) dt \in \mathcal{M}^+$  such that  $f(x) = \int f(x(t)) dt$  for all  $f \in \mathcal{M}_*$ .

By Theorem 2.6 there exists an increasing net  $\{f_i\}_{i\in I} \subset \mathcal{M}_{\bullet}^+$  such that  $\varphi = \sup_i f_i$ . For each  $i \in I$  we have  $f_i(x) = \int f_i(x(t)) dt$ . Since  $f_i(x(t)) \uparrow \varphi(x(t))$   $(t \in \mathbb{R})$ , using the classical Beppo-Levi theorem we obtain  $\varphi(x) = \sup_i f_i(x) = \sup_i \int f_i(x(t)) dt = \int \sup_i f_i(x(t)) dt = \int \varphi(x(t)) dt$ .

2.11. Using the theorems of Haagerup (1.3) and Combes (2.6) we can now extend, without any modification, the statement and the proof of the standard respresentation theorem ([L], 10.14) for weights which are normal in the sense defined in Section 1.3:

**Theorem.** Let  $\varphi$  be an n.s.f. weight on the W\*-algebra  $\mathcal{M}$ . Then  $\mathfrak{N}_{\varphi} \cap \mathfrak{N}_{\varphi}^*$ , endowed with the \*-algebra structure inherited from  $\mathcal{M}$  and the scalar product of  $\mathcal{H}_{\varphi}$ , is a left Hilbert algebra  $\mathfrak{A}_{\varphi} \subset \mathcal{H}_{\varphi}$  such that  $\mathfrak{A}_{\varphi} = \mathfrak{A}_{\varphi}''$ ,  $\pi_{\varphi}(\mathcal{M}) = \mathfrak{L}(\mathfrak{A}_{\varphi})$  and

$$\varphi(a) = \begin{cases} \|\xi\|^2 & \text{if there exists } \xi \in \mathfrak{A}_{\varphi} & \text{with } \pi_{\varphi}(a)^{1/2} = L_{\xi} \\ + \infty, & \text{otherwise.} \end{cases}$$
  $(a \in \mathcal{M}^+).$ 

Indeed, from Theorems 1.3 and 2.6 it follows that every normal semifinite weight is the supremum of an upward directed family of normal positive forms and it is exactly this definition of normality which is used in the proof of ([L], Thm. 10.14).

Consequently, every n.s.f. weight on a  $W^*$ -algebra is the natural weight associated with a left Hilbert algebra ([L], 10.16). Since these natural weights are sums of normal positive forms ([L], 10.18), any n.s.f. weight has the same property. In Section 5.8 we shall give a simpler proof of this result.

2.12. We shall use the notation and results of ([L], Chapter 10) for left Hilbert algebras and the objects associated with them.

Let  $\varphi$  be an n.s.f. weight on the  $W^*$ -algebra  $\mathcal{M}$  and  $\mathfrak{A}_{\varphi} \subset \mathcal{H}_{\varphi}$  the associated left Hilbert algebra (2.11). In this Section we recall some of the notation and results just used, together with some new results.

Since the weight  $\varphi$  is faithful, the left ideal  $\mathfrak{N}_{\varphi} \subset \mathcal{M}$  will be also considered as a linear subspace of  $\mathscr{H}_{\varphi}$  via the mapping  $\mathfrak{N}_{\varphi} \ni x \mapsto x_{\varphi} \in \mathscr{H}_{\varphi}$ .

The closed antilinear operator  $S=S_{\varphi}$  in  $\mathcal{H}_{\varphi}$  is the closure of the preclosed antilinear operator

$$S_{\varphi}^{0}: \mathfrak{A}_{\varphi} \ni x_{\varphi} \mapsto (x_{\varphi})^{*} = (x^{*})_{\varphi} \in \mathscr{H}_{\varphi}.$$

For each  $\eta \in \mathcal{H}_{\varphi}$  one defines a linear operator  $R_{\eta}^{0}$  on  $\mathcal{H}_{\varphi}$ , affiliated to  $\pi_{\varphi}(\mathcal{M})'$ , with domain  $D(R_{\eta}^{0}) = \mathfrak{A}_{\varphi} = \mathfrak{R}_{\varphi} \cap \mathfrak{R}_{\varphi}^{*}$  and

$$R^0_{\eta} x_{\varphi} = \pi_{\varphi}(x) \eta \qquad (x \in \mathfrak{N}_{\varphi} \cap \mathfrak{N}^*_{\varphi}).$$

If  $\eta \in D(S^*)$ , then  $R_{\eta}^0$  is preclosed and its closure  $R_{\eta} = \overline{R_{\eta}^0}$  satisfies  $R_{\eta}^* \supset R_{S^*\eta}^{*}$ . The right Hilbert algebra  $\mathfrak{U}_{\varphi}' \subset \mathscr{H}_{\varphi}$  is the set

$$\mathfrak{A}_{\varphi}' = \{ \eta \in D(S^*); \ R_{\eta} \in \mathcal{B}(\mathcal{H}_{\varphi}) \}$$

with the scalar product of  $\mathcal{H}_{\omega}$  and with the operations

$$\eta^b = S^* \eta, \ \eta_1 \eta_2 = R_{\eta_2} \eta_1 \qquad (\eta, \eta_1, \eta_2 \in \mathfrak{A}'_{\omega}).$$

We have

$$\pi_{\varphi}(\mathscr{M})' = \mathscr{R}(\mathfrak{A}'_{\varphi}) = \overline{\{R_{\eta}; \eta \in \mathfrak{A}'_{\varphi}\}^{so}}.$$

If  $R_n^0$   $(\eta \in \mathcal{H}_n)$  is bounded, then

(1) 
$$R_{\eta}x_{\varphi} = \pi_{\varphi}(x)\,\eta \qquad (x \in \mathfrak{N}_{\varphi}).$$

Indeed, if  $\{y_i\}_{i\in I} \subset \mathfrak{N}_{\varphi}$  is a norm-bounded net with  $y_i \stackrel{s^*}{\to} 1$ , then for every  $x \in \mathfrak{N}_{\varphi}$  we have  $y_i^*x \in \mathfrak{N}_{\varphi} \cap \mathfrak{N}_{\varphi}^*$  and, by the definition of  $R_{\eta}^0$ ,

$$R_{\eta}x_{\varphi} = \lim_{i} \pi_{\varphi}(y_{i}^{*}) R_{\eta}x_{\varphi} = \lim_{i} R_{\eta}\pi_{\varphi}(y_{i}^{*}) x_{\varphi}$$
$$= \lim_{i} R_{\eta}^{0}(y_{i}^{*}x)_{\varphi} = \lim_{i} \pi_{\varphi}(y_{i}^{*}x) \eta = \pi_{\varphi}(x) \eta.$$

Similarly, for each  $\xi \in \mathscr{H}_{\varphi}$  one defines a linear operator  $L^0_{\xi}$  on  $\mathscr{H}_{\varphi}$ , affiliated to  $\pi_{\varphi}(\mathscr{M})$ , with domain  $D(L^0_{\xi}) = \mathfrak{A}'_{\varphi}$  and

$$L_{\xi}^{0}\eta=R_{\eta}\xi \qquad (\eta\in\mathfrak{A}_{\varphi}^{\prime}).$$

If  $\xi \in D(S)$ , then  $L_{\xi}^{0}$  is preclosed and its closure  $L_{\xi} = \overline{L_{\xi}^{0}}$  satisfies the relation  $L_{\xi}^{*} \supset L_{S\xi}^{*}$ . By Theorem 2.11 we have

$$\begin{split} \mathfrak{A}_{\varphi} &= \mathfrak{A}_{\varphi}^{\prime\prime} = \big\{ \xi \in D(S); \ L_{\xi} \in \mathscr{B}(\mathscr{H}_{\varphi}) \big\}, \\ \pi_{\varphi}(\mathscr{M}) &= \mathfrak{L}(\mathfrak{A}_{\varphi}) = \overline{\big\{ L_{\xi}; \ \xi \in \mathfrak{A}_{\varphi} \big\}^{so}}. \end{split}$$

For  $\xi \in \mathcal{H}_{\varphi}$  we have

(2) 
$$L_{\xi}^{0} \text{ is bounded} \Leftrightarrow \text{there exists } x \in \mathfrak{N}_{\varphi} \text{ with } \xi = x_{\varphi};$$
 in this case  $L_{\xi} = \pi_{\varphi}(x)$ .

<sup>\*)</sup> The equality is not always true (cf. [L], C. 10.1 and an example by M. Pimsner).

Indeed, let  $x \in \mathfrak{N}_{\omega}$ . By (1), for every  $\eta \in \mathfrak{A}'_{\omega}$  we have

$$L^0_{x_{\varphi}}\eta=R_{\eta}x_{\varphi}=\pi_{\varphi}(x)\,\eta.$$

Conversely, assume that  $L^0_{\xi}$  is bounded. Then  $L_{\xi} \in \pi_{\infty}(\mathcal{M})$ , hence there exists  $x \in \mathcal{M}$ with  $\pi_{\omega}(x) = L_{\varepsilon}$ . Let x = v|x| be the polar decomposition of x in  $\mathcal{M}$ . It is easy to check that

$$L_{\pi_{\phi}(v^*)\xi} = \pi_{\phi}(v^*) L_{\xi} = \pi_{\phi}(v^*x) = \pi_{\phi}(|x|) = |L_{\xi}| \geqslant 0.$$

Using ([L], 10.8) it follows that  $\pi_{\varphi}(v^*) \xi \in \mathfrak{A}_{\varphi}''$  and then, using Theorem 2.11 we get  $\varphi(x^*x) = \|\pi_{\varphi}(v^*) \xi\|_{\varphi}^2 = \|\xi\|_{\varphi}^2 < +\infty$ , hence  $x \in \mathfrak{N}_{\varphi}$ . Since  $L_{x_{\varphi}} = \pi_{\varphi}(x) = L_{\xi}$ , we conclude that  $\xi = x_{\omega}$ .

Also, note that  $x \in \mathfrak{N}_{\alpha}$ ,  $x_{\alpha} \in D(S_{\alpha}) \Rightarrow x_{\alpha} \in \mathfrak{A}_{\alpha}$ , i.e.

$$\mathfrak{N}_{\sigma} \cap D(S_{\sigma}) = \mathfrak{N}_{\sigma} \cap \mathfrak{N}_{\sigma}^{*}.$$

Indeed, the inclusion  $\mathfrak{N}_{\varphi} \cap \mathfrak{N}_{\varphi}^* = \mathfrak{U}_{\varphi} \subset \mathfrak{N}_{\varphi} \cap D(S_{\varphi})$  is obvious. Conversely, if  $x \in \mathfrak{N}_{\varphi}$  and  $x_{\varphi} \in D(S_{\varphi})$ , it follows from (2) that  $x_{\varphi} = \mathfrak{U}_{\varphi}'' = \mathfrak{U}_{\varphi}$ , hence  $x \in \mathfrak{N}_{\varphi} \cap \mathfrak{N}_{\varphi}^*$ . From the polar decomposition  $S_{\varphi} = J_{\varphi} \Delta_{\varphi}^{1/2}$  of  $S_{\varphi}$  one obtains the modular operator  $\Delta_{\varphi} = S_{\varphi}^* S_{\varphi}$  and the canonical conjugation  $J_{\varphi} = J_{\varphi}^* = J_{\varphi}^{-1}$ , associated with  $\mathfrak{U}_{\varphi} \subset \mathscr{H}_{\varphi}$ . Since  $J_{\varphi}$  is antilinear and  $J_{\varphi} \Delta_{\varphi} J_{\varphi} = \Delta_{\varphi}^{-1}$ , it follows that

$$J_{\varphi}f(\Delta_{\varphi}) J_{\varphi} = \overline{f}(\Delta_{\varphi}^{-1})$$

for every Borel function f. In particular,  $J_{\varphi}\Delta_{\varphi}^{it} = \Delta_{\varphi}^{it}J_{\varphi}$ ,  $(t \in \mathbb{R})$ , and  $S_{\varphi} = J_{\varphi}\Delta_{\varphi}^{1/2} = \Delta_{\varphi}^{-1/2}J_{\varphi}$ .

By Tomita's fundamental theorem ([L], 10.12) we have  $\Delta_{\varphi}^{it}\mathfrak{A}_{\varphi} = \mathfrak{A}_{\varphi}$ ,  $\Delta_{\varphi}^{it}\mathfrak{A}_{\varphi}' = \mathfrak{A}_{\varphi}^{it}\mathfrak{A}_{\varphi}'$ 

 $=\mathfrak{A}'_{\alpha}(t\in\mathbb{R}), J_{\alpha}\mathfrak{A}_{\alpha}=\mathfrak{A}'_{\alpha}$  and

$$\begin{split} L_{\Delta_{\boldsymbol{\varphi}}^{\mathrm{i}t}\xi} &= \Delta_{\boldsymbol{\varphi}}^{\mathrm{i}t}L_{\xi}, \Delta_{\boldsymbol{\varphi}}^{-\mathrm{i}t}, \quad R_{\boldsymbol{J}_{\boldsymbol{\varphi}}\xi} = J_{\boldsymbol{\varphi}}L_{\xi}J_{\boldsymbol{\varphi}} \qquad (\xi \in \mathfrak{A}_{\boldsymbol{\varphi}}), \\ (4) & R_{\Delta_{\boldsymbol{\varphi}}^{\mathrm{i}t}\eta} = \Delta_{\boldsymbol{\varphi}}^{t}R_{\eta}\Delta_{\boldsymbol{\varphi}}^{-\mathrm{i}t}, \quad L_{\boldsymbol{J}_{\boldsymbol{\varphi}}\eta} = J_{\boldsymbol{\varphi}}R_{\eta}J_{\boldsymbol{\varphi}} \qquad (\eta \in \mathfrak{A}_{\boldsymbol{\varphi}}'). \end{split}$$

Using the definition of the operators  $L^0$ ,  $R^0$  the validity of (4) can be extended to arbitrary vectors  $\xi$ ,  $\eta \in \mathcal{H}_{\varphi}$ , replacing the operators L, R by  $L^0$ ,  $R^0$ , respectively. If the operators  $L_{\zeta}^0$ ,  $R_{\eta}^0$  are preclosed, these identities can be extended to their closures, i.e. they remain valid in the above form.

From Tomita's fundamental theorem it follows that the mapping  $j_{\sigma}: x \mapsto$  $\mapsto J_{\varphi}\pi_{\varphi}(x^*)J_{\varphi}$  defines a \*-antiisomorphism  $j_{\varphi}$  of  $\mathscr{M}$  onto  $\pi_{\varphi}(\mathscr{M})'$  which coincides with  $\pi_{\omega}$  on the centre of  $\mathcal{M}$  ([L], 10.13). We note that

(5) 
$$J_{\varphi}\pi_{\varphi}(x)J_{\varphi}y_{\varphi} = \pi_{\varphi}(y)J_{\varphi}x_{\varphi} \qquad (x, y \in \mathfrak{N}_{\varphi}).$$

Indeed, using the extension of (4) as well as (1) and (2), we obtain  $J_{\varphi}\pi_{\varphi}(x)J_{\varphi}y_{\varphi}=J_{\varphi}L_{x_{\varphi}}J_{\varphi}y_{\varphi}=R_{J_{\varphi}x_{\varphi}}y_{\varphi}=\pi_{\varphi}(y)J_{\varphi}x_{\varphi}$ .

Using the isometric character of  $J_{\varphi}$  it is easy to check that the n.s.f. weight  $\varphi'$  on  $\pi_{\varphi}(\mathcal{M})'$  defined by

(6) 
$$\varphi'(j_{\varphi}(x)) = \varphi(x) \qquad (x \in \mathcal{M}^+)$$

is just the natural weight on  $\pi_{\varphi}(\mathcal{M})' = \Re(\mathfrak{A}'_{\varphi})$  associated with the right Hilbert algebra  $\mathfrak{A}'_{\varphi} \subset \mathscr{H}_{\varphi}$  ([L], p. 287), i.e.

$$\varphi'(R_{\zeta}^*R_{\eta}) = (\eta|\zeta)_{\varphi}$$

for every  $\eta$ ,  $\zeta \in \mathcal{H}_{\varphi}$  such that  $R_{\eta}$ ,  $R_{\zeta}$  are bounded, in particular for every  $\eta$ ,  $\zeta \in \mathcal{A}'_{\varphi}$ . We note that the standard representation of  $\pi_{\varphi}(\mathcal{M})'$  associated with the n.s.f. weight  $\varphi'$  is unitarily equivalent to the identity representation  $\pi_{\varphi}(\mathcal{M})' \subset \mathcal{B}(\mathcal{H}_{\varphi})$  and we have

(8) 
$$S_{\phi'} = S_{\phi}^*, \ \Delta_{\phi'} = \Delta_{\phi}^{-1}, \ J_{\phi'} = J_{\phi}.$$

On the other hand, it follows from Tomita's fundamental theorem that the relation

$$\pi_{\varphi}(\sigma_t^{\varphi}(x)) = \Delta_{\varphi}^{\mathrm{i}t}\pi_{\varphi}(x)\Delta_{\varphi}^{-\mathrm{i}t} \qquad (x \in \mathcal{M}, t \in \mathbb{R})$$

defines an s\*-continuous group  $\{\sigma_i^{\varphi}\}_{i\in\mathbb{R}}$  of \*-automorphisms of  $\mathcal{M}$  which act identically on the centre of  $\mathcal{M}$  ([L], 10.13). With an argument similar to that used in proving (5) we obtain

$$R_n(\sigma_t^{\varphi}(x))_{\varphi} = \pi_{\varphi}(\sigma^{\varphi}(x))\eta = R_n\Delta_{\varphi}^{it}x_{\varphi}$$

for every  $x \in \mathfrak{N}_{\varphi}$  and every  $\eta \in \mathfrak{A}'_{\varphi}$ . Letting  $R_{\eta} \stackrel{so}{\to} 1$ , we conclude

(9) 
$$(\sigma_t^{\varphi}(x))_{\varphi} = \Delta_{\varphi}^{it} x_{\varphi} (x \in \mathfrak{N}_{\varphi}, t \in \mathbb{R}).$$

Since  $\Delta_{\varphi'} = \Delta_{\varphi}^{-1}$ , for the weight  $\varphi'$  on  $\pi_{\varphi}(\mathcal{M})'$  we have

(10) 
$$\sigma_t^{\varphi'}(j_{\varphi}(x)) = j_{\varphi}(\sigma_{-t}^{\varphi}(x)) \qquad (x \in \mathcal{M}, \ t \in \mathbb{R}).$$

The weight  $\varphi$  is invariant with respect to the modular automorphism group  $\{\sigma_t^{\varphi}\}_{t\in\mathbb{R}}$ , that is  $\varphi\circ\sigma_t^{\varphi}=\varphi$   $(t\in\mathbb{R})$ , and satisfies the KMS condition with respect to  $\{\sigma_t^{\varphi}\}_{t\in\mathbb{R}}$  in any two elements  $x, y\in \mathfrak{N}_{\varphi}\cap\mathfrak{N}_{\varphi}^*$ , that is, there exists a function  $f=f_{x,y}$  defined, continuous and bounded on the strip  $\{\alpha\in\mathbb{C};\ 0\leq\mathbb{R}\ \alpha\leq 1\}$ , analytic in the interior of this strip and such that

$$f(it) = \varphi(x\sigma_i^{\varphi}(y)), \quad f(1+it) = \varphi(\sigma_i^{\varphi}(y)x) \quad (t \in \mathbb{R}).$$

These properties characterize the modular automorphism group associated with  $\varphi$ . More exactly, in ([L], 10.17) one actually proves the following uniqueness statement:

if  $\{\sigma_t\}_{t\in\mathbb{R}}$  is a group of \*-automorphisms of  $\mathcal{M}$  with the properties:

- a)  $\varphi \circ \sigma_t = \varphi$  for all  $t \in \mathbb{R}$ ;
- (11) b) there exists a \*-subalgebra  $\mathscr{X} \subset \mathfrak{A}_{\varphi}$  such that  $\overline{S_{\varphi}|\mathscr{X}} = S_{\varphi}$  and  $\varphi$  satisfies the KMS condition with respect to  $\{\sigma_t\}_{t\in\mathbb{R}}$  in any two elements of  $\mathscr{X}$ ; then  $\sigma_t = \sigma_t^{\varphi}$  for  $t\in\mathbb{R}$ .

If the weight  $\varphi$  is finite, i.e. if  $\varphi \in \mathcal{M}_{*}^{+}$ , then

(12) 
$$x_i \stackrel{s}{\to} x \text{ in } \mathcal{M} \Rightarrow \|(x_i)_{\varphi} - x_{\varphi}\|_{\varphi} \to 0.$$

Thus, in this case we can replace  $\mathcal{X}$  in condition b) of (11) by any w-dense \*-sub-algebra of  $\mathcal{M}$ .

If  $\varphi$  is not necessarily finite, we still have the following convergence result

(13) 
$$\mathfrak{N}_{\varphi} \ni x_i \xrightarrow{\psi} x \in \mathcal{M}, \ (x_i)_{\varphi} \xrightarrow{\text{weakly}} \xi \in \mathcal{H}_{\varphi} \Rightarrow x \in \mathfrak{N}_{\varphi}, \ \xi = x_{\varphi}.$$

Indeed, for  $\eta \in \mathfrak{A}'_{\varphi}$  and  $\zeta \in \mathscr{H}_{\varphi}$  we have  $(L^{0}_{\zeta}\eta|\zeta)_{\varphi} = (R_{\eta}\xi|\zeta)_{\varphi} = \lim_{i} (R_{\eta}(x_{i})_{\varphi}|\zeta)_{\varphi} = \lim_{i} (\pi_{\varphi}(x_{i})\eta|\zeta) = (\pi_{\varphi}(x)\eta|\zeta)_{\varphi}$ , and using (2) we conclude  $x \in \mathfrak{N}_{\varphi}$  and  $\xi = x_{\varphi}$ .

Using (13) and the w-compactness of the closed unit ball of  $\mathcal{M}$ , we obtain also the following result:

(14) if  $\{x_i\} \subset \mathfrak{N}_{\varphi}$  is a norm-bounded net and if  $\{(x_i)_{\varphi}\}$  is weakly convergen to some  $\xi \in \mathcal{H}_{\varphi}$ , then there exists  $x \in \mathfrak{N}_{\varphi}$  such that  $x_i \xrightarrow{s} x$  and  $x_{\varphi} = \xi$ .

An important technical tool in the standard representation associated with  $\varphi$  is the Tomita algebra ([L], 10.20, 10.21)

$$\mathfrak{T}_{\varphi} \subset \mathfrak{A}_{\varphi} \cap \mathfrak{A}_{\varphi}' \cap \bigcap_{\alpha \in \mathfrak{C}} D(\Delta_{\varphi}^{\alpha}).$$

Recall that  $\mathfrak{T}_{\varphi}$  is a left Hilbert subalgebra of  $\mathfrak{A}_{\varphi}$ , equivalent to  $\mathfrak{A}_{\varphi}$ , and  $J_{\varphi}\mathfrak{T}_{\varphi}=\mathfrak{T}_{\varphi}$ ,  $\Delta^{\alpha}_{\varphi}|\mathfrak{T}_{\varphi}=\Delta^{\alpha}_{\varphi}(\alpha\in\mathbb{C})$ . The identities

$$A^{\alpha}_{\varphi}(\xi\eta) = (A^{\alpha}_{\varphi}\xi) (A^{\alpha}_{\varphi}\eta), \ J_{\varphi}(\xi\eta) = (J_{\varphi}\eta) (J_{\varphi}\xi) \qquad (\xi, \ \eta \in \mathfrak{T}_{\varphi})$$

are straightforward consequences of (9) and (5).

The arguments in ([L], 10.21) prove that for  $\xi \in \mathcal{H}_{\alpha}$  we have

(15) 
$$\xi \in \mathfrak{T}_{\varphi} \Leftrightarrow \xi \in \bigcap_{\alpha \in \mathfrak{C}} D(\Delta_{\varphi}^{\alpha}) \text{ and } \Delta_{\varphi}^{n} \xi \in \mathfrak{N}_{\varphi} \subset \mathscr{H}_{\varphi} \text{ for all } n \in \mathbb{Z}.$$

Using this criterion and arguing as in ([L], p. 302), it is easy to obtain the following approximation result:

(16) for every 
$$x \in \mathfrak{N}_{\varphi}$$
 there exists a sequence  $\{x_n\} \subset \mathfrak{T}_{\varphi}$  such that  $\|x_n\| \leq \|x\|, x_n \stackrel{s}{\to} x$  and  $\|(x_n)_{\varphi} - x_{\varphi}\|_{\varphi} \to 0$ ;

in fact we can take

$$x_n = \sqrt{n/\pi} \int_{-\infty}^{+\infty} e^{-nt^2} \sigma_t^{\varphi}(x) dt.$$

If  $x \in \mathfrak{N}_{\varphi} \cap \mathfrak{N}_{\varphi}^*$ , then the approximation is stronger, namely we have also ([L], Cor. 2/10.21)  $x_n^* \stackrel{s}{\to} x^*$  and  $\|(x_n^*)_{\varphi} - (x^*)_{\varphi}\|_{\varphi} \to 0$ .

In the next Sections we define the translation of a weight by certain elements (2.13), consider analytic elements with respect to a weight (2.14-2.16), give some useful reformulations of the KMS condition (2.17-2.10), study the centralizer of a weight (2.21, 2.22) and use the standard representation in order to introduce a natural topology on the group of all \*-automorphisms (2.23-2.26).

**2.13. Proposition.** Let  $\varphi$  be an n.s.f. weight on the W\*-algebra  $\mathcal{M}$ . If  $a \in \mathfrak{T}_{\varphi}^2$ , then the linear form

$$x \mapsto \varphi(xa) \qquad (resp. \ x \mapsto \varphi(ax))$$

is defined and w-continuous on the set

$$\{x\in\mathcal{M};\ x\mathfrak{T}_{\varphi}\subset\mathfrak{A}_{\varphi}\}\qquad (resp.\ \{x\in\mathcal{M};\ \mathfrak{T}_{\varphi}\ x\subset\mathfrak{A}_{\varphi}\})$$

which contains  $\mathfrak{T}_{\varphi}$ , and can therefore be extended to a w-continuous linear form on  $\mathcal{M}$ , denoted by  $\varphi(\cdot a)$  (resp. $\varphi(a \cdot)$ ).

The sets  $\{\varphi(\cdot a); a \in \mathfrak{T}_{\varphi}^2\}$  and  $\{\varphi(a \cdot); a \in \mathfrak{T}_{\varphi}^2\}$  are norm-dense linear subspaces of  $\mathcal{M}$ .

**Proof.** Let  $a = bc^*$  with  $b, c \in \mathfrak{T}_{\varphi}$  and  $x \in \mathcal{M}$  with  $x\mathfrak{T}_{\varphi} \subset \mathfrak{A}_{\varphi}$ . Then  $xb \in \mathfrak{A}_{\varphi} \subset \mathfrak{A}_{\varphi}$ ,  $c^* \in \mathfrak{N}_{\varphi}$ , hence  $xa \in \mathfrak{M}_{\varphi}$  and we have

(1) 
$$\varphi(xa) = (\pi_{\varphi}(x)b_{\varphi}|\Delta_{\varphi}c_{\varphi})_{\varphi}.$$

Indeed.

$$\begin{split} \varphi(xbc^*) &= ((c^*)_{\varphi} | (b^*x^*)_{\varphi})_{\varphi} = (S_{\varphi}c_{\varphi} | S_{\varphi}(xb)_{\varphi})_{\varphi} \\ &= (J_{\varphi}\Delta_{\varphi}^{1/2}c_{\varphi} | J_{\varphi}\Delta_{\varphi}^{1/2} (xb)_{\varphi})_{\varphi} = (\Delta_{\varphi}^{1/2}(xb)_{\varphi} | \Delta_{\varphi}^{1/2}c_{\varphi})_{\varphi} \\ &= ((xb)_{\varphi} | \Delta_{\varphi}c_{\varphi})_{\varphi} = (\pi_{\varphi}(x)b_{\varphi} | \Delta_{\varphi}c_{\varphi})_{\varphi}. \end{split}$$

Similarly,

(2) 
$$\varphi(ax) = (\pi_{\sigma}(x)\Delta_{\sigma}b_{\sigma}|c_{\sigma})_{\sigma}.$$

This proves the first part of the Proposition. Moreover, (1) and (2) give the explicit

form of the extensions  $\varphi(\cdot a)$  and  $\varphi(a \cdot)$ .

Assume that the linear subspace  $\{\varphi(\cdot a); a \in \mathfrak{T}_{\varphi}^2\}$  is not norm-dense in  $\mathcal{M}_{*}$ . Then, by the Hahn-Banach theorem, there exists  $x \in \mathcal{M}$ ,  $x \neq 0$ , such that  $\varphi(xa) = 0$  for all  $a \in \mathfrak{T}_{\varphi}^2$ . Using (1) we infer that  $(\pi_{\varphi}(x)\xi|\eta)_{\varphi} = 0$  for all  $\xi$ ,  $\eta \in \mathfrak{T}_{\varphi}$ , hence  $\pi_{\varphi}(x) = 0$ , contradicting  $x \neq 0$ .

Note that, conversely,

(3) if 
$$0 \le a \in \mathfrak{N}_{\varphi}$$
 and  $\mathfrak{N}_{\varphi}^* \ni x \mapsto \varphi(xa)$  is w-continuous, then  $a \in \mathfrak{M}_{\varphi}$ .

Indeed, there exists a sequence  $\{e_n\}$  of spectral projections of a such that  $ae_n \ge n^{-1}e_n$  and  $e_n \uparrow s(a)$ . We have  $e_n \le n^2 a e_n a \in \mathfrak{M}_{\varphi}$ , so that  $\mathfrak{N}_{\varphi}^* \ni e_n \uparrow 1$  and hence, by assumption,  $\sup_{n} \varphi(e_n a) < +\infty$ . On the other hand, we have  $e_n a = a^{1/2} e_n a^{1/2} \uparrow a$ , hence  $\varphi(a) = \sup_{n} \varphi(e_n a) < +\infty$ , that is  $a \in \mathfrak{M}_{\varphi}$ .

**2.14.** Let  $\varphi$  be an n.s.f. weight on the  $W^*$ -algebra  $\mathcal{M}$  and  $a \in \mathcal{M}$ .

The element a is called analytic in the vertical strip  $\{\alpha \in \mathbb{C}; -\varepsilon_1 \leq \text{Re } \alpha \leq \varepsilon_2\}$ ,  $(0 \leq \varepsilon_1, \varepsilon_2 < +\infty)$ , if there exists an  $\mathcal{M}$ -valued function F, defined and w-continuous on this strip and analytic in the interior of the strip, such that

$$F(it) = \sigma_t^{\varphi}(a) \quad (t \in \mathbb{R}).$$

In this case, for each  $\alpha \in \mathbb{C}$ ,  $-\varepsilon_1 \leqslant \operatorname{Re} \alpha \leqslant \varepsilon_2$ , we let

$$\sigma_{-\mathrm{i}\alpha}^{\varphi}(a) = F(\alpha).$$

For  $\alpha \in \mathbb{C}$  we shall write  $a \in D(\sigma_{\alpha}^{\varphi})$  if the element a is analytic in some vertical strip containing i $\alpha$ . The following statements are easily verified:

(1) 
$$a \in D(\sigma_{\alpha}^{\varphi}) \Rightarrow a^* \in D(\sigma_{\bar{\alpha}}^{\varphi}), \ \sigma_{\bar{\alpha}}^{\varphi}(a^*) = \sigma_{\alpha}^{\varphi}(a)^*;$$

(2) 
$$a, b \in D(\sigma_{\alpha}^{\varphi}) \Rightarrow ab \in D(\sigma_{\alpha}^{\varphi}), \ \sigma_{\alpha}^{\varphi}(ab) = \sigma_{\alpha}^{\varphi}(a)\sigma_{\alpha}^{\varphi}(b).$$

Using ([L], 9.21), from the relation  $\pi_{\omega}(\sigma_{\epsilon}^{\omega}(a))\Delta_{\omega}^{it}\xi = \Delta_{\omega}^{it}\pi_{\omega}(a)\xi$  we infer that

(3) 
$$a \in D(\sigma_{-i\alpha}^{\varphi}), \ \zeta \in D(\Delta_{\varphi}^{\alpha}) \Rightarrow \pi_{\varphi}(a) \ \zeta \in D(\Delta_{\varphi}^{\alpha}), \ \Delta_{\varphi}^{\alpha} \pi_{\varphi}(a) \zeta = \pi_{\varphi}(\sigma_{-i\alpha}^{\varphi}(a)) \Delta_{\varphi}^{\alpha} \zeta$$
or, using ([L1, 9.24) and replacing  $\alpha$  by  $-i\alpha$ ,

(4) 
$$a \in D(\sigma_{\alpha}^{\varphi}) \Rightarrow \pi_{\varphi}(\sigma_{\alpha}^{\varphi}(a)) = \overline{A_{\varphi}^{i\alpha}\pi_{\varphi}(a)A_{\varphi}^{-i\alpha}|D(A_{\varphi}^{-i\alpha})}.$$

It follows that if the element a is analytic in the strip  $\{\alpha \in \mathbb{C}; 0 \leq \text{Re } \alpha \leq \epsilon\}$  then the function  $\alpha \mapsto \sigma_{-i\alpha}^{\varphi}(a)$  is norm-continuous and norm-bounded on this strip Also, we have

$$(5) a \in D(\sigma_{\alpha}^{\varphi}), \ \sigma_{\beta}^{\varphi}(a) \in D(\sigma_{\alpha}^{\varphi}) \Rightarrow a \in D(\sigma_{\alpha+\beta}^{\varphi}), \ \sigma_{\alpha+\beta}^{\varphi}(a) = \sigma_{\alpha}^{\varphi}(\sigma_{\beta}^{\varphi}(a));$$

(6) 
$$a \in D(\sigma_{\alpha}^{\varphi}) \Rightarrow \sigma_{\alpha}^{\varphi}(a) \in D(\sigma_{-\alpha}^{\varphi}), \ \sigma_{-\alpha}^{\varphi}(\sigma_{\alpha}^{\varphi}(a)) = a.$$

**Proposition.** Let  $\varphi$  be an n.s. f. weight on the W\*-algebra  $\mathcal{M}$ ,  $a \in \mathcal{M}$  and  $\lambda \in (0, +\infty)$ . The following statements are equivalent:

(i) 
$$\varphi(ax^*xa^*) \leq \lambda^2 \varphi(x^*x)$$
 for every  $x \in \mathcal{M}$ ;

(ii) 
$$x \in \mathfrak{N}_{\varphi} \Rightarrow xa^* \in \mathfrak{N}_{\varphi} \text{ and } \|(xa^*)_{\varphi}\|_{\varphi} \leqslant \lambda \|x_{\varphi}\|_{\varphi};$$

(iii) 
$$a \in D(\sigma_{-i/2}^{\varphi})$$
 and  $\|\sigma_{-i/2}^{\varphi}(a)\| \leq \lambda$ .

If  $a \in D(\sigma_{-i/2}^{\varphi})$ , then

(7) 
$$(xa^*)_{\sigma} = J_{\sigma}\pi_{\sigma}(\sigma^{\varphi}_{-i/2}(a))J_{\sigma}x_{\sigma} \qquad (x \in \mathfrak{N}_{\varphi})$$

and if moreover  $\sigma_{\star}^{\varphi}(aa^{*}) = aa^{*} \ (t \in \mathbb{R})$ , then

(8) 
$$\varphi(\sigma_{-i/2}^{\varphi}(a)^*x^*x\sigma_{-i/2}^{\varphi}(a)) = \varphi(aa^*x^*x) \qquad (x \in \mathfrak{N}_{\varphi}).$$

*Proof.* It is clear that (i)  $\Leftrightarrow$  (ii).

(ii)  $\Rightarrow$  (iii). From (ii) it follows that there exists  $T \in \mathcal{B}(\mathcal{H}_{\varphi})$ ,  $||T|| \leq \lambda$ , such that  $Tx_{\varphi} = (xa^*)_{\varphi}$   $(x \in \mathfrak{N}_{\varphi})$ . For  $x \in \mathfrak{N}_{\varphi}$  we have

$$Tx_{\varphi} = (xa^*)_{\varphi} = S_{\varphi}(ax^*)_{\varphi} = S_{\varphi}\pi_{\varphi}(a)S_{\varphi}x_{\varphi} = J_{\varphi}\Delta_{\varphi}^{1/2}\pi_{\varphi}(a)\Delta_{\varphi}^{-1/2}J_{\varphi}x_{\varphi}$$

so the operator  $\Delta_{\varphi}^{1/2}\pi_{\varphi}(a)\Delta_{\varphi}^{-1/2}|\mathfrak{A}'_{\varphi}$  is bounded with norm  $\leqslant \lambda$ . Since  $\overline{\Delta_{\varphi}^{-1/2}|\mathfrak{A}'_{\varphi}}=\Delta_{\varphi}^{-1/2}$ , using ([L], 9.24) we infer that  $a\in D(\sigma_{-i/2}^{\varphi})$  and  $\|\sigma_{-i/2}^{\varphi}(a)\|\leqslant \lambda$ .

(iii)  $\Rightarrow$  (i). Let  $x \in \mathfrak{A}_{\varphi} = \mathfrak{R}_{\varphi} \cap \mathfrak{R}_{\varphi}^*$ . Then  $(x^*)_{\varphi} \in D(\Delta_{\varphi}^{1/2})$  and, using (3) with  $\alpha = 1/2$ , we get

$$\Delta_{\varphi}^{1/2}\pi_{\varphi}(a)(x^{*})_{\varphi}=\pi_{\varphi}(\sigma_{-i/2}^{\varphi}(a))\Delta_{\varphi}^{1/2}(x^{*})_{\varphi},$$

$$S_{\varphi}(ax^*)_{\varphi} = J_{\varphi}\pi_{\varphi}(\sigma_{-i/2}^{\varphi}(a))J_{\varphi}S_{\varphi}(x^*)_{\varphi},$$

hence

(9) 
$$(xa^*)_{\varphi} = J_{\varphi} \pi_{\varphi}(\sigma^{\varphi}_{-i/2}(a))J_{\varphi}x_{\varphi} (x \in \mathfrak{U}_{\varphi}).$$

It follows that

$$\varphi(aza^*) \leqslant \|\sigma_{-i/2}^{\varphi}(a)\| \varphi(z) \qquad (z \in \mathcal{M}^+),$$

and this proves (i). Indeed, if  $\varphi(z) = +\infty$  the inequality is obvious and if  $\varphi(z) < +\infty$  then  $x = z^{1/2} \in \mathfrak{A}_{\varphi}$  and we use (9).

Consider now  $x \in \mathfrak{N}_{\varphi}$ . There is a sequence  $\{x_n\} \subset \mathfrak{U}_{\varphi}$  such that  $\|(x_n)_{\varphi} - x_{\varphi}\|_{\varphi} \to 0$ . From (ii) it follows that  $xa^* \in \mathfrak{N}_{\varphi}$  and  $\|(x_na^*)_{\varphi} - (xa^*)_{\varphi}\|_{\varphi} \to 0$ . Thus, (7) follows from (9) in the limit.

Finally, we prove (8). Let  $b = \sigma_{i/2}^{\varphi}(a)$ . Using (1) and (6) it follows that  $b^* \in D(\sigma_{i/2}^{\varphi})$  and  $\sigma_{i/2}^{\varphi}(b^*) = a^*$ . On the other hand, since  $\sigma_i^{\varphi}(aa^*) = aa^*$   $(t \in \mathbb{R})$ , it is obvious that  $\sigma_{i/2}^{\varphi}(aa^*) = aa^*$ . Using (7) we obtain:

$$\varphi(b^*x^*xb) = \|(xb)_{\varphi}\|_{\varphi}^2 = \|J_{\varphi}\pi_{\varphi}(a^*)J_{\varphi}x_{\varphi}\|_{\varphi}^2$$

$$= (x_{\varphi}|J_{\varphi}\pi_{\varphi}(aa^*)J_{\varphi}x_{\varphi}|_{\varphi} = (x_{\varphi}|(xaa^*)_{\varphi})_{\varphi} = \varphi(aa^*x^*x).$$

**2.15.** An element  $a \in \mathcal{M}$  such that  $a \in D(\sigma_{\varphi}^{\alpha})$  for all  $\alpha \in \mathbb{C}$  is called an *entire analytic element*. We put

$$\mathcal{M}^{\varphi}_{\infty} = \{a \in \mathcal{M}; a \text{ is an entire analytic element}\}.$$

Using ([L], 10.20, 9.24) we see that

(1) 
$$a \in \mathfrak{T}_{\varphi} \Rightarrow a \in \mathcal{M}_{\infty}^{\varphi} \text{ and } \sigma_{\alpha}^{\varphi}(a) \in \mathfrak{T}_{\varphi} \text{ for all } \alpha \in \mathbb{C}.$$

From Section 2.14 it follows that  $\mathcal{M}_{\infty}^{\sigma}$  is an  $s^*$ -dense \*-subalgebra of  $\mathcal{M}$ . Moreover, the sets  $\mathfrak{N}_{\varphi}$ ,  $\mathfrak{N}_{\varphi}$ ,  $\mathfrak{M}_{\varphi}$  are all invariant under left or right multiplications by elements of  $\mathcal{M}_{\infty}^{\sigma}$ . Note also that

(2) 
$$a \in \mathfrak{T}_{\varphi} \Rightarrow A_{\varphi}^{i\alpha} a_{\varphi} = (\sigma_{\alpha}^{\varphi}(a))_{\varphi} \text{ and } L_{A_{\varphi}^{i\alpha} a_{\varphi}} = \pi_{\varphi}(\sigma_{\alpha}^{\varphi}(a)).$$

Indeed, for  $\xi \in \mathfrak{T}_{\varphi}$  we have

$$\begin{split} L_{A_{\varphi}^{\mathrm{i}\alpha}a_{\varphi}}^{\phantom{\dagger}}\xi &= R_{\xi} \Delta_{\varphi}^{\mathrm{i}\alpha} a_{\varphi} = \Delta_{\varphi}^{\mathrm{i}\alpha} R_{A_{\varphi}^{-\mathrm{i}\alpha\xi}} a_{\varphi} = \Delta_{\varphi}^{\mathrm{i}\alpha} L_{a_{\varphi}} \Delta_{\varphi}^{-\mathrm{i}\alpha\xi} \\ &= \Delta_{\varphi}^{\mathrm{i}\alpha} \pi_{\varphi}(a) \Delta_{\varphi}^{-\mathrm{i}\alpha\xi} = \pi_{\varphi}(\sigma_{\alpha}^{\varphi}(a)) \xi = L_{(\sigma_{\alpha}^{\varphi}(a))_{\varphi}} \xi. \end{split}$$

In particular,

$$(3) R_{J_{\varphi}^{\bar{\mathbf{i}}_{\varphi}}J_{\varphi}a_{\varphi}} = R_{J_{\varphi}J_{\varphi}^{\bar{\mathbf{i}}_{\varphi}}a_{\varphi}} = J_{\varphi}L_{J_{\varphi}a_{\varphi}}J_{\varphi} = J_{\varphi}\pi_{\varphi}(\sigma_{\alpha}^{\varphi}(a))J_{\varphi}.$$

**2.16. Proposition.** Let  $\varphi$  be an n.s.f. weight on the W\*-algebra  $\mathcal{M}$ ,  $\{x_k\}_{k\in K}\subset \mathfrak{A}_{\varphi}$  a net such that  $x_k\stackrel{s^*}{\to} 1$ ,  $\sup_{k}\|x_k\| \leq 1$  and

(1) 
$$a_k = \sqrt{1/\pi} \int_{-\infty}^{+\infty} e^{-t^2} \sigma_t^{\varphi}(x_k) dt \qquad (k \in K).$$

Then  $\{a_k\}_{k\in K}\subset \mathfrak{T}_{\varphi}\subset \mathcal{M}_{\infty}^{\varphi}$  and for every  $\alpha\in \mathbb{C}$ ,  $k\in K$ , we have

$$\sigma_{\alpha}^{\varphi}(a_k) \stackrel{s^*}{\to} 1,$$

$$\|\sigma_{\alpha}^{\varphi}(a_k)\| \leqslant \exp ((\operatorname{Im} \alpha)^2).$$

*Proof.* Arguing as in ([L], p. 302) we see that  $a_k \in \mathfrak{T}_{\varphi}$  and

$$\sigma_{\alpha}^{\varphi}(a_k) = \sqrt{1/\pi} \int_{-\infty}^{+\infty} e^{-(t-\alpha)^2} \sigma_t^{\varphi}(x_k) dt.$$

Let  $r = \operatorname{Re} \alpha$ ,  $s = \operatorname{Im} \alpha$ . Then  $(t - \alpha)^2 = -s^2 + (t - r)^2 - 2 \operatorname{i} s(t - r)$ , so

$$\|\sigma_{\alpha}^{\varphi}(a_k)\| \leqslant e^{s^2} \sqrt[k]{1/\pi} \int_{-\infty}^{+\infty} e^{-(t-r)^2} \|\sigma_t(x_k)\| dt \leqslant e^{s^2} \sqrt[k]{1/\pi} \int_{-\infty}^{+\infty} e^{-t^2} dt = e^{s^2}.$$

Let  $\mathcal{M} \subset \mathcal{B}(\mathcal{H})$  be realized as a von Neumann algebra. For  $\xi \in \mathcal{H}$  we have

$$\begin{split} \|\xi - \sigma_{\alpha}^{\varphi}(a_{k})\xi\| &\leq e^{s^{2}} \int_{-\infty}^{\infty} e^{-(t-r)^{2}} \|\sigma_{t}^{\varphi}(1-x_{k})\xi\| dt \\ &= e^{s^{2}} \int_{-\infty}^{\infty} e^{-t^{2}} \|\sigma_{t}^{\varphi}(1-x_{k})\xi\| dt \to 0, \end{split}$$

since  $\lim_{k} \|\sigma_{t}^{\varphi}(1-x_{k})\xi\| = 0$   $(t \in \mathbb{R})$ , using the Lebesgue dominated convergence theorem. Consequently,  $\sigma_{\alpha}^{\varphi}(a_{k}) \stackrel{so}{\to} 1$  and, similarly,  $\sigma_{\alpha}^{\varphi}(a_{k}) \stackrel{so}{\to} 1$ .

**2.17.** Proposition. Let  $\varphi$  be an n.s.f. weight on the  $W^*$ -algebra  $\mathcal{M}$ ,  $x, y \in \mathcal{M}$  and  $\alpha \in \mathbb{C}$ . If  $x \in \mathfrak{N}_{\varphi}^* \cap D(\sigma_{\alpha-i}^{\varphi})$ ,  $\sigma_{\alpha-i}^{\varphi}(x) \in \mathfrak{N}_{\varphi}$  and  $y \in \mathfrak{N}_{\varphi} \cap D(\sigma_{\alpha}^{\varphi})$ ,  $\sigma_{\alpha}^{\varphi}(y) \in \mathfrak{N}_{\varphi}^*$ , then

(1) 
$$\varphi(xy) = \varphi(\sigma_{\alpha}^{\varphi}(y)\sigma_{\alpha-i}^{\varphi}(x)).$$

*Proof.* By Proposition 2.16, there exists a net  $\{a_k\} \subset \mathfrak{T}_{\varphi}$  such that  $\sigma_{\beta}^{\varphi}(a_k) \stackrel{f^{\varphi}}{\to} 1$  for all  $\beta \in \mathbb{C}$ .

Using Properties 2.14.(1), 2.14.(4), 2.14.(6) and 2.15.(3), we obtain:

$$\begin{split} \pi_{\varphi}(a_{k})y_{\varphi} &= (a_{k}y)_{\varphi} = S_{\varphi}(y^{*}a_{k}^{*})_{\varphi} = S_{\varphi}\pi_{\varphi}(y^{*})S_{\varphi}(a_{k})_{\varphi} \\ &= S_{\varphi}\pi_{\varphi}(\sigma_{\bar{\alpha}}^{\varphi}(y^{*})))S_{\varphi}(a_{k})_{\varphi} = S_{\varphi}\Delta_{\varphi}^{-i\bar{\alpha}}\pi_{\varphi}(\sigma_{\bar{\alpha}}^{\varphi}(y^{*}))\Delta_{\varphi}^{i\bar{\alpha}}S_{\varphi}(a_{k})_{\varphi} \\ &= J_{\varphi}\Delta_{\varphi}^{-i\bar{\alpha}+(1/2)}\pi_{\varphi}(\sigma_{\alpha}^{\varphi}(y)^{*})\Delta_{\varphi}^{i\bar{\alpha}-(1/2)}J_{\varphi}(a_{k})_{\varphi} \\ &= J_{\varphi}\Delta_{\varphi}^{-i\bar{\alpha}+(1/2)}R_{\Delta_{\varphi}^{i\bar{\alpha}-(1/2)}J_{\varphi}(a_{k})_{\varphi}}(\sigma_{\alpha}^{\varphi}(y)^{*})_{\varphi} \\ &= J_{\varphi}\Delta_{\varphi}^{-i\bar{\alpha}+(1/2)}J_{\varphi}\pi_{\varphi}(\sigma_{\alpha-(i/2)}^{\varphi}(a_{k}))J_{\varphi}(\sigma_{\alpha}^{\varphi}(y)^{*})_{\varphi} \end{split}$$

and taking the limit over k it follows that

$$y_{\varphi} = J_{\varphi} \Delta_{\varphi}^{-i\overline{\varphi} + (1/2)} (\sigma_{\alpha}^{\varphi}(y)^*)_{\varphi}.$$

Similarly, we obtain

$$(x^*)_{\sigma} = J_{\sigma} \Delta_{\sigma}^{-\mathrm{i}\alpha - (1/2)} (\sigma_{\alpha - \mathrm{i}}^{\varphi}(x))_{\varphi}.$$

Consequently,

$$\begin{split} \varphi(xy) &= (y_{\varphi}|(x^*)_{\varphi})_{\varphi} \\ &= (J_{\varphi} \Delta_{\varphi}^{-i\overline{\alpha}+(1/2)} (\sigma_{\alpha}^{\varphi}(y)^*)_{\varphi}|J_{\varphi} \Delta_{\varphi}^{-i\alpha-(1/2)} (\sigma_{\alpha-i}^{\varphi}(x))_{\varphi})_{\varphi} \\ &= ((\sigma_{\alpha-i}^{\varphi}(x)_{\varphi}|(\sigma_{\alpha}^{\varphi}(y)^*)_{\varphi})_{\varphi} = \varphi(\sigma_{\alpha}^{\varphi}(y)\sigma_{\alpha-i}^{\varphi}(x)). \end{split}$$

In particular, for  $\alpha = 0$ ,  $\alpha = i$  and  $\alpha = i/2$  we have:

(2) 
$$\varphi(xy) = \varphi(y\sigma_{-i}^{\varphi}(x)) = \varphi(\sigma_{i}^{\varphi}(y)x) = \varphi(\sigma_{i/2}^{\varphi}(y)\sigma_{-i/2}^{\varphi}(x)),$$

whenever  $x, y \in \mathfrak{T}_{\varphi}$ . These identities replace for weights the relation  $\varphi(xy) = \varphi(yx)$ , which is valid only for traces.

2.18. Another similar result, which (formally) follows from 2.17.(1), is contained in the next statement:

(1) 
$$a \in \mathcal{M}^{\varphi}_{\infty}, \ z \in \mathfrak{M}_{\varphi} \Rightarrow \varphi(z\sigma^{\varphi}_{\alpha}(a)) = \varphi(\sigma^{\varphi}_{\alpha+i}(a)z) \ \text{for all } \alpha \in \mathbb{C}.$$

We give a direct proof here. Since  $z \in \mathfrak{M}_{\varphi} = \mathfrak{N}_{\varphi}^* \mathfrak{N}_{\varphi}$ , we may assume  $z = y^*x$  with  $x, y \in \mathfrak{N}_{\varphi}$ . Using Proposition 2.14 we get:

$$\begin{split} \varphi(y^*x\sigma_{\alpha}^{\varphi}(a)) &= ((x\sigma_{\alpha}^{\varphi}(a))_{\varphi}|y_{\varphi})_{\varphi} = (J_{\varphi}\pi_{\varphi}(\sigma_{-i/2}^{\varphi}(\sigma_{\alpha}^{\varphi}(a)^*))J_{\varphi}x_{\varphi}|y_{\varphi})_{\varphi} \\ &= (J_{\varphi}\pi_{\varphi}(\sigma_{\alpha+(i/2)}^{\varphi}(a))^*J_{\varphi}x_{\varphi}|y_{\varphi})_{\varphi} = (x_{\varphi}|J_{\varphi}\pi_{\varphi}(\sigma_{\alpha+(i/2)}^{\varphi}(a))\ J_{\varphi}y_{\varphi})_{\varphi} \\ &= (x_{\varphi}|J_{\varphi}\pi_{\varphi}(\sigma_{-i/2}^{\varphi}(\sigma_{\alpha+i}^{\varphi}(a)))J_{\varphi}y_{\varphi})_{\varphi} = ((y\sigma_{\alpha+i}^{\varphi}(a)^*)_{\varphi}|x_{\varphi})_{\varphi} = \varphi(\sigma_{\alpha+i}^{\varphi}(a)y^*x). \end{split}$$

As  $\alpha \mapsto (x_{\varphi}|J_{\varphi}\pi_{\varphi}(\sigma^{\varphi}_{\alpha+(i/2)}(a))J_{\varphi}y_{\varphi})_{\varphi}$  is an entire analytic function, bounded on horizontal strips, it follows that *the functions* 

$$\alpha \mapsto \varphi(z\sigma_a^{\varphi}(a))$$
 and  $\alpha \mapsto \varphi(\sigma_a^{\varphi}(a)z)$ 

are entire analytic and bounded on horizontal strips, for all  $a \in \mathcal{M}^{\varphi}_{\infty}$  and all  $z \in \mathfrak{M}_{\varphi}$ . On the other hand, if  $a \in \mathcal{M}^{\varphi}_{\infty}$  and  $z \in \mathfrak{M}_{\varphi} \cap \mathcal{M}^{+}$ , then for all  $\alpha \in \mathbb{C}$  we have:

$$\varphi(\sigma_{\alpha}^{\varphi}(a) z \sigma_{\alpha}^{\varphi}(a)^{*}) \leq \|\sigma_{\alpha-(i/2)}^{\varphi}(a)\|^{2} \varphi(z),$$

$$\varphi(\sigma_{\alpha}^{\varphi}(a)^{*} z \sigma_{\alpha}^{\varphi}(a)) \leq \|\sigma_{\alpha+(i/2)}^{\varphi}(a)\|^{2} \varphi(z).$$

Indeed,  $z = x^*x$  with  $x = z^{1/2} \in \mathfrak{R}_{\omega}$  and using Proposition 2.14 we get

$$\varphi(\sigma_{\alpha}^{\varphi}(a)z\sigma_{\alpha}^{\varphi}(a)^{*}) = \|(x\sigma_{\alpha}^{\varphi}(a)^{*})_{\varphi}\|_{\varphi}^{2}$$

$$\leq \|J_{\varphi}\pi_{\varphi}(\sigma_{-i/2}^{\varphi}(\sigma_{\alpha}^{\varphi}(a)))J_{\varphi}\|^{2} \|x_{\varphi}\|_{\varphi}^{2} = \|\sigma_{\alpha-(i/2)}^{\varphi}(a)\|^{2} \varphi(z)$$

and the inequality is verified in a similar way.

We note that the right hand side of (2) depends just on  $|\text{Im }\alpha|$ , as the  $\sigma_i^{\varphi}(t \in \mathbb{R})$  being \*-automorphisms, are isometric.

Properties (1) and (2) characterize the entire analytic functions of the type  $\alpha \mapsto \sigma_{\alpha}^{\varphi}(a)$  with  $a \in \mathcal{M}_{\infty}^{\varphi}$ , as we shall see in Theorem 2.19.

The identity (1) is meaningful also for certain other a and z. Indeed, since  $\mathfrak{M}_{\varphi}$  is contained and w-dense in the set  $\{z \in \mathcal{M}; z\mathfrak{T}_{\varphi} \subset \mathfrak{U}_{\varphi}, \mathfrak{T}_{\varphi}z \subset \mathfrak{U}_{\varphi}\}$ , using Proposition 2.13, we infer from (1), taking the limit, the following statement:

(3) 
$$if \ a \in \mathfrak{T}_{\varphi}^{2} \ and \ z \in \mathcal{M}, \ z\mathfrak{T}_{\varphi} \subset \mathfrak{A}_{\varphi}, \ \mathfrak{T}_{\varphi}z \subset \mathfrak{A}_{\varphi}, \ then$$
 
$$\varphi(z\sigma_{\alpha}^{\varphi}(a)) = \varphi(\sigma_{\alpha+\mathrm{i}}^{\varphi}(a)z) \ for \ all \ \alpha \in \mathbf{C}.$$

Under the same conditions as in statement (3), the functions

$$\alpha \mapsto \varphi(z\sigma_{\alpha}^{\varphi}(a))$$
 and  $\alpha \mapsto \varphi(\sigma_{\alpha}^{\varphi}(a)z)$ 

are entire analytic and bounded on horizontal strips. Indeed, if  $a = bc^*$  with  $b, c \in \mathfrak{T}_{\varphi}$ , then, using 2.13. (1) and 2.13.(2), we get

$$\begin{split} &\varphi(z\sigma_{\alpha}^{\varphi}(a))=\varphi(z\sigma_{\alpha}^{\varphi}(b)\sigma_{\alpha}^{\varphi}(c)^{*})=(\pi_{\varphi}(z)\varDelta_{\varphi}^{\mathrm{i}\alpha}b_{\varphi}|\varDelta^{\mathrm{i}\overline{\alpha}+1}c_{\varphi})_{\varphi},\\ &\varphi(\sigma_{\alpha}^{\varphi}(a)z)=\varphi(\sigma_{\alpha}^{\varphi}(b)\sigma_{\alpha}^{\varphi}(c)^{*}z)=(\pi_{\varphi}(z)\varDelta^{\mathrm{i}\alpha+1}b_{\varphi}|\varDelta^{\mathrm{i}\overline{\alpha}}c_{\varphi})_{\varphi}. \end{split}$$

**2.19.** Theorem (A. Connes). Let  $\varphi$  be an n.s.f. weight on the W\*-algebra  $\mathcal{M}$  and consider a function  $F: \mathbb{C} \to \mathcal{M}$  such that:

a) for every  $z \in \mathfrak{M}_{\varphi} \cap \mathcal{M}^+$  we have  $F(\alpha) z \in \mathfrak{M}_{\varphi}$ ,  $zF(\alpha) \in \mathfrak{M}_{\varphi}$ , the functions  $\alpha \mapsto \varphi(F(\alpha)z)$  and  $\alpha \mapsto \varphi(zF(\alpha))$  are entire analytic and  $\varphi(zF(\alpha)) = \varphi(F(\alpha+i)z)$  for all  $\alpha \in \mathbb{C}$ ;

b) for every  $\delta > 0$  there exists  $\varepsilon > 0$  such that if  $\alpha \in \mathbb{C}$ ,  $|\operatorname{Im} \alpha| \leq \delta$ , and  $z \in \mathfrak{M}_{\varphi} \cap \mathbb{C}$ 

 $\cap \mathcal{M}^+, \text{ then } \varphi(F(\alpha)zF(\alpha)^*) \leqslant \varepsilon \varphi(z) \text{ and } \varphi(F(\alpha)^*zF(\alpha)) \leqslant \varepsilon \varphi(z).$ 

Then  $F(0) \in \mathcal{M}_{\infty}^{\varphi}$  and  $F(\alpha) = \sigma_{\alpha}^{\varphi}(F(0))$  for all  $\alpha \in \mathbb{C}$ .

*Proof.* We have to show that F is an entire analytic function and  $F(t) = \sigma_t^{\varphi}(F(0))$  for all  $t \in \mathbb{R}$ .

By assumption,  $\mathfrak{N}_{\varphi}F(\alpha) \subset \mathfrak{N}_{\varphi}$ ,  $\mathfrak{N}_{\varphi}F(\alpha)^* \subset \mathfrak{N}_{\varphi}$ ,  $\mathfrak{M}_{\varphi}F(\alpha) \subset \mathfrak{M}_{\varphi}$ ,  $F(\alpha)\mathfrak{M}_{\varphi} \subset \mathfrak{M}_{\varphi}$  and, for  $x \in \mathfrak{N}_{\varphi}$  and  $\alpha \in \mathbb{C}$ ,  $|\operatorname{Im} \alpha| \leq \delta$ , we have:

(1) 
$$||(xF(\alpha))_{\varphi}||_{\varphi} \leq \varepsilon^{1/2} ||x_{\varphi}||_{\varphi}, ||(xF(\alpha)^{*})_{\varphi}||_{\varphi} \leq \varepsilon^{1/2} ||x_{\varphi}||_{\varphi}.$$

Let  $a, b, \in \mathfrak{T}_{\varphi}$ . For every  $\gamma \in \mathbb{C}$  we have  $\sigma_{\gamma}^{\varphi}(ab) = \sigma_{\gamma}^{\varphi}(a)\sigma_{\gamma}^{\varphi}(b) \in \mathfrak{T}_{\varphi}\mathfrak{T}_{\varphi} \subset \mathfrak{N}_{\varphi}^{*}\mathfrak{N}_{\varphi} = \mathfrak{M}_{\varphi}$ . We define a function G of two complex variables by

(2) 
$$G(\alpha,\beta) = \varphi(F(\alpha)\sigma_{\beta}^{\varphi}(ab)) = ((\sigma_{\beta}^{\varphi}(b))_{\varphi}|(\sigma_{\beta}^{\varphi}(a)^{*}F(\alpha)^{*})_{\varphi})_{\varphi}.$$

By assumption and by the first equation in (2) it follows that  $\alpha \mapsto G(\alpha, \beta)$  is an entire analytic function and

(3) 
$$G(\alpha + i, \beta) = \varphi(\sigma_{\beta}^{\varphi}(ab)F(\alpha)).$$

Using (1) and the second equation in (2) it follows that  $\beta \mapsto G(\alpha, \beta)$  is also an entire analytic function. Consequently, G is an entire analytic function in both variables, by the Hartogs theorem ([271], 2.2.8). On the other hand, using (3) and 2.18.(3), we obtain

$$G(\alpha+i,\beta+i)=\varphi(\sigma_{\beta+i}^{\varphi}(ab)F(\alpha))=\varphi(F(\alpha)\sigma_{\beta}^{\varphi}(ab))=G(\alpha,\beta).$$

Thus,  $\alpha \mapsto g(\alpha) = G(\alpha, \alpha)$  is an entire analytic function and  $g(\alpha + i) = g(\alpha)$ . Using (1) with  $\delta = 1$  and (2), for  $\alpha \in \mathbb{C}$  with  $|\text{Im } \alpha| \leq 1$  we get:

$$\begin{split} |g(\alpha)| &= |((\sigma_{\alpha}^{\varphi}(b))_{\varphi}|(\sigma_{\alpha}^{\varphi}(a)^*F(\alpha)^*)_{\varphi})_{\varphi} \\ &\leqslant \varepsilon^{1/2} \, \|(\sigma_{\alpha}^{\varphi}(b))_{\varphi}\|_{\varphi} \, \|(\sigma_{\alpha}^{\varphi}(a)^*)_{\varphi}\|_{\varphi} = \varepsilon^{1/2} \, \|\Delta_{\varphi}^{i\alpha}b_{\varphi}\|_{\varphi} \|\Delta_{\varphi}^{i\alpha+(1/2)}a_{\varphi}\|_{\varphi}. \end{split}$$

Therefore, the entire analytic function g is bounded, and hence constant, by the Liouville theorem. In particular, for  $t \in \mathbb{R}$  we have  $\varphi(\sigma_{-t}^{\varphi}(F(t))ab) = \varphi(F(t)\sigma_{t}^{\varphi}(ab)) = g(t) = g(0) = \varphi(F(0)ab)$ . Since  $\mathfrak{T}_{\varphi}$  is dense in  $\mathscr{H}_{\varphi}$ , it follows that  $F(t) = \sigma_{t}^{\varphi}(F(0))$  for all  $t \in \mathbb{R}$ .

In order to prove that F is an entire analytic function, it is sufficient to show that F is bounded on each compact subset of  $\mathbb{C}$ , as the set  $\{\varphi(\cdot z); z \in \mathfrak{T}_{\varphi}^2\}$  is norm-dense in  $\mathscr{M}_*$  (2.13) and the functions  $\alpha \mapsto \varphi(F(\alpha)z)$  ( $z \in \mathfrak{T}_{\varphi}^2$ ) are, by assumption, entire analytic (use the Montel theorem and [L], Lemma 9.24).

According to (1), the boundedness of F on compact subsets of  $\mathbb{C}$  will follow once we establish the following identity (compare with 2.14. (7)):

(4) 
$$J_{\varphi}\pi_{\varphi}(F(\alpha))J_{\varphi}a_{\varphi}=(aF(\alpha+(i/2))^{*})_{\varphi} \qquad (a\in\mathfrak{T}_{\varphi},\ \alpha\in\mathbb{C}).$$

Since the assumptions are stable under translations  $\alpha \mapsto \alpha + \alpha_0$ , it is sufficient to prove (4) only for  $\alpha = 0$ .

To this end, consider  $a, b \in \mathfrak{T}_{\alpha}$ . For  $\beta \in \mathbb{C}$  let

$$f_1(\beta) = (\pi_{\varphi}(F(0))\Delta_{\varphi}^{-i\beta}(a^*)_{\varphi}|\Delta_{\varphi}^{-i\overline{\beta}}b_{\varphi})_{\varphi},$$

$$f_2(\beta) = (J_{\varphi} \Delta_{\varphi}^{-1/2} b_{\varphi} | (aF(\beta)^*)_{\varphi})_{\varphi}.$$

The function  $f_1$  is obviously entire analytic and the function  $f_2$  is entire analytic by the assumption b). For  $t \in \mathbb{R}$  it is easy to check that  $f_1(t) = f_2(t)$ , since  $F(t) = \sigma_i^{\varphi} F(0)$ . Hence  $f_1 = f_2$ . In particular,  $f_1(i/2) = f_2(i/2)$ , that is

$$(J_{\varphi}\pi_{\varphi}(F(0))J_{\varphi}a_{\varphi}|J_{\varphi}\Delta_{\varphi}^{-1/2}b_{\varphi})_{\varphi}=((aF(\mathrm{i}/2)^{*})_{\varphi}|J_{\varphi}\Delta_{\varphi}^{-1/2}b_{\varphi})_{\varphi}.$$

Since  $b \in \mathfrak{T}_{\varphi}$  was arbitrary, we obtain (4) for  $\alpha = 0$ .

**2.20.** The results presented in Section 2.18 involve several variants of the KMS condition.

For instance, if  $a \in \mathcal{M}^{\varphi}_{\infty}$  and  $z \in \mathfrak{M}_{\varphi}$ , then from 2.18.(1) it follows that the equation

$$f(\alpha) = \varphi(z\sigma_{-i\alpha}^{\varphi}(a)) \qquad (\alpha \in \mathbb{C})$$

defines an entire analytic function f, bounded on vertical strips, such that

$$f(it) = \varphi(z\sigma_t^{\varphi}(a)), f(1+it) = \varphi(\sigma_t^{\varphi}(a)z) \quad (t \in \mathbb{R}).$$

Also, if  $a \in \mathfrak{T}_{\varphi}^2$  and  $z \in \mathcal{M}$ ,  $z\mathfrak{T}_{\varphi} \subset \mathfrak{U}_{\varphi}$ ,  $\mathfrak{T}_{\varphi}z \subset \mathfrak{U}_{\varphi}$ , then the same conclusion is obtained from 2.18.(3).

We record here one more variant of the KMS condition, where the similarity to, as well as the contrast with, the trace property is very striking.

**Proposition.** Let  $\varphi$  be an n.s.f. weight on the W\*-algebra  $\mathcal{M}$  and let  $x \in \mathfrak{R}_{\varphi}$ . There exists a bounded regular positive Borel measure  $\mu$  on  $(0, +\infty)$  such that

$$\varphi(x^*\sigma_t^{\varphi}(x)) = \int_0^\infty \lambda^{it} d\mu(\lambda), \quad \varphi(xx^*) = \int_0^\infty \lambda d\mu(\lambda) \qquad (t \in \mathbb{R}).$$

*Proof.* Let  $\{e_{\lambda} = \chi_{[0, \lambda)}(\Delta_{\varphi})\}_{\lambda > 0}$  be the spectral scale of  $\Delta_{\varphi}$  ([L], E. 9.10). Since  $x \in \mathfrak{N}_{\varphi}$ , we obtain a bounded regular positive Borel measure  $\mu$  on  $(0, +\infty)$  setting

$$\mathrm{d}\mu(\lambda) = \mathrm{d}(e_{\lambda}x_{\varphi}|x_{\varphi})_{\varphi},$$

i.e.  $\mu$  is "the spectral measure associated with  $\Delta_{\varphi}$  and  $x_{\varphi} \in \mathcal{H}_{\varphi}$ ". According to ([L], E.9.11) we get

$$\varphi(x^*\sigma_t^{\varphi}(x)) = (\Delta_{\varphi}^{it}x_{\varphi}|x_{\varphi})_{\varphi} = \int_0^{\infty} \lambda^{it} d\mu(\lambda)$$

and, if  $x_{\varphi} \in \mathfrak{N}_{\varphi} \cap \mathfrak{N}_{\varphi}^*$ ,

$$\varphi(xx^*) = \|(x^*)_{\varphi}\|_{\varphi}^2 = \|S_{\varphi}x_{\varphi}\|_{\varphi}^2 = \|J_{\varphi}\Delta_{\varphi}^{1/2}x_{\varphi}\|_{\varphi}^2 = \|\Delta_{\varphi}^{1/2}x_{\varphi}\|_{\varphi}^2 = \int_{0}^{\infty} \lambda \,\mathrm{d}\mu(\lambda).$$

The proof is completed by the remark that  $x \in D(S_{\varphi}) = D(\Delta_{\varphi}^{1/2}) \Leftrightarrow \int_{0}^{\infty} \lambda \, d\mu(\lambda) < < + \infty$  and  $\mathfrak{N}_{\varphi} \cap D(S_{\varphi}) = \mathfrak{N}_{\varphi} \cap \mathfrak{N}_{\varphi}^{*}$  (2.12. (3)).

**2.21.** Let  $\varphi$  be an n.s.f. weight on the  $W^*$ -algebra  $\mathcal{M}$ . The centralizer of  $\varphi$  is the  $W^*$ -subalgebra of  $\mathcal{M}$  defined by

$$\mathcal{M}^{\varphi} = \{ a \in \mathcal{M}; \ \sigma_t^{\varphi}(a) = a \text{ for all } t \in \mathbb{R} \}.$$

Since  $\pi_{\varphi}(\sigma_{t}^{\varphi}(a)) = \Delta_{\varphi}^{it}\pi_{\varphi}(a)\Delta_{\varphi}^{-it}$   $(t \in \mathbb{R})$ , it follows that  $a \in \mathcal{M}^{\varphi}$  if and only if  $\pi_{\varphi}(a)$  commutes with  $\Delta_{\varphi}$  ([L], E.9.20, E.9.23).

Clearly,

$$a \in \mathcal{M}^{\varphi} \Rightarrow a \in \mathcal{M}^{\varphi}_{\infty} \text{ and } \sigma^{\varphi}_{\alpha}(a) = a \text{ for all } \alpha \in \mathbb{C}.$$

Also, it follows from statement (7) of 2.14 that

(1) 
$$a \in \mathcal{M}^{\varphi}, x \in \mathfrak{N}_{\varphi} \Rightarrow xa^* \in \mathfrak{N}_{\varphi} \text{ and } (xa^*)_{\varphi} = J_{\varphi}\pi_{\varphi}(a)J_{\varphi}x_{\varphi}.$$

The Pedersen-Takesaki theorem ([L], 10.27) shows that if  $a \in \mathcal{M}$ , then

(2) 
$$a \in \mathcal{M}^{\varphi} \Leftrightarrow a\mathfrak{M}_{\varphi} \subset \mathfrak{M}_{\varphi}, \ \mathfrak{M}_{\varphi}a \subset \mathfrak{M}_{\varphi} \ and \ \varphi(ax) = \varphi(xa) \ for \ x \in \mathfrak{M}_{\varphi}.$$

The implication ( $\Rightarrow$ ) follows obviously from 2.15 and 2.18. (1). Conversely, we have  $a\mathfrak{T}_{\varphi} \subset \mathfrak{U}_{\varphi}, \mathfrak{T}_{\varphi}a \subset \mathfrak{U}_{\varphi}$ , hence (2.20, 2.18. (3)) for every  $x \in \mathfrak{T}_{\varphi}^2$  the function  $f_x(\alpha) = \varphi(a\sigma_{-i\alpha}^{\varphi}(x))$  is an entire analytic function, bounded on vertical strips and such that

$$f_x(1+it) = \varphi(\sigma_t^{\varphi}(x)a) = \varphi(a\sigma_t^{\varphi}(x)) = f_x(it) \qquad (t \in \mathbb{R}).$$

By the Liouville theorem it follows that  $f_x$  is constant and hence

$$\varphi(\sigma_t^{\varphi}(a)x) = \varphi(a\sigma_{-t}^{\varphi}(x)) = f_x(-it) = f_x(0) = \varphi(ax) \qquad (t \in \mathbb{R}).$$

Since  $x \in \mathfrak{T}_{\varphi}^2$  was arbitrary, using Proposition 2.13 we infer that  $\sigma_t^{\varphi}(a) = a$   $(t \in \mathbb{R})$ , so that  $a \in \mathcal{M}^{\varphi}$ .

Let  $v \in \mathcal{M}$  be a partial isometry such that  $vv^* \in \mathcal{M}^{\varphi}$ . We define a normal semi-finite weight  $\varphi_v$  on  $\mathcal{M}$  by

$$\varphi_v(x) = \varphi(vxv^*) \qquad (x \in \mathcal{M}^+).$$

It is easy to check that  $s(\varphi_v) = v^*v$ .

In particular, for every projection  $e \in \mathcal{M}^{\varphi}$  we have defined a subweight  $\varphi_e$  on  $\mathcal{M}$  with  $\mathbf{s}(\varphi_e) = e$ .

**Proposition.** Let  $\varphi$  be an n.s.f. weight on the W\*-algebra  $\mathcal{M}$  and  $v \in \mathcal{M}$  a partial isometry such that  $e = v^*v \in \mathcal{M}^{\varphi}$  and  $f = vv^* \in \mathcal{M}^{\varphi}$ . Then:  $\varphi_v = \varphi_e \Leftrightarrow v \in \mathcal{M}^{\varphi}$ .

*Proof.* We assume first that  $v \in \mathcal{M}^{\varphi}$ . By (2) we have  $\mathfrak{N}_{\varphi}v \subset \mathfrak{N}_{\varphi}$  and  $\mathfrak{N}_{\varphi}v^* \subset \mathfrak{N}_{\varphi}$ . Since  $\mathfrak{N}_{\varphi_v} = \{x \in \mathcal{M}; xv^* \in \mathfrak{N}_{\varphi}\}$  and  $\mathfrak{N}_{\varphi_e} = \{x \in \mathcal{M}; xe \in \mathfrak{N}_{\varphi}\}$ , and since  $e = v^*v$ ,  $v^* = ev^*$ , it follows that  $\mathfrak{N}_{\varphi_v} = \mathfrak{N}_{\varphi_e}$  and hence  $\mathfrak{M}_{\varphi_v} = \mathfrak{M}_{\varphi_e}$ . For  $x \in \mathfrak{M}_{\varphi_v} = \mathfrak{M}_{\varphi_e}$  we obtain  $vxv^* \in \mathfrak{M}_{\varphi}$ ,  $exe \in \mathfrak{M}_{\varphi}$  and, since  $v = ve \in \mathcal{M}^{\varphi}$ ,  $\varphi(vxv^*) = \varphi(vexv^*) = \varphi(exv^*v) = \varphi(exe)$ . We conclude that  $\varphi_v = \varphi_e$ .

Conversely, assume that  $\varphi_v = \varphi_e$ . For every  $x \in \mathcal{M}^+$  we have  $\varphi(vxv^*) = \varphi(exe)$ . Replacing x by  $v^*xv$  here, we get  $\varphi(v^*xv) = \varphi(fxf)$ . If  $x \in \mathfrak{N}_{\varphi}$ , then  $xf \in \mathfrak{N}_{\varphi}$ , as  $f \in \mathcal{M}^{\varphi}$ ; consequently,  $\varphi(v^*x^*xv) = \varphi(fx^*xf) < +\infty$ , i.e.  $xv \in \mathfrak{N}_{\varphi}$ . Thus,  $\mathfrak{N}_{\varphi}v \subset \mathfrak{N}_{\varphi}$  and, similarly,  $\mathfrak{N}_{\varphi}v^* \subset \mathfrak{N}_{\varphi}$ . It follows that  $v\mathfrak{M}_{\varphi} \subset \mathfrak{M}_{\varphi}$  and  $\mathfrak{M}_{\varphi}v \subset \mathfrak{M}_{\varphi}$ . Then, for  $x \in \mathfrak{M}_{\varphi}$  we have

$$\varphi(vx) = \varphi(fvx) = \varphi(vxf) = \varphi(vxvv^*) = \varphi(exve) = \varphi(xve) = \varphi(xv).$$

Using (2) we conclude that  $v \in \mathcal{M}^{\varphi}$ .

In particular, for a unitary element  $u \in \mathcal{M}$  we have  $\varphi_u = \varphi$  if and only if  $u \in \mathcal{M}^{\varphi}$ .

**2.22.** If  $\varphi$  is a normal, semifinite, but not necessarily faithful, weight on the  $W^*$ -algebra  $\mathcal{M}$ , then we shall denote by  $\{\sigma_i^{\varphi}\}_{i\in\mathbb{R}}$  the modular automorphism group associ-

ated with the n.s.f. weight on the  $W^*$ -algebra  $s(\varphi) \mathcal{M} s(\varphi)$  defined by the restriction of  $\varphi$ ; also, we shall denote by  $\mathcal{M}^{\varphi}_{\infty}$  and  $\mathcal{M}^{\varphi}$  the \*-subalgebra of all entire analytic elements and the centralizer of  $\varphi|s(\varphi)\mathcal{M} s(\varphi)$  respectively.

The results obtained for n.s.f. weights, in particular the characterization of the modular automorphism group with the aid of the KMS condition, Proposition 2.20, etc., can easily be extended to normal semifinite weights.

For instance, if  $\varphi$  is a normal semifinite weight on  $\mathcal{M}$  and  $v \in \mathcal{M}$  is a partial isometry with  $vv^* \in \mathcal{M}^{\varphi}$ , then we get a new normal semifinite weight  $\varphi_v$  on  $\mathcal{M}$ , with  $s(\varphi_v) = v^*v$  and, using the KMS condition it follows that

(1) 
$$\sigma_t^{\sigma_v}(x) = v^* \sigma_t^{\sigma_v}(vxv^*)v \qquad (x \in v^* v \mathcal{M} v^* v, \ t \in \mathbb{R}),$$

hence

$$\mathscr{M}^{\varphi_{v}} = v^{*}\mathscr{M}^{\varphi_{v}}.$$

In particular, if  $e \in \mathcal{M}^{\varphi}$  is a projection, then

(3) 
$$\sigma_t^{\varphi_e}(x) = \sigma_t^{\varphi}(x) \qquad (x \in e \mathcal{M}e, \ t \in \mathbb{R}),$$

$$\mathscr{M}^{\varphi_e} = e \mathscr{M}^{\varphi_e}.$$

We mention also that if  $\varphi$  is an n.s.f. weight on  $\mathcal{M}$  and  $\sigma$  is a \*-automorphism of  $\mathcal{M}$ , then, using the KMS condition we get

(5) 
$$\sigma_t^{\varphi\circ\sigma} = \sigma^{-1}\circ\sigma_t^{\varphi}\circ\sigma \qquad (t\in\mathbb{R}),$$

(6) 
$$\mathscr{M}^{\varphi\circ\sigma} = \sigma^{-1}(\mathscr{M}^{\varphi}).$$

**2.23.** Recall ([L], 10.23) that a von Neumann algebra  $\mathcal{M} \subset \mathcal{B}(\mathcal{H})$  is called hyperstandard if there exists a conjugation  $J: \mathcal{H} \to \mathcal{H}$  and a self-polar convex cone  $\mathfrak{P} \subset \mathcal{H}$  with the following properties: a) the mapping  $x \mapsto Jx^*J$  is a \*-antiisomorphism of  $\mathcal{M}$  onto  $\mathcal{M}'$  which acts identically on the centre; b)  $J\xi = \xi$  for every  $\xi \in \mathfrak{P}$ ; c)  $[x(JxJ)] \mathfrak{P} \subset \mathfrak{P}$  for every  $x \in \mathcal{M}$ .

For any n.s.f. weight  $\varphi$  on the  $W^*$ -algebra  $\mathcal{M}$ , the von Neumann algebra  $\pi_{\varphi}(\mathcal{M}) \subset \mathcal{B}(\mathcal{H}_{\varphi})$  with the conjugation  $J_{\varphi}$  and the self-polar convex cone

$$\mathfrak{P}_{\varphi} = \overline{\{\pi_{\varphi}(x)J_{\varphi}x_{\varphi}; \ x \in \mathfrak{T}_{\varphi}\}} = \overline{\{\pi_{\varphi}(x)J_{\varphi}x_{\varphi}; \ x \in \mathfrak{U}_{\varphi}\}}$$

is a hyperstandard form of  $\mathcal{M}$  ([L], 10.23). Using statement (16) of 2.12 and arguing as in ([L], 10.23) one shows that

$$\mathfrak{P}_{\varphi} = \overline{\{\pi_{\varphi}(x)J_{\varphi}x_{\varphi}; \ x \in \mathfrak{N}_{\varphi}\}}.$$

Also, any two hyperstandard forms of  $\mathcal{M}$  are spatially isomorphic by a unique unitary operator which preserves the self-polar convex cones and hence also the conjugations ([L], 10.26, 10.23).

Let  $\mathcal{M}$  be a  $W^*$ -algebra and  $(\mathcal{M}, \mathcal{H}, J, \mathfrak{P})$  a hyperstandard form of  $\mathcal{M}$ . As usual, we denote by Aut  $(\mathcal{M})$  the group of \*-automorphisms of  $\mathcal{M}$  and by  $U(\mathcal{H})$  the group of unitary operators on  $\mathcal{H}$ . Also, for  $u \in U(\mathcal{H})$  with  $u\mathcal{M}u^* = \mathcal{M}$ , we denote by Ad  $(u) \in Aut$   $(\mathcal{M})$  the \*-automorphism defined by [Ad (u)] $(x) = uxu^*$   $(x \in \mathcal{M})$ .

It follows that there exists an injective group homomorphism

$$Aut\left(\mathcal{M}\right)\ni\sigma\mapsto u_{\sigma}\in U\left(\mathcal{H}\right),$$

uniquely determined, such that  $\sigma = \operatorname{Ad}(u_{\sigma})$  and  $u_{\sigma}(\mathfrak{P}) = \mathfrak{P}$   $(\sigma \in \operatorname{Aut}(\mathcal{M}))$ . Clearly,

$$\{u_{\sigma}; \ \sigma \in Aut(\mathcal{M})\} = \{u \in U(\mathcal{H}); \ u\mathcal{M}u^* = \mathcal{M}, \ u(\mathfrak{P}) = \mathfrak{P}\}.$$

The mapping  $\sigma \mapsto u_{\sigma}$  is called the *canonical implementation* of Aut ( $\mathcal{M}$ ).

On the linear space  $\mathscr{B}_w(\mathcal{M})$  of all w-continuous linear mappings  $T: \mathcal{M} \to \mathcal{M}$  we may consider several locally convex topologies, for instance:

the *n-topology*, defined by the seminorms  $T \mapsto ||Tx||$ , the *p-topology*, defined by the seminorms  $T \mapsto |\varphi(Tx)|$ ,

the *u-topology*, defined by the seminorms  $T \mapsto \|\varphi \circ T\|$ , where  $x \in \mathcal{M}$  and  $\varphi \in \mathcal{M}_*$ . Clearly, the *u*-topology and the *n*-topology are stronger than the *p*-topology.

By restriction, we obtain the topologies n, p and u on  $Aut(\mathcal{M}) \subset \mathcal{B}_w(\mathcal{M})$ .

On the other hand, on  $U(\mathcal{H})$  the topologies wo, so,  $so^*$ , w, s,  $s^*$ , and also the Mackey topologies  $\tau_{wo}$ ,  $\tau_w$  all coincide and, with this topology,  $U(\mathcal{H})$  is a topological group (on the unit ball of  $\mathcal{B}(\mathcal{H})$  the Mackey topology  $\tau_w$  coincides with the  $s^*$ -topology by the theorem of Akemann ([1]; [3]; [236], Cor. 8.17)).

If  $\mathcal{H}$  is separable, then  $U(\mathcal{H})$  with the two-sided uniform structure associated with this topology is a complete separable metric space, i.e. a polish group.

**Theorem** (U. Haagerup). The canonical implementation  $\sigma \mapsto u_{\sigma}$  of  $Aut(\mathcal{M})$  is an isomorphism of topological groups between  $Aut(\mathcal{M})$  with the u-topology and a closed subgroup of  $U(\mathcal{H})$ .

Proof. Let  $\sigma \in Aut(\mathcal{M})$  and let  $\{\sigma_i\}$  be a net in  $Aut(\mathcal{M})$ ; also let  $u = u_{\sigma} \in U(\mathcal{H})$  and  $u_i = u_{\sigma_i} \in U(\mathcal{H})$ . We have  $\sigma_i \stackrel{u}{\to} \sigma$  in  $Aut(\mathcal{M})$  if and only if  $\|\varphi \circ \sigma_i - \varphi \circ \sigma\| \to 0$  for every  $\varphi \in \mathcal{M}_+^*$ , i.e. ([L], 10.25) if and only if  $\|\omega_{\xi} \circ \sigma_i - \omega_{\xi} \circ \sigma\| \to 0$  for every  $\xi \in \mathfrak{P}$ , that is, if and only if  $\|\omega_{u_i^*\xi} - \omega_{u^*\xi}\| \to 0$  for every  $\xi \in \mathfrak{P}$ . According to ([L], Prop. 10.24), this means that  $\|u_i^*\xi - u^*\xi\| \to 0$  for every  $\xi \in \mathfrak{P}$  and, since  $\mathcal{H}$  is the linear span of  $\mathfrak{P}$  ([L], 10.23), it follows that  $u_i \stackrel{w_0}{\to} u$ .

Thus, the canonical implementation is a homeomorphism of  $Aut(\mathcal{M})$ , with the *u*-topology, onto the set  $\{u \in U(\mathcal{M}): u\mathcal{M}u^* = \mathcal{M}, u(\mathfrak{P}) = \mathfrak{P}\}$ , which is clearly a closed subgroup of  $U(\mathcal{H})$ . In particular,  $Aut(\mathcal{M})$  with the *u*-topology is a topological

group.

In view of the above Theorem, we shall consider the *u*-topology as the *natural* topology on  $Aut(\mathcal{M})$ . In general, the *u*-topology does not coincide either with the *p*-topology, or with the *n*-topology. For instance, if  $\mathcal{M}$  is the von Neumann algebra  $\mathcal{L}^{\infty}([0,1]) \subset \mathcal{B}(\mathcal{L}^2([0,1]))$ , then the *u*-topology is not comparable with the *n*-topology and hence both of them are strictly stronger than the *p*-topology ([102], 3.14).

Note that if the W\*-algebra M has a separable predual (i.e. if H is separable),

then Aut  $(\mathcal{M})$  with the u-topology is a polish group.

Finally, we note that if  $\{\sigma_n\}_{n>0} \subset Aut(\mathcal{M})$  is a sequence such that  $\sigma_n \stackrel{p}{\to} \sigma_0$ , then, for every compact set  $K \subset U(\mathcal{M})$ , we have

$$\sigma_n(u) \xrightarrow{s^*} \sigma_0(u)$$
 uniformly for  $u \in K$ .

Clearly, it is sufficient to show that  $\sigma_n(u) \stackrel{\mathsf{w}}{\to} \sigma_0(u)$  uniformly for  $u \in K$ . Let  $\varphi \in \mathcal{M}_*$  and  $\varepsilon > 0$  be fixed. The set  $\{\varphi \circ \sigma_n; n \ge 0\} \subset \mathcal{M}_*$  is norm-compact and hence also  $\sigma(\mathcal{M}_*, \mathcal{M})$ -compact. Since the topology on  $U(\mathcal{M})$  is equal, in particular, to the topology induced by the Mackey topology  $\tau_w$  on  $\mathcal{M}$ , it follows that the function  $u \mapsto \sup\{|\varphi(\sigma_n(u))|; n \ge 0\}$  is continuous on  $U(\mathcal{M})$ . Thus, there exist  $u_1, \ldots, u_m \in K$  such that inf  $\{|\varphi(\sigma_n(u-u_j))|; 1 \le j \le m\} < \varepsilon/3$  for all  $u \in K$  and all  $n \ge 0$ . On the other hand, as  $\sigma_n \stackrel{P}{\to} \sigma_0$ , there exists  $n_0 \ge 1$  such that  $|\varphi(\sigma_n(u_j)) - \varphi(\sigma_0(u_j))| < \varepsilon/3$  for all  $1 \le j \le m$  and all  $n \ge n_0$ . It follows that  $|\varphi(\sigma_n(u)) - \varphi(\sigma_0(u))| < \varepsilon$  for all  $u \in K$  and all  $n \ge n_0$ .

**2.24.** Let  $\sigma$  be an action of the locally compact group G on the  $W^*$ -algebra  $\mathcal{M}$ , that is a group homomorphism

$$\sigma: G \to Aut(\mathcal{M}).$$

In Section 13.5 we prove that the homomorphism  $\sigma$  is p-continuous if and only if it is u-continuous; in this case we say that  $\sigma$  is a continuous action.

The following result is an obvious consequence of Theorem 2.23:

Corollary. Let  $\sigma: G \to Aut(\mathcal{M})$  be a continuous action of the locally compact group G on the  $W^*$ -algebra  $\mathcal{M}$ , let  $(\mathcal{M}, \mathcal{H}, J, \mathfrak{P})$  be a hyperstandard form of  $\mathcal{M}$  and denote by  $u(g) = u_{\sigma_g}(g \in G)$  the canonical implementation. Then

$$G\ni g \mapsto u(g)\in U(\mathcal{H})$$

is an so-continuous unitary representation and  $\sigma_g = \operatorname{Ad}(u(g)), (g \in G)$ .

**2.25.** We now show that in certain cases the *u*-topology coincides with the *p*-topology. Let  $\varphi$  be an n.s.f. weight on the  $W^*$ -algebra  $\mathcal{M}$  and let

$$Aut_{\varphi}(\mathcal{M}) = \{ \sigma \in Aut(\mathcal{M}); \ \varphi \circ \sigma = \varphi \}.$$

If  $\sigma \in Aut_{\varphi}(\mathcal{M})$ , then the canonical implementation of  $\sigma$  in the hyperstandard form  $(\pi_{\varphi}(\mathcal{M}), \mathcal{H}_{\varphi}, J_{\varphi}, \mathfrak{P}_{\varphi})$  has an explicit form, namely

(1) 
$$u_{\sigma}a_{\varphi} = (\sigma(a))_{\varphi} \qquad (a \in \mathfrak{N}_{\varphi}).$$

Indeed, for  $a \in \mathfrak{N}_{\varphi}$  we have  $\|(\sigma(a))_{\varphi}\|_{\varphi}^{2} = \varphi(\sigma(a^{*}a)) = \varphi(a^{*}a) = \|a_{\varphi}\|_{\varphi}^{2}$  and hence (1) defines a unitary operator  $u_{\sigma} \in U(\mathcal{H}_{\varphi})$ . For every  $x \in \mathcal{M}$ ,  $a \in \mathfrak{N}_{\varphi}$ , we have  $u_{\sigma}\pi_{\varphi}(x)u_{\sigma}^{*}a_{\varphi} = u_{\sigma}\pi_{\varphi}(x)(\sigma^{-1}(a))_{\varphi} = u_{\sigma}(x\sigma^{-1}(a))_{\varphi} = \pi_{\varphi}(\sigma(x))a_{\varphi}$ . On the other hand, for  $a \in \mathfrak{U}_{\varphi}$  we have  $u_{\sigma}S_{\varphi}a_{\varphi} = u_{\sigma}(a^{*})_{\varphi} = (\sigma(a^{*}))_{\varphi} = (\sigma(a)^{*})_{\varphi} = S_{\varphi}(\sigma(a))_{\varphi} = S_{\varphi}u_{\sigma}a_{\varphi}$ , hence  $u_{\sigma}$  commutes with  $S_{\varphi}$ . Consequently,  $u_{\sigma}$  commutes with  $J_{\varphi}$  and with  $\Delta_{\varphi}$ . Moreover, we have  $u_{\sigma}\pi_{\varphi}(a)J_{\varphi}a_{\varphi} = \pi_{\varphi}(\sigma(a))u_{\sigma}J_{\varphi}a_{\varphi} = \pi_{\varphi}(\sigma(a))J_{\varphi}u_{\sigma}a_{\varphi} = \pi_{\varphi}(\sigma(a))J_{\varphi}(\sigma(a))_{\varphi}$  hence  $u_{\sigma}(\mathfrak{P}_{\varphi}) \subset \mathfrak{P}_{\varphi}$  by 2.23. (1). We conclude that  $u_{\sigma}$  is the canonical implementation of  $\sigma$ .

**Corollary.** Let  $\varphi$  be an n.s.f. weight on the W\*-algebra  $\mathcal{M}$ . Then the p-topology coincides with the u-topology on the u-closed subgroup  $\operatorname{Aut}_{\varphi}(\mathcal{M})$  of  $\operatorname{Aut}(\mathcal{M})$ .

*Proof.* Let  $\sigma_i \stackrel{p}{\to} \sigma$  in  $Aut_{\varphi}(\mathcal{M})$ . Let  $\xi = a_{\varphi} \in \mathfrak{N}_{\varphi}$  and  $\eta = R_{\eta_1}^* \eta_2$  with  $\eta_1, \eta_2 \in \mathfrak{A}_{\varphi}'$ . Using (1) we obtain:

$$\begin{split} (u_{\sigma_{i}}\xi|\eta)_{\varphi} &= ((\sigma_{i}(a))_{\varphi}|R_{\eta_{1}}^{*}\eta_{2})_{\varphi} = (R_{\eta_{1}}(\sigma_{i}(a))_{\varphi}|\eta_{2})_{\varphi} \\ &= (\pi_{\varphi}(\sigma_{i}(a))\eta_{1}|\eta_{2})_{\varphi} \to (\pi_{\varphi}(\sigma(a))\eta_{1}|\eta_{2})_{\varphi} \\ &= (R_{\eta_{1}}(\sigma(a))_{\varphi}|\eta_{2})_{\varphi} = ((\sigma(a))_{\varphi}|R_{\eta_{1}}^{*}\eta_{2}) = (u_{\sigma}\xi|\eta)_{\varphi}. \end{split}$$

It follows that  $u_{\sigma_i} \stackrel{wo}{\to} u$  and hence, by Theorem 2.23,  $\sigma_i \stackrel{u}{\to} \sigma$  in  $Aut_{\sigma}(\mathcal{M})$ .

In particular, if  $\mathcal{M}$  is a factor of type I, or a factor of type II<sub>1</sub>, then the p-topology coincides with the u-topology on Aut ( $\mathcal{M}$ ), because in these cases every \*-automorphism preserves the trace on  $\mathcal{M}$ .

**2.26.** Finally we consider the particular case of a von Neumann algebra  $\mathcal{M} \subset \mathcal{B}(\mathcal{H})$  with a cyclic and separating vector  $\xi \in \mathcal{H}$ . Then  $\varphi = \omega_{\xi} | \mathcal{M}$  is a faithful normal positive on  $\mathcal{M}$  and we can identify  $\mathcal{H}_{\varphi}$  with  $\mathcal{H}$  via the mapping  $x_{\varphi} \mapsto x\xi$   $(x \in \mathcal{M})$ ; let  $S = S_{\varphi}$ ,  $J = J_{\varphi}$ ,  $\Delta = \Delta_{\varphi}$ . We have the convex cones ([L], 10.8)

$$\mathfrak{P}_{S} = \overline{\{x\xi; \ x \in \mathcal{M}^{+}\}}, \quad \mathfrak{P}_{S*} = \overline{\{x'\xi; \ x' \in \mathcal{M}'^{+}\}}$$

polar to one another, and the selfpolar convex cone ([L], 10.23)

$$\mathfrak{P}=\Delta^{1/4}\mathfrak{P}_S=\Delta^{-1/4}\mathfrak{P}_{S^*}=\overline{\{xJxJ\xi;\ x\in\mathscr{M}\}}.$$

Proposition.  $\mathfrak{P} = \overline{\{xJxJ\xi; x \in \mathcal{M}^+\}}.$ 

*Proof.* It is sufficient to show that for every  $x \in \mathcal{M}^+$  there exists a sequence  $\{x_n\} \subset \mathcal{M}^+$  such that  $x_nJx_nJ\xi \to \Delta^{1/4}x\xi$ . Moreover, we may assume that x is invertible. In this case both  $\xi$  and  $x^{1/2}\xi$  are cyclic and separating vectors for  $\mathcal{M}' \subset \mathcal{B}(\mathcal{H})$ . By the uniqueness of the hyperstandard form of a von Neumann algebra, there exists a unitary operator  $u \in (\mathcal{M}')' = \mathcal{M}$  such that  $ux^{1/2}\xi \in \mathfrak{P}$ .

Thus,  $y = ux^{1/2} \in \mathcal{M}$  and  $y\xi \in \mathfrak{P}$ . If

$$y_n = \sqrt{n/\pi} \int_{-\infty}^{+\infty} e^{-nt^2} \, \sigma_t^{\varphi}(y) \, \mathrm{d}t \qquad (n \in \mathbb{IN}),$$

then (2.12.(16))  $\mathcal{M}_{\infty}^{\varphi} \ni y_n \stackrel{s^*}{\mapsto} y$  and ([L], 10.23. (6))

$$y_n \xi = \sqrt[n]{n/\pi} \int_{-\infty}^{+\infty} e^{-nt^2} \Delta^{it} y \xi dt \in \mathfrak{P} \qquad (n \in \mathbb{N}).$$

Let  $x_n = \sigma_{i/4}^{\varphi}(y_n) = \overline{A^{-1/4}y_nA^{1/4}} \in \mathcal{M}$   $(n \in \mathbb{N})$ . Then  $A^{1/4}x_n\xi = y_n\xi \in \mathfrak{P}$ , that is,  $x_n\xi \in A^{-1/4}\mathfrak{P} \subset \mathfrak{P}_S$ , and hence  $x_n \ge 0$ . In particular  $x_n = x_n^* = \sigma_{i/4}^{\varphi}(y_n)^* = \sigma_{-i/4}^{\varphi}(y_n^*)$ , by 2.14.(1), and using this identity it is easy to check that

$$x_n J x_n J \xi = \Delta^{1/4} y_n^* y_n \xi \to \Delta^{1/4} y^* y \xi = \Delta^{1/4} x \xi$$
.

2.27. Notes. Theorem 2.6 and the technical results (2.3, 2.4) used for its proof are due to Combes [30]. Proposition 2.15 is due to Connes [38]. Proposition 2.16 and the various forms of the KMS condition (2.17, 2.18, 2.20) are due to Pedersen and Takesaki [187] and Connes [49]. Theorem 2.19 is due to Connes [36]. The canonical implementation of \*-automorphisms (2.23—2.25) is explicitly stated by Haagerup [102], having been obtained also by Araki [7] and Connes [37]. Proposition 2.26 is due to Connes [37].

For our exposition we have used [30], [31], [32], [36], [37], [38], [49], [61], [65],

[70], [102], [184], [233], [236], [244], and [245].

In the framework of quantum field theory, Bisognano and Wichmann [13] explicitly computed the modular operator and the canonical conjugation for some von Neumann algebras associated with a hermitian scalar field. Recent developments concerning geometric aspects of standard representations are due to Haagerup and Skau (see [216]). Other related references are [107] and [263].

## §3. The balanced weight

This Section contains a detailed study, with applications, of the balanced weight, which is the main technical idea in the proof of the Connes cocycle theorem.

3.1. Let  $\mathcal{M}$  be a  $W^*$ -algebra,  $\varphi$  an n.s.f. weight on  $\mathcal{M}$  and  $\psi$  a normal semifinite (but not necessarily faithful) weight on  $\mathcal{M}$ .

We define the balanced weight  $\theta = \theta(\varphi, \psi)$  on the W\*-algebra  $\mathcal{N} = Mat_2(\mathcal{M})$ , of all  $2 \times 2$  matrices over  $\mathcal{M}$ , by

$$\theta\begin{pmatrix} x_{11} & x_{12} \\ x_{21} & x_{22} \end{pmatrix} = \varphi(x_{11}) + \psi(x_{22}) \qquad \left(\begin{pmatrix} x_{11} & x_{12} \\ x_{21} & x_{22} \end{pmatrix} \in \mathcal{N}^+\right).$$

It is easy to check that  $\theta$  is a normal weight on  $\mathcal{N}$ . We have

$$\begin{pmatrix} x_{11} & x_{12} \\ x_{21} & x_{22} \end{pmatrix} \in \mathfrak{R}_{\theta} \Leftrightarrow x_{11}, x_{21} \in \mathfrak{R}_{\varphi} \text{ and } x_{12}, x_{22} \in \mathfrak{R}_{\psi},$$

hence

$$\mathfrak{N}_{\theta} = \begin{pmatrix} \mathfrak{N}_{\varphi} & \mathfrak{N}_{\psi} \\ \mathfrak{N}_{\varphi} & \mathfrak{N}_{\psi} \end{pmatrix}, \quad \mathfrak{N}_{\theta}^{*} = \begin{pmatrix} \mathfrak{N}_{\varphi}^{*} & \mathfrak{N}_{\varphi}^{*} \\ \mathfrak{N}_{\psi}^{*} & \mathfrak{N}_{\psi}^{*} \end{pmatrix};$$

(2) 
$$\mathfrak{N}_{\theta} \cap \mathfrak{N}_{\theta}^{*} = \begin{pmatrix} \mathfrak{N}_{\varphi} \cap \mathfrak{N}_{\varphi}^{*} & \mathfrak{N}_{\psi} \cap \mathfrak{N}_{\varphi}^{*} \\ \mathfrak{N}_{\varphi} \cap \mathfrak{N}_{\psi}^{*} & \mathfrak{N}_{\psi} \cap \mathfrak{N}_{\psi}^{*} \end{pmatrix};$$

$$\mathfrak{M}_{\theta} = \mathfrak{N}_{\theta}^{*} \mathfrak{N}_{\theta} = \begin{pmatrix} \mathfrak{N}_{\phi}^{*} \mathfrak{N}_{\phi} & \mathfrak{N}_{\phi}^{*} \mathfrak{N}_{\psi} \\ \mathfrak{N}_{w}^{*} \mathfrak{N}_{m} & \mathfrak{N}_{w}^{*} \mathfrak{N}_{\psi} \end{pmatrix} = \begin{pmatrix} \mathfrak{M}_{\phi} & \mathfrak{N}_{\phi}^{*} \mathfrak{N}_{\psi} \\ \mathfrak{N}_{\phi}^{*} \mathfrak{N}_{m} & \mathfrak{M}_{\psi} \end{pmatrix}.$$

In particular,  $\theta$  is semifinite. Also

$$\mathbf{s}(\theta) = \begin{pmatrix} 1 & 0 \\ 0 & \mathbf{s}(\psi) \end{pmatrix}.$$

In the proof of the Connes cocycle theorem ([L], 10.28) we have already considered the case when both  $\varphi$  and  $\psi$  are faithful. Taking into account the remarks made in Section 2.22, we can extend the Connes theorem to the more general case considered here.

Using Proposition 2.21 we obtain

$$\begin{pmatrix} 1 & 0 \\ 0 & \mathbf{s}(\psi) \end{pmatrix}, \ \begin{pmatrix} 1 & 0 \\ 0 & -\mathbf{s}(\psi) \end{pmatrix} \in \mathcal{N}^{\theta} \text{ and hence } \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}, \ \begin{pmatrix} 0 & 0 \\ 0 & \mathbf{s}(\psi) \end{pmatrix} \in \mathcal{N}^{\theta}.$$

Using, as in ([L], 10.28), the KMS condition, we infer that

(5) 
$$\sigma_t^{\theta}\left(\begin{pmatrix} x & 0 \\ 0 & 0 \end{pmatrix}\right) = \begin{pmatrix} \sigma_t^{\varphi}(x) & 0 \\ 0 & 0 \end{pmatrix} \qquad (x \in \mathcal{M}),$$

(6) 
$$\sigma_t^{\theta} \begin{pmatrix} \begin{pmatrix} 0 & 0 \\ 0 & v \end{pmatrix} \end{pmatrix} = \begin{pmatrix} 0 & 0 \\ 0 & \sigma_t^{\psi}(v) \end{pmatrix} \qquad (y \in \mathbf{s}(\psi) \mathcal{M} \mathbf{s}(\psi)).$$

Since 
$$\begin{pmatrix} 0 & 0 \\ s(\psi) & 0 \end{pmatrix} \in s(\theta) \mathcal{N} s(\theta)$$
 and since

$$\begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} \sigma_t^{\theta} \begin{pmatrix} \begin{pmatrix} 0 & 0 \\ \mathbf{s}(\psi) & 0 \end{pmatrix} \end{pmatrix} = \sigma_t^{\theta} \begin{pmatrix} \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} \begin{pmatrix} 0 & 0 \\ \mathbf{s}(\psi) & 0 \end{pmatrix} \end{pmatrix} = \mathbf{0},$$

$$\sigma_t^{\theta}\left(\begin{pmatrix}0&0\\\mathbf{s}(\psi)&0\end{pmatrix}\right)\!\begin{pmatrix}0&0\\0&\mathbf{s}(\psi)\end{pmatrix}=\sigma_t^{\theta}\left(\begin{pmatrix}0&0\\\mathbf{s}(\psi)&0\end{pmatrix}\!\begin{pmatrix}0&0\\0&\mathbf{s}(\psi)\end{pmatrix}\right)=0,$$

it follows that there exists  $u, \in \mathcal{M}$  such that

$$\sigma_t^0\left(\begin{pmatrix}0&0\\s(\psi)&0\end{pmatrix}\right)=\begin{pmatrix}0&0\\u_t&0\end{pmatrix}.$$

Thus, we get an s\*-continuous mapping  $\mathbb{R} \ni t \mapsto u_t \in \mathcal{M}$  such that

(7) 
$$u_t u_t^* = \mathbf{s}(\psi) = u_0, \quad u_t^* u_t = \sigma_t^{\varphi}(\mathbf{s}(\psi)),$$

$$(8) u_{t+s} = u_t \sigma_t^{\varphi}(u_s),$$

$$(9) u_{-t} = \sigma_{-t}^{\varphi}(u_t^*),$$

(10) 
$$\sigma_t^{\psi}(x) = u_i \sigma_t^{\varphi}(x) u_i^{*} \qquad (x \in s(\psi) \mathcal{M}s(\psi)).$$

The arguments for checking (7), (8) and (10) are similar to those given in ([L], 10.28) and (9) is an easy consequence of (7) and (8).

For  $X = [x_{ij}] \in \mathcal{N}$  we have  $X \in s(\theta) \mathcal{N} s(\theta)$  if and only if  $x_{12} = x_{12} s(\psi)$ ,  $x_{21} = s(\psi) x_{21}$ ,  $x_{22} = s(\psi) x_{22} = x_{22} s(\psi)$ ; in this case

(11) 
$$\sigma_t^{\theta} \begin{pmatrix} x_{11} & x_{12} \\ x_{21} & x_{22} \end{pmatrix} = \begin{pmatrix} \sigma_t^{\varphi}(x_{11}) & \sigma_t^{\varphi}(x_{12})u_t^* \\ u_t \sigma_t^{\varphi}(x_{21}) & \sigma_t^{\varphi}(x_{22}) \end{pmatrix}.$$

On the other hand, the weight  $\theta$  satisfies the KMS condition with respect to  $\{\sigma_t^\theta\}_{t\in\mathbb{R}}$ . In order to avoid notational complications we assume that  $s(\psi)=1$ . Then, for any  $X, Y \in \mathfrak{N}_{\theta} \cap \mathfrak{N}_{\theta}^*$  there exists a function F defined, continuous and bounded on the strip  $\{\alpha \in \mathbb{C}; 0 \leq \operatorname{Re} \alpha \leq 1\}$ , analytic in the interior of this strip, and such that  $F(it) = \theta(X\sigma_t^\theta(Y))$ ,  $F(1+it) = \theta(\sigma_t^\theta(Y)X)$  for all  $t \in \mathbb{R}$ . In particular,

if 
$$X = \begin{pmatrix} 0 & x \\ 0 & 0 \end{pmatrix}$$
,  $Y = \begin{pmatrix} 0 & 0 \\ y & 0 \end{pmatrix}$  with  $x \in \mathfrak{N}_{\psi} \cap \mathfrak{N}_{\varphi}^*$ ,  $y \in \mathfrak{N}_{\varphi} \cap \mathfrak{N}_{\psi}^*$ , then  $F(it) = \varphi(xu_i\sigma_i^{\varphi}(y))$ ,  $F(1+it) = \psi(\sigma_i^{\psi}(y)u_ix)$  for all  $t \in \mathbb{R}$ .

We have thus proved the existence part of the following

**Theorem.** (A. Connes). Let  $\mathcal{M}$  be a  $W^*$ -algebra,  $\varphi$  an n.s.f. weight on  $\mathcal{M}$  and  $\psi$  a normal semifinite weight on  $\mathcal{M}$ . There exists an  $s^*$ -continuous mapping  $\mathbb{R} \ni t \mapsto u_t \in \mathcal{M}$ , uniquely determined, such that:

1) 
$$u_t u_t^* = \mathbf{s}(\psi) = u_0, \quad u_t^* u_t = \sigma_t^{\varphi}(\mathbf{s}(\psi))$$

$$u_{t+s} = u_t \sigma_t^{\varphi}(u_s)$$

$$u_{-t} = \sigma^{\varphi}_{-t}(u_t^*)$$

4) 
$$\sigma_t^{\psi}(x) = u_t \sigma_t^{\varphi}(x) u_t^* \qquad (x \in \mathbf{s}(\psi) \mathcal{M} \mathbf{s}(\psi))$$

5) for every  $x = xs(\psi) \in \mathfrak{N}_{\psi} \cap \mathfrak{N}_{\phi}^*$  and every  $y = s(\psi)y \in \mathfrak{N}_{\varphi} \cap \mathfrak{N}_{\psi}^*$  there exists a function F defined, continuous and bounded on the strip  $\{\alpha \in \mathbb{C}; 0 \leq \text{Re } \alpha \leq 1\}$ , analytic in the interior of this strip, such that  $F(it) = \varphi(xu_t\sigma_t^{\varphi}(y))$ ,  $F(1+it) = \psi(\sigma_t^{\psi}(y)u_tx)$  for all  $t \in \mathbb{R}$ .

To prove the uniqueness part of the Theorem, we consider an  $s^*$ -continuous mapping  $\mathbb{R} \ni t \mapsto v_t \in \mathcal{M}$  with the same properties and we assume that  $s(\psi) = 1$ . For each  $t \in \mathbb{R}$  we define a mapping  $\sigma_t \colon \mathcal{N} \to \mathcal{N}$  by putting:

$$\sigma_t \begin{pmatrix} \begin{pmatrix} x_{11} & x_{12} \\ x_{21} & x_{22} \end{pmatrix} \end{pmatrix} = \begin{pmatrix} \sigma_t^{\varphi}(x_{11}) & v_t^* \sigma_t^{\psi}(x_{12}) \\ v_t \sigma_t^{\varphi}(x_{21}) & \sigma_t^{\psi}(x_{22}) \end{pmatrix}.$$

Conditions 1)-4) insure that  $\{\sigma_t\}_{t\in\mathbb{R}}$  is an s\*-continuous one-parameter group of \*-automorphisms of  $\mathcal{N}$  which preserve the weight  $\theta$ .

For  $X = [x_{ij}] \in \mathfrak{N}_{\theta} \cap \mathfrak{N}_{\theta}^*$  and  $Y = [y_{ij}] \in \mathfrak{N}_{\theta} \cap \mathfrak{N}_{\theta}^*$  we have

$$\begin{split} \theta(X\sigma_t(Y)) &= \varphi(x_{11}\sigma_t^{\varphi}(y_{11})) + \varphi(x_{12}u_t\sigma_t^{\varphi}(y_{21})) \\ &+ \psi(x_{21}u_t^*\sigma_t^{\psi}(y_{12})) + \psi(x_{22}\sigma_t^{\psi}(y_{22})), \\ \theta(\sigma_t(Y)X) &= \varphi(\sigma_t^{\varphi}(y_{11})x_{11}) + \psi(\sigma_t^{\psi}(y_{21})u_tx_{12}) \\ &+ \varphi(\sigma_t^{\varphi}(y_{12})u_t^*x_{21}) + \psi(\sigma_t^{\psi}(y_{22})x_{22}). \end{split}$$

Using the KMS condition satisfied by  $\varphi$  and  $\psi$ , we find two function  $F_{11}$ ,  $F_{22}$  defined, continuous and bounded on the strip  $\{\alpha \in \mathbb{C}; 0 \leq \operatorname{Re} \alpha \leq 1\}$ , analytic in the interior of this strip, such that

$$F_{11}(it) = \varphi(x_{11}\sigma_t^{\varphi}(y_{11})), \quad F_{11}(1+it) = (\sigma_t(y_{11})x_{11}),$$

$$F_{22}(it) = \psi(x_{22}\sigma_t^{\psi}(y_{22})), \quad F_{22}(1+it) = \psi(\sigma_t^{\psi}(y_{22})x_{22}).$$

By condition 5), there exist two functions  $F_{12}$ ,  $G_{21}$  defined, continuous and bounded on the strip  $\{\alpha \in \mathbb{C}; 0 \leq \text{Re } \alpha \leq 1\}$ , analytic in the interior of this strip, such that:

$$F_{12}(it) = \varphi(x_{12}u_t\sigma_t^{\varphi}(y_{21})), \quad F_{12}(1+it) = \psi(\sigma_t^{\psi}(y_{21})u_tx_{12}),$$

$$G_{21}(it) = \varphi(x_{21}^*u_t\sigma_t^{\varphi}(y_{12}^*)), \quad G_{21}(1+it) = \psi(\sigma_t^{\psi}(y_{12}^*)u_tx_{21}^*).$$

Then the function  $F_{21}(\alpha) = \overline{G_{21}(-\bar{\alpha}+1)}$  satisfies the equalities:

$$F_{21}(it) = \overline{G_{21}(it+1)} = \overline{\psi(\sigma_t^{\psi}(y_{12}^*)u_t x_{21}^*)} = \psi(x_{21}u_t^*\sigma_t^{\psi}(y_{12})),$$

$$F_{21}(1+it) = \overline{G_{21}(it)} = \overline{\varphi(x_{21}^*u_t\sigma_t^{\varphi}(y_{12}^*))} = \varphi(\sigma_t^{\varphi}(y_{12})u_t^*x_{21}).$$

Putting  $F = F_{11} + F_{12} + F_{21} + F_{22}$ , it follows that

$$F(it) = \theta(X\sigma_t(Y)), \quad F(1+it) = \theta(\sigma_t(Y)X) \quad (t \in \mathbb{R}).$$

We have proved that  $\theta$  satisfies the KMS condition with respect to  $\{\sigma_t\}_{t\in\mathbb{R}}$ . Consequently,  $\sigma_t = \sigma_t^0$  and hence  $v_t = u_t$  for all  $t \in \mathbb{R}$ .

The mapping  $\mathbb{R}\ni t\mapsto u_t\in\mathcal{M}$ , uniquely determined by the above theorem, is called the *Connes cocycle* associated with the normal semifinite weight  $\psi$  with respect to the n.s.f. weight  $\varphi$ , and is denoted by  $[D\psi:D\varphi]$ , that is

$$[D\psi: D\varphi]_t = u_t \qquad (t \in \mathbb{R}).$$

3.2. Let  $\mathcal{M}$  be a  $\mathcal{W}^*$ -algebra. Let  $U(\mathcal{M}) = \{u \in \mathcal{M}; u^*u = uu^* = 1\}$ ,  $Int(\mathcal{M}) = \{Ad(u); u \in U(\mathcal{M})\} \subset Aut(\mathcal{M})$ . Then  $Int(\mathcal{M})$  is a normal subgroup of  $Aut(\mathcal{M})$ :

$$\sigma \circ \operatorname{Ad}(u) \circ \sigma^{-1} = \operatorname{Ad}(\sigma(u)) \quad (\sigma \in \operatorname{Aut}(\mathcal{M}), \ u \in U(\mathcal{M})).$$

Let  $Out(\mathcal{M}) = Aut(\mathcal{M})/Int(\mathcal{M})$  and let  $\mathfrak{o}_{\mathcal{M}}: Aut(\mathcal{M}) \to Out(\mathcal{M})$  be the canonical quotient mapping.

An obvious and important consequence of Theorem 3.1 is that the mapping  $\delta_{\mathcal{M}} \colon \mathbb{R} \to Out(\mathcal{M})$  defined by  $\delta_{\mathcal{M}}(t) = \mathfrak{o}_{\mathcal{M}}(\sigma_t^{\varphi})$ ,  $(t \in \mathbb{R})$ , is a group homomorphism, independent of the choice of the n.s.f. weight  $\varphi$  on  $\mathcal{M}$ . The mapping  $\delta_{\mathcal{M}} \colon \mathbb{R} \to Out(\mathcal{M})$  is called the *modular homomorphism* of  $\mathcal{M}$ .

Using 2.22.(5) we see that  $\delta_{\mathcal{M}}(\mathbb{R})$  is contained in the centre of the group

Out  $(\mathcal{M})$ .

3.3. Let  $\varphi_1, \varphi_2, \ldots, \varphi_n$  be n.s.f. weights on the W\*-algebra  $\mathcal{M}$ . The equation

$$\theta([x_{ij}]) = \sum_{k=1}^{n} \varphi_k(x_{kk}) \qquad ([x_{ij}] \in Mat_n(\mathcal{M}))$$

defines an n.s.f. weight  $\theta$  on the  $W^*$ -algebra  $\mathcal{N} = Mat_n(\mathcal{M})$  of  $n \times n$  matrices over  $\mathcal{M}$ . For each  $i, j \in \{1, \ldots, n\}$  we denote by  $e_{ij} \in Mat_n(\mathbb{C})$  the matrix  $e_{ij} = [\delta_{hi}\delta_{kj}]_{1 \leq h, k \leq n}$ . Then  $\{e_{ij}\}_{1 \leq l, j \leq n}$  is a system of matrix units in  $Mat_n(\mathbb{C})$ , that is

$$e_{ij}^* = e_{ji}, e_{ij}e_{hk} = \delta_{jh}e_{ik}$$
  $(1 \leq i, j, h, k \leq n),$ 

and the mapping

$$Mat_n(\mathcal{M}) \ni [x_{ij}] \mapsto \sum_{ij} x_{ij} \otimes e_{ij} \in \mathcal{M} \otimes Mat_n(\mathbb{C})$$

is a \*-isomorphism.

Using, as in Section 3.1, Proposition 2.21, we see that all elements of the form  $\sum_{i} \pm 1 \otimes e_{kk}$  are contained in the centralizer of  $\theta$ , hence

$$1 \otimes e_{kk} \in \mathcal{N}^{\theta} \qquad (1 \leqslant k \leqslant n).$$

**Proposition.** For every  $i, j, k \in \{1, ..., n\}$  and every  $x \in \mathcal{M}$  we have:

(1) 
$$\sigma_t^{\theta}(x \otimes e_{kk}) = \sigma_t^{\varphi_k}(x) \otimes e_{kk} \qquad (t \in \mathbb{R}).$$

(2) 
$$\sigma_{t}^{\theta}(1 \otimes e_{ij}) = [D\varphi_{i}: D\varphi_{j}]_{t} \otimes e_{ij} \qquad (t \in \mathbb{R}).$$

**Proof.** Equation (1) is easily proved using the KMS condition. To prove (2) we may assume, for instance, that i=2 and j=1. By the preceding remarks, the projection  $e=1\otimes (e_{11}+e_{22})$  belongs to  $\mathcal{N}^{\theta}$ , so that we may consider the n.s.f. weight  $\theta_e$  on the W\*-algebra  $e\mathcal{N}e$  (see 2.21). It is clear that  $e\mathcal{N}e$  can be identified with the W\*-algebra  $Mat_2$  (M) in such a way that  $\theta_e$  corresponds to the balanced weight  $\theta(\varphi_1, \varphi_2)$ . Using this identification, with 2.22.(3) and the definition of the Connes cocycle we obtain:

$$\sigma_t^{\theta}(1 \otimes e_{21}) = \sigma_t^{\theta}(1 \otimes e_{21}) = \sigma_t^{\theta(\varphi_1, \varphi_2)} \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}$$

$$=egin{pmatrix} 0 & 0 \ [Darphi_2\colon Darphi_1]_t & 0 \end{pmatrix} = [Darphi_2\colon Darphi_1]_t \otimes e_{21}$$

**3.4. Corollary.** Let  $\varphi_1, \varphi_2$  be n.s. f. weights on the W\*-algebra  $\mathcal{M}$ . Then:

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$$[D\varphi_1:D\varphi_2]_t=[D\varphi_2:D\varphi_1]_t^{-1} \qquad (t\in\mathbb{R}).$$

*Proof.* This follows from Proposition 3.3, with n=2, as  $e_{12}=e_{21}^*$ .

**3.5. Corollary.** Let  $\varphi_1, \varphi_2, \varphi_3$  be n.s.f. weights on the W\*-algebra  $\mathcal{M}$ . Then the following "chain rule" holds:

$$[D\varphi_1:D\varphi_3]_t = [D\varphi_1:D\varphi_2]_t [D\varphi_2:D\varphi_3]_t \qquad (t \in \mathbb{R}).$$

*Proof.* This follows from Proposition 3.3, with n=3, as  $e_{13}=e_{12}e_{23}$ .

**3.6. Corollary.** Let  $\varphi$  be an n.s.f. weight on the W\*-algebra  $\mathcal{M}$  and let  $\psi_1, \psi_2$  be normal semifinite weights on  $\mathcal{M}$ . Then:

$$[D\psi_1:D\varphi]=[D\psi_2:D\varphi] \Leftrightarrow \psi_1=\psi_2.$$

*Proof.* We remark first that  $s(\psi_1) = [D\psi_1: D\varphi]_0 = [D\psi_2: D\varphi]_0 = s(\psi_2)$ . Thus, using a slight extension of Corollary 3.5, we get:

$$[D\psi_2:D\psi_1]_t[D\psi_1:D\varphi]_t=[D\psi_2:D\varphi]_t \qquad (t\in\mathbb{R}).$$

If  $[D\psi_1: D\varphi] = [D\psi_2: D\varphi]$ , it follows that  $[D\psi_2: D\psi_1]_t = 1$  for all  $t \in \mathbb{R}$ .

Consequently, it is enough to show that if  $\varphi$  and  $\psi$  are n.s.f. weights on  $\mathcal{M}$  and  $[D\psi: D\varphi] = 1$ , then  $\psi = \varphi$ . Let  $\theta = \theta(\varphi, \psi)$  be the balanced weight on

Also, for  $x \in \mathcal{M}$  and  $t \in \mathbb{R}$  we have

(3) 
$$\sigma_t^{\psi,\,\phi}(x^*) = (\sigma_t^{\phi,\,\psi}(x))^*,$$

and, if  $\tau$  is a third n.s.f. weight on  $\mathcal{M}$ , then for  $x, y \in \mathcal{M}$  and  $t \in \mathbb{R}$  we have

(4) 
$$\sigma_t^{\psi,\,\varphi}(xy) = \sigma_t^{\psi,\,\tau}(x)\sigma_t^{\tau,\,\varphi}(y),$$

using the chain rule (3.5).

The one-parameter group  $\{\sigma_i^{\psi, \varphi}\}_{i \in \mathbb{R}}$  satisfies also the following KMS condition:

for every  $x \in \mathfrak{N}_{\psi} \cap \mathfrak{N}_{\varphi}^*$  and every  $y \in \mathfrak{N}_{\varphi} \cap \mathfrak{N}_{\psi}^*$  there exists a function F defined, continuous and bounded on the strip  $\{\alpha \in \mathbb{C}; 0 \leq \mathbb{R} \in \alpha \leq 1\}$ , analytic in the interior of this strip and such that  $F(it) = \varphi(x\sigma_i^{\psi, \varphi}(y))$ ,  $F(1+it) = \psi(\sigma_i^{\psi, \varphi}(y), x)$  for all  $t \in \mathbb{R}$ .

Properties (2) and (5), together with (4) with  $\tau = \varphi$  and with  $\tau = \psi$ , determine uniquely the group  $\{\sigma_i^{\psi}, {}^{\varphi}\}_{t \in \mathbb{R}}$ . The proof is similar to the proof of Theorem 3.1. 3.11. Let  $\varphi, \psi$  be n.s.f. weights on the  $W^*$ -algebra  $\mathcal{M}$  and let  $\theta = \theta(\varphi, \psi)$ , the balanced weight on the  $W^*$ -algebra  $\mathcal{N}=Mat_2(\mathcal{M})$ . Using 3.1.(1) and the fact that, for  $X = [x_{ij}] \in \mathcal{N}$ , we have

$$||X_{\theta}||_{\theta}^{2} = ||(x_{11})_{\varphi}||_{\varphi}^{2} + ||(x_{12})_{\psi}||_{\psi}^{2} + ||(x_{21})_{\varphi}||_{\varphi}^{2} + ||(x_{22})_{\psi}||_{\psi}^{2},$$

it follows that the mapping

$$\begin{pmatrix} x_{11} & x_{12} \\ x_{21} & x_{22} \end{pmatrix} \mapsto \begin{pmatrix} (x_{11})_{\varphi} \\ (x_{12})_{\psi} \\ (x_{21})_{\varphi} \\ (x_{22})_{\psi} \end{pmatrix}$$

determines an identification of Hilbert spaces:

$$\mathcal{H}_{\theta} = \mathcal{H}_{\alpha} \oplus \mathcal{H}_{\psi} \oplus \mathcal{H}_{\alpha} \oplus \mathcal{H}_{\psi}.$$

Since  $\mathfrak{M}_{\theta}$  is densely imbedded in  $\mathscr{H}_{\theta}$ , from 3.1.(3) we infer that

(2) 
$$\mathfrak{N}_{\mathfrak{W}}^*\mathfrak{N}_{\mathfrak{G}}$$
 is densely imbedded in  $\mathscr{H}_{\mathfrak{G}}$ .

Consider the antilinear operator  $S_{\psi,\phi}^0$  from  $\mathcal{H}_{\phi}$  to  $\mathcal{H}_{\psi}$ , defined by:

$$S^0_{\psi,\,\varphi}(x_{\varphi}) = (x^*)_{\psi} \text{ for } x_{\varphi} \in D(S^0_{\psi,\,\varphi}) = \mathfrak{N}_{\varphi} \cap \mathfrak{N}^*_{\psi} \subset \mathscr{H}_{\varphi}.$$

It is easy to check that

$$(S_{\psi,\,\varphi}^0)^{-1} = S_{\varphi,\,\psi}^0$$
.

On the other hand, we have the preclosed antilinear operator  $S_{\theta}^{0}$  in  $\mathcal{H}_{\theta}$  defined by the n.s.f. weight  $\theta$  as in Section 2.12. With respect to the decomposition (1) of  $\mathcal{H}_{\theta}$  into a direct sum we have

$$D(S^0_\theta) = D(S^0_\varphi) \oplus D(S^0_{\varphi,\psi}) \oplus D(S^0_{\psi,\varphi}) \oplus D(S^0_\psi)$$

and

$$S^0 = egin{pmatrix} S^0_{m{\phi}} & 0 & 0 & 0 \ 0 & 0 & S^0_{m{\psi},m{\phi}} & 0 \ 0 & S^0_{m{\phi},m{\psi}} & 0 & 0 \ 0 & 0 & 0 & S^0_{m{w}} \end{pmatrix}.$$

Since  $S_0^0$  is preclosed, it follows that

$$S_{\psi, \varphi}^0$$
 is preclosed.

Indeed, if  $D(S^0_{\psi,\,\varphi}) \ni \xi_n \to 0$  and  $S^0_{\psi,\,\varphi}\xi_n \to \eta$ , then  $D(S^0_{\theta}) \ni (0,\,0,\,\xi_n,\,0) \to (0,\,0,\,0,\,0)$  and  $S^0_{\theta}(0,\,0,\,\xi_n,\,0) = (0,\,S^0_{\psi,\,\varphi}\xi_n,\,0,\,0) \to (0,\,\eta,\,0,\,0)$ , hence  $\eta = 0$ . Let  $S_{\psi,\,\varphi}$  be the closure of  $S^0_{\psi,\,\varphi}$ . With a similar argument we get

(3) 
$$S = \begin{pmatrix} S_{\varphi} & 0 & 0 & 0 \\ 0 & 0 & S_{\psi,\varphi} & 0 \\ 0 & S_{\varphi,\psi} & 0 & 0 \\ 0 & 0 & 0 & S_{\psi} \end{pmatrix}$$

In particular,

$$(4) D(S_{\theta}) = D(S_{\varphi}) \oplus D(S_{\varphi,\psi}) \oplus D(S_{\psi,\varphi}) \oplus D(S_{\psi}),$$

$$S_{\psi,\varphi}^{-1} = S_{\varphi,\psi}.$$

Now,  $\Delta_{\psi,\,\varphi}=S_{\psi,\,\varphi}^*S_{\psi,\,\varphi}$  is a linear positive self-adjoint operator in  $\mathscr{H}_{\varphi}$  and the polar decomposition of  $S_{\psi,\,\varphi}$  is

$$S_{\psi,\,\sigma} = J_{\psi,\,\sigma} \Delta_{\psi,\,\sigma}^{1/2}$$

with  $J_{\psi,\,\varphi}\colon\mathscr{H}_{\varphi}\to\mathscr{H}_{\psi}$  a surjective isometric antilinear operator. Since

$$S_{\varphi,\,\psi} = S_{\psi,\varphi}^{-1} = (J_{\psi,\,\varphi} \varDelta_{\psi,\varphi}^{1/2})^{-1} = J_{\psi,\,\varphi}^{-1} (J_{\psi,\,\varphi} \varDelta_{\psi,\varphi}^{-1/2} J_{\psi,\varphi}^{-1}),$$

it follows from the uniqueness of the polar decomposition that

(6) 
$$J_{\psi,\,\varphi}^* = J_{\psi,\,\varphi}^{-1} = J_{\varphi,\,\psi}$$
,

(7) 
$$\Delta_{\psi,\,\varphi}^{-1} = J_{\varphi,\,\psi}\Delta_{\varphi,\,\psi}J_{\psi,\,\varphi}.$$

It is easy to check that

(8) 
$$S_{\theta}^{*} = \begin{pmatrix} S_{\varphi}^{*} & 0 & 0 & 0 \\ 0 & 0 & S_{\varphi,\psi}^{*} & 0 \\ 0 & S_{\psi,\varphi}^{*} & 0 & 0 \\ 0 & 0 & 0 & S_{\psi}^{*} \end{pmatrix}$$

$$(9) \qquad \Delta_{\theta} = \begin{pmatrix} \Delta_{\varphi} & 0 & 0 & 0 \\ 0 & \Delta_{\varphi,\psi} & 0 & 0 \\ 0 & 0 & \Delta_{\psi,\varphi} & 0 \\ 0 & 0 & 0 & \Delta_{\psi} \end{pmatrix}, \qquad J_{\theta} = \begin{pmatrix} J_{\varphi} & 0 & 0 & 0 \\ 0 & 0 & J_{\psi,\varphi} & 0 \\ 0 & J_{\varphi,\psi} & 0 & 0 \\ 0 & 0 & 0 & J_{\psi} \end{pmatrix}.$$

For  $X = [x_{ij}] \in \mathcal{N}$ , the operator  $\pi_{\theta}(X) \in \mathcal{B}(\mathcal{H}_{\theta})$  is defined by  $\pi_{\theta}(X)Y_{\theta} = (XY)_{\theta}$  for all  $Y = [y_{ij}] \in \mathfrak{N}_{\theta}$ . It follows that

(10) 
$$\pi_{\theta} \left( \begin{pmatrix} x_{11} & x_{12} \\ x_{21} & x_{22} \end{pmatrix} \right) = \begin{pmatrix} \pi_{\varphi}(x_{11}) & 0 & \pi_{\varphi}(x_{12}) & 0 \\ 0 & \pi_{\psi}(x_{11}) & 0 & \pi_{\psi}(x_{12}) \\ \pi_{\varphi}(x_{21}) & 0 & \pi_{\varphi}(x_{22}) & 0 \\ 0 & \pi_{\psi}(x_{21}) & 0 & \pi_{\psi}(x_{22}) \end{pmatrix}.$$

In particular, for 
$$X = \begin{pmatrix} 0 & 0 \\ x & 0 \end{pmatrix}$$
 we have  $\sigma_t^{\theta}(X) = \begin{pmatrix} 0 & 0 \\ \sigma_t^{\psi, \varphi}(x) & 0 \end{pmatrix}$  and

$$\pi_{\theta}(X) = egin{pmatrix} 0 & 0 & 0 & 0 \ 0 & 0 & 0 & 0 \ \pi_{m{\phi}}(x) & 0 & 0 & 0 \ 0 & \pi_{m{\psi}}(x) & 0 & 0 \end{pmatrix},$$

so that, as  $\pi_{\theta}(\sigma_{t}^{\theta}(X)) = \Delta_{\theta}^{it}\pi_{\theta}(X)\Delta_{\theta}^{-it}$ , we get

(11) 
$$\pi_{\sigma}(\sigma_t^{\psi,\,\varphi}(x)) = \Delta_{\psi,\,\varphi}^{\mathrm{i}t}\pi_{\varphi}(x)\Delta_{\varphi}^{-\mathrm{i}t},$$

(12) 
$$\pi_{\psi}(\sigma_t^{\psi,\,\varphi}(x)) = \Delta_{\psi}^{\mathrm{i}t}\pi_{\psi}(x)\Delta_{\varphi,\,\psi}^{-\mathrm{i}t}.$$

3.12. Let  $\varphi, \psi$  be n.s.f. weights on the W\*-algebra  $\mathcal{M}$ . For the s\*-continuous oneparameter group  $\{\sigma_t^{\psi, \varphi}\}_{t \in \mathbb{R}}$  of isometries of  $\mathcal{M}$  we can develop the same theory of analytic extensions as in the case  $\psi = \varphi$ , considered in Section 2.14. Thus, for  $a, b \in \mathcal{M}$  and  $\alpha \in \mathbb{C}$  with Re  $\alpha > 0$ , we shall write

$$a \in D(\sigma_{-i\alpha}^{\psi,\varphi})$$
 and  $\sigma_{-i\alpha}^{\psi,\varphi}(a) = b$ 

if there exists an  $\mathcal{M}$ -valued function F, defined and w-continuous on the strip  $\{\beta \in \mathbb{C}; 0 \leq \text{Re } \beta \leq \text{Re } \alpha\}$ , analytic in the interior of this strip, such that

$$F(it) = \sigma_t^{\psi, \varphi}(a)$$
 and  $F(\alpha) = b$ .

It follows from 3.11.(11) and 3.11.(12) by analytic continuation that for every  $a \in D(\sigma_a^{\psi, \varphi})$  we have

(1) 
$$\pi_{\varphi}(\sigma_{\alpha}^{\psi,\,\varphi}(a)) = \Delta_{\psi,\,\varphi}^{\mathrm{i}\alpha}\pi_{\varphi}(a)\Delta_{\varphi}^{-\mathrm{i}\alpha}|D(\Delta_{\varphi}^{-\mathrm{i}\alpha});$$

(2) 
$$\pi_{\psi}(\sigma_{\alpha}^{\psi,\,\varphi}(a)) = \Delta_{\psi}^{i\alpha}\pi_{\psi}(a)\Delta_{\varphi,\,\psi}^{-i\alpha}|D(\Delta_{\varphi,\,\psi}^{-i\alpha}).$$

Thus if  $a \in D(\sigma_{-i\alpha}^{\psi,\varphi})$ , the function  $\beta \mapsto \sigma_{-i\beta}^{\psi,\varphi}(a)$  is norm-continuous and normbounded on the strip  $\{\vec{\beta} \in \mathbb{C}; 0 \leq \operatorname{Re} \vec{\beta} \leq \operatorname{Re} \alpha\}$ .

On the other hand, using 3.10.(1) we see that

$$a \in D(\sigma_{\alpha}^{\psi, \varphi})$$
 and  $\sigma_{\alpha}^{\psi, \varphi}(a) = b \Leftrightarrow$ 

$$\begin{pmatrix} 0 & 0 \\ a & 0 \end{pmatrix} \in D(\sigma_{\alpha}^{\theta(\varphi, \, \psi)}) \quad and \quad \sigma_{\alpha}^{\theta(\varphi, \, \psi)} \left( \begin{pmatrix} 0 & 0 \\ a & 0 \end{pmatrix} \right) = \begin{pmatrix} 0 & 0 \\ b & 0 \end{pmatrix}$$

so that, in view of the results of Section 2.14, we can obtain several properties of  $\sigma_{\alpha}^{\psi, \varphi}$ in a very simple way.

For instance, statement 2.14.(1), applied to the balanced weight, gives

(4) 
$$a \in D(\sigma_{\alpha}^{\psi, \varphi}) \Rightarrow a^* \in D(\sigma_{\alpha}^{\varphi, \psi}) \text{ and } \sigma_{\alpha}^{\varphi, \psi}(a^*) = \sigma_{\alpha}^{\psi, \varphi}(a)^*.$$

Note that the same result can be obtained from (3.10.(3) by analytic continuation. Also, by applying Proposition 2.14 to the balanced weight  $\theta(\varphi, \psi)$  and the element  $\begin{pmatrix} 0 & 0 \\ a & 0 \end{pmatrix}$ , and using the results in Section 3.11, we obtain the following

**Proposition.** Let  $\varphi$ ,  $\psi$  be n.s. f. weights on the W\*-algebra  $\mathcal{M}$ ,  $a \in \mathcal{M}$  and  $\lambda \in (0, +\infty)$ . The following statements are equivalent:

- (i)  $\psi(ax^*xa^*) \leq \lambda^2 \varphi(x^*x)$  for all  $x \in \mathcal{M}$ ;
- (ii)  $x \in \mathfrak{N}_{\varphi} \Rightarrow xa^* \in \mathfrak{N}_{\psi}$  and  $\|(xa^*)_{\psi}\|_{\psi} \leqslant \lambda \|x_{\varphi}\|_{\varphi}$ ; (iii)  $a \in D(\sigma_{-i/2}^{\psi, \varphi})$  and  $\|\sigma_{-i/2}^{\psi, \varphi}(a)\| \leqslant \lambda$ .

If  $a \in D(\sigma_{-i/2}^{\psi, \varphi})$ , then

(5) 
$$(xa^*)_{\psi} = J_{\psi, \varphi} \pi_{\varphi} (\sigma_{-i/2}^{\psi, \varphi}(a)) J_{\varphi} x_{\varphi} (x \in \mathfrak{N}_{\varphi}),$$

and if moreover  $aa^* \in \mathcal{M}^{\psi}$ , then

(6) 
$$\varphi(\sigma_{-i/2}^{\psi,\varphi}(a)^*y^*y\sigma_{-i/2}^{\psi,\varphi}(a)) = \psi(aa^*y^*y) \qquad (y \in \mathfrak{N}_{\psi}).$$

3.13. In particular, taking  $\lambda = 1$  and a = 1 in Proposition 3.12, we obtain:

Corollary. Let  $\varphi, \psi$  be n.s.f. weights on the W\*-algebra M. The following statements are equivalent:

(i)  $\psi \leqslant \varphi$ ;

(ii) there exists an  $\mathcal{M}$ -valued function F, defined and w-continuous on the strip  $\{\alpha \in \mathbb{C}; 0 \leq \mathbb{R} \in \alpha \leq 1/2\}$ , analytic in the interior of this strip, such that  $F(it) = [D\psi: D\phi]_t$ ,  $(t \in \mathbb{R})$ , and  $||F(1/2)|| \leq 1$ .

If  $\psi \leqslant \varphi$  there exists an element  $a (=F(1/2)^*)$  in  $\mathcal{M}$  with  $||a|| \leqslant 1$ , such that

$$\psi(x^*x) = \varphi(ax^*xa^*) \qquad (x \in \mathfrak{N}_{\psi}).$$

Indeed, since  $\sigma_t^{\psi,\,\varphi}(1) = [D\psi : D\varphi]_t$ ,  $(t \in \mathbb{R})$ , we can take  $F(\alpha) = \sigma_{-i\alpha}^{\psi,\,\varphi}(1)$ ,  $(\alpha \in \mathbb{C}, 0 \leq \operatorname{Re} \alpha \leq 1/2)$ .

We remark that it follows from 3.1.(8), by analytic continuation, that  $F(it + \alpha) = [D\psi : D\varphi]_t \sigma_t^{\alpha}(F(\alpha))$ , hence  $||F(it)|| \le 1$ ,  $||F(it + (1/2))|| \le 1$  for all  $t \in \mathbb{R}$  and the Phragmen-Lindelöf theorem implies that  $||F(\alpha)|| \le 1$  for all  $\alpha \in \mathbb{C}$ ,  $0 \le \operatorname{Re} \alpha \le 1/2$ .

**3.14.** Since  $F(1/2) = \sigma_{-1/2}^{\psi, \varphi}(1)$ , it follows from 3.12.(4) that  $F(1/2)^* = \sigma_{1/2}^{\varphi, \psi}(1)$  and hence (see 2.14.(6))

$$F(1/2)^* \in D(\sigma_{-i/2}^{\varphi,\psi})$$
 and  $\sigma_{-i/2}^{\varphi,\psi}(F(1/2)^*) = 1$ .

Corollary. Let  $\varphi$ ,  $\psi$  be n.s.f. weights on the W\*-algebra M. The following statements are equivalent:

(i)  $\psi(x^*x) = \varphi(x^*x)$  for every  $x \in \mathfrak{N}_{\varphi}$ ;

(ii) there exists an M-valued function F, defined and w-continuous on the strip  $\{\alpha \in \mathbb{C}; 0 \le \mathbb{R} \in \alpha \le 1/2\}$ , analytic in the interior of this strip, such that  $F(it) = [D\psi: D\varphi], (t \in \mathbb{R}),$  and F(1/2)\*F(1/2) = 1.

Proof. We shall use the notation of Section 3.13.

(ii)  $\Rightarrow$  (i). We have  $a = F(1/2)^* \in D(\sigma_{-i/2}^{\varphi, \psi})$ ,  $\sigma_{-i/2}^{\varphi, \psi}(a) = 1$  and, by (ii),  $aa^* = 1$ . Thus, (i) follows from 3.12.(6) with  $\psi$  instead of  $\varphi$ , and  $\varphi$  instead of  $\psi$ .

(i)  $\Rightarrow$  (ii). From (i) it follows that  $\psi \leqslant \varphi$ , so that the function F of Corollary 3.13 does exist and  $||F(1/2)|| \leqslant 1$ . Let

$$x = \begin{pmatrix} x_{11} & x_{12} \\ x_{21} & x_{22} \end{pmatrix} \in \mathfrak{A}_{\theta} = \mathfrak{R}_{\theta} \cap \mathfrak{R}_{\theta}^*,$$

and  $e_{11} = \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}$ ,  $e_{21} = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix} \in \mathcal{N} = Mat_2(\mathcal{M})$ . Using Proposition 2.14 and 2.21.(1), we get

$$\begin{split} \left\| J_{\theta} \pi_{\theta} \left( \begin{pmatrix} 0 & 0 \\ F(1/2) & 0 \end{pmatrix} \right) J_{\theta} x_{\theta} \right\|_{\theta}^{2} &= \| J_{\theta} \pi_{\theta} (\sigma_{-i/2}^{\theta}(e_{21})) J_{\theta} x_{\theta} \|_{\theta}^{2} \\ &= \| (x e_{21}^{*})_{\theta} \|_{\theta}^{2} = \theta(e_{21} x^{*} x e_{21}) \\ &= \psi(x_{11}^{*} x_{11} + x_{21}^{*} x_{21}) = \varphi(x_{11}^{*} x_{11} + x_{21}^{*} x_{21}) \\ &= \theta(e_{11} x^{*} x e_{11}) = \| (x e_{11})_{\theta} \|_{\theta}^{2} = \| J_{\theta} \pi_{\theta}(e_{11}) J_{\theta} x_{\theta} \|_{\theta}^{2}. \end{split}$$

Taking the limit it follows that

$$\left\| \pi_{\theta} \left( \begin{pmatrix} 0 & 0 \\ F(1/2) & 0 \end{pmatrix} \right) \xi \right\|_{\theta} = \| \pi_{\theta}(e_{11}) \xi \|_{\theta}$$

for all  $\xi \in \mathcal{H}_{\theta}$ . Consequently,  $\begin{pmatrix} 0 & 0 \\ F(1/2) & 0 \end{pmatrix}$  is a partial isometry with initial support equal to  $e_{11}$ , hence F(1/2)\*F(1/2) = 1.

**3.15.** The next result is both an extension of the Pedersen-Takesaki theorem concerning the centralizer (2.21.(2)), and an algebraic characterization of the operator  $\sigma_{-i}^{\psi}$ .

**Theorem.** Let  $\varphi$ ,  $\psi$  be n.s.f. weights on the W\*-algebra  $\mathcal{M}$  and  $a, b \in \mathcal{M}$ . The following statements are equivalent:

(i)  $a \in D(\sigma_{-i}^{\psi,\varphi})$  and  $\sigma_{-i}^{\psi,\varphi}(a) = b$ ;

(ii)  $a\mathfrak{N}_{\varphi}^* \subset \mathfrak{N}_{\psi}^*$ ,  $\mathfrak{N}_{\psi}b \subset \mathfrak{N}_{\varphi}$  and  $\psi(ax) = \varphi(xb)$  for all  $x \in \mathfrak{N}_{\varphi}^*\mathfrak{N}_{\psi}$ .

**Proof.** Taking into account remark 3.12.(3), 3.1.(1) and the definition of the balanced weight, we see that it is sufficient to consider only the case  $\psi = \varphi$ .

(i)  $\Rightarrow$  (ii). If  $a \in D(\sigma_{-i}^{\varphi})$  and  $\sigma_{-i}^{\varphi}(a) = b$ , then (2.14)  $a \in D(\sigma_{-i/2}^{\varphi})$ ,  $b \in D(\sigma_{i/2}^{\varphi})$  and  $\sigma_{-i/2}^{\varphi}(a) = \sigma_{i/2}^{\varphi}(b)$ . Since  $a \in D(\sigma_{-i/2}^{\varphi})$  and  $b^* \in D(\sigma_{-i/2}^{\varphi})$ , it follows that (2.14)  $\mathfrak{R}_{\varphi}a^* \subset \mathfrak{R}_{\varphi}$ , i.e.  $a\mathfrak{R}_{\varphi}^* \subset \mathfrak{R}_{\varphi}^*$ , and  $\mathfrak{R}_{\varphi}b \subset \mathfrak{R}_{\varphi}$ . Moreover, for every  $x \in \mathfrak{R}_{\varphi}$  we have

$$\varphi(ax^*x) = (x_{\varphi}|(xa^*)_{\varphi})_{\varphi}$$

$$= (x_{\varphi}|J_{\varphi}\pi_{\varphi}(\sigma^{\varphi}_{-i/2}(a))J_{\varphi}x_{\varphi})_{\varphi} = (x_{\varphi}|J_{\varphi}\pi_{\varphi}(\sigma^{\varphi}_{i/2}(b))J_{\varphi}x_{\varphi})_{\varphi}$$

$$= (J_{\varphi}\pi_{\varphi}(\sigma^{\varphi}_{-i/2}(b^*))J_{\varphi}x_{\varphi}|x_{\varphi})_{\varphi} = ((xb)_{\varphi}|x_{\varphi})_{\varphi} = \varphi(x^*xb).$$

(ii)  $\Rightarrow$  (i). Let  $x, y \in \mathfrak{T}_{\varphi}$ . Assuming (ii), it follows from 2.13.(1), 2.13.(2) and 2.15.(2) that

$$(\pi_{\varphi}(a)\Delta_{\varphi}^{-1}x_{\varphi}|\Delta_{\varphi}y_{\varphi})_{\varphi} = (\pi_{\varphi}(a)(\sigma_{\mathbf{i}}^{\varphi}(x))_{\varphi}|\Delta_{\varphi}y_{\varphi})_{\varphi}$$

$$= \varphi(a\sigma_{\mathbf{i}}^{\varphi}(x)y^{*}) = \varphi(\sigma_{\mathbf{i}}^{\varphi}(x)y^{*}b)$$

$$= (\pi_{\varphi}(b)\Delta_{\varphi}(\sigma_{\mathbf{i}}^{\varphi}(x))_{\varphi}|y_{\varphi})_{\varphi} = (\pi_{\varphi}(b)x_{\varphi}|y_{\varphi})_{\varphi}.$$

Consequently,  $\pi_{\varphi}(a)\Delta_{\varphi}^{-1}x_{\varphi} \in D(\Delta_{\varphi})$  and  $\Delta_{\varphi}\pi_{\varphi}(a)\Delta_{\varphi}^{-1}x_{\varphi} = \pi_{\varphi}(b)x_{\varphi}$ , for all  $x_{\varphi} \in \mathfrak{T}_{\varphi}$ . By ([L], 9.24) it follows that

$$\alpha \mapsto F(\alpha) = \varDelta_{\varphi}^{\alpha} \pi_{\varphi}(a) \varDelta_{\varphi}^{-\alpha} \in \mathcal{B}(\mathcal{H}_{\varphi})$$

is a function defined and w-continuous on the strip  $\{\alpha \in \mathbb{C}; 0 \leq \text{Re } \alpha \leq 1\}$ , analytic in the interior of this strip, such that  $F(it) = \pi_{\varphi}(\sigma_i^{\varphi}(a)) \in \pi_{\varphi}(\mathcal{M})$ ,  $F(1+it) = \pi_{\varphi}(\sigma_i^{\varphi}(b)) \in \pi_{\varphi}(\mathcal{M})$  for all  $t \in \mathbb{R}$ . We conclude that  $F(\alpha) \in \pi_{\varphi}(\mathcal{M})$  for all  $\alpha \in \mathbb{C}$  with  $0 \leq \text{Re } \alpha \leq 1$ , and hence  $a \in D(\sigma_{-1}^{\varphi})$  and  $\sigma_{-1}^{\varphi}(a) = b$ .

In particular, for  $v \in U(\mathcal{M})$  and  $\lambda \in (0, +\infty)$  we have

(1) 
$$\sigma_t^{\psi,\,\varphi}(v) = \lambda^{it}v, \ (t \in \mathbb{R}) \Leftrightarrow \psi = \lambda \varphi_n$$

as both sides are equivalent to  $\sigma_{-i}^{\psi_i}\sigma(v) = \lambda v$ .

**3.16.** Let  $\varphi, \psi$  be n.s.f. weights on the  $W^*$ -algebra  $\mathcal{M}$ . We consider the standard representations  $\pi_{\varphi} \colon \mathcal{M} \to \mathcal{B}(\mathcal{H}_{\varphi})$ ,  $\pi_{\psi} \colon \mathcal{M} \to \mathcal{B}(\mathcal{H}_{\varphi})$  the self-polar convex cones  $\mathfrak{P}_{\varphi} \subset \mathcal{H}_{\varphi}$ ,  $\mathfrak{P}_{\psi} \subset \mathcal{H}_{\psi}$ , and the canonical conjugations  $J_{\varphi} \colon \mathcal{H}_{\varphi} \to \mathcal{H}_{\varphi}$ ,  $J_{\psi} \colon \mathcal{H}_{\psi} \to \mathcal{H}_{\psi}$  (2.23). Let  $\theta = \theta(\varphi, \psi)$  be the balanced weight.

By ([L], 10.26) there exists a unitary operator  $V_{\psi, \varphi}: \mathcal{H}_{\varphi} \to \mathcal{H}_{\psi}$ , uniquely determined, such that

(1) 
$$\pi_{\psi}(x) = V_{\psi, \varphi} \circ \pi_{\varphi}(x) \circ V_{\psi, \varphi}^* \qquad (x \in \mathcal{M})$$

$$(2) V_{\psi_{\alpha},\alpha}(\mathfrak{P}_{\alpha}) = \mathfrak{P}_{\psi_{\alpha}}.$$

Since the self-polar convex cone determines the canonical conjugation ([L], 10.23), we have also

$$(3) V_{\psi,\,\varphi} \circ J_{\varphi} = J_{\psi} \circ V_{\psi,\,\varphi} \,.$$

From the uniqueness assertion we infer that

$$(4) V_{\omega,\psi} = V_{\omega,\omega}^*.$$

Proposition. We have

$$J_{\psi,\,\varphi} = V_{\psi,\,\varphi} \circ J_{\varphi} = J_{\psi} \circ V_{\psi,\,\varphi}.$$

*Proof.* By ([L], 10.14, 10.1, 10.4) we know that  $\overline{S_{\theta}|\mathfrak{M}_{\theta}} = S_{\theta}$ . Using 3.1.(3) and 3.11.(3), we deduce that  $\overline{S_{\psi,\phi}|\mathfrak{N}_{\psi}^*\mathfrak{N}_{\varphi}} = S_{\psi,\phi}$ . Thus, if we show that

$$(6) J_{\varphi}V_{\varphi,\psi}S_{\psi,\varphi}|\mathfrak{N}_{w}^{*}\mathfrak{N}_{\varphi} \geqslant 0,$$

the uniqueness of the polar decomposition of  $S_{\psi,\,\varphi}$  will imply the equalities  $J_{\psi,\,\varphi} = (J_{\varphi}V_{\varphi,\,\psi})^* = V_{\psi,\,\varphi}J_{\varphi}$ .

For  $x \in \mathfrak{N}_{\varphi}$ ,  $y \in \mathfrak{N}_{\psi}$ , we have

$$\begin{split} (J_{\varphi}V_{\varphi,\,\psi}S_{\psi,\,\varphi}(y^*x)_{\varphi}|(y^*x)_{\varphi})_{\varphi} &= (J_{\varphi}V_{\varphi,\,\psi}(x^*y)_{\psi}|(y^*x)_{\varphi})_{\varphi} \\ &= (V_{\varphi,\,\psi}J_{\psi}\pi_{\psi}(x^*)y_{\psi}|\pi_{\varphi}(y^*)x_{\varphi})_{\varphi} &= (\pi_{\varphi}(y)V_{\varphi,\,\psi}J_{\psi}\pi_{\psi}(x^*)y_{\psi}|x_{\varphi})_{\varphi} \\ &= (V_{\varphi,\,\psi}\pi_{\psi}(y)J_{\psi}\pi_{\psi}(x^*)J_{\psi}J_{\psi}y_{\psi}|x_{\varphi})_{\varphi} &= (V_{\varphi,\,\psi}J_{\psi}\pi_{\psi}(x^*)J_{\psi}\pi_{\psi}(y)J_{\psi}y_{\psi}|x_{\varphi})_{\varphi} \\ &= (J_{\varphi}V_{\varphi,\,\psi}\pi_{\psi}(x^*)J_{\psi}\pi_{\psi}(y)J_{\psi}y_{\psi}|x_{\varphi})_{\varphi} &= (J_{\varphi}\pi_{\varphi}(x)^*V_{\varphi,\,\psi}J_{\psi}\pi_{\psi}(y)J_{\psi}y_{\psi}|x_{\varphi})_{\varphi} \\ &= (J_{\varphi}\pi_{\varphi}(x)^*J_{\varphi}V_{\varphi,\,\psi}\pi_{\psi}(y)J_{\psi}y_{\psi}|x_{\varphi})_{\varphi} &= (V_{\varphi,\,\psi}\pi_{\psi}(y)J_{\psi}y_{\psi}|J_{\varphi}\pi_{\varphi}(x)J_{\varphi}x_{\varphi})_{\varphi} \,. \end{split}$$

By 2.23.(1) we have  $\pi_{\psi}(y)J_{\psi}y_{\psi} \in \mathfrak{P}_{\psi}$  and  $\pi_{\varphi}(x)J_{\varphi}x_{\varphi} \in \mathfrak{P}_{\varphi}$ . Since  $V_{\varphi,\psi}(\mathfrak{P}_{\psi}) = \mathfrak{P}_{\varphi}$  and  $J_{\varphi}(\mathfrak{P}_{\varphi}) = \mathfrak{P}_{\varphi}$ , it follows that both sides of the last scalar product belong to the self-polar cone  $\mathfrak{P}_{\varphi}$  and therefore this scalar product is positive.

We have thus proved (6) and hence the Proposition.

We record also the following identities which are easy consequences of the Proposition:

$$J_{\psi} = V_{\psi,\,\varphi} \circ J_{\varphi} \circ V_{\varphi,\,\psi},$$

$$V_{\psi,\,\varphi} = J_{\psi,\,\varphi} \circ J_{\varphi} = J_{\psi} \circ J_{\psi,\,\varphi} \,,$$

and, if  $\tau$  is a third n.s.f. weight on  $\mathcal{M}$ ,

$$(9) V_{\psi,\,\varphi} = V_{\psi,\,\tau} \circ V_{\tau,\,\varphi}.$$

**3.17.** Let  $\varphi, \psi$  be n.s.f. weights on the  $W^*$ -algebra  $\mathcal{M}$ . We shall identify the Hilbert space  $\mathcal{H}_{\varphi}$  with the Hilbert space  $\mathcal{H}_{\varphi}$  via the unitary operator  $V_{\varphi,\psi} \colon \mathcal{H}_{\psi} \to \mathcal{H}_{\varphi}$  (3.16). Then we have  $J_{\psi} = J_{\varphi}$  and  $\pi_{\psi}(x) = \pi_{\varphi}(x)$   $(x \in \mathcal{M})$ . Also, there is a \*-antiisomorphism

$$j: \mathscr{M} \to \pi_{\varphi}(\mathscr{M})' = \pi_{\psi}(\mathscr{M})'$$

by means of which we define the weights  $\varphi'$  and  $\psi'$  (see 2.12.(6), 2.12.(10)). With these identifications it is easy to check that

$$[D\psi':D\varphi']_t=j([D\psi:D\varphi]_{-t}) \qquad (t\in\mathbb{R}).$$

**3.18.** Finally, we consider the particular case of cyclic and separating vectors. Let  $\mathcal{M} \subset \mathcal{B}(\mathcal{H})$  be a von Neumann algebra with two cyclic and separating vectors  $\xi_1, \xi_2 \in \mathcal{H}$  and  $\varphi_1 = \omega_{\xi_1} | \mathcal{M}, \varphi_2 = \omega_{\xi_2} | \mathcal{M}$  the corresponding faithful normal positive forms on  $\mathcal{M}$ . For  $k \in \{1, 2\}$  identify the Hilbert space  $\mathcal{H}_{\varphi_k}$  with  $\mathcal{H}$  via the mapping  $x_{\varphi_k} \mapsto x\xi_k, (x \in \mathcal{M})$ , and for every  $i, j \in \{1, 2\}$  let  $S_{ij} = S_{\varphi_i, \varphi_j}, J_{ij} = J_{\varphi_i, \varphi_j}, J_{ij} = J$ 

In order to identify the balanced normal positive form  $\varphi = \theta(\varphi_1, \varphi_2)$  on  $Mat_2(\mathcal{M}) = \mathcal{M} \otimes Mat_2(\mathbb{C})$  as a vector form, we consider the 4-dimensional Hilbert space  $\mathcal{H}_4$  with the orthonormal basis  $\{\varepsilon_{ij}; i, j = 1, 2\}$ , the factor  $\mathscr{F} \subset \mathscr{B}(\mathcal{H}_4)$  linearly spanned by the operators  $e_{ij} \in \mathscr{B}(\mathcal{H}_4)$ ,

$$e_{ij}\varepsilon_{hk} = \delta_{jh}\varepsilon_{ik}$$
  $(i, j, h, k \in \{1, 2\})$ 

and its commutant  $\mathscr{F}' \subset \mathscr{B}(\mathscr{H}_4)$  linearly spanned by the operators  $e'_{ij} \in \mathscr{B}(\mathscr{H}_4)$ ,

$$e'_{ij}\varepsilon_{hk}=\delta_{ki}\varepsilon_{hj}$$
  $(i,j,h,k\in\{1,2\}).$ 

Then  $Mat_2(\mathcal{M}) = \mathcal{M} \otimes \mathcal{F}$  acts on the Hilbert space  $\mathcal{H}_4 = \mathcal{H} \otimes \mathcal{H}_4$  and its commutant is  $Mat_2(\mathcal{M}') = \mathcal{M}' \otimes \mathcal{F}'$ . Moreover, the vector

(1) 
$$\xi_{\varphi} = \xi_1 \otimes \varepsilon_{11} + \xi_2 \otimes \varepsilon_{22} \in \widetilde{\mathscr{H}}_4$$

is cyclic and separating for  $\mathcal{M} \otimes \mathcal{F} \subset \mathcal{B}(\widetilde{\mathcal{H}}_4)$  and the balanced form  $\varphi = \theta(\varphi_1, \varphi_2)$  is the vector form  $\varphi = \omega_{\xi_{\varphi}} | \mathcal{M} \otimes \mathcal{F}$ . As above, we identify the Hilbert space  $\mathcal{H}_{\varphi}$  with  $\widetilde{\mathcal{H}}_4$  and we consider the operators  $S_{\varphi}, J_{\varphi}, \Lambda_{\varphi}$  on  $\mathcal{H}_{\varphi}$ . Consider also the isometries

$$u_{ij} \colon \mathcal{H} \ni \xi \mapsto \xi \otimes \varepsilon_{ij} \in \widetilde{\mathcal{H}}_4$$
  $(i, j \in \{1, 2\}).$ 

Then  $\sum_{ij} u_{ij} u_{ij}^* = 1 \in \mathcal{B}(\widetilde{\mathcal{H}}_4)$  and  $u_{ij}^* u_{hk} = \delta_{ih} \delta_{jk} \in \mathcal{B}(\mathcal{H})$  for  $i, j, h, k \in \{1, 2\}$ . As in Section 3.11 we see that

(2) 
$$S_{\varphi} = \sum_{ij} u_{ij} S_{ji} u_{ji}^*, \quad S_{\varphi}^* = \sum_{ij} u_{ij} S_{ij}^* u_{ji}^*,$$

(3) 
$$J_{\varphi} = \sum_{ij} u_{ij} J_{ji} u_{ji}^*, \quad \Delta_{\varphi} = \sum_{ij} u_{ij} \Delta_{ij} u_{ij}^*.$$

Using the above expression for  $J_{\varphi}$  we get

(4) 
$$J_{\varphi}(1 \otimes e_{kk})J_{\varphi} = 1 \otimes e'_{kk} (k \in \{1, 2\})$$

and, using also 3.16.(8), it is easy to check that

(5) 
$$J_{\varphi}(1 \otimes e_{21})J_{\varphi} = V_{21} \otimes e'_{12}.$$

**Proposition.** Let  $(\mathcal{M}, \mathcal{H}, J, \mathfrak{P})$  be a hyperstandard von Neumann algebra with two cyclic and separating vectors  $\xi_1, \, \xi_2 \in \mathfrak{P}$  and  $\varphi_1 = \omega_{\xi_1} | \mathcal{M}, \, \varphi_2 = \omega_{\xi_2} | \mathcal{M}$  the corresponding faithful normal positive forms on  $\mathcal{M}$ . The following statements are equivalent:

(ii) there exists on M-valued function F, defined and w-continuous on the strip  $\{\alpha \in \mathbf{C}; 0 \le \text{Re } \alpha \le 1/4\}$ , analytic in the interior of this strip, such that  $F(it) = [D\varphi_2: D\varphi_1]_t$  for every  $t \in \mathbb{R}$  and  $||F(1/4)|| \le 1$ ;

(iii)  $\|\Delta_{\xi_1}^{1/4} x \xi_2\| \leq \|\Delta_{\xi_1}^{1/4} x \xi_1\|$  for every  $x \in \mathcal{M}$ .

*Proof.* We shall use the notation introduced in this Section. Since  $\xi_1, \xi_2 \in \mathfrak{P}$ we have ([L], 10.24)  $\mathfrak{P}_{\xi_1} = \mathfrak{P}_{\xi_2} = \mathfrak{P}$ , hence  $J_{11} = J_{22} = J$  and  $V_{21} = 1$ ; using Proposition 3.16 we obtain also  $J_{12} = J_{21} = J$ .

(i)  $\Rightarrow$  (ii) Let  $x \in \mathcal{M}$ . Then  $JxJx\xi_1 \in \mathfrak{P}$  and, assuming (i),  $\xi_1 - \xi_2 \in \mathfrak{P}$ , so

that  $(\xi_1 - \xi_2 | JxJx\xi_1) \ge 0$  and hence

$$\begin{aligned} \|\Delta_{21}^{1/4} x \xi_1\|^2 &= (\Delta_{21}^{1/2} x \xi_1 | x \xi_1) = (J S_{21} x \xi_1 | x \xi_1) = (J x^* \xi_2 | x \xi_1) \\ &= (\xi_2 | J x J x \xi_1) \leqslant (\xi_1 | J x J x \xi_1) = \|\Delta_{11}^{1/4} x \xi_1\|^2. \end{aligned}$$

It follows that

$$\xi \in D(\Delta_{11}^{1/4}) \Rightarrow \xi \in D(\Delta_{21}^{1/4}) \text{ and } ||\Delta_{21}^{1/4}\xi|| \leq ||\Delta_{11}^{1/4}\xi||.$$

Using ([L], 9.24) we infer that the function  $\alpha \mapsto F(\alpha) = \Delta_{21}^{\alpha} \Delta_{11}^{-\alpha}$  is defined and wcontinuous on the strip  $\{\alpha \in \mathbb{C}; 0 \leq \text{Re } \alpha \leq 1/4\}$ , analytic in the interior of this strip and, clearly,  $||F(1/4)|| \le 1$ . Also, for  $t \in \mathbb{R}$  and  $\xi \in \mathcal{H}$  we have

$$\begin{split} (\varDelta_{21}^{it}\xi)\otimes\varepsilon_{21}&=\varDelta_{\varphi}^{it}(\xi\otimes\varepsilon_{21})=\varDelta_{\varphi}^{it}(1\otimes e_{21})\varDelta_{\varphi}^{-it}\varDelta_{\varphi}^{it}(\xi\otimes\varepsilon_{11})\\ &=\sigma_{t}^{\varphi}(1\otimes e_{21})((\varDelta_{11}^{it}\xi)\otimes\varepsilon_{11})=([D\varphi_{2}\colon D\varphi_{1}]_{t}\otimes e_{21})((\varDelta_{11}^{it}\xi)\otimes\varepsilon_{11})\\ &=([D\varphi_{2}\colon D\varphi_{1}]_{t}\varDelta_{11}^{it}\xi)\otimes\varepsilon_{21} \end{split}$$

hence  $F(\mathrm{i}t) = \Delta_{21}^{\mathrm{i}t} \Delta_{11}^{-\mathrm{i}t} = [D\varphi_2 : D\varphi_1]_t$ . (ii)  $\Rightarrow$  (iii). Since  $\sigma_t^{\varphi}(1 \otimes e_{21}) = [D\varphi_2 : D\varphi_1]_t \otimes e_{21}$ ,  $(t \in \mathbb{R})$ , assuming (ii) it follows that

(6) 
$$b = 1 \otimes e_{21} \in D(\sigma_{-i/4}^{\varphi}) \text{ and } \sigma_{-i/4}^{\varphi}(b) = F(1/4) \otimes e_{21}.$$

For every  $y \in \mathcal{M} \otimes \mathcal{F}$  we have  $y^*\xi_{\varphi} \in D(\Delta_{\varphi}^{1/4})$  and, using 2.14.(3), we get

(7) 
$$\sigma_{-i/4}^{\varphi}(b)\Delta_{\varphi}^{1/4}y^{*}\xi_{\varphi} = \Delta_{\varphi}^{1/4}by^{*}\xi_{\varphi},$$

that is  $\sigma_{i,4}^{\varphi}(b)J_{\varphi}\Delta_{\varphi}^{1/4}by^{*}\xi_{\varphi} = \Delta_{\varphi}^{1/4}by^{*}\xi_{\varphi}$ . Replacing here y by  $by^{*}$  we obtain  $\sigma_{i,4}^{\varphi}(b)J_{\varphi}\Delta_{\varphi}^{1/4}by^{*}\xi_{\varphi} = \Delta_{\varphi}^{1/4}by^{b}\xi_{\varphi}$ , and by (7) we conclude that

(8) 
$$\sigma_{-i/4}^{\varphi}(b)J_{\varphi}\sigma_{-i/4}^{\varphi}(b)J_{\varphi}\Delta_{\varphi}^{1/4}y\xi_{\varphi} = \Delta_{\varphi}^{1/4}byb^{*}\xi_{\varphi}.$$

In particular, using (1), (3), (6) and (8) for  $y = x \otimes e_{11}$  with  $x \in \mathcal{M}$ , we obtain

$$(\Delta_{22}^{1/4}x\xi_2)\otimes \varepsilon_{22}=(F(1/4)JF(1/4)\Delta_{11}^{1/4}x\xi_1)\otimes \varepsilon_{22}.$$

Since  $||F(1/4)|| \le 1$ , the desired conclusion (iii) follows.

(iii)  $\Rightarrow$  (i). Assuming (iii), for every  $x \in \mathcal{M}^+$  we have

(9) 
$$(xJxJ\xi_2|\xi_2) = \|\Delta_{22}^{1/4}x\xi_2\|^2 \leqslant \|\Delta_{11}^{1/4}x\xi_1\|^2 = (xJxJ\xi_1|\xi_1).$$

Since  $y = xJxJ \ge 0$ , by the Schwarz inequality we get  $|(y\xi_1|\xi_2)|^2 \le (y\xi_1|\xi_1)(y\xi_2|\xi_2) \le (y\xi_1|\xi_1)^2$ . We have  $\xi_2 \in \mathfrak{P}$  and also  $y\xi_1 \in \mathfrak{P}$ , so that  $(y\xi_1|\xi_2) \ge 0$  and hence  $(y\xi_1|\xi_2) \le (y\xi_1|\xi_1)$ , that is

$$(\xi_1 - \xi_2 | JxJx\xi_1) \geqslant 0 \qquad (x \in \mathcal{M}^+).$$

By Proposition 2.26 it follows that  $(\xi_1 - \xi_2 | \xi) \ge 0$  for every  $\xi \in \mathfrak{P}$ , hence  $\xi_1 - \xi_2 \in \mathfrak{P}$ , as  $\mathfrak{P}$  is a self-polar cone. Thus,  $\xi_2 \le \xi_1$  with respect to  $\mathfrak{P}$ .

3.19. Notes. The results included in this Section are essentially due to Connes [36], [37], [38]. Proposition 3.15 is explicitly stated in [103].

For our exposition we have used [33], [36], [37], [38], [61], [70], [83], and [103].

## §4. The Pedersen-Takesaki construction

In this Section we present the canonical construction and properties of the weight  $\varphi_A$  associated with a given weight  $\varphi$  and a given positive self-adjoint operator A affiliated to the centralizer of  $\varphi$ . We also consider several commutation properties of weights.

**4.1.** Let  $\varphi$  be a normal semifinite weight on the  $W^*$ -algebra  $\mathcal{M}$  and  $a \in \mathcal{M}^{\varphi}$ ,  $a \ge 0$ . A weight  $\varphi_a$  on  $\mathcal{M}$  is defined by

$$\varphi_a(x) = \varphi(a^{1/2}xa^{1/2}) \qquad (x \in \mathcal{M}^+).$$

It is clear that  $\varphi_a$  is normal. Since  $a \in \mathcal{M}^{\varphi}$  we have (2.21.(2))  $\mathfrak{N}_{\varphi} \subset \mathfrak{N}_{\varphi_a}$ ,  $\mathfrak{M}_{\varphi} \subset \mathfrak{M}_{\varphi_a}$  and

$$\varphi_a(x) = \varphi(ax) = \varphi(xa) \qquad (x \in \mathfrak{M}_a).$$

In particular,  $\varphi_a$  is semifinite. Also,  $s(\varphi_a) = s(a)$ .

We remark that the notation  $\varphi_a$  introduced here agrees with the notation  $\varphi_b$  introduced in Section 2.21, since the only positive partial isometries are projections.

**4.2.** We begin the study of the weight  $\varphi_a$  by considering the case when  $\varphi$  is an n.s.f. weight on  $\mathcal{M}$  and  $a \in \mathcal{M}^{\varphi}$ ,  $a \ge 0$  is invertible. In this case it is clear that

(1) 
$$\mathfrak{M}_{\varphi_a} = \mathfrak{M}_{\varphi}, \quad \mathfrak{N}_{\varphi_a} = \mathfrak{N}_{\varphi}.$$

Recall (2.21) that the condition  $a \in \mathcal{M}^{\varphi}$  means that  $\pi_{\varphi}(a)$  commutes with  $\Delta_{\varphi}$ . Let  $x, y \in \mathfrak{N}_{\varphi} = \mathfrak{N}_{\varphi_{\varphi}}$ . By 2.21.(1)

$$(x|y)_{\varphi_a} = \varphi_a(y^*x) = \varphi(ay^*x) = (x_{\varphi}|(ya)_{\varphi})_{\varphi} = (x_{\varphi}|J_{\varphi}\pi_{\varphi}(a)J_{\varphi}y_{\varphi})_{\varphi}.$$

As  $\|a^{-1}\|^{-1} \leqslant J_{\varphi}\pi_{\varphi}(a)J_{\varphi} \leqslant \|a\|$ , it follows that the scalar products  $(\cdot|\cdot)_{\varphi_a}$  and  $(\cdot|\cdot)_{\varphi}$  are equivalent and hence the Hilbert spaces  $\mathscr{H}_{\varphi_a}$  and  $\mathscr{H}_{\varphi}$  are the same set. We put

$$\mathscr{H} = \mathscr{H}_{\varphi_0} = \mathscr{H}_{\varphi},$$

but distinguish the two scalar products. It is clear that

$$S_{\varphi_a} = S_{\varphi}, \quad \pi_{\varphi_a} = \pi_{\varphi}.$$

On the other hand, it is easy to check that if T is a closed linear or antilinear operator in  $\mathcal{H}$ , and  $T^*$  denotes the adjoint of T with respect to the scalar product  $(\cdot|\cdot)_{\varphi}$ , then the adjoint of T with respect to the scalar product  $(\cdot|\cdot)_{\varphi_a}$  is  $J_{\varphi}\pi_{\varphi}(a)^{-1}J_{\varphi}T^*J_{\varphi}\pi_{\varphi}(a)J_{\varphi}$ . Consequently,

$$S_{\varphi_a}^* = J_{\varphi}\pi_{\varphi}(a)^{-1}J_{\varphi}S_{\varphi}^*J_{\varphi}\pi_{\varphi}(a)J_{\varphi}$$
, and hence 
$$\Delta_{\varphi_a} = S_{\varphi_a}^*S_{\varphi_a} = J_{\varphi}\pi_{\varphi}(a)^{-1}J_{\varphi}\Delta_{\varphi}^{1/2}J_{\varphi}J_{\varphi}\pi_{\varphi}(a)J_{\varphi}J_{\varphi}\Delta_{\varphi}^{1/2}$$

$$= J_{\varphi}\pi_{\varphi}(a)^{-1}J_{\varphi}\Delta_{\varphi}^{1/2}\pi_{\varphi}(a)\Delta_{\varphi}^{1/2} = (J_{\varphi}\pi_{\varphi}(a)^{-1}J_{\varphi})\pi_{\varphi}(a)\Delta_{\varphi} .$$

The three positive self-adjoint operators appearing in the last term of the above equation mutually commute so that, for  $t \in \mathbb{R}$ , we get

$$\Delta_{\varphi}^{\mathrm{i}t} = (J_{\varphi}\pi_{\varphi}(a)^{-1}J_{\varphi})^{\mathrm{i}t}\pi_{\varphi}(a)^{\mathrm{i}t}\Delta_{\varphi}^{\mathrm{i}t} = J_{\varphi}\pi_{\varphi}(a)^{\mathrm{i}t}J_{\varphi}\pi_{\varphi}(a)^{\mathrm{i}t}\Delta_{\varphi}^{\mathrm{i}t}$$

as  $J_{\varphi}$  is antilinear. Thus, for  $x \in \mathcal{M}$ ,  $t \in \mathbb{R}$ , we have

$$\begin{split} \pi_{\varphi_{a}}(\sigma_{t}^{\varphi_{a}}(x)) &= \varDelta_{\varphi_{a}}^{\mathrm{i}t} \pi_{\varphi_{a}}(x) \varDelta_{\varphi_{a}}^{-\mathrm{i}t} \\ \\ &= (J_{\varphi}\pi_{\varphi}(a)^{\mathrm{i}t}J_{\varphi})\pi_{\varphi}(a)^{\mathrm{i}t} \varDelta_{\varphi}^{\mathrm{i}t} \pi_{\varphi}(x) \varDelta_{\varphi}^{-\mathrm{i}t} \pi_{\varphi}(a)^{-\mathrm{i}t} (J_{\varphi}\pi_{\varphi}(a)^{-\mathrm{i}t}J_{\varphi}) \\ \\ &= (J_{\varphi}\pi_{\varphi}(a)^{\mathrm{i}t}J_{\varphi})\pi_{\varphi}(a^{\mathrm{i}t}\sigma_{t}^{\varphi}(x)a^{-\mathrm{i}t}) (J_{\varphi}\pi_{\varphi}(a)^{-\mathrm{i}t}J_{\varphi}). \end{split}$$

Since  $J_{\omega}\pi_{\omega}(a)^{it}J_{\omega}\in\pi_{\omega}(\mathcal{M})'$ , we conclude that

(4) 
$$\sigma_{\bullet}^{\varphi_a}(x) = a^{it}\sigma_t^{\varphi}(x)a^{-it} \qquad (x \in \mathcal{M}, \ t \in \mathbb{R}).$$

Note that this conclusion can be also obtained by using the KMS condition.

**4.3.** Let  $\varphi$  be a normal semifinite weight on the  $W^*$ -algebra  $\mathcal{M}$  and  $a, b \in \mathcal{M}^{\varphi}$ ,  $a, b \ge 0$ . We have

(1) 
$$\varphi_{a+b} = \varphi_a + \varphi_b.$$

Indeed, if a and b are invertible, then  $\mathfrak{M}_{\varphi_a} = \mathfrak{M}_{\varphi_b} = \mathfrak{M}_{\varphi_{a+b}} = \mathfrak{M}_{\varphi}$  (4.2.(1)) and for every  $x \in \mathfrak{M}_{\varphi}$  we have (4.1)  $\varphi_{a+b}(x) = \varphi((a+b)x) = \varphi(ax) + \varphi(bx) = \varphi_a(x) + \varphi_b(x)$ ; so (1) is obvious in this case.

In the general case consider the elements

$$u = w - \lim_{\varepsilon \to 0} a^{1/2} (a + b + \varepsilon)^{-1/2}, \quad v = w - \lim_{\varepsilon \to 0} b^{1/2} (a + b + \varepsilon)^{-1/2}.$$

Then we have  $u, v \in \mathcal{M}^{\varphi}$  and (compare with 1.4)  $a^{1/2} = u(a+b)^{1/2}, b^{1/2} = v(a+b)^{1/2}, u^*u + v^*v = s(a+b)$ . If  $x \in \mathfrak{M}_{\varphi_{a+b}} \cap \mathcal{M}^+$ , then  $y = (a+b)^{1/2}x(a+b)^{1/2} \in \mathfrak{M}_{\varphi}$  and, using 2.21.(2), we deduce further that  $uyu^* \in \mathfrak{M}_{\varphi}$  and  $vyv^* \in \mathfrak{M}_{\varphi}$ , i.e.

$$+\infty > \varphi(uyu^*) + \varphi(vyv^*) = \varphi(a^{1/2}xa^{1/2}) + \varphi(b^{1/2}xb^{1/2}).$$

Thus, again by 2.21.(2), we get

$$\varphi_a(x) + \varphi_b(x) = \varphi(y(u^*u + v^*v)) = \varphi(y) = \varphi_{a+b}(x).$$

Conversely, let  $x \in \mathfrak{M}_{\varphi_a + \varphi_b} \cap \mathcal{M}^+$ . Then  $a^{1/2}xa^{1/2} \in \mathfrak{M}_{\varphi} \cap \mathcal{M}^+$ ,  $b^{1/2}xb^{1/2} \in \mathfrak{M}_{\varphi} \cap \mathcal{M}^+$  and by a further application of 2.21.(2), we infer that  $u^*a^{1/2}xa^{1/2}u \in \mathfrak{M}_{\varphi} \cap \mathcal{M}^+$ ,  $v^*b^{1/2}xb^{1/2}v \in \mathfrak{M}_{\varphi} \cap \mathcal{M}^+$ . As

$$(a+b)^{1/2}x(a+b)^{1/2} = w - \lim_{\varepsilon \to 0} (a+b+\varepsilon)^{-1/2}(a+b)x(a+b)(a+b+\varepsilon)^{-1/2}$$

$$\leq w - \lim_{\varepsilon \to 0} 2(a+b+\varepsilon)^{-1/2}(axa+bxb)(a+b+\varepsilon)^{-1/2}$$

$$= 2(u^*a^{1/2}xa^{1/2}u + v^*b^{1/2}xb^{1/2}v),$$

it follows that  $x \in \mathfrak{M}_{\varphi_{a+b}} \cap \mathcal{M}^+$ . Thus, (1) is completely proved. From (1) we infer that

$$(2) a \leqslant b \Rightarrow \varphi_a \leqslant \varphi_b.$$

Finally, if  $a \in \mathcal{M}^{\varphi}$ ,  $a \ge 0$ , and  $\{a_i\}_{i \in I} \subset \mathcal{M}^{\varphi}$  is a net of positive elements, then

$$a_i \uparrow a \Rightarrow \varphi_{a_i} \uparrow \varphi_a.$$

Indeed, let  $x \in \mathcal{M}^+$ . From (2) it follows that  $\sup_i \varphi_{a_i}(x) \leqslant \varphi_a(x)$ . On the other hand, since  $\varphi$  is normal (1.3) and  $a_i^{1/2}xa_i^{1/2} \stackrel{w}{\to} a^{1/2}xa^{1/2}$ , we have  $\varphi_a(x) = \varphi(a^{1/2}xa^{1/2}) \leqslant \liminf_i \varphi(a_i^{1/2}xa_i^{1/2}) \leqslant \sup_i \varphi_{a_i}(a)$ .

**4.4.** Let  $\varphi$  be a normal semifinite weight on the  $W^*$ -algebra  $\mathcal{M}$  and A a positive self-adjoint operator affiliated to  $\mathcal{M}^{\varphi}$  (A.16).

We shall consider the bounded positive operators

$$A_{\varepsilon} = A(1 + \varepsilon A)^{-1} \in \mathcal{M}^{\varphi} \qquad (\varepsilon > 0);$$

Recall (A.5) that  $A_{\varepsilon} \uparrow A$  for  $\varepsilon \downarrow 0$ . Also, for each  $n \in \mathbb{N}$ ,  $n \ge 1$ , let

$$e_n = \chi_{[1/n,n]}(A) \in \mathcal{M}^{\varphi};$$

we recall ([L], 9.9) that  $Ae_n$  is a bounded positive operator, invertible in  $e_n \mathcal{M}^{\varphi} e_n$ , and  $e_n \uparrow s(A)$ .

In view of 4.3.(2), a normal weight  $\varphi_A$  on  $\mathcal{M}$  is defined by

$$\varphi_A(x) = \sup_{\varepsilon > 0} \varphi_{A_{\varepsilon}}(x) = \lim_{\varepsilon \to 0} \varphi_{A_{\varepsilon}}(x) \qquad (x \in \mathcal{M}^+).$$

If A is bounded, then by 4.3.(3), we see that the weight  $\varphi_A$  defined here coincides with the weight defined in Section 4.1.

If  $x \in \mathcal{M}^+$  and s(x)s(A) = 0, then clearly  $\varphi_A(x) = 0$ . On the other hand, if  $x \in e_n(\mathfrak{M}_{\varphi} \cap \mathcal{M}^+)e_n \subset \mathfrak{M}_{\varphi} \cap \mathcal{M}^+ \subset \mathfrak{M}_{\varphi_{Ae_n}} \cap \mathcal{M}^+$ , then  $\varphi_A(x) = \lim_{\varepsilon \to 0} \varphi(A_s^{1/2}e_nxe_nA_\varepsilon^{1/2}) = \lim_{\varepsilon \to 0} \varphi((Ae_n)_s^{1/2}x(Ae_n)_\varepsilon^{1/2}) = \varphi_{Ae_n}(x) < +\infty$ . It follows that the weight  $\varphi_A$  is semifinite and  $s(\varphi_A) \leq s(A)$ .

Actually, we have

$$(1) s(\varphi_A) = s(A).$$

Indeed, if  $x \in \mathcal{M}^+$  and  $\varphi_A(x) = 0$ , then for every  $\varepsilon > 0$  we have  $\varphi(A_\varepsilon^{1/2} x A_\varepsilon^{1/2}) = 0$ ,  $A_\varepsilon^{1/2} x A_\varepsilon^{1/2} s(\varphi) = 0$ ,  $x A_\varepsilon = 0$ ,  $x s(A_\varepsilon) = 0$ , and hence x s(A) = 0. We remark that for every  $x \in \mathfrak{R}_\infty$  we have

(2) 
$$\varphi_{A}(x^{*}x) = \|\pi_{\varphi}(A)^{1/2}J_{\varphi}x_{\varphi}\|_{\varphi}^{2} \leqslant +\infty.$$

Indeed, using 2.21'.(1) and (A.5), we obtain

$$\begin{split} \varphi_A(x^*x) &= \lim_{\varepsilon \to 0} \varphi(A_\varepsilon^{1/2} x^* x A_\varepsilon^{1/2}) = \lim_{\varepsilon \to 0} \varphi(A_\varepsilon x^* x) = \lim_{\varepsilon \to 0} (x_\varphi | (x A_\varepsilon)_\varphi)_\varphi \\ &= \lim_{\varepsilon \to 0} (x_\varphi | J_\varphi \pi_\varphi(A_\varepsilon) J_\varphi x_\varphi)_\varphi = \|\pi_\varphi(A)^{1/2} J_\varphi \pi_\varphi\|_\varphi^2 \,. \end{split}$$

**4.5. Proposition.** Let  $\varphi$  be a normal semifinite weight on the  $W^*$ -algebra  $\mathcal{M}$  and let A, B be positive self-adjoint operators affiliated to  $\mathcal{M}^{\varphi}$ . Then:  $A \leq B \Leftrightarrow \varphi_A \leq \varphi_B$ .

*Proof.* If  $A \leq B$ , then (A.4) for every  $\varepsilon > 0$  we have  $A_{\varepsilon} \leq B_{\varepsilon}$ , so  $\varphi_{A_{\varepsilon}} \leq \varphi_{B_{\varepsilon}}$  by 4.3.(2), and hence  $\varphi_{A}(x) = \lim_{\varepsilon} \varphi_{A_{\varepsilon}}(x) \leq \lim_{\varepsilon} \varphi_{B_{\varepsilon}}(x) = \varphi_{B}(x)$  for all  $x \in \mathcal{M}^{+}$ , i.e.  $\varphi_{A} \leq \varphi_{B}$ .

Conversely, assume that  $\varphi_A \leq \varphi_B$ . Let  $\varepsilon > 0$  and  $f_n = \chi_{[0, n]}(B) \in \mathcal{M}^{\varphi}$  for each  $n \in \mathbb{N}$ . Then for every  $x \in \mathfrak{N}_{\varphi}$  we have (2.21.(1)):

$$(\pi_{\varphi}(f_n A_{\varepsilon} f_n) J_{\varphi} x_{\varphi} | J_{\varphi} x_{\varphi})_{\varphi} = \varphi_{A_{\varepsilon}}(f_n x^* x f_n) \leqslant \varphi_B(f_n x^* x f_n)$$
$$= (\pi_{\varphi}(Bf_n) J_{\varphi} x_{\varphi} | J_{\varphi} x_{\varphi})_{\varphi}$$

so that  $f_n A_{\varepsilon} f_n \leq B f_n$ . Since  $f_n \uparrow s(B) = s(\varphi_B) > s(\varphi_A) = s(A)$ , it follows that  $A_{\varepsilon} \leq B$ . Since  $A_{\varepsilon} \uparrow A$ , we conclude  $A \leq B$ .

**4.6. Proposition.** Let  $\varphi$  be a normal semifinite weight on the  $W^*$ -algebra  $\mathcal{M}$  and let A,  $\{A_i\}_{i\in I}$  be positive self-adjoint operators affiliated to  $\mathcal{M}^{\varphi}$ . Then:  $A_i \uparrow A \Leftrightarrow \varphi_{A_i}(x) \uparrow \varphi_A(x)$  for all  $x \in \mathcal{M}^+$ .

*Proof.* Assume that  $A_i \uparrow A$  and let  $x \in \mathcal{M}^+$ . From Proposition 4.5 it follows that  $\sup_i \varphi_{A_i}(x) \leqslant \varphi_A(x)$ . On the other hand, since  $A_i \uparrow A$ , we have  $(A_i)_{\epsilon} \uparrow A_{\epsilon}$  for all  $\epsilon > 0$  (A.5) and using 4.3.(3) we deduce  $\sup_i \varphi_{(A_i)_{\epsilon}}(x) = \varphi_{A_{\epsilon}}(x)$  for all  $\epsilon > 0$ . Consequently,  $\varphi_A(x) = \sup_i \varphi_{A_{\epsilon}}(x) = \sup_i \varphi_{A_{\epsilon}}(x) \leqslant \sup_i \varphi_{A_i}(x)$ .

Conversely, if  $\varphi_{A_i} \uparrow \varphi_A$ , then, by Proposition 4.5,  $\{A_i\}_{i \in I}$  is an increasing net bounded above by A. By (4.5) there exists a positive self-adjoint operator B such that  $A_i \uparrow B$ . It follows that B is affiliated to  $\mathcal{M}^{\varphi}$  and, by the first part of the proof,  $\varphi_{A_i} \uparrow \varphi_B$ . Consequently,  $\varphi_B = \varphi_A$ . Using Proposition 4.5 again we obtain  $A \leq B$  and  $B \leq A$ , that is (A.4) A = B.

In particular, with the notation of Section 4.4, we have the following equivalent definition of  $\varphi_A$ :

(1) 
$$\varphi_{A}(x) = \sup_{n} \varphi_{Ae_{n}}(x) = \lim_{n} \varphi_{Ae_{n}}(x) \qquad (x \in \mathcal{M}^{+}).$$

**4.7. Proposition.** Let  $\varphi$  be a normal semifinite weight on the W\*-algebra  $\mathcal{M}$  and A a positive self-adjoint operator affiliated to  $\mathcal{M}^{\varphi}$ . Then

$$\sigma_t^{\varphi_A}(x) = A^{\mathrm{i}t}\sigma_t^{\varphi}(x)A^{-\mathrm{i}t} \qquad (x \in \mathrm{s}(A)\mathrm{M}\mathrm{s}(A), \ t \in \mathbb{R}).$$

**Proof.** Assume the  $W^*$ -algebra  $\mathcal{M}$  realized as a von Neumann algebra  $\mathcal{M} \subset \mathcal{B}(\mathcal{H})$ . Then  $A|s(A)\mathcal{H}$  is a non-singular positive self-adjoint operator on the Hilbert space  $s(A)\mathcal{H}$ , so that for each  $t \in \mathbb{R}$  we can form the unitary operator  $A^{it} = (A|s(A))^{it}$  on  $s(A)\mathcal{H}$ . Of course, the operator  $A^{it}$  can also be regarded as a partial isometry in  $\mathcal{M}$ .

We shall use the notation introduced in Section 4.4.

Let  $n \in \mathbb{N}$  be fixed. Then  $\varphi_{e_n}$  is an n.s.f. weight on the  $W^*$ -algebra  $e_n \mathcal{M} e_n$  and  $Ae_n$  is a bounded invertible positive operator in  $e_n \mathcal{M}^{\varphi} e_n$ , which is the centra-

lizer of the weight  $\varphi_{e_n}$ . Using 4.6.(1) we see that  $(\varphi_A)_{e_n} = (\varphi_{e_n})_{Ae_n}$  as n.s.f. weights on  $e_n \mathcal{M} e_n$ . Thus, taking into account 2.22.(3) and 4.2.(4), for  $x \in e_n \mathcal{M} e_n$  we obtain

$$\sigma_t^{\varphi_A}(x) = \sigma_t^{(\varphi_A)e_n}(x) = \sigma_t^{(\varphi_{e_n})Ae_n}(x) = (Ae_n)^{it}\sigma_t^{\varphi_{e_n}}(x)(Ae_n)^{-it}$$
$$= A^{it}e_n\sigma_t^{\varphi}(x)e_nA^{-it} = A^{it}\sigma_t^{\varphi}(x)A^{-it}.$$

Since  $e_n \uparrow s(A)$ , the set  $\bigcup e_n \mathcal{M} e_n$  is w-dense in  $s(A) \mathcal{M} s(A)$ . Thus, the assertion of the Proposition follows.

**4.8.** Corollary. Let  $\varphi$  be a normal semifinite weight on the W\*-algebra  $\mathcal{M}$  and Aa positive self-adjoint operator affiliated to M. Then

$$[D\varphi_A: D\varphi]_t = A^{it} \qquad (t \in \mathbb{R}).$$

*Proof.* Consider the balanced weights  $\theta = \theta(\varphi, \varphi)$  and  $\tau = \theta(\varphi, \varphi_A)$  on  $Mat_2(\mathcal{M})$ . Then  $\tau = \theta_B$  with  $B = \begin{pmatrix} 1 & 0 \\ 0 & A \end{pmatrix}$ . By Proposition 4.7 we have  $\sigma_i(X) = 0$ 

$$=B^{it}\sigma_t^{\theta}(X)B^{-it} \text{ for all } X \in \mathbf{s}(B)[Mat_2(\mathcal{M})]\mathbf{s}(B). \text{ In particular, for } X = \begin{pmatrix} 0 & 0 \\ \mathbf{s}(A) & 0 \end{pmatrix}$$
 we obtain the desired result.

From the above Corollary and from Corollary 3.6 it follows again (see 4.5) that  $\varphi_A = \varphi_R \Leftrightarrow A = B$ .

4.9. Corollary. Let  $\varphi$  be an n.s.f. weight on the W\*-algebra  $\mathcal M$  and let A,B be two commuting positive self-adjoint operators affiliated to  $\mathcal{M}^{\varphi}$ . Then the weights  $\varphi_{\overline{AB}}$ ,  $(\varphi_A)_{B}$ ,  $(\varphi_B)_A$  are defined and equal:

$$(\varphi_A)_B = \varphi_{\overline{AB}} = (\varphi_B)_A$$
.

*Proof.* Since A and B commute, the closure AB of AB is a positive selfadjoint operator and  $(AB)^{it} = A^{it}B^{it}$  $(t \in \mathbb{R})$  (A.6). It is easy to check that  $\overline{AB}$ (resp. A, B) is affiliated to the centralizer of  $\varphi$  (resp.  $\varphi_B$ ,  $\varphi_A$ ), hence the weights  $\varphi_{\overline{AB}}$ ,  $(\varphi_A)_B$ ,  $(\varphi_B)_A$  are defined. Using Corollaries 3.5 and 4.8 we see that the Connes cocycles of these weights with respect to  $\varphi$  coincide and hence, by Corollary 3.6, these weights are equal.

- **4.10.** Theorem (G. K. Pedersen, M. Takesaki). Let  $\varphi$  be an n.s.f. weight on the  $W^*$ -algebra  $\mathcal{M}$  and  $\psi$  a normal semifinite weight on M. The following conditions are equivalent:
  - (i)  $\psi \circ \sigma_t^{\varphi} = \psi$  for all  $t \in \mathbb{R}$ ;

  - (ii)  $[D\psi: D\varphi]_t \in \mathcal{M}^{\psi}$  for all  $t \in \mathbb{R}$ ; (iii)  $[D\psi: D\varphi]_t \in \mathcal{M}^{\varphi}$  for all  $t \in \mathbb{R}$ ;
  - (iv)  $\{[D\psi: D\varphi]_t\}_{t\in\mathbb{R}}$  is an s-continuous group of unitary elements of  $s(\psi)\mathcal{M}s(\psi)$ ;
- (v) there exists a positive self-adjoint operator A affiliated to  $\mathcal{M}^{\varphi}$  such that  $\psi = \varphi_A$ .

If moreover  $\psi$  is faithful, then also the following statement is equivalent to those above:

(vi)  $\varphi \circ \sigma_t^{\psi} = \varphi$  for all  $t \in \mathbb{R}$ .

*Proof.* Let  $u_t = [D\psi : D\varphi]_t$   $(t \in \mathbb{R})_t$ .

(i)  $\Rightarrow$  (ii). From (i) it follows that  $s(\psi)$  and  $\mathcal{M}^{\psi}$  are  $\sigma^{\varphi}$ -invariant. In particular, for every  $t \in \mathbb{R}$  we have

$$\sigma_{-t}^{\varphi}(u_t)\sigma_{-t}^{\varphi}(u_t)^* = \mathbf{s}(\psi) = \sigma_{-t}^{\varphi}(u_t)^*\sigma_{-t}^{\varphi}(u_t).$$

Then, for every  $x \in (s(\psi) \mathcal{M} s(\psi))^+$  and every  $t \in \mathbb{R}$  we obtain

$$\psi(\mathbf{s}(\psi)x\mathbf{s}(\psi)) = \psi(x) = \psi(\sigma_i^{\psi}(x)) = \psi(u_i\sigma_i^{\varphi}(x)u_i^*)$$
$$= \psi(\sigma_i^{\varphi}(\sigma_i^{\varphi},(u_i)x\sigma_i^{\varphi},(u_i^*))) = \psi(\sigma_i^{\varphi},(u_i)x\sigma_i^{\varphi},(u_i)^*).$$

By Proposition 2.21 it follows that  $\sigma_{-t}^{\varphi}(u_t) \in \mathcal{M}^{\psi}$ , and hence  $u_t = \sigma_t^{\varphi}(\sigma_{-t}^{\varphi}(u_t)) \in \mathcal{M}^{\psi}$ . (ii)  $\Rightarrow$  (iv). From (ii) it follows that  $u_t = \sigma_t^{\psi}(u_t) = u_t \sigma_t^{\varphi}(u_t) u_t^{\psi} = u_{t+t} u_t^{\psi}$  and

therefore  $u_{s+t} = u_s u_s$ , for all  $s, t \in \mathbb{R}$ .

(iii)  $\Leftrightarrow$  (iv). If  $u_t \in \mathcal{M}^{\varphi}$ , then  $u_{s+t} = u_s \sigma_s^{\varphi}(u_t) = u_s u_t$ . Conversely, if  $u_s u_t =$ 

 $=u_{s+1}=u_s\sigma_s^{\varphi}(u_s)$ , then  $u_s=\sigma_s^{\varphi}(u_s)$ , hence  $u_s\in\mathcal{M}^{\varphi}$ .

(iv)  $\Rightarrow$  (v). If the condition (iv) holds then, by Stone's theorem ([L], 9.20), there exists a positive self-adjoint operator A affiliated to  $\mathcal{M}^{\varphi}$ , with  $s(A) = s(\psi)$ , such that  $[D\psi: D\varphi]_t = A^{it} = [D\varphi_A: D\varphi]_t$  ( $t \in \mathbb{R}$ ). By, Corollary 3.6 it follows that  $\psi = \varphi_A$ .

 $(v) \Rightarrow (i)$ . This follows obviously from the definition of  $\varphi_A$ .

If  $\psi$  is faithful, then (vi) is equivalent to the other conditions owing to the symmetry between (ii) and (iii).

If the above equivalent conditions are satisfied we shall say that the normal semifinite weight  $\psi$  commutes with the n.s.f. weight  $\varphi$  (see also [L], Cor. 10.28). Thus, the weights of the form  $\varphi_A$  are exactly the weights commuting with  $\varphi$ .

Clearly, if  $\psi$  commutes with  $\varphi$ , then  $s(\psi) \in \mathcal{M}^{\varphi}$ .

**4.11. Corollary.** Let  $\varphi$  be an n.s.f. weight on the W\*-algebra  $\mathcal{M}$  with centre  $\mathcal{L}(\mathcal{M})$  and  $\psi$  a normal semifinite weight on  $\mathcal{M}$ . The following conditions are equivalent:

(i)  $\psi$  satisfies the KMS condition with respect to  $\{\sigma_t^{\varphi}\}_{t\in\mathbb{R}}$ ;

(ii)  $s(\psi) \in \mathcal{Z}(\mathcal{M})$  and  $\sigma_t^{\psi} = \sigma_t^{\varphi} | \mathcal{M}s(\psi)$  for all  $t \in \mathbb{R}$ ;

(iii) there exists a positive self-adjoint operator A affiliated to  $\mathscr{L}(\mathcal{M})$  such that  $\psi = \varphi_A$ .

*Proof.* Let  $q = s(\psi)$  and p = 1 - q.

(i)  $\Rightarrow$  (ii). Since  $\psi \circ \sigma_i^{\varphi} = \psi$ , we have  $p, q \in \mathcal{M}^{\varphi}$ . Let  $x, y \in \mathfrak{N}_{\psi} \cap \mathfrak{N}_{\psi}^*$ . Then  $\psi((xp)^*(xp)) = \psi(px^*xp) = 0$  and  $\psi((py)^*(py)) = \psi(y^*py) \leq \psi(y^*y) < +\infty$ , hence  $xp, py \in \mathfrak{N}_{\psi} \cap \mathfrak{N}_{\psi}^*$ . By assumption, there exists a function f, defined, continuous and bounded on the strip  $\{\alpha \in \mathbb{C}: 0 \leq \mathbb{R} \in \alpha \leq 1\}$ , analytic in the interior of this strip, such that  $f(it) = \psi(\sigma_i^{\varphi}(xp)py) = \psi(\sigma_i^{\varphi}(x)py)$  and  $f(1+it) = \psi(py\sigma_i^{\varphi}(xp)) = 0$  for all  $t \in \mathbb{R}$ . It follows that f is identically zero, in particular  $\psi(\sigma_i^{\varphi}(x)py) = 0$   $(t \in \mathbb{R})$ .

Consequently,  $\psi(xpx^*) = 0$  for every  $x \in \mathfrak{R}_{\psi} \cap \mathfrak{R}_{\psi}^*$ , so that  $xpx^* = pxpx^*p$  for all  $x \in \mathcal{M}$ . In particular, for  $x = v \in \mathcal{M}$ , unitary, we have  $vpv^* \leq p$ ,  $vpv^* = p$  and therefore  $p \in \mathscr{Z}(\mathcal{M})$  and  $s(\psi) = q = 1 - p \in \mathscr{Z}(\mathcal{M})$ .

Now, the restriction of  $\psi$  to  $\mathcal{M}q$  is an n.s.f. weight on  $\mathcal{M}q$  which satisfies the KMS condition with respect to  $\{\sigma_{\ell}^{\varphi}|\mathcal{M}q\}_{\ell\in\mathbb{R}}$ , so that  $\sigma_{\ell}^{\varphi}|\mathcal{M}q = \sigma_{\ell}^{\psi}(t\in\mathbb{R})$ ,

by 2.12.(11).

(ii)  $\Rightarrow$  (iii). From (ii) it follows that  $\psi \circ \sigma_i^{\varphi} = \psi$ ,  $(t \in \mathbb{R})$ . By Theorem 4.10 we have  $\psi = \varphi_A$  for some positive self-adjoint operator A affiliated to  $\mathcal{M}^{\varphi}$ . Then for every  $x \in \mathcal{M}q$  and every  $t \in \mathbb{R}$  we have  $\sigma_i^{\varphi}(x) = \sigma_i^{\psi}(x) = A^{it}\sigma_i^{\varphi}(x)A^{-it}$ , hence A is affiliated to  $\mathcal{L}(\mathcal{M})q \subset \mathcal{L}(\mathcal{M})$ .

Finally, the implication (iii)  $\Rightarrow$  (ii) follows from Proposition 4.7, as

 $s(\psi) = s(A) \in \mathcal{Z}(\mathcal{M})$ , while the implication (ii)  $\Rightarrow$  (i) is obvious.

The equivalent condition in the above Corollary hold, for instance, if  $\varphi, \psi$  are n.s.f. traces on  $\mathcal{M}$ .

**4.12.** From ([L], 10.29) we know that a  $W^*$ -algebra  $\mathcal{M}$  is semifinite if and only if there exist an n.s.f. weight  $\varphi$  on  $\mathcal{M}$  and an s-continuous one-parameter group  $\{u_t\}_{t\in\mathbb{R}}$  of unitary operators in  $\mathcal{M}$  such that  $\sigma_t^{\varphi}(x) = u_t x u_t^*$ ,  $(x \in \mathcal{M}, t \in \mathbb{R})$ . The preceding results allow a simple proof of this theorem.

If  $\mu$  is an n.s.f. trace on  $\mathcal{M}$  and  $\varphi$  is any n.s.f. weight on  $\mathcal{M}$ , then  $u_t = [D\varphi: D\mu]_t (t \in \mathbb{R})$  satisfies the required condition because any trace commutes with any weight. Conversely, if this condition is satisfied and A is the unique positive self-adjoint operator such that  $A^{-it} = u_t (t \in \mathbb{R})$ , then  $\mu = \varphi_A$  is an n.s.f. trace on  $\mathcal{M}$  because  $\sigma_t^{\mu}(x) = x(x \in \mathcal{M}, t \in \mathbb{R})$ .

**4.13.** Corollary. Let  $\varphi$  be an n.s.f. weight on the W\*-algebra  $\mathcal{M}$  of type III and  $\beta \in \mathbb{R}$ ,  $\beta \neq 1$ . Then no n.s.f. weight on  $\mathcal{M}$  satisfies the KMS condition with respect to  $\{\sigma_{R}^{\varphi}\}_{t \in \mathbb{R}}$ .

*Proof.* Assume to the contrary; then there exists an n.s.f. weight  $\psi$  on  $\mathcal{M}$  such that  $\sigma_{\beta t}^{\varphi} = \sigma_{t}^{\psi}$ ,  $(t \in \mathbb{R})$ , in particular  $\psi$  commutes with  $\varphi$ . Consequently, there exists a positive self-adjoint operator A affiliated to  $\mathcal{M}^{\varphi}$  such that  $\psi = \varphi_{A}$ . It follows that  $\sigma_{\beta t}^{\varphi}(x) = A^{it}\sigma_{\tau}^{\varphi}(x)A^{-it}$   $(x \in \mathcal{M}, t \in \mathbb{R})$ . Putting  $\alpha = (\beta - 1)^{-1}$ , we obtain  $\sigma_{\tau}^{\varphi}(x) = (A^{\alpha})^{it}x(A^{\alpha})^{-it}$   $(x \in \mathcal{M}, t \in \mathbb{R})$ , contradicting the fact that  $\mathcal{M}$  is not semifinite (4.12).

**4.14.** Let  $\varphi, \psi$  be n.s.f. weights on the  $W^*$ -algebra  $\mathscr{M}$  and  $\sigma \in Aut(\mathscr{M})$ . By 2.22.(5) we get

(1) 
$$\varphi \circ \sigma = \varphi \Rightarrow \sigma_t^{\varphi} \circ \sigma = \sigma \circ \sigma_t^{\varphi} \text{ for all } t \in \mathbb{R}.$$

In particular,

(2) 
$$\psi$$
 commutes with  $\varphi \Rightarrow \sigma_t^{\varphi} \circ \sigma_s^{\psi} = \sigma_s^{\psi} \circ \sigma_t^{\varphi}$  for all  $s, t \in \mathbb{R}$ .

In general, the converse of (1) is not true. For instance there exist measurable but not measure-preserving transformations on measure spaces. Even with the supplementary assumption that  $\sigma$  acts identically on the centre  $\mathscr{Z}(\mathcal{M})$  of  $\mathcal{M}$ , the

converse of (1) need not hold, as there exist \*-automorphisms of type  $II_{\infty}$ -factors which do not preserve the trace.

Also, the converse of statement (2) is not valid in general, as we shall see in the next section in an important example. Following this example we shall say that the weights  $\varphi$ ,  $\psi$  anticommute if they do not commute but the corresponding modular automorphism groups commute.

However, there are certain special cases in which the converses of (1) and (2) are true. These cases are considered in Sections 4.17—4.20.

**4.15.** Consider the Hilbert space  $\mathcal{H} = \mathcal{L}^2(\mathbb{R})$  and the operators  $u_i, v_i \in \mathcal{B}(\mathcal{H})$  defined by

$$(u_t\xi)(r) = \xi(r+t), (v_t\xi)(r) = e^{isr}\xi(r) \qquad (\xi \in \mathcal{H}, r, s, t \in \mathbb{R}).$$

Then  $\{u_t\}_{t\in\mathbb{R}}$  and  $\{v_s\}_{s\in\mathbb{R}}$  are so-continuous one-parameter groups of unitary operators on  $\mathcal{H}$ , which satisfy the following anticommutation relations:

$$v_{x}u_{t}=e^{-its}u_{t}v_{s} \qquad (s, t \in \mathbb{R}).$$

By Stone's theorem ([L], 9.20) there exist positive self-adjoint operators A, B in  $\mathcal{H}$ , uniquely determined, such that  $u_t = A^{it}$   $(t \in \mathbb{R})$ , and  $v_s = B^{is}$   $(s \in \mathbb{R})$ . Thus  $B^{is}A^{it} = e^{-its}A^{it}B^{is}$   $(s, t \in \mathbb{R})$ , and using the definition of the operator A ([L], 9.20) we infer that

$$(2) B^{-is}AB^{is} = e^{s}A (s \in \mathbb{R}).$$

Consider the  $W^*$ -algebra  $\mathcal{M} = \mathcal{B}(\mathcal{H})$  with the canonical trace tr and the n.s.f. weights  $\varphi = tr_A$ ,  $\psi = tr_B$  on  $\mathcal{M}$ . Then, by Proposition 4.7, we have  $\sigma_i^{\varphi} = \mathrm{Ad}(u_t)$ ,  $\sigma_s^{\psi} = \mathrm{Ad}(v_s)$  (s,  $t \in \mathbb{R}$ ), and it follows from (1) that

(3) 
$$\sigma_t^{\varphi} \circ \sigma_s^{\psi} = \sigma_s^{\psi} \circ \sigma_t^{\varphi} \qquad (s, t \in \mathbb{R}).$$

However,  $\varphi$  and  $\psi$  do not commute, more precisely we have

$$\varphi \circ \sigma_s^{w} = e^s \varphi \qquad (s \in \mathbb{R}).$$

Indeed, let  $x \in \mathcal{M}^+$  and  $A_{\varepsilon} = A(1 + \varepsilon A)^{-1}$  ( $\varepsilon > 0$ ). From (2) it follows that  $B^{-is}A_{\varepsilon}B^{is} = (e^sA)_{\varepsilon}$  ( $s \in \mathbb{R}$ ,  $\varepsilon > 0$ ), and therefore

$$\begin{split} \varphi(\sigma_s^{\psi}(x)) &= tr_A(B^{is}xB^{-is}) = \lim_{\epsilon \to 0} tr(A_{\epsilon}^{1/2}B^{is}xB^{-is}A_{\epsilon}^{1/2}) \\ &= \lim_{\epsilon \to 0} tr(x^{1/2}B^{-is}A_{\epsilon}B^{is}x^{1/2}) = \lim_{\epsilon \to 0} tr(x^{1/2}(e^sA)_{\epsilon}x^{1/2}) \\ &= \lim_{\epsilon \to 0} tr((e^sA)_{\epsilon}^{1/2}x(e^sA)_{\epsilon}^{1/2}) = tr_{\epsilon^sA}(x) = e^s\varphi(x). \end{split}$$

**4.16.** Let  $\varphi$  be an n.s.f. weight on the  $W^*$ -algebra  $\mathcal{M}$ ,  $\sigma \in Aut(\mathcal{M})$  and A a positive self-adjoint operator affiliated to  $\mathcal{M}$ .

The operator A is affiliated to  $\mathcal{M}^{\varphi \circ \sigma}$  if and only if the operator  $\sigma(A)$  is affiliated to  $\mathcal{M}^{\varphi}$  (see 2.22.(6) and [L], 9.25) and in this case we have

$$(0) \qquad (\varphi \circ \sigma)_{A} = \varphi_{\sigma(A)} \circ \sigma.$$

Using Corollary 4.8 it follows that if A is affiliated to  $\mathcal{M}^{\varphi}$ , then

(2) 
$$\varphi \circ \sigma = \varphi, \ \varphi_A \circ \sigma = \varphi_A \Rightarrow \sigma(A) = A.$$

Note that the set of all non-singular positive self-adjoint operators affiliated to the centre  $\mathscr{Z}(\mathcal{M})$  of  $\mathcal{M}$  is a group with respect to the operation  $(A, B) \mapsto \overline{AB}$ .

**Proposition.** Let  $\varphi$  be an n.s.f. weight on the W\*-algebra  $\mathcal{M}$  and  $\sigma: G \to Aut(\mathcal{M})$  an action of the group G on  $\mathcal{M}$ . We assume that each \*-automorphism  $\sigma_g$   $(g \in G)$ , acts identically on  $\mathcal{L}(\mathcal{M})$ . Then the following statements are equivalent:

(i)  $\sigma_a \circ \sigma_t^{\varphi} = \sigma_t^{\varphi} \circ \sigma_a$  for every  $g \in G$  and every  $t \in \mathbb{R}$ ;

(ii) there exists a homomorphism  $g \mapsto A_g$  of the group G into the group of all non-singular positive self-adjoint operators affiliated to  $\mathcal{Z}(\mathcal{M})$  such that  $\phi \circ \sigma_g = \phi_{A_g}$  for every  $g \in G$ .

If moreover  $G = \mathbb{R}$  and the action  $\sigma : \mathbb{R} \to Aut(\mathcal{M})$  is continuous, then the following statement is equivalent to (i) and (ii).

(iii) there exists a non-singular positive self-adjoint operator A affiliated to  $\mathscr{Z}(\mathcal{M})$  such that  $\varphi \circ \sigma_s = \varphi_{A^s}$  for every  $s \in \mathbb{R}$ .

*Proof.* It is clear that (iii)  $\Rightarrow$  (ii), and (ii)  $\rightarrow$  (i) follows by using Corollary 4.11 and 2.22.(5). With the same arguments, from (i) it follows that for each  $g \in G$  there exists a unique  $A_g$  such that  $\varphi \circ \sigma_g = \varphi_{A_g}$ . Since each  $\sigma_g$  acts identically on  $\mathscr{Z}(\mathcal{M})$ , for  $g, h \in G$  we obtain

$$\varphi_{A_{gh}} = (\varphi \circ \sigma_g) \circ \sigma_h = \varphi_{A_g} \circ \sigma_h = (\varphi \circ \sigma_h)_{A_g} = (\varphi_{A_h})_{A_g} = \varphi_{\overline{A_a A_b}}$$

by Corollary 4.9. Hence (i)  $\Rightarrow$  (ii).

We now show that (ii)  $\Rightarrow$  (iii). By assumption there exists a homomorphism  $\mathbb{R} \ni s \mapsto A_s$  such that  $\varphi \circ \sigma_s = \varphi_{A_s}$  ( $s \in \mathbb{R}$ ). Let  $A = A_1$ . Since  $A_{s+t} = \overline{A_s A_t}$ , it follows that  $A_s = A^s$  for every rational number  $s \in \mathbb{R}$ .

Let  $e_n = \chi_{[1/n, n]}$  (A) and  $x \in \mathfrak{N}_{\varphi}$ . The function

(3) 
$$\mathbb{R}\ni s\mapsto \varphi(A^se_nx^*x)=\|\pi_{\varphi}(A^se_n)^{1/2}x_{\varphi}\|_{\varphi}^2$$

is continuous and bounded. On the other hand we have

$$\varphi(\sigma_s(e_nx^*x)) = \lim_{\varepsilon \to 0} \varphi((A_s)_{\varepsilon}e_nx^*x)$$

$$= \lim_{s \to 0} \|\pi_{\varphi}(A_s)_s^{1/2} \pi_{\varphi}(e_n) x_{\varphi}\|_{\varphi}^2 = \|\pi_{\varphi}(A_s e_n)^{1/2} x_{\varphi}\|_{\varphi}^2.$$

Since the weight  $\varphi$  is normal, it follows that the function

(4) 
$$\mathbb{R}\ni s\mapsto \varphi(\sigma_s(e_nx^*x))=\|\pi_{\varphi}(A_se_n)^{1/2}x_{\varphi}\|_{\varphi}^2\in[0,+\infty]$$

is lower w-semicontinuous. As the functions (3) and (4) coincide for every rational  $s \in \mathbb{R}$  and every  $x \in \mathfrak{N}_{\omega}$ , we infer that

$$A_s e_n \leqslant A^s e_n \quad (s \in \mathbb{R}).$$

Consequently,  $s \mapsto (A_s e_n)(A^s e_n)^{-1}$  is a one-parameter group of positive operators with norm  $\leq 1$  on  $e_n \mathcal{H}$ . Since the only such group is the trivial one, it follows that  $A_s e_n = A^s e_n$ , and, since  $e_n \uparrow 1$ , we conclude that  $A_s = A^s$  for all  $s \in \mathbb{R}$ .

Let  $\sigma: G \to Aut(\mathcal{M})$  be an action of G on  $\mathcal{M}$  which is trivial on  $\mathcal{Z}(\mathcal{M})$  and satisfies the equivalent conditions (i) and (ii) of the above Proposition. Then  $G_0 = \{g \in G; \ \hat{\varphi} \circ \sigma_g = \varphi\}$  is the kernel of the homomorphism  $g \mapsto A_g$  and hence a normal subgroup of G. Since  $\{A_g\}_{g\in G}$  is an abelian group, it follows that the quotient group  $G/G_0$  is abelian.

- **4.17.** Corollary. Let  $\varphi$  be a faithful normal state on the W\*-algebra  $\mathcal{M}$  and  $\sigma: G \to \mathcal{M}$  $\rightarrow$  Aut(M) an action of the group G on M which is trivial on  $\mathcal{Z}(\mathcal{M})$ . Then the following statements are equivalent:

  - (i) φ∘ σ<sub>g</sub> = φ for all g∈ G;
    (ii) σ<sup>φ</sup><sub>t</sub> ∘ σ<sub>g</sub> = σ<sub>g</sub> ∘ σ<sup>φ</sup><sub>t</sub> for all g∈ G and all t∈ ℝ.

*Proof.* By 4.14.(1) we know that (i)  $\Rightarrow$  (ii). If (ii) holds, then we can write  $\varphi \circ \sigma_g = \varphi_{A_{\sigma}}$   $(g \in G)$ , as in Proposition 4.16. Let  $g \in G$ ,  $\varepsilon > 0$  and let  $e_{\varepsilon} = \chi_{[1+\varepsilon, +\infty)}(A_g)$ . Then for every  $k \in \mathbb{N}$  we get  $\|\phi\| \geqslant \varphi(\sigma_g^k(e_{\varepsilon})) = \varphi(A_g^k e_{\varepsilon}) = (1+\varepsilon)^k \varphi(e_{\varepsilon})$ , hence  $e_{\varepsilon} = 0$ . It follows that  $A_g \leqslant 1$ , so  $1 \leqslant A_g^{-1} = A_{g^{-1}} \leqslant 1$ and hence  $A_o = 1$  for all  $g \in G$ .

- **4.18.** Corollary. Let  $\varphi, \psi$  be n.s.f. weights on the W\*-algebra  $\mathcal{M}$ . If  $\varphi$  is finite, or if  $\phi \leq \psi$ , then the following are equivalent:
  - (i)  $\varphi$  commutes with  $\psi$ ;
  - (ii)  $\sigma_t^{\varphi} \circ \sigma_s^{\psi} = \sigma_s^{\psi} \circ \sigma_t^{\varphi}$  for all  $s, t \in \mathbb{R}$ .

*Proof.* By 4.14.(2) we know that (i)  $\Rightarrow$  (ii). Also, if  $\varphi$  is finite, then (ii)  $\Rightarrow$  (i) by Corollary 4.17.

Assume that (ii) holds and  $\varphi \leqslant \psi$ . By Proposition 4.16 there exists a nonsingular positive self-adjoint operator A affiliated to the centre  $\mathscr{Z}(\mathcal{M})$  such that  $\varphi \circ \sigma_s^{\psi} = \varphi_{A^s}, (s \in \mathbb{R}). \text{ Let } e_s = \chi_{[1+s,+\infty)}(A). \text{ For } x \in \mathfrak{M}_{\psi} \cap \mathcal{M}^+ \text{ and } s \in \mathbb{R}, s \geqslant 0,$ we have  $+\infty > \psi(x) \geqslant \psi(e_{\varepsilon}x) = \psi(e_{\varepsilon}\sigma_s^{\psi}(x)) \geqslant \varphi(e_{\varepsilon}\sigma_s^{\psi}(x)) = \varphi(A^s e_{\varepsilon}x) \geqslant (1+\varepsilon)^s \varphi(e_{\varepsilon}x).$ Consequently,  $\varphi(e_{\epsilon}x) = 0$ . Since  $\varphi$  is normal and semifinite, it follows that  $\varphi(e_{\epsilon}) = 0$ ; since  $\varphi$  is faithful we conclude  $e_{\varepsilon} = 0$ . Thus,  $A \leq 1$ . Similarly, using  $f = \chi_{[0, 1-\varepsilon]}(A)$ , we get  $A \geqslant 1$ . Hence A = 1 and  $\varphi$  commutes with  $\psi$ .

**4.19.** Let  $\mathcal{M}$  be a  $W^*$ -algebra and  $\varphi \in \mathcal{M}_*$ . Put

$$\mathfrak{L}_{\varphi} = \{x \in \mathcal{M}; \ \varphi(ax) = 0 \text{ for all } a \in \mathcal{M}\},$$

$$\Re_{\varphi} = \{x \in \mathcal{M}; \ \varphi(xa) = 0 \text{ for all } a \in \mathcal{M}\}.$$

Then  $\mathfrak{L}_{\varphi}$  (resp.  $\mathfrak{R}_{\varphi}$ ) is a w-closed left (resp. right) ideal of  $\mathscr{M}$ , hence ([L], 3.20) there exists a unique projection  $e \in \mathscr{M}$  (resp.  $f \in \mathscr{M}$ ) such that  $\mathfrak{L}_{\varphi} = \mathscr{M}e$  (resp.  $\mathfrak{R}_{\varphi} = f\mathscr{M}$ ). The projection  $\mathbf{r}(\varphi) = 1 - e$  (resp.  $\mathbf{l}(\varphi) = 1 - f$ ) is called the right support of  $\varphi$  (resp. the left support of  $\varphi$ ) in  $\mathscr{M}$ . Thus,

(1) 
$$\mathfrak{L}_{\varphi} = \mathcal{M}(1 - \mathbf{r}(\varphi)), \quad \mathfrak{R}_{\varphi} = (1 - \mathbf{l}(\varphi))\mathcal{M}.$$

Since  $1 - \mathbf{r}(\varphi) \in \mathfrak{L}_{\varphi}$  and  $1 - \mathbf{l}(\varphi) \in \mathfrak{R}_{\varphi}$ , we get

(2) 
$$\varphi = \varphi(\cdot \mathbf{r}(\varphi)) = \varphi(\mathbf{l}(\varphi)\cdot).$$

Also, using the Hahn-Banach theorem, we infer from (1) that

$$\{\varphi(a\cdot); a\in \mathcal{M}\}\$$
is norm-dense in  $\mathcal{M}_*\cdot\mathbf{r}(\varphi)$ ,

(3) 
$$\{\varphi(\cdot a); a \in \mathcal{M}\}\$$
is norm-dense in  $\mathbf{l}(\varphi) \cdot \mathcal{M}_*$ .

Since  $\Omega_{\varphi} = (\mathfrak{R}_{\varphi^*})^*$ , we have  $\mathbf{r}(\varphi) = \mathbf{l}(\varphi^*)$ . In particular, if  $\varphi = \varphi^*$ , then  $\mathbf{r}(\varphi) = \mathbf{l}(\varphi)$  is called the *support* of  $\varphi$  and is denoted by  $\mathbf{s}(\varphi)$ . If  $\varphi$  is positive, then the Schwarz inequality implies  $\{x \in \mathcal{M}; \ \varphi(x^*x) = 0\} = \Omega_{\varphi} = \mathcal{M}(1 - \mathbf{s}(\varphi))$ , hence  $\mathbf{s}(\varphi)$  is the usual (2.1) support of  $\varphi$ .

Consider now  $\varphi$ ,  $\psi \in \mathcal{M}_{*}^{+}$ . It is easy to check that if  $\varphi$  is faithful, then the left and right supports of the forms  $\varphi + i\psi$  and  $\varphi - i\psi$  are all equal to 1, hence their absolute values  $|\varphi + i\psi|$  and  $|\varphi - i\psi|$  are faithful normal positive forms and the partial isometries from the corresponding polar decompositions are unitary elements ([L], 5.16, E.5.10).

**Proposition.** Let  $\varphi$ ,  $\psi$  be normal positive forms on the W\*-algebra  $\mathcal{M}$  and  $s(\varphi) = 1$ . Then the following conditions are equivalent:

- (i)  $\psi$  commutes with  $\varphi$ ;
- (ii)  $|\varphi + i\psi| = |\varphi i\psi|$ ;
- (iii) [assume also  $s(\psi) = 1$ ]  $\sigma_t^{\varphi} \circ \sigma_s^{\psi} = \sigma_s^{\psi} \circ \sigma_t^{\varphi}$  for all  $s, t \in \mathbb{R}$ .

*Proof.* The equivalence (i)  $\Leftrightarrow$  (iii) follows from 4.18.

(i)  $\Rightarrow$  (ii). By assumption (4.10) we have  $\psi = \varphi_A$  for some positive self-adjoint operator A affiliated to  $\mathcal{M}^{\varphi}$ . It follows that

$$(\varphi + i\psi)(\cdot (1 + iA)^{-1}) = \varphi = (\varphi - i\psi)(\cdot (1 - iA)^{-1}),$$

hence  $u = (1 - iA)(1 + iA)^{-1} \in \mathcal{M}^{\varphi}$  is a unitary operator and we have  $(\varphi + i\psi)(\cdot u) = \varphi - i\psi$ .

If  $\varphi + i\psi = \omega(\cdot v)$  is the polar decomposition of  $\varphi + i\psi$ , then  $\varphi - i\psi = \omega(\cdot uv)$  is the polar decomposition of  $\varphi - i\psi$ , and hence  $|\varphi + i\psi| = \omega = |\varphi - i\psi|$ .

(ii)  $\Rightarrow$  (i). Let  $\varphi + i\psi = \omega(\cdot v)$  be the polar decomposition of  $\varphi + i\psi$ , with  $\omega = |\varphi + i\psi|$  and  $v \in \mathcal{M}$  unitary. By assumption we have  $\omega = |\varphi - i\psi| = |(\varphi + i\psi)^*| = \omega(v^* \cdot v)$ . It follows that  $\varphi + i\psi = (\varphi + i\psi)(v^* \cdot v)$ , that is  $\varphi = \varphi(v^* \cdot v)$ 

and  $\psi = \psi(v^* \cdot v)$ . By Proposition 2.21 we infer that  $v \in \mathcal{M}^{\varphi}$ . On the other hand, we have  $\varphi + i\psi = \omega(\cdot v) = \omega(\cdot v^2 v^*) = (\varphi - i\psi)(\cdot v^2)$ , that is  $\varphi(\cdot (v^2 - 1)) = i\psi(\cdot (v^2 + 1))$ . Since  $v \in \mathcal{M}^{\varphi}$ , it follows that  $\psi(\cdot (v^2 + 1))$  is  $\sigma_i^{\varphi}$ -invariant  $(t \in \mathbb{R})$ .

For fixed  $t \in \mathbb{R}$  let  $e = s(\psi - \psi \circ \sigma_t^{\varphi})$ . By the above arguments we have  $(v^2 + 1)e = 0$ , hence  $e = -v^2e$ . Consequently,  $\varphi(e) - i\psi(e) = -\varphi(v^2e) + i\psi(v^2e) = -(\varphi - i\psi)(ev^2) = -(\varphi + i\psi)(e) = -\varphi(e) - i\psi(e)$ , so that  $\varphi(e) = 0$  and e = 0. Thus,  $\psi \circ \sigma_t^{\varphi} = \psi$  ( $t \in \mathbb{R}$ ), that is  $\psi$  commutes with  $\varphi$ .

**4.20. Proposition.** Let  $\varphi$  be an n.s.f. weight on the W\*-algebra  $\mathcal{M}$  and  $\sigma: G \to Aut(\mathcal{M})$  a continuous action of the compact group G on  $\mathcal{M}$  which is trivial on the centre  $\mathcal{Z}(\mathcal{M})$  of  $\mathcal{M}$ . The following conditions are equivalent:

(i)  $\varphi \circ \sigma_g = \varphi$  for all  $g \in G$ ;

(ii)  $\sigma_t^{\varphi} \circ \sigma_g = \sigma_g \circ \sigma_t^{\varphi}$  for all  $g \in G$  and all  $t \in \mathbb{R}$ .

*Proof.* By 4.14.(1) we know that (i)  $\Rightarrow$  (ii). If (ii) holds, then by Proposition 4.16 we can write

$$\varphi \circ \sigma_g = \varphi_{A_g} \qquad (g \in G).$$

Let  $g \in G$  be fixed. For the proof we may assume that G is topologically generated by the element g.

If G is discrete, G is finite so that there exists  $n \in \mathbb{N}$ , n > 1, such that  $\sigma_g^n$  is the identity automorphism. It follows that  $A_g^n = 1$ , hence  $A_g = 1$  and  $\varphi \circ \sigma_g = \varphi$ .

If G is not discrete, then there exists a sequence  $n_k \to +\infty$  of positive integers such that  $\{g^{n_k}\}_k$  converges to the neutral element of G. Let  $\varepsilon > 0$ ,  $e_{\varepsilon} = \chi_{[1+\varepsilon, +\infty)}(A_g)$  and  $x \in \mathfrak{M}_{\varphi} \cap \mathcal{M}^+$ . Since  $\sigma$  is a continuous action, the sequence  $\{\sigma_{\varepsilon}^{n_k}(e_{\varepsilon}x)\}_k$  is w-convergent to  $e_{\varepsilon}x$ , hence

$$\varphi(e_{\varepsilon}x) \leq \liminf_{k \to \infty} \varphi(\sigma_{g}^{-n_{k}}(e_{\varepsilon}x)) = \liminf_{k \to \infty} \varphi(A_{g}^{-n_{k}}e_{\varepsilon}x)$$

$$\leq \liminf_{k \to \infty} (1 + \varepsilon)^{-n_{k}}\varphi(e_{\varepsilon}x) = 0,$$

so that  $\varphi(e_{\varepsilon}x)=0$  and  $e_{\varepsilon}x=0$ . Since  $\mathfrak{M}_{\varphi}$  is s-dense in  $\mathscr{M}$  we get  $e_{\varepsilon}=0$ . Consequently,  $A_{g}\leqslant 1$ . Similarly we obtain  $A_{g}\geqslant 1$ , hence  $A_{g}=1$  and  $\varphi\circ\sigma_{g}=\varphi$ .

**4.21. Proposition.** Let  $\mathcal{M}$  be a semifinite factor and  $\sigma: G \to Aut(\mathcal{M})$  an action of the group G on  $\mathcal{M}$ . If there exists some non-zero  $\sigma$ -invariant normal state  $\varphi$  on  $\mathcal{M}$ , then the trace  $\mu$  on  $\mathcal{M}$  is  $\sigma$ -invariant.

*Proof.* By Theorem 4.10 there exists a positive self-adjoint operator A affiliated to  $\mathcal{M}$  such that  $\varphi = \mu_A$  and by Proposition 4.16 there exists a group homomorphism  $G \ni g \mapsto \lambda_g \in \mathbb{R}^+$  such that  $\mu \circ \sigma_g = \lambda_g \mu$   $(g \in G)$ . Since  $\varphi = \mu_A$  is  $\sigma$ -invariant, it follows that  $\sigma_g(A) = \lambda_g A$   $(g \in G)$ .

Let  $g \in G$  be fixed and assume that  $\lambda_g < 1$ . For each  $\varepsilon > 0$  and each  $k \in \mathbb{N}$  we have

$$\varphi(\chi_{(0, \epsilon)}(A)) = \varphi(\sigma_g^k(\chi_{(0, \epsilon)}(A))) = \varphi(\chi_{(0, \epsilon)}(\sigma_g^k(A))) = \varphi(\chi_{(0, \epsilon)}(\lambda_g^k(A)).$$

Since  $\lambda_g < 1$ , we have  $\lim_{k \to \infty} \chi_{(0, \epsilon)}(\lambda_g^k t) = \chi_{(0, +\infty)}(t)$  for every  $t \in \mathbb{R}$ . It follows that  $\varphi(\chi_{(0, \epsilon)}(A)) = \varphi(\chi_{(0, +\infty)}(A))$ , hence  $A = As(\varphi) \le \varepsilon$ . As  $\varepsilon > 0$  was arbitrary, we obtain A = 0, contradicting the fact that  $\varphi \ne 0$ . Consequently,  $\lambda_g \ge 1$  and, similarly,  $\lambda_g \le 1$ , i.e.  $\lambda_g = 1$ .

4.22. Even though the commutation of the modular automorphism groups does not insure the commutation of the n.s.f. weights, it does imply that the sum of the two weights is still semifinite.

**Proposition.** Let  $\varphi, \psi$  be n.s.f. weights on the W\*-algebra  $\mathcal{M}$  such that  $\sigma_t^{\varphi} \circ \sigma_s^{\psi} = \sigma_s^{\psi} \circ \sigma_t^{\varphi}$  for all  $s, t \in \mathbb{R}$ . Then the normal faithful weight  $\varphi + \psi$  is semifinite.

*Proof.* By Proposition 4.16 there exists a non-singular positive self-adjoint operator A affiliated to the centre of  $\mathcal{M}$  such that

$$\psi \circ \sigma_t^{\varphi} = \psi_{A^t} \qquad (t \in \mathbb{R}).$$

Putting  $e_m = \chi_{(0, m]}(A)$   $(m \in \mathbb{N})$ , we have  $e_m \uparrow s(A) = 1$ . Let  $x \in \mathcal{M}$  and  $n \in \mathbb{N}$ . Consider the elements

$$x_n = \frac{n}{\pi} \int_{-\infty}^{+\infty} \int_{-\infty}^{+\infty} e^{-n(t^2+s^2)} \sigma_t^{\varphi}(\sigma_s^{\psi}(x)) dt ds \in \mathcal{M}.$$

It is easy to check that  $x_n \stackrel{s}{\to} x$ ,  $x_n \in \mathcal{M}_{\infty}^{\varphi} \cap \mathcal{M}_{\infty}^{\psi}$  and

$$\sigma_{\alpha}^{\varphi}(x_n) = \frac{n}{\pi} \int_{-\infty}^{+\infty} \int_{-\infty}^{+\infty} e^{-n((t-\alpha)^2+s^2)} \sigma_t^{\varphi}(\sigma_s^{\psi}(x)) dt ds \qquad (\alpha \in \mathbb{C}),$$

$$\sigma_{\alpha}^{\psi}(x_n) = \frac{n}{\pi} \int_{-\infty}^{+\infty} \int_{-\infty}^{+\infty} e^{-n(t^2 + (s-\alpha)^2)} \sigma_t^{\varphi}(\sigma_s^{\psi}(x)) \, \mathrm{d}r \, \mathrm{d}s \qquad (\alpha \in \mathbb{C}).$$

If  $x \in \mathfrak{M}_{\psi} \cap \mathcal{M}^+$ , then

$$\psi(x_n e_m) = \frac{n}{\pi} \int_{-\infty}^{+\infty} \int_{-\infty}^{+\infty} e^{-nt^2} e^{-ns^2} \psi(\sigma_s^{\varphi}(\sigma_t^{\varphi}(x)) e_m) dt ds$$

$$= \sqrt{\frac{n}{\pi}} \int_{-\infty}^{+\infty} e^{-nt^2} \psi(\sigma_t^{\varphi}(x e_m)) dt = \sqrt{\frac{n}{\pi}} \int_{-\infty}^{+\infty} e^{-nt^2} \psi(A^t e_m x) dt$$

$$\leq m^t \sqrt{\frac{n}{\pi}} \int_{-\infty}^{+\infty} e^{-nt^2} \psi(x) dt = m^t \psi(x) < +\infty,$$

hence  $x_n e_m \in \mathcal{M}^{\varphi}_{\infty} \cap \mathcal{M}^{\psi}_{\infty} \cap \mathfrak{M}^{\psi}_{\omega}$ . Since  $x_n e_m \xrightarrow{s} x$ , it follows that  $\mathcal{M}^{\varphi}_{\infty} \cap \mathcal{M}^{\psi}_{\infty} \cap \mathfrak{M}^{\psi}_{\omega}$  is s-dense in  $\mathcal{M}$ .

Similarly,

$$\mathcal{M}^{\varphi}_{\infty} \cap \mathcal{M}^{\varphi}_{\infty} \cap \mathfrak{M}_{\varphi}$$
 is s-dense in  $\mathcal{M}$ .

Consequently, the product of these two sets is w-dense in  $\mathcal{M}$ . On the other hand, as we noted in Section 2.15, this product is contained in  $\mathfrak{M}_{\varphi} \cap \mathfrak{M}_{\psi} \subset \mathfrak{M}_{\varphi+\psi}$ , hence  $\varphi + \psi$  is semifinite.

**4.23.** Let tr be the canonical trace on  $\mathcal{B}(\mathcal{H})$ , A a positive self-adjoint operator in  $\mathcal{H}$  and  $\varphi = tr_A$ .

For  $\xi$ ,  $\eta \in \mathcal{H}$  we shall use the notation  $\xi \otimes \bar{\eta}$  for the operator

$$\mathcal{H}\ni \zeta\mapsto (\zeta|\eta)\xi\in\mathcal{H}.$$

It is clear that

(1) 
$$(\xi \otimes \bar{\eta})^* = \eta \otimes \bar{\xi}, \quad (\xi \otimes \bar{\eta})(\xi' \otimes \bar{\eta}') = (\eta | \xi') \xi \otimes \bar{\eta}'$$
 and, for  $x \in \mathcal{B}(\mathcal{H})$ ,

(2) 
$$x(\xi \otimes \bar{\eta}) = x\xi \otimes \bar{\eta}, \quad (\xi \otimes \bar{\eta})x = \xi \otimes \overline{x^*\eta}.$$

On the other hand, we have

$$\xi \otimes \bar{\eta} \in \mathfrak{N}_{\varphi} \Leftrightarrow \eta \in D(A^{1/2}),$$

$$\xi \otimes \bar{\eta} \in \mathfrak{M}_{\varphi} \Leftrightarrow \xi \otimes \bar{\eta} \in \mathfrak{N}_{\varphi} \cap \mathfrak{N}_{\varphi}^* \Leftrightarrow \xi, \ \eta \in D(A^{1/2}),$$

(5) 
$$\xi, \ \eta \in D(A^{1/2}) \Rightarrow \varphi(\xi \otimes \overline{\eta}) = (A^{1/2}\xi|A^{1/2}\eta).$$

Indeed, let  $x = \xi \otimes \bar{\eta}$ . Then  $x^*x = \|\xi\|^2 (\eta \otimes \bar{\eta})$  and so, by (2),

$$A_{\epsilon}^{1/2}x^*xA_{\epsilon}^{1/2} = \|\xi\|^2(A_{\epsilon}^{1/2}\eta \otimes \overline{A_{\epsilon}^{1/2}\eta})$$

is a multiple of the orthogonal projection onto the linear subspace spanned by the vector  $A^{1/2}\eta$ . It follows that

$$\begin{split} \varphi(x^*x) &= \sup_{\epsilon>0} \ tr(A_{\epsilon}^{1/2}x^*xA_{\epsilon}^{1/2}) = \|\xi\|^2 \sup_{\epsilon>0} \ tr(A_{\epsilon}^{1/2}\eta \otimes \overline{A_{\epsilon}^{1/2}\eta}) \\ &= \|\xi\|^2 \sup_{\epsilon>0} \|A_{\epsilon}^{1/2}\eta\|^2 = \|\xi\|^2 \|A^{1/2}\eta\|^2. \end{split}$$

From this we obtain (3) and then, by polarization, (4) and (5).

**4.24.** Consider again the canonical trace tr on  $\mathcal{B}(\mathcal{H})$  and two positive self-adjoint operators A and B on  $\mathcal{H}$ . We recall (A.11) that the weak sum A + B is defined if and only if

(1) 
$$D = D(A^{1/2}) \cap D(B^{1/2}) \text{ is dense in } \mathcal{H}$$

and, in this case, A + B is determined by

(2) 
$$||A^{1/2}\xi||^2 + ||B^{1/2}\xi||^2 = ||(A + B)^{1/2}\xi||^2, \quad \xi \in D = D((A + B)^{1/2}).$$

On the other hand, if the normal weight  $tr_A + tr_B$  is semifinite, then (4.10) there exists a unique positive self-adjoint operator C on  $\mathcal{H}$  such that  $tr_A + tr_B = tr_C$ . If A and B are bounded, then C = A + B by 4.3.(1). In the general case we have the following result:

**Proposition.** The normal weight  $tr_A + tr_B$  is semifinite if and only if the weak sum A + B is defined. In this case we have

$$tr_A + tr_B = tr_{A+B}$$
.

*Proof.* Assume first that the weight  $tr_A + tr_B$  is semifinite and write  $tr_A + tr_B = tr_C$  as above. Since  $tr_A \leqslant tr_C$ ,  $tr_B \leqslant tr_C$ , we have (4.5)  $A \leqslant C$ ,  $B \leqslant C$ . Thus,  $D = D(A^{1/2}) \cap D(B^{1/2}) \supset D(C^{1/2})$  is dense in  $\mathcal{H}$  that is A + B is defined. If  $\xi \in D$ , then (4.23. (5))

$$\|C^{1/2}\xi\|^2 = tr_C(\xi \otimes \xi) = tr_A(\xi \otimes \xi) + tr_B(\xi \otimes \xi) = \|A^{1/2}\xi\|^2 + \|B^{1/2}\xi\|^2,$$

hence C = A + B.

Conversely, assume that A + B is defined and consider the increasing sequence  $\{A_{\varepsilon} + B_{\varepsilon}\}_{{\varepsilon}>0}$  of bounded positive operators. It is clear that

$$\lim ((A_{\varepsilon} + B_{\varepsilon})\xi|\xi) < +\infty \Leftrightarrow \xi \in D = D(A^{1/2}) \cap D(B^{1/2}).$$

Using (A.5) it follows that there exists a unique positive self-adjoint operator C in  $\mathcal{H}$  such that  $D(C^{1/2}) = D$  and  $A_{\varepsilon} + B_{\varepsilon} \uparrow C$ . By Proposition 4.6 we have  $tr_{A_{\varepsilon} + B_{\varepsilon}} \uparrow tr_{C}$ . Since  $tr_{A_{\varepsilon} + B_{\varepsilon}} = tr_{A_{\varepsilon}} + tr_{B_{\varepsilon}}$ , we conclude that  $tr_{A} + tr_{B} = tr_{C}$  is semifinite.

**4.25.** Notes. The construction of the weight  $\varphi_A$ , the definition of commutation for weights and almost all the results contained in this Section are due to Pedersen and Takesaki [187]. Corollary 4.17 is due to Takesaki [245] and Proposition 4.19 is due to Herman and Takesaki [117]. Several simplifications of the proofs given in [187] were made possible by the use of the Connes cocycle theorem.

For our exposition we have used [83], [187], [244] and [269]. Further results related to Proposition 4.21 are contained in [187] and [219].

## §5. The converse of the Connes theorem

In this Section we state and prove a converse to Theorem 3.1 and give some applications.

5.1. Let  $\mathcal{M}$  be a  $W^*$ -algebra, G a locally compact group and  $\sigma: G \to Aut(\mathcal{M})$  a continuous action of G on  $\mathcal{M}$ . We denote by  $e \in G$  the neutral element of G.

A  $\sigma$ -cocycle (of degree 1) is an  $s^*$ -continuous function  $w: G \to \mathcal{M}$  with the properties:

$$w(gh) = w(g)\sigma_g(w(h)), \quad w(g^{-1}) = \sigma_g^{-1}(w(g)^*) \qquad (g, h \in G).$$

In this case w(g) are partial isometries and

$$w(g)w(g)^* = w(e), \qquad w(g)^*w(g) = \sigma_g(w(e)) \qquad (g, h \in G).$$

The set of  $\sigma$ -cocycles is denoted by  $Z_{\sigma}(G; \mathcal{M})$ . A detailed study of  $Z_{\sigma}(G; \mathcal{M})$  is contained in Section 20.

If  $\varphi$  is an n.s.f. weight on  $\mathcal{M}$ , the modular automorphism group  $\{\sigma_i^{\varphi}\}_{i\in\mathbb{R}}$  is a continuous action  $\sigma^{\varphi} \colon \mathbb{R} \to Aut(\mathcal{M})$  and, by the Connes theorem (3.1), for every normal semifinite weight  $\psi$  on  $\mathcal{M}$  the Connes cocycle  $[D\psi \colon D\varphi]$  is a  $\sigma^{\varphi}$ -cocycle.

Conversely, we have the following result:

**Theorem** (A. Connes). Let  $\varphi$  be an n.s.f. weight on the W\*-algebra  $\mathcal{M}$ . For every  $\sigma^{\varphi}$ -cocycle  $w \in Z_{\sigma^{\varphi}}(\mathbb{R}; \mathcal{M})$  there exists a unique normal semifinite weight  $\psi$  on  $\mathcal{M}$  such that  $[D\psi: D\varphi] = w$ .

The proof is contained in Sections 5.2-5.7.

We shall consider the  $W^*$ -algebra  $\mathcal{M}$  realized as a von Neumann algebra  $\mathcal{M} \subset \mathcal{B}(\mathcal{H})$ . Also, we denote by  $\mathcal{F}_{\infty}$  the discrete factor  $\mathcal{B}(\mathcal{L}^2(\mathbb{R}))$  and identify the  $W^*$ -algebras  $\mathcal{M} \otimes F_{\infty}$  and  $Mat_{\infty}(\mathcal{M})$  as in ([L], 3.17). Thus, every element  $x \in \mathcal{M} \otimes F_{\infty}$  is determined by a certain matrix  $[x_{ij}]$  with elements in  $\mathcal{M}$ . Let  $e_n \in \mathcal{M} \otimes F_{\infty}$  be the element corresponding to the matrix  $[x_{ij}]$  with  $x_{ij} = 0$  if  $i \neq j$  or if i > n, and  $x_{11} = x_{22} = \ldots = x_{nn} = 1$ .

We shall successively construct weights  $\Phi$ ,  $\Phi'$ ,  $\Psi$ ,  $\Psi'$  on  $\mathcal{M} \otimes \mathcal{F}_{\infty}$  and weights  $\psi'$ ,  $\psi$  on  $\mathcal{M}$ .

5.2. We first define the n.s.f. weight  $\Phi$  on  $\mathscr{M} \otimes \mathscr{F}_{\infty}$  by

$$\Phi(x) = \sum_{k} \varphi(x_{kk}) \qquad (x = [x_{ij}] \in (\mathcal{M} \otimes \mathcal{F}_{\infty})^{+}).$$

Then we have

(1) 
$$\sigma_t^{\varphi} = \sigma_t^{\varphi} \overline{\otimes} \iota \qquad (t \in \mathbb{R}).$$

Indeed, using 2.21. (2) it is easy to check that the projection  $e_n$  belongs to the centralizer of  $\Phi$  and using 2.22. (3) and Proposition 3.3 we obtain

$$\sigma_t^{\varphi}(x) = (\sigma_t^{\varphi} \overline{\otimes} \iota)(x) \qquad (x \in e_n(\mathscr{M} \overline{\otimes} \mathscr{F}_{\infty})e_n).$$

Since  $e_n \uparrow 1$ , this proves (1).

Actually,  $\Phi$  is nothing but the tensor product  $\varphi \otimes tr$  where tr denotes the canonical trace on  $\mathscr{F}_{\infty}$  (see 8.2).

5.3. Let  $\{u_t\}_{t\in\mathbb{R}}\subset \mathcal{B}(\mathcal{L}^2(\mathbb{R}))$  be the so-continuous unitary group defined in Section 4.15. Using Stone's theorem ([L], 9.20) and the Pedersen-Takesaki construction (4.7) we obtain a new n.s.f. weight  $\Phi'$  on  $\mathcal{M} \otimes \mathcal{F}_{\infty}$  such that

$$\sigma_t^{\Phi'} = \operatorname{Ad}(1 \otimes u_t) \circ \sigma_t^{\Phi} = \sigma_t^{\varphi} \otimes \operatorname{Ad}(u_t) \quad (t \in \mathbb{R}).$$

**5.4.** The von Neumann algebra  $\mathcal{M} \otimes \mathcal{F}_{\infty}$  acts on the Hilbert space  $\mathcal{H} \otimes \mathcal{L}^2(\mathbb{R})$  which can be identified with  $\mathcal{L}^2(\mathbb{R}, \mathcal{H})$  via the mapping

$$\mathscr{H} \ \overline{\otimes} \ \mathscr{L}^2(\mathbb{R}) \ni \xi \otimes f \mapsto \{t \mapsto f(t)\xi\} \in \mathscr{L}^2(\mathbb{R}, \ \mathscr{H}).$$

Using the given cocycle  $w \in Z_{\sigma^p}(\mathbb{R}; \mathcal{M})$  we define a partial isometry W on this Hilbert space by

(1) 
$$(W\zeta)(t) = w(t)\zeta(t) \qquad (\zeta \in \mathcal{L}^2(\mathbb{R}, \mathcal{H}), t \in \mathbb{R}).$$

It is easy to check that W commutes with the commutant  $\mathcal{M}' \otimes \mathbb{C}$  of  $\mathcal{M} \otimes \mathcal{F}_{\infty}$ , and hence  $W \in \mathcal{M} \otimes \mathcal{F}_{\infty}$  by the von Neumann double commutant theorem. Also, we have

(2) 
$$W\sigma_t^{\Phi'}(W^*) = w(t) \otimes 1 \qquad (t \in \mathbb{R}).$$

Indeed, W is defined in (1) by the function  $s \mapsto w(s)$  and, similarly,  $\sigma_t^{\phi'}(W^*)$  is defined by the function  $s \mapsto \sigma_t^{\phi}(w(s-t)^*)$ , so that  $W\sigma_t^{\phi'}(W^*)$  is defined by the constant function  $s \mapsto w(s)\sigma_t^{\phi}(w(s-t)^*) = w(t)$  and hence it is equal to  $w(t) \otimes 1$ .

We define the normal semifinite weight  $\Psi = \Phi'(W^* \cdot W)$  on  $\mathcal{M} \otimes \mathcal{F}_{\infty}$ . Using (2) and 5.3. (1) and Corollary 3.7, we obtain

(3) 
$$\sigma_t^{\Psi} = (\operatorname{Ad}(w(t)) \circ \sigma_t^{\varphi}) \otimes \operatorname{Ad}(u_t) \qquad (t \in \mathbb{R}).$$

5.5. Using the Pedersen-Takesaki construction again, we obtain from  $\Psi$  a new normal semifinite weight  $\Psi'$  on  $\overline{\mathcal{M} \otimes \mathcal{F}_{\infty}}$  such that

(1) 
$$\sigma_t^{\Psi'} = (\operatorname{Ad}(w(t)) \circ \sigma_t^{\varphi}) \otimes \iota \qquad (t \in \mathbb{R}).$$

5.6. Let p be a minimal projection of  $\mathscr{F}_{\infty}$ . Using the mapping  $x \mapsto x \otimes p$  we identify  $\mathscr{M}$  with  $(1 \otimes p)(\mathscr{M} \otimes \mathscr{F}_{\infty})(1 \otimes p)$ . It is clear that  $1 \otimes p$  belongs to the centralizer of  $\mathscr{\Psi}'$ , hence  $\psi' = \mathscr{\Psi}'_{(1 \otimes p)}$  is a normal semifinite weight on  $\mathscr{M} = (1 \otimes p)(\mathscr{M} \otimes \mathscr{F}_{\infty})(1 \otimes p)$ . For  $x \in s(\psi') \mathscr{M}s(\psi')$  we have (2.22.(3))

$$\sigma_t^{\psi'}(x) = \sigma_t^{\psi'}(x \ \overline{\otimes} \ p) = w(t)\sigma_t^{\varphi}(x)w(t)^* \ \overline{\otimes} \ p = w(t)\sigma_t^{\varphi}(x)w(t)^*,$$

hence

(1) 
$$\sigma_t^{w'} = \operatorname{Ad}(w(t)) \circ \sigma_t^{\varphi} \qquad (t \in \mathbb{R}).$$

5.7. Let  $w'(t) = [D\psi': D\varphi]_t$  and  $a(t) = w'(t)^*w(t)$ ,  $(t \in \mathbb{R})$ . Since  $Ad(w(t)) \circ \sigma_t^{\varphi} = \sigma_t^{\psi'} = Ad(w'(t)) \circ \sigma_t^{\varphi}$ , it follows that  $\{a(t)\}_{t \in \mathbb{R}}$  is a so-continuous group of unitary operators in the centre of the von Neumann algebras  $(\psi') \mathcal{M}s(\psi')$ . By Stone's theorem there exists a positive self-adjoint operator A in  $\mathcal{H}$ , affiliated to the centre of  $\mathcal{M}$ , such that  $a(t) = A^{it}$ ,  $(t \in \mathbb{R})$ .

Let  $\psi = \psi_A'$ . Then  $\psi$  is a normal semifinite weight on  $\mathcal{M}$  and, for every  $t \in \mathbb{R}$ , we have  $[D\psi: D\varphi]_t = [D\psi_A': D\psi']_t [D\psi': D\varphi]_t = a(t)w'(t) = w'(t)a(t) = w(t)$ .

Thus, the existence assertion of Theorem 5.1 is proved. The uniqueness part has been already considered in Corollary 3.6.

5.8. Corollary. (G. K. Pedersen, M. Takesaki). For every normal weight  $\varphi$  on a  $W^*$ -algebra  $\mathcal{M}$  there exists a family  $\{\varphi_i\}_{i\in I}$  of normal positive forms on  $\mathcal{M}$  such that

$$\varphi(x) = \sum_{i \in I} \varphi_i(x) \qquad (x \in \mathcal{M}^+).$$

*Proof.* We shall say that a weight  $\varphi$  has property S if  $\varphi$  is a sum of normal positive forms.

If  $\{\omega_i\}_{i\in I}$  is a maximal family of normal positive forms on  $\mathcal{M}$  with mutually orthogonal supports, then  $\omega = \sum_{i\in I} \omega_i$  is an n.s.f. weight on  $\mathcal{M}$  with property S.

Let  $\psi$  be an arbitrary normal semifinite weight on  $\mathcal{M}$  and  $w(t) = [D\psi : D\omega]_t$   $(t \in \mathbb{R})$ . By the proof of Theorem 5.1, the weight  $\psi$  is obtained from  $\omega$  by a repeated application of the following operations:

(1) 
$$\varphi \mapsto \Phi = \varphi \otimes tr, (\text{see 5.2});$$

(2) 
$$\varphi \mapsto \varphi_e, \ (e \in \mathcal{M}^{\varphi});$$

(3) 
$$\varphi \mapsto \varphi \circ \sigma, \ (\sigma \in Aut(\mathcal{M}));$$

(4) 
$$\varphi \mapsto \varphi_A$$
, (see 4.4).

The point is that all these operations preserve property S. This is obvious for (1)—(3) and also for (4) if A is bounded. In the general case, there exists a sequence  $\{e_n\}$  of mutually orthogonal spectral projections of A such that  $Ae_n$  are bounded and  $\varphi_A = \sum_{n} \varphi_{Ae_n}$ . Thus every normal semifinite weight has property S.

Finally, let  $\varphi$  be an arbitrary normal weight and denote by e the unique projection in  $\mathcal{M}$  such that  $\overline{\mathfrak{N}}_{\varphi}^{w} = \mathcal{M}e$ . Then  $\varphi_{e}$  is a normal semifinite weight on  $e\mathcal{M}e$ , hence  $\varphi_{e}$  has property S. On the other hand  $\varphi_{1-e}$  takes only the values 0 and  $+\infty$  so that it is obvious that  $\varphi_{1-e}$  has property S. Finally, it is easy to check that  $\varphi = \varphi_{e} + \varphi_{1-e}$ .

**5.9. Corollary.** Let  $\varphi$  be a normal weight on the von Neumann algebra  $\mathcal{M} \subset \mathcal{B}(\mathcal{H})$ . Then there exists a family  $\{\xi_i\}_{i\in I} \subset \mathcal{H}$  such that

$$\varphi(x) = \sum_{i \in I} (x\xi_i|\xi_i) \qquad (x \in \mathcal{M}^+).$$

**Proof.** Every positive normal form on  $\mathcal{M}$  has the stated property ([L], 8.17), so this result follows from the preceding corollary.

In particular it follows that the function

$$\mathcal{M} \ni x \mapsto \varphi(x^*x)^{1/2} = (\sum_{i \in I} \|x\xi_i\|^2)^{1/2} \in [0, +\infty]$$

is subadditive and lower w-semicontinuous (see also 2.12).

**5.10.** Recall the notation (3.2)  $o_{\mathcal{M}}$ :  $Aut(\mathcal{M}) \to Out(\mathcal{M})$  for the canonical quotient mapping and  $o_{\mathcal{M}}$ :  $\mathbb{R} \to Out(\mathcal{M})$  for the modular homomorphism of the  $W^*$ -algebra  $\mathcal{M}$ .

Another consequence of Theorem 5.1 is the following

**Corollary.** Let  $\mathcal{M}$  be a  $W^*$ -algebra with separable predual and  $\sigma: \mathbb{R} \to Aut(\mathcal{M})$  a continuous action of  $\mathbb{R}$  on  $\mathcal{M}$ . Then  $\sigma$  is the modular automorphism group of some n.s.f. weight on  $\mathcal{M}$  if and only if  $\mathfrak{o}_{\mathcal{M}}(\sigma_t) = \delta_{\mathcal{M}}(t)$  for all  $t \in \mathbb{R}$ .

We give here only a sketch of the proof ([36], (120]). Let  $\varphi$  be any n.s.f. weight on  $\mathcal{M}$ . Since  $\mathfrak{o}_{\mathcal{M}}(\sigma_t) = \mathfrak{o}_{\mathcal{M}}(t) = \mathfrak{o}_{\mathcal{M}}(\sigma_t^{\varphi})$ ,  $(t \in \mathbb{R})$ , there is a mapping  $v : \mathbb{R} \to U(\mathcal{M})$  such that  $\sigma_t = \mathrm{Ad}(v(t)) \circ \sigma_t^{\varphi}$ , i.e.

$$\sigma_t(x) = v(t)\sigma_t^{\varphi}(x)v(t)^* \qquad (x \in \mathcal{M}, \ t \in \mathbb{R}).$$

Moreover, it is possible to choose a Borel mapping  $v: \mathbb{R} \to U(\mathcal{M})$  with this property ([134], ([163]). Then, for  $s, t \in \mathbb{R}$  and  $x \in \mathcal{M}$ , we have

$$v(s)\sigma_{s}^{\varphi}(v(t))\sigma_{s+t}^{\varphi}(x)\sigma_{s}^{\varphi}(v(t)^{*})v(s)^{*}=\sigma_{s+t}(x)=v(s+t)\sigma_{s+t}^{\varphi}(x)v(s+t)^{*}$$

so that we obtain a Borel function

$$a: \mathbb{R}^2 \ni (s, t) \mapsto a(s, t) = v(s)\sigma_s^{\varphi}(v(t))v(s+t)^* \in U(\mathscr{Z}(\mathscr{M})).$$

Since  $a(s, t) \in \mathcal{Z}(\mathcal{M})$ , we have  $\sigma_r^{\varphi}(a(s, t)) = a(s, t)$  and an easy computation based on this remark gives

$$a(r,s)a(r+s,t)=a(s,t)a(r,s+t) \qquad (r,s,t)\in\mathbb{R}$$
).

Now, by arguments of Borel cohomology ([126], [163]) it follows that there exists a Borel mapping  $b: \mathbb{R} \to U(\mathcal{Z}(\mathcal{M}))$  such that

$$a(s, t) = b(s + t)b(s)^{-1}b(t)^{-1}$$
  $(s, t \in \mathbb{R}).$ 

Let w(t)=b(t)v(t)  $(t \in \mathbb{R})$ . Then  $w: \mathbb{R} \to U(\mathcal{M})$  is a Borel mapping and  $w(s+t)=w(s)\sigma_s^{\varphi}(w(t))$   $(s, t \in \mathbb{R})$ , so that (see [36]) this mapping is continuous, i.e.  $w \in Z_{\sigma^{\varphi}}(\mathbb{R}; \mathcal{M})$ . Also, we have  $\sigma_t = \mathrm{Ad}(w(t)) \circ \sigma_t^{\varphi}$   $(t \in \mathbb{R})$ .

By Theorem 5.1 there exists an n.s.f. weight  $\psi$  on  $\mathcal{M}$  such that  $[D\psi: D\varphi] = w$  and it follows that  $\sigma_t = \sigma_t^{\psi}$   $(t \in \mathbb{R})$ .

5.11. Notes. Theorem 5.1 and Corollary 5.10 are due to Connes [36]. Corollary 5.8 is due to Pedersen and Takesaki [187] and the proof given here is that of Elliott [83]. For our exposition we have used [36] and [83].

## § 6. Equality and majorization of weights

In this Section we present an important criterion which insures the equality of two weights, study various order relations between weights, and give some examples.

**6.1. Proposition.** Let  $\varphi$  be an n.s.f. weight on the W\*-algebra  $\mathcal{M}$ ,  $a \in \mathcal{M}^{\varphi}$ ,  $a \geqslant 0$ , and  $\psi$  a normal semifinite weight on  $\mathcal{M}$ . If there exists a \*-subalgebra  $\mathcal{B} \subset \mathfrak{N}_{\varphi}$ ,  $\sigma^{\varphi}$ -invariant and w-dense in  $\mathcal{M}$  such that

then 
$$\psi \leqslant \varphi_a$$
.  $\psi(y^*y) = \varphi_a(y^*y) \qquad (y \in \mathcal{B}),$ 

*Proof.* By the Kaplansky density theorem ([L], 3.10) there exists a net  $\{b_j\}_{j\in J} \subset \mathcal{B}$  such that  $0 \leq b_j \stackrel{s}{\to} 1$  and  $\sup ||b_j|| \leq 1$ .

Then

$$0 \leq a_j = \sqrt{\frac{1}{\pi}} \int_{-\infty}^{+\infty} e^{-t^2} \sigma_t^{\varphi}(b_j) dt \in \mathfrak{T}_{\varphi} \subset \mathcal{M}_{\infty}^{\varphi}$$

and  $\sigma_a^{\varphi}(a_j) \stackrel{s}{\to} 1$  for all  $\alpha \in \mathbb{C}$ , by Proposition 2.16.

Let  $y \in \mathcal{B}$ . By Proposition 1.14,  $\varphi_a(y^* \cdot y)$  and  $\psi(y^* \cdot y)$  are normal positive forms on  $\mathcal{M}$  and

$$\psi(y^*\cdot y)=\varphi_a(y^*\cdot y).$$

In particular, this equality is valid for

$$y_{s, t; j, k} = \sigma_s^{\varphi}(b_j) + i^k \sigma_t^{\varphi}(b_j) \in \mathcal{B}$$
 (s,  $t \in \mathbb{R}, j \in J, k = 0, 1, 2, 3$ ).

Since for every  $x \in \mathcal{M}$  we have

$$a_{j}xa_{j} = \frac{1}{4\pi} \sum_{k=0}^{3} i^{k} \int_{-\infty}^{+\infty} \int_{-\infty}^{+\infty} e^{-(s^{2}+t^{2})} y_{s, t; j, k}^{*} xy_{s, t; j, k} ds dt,$$

by Corollary 2.10 we infer that

$$\psi(a_j x a_j) = \varphi_a(a_j x a_j) \qquad (x \in \mathcal{M}^+).$$

Let  $x \in \mathfrak{N}_{\varphi}$ . Since  $\psi$  is normal,  $a_j x^* x a_j \xrightarrow{w} x^* x$  and  $\sigma_{-i/2}^{\varphi}(a_j) \xrightarrow{s} 1$ , using Proposition 2.14 we obtain

$$\begin{split} \psi(x^*x) &\leqslant \lim \inf_{j} \ \psi \ (a_j x^* x a_j) \leqslant \lim \inf_{j} \ \varphi_a(a_j x^* x a_j) \\ &= \lim \inf_{j} \ \varphi \ (a^{1/2} a_j x^* x a_j a^{1/2}) = \lim \inf_{j} \| (x a_j a^{1/2})_{\varphi} \|_{\varphi}^{2} \\ &= \lim \inf_{j} \| J_{\varphi} \pi_{\varphi} (a^{1/2} \sigma_{-i/2}^{\varphi}(a_j)) \ J_{\varphi} x_{\varphi} \|_{\varphi}^{2} \\ &= \| J_{\varphi} \pi_{\varphi} (a^{1/2}) J_{\varphi} x_{\varphi} \|_{\varphi}^{2} = \| (x a^{1/2})_{\varphi} \|_{\varphi}^{2} = \varphi(a^{1/2} x^* x a^{1/2}) \\ &= \varphi_a(x^* x). \end{split}$$

In particular, it follows that  $s(\psi) \leq s(a)$ .

Consider now  $x \in \mathfrak{N}_{\varphi_a}$ , that is  $xa^{1/2} \in \mathfrak{N}_{\varphi}$ . There exists a sequence  $\{e_n\} \subset \mathcal{M}^{\varphi}$ , of spectral projections of a such that  $e_n \uparrow 1$  and  $ae_n \geqslant n^{-1}e_{\alpha}$ ,  $(n \in \mathbb{N})$ . Since  $a \in \mathcal{M}^{\varphi}$  and  $ae_n$  is invertible in  $e_n \mathcal{M}^{\varphi}e_n$ , we see again by Proposition 2.14 that  $xe_n \in \mathfrak{N}_{\varphi}$ . Consequently, we have

$$\psi(x^*x) = \psi(s(a)x^*xs(a)) \leqslant \lim_{n} \inf_{n} \psi(e_n x^*xe_n)$$

$$\leqslant \lim_{n} \inf_{n} \varphi_a(e_n x^*xe_n) \leqslant \varphi_a(x^*x);$$

the last inequality is obtained by applying Proposition 2.14. once more.

Hence  $\psi(z) \leqslant \varphi_a(z)$  for every  $z \in \mathfrak{M}_{\varphi_a} \cap \mathscr{M}^+$ , i.e.  $\psi \leqslant \varphi_a$ .

**6.2. Theorem.** Let  $\varphi$  be an n.s.f. weight on the W\*-algebra  $\mathcal{M}$ ,  $a \in \mathcal{M}^{\varphi}$ ,  $a \geqslant 0$ , and  $\psi$  a normal semifinite weight on  $\mathcal{M}$ . If  $\psi$  commutes with  $\varphi$  and there exists a \*-subalgebra  $\mathcal{B} \subset \mathfrak{N}_{\varphi}$ ,  $\sigma^{\varphi}$ -invariant and w-dense in  $\mathcal{M}$  such that

$$\psi(y^*y) = \varphi_a(y^*y) \qquad (y \in \mathscr{B}),$$

then  $\psi = \varphi_a$ .

**Proof.** Since  $\psi$  commutes with  $\varphi$ , there exists a positive self-adjoint operator A affiliated to  $\mathcal{M}$  such that  $\psi = \varphi_A$  (4.10).

By Proposition 6.1 it follows that  $\varphi_A = \psi \leqslant \varphi_a$  and then, using Proposition 4.5, we deduce that  $A \leqslant a$ , so that  $A \in \mathcal{M}^{\varphi}$  is bounded. Again by Proposition 6.1, with  $\varphi_a$  instead of  $\psi$  and A instead of a, we get  $\varphi_a \leqslant \varphi_A = \psi$ . Hence  $\psi = \varphi_a$ .

The particular case a=1 of the preceding Theorem is known as "the Pedersen-Takesaki theorem on the equality of weights".

6.3. By the Radon-Nikodym type theorem of Sakai ([L], 5.21), if  $\varphi$ ,  $\psi$  are normal positive forms on the  $W^*$ -algebra  $\mathcal{M}$  and  $\psi \leqslant \varphi$ , there exists  $a \in \mathcal{M}$  (actually,  $0 \leqslant a \leqslant 1$ ) such that  $\psi = \varphi(a \cdot a^*)$ . The extension of this result to weights is contained in Corollary 3.13.

We remark that Proposition 2.14 gives necessary and sufficient conditions for the inequality  $\varphi(a \cdot a^*) \leq \varphi$  to hold.

6.4. We now consider another form of Radon-Nikodym type theorems, which has been pointed out by S. Sakai (see [L], C.5.5).

We begin with the case of normal positive forms. Let  $\mathscr{M}$  be a  $\mathscr{W}^*$ -algebra,  $\varphi$ ,  $\psi \in \mathscr{M}_+^*$  such that  $\psi \leqslant \varphi$ , and  $\lambda \in \mathbb{C}$  with  $\lambda + \overline{\lambda} = 1$ . The set  $\mathscr{X} = \{\varphi(\lambda a \cdot + \lambda \cdot a); a \in \mathscr{M}, a = a^*, \|a\| \leqslant 1\} \subset \mathscr{M}^h$  is convex and  $\sigma(\mathscr{M}_+, \mathscr{M})$ -compact. If  $\psi \notin \mathscr{X}$ , then by the Hahn-Banach theorem there exists  $b \in \mathscr{M}$ ,  $b = b^*$  and  $t \in \mathbb{R}$  such that  $\psi(b) > t$  while  $\varphi(\lambda ab + \overline{\lambda}ba) \leqslant t$  for every  $a \in \mathscr{M}$ ,  $a = a^*$ ,  $\|a\| \leqslant 1$ . Let b = v|b| be the polar decomposition. Then  $v \in \mathscr{M}$ ,  $v = v^*$ ,  $\|v\| \leqslant 1$  and |b| = vb = bv, hence  $t < \psi(b) \leqslant \psi(|b|) \leqslant \varphi(|b|) = \varphi(\lambda vb + \overline{\lambda}bv) \leqslant t$ , a contradiction. Thus, there exists  $a_1 \in \mathscr{M}$ , with  $a_1 = a_1^*$ ,  $\|a_1\| \leqslant 1$ , such that  $\psi(x) = \varphi(\lambda a_1 x + \overline{\lambda}x a_1)$ ,  $(x \in \mathscr{M})$ . Since  $\varphi \geqslant 0$ , it follows that  $\psi(a_1^-) = 0$ , hence  $a = a_1^+ \in \mathscr{M}$ ,  $0 \leqslant a \leqslant 1$  and

(1) 
$$\psi(x) = \varphi(\lambda ax + \lambda xa) \quad (x \in \mathcal{M}).$$

**Proposition.** Let  $\varphi$  be an n.s.f. weight on the W\*-algebra  $\mathcal{M}$  and  $\psi \in \mathcal{M}_{*}^{+}$ ,  $\psi \leqslant \varphi$ . There exists a unique  $a \in \mathfrak{M}_{\varphi}$ ,  $0 \leqslant a \leqslant 1$ , such that

$$\psi(x) = \varphi(ax + xa)/2 \qquad (x \in \mathfrak{M}_{\varphi}).$$

*Proof.* By Corollary 2.4 there exists a vector  $\eta \in \mathcal{H}_{\varphi}$  and an operator  $T' \in \pi_{\varphi}(\mathcal{M})'$ ,  $0 \leq T' \leq 1$ , such that

(2) 
$$\psi(x) = (\pi_{\varphi}(x)\eta|\eta)_{\varphi} \qquad (x \in \mathcal{M}_{\varphi}),$$

(3) 
$$T'x_{\varphi} = \pi_{\varphi}(x)\eta \qquad (x \in \mathfrak{U}_{\varphi}).$$

Let  $\zeta = T'\eta$  and  $\xi = 2(\Delta_{\varphi} + 1)^{-1}$ . From (3) it follows that  $\eta \in \mathfrak{A}'_{\varphi}$ ,  $S_{\varphi}^*\eta = \eta$  and  $R_{\eta} = T'$ . Hence  $\zeta \in \mathfrak{A}'_{\varphi}$ ,  $S_{\varphi}^*\zeta = \zeta$  and  $R_{\zeta} = T'^2$ . Using ([L], 10.11) we infer that  $\xi \in \mathfrak{A}_{\varphi}$ , and, since  $S_{\varphi}^*\zeta = \zeta$  and  $J_{\varphi}\Delta_{\varphi}J_{\varphi}^{-1} = \Delta_{\varphi}^{-1}$ , we have  $S_{\varphi}\xi = \xi$ . Using ([L], Cor. 9.23), we get

$$\xi = S_{\varphi} \xi = 2 J_{\varphi} \Delta_{\varphi}^{1/2} (\Delta_{\varphi} + 1)^{-1} \zeta = \int_{-\infty}^{+\infty} 2 (e^{\pi t} + e^{-\pi t})^{-1} \Delta_{\varphi}^{it} J_{\varphi} \zeta dt.$$

Since (2.12.(4))

$$L_{A_\varphi^{\mathrm{i}t}J_\varphi\zeta}=A_\varphi^{\mathrm{i}t}J_\varphi R_\zeta J_\varphi A_\varphi^{-\mathrm{i}t}=A_\varphi^{\mathrm{i}t}J_\varphi T'^2 J_\varphi A_\varphi^{-\mathrm{i}t},$$

it follows that

$$L_{\xi} = \int_{-\infty}^{+\infty} 2 \left( e^{\pi t} + e^{-\pi t} \right)^{-1} \Delta_{\varphi}^{it} J_{\varphi} T^{\prime 2} J_{\varphi} \Delta_{\varphi}^{-it} dt.$$

Consequently,  $a=L_{\xi}\in\mathcal{M},\ 0\leqslant a\leqslant 1$ . Also, since  $J_{\varphi}T'J_{\varphi}=J_{\varphi}R_{\eta}J_{\varphi}=L_{J_{\varphi}\eta}$  we get (2.11)  $\varphi(a)=\varphi(J_{\varphi}T'^2J_{\varphi})=\|J_{\varphi}\eta\|_{\varphi}^2<+\infty$ , hence  $a\in\mathfrak{M}_{\varphi}$ . For  $x\in\mathfrak{M}_{\varphi}$  we have

$$2\psi(x) = 2 (\pi_{\varphi}(x)\eta|\eta)_{\varphi} = 2 (T'x_{\varphi}|\eta)_{\varphi} = 2 (x_{\varphi}|T'\eta)_{\varphi}$$

$$= 2 (x_{\varphi}|\zeta)_{\varphi} = (x_{\varphi}|(A_{\varphi} + 1)\xi)_{\varphi} = (x_{\varphi}|\xi)_{\varphi} + (x_{\varphi}|S_{\varphi}^{*}S_{\varphi}\xi)_{\varphi}$$

$$= (x_{\varphi}|\xi)_{\varphi} + (\xi|S_{\varphi}x_{\varphi})_{\varphi} = (x_{\varphi}|a_{\varphi})_{\varphi} + (a_{\varphi}|(x^{*})_{\varphi})_{\varphi}$$

$$= \varphi(ax + xa).$$

To prove the uniqueness assertion, assume that  $a \in \mathfrak{M}_{\varphi}$ ,  $0 \le a \le 1$ , and  $\varphi(ax + xa) = 0$  for every  $x \in \mathfrak{M}_{\varphi}$ . Then  $\xi = a_{\varphi} \in \mathfrak{A}_{\varphi}$ ,  $S_{\varphi}\xi = \xi$ , and for every  $\eta \in \mathfrak{T}_{\varphi}$  we have

$$(\eta|-\xi)_{\varphi}=(\xi|S_{\varphi}\eta)_{\varphi}=(S_{\varphi}\xi|S_{\varphi}\eta)_{\varphi}=(\Delta_{\varphi}\eta|\xi)_{\varphi}.$$

Since  $\Delta_{\varphi} = \Delta_{\varphi}^* = [\Delta_{\varphi} | \mathfrak{T}_{\varphi}]^*$ , it follows that  $\xi \in D(\Delta_{\varphi})$  and  $\Delta_{\varphi} \xi = -\xi$ , which is possible only if  $\xi = 0$ , as  $\Delta_{\varphi}$  is positive. Hence a = 0.

**6.5.** In order to treat the general case of two weights, we recall ([L], 10.22) that for any n.s.f. weight  $\varphi$  on the  $W^*$ -algebra  $\mathcal{M}$ , the set

$$\mathfrak{S}_{\varphi} = \mathfrak{T}_{\varphi} \cap \mathscr{S}(\varDelta_{\varphi}) \cap \mathscr{S}(\varDelta_{\varphi}^{-1})$$

is a left Hilbert subalgebra of  $\mathfrak{A}_{\varphi}$ , equivalent with  $\mathfrak{A}_{\varphi}$ , and  $J_{\varphi}\mathfrak{S}_{\varphi}=\mathfrak{S}_{\varphi}$ ,  $\Delta_{\varphi}^{\alpha}\mathfrak{S}_{\varphi}=\mathfrak{S}_{\varphi}$  and  $\Delta_{\varphi}^{\alpha}|\mathfrak{S}_{\varphi}=\Delta_{\varphi}^{\alpha}$ . Note that

$$\mathfrak{S}_{\varphi} \subset D(\ln \Delta_{\varphi}).$$

Also, the following approximation result holds:

for every  $\xi \in D(\Lambda_{\varphi}^{1/2}) \cap D(\Lambda_{\varphi}^{-1/2})$  there exists a sequence  $\{\xi_n\} \subset \mathfrak{S}_{\varphi}$  such that

$$\Delta_{\varphi}^{\alpha}\xi_{n} \to \Delta_{\varphi}^{\alpha}\xi$$

for each  $\alpha \in \mathbb{C}$  with  $-1/2 \leq \operatorname{Re} \alpha \leq 1/2$ 

Indeed, since  $\Delta_{\varphi}^{-1/2}\mathfrak{S}_{\varphi}=\mathfrak{S}_{\varphi}$  is dense in  $\mathscr{H}_{\varphi}$ , there exists a sequence  $\{\zeta_{n}\}\subset\mathfrak{S}_{\varphi}$  such that  $\Delta_{\varphi}^{-1/2}\zeta_{n}\to\Delta_{\varphi}^{-1/2}\xi+\Delta_{\varphi}^{-1/2}\xi$ . If  $-1/2\leqslant \operatorname{Re}\alpha\leqslant 1/2$ , then the operator  $\Delta_{\varphi}^{\alpha+(1/2)}(1++\Delta_{\varphi})^{-1}$  is bounded and sends the vector  $\Delta_{\varphi}^{1/2}\xi+\Delta_{\varphi}^{-1/2}\xi$  into the vector  $\Delta_{\varphi}^{\alpha}\xi$ . Thus (1) follows with  $\xi_{n}=(1+\Delta_{\varphi})^{-1}\zeta_{n}$ .

**Proposition.** Let  $\varphi$  be an n.s. f. weight on the W\*-algebra  $\mathcal{M}$  and  $\psi$  any weight on  $\mathcal{M}$  with  $\psi \leqslant \varphi$ . There exists a unique element  $a \in \mathcal{M}$ ,  $0 \leqslant a \leqslant 1$ , such that  $\mathfrak{S}_{\varphi} a \subset \mathfrak{N}_{\varphi}$  and

$$x \in \mathfrak{S}_{\varphi}^2 \Rightarrow ax + xa \in \mathfrak{M}_{\varphi} \text{ and } \psi(x) = \varphi(ax + xa)/2.$$

*Proof.* By Proposition 2.3 there exists an operator  $T' \in \pi_{\varphi}(\mathcal{M})'$ ,  $0 \le T' \le 1$ , such that

$$\psi(x^*x) = (T'x_{\varphi}|T'x_{\varphi})_{\varphi} \qquad (x \in \mathfrak{N}_{\varphi}).$$

Since  $J_{\varphi}T'^2J_{\varphi} \in \pi_{\varphi}(\mathcal{M})$ , there exists  $b \in \mathcal{M}$ ,  $0 \le b \le 1$ , such that  $\pi_{\varphi}(b) = J_{\varphi}T'^2J_{\varphi}$ . Define an element  $a \in \mathcal{M}$ ,  $0 \le a \le 1$ , by

$$a = \int_{-\infty}^{+\infty} 2 \left( e^{\pi t} + e^{-\pi t} \right)^{-1} \Delta_{\varphi}^{it} b \Delta_{\varphi}^{-it} dt.$$

Let  $x \in \mathfrak{S}_{\varphi}$ . Then  $J_{\varphi}x_{\varphi} \in \mathfrak{S}_{\varphi} \subset D(\Delta_{\varphi}^{-1/2}) \cap D(\ln \Delta_{\varphi})$ . Using Proposition A.13, it follows that

$$(ax^*)_{\varphi} = \pi_{\varphi}(a) \Delta_{\varphi}^{-1/2} J_{\varphi} x_{\varphi} \in D(\Delta_{\varphi}^{1/2}) = D(S_{\varphi}),$$

hence (2.12. (1))  $xa \in \mathfrak{N}_{\alpha}$ , and

$$(xa)_{\alpha} = S_{\alpha}\pi_{\alpha}(a) S_{\alpha}x_{\alpha} = J_{\alpha}\Delta_{\alpha}^{1/2}\pi_{\alpha}(a) \Delta_{\alpha}^{-1/2}J_{\alpha}x_{\alpha}$$

$$= J_{\varphi}\pi_{\varphi}(b)J_{\varphi}x_{\varphi} - 2i \operatorname{PV} \int_{-\infty}^{+\infty} (e^{\pi t} - e^{-\pi t})^{-1} J_{\varphi}\Delta_{\varphi}^{it}\pi_{\varphi}(b)\Delta_{\varphi}^{-it}J_{\varphi}x_{\varphi} dt.$$

Since  $\pi_{\varphi}(b) \geqslant 0$ , we get

$$\operatorname{Re}\left((xa)_{\varphi}|x_{\varphi}\right)_{\varphi} = (J_{\varphi}\pi_{\varphi}(b)J_{\varphi}x_{\varphi}|x_{\varphi})_{\varphi} = (T'^{2}x_{\varphi}|x_{\varphi})_{\varphi},$$

that is  $\varphi(ax^*x + x^*xa)/2 = \psi(x^*x)$ . The desired conclusion follows now by polarization.

To prove the uniqueness part we consider an element  $a = a^* \in \mathcal{M}$ , such that  $\mathfrak{S}_{\varphi}a \subset \mathfrak{N}_{\varphi}$  and  $\varphi(ay^*x + y^*xa) = 0$  for every  $x, y \in \mathfrak{S}_{\varphi}$ .

Let  $X = J_{\varphi}\pi_{\varphi}(a)J_{\varphi}$ . Then, for  $x, y \in \mathfrak{S}_{\varphi}$ , we get  $0 = (x_{\varphi}|(ya)_{\varphi})_{\varphi} + ((xa)_{\varphi}|y_{\varphi})_{\varphi}$  $= (x_{\varphi}|S_{\varphi}\pi_{\varphi}(a)S_{\varphi}y_{\varphi})_{\varphi} + (S_{\varphi}\pi_{\varphi}(a)S_{\varphi}x_{\varphi}|y_{\varphi})_{\varphi}$  $= (X\Delta_{\varphi}^{-1/2}x_{\varphi}|\Delta_{\varphi}^{1/2}y_{\varphi})_{\varphi} + (X\Delta_{\varphi}^{1/2}x_{\varphi}|\Delta_{\varphi}^{-1/2}y_{\varphi})_{\varphi}.$ 

Using the approximation result (1), for every  $\xi$ ,  $\eta \in D(\Delta_{\varphi}^{1/2}) \cap D(\Delta_{\varphi}^{-1/2})$  we obtain  $(X\Delta_{\varphi}^{-1/2}\xi|\Delta_{\varphi}^{1/2}\eta)_{\varphi} + (X\Delta_{\varphi}^{1/2}\xi|\Delta_{\varphi}^{-1/2}\eta)_{\varphi} = 0$  and by ([L], 9.23) it follows that X = 0, i.e. a = 0.

We now consider some examples.

**6.6.** Let K be an infinite dimensional separable Hilbert space and consider the von Neumann algebras  $\mathcal{M} = \mathcal{B}(\mathcal{K}) \otimes 1_{\mathcal{K}} \otimes 1_{\mathcal{K}}$  and  $\mathcal{N} = \mathcal{B}(\mathcal{K}) \otimes \mathcal{B}(\mathcal{K}) \otimes 1_{\mathcal{K}}$  acting on the Hilbert space  $\mathcal{H} = \mathcal{K} \otimes \mathcal{K} \otimes \mathcal{K}$ . By ([L], 8.15) we know that each of the von Neumann algebras  $\mathcal{M}, \mathcal{M}', \mathcal{N}, \mathcal{N}'$  has a separating vector. By the Dixmier-Maréchal theorem ([77]; [L], C.3.5), the set of separating vectors of any von Neumann algebra is either empty or is a dense  $G_{\delta}$  set. We conclude that there exists a vector in  $\mathcal{H}$  which is simultaneously cyclic and separating for both  $\mathcal{M}$  and  $\mathcal{N}$ .

Thus, there exist von Neumann algebras  $\mathcal{M} \subset \mathcal{N} \subset \mathcal{B}(\mathcal{H})$ ,  $\mathcal{M} \neq \mathcal{N}$ , having a common cyclic and separating vector  $\xi_0 \in \mathcal{H}^*$ .

Let  $A = \Delta(\mathcal{M}, \xi_0)$  and  $\mathcal{B} = \Delta(\mathcal{N}, \xi_0)$  be the associated modular operators ([L], 10.6). Then A and B are nonsingular positive self-adjoint operators in  $\mathcal{H}$  such that

(1) 
$$D(A^{1/2}) \subset D(B^{1/2})$$
 and  $||B^{1/2}\xi|| = ||A^{1/2}\xi||$  for all  $\xi \in D(A^{1/2})$ 

but

$$(2) B \neq A.$$

Indeed, for the corresponding S operators we have  $S(\mathcal{M}, \xi_0) \subset S(\mathcal{N}, \xi_0)$  and, consequently, for  $\xi \in D(A^{1/2})$  we get

$$\begin{split} \|A^{1/2}\xi\| &= \|J(\mathcal{M},\,\xi_0)\,S(\mathcal{M},\,\xi_0)\xi\| = \|S(\mathcal{M},\,\xi_0)\xi)\| = \|S(\mathcal{N},\,\,\xi_0)\xi\| \\ &= \|J(\mathcal{N},\,\,\xi_0)\,S(\mathcal{N},\,\xi_0)\xi)\| = \|B^{1/2}\xi\|, \end{split}$$

hence assertion (1) holds. Also (2) holds, for if A = B then  $J(\mathcal{M}, \xi_0) = J(\mathcal{N}, \xi_0) = J$  and, since  $\mathcal{M} \subset \mathcal{N}$ , we obtain  $\mathcal{N}' \subset \mathcal{M}'$  and also  $\mathcal{M}' = J\mathcal{M}J\subset J\mathcal{N}J = \mathcal{N}'$ , that is  $\mathcal{M} = \mathcal{N}$ , a contradiction.

Consider now the canonical trace tr on  $\mathcal{B}(\mathcal{H})$  and the n.s.f. weights  $\varphi = tr_A$ ,  $\psi = tr_B$  on  $\mathcal{B}(\mathcal{H})$  (cf. 4.4). From assertion (1) it follows that  $B \leq A$  and hence (4.5)

$$\psi \leqslant \varphi,$$

<sup>\*)</sup> Using the T-theorem ([L]; C.6.1) it is easy to see that this situation can occur only if  $\mathcal{M}$ ,  $\mathcal{N}$ ,  $\mathcal{N}'$ ,  $\mathcal{N}'$ , are all infinite.

while from assertion (2) we obtain (4.5, 4.8)

$$\psi \neq \varphi.$$

Also, we have

(5) 
$$\psi(x^*x) = \varphi(x^*x) \text{ for every } x \in \mathfrak{N}_{\varphi}.$$

Indeed, from (1) it follows that the operator  $B^{1/2}A^{-1/2}$  is defined and isometric on  $D(A^{-1/2})$  so, by ([L], Prop. 9.24), the function  $\alpha \mapsto F(\alpha) = \overline{B^{\alpha}A^{-\alpha}}$  satisfies condition 3.14. (ii).

This example shows that the equivalent conditions of Corollary 3.14 do not insure that  $\psi = \varphi$ , and that in Theorem 6.2 we cannot omit the condition that  $\psi$  commutes with  $\varphi$ . Also, the example shows that Proposition 6.1 is no longer valid if the operator a is not assumed to be bounded.

6.7. Consider again the canonical trace tr on  $\mathcal{B}(\mathcal{H})$ , a non-singular positive self-adjoint operator A in  $\mathcal{H}$  and the n.s.f. weight  $\phi = tr_A$  on  $\mathcal{B}(\mathcal{H})$ .

Let  $a \in \mathfrak{N}_{\sigma}$  and  $x_0 = \xi \otimes \bar{\eta}$  with  $\xi \in D(A^{1/2})$  and  $\eta \notin D(A^{1/2})$ . Then (4.23)  $x_0 \in \mathfrak{N}_{\sigma}^*$ ,

hence  $x_0 a \in \mathfrak{M}_{\alpha}$ , but  $ax_0 = a\xi \otimes \bar{\eta} \notin \mathfrak{M}_{\alpha}$ , hence  $ax_0 + x_0 a \notin \mathfrak{M}_{\alpha}$ .

It follows that the assertion of Proposition 6.4 cannot be extended to all  $x \in \mathcal{M}$ . In what follows we show that nor can the assertion of Proposition 6.5 be extended to all  $x \in \mathfrak{M}_m$ .

By (A.14) there exist  $b \in \mathcal{B}(\mathcal{H})$ ,  $0 \le b \le 1$ , a non-singular positive self-adjoint operator A in  $\mathcal{H}$  and a vector  $\zeta \in D(A^{-1/2})$  such that, putting

(1) 
$$a = \int_{-\infty}^{+\infty} 2 \left( e^{\pi t} + e^{-\pi t} \right)^{-1} A^{it} b A^{-it} dt \in \mathcal{B}(\mathcal{H}),$$

we have  $0 \le a \le 1$  and  $aA^{-1/2}\zeta \notin D(A^{1/2})$ . Then, for  $\eta = A^{-1/2}\zeta$  we have

$$\eta \in D(A^{1/2}), \ a\eta \notin D(A^{1/2}).$$

On the other hand, for an arbitrary vector  $\xi_0 \in D(A^{-1/2}) \cap D(\ln A)$ , the vector  $\xi = A^{-1/2}\xi_0$  has the properties (A.13):

$$\xi \in D(A^{1/2}), \ a\xi \in D(A^{1/2}).$$

Consider the n.s.f. weight  $\varphi = tr_A$  on  $\mathscr{B}(\mathscr{H})$  and the operator  $x_0 = \xi \otimes \bar{\eta}$ . Then (4.23)  $x_0 \in \mathfrak{M}_{\varphi}$  and  $ax_0 = a\xi \otimes \bar{\eta} \in \mathfrak{M}_{\varphi}$ , but  $x_0 a = \xi \otimes \overline{a\eta} \notin \mathfrak{M}_{\varphi}$ , hence  $ax_0 + x_0 a \notin \mathfrak{M}_{\varphi}$ .

Using the same arguments as in ([L], 10.16. (1), (2), (3)) one shows that a weight  $\psi$  on  $\mathcal{B}(\mathcal{H})$  is defined by

$$\psi(y) = \begin{cases} \|J_{\varphi}\pi_{\varphi}(b^{1/2})J_{\varphi}(y^{1/2})_{\varphi}\|_{\varphi}^{2}, & \text{if } y \in \mathfrak{M}_{\varphi} \\ +\infty, & \text{in the contrary case} \end{cases} (y \in \mathcal{B}(\mathcal{H})^{+}).$$

Since  $b \le 1$ , we have  $\psi \le \varphi$ . The element a defined by (1) is exactly the element given by the proof of Proposition 6.5, that is, the unique element  $a \in \mathcal{M}$ ,  $0 \le a \le 1$ , such that  $\mathfrak{S}_n a \subset \mathfrak{R}_n$  and

$$x \in \mathfrak{S}_{\varphi}^2 \Rightarrow ax + xa \in \mathfrak{M}_{\varphi} \text{ and } \psi(x) = \varphi(ax + xa)/2,$$

but  $x_0 \in \mathfrak{M}_{\omega}$  and  $ax_0 + x_0 a \notin \mathfrak{M}_{\omega}$ .

**6.8.** We now give an example connected with the Radon-Nikodym type theorem of Sakai ([L], 5.21), namely we show that on  $\mathcal{B}(\mathcal{H})$  there exist an n.s.f. weight  $\varphi$  and a normal positive form  $\psi \leq \varphi$  such that  $\psi \neq \varphi(a \cdot a)$  for all  $a \in \mathcal{M}^+$ .

Indeed, let  $\{\xi_n\}_{n\in\mathbb{N}}$  be an orthonormal basis of the separable infinite dimensional Hilbert space  $\mathcal{H}$ . Let A be the positive self-adjoint operator in  $\mathcal{H}$ , diagona-

lizable with respect to  $\{\xi_n\}_{n\in\mathbb{N}}$ , such that

$$A\xi_n=n\xi_n \qquad (n\in\mathbb{N}),$$

and let  $e \in \mathcal{B}(\mathcal{H})$  be the orthogonal projection onto the linear subspace spanned by the vector  $\xi = \sum_{n} n^{-1} \xi_n \in \mathcal{H}$ .

Define  $\varphi = tr_A$  and  $\psi = tr_e$ . Then  $\varphi$  is an n.s.f. weight on  $\mathcal{B}(\mathcal{H})$ ,  $\psi$  is a normal positive form on  $(\mathcal{B}(\mathcal{H}), \text{ and } \psi \leq \varphi \text{ as } e \leq 1 \leq A.$ 

Assume that there exists  $a \in \mathcal{B}(\mathcal{H})^+$  such that  $\psi = \varphi(a \cdot a)$ .

Let  $\eta \in \mathcal{H}$ ,  $\|\eta\| = 1$  and  $f = \eta \otimes \bar{\eta}$ . Then

$$\lim_{\varepsilon \to 0} (aA_{\varepsilon}a\eta|\eta) = \lim_{\varepsilon \to 0} tr(faA_{\varepsilon}af) = \lim_{\varepsilon \to 0} tr(A_{\varepsilon}^{1/2}afaA_{\varepsilon}^{1/2}) = \varphi(afa)$$
$$= \psi(f) = tr(efe) = tr(fef) = (e\eta|\eta),$$

hence  $aA_{\varepsilon}a \xrightarrow{wo} e$ . Since  $A_{\varepsilon} \uparrow A$ , it follows that  $aA_{\varepsilon}a \uparrow e$ . As  $A \geqslant 1$ , we have  $A_{\varepsilon} = A(1 + \varepsilon A)^{-1} \geqslant (1 + \varepsilon)^{-1}$ , hence  $a^2 \leqslant e$ . Since e is a minimal projection, we conclude  $a = \lambda e$  with  $0 \leqslant \lambda \leqslant 1$ , so that

$$\|\xi\|^2 = (e\xi|\xi) \geqslant (aA_{\varepsilon}a\xi|\xi) = \lambda^2(A_{\varepsilon}\xi|\xi) = \lambda^2 \sum_{n} n^{-1}(1+\varepsilon n)^{-1}$$

tends to  $+\infty$  when  $\varepsilon \to 0$ , a contradiction.

**6.9.** Let us denote by  $W_{nsf}(\mathcal{M})$  the set of all n.s.f. weights on the W\*-algebra  $\mathcal{M}$ . For  $\lambda \in [0, +\infty]$  and  $\varphi_1, \varphi_2 \in W_{nsf}(\mathcal{M})$  write

$$\varphi_2 \leqslant \varphi_1(\lambda)$$

if there exists an  $\mathcal{M}$ -valued function F, defined and w-continuous on the strip  $\{\alpha \in \mathbb{C}; 0 \leq \operatorname{Re} \alpha \leq \lambda\}$ , analytic in the interior of this strip, such that  $F(it) = [D\varphi_2: D\varphi_1]_t$  for every  $t \in \mathbb{R}$  and  $||F(\alpha)|| \leq 1$  for every  $\alpha \in \mathbb{C}$ ,  $0 \leq \operatorname{Re} \alpha \leq \lambda$ .

**Proposition.** Let  $\mathcal{M}$  be a  $W^*$ -algebra. For each  $\lambda \in [0, +\infty]$ , the relation " $\varphi_2 \leqslant \varphi_1(\lambda)$ " is an order relation on  $W_{n,t}(\mathcal{M})$ .

*Proof.* Let  $S(\lambda) = \{ \alpha \in \mathbb{C}; \ 0 \le \operatorname{Re} \alpha \le \lambda \}$  and  $D(\lambda) = \{ \alpha \in \mathbb{C}; \ -\lambda < \operatorname{Re} \alpha < \lambda \}.$  It is obvious that  $\varphi \le \varphi(\lambda)$  for all  $\varphi \in W_{-r}(\mathcal{M})$ .

Let  $\varphi_1, \varphi_2, \varphi_3 \in W_{nsf}(\mathcal{M})$  be such that  $\varphi_3 \leq \varphi_2(\lambda), \varphi_2 \leq \varphi_1(\lambda)$  and denote by  $F_{32}: S(\lambda) \to \mathcal{M}, F_{21}: S(\lambda) \to \mathcal{M}$  the corresponding functions. Using the chain rule (3.5) it is easy to check that the properties of the function  $F_{31}: S(\lambda) \to \mathcal{M}$  defined

by  $F_{31}(\alpha) = F_{32}(\alpha) F_{21}(\alpha)$ ,  $(\alpha \in S(\lambda))$ , yield the relation  $\varphi_3 \leqslant \varphi_1(\lambda)$ .

Let  $\varphi_1, \varphi_2 \in W_{nsf}(\mathcal{M})$  be such that  $\varphi_2 \leqslant \varphi_1(\lambda)$  and  $\varphi_1 \leqslant \varphi_2(\lambda)$ , and denote by  $F_{21} \colon S(\lambda) \to \mathcal{M}$ ,  $F_{12} \colon S(\lambda) \to \mathcal{M}$  the corresponding functions. Let  $\psi$  be an arbitrary normal state on  $\mathcal{M}$  and  $f_{21} = \psi \circ F_{21}$ ,  $f_{12} = \psi \circ F_{12}$ . By Corollary 3.4 we have  $F_{21}(it) = F_{12}(it)^*$ , hence  $f_{21}(it) = \overline{f_{12}(it)} = \overline{f_{12}(-it)}$  for all  $t \in \mathbb{R}$ . It follows that the function  $f_{21}$  can be extended to an analytic function, still denoted by  $f_{21}$ , defined on  $D(\lambda)$ , and such that  $|f_{21}(\alpha)| \leqslant 1$  ( $\alpha \in D(\lambda)$ ). Since  $f_{21}(0) = 1$ , by the maximum modulus principle we get  $f_{21}(\alpha) = 1$  ( $\alpha \in D(\lambda)$ ). In particular,  $\psi(F_{21}(it)) = f_{21}(it) = 1$  for all  $t \in \mathbb{R}$ . Since  $\psi$  was an arbitrary normal state on  $\mathcal{M}$  we infer that  $[D\varphi_2 \colon D\varphi_1]_t = F_{21}(it) = 1$  ( $t \in \mathbb{R}$ ), that is,  $\varphi_2 = \varphi_1$  by Corollary 3.6.

By Corollary 3.13, the ordering  $\varphi_2 \leq \varphi_1$  (1/2) is the usual pointwise ordering  $\varphi_2(x) \leq \varphi_1(x)$ ,  $(x \in \mathcal{M})^+$ . On the other hand, the ordering  $\varphi_2 \leq \varphi_1$  (1/4) for faithful normal positive forms is studied in Proposition 3.18.

It is clear that if  $\varphi_2 \leqslant \varphi_1(\lambda)$  and  $\mu < \lambda$ , then  $\varphi_2 \leqslant \varphi_1(\mu)$ .

In particular,

$$\varphi_2 \leqslant \varphi_1(\infty) \Rightarrow \varphi_2 \leqslant \varphi_1.$$

**6.10.** With the help of the ordering corresponding to  $\lambda = \infty$  we can define a metric d on the set  $W_{nsf}(\mathcal{M})$  by putting, for  $\varphi_1, \varphi_2 \in W_{nsf}(\mathcal{M})$ ,

$$d(\varphi_1, \varphi_2) = \inf \{\delta > 0; \ \varphi_2 \leqslant e^{\delta} \varphi_1(\infty), \ \varphi_1 \leqslant e^{\delta} \varphi_2(\infty) \}.$$

By Proposition 6.9, d is indeed a metric on  $W_{nsf}(\mathcal{M})$ . By remark 6.9.(1) we see that if  $d(\varphi_1, \varphi_2) \leq \delta$ , then

$$e^{-\delta}\varphi_1(x) \leqslant \varphi_2(x) \leqslant e^{\delta}\varphi_1(x) \qquad (x \in \mathcal{M}^+).$$

It follows that for every  $x \in \mathcal{M}^+$ 

(1) the function 
$$W_{nsf}(\mathcal{M}) \ni \varphi \mapsto \varphi(x)$$
 is d-continuous.

Also, if  $\varphi_1$ ,  $\varphi_2$  are faithful normal positive forms on  $\mathcal{M}$  and  $d(\varphi_1, \varphi_2) \leq \delta$ , then

$$\|\varphi_{2} - \varphi_{1}\| \leq 2 \sup \{|\varphi_{2}(x) - \varphi_{1}(x)|; x \in \mathcal{M}^{+}, \|x\| \leq 1\}$$
  
$$\leq 2 \max \{e^{\delta} - 1, 1 - e^{-\delta}\} \leq 4\varepsilon,$$

hence

(2) 
$$\|\varphi_1 - \varphi_2\| \leq 4 \ d(\varphi_1, \, \varphi_2).$$

Let  $\varphi_1$ ,  $\varphi_2 \in W_{nsf}(\mathcal{M})$  and assume that  $d(\varphi_1, \varphi_2) \leq \delta < +\infty$ . Then there exist  $\mathcal{M}$ -valued functions  $G_{21}$ ,  $G_{12}$  defined and w-continuous on  $\{\alpha \in \mathbb{C} : \text{Re } \alpha \geq 0\}$ , analytic in  $\{\alpha \in \mathbb{C} : \text{Re } \alpha > 0\}$ , such that  $\|G_{21}(\alpha)\| \leq 1$ ,  $\|G_{12}(\alpha)\| \leq 1$  and

$$G_{21}(\mathrm{i}t) = [D\varphi_2: D(\mathrm{e}^{\delta}\varphi_1)]_t = \mathrm{e}^{-\mathrm{i}t\delta}[D\varphi_2: D\varphi_1]_t,$$

$$G_{12}(\mathrm{i}t) = [D\varphi_1:D(\mathrm{e}^\delta\varphi_2)] = \mathrm{e}^{-\mathrm{i}t\delta}[D\varphi_1:D\varphi_2]_t.$$

Taking into account Corollary 6.4, we see that the function  $F_{21}: \mathbb{C} \to \mathcal{M}$  defined by

$$F_{21}(\alpha) = \begin{cases} e^{\delta \alpha} G_{21}(\alpha) & \text{, if } \operatorname{Re} \alpha \geqslant 0 \\ e^{-\delta \alpha} G_{12}(-\bar{\alpha})^*, & \text{if } \operatorname{Re} \alpha \leqslant 0 \end{cases}$$

is entire analytic and we have

$$||F_{21}(\alpha)|| \leqslant e^{\delta|\operatorname{Re}\alpha|} \qquad (\alpha \in \mathbb{C}),$$

(4) 
$$F_{21}(\mathrm{i}t) = [D\varphi_2: D\varphi_1]_t \qquad (t \in \mathbb{R}).$$

Thus, the relation  $d(\varphi_1, \varphi_2) \leq \delta$  is equivalent to the existence of an entire analytic  $\mathcal{M}$ -valued function  $F_{21}$  satisfying (3) and (4)

To continue the study of the metric d we need the following

**Lemma.** For every  $\varepsilon > 0$  and every r > 0 there exists  $\delta = \delta(\varepsilon, r) > 0$  such that if F is a Banach space valued entire analytic function with the property  $||F(\alpha)|| \le e^{\delta|\operatorname{Re}\alpha|}$ ,  $(\alpha \in \mathbb{C})$ , then

$$||F(\alpha) - F(0)|| \le \varepsilon \text{ for all } \alpha \in \mathbb{C} \text{ with } |\alpha| < r.$$

Proof. Let  $\mathscr{A}$  be the linear space of all entire analytic complex valued functions equipped with the compact-open topology and denote by  $\mathscr{K}(\delta)$  the set of those  $f \in \mathscr{A}$  such that  $|f(\alpha)| \leq e^{\delta|\operatorname{Re} \alpha|}$  for all  $\alpha \in \mathbb{C}$ . Then each  $\mathscr{K}(\delta)$  is a compact subset of  $\mathscr{A}$  and  $\bigcap_{\delta>0} \mathscr{K}(\delta) = \{\alpha \in \mathbb{C}; |\alpha| \leq 1\} = \mathscr{K}(0)$ . On the other hand,  $\mathscr{D}(\varepsilon, r) = \{f \in \mathscr{A}; |f(\alpha) - f(0)| < \varepsilon \text{ for all } \alpha \in \mathbb{C} \text{ with } |\alpha| \leq r\}$  is an open subset of  $\mathscr{A}$  and  $\mathscr{D}(\varepsilon, r) \supset \mathscr{K}(0)$ . It follows that there exists  $\delta = \delta(\varepsilon, r) > 0$  such that  $\mathscr{D}(\varepsilon, r) \supset \mathscr{K}(\delta)$  and it is easy to check that this  $\delta = \delta(\varepsilon, r)$  satisfies the requirements of the Lemma.

Thus, if 
$$d(\varphi_1, \varphi_2) \leq \delta = \delta(\varepsilon, r)$$
, then

(5) 
$$||F_{21}(\alpha) - 1|| \leq \varepsilon \text{ for all } \alpha \in \mathbb{C} \text{ with } |\alpha| \leq r.$$

Moreover, for any other  $\varphi_0 \in W_{nsf}(\mathcal{M})$  such that  $d(\varphi_1, \varphi_0) = \gamma < +\infty$  we have  $F_{20}(\alpha) = F_{21}(\alpha) F_{10}(\alpha)$  ( $\alpha \in \mathbb{C}$ ), and using (3) and (5) we obtain

(6) 
$$||F_{20}(\alpha) - F_{10}(\alpha)|| \leq \varepsilon e^{\gamma |\operatorname{Re} \alpha|} \text{ for all } \alpha \in \mathbb{C} \text{ with } |\alpha| \leq r.$$

**Proposition.** The metric space  $(W_{nsf}(\mathcal{M}), d)$  is complete.

Proof. Let  $\{\varphi_n\}_{n\geq 0}$  be a *d*-Cauchy sequence in  $W_{nsf}(\mathcal{M})$ . By (6) it follows that the sequence  $\{F_{n0}\}_{n\geq 0}$  converges uniformly on compact subsets of  $\mathbb{C}$  to a function  $F_{\infty 0}: \mathbb{C} \to \mathcal{M}$ . Consequently, the function  $F_{\infty 0}$  is entire analytic and the mapping  $\mathbb{R}\ni t\mapsto F_{\infty 0}(it)\in U(\mathcal{M})$  is a  $\sigma^{\varphi_0}$ -cocycle. By Theorem 5.1 there exists  $\varphi_\infty\in W_{nsf}(\mathcal{M})$  such that  $F_{\infty 0}(it)=[D\varphi_\infty:D\varphi_0]$ , for every  $t\in\mathbb{R}$ .

such that  $F_{\infty 0}(\mathrm{i}t) = [D\varphi_{\infty} \colon D\varphi_0]_t$  for every  $t \in \mathbb{R}$ . Let  $\varepsilon > 0$ . Since  $\{\varphi_n\}$  is a d-Cauchy sequence there exists  $m \in \mathbb{N}$  such that  $\|F_{nm}(\alpha)\| \le e^{\varepsilon|\mathrm{Re}\ \alpha|}$   $(\alpha \in \mathbb{C})$  for every  $n \ge m$ . It follows that

$$||F_{\infty m}(\alpha)|| = ||F_{\infty 0}(\alpha)F_{0m}(\alpha)|| = \lim_{n} ||F_{n0}(\alpha)F_{0m}(\alpha)||$$

$$= \lim_{n} ||F_{nm}(\alpha)|| \leq e^{\epsilon|\operatorname{Re}\alpha|}$$

for all  $\alpha \in \mathbb{C}$ , that is  $d(\varphi_{\infty}, \varphi_m) \leq \varepsilon$ . Hence  $d(\varphi_{\infty}, \varphi_m) \to 0$ .

6.11. Notes. Theorem 6.2 is a refinement, given in [269], of the Pedersen-Takesaki theorem on the equality of weights [187]. Propositions 6.4, 6.5 and the examples in Section 6.7 are due to van Daele [66]. The examples in Sections 6.6 and 6.8 are from [38] and [187]. The material contained in Sections 6.9 and 6.10 is due to Connes and Takesaki [61].

For our exposition we have used [38], [61], [66], [187], and [269].

## §7. The spatial derivative

In this Section we introduce a positive self-adjoint operator called the spatial derivative of a weight  $\varphi$  on a von Neumann algebra  $\mathcal{M} \subset \mathcal{B}(\mathcal{H})$  with respect to a weight  $\varphi'$  on its commutant  $\mathcal{M}' \subset \mathcal{B}(\mathcal{H})$ , as a generalization of the modular operator. By way of applications we give several continuity properties.

7.1. Let  $\psi$  be an n.s.f. weight on the von Neuman algebra  $\mathcal{N} \subset \mathcal{B}(\mathcal{H})$ .

A vector  $\eta \in \mathcal{H}$  is called  $\psi$ -bounded if there exists a constant  $0 < \lambda < +\infty$  such that  $||b\eta|| \le \lambda ||b_{\psi}||_{\psi}$  for every  $b \in \mathfrak{N}_{\psi}$ . Let

$$D(\mathcal{H}, \psi) = \{ \eta \in \mathcal{H}; \eta \text{ is } \psi\text{-bounded} \}.$$

We show that

(1) 
$$D(\mathcal{H}, \psi)$$
 is a dense linear subspace of  $\mathcal{H}$ .

Indeed, by Corollary 5.9 there is a family  $\{\eta_k\}_{k\in K}\subset \mathcal{H}$  such that  $\psi(y)=\sum_k (y\eta_k|\eta_k)$  for all  $y\in \mathcal{N}^+$ . For each  $k\in K$  we have  $\eta_k\in D(\mathcal{H},\psi)$  as  $\|b\eta_k\|^2=(b^*b\eta_k|\eta_k)\leqslant$ 

 $\leq \psi(b^*b) = \|b_{\psi}\|_{\psi}^2$   $(b \in \mathfrak{N}_{\psi})$ . On the other hand, it is clear that  $D(\mathcal{H}, \psi)$  is an  $\mathcal{N}'$ -invariant linear subspace of  $\mathcal{H}$ , so that the orthogonal projection  $f \in \mathcal{B}(\mathcal{H})$  onto the closure of  $D(\mathcal{H}, \psi)$  belongs to  $\mathcal{N}$ . Since  $f \eta_k = \eta_k$ ,  $(k \in K)$ , it follows that  $\psi(1-f) = 0$ , hence f = 1 since  $\psi$  is faithful.

Each vector  $\eta \in D(\mathcal{H}, \psi)$  defines a bounded operator  $R_{\eta}^{\psi} \colon \mathcal{H}_{\psi} \to \mathcal{H}$ , uniquely determined, such that  $R_{\eta}^{\psi}b_{\psi} = b\eta$ ,  $(b \in \mathfrak{N}_{\psi})$ , and its adjoint  $(R_{\eta}^{\psi})^* \colon \mathcal{H} \to \mathcal{H}_{\psi}$ , is uniquely determined by  $((R_{\eta}^{\psi})^* \xi | b_{\psi})_{\psi} = (\xi | b\eta)$  for every  $b \in \mathfrak{N}_{\psi}$ ,  $\xi \in \mathcal{H}$ .

It is easy to check that

(2) 
$$\eta \in D(\mathcal{H}, \psi) \text{ and } y' \in \mathcal{N}' \Rightarrow y' \eta \in D(\mathcal{H}, \psi) \text{ and } R_{y' \eta}^{\psi} = y' R_{\eta}^{\psi}$$

and that the operators  $R_{\eta}^{\psi}: \mathcal{H}_{\psi} \to \mathcal{H}$  intertwine the standard representation  $\pi_{\psi}$  of  $\mathcal{N}$  and the identity representation of  $\mathcal{N}$ :

(3) 
$$\eta \in D(\mathcal{H}, \psi) \text{ and } y \in \mathcal{N} \Rightarrow yR_{\eta}^{\psi} = R_{\eta}^{\psi}\pi_{\psi}(y).$$

Also,

(4) 
$$\eta \in D(\mathcal{H}, \psi) \Rightarrow \eta \in \overline{R_{\eta}^{\psi}(\mathcal{H}_{\psi})} = s(R_{\eta}^{\psi}(R_{\eta}^{\psi})^{*})\mathcal{H}$$

since if  $\mathfrak{N}_{\psi} \ni b_k \stackrel{so}{\to} 1$  then  $R_{\eta}^{\psi}(b_k)_{\psi} = b_k \eta \to \eta$ .

Let  $\mathcal{J}(\mathcal{H}, \psi)$  be the linear subspace spanned in  $\mathcal{B}(\mathcal{H})$  by the operators of form  $R^{\psi}_{\eta}(R^{\psi}_{\xi})^*$  with  $\eta, \zeta \in D(\mathcal{H}, \psi)$ . Then

(5) 
$$\mathscr{J}(\mathscr{H}, \psi)$$
 is an s\*-dense two-sided ideal in  $\mathscr{N}'$ .

Indeed, the fact that  $\mathscr{J}(\mathscr{K}, \psi)$  is a two-sided ideal in  $\mathscr{N}'$  follows from (2) and (3). Let  $y' \in \mathscr{N}'$ ,  $y' \neq 0$ . From (1) it follows that there exists  $\eta \in D(\mathscr{K}, \psi)$  with  $y'\eta \neq 0$ . Using (4) and (2) we get  $0 \neq R_{\mathscr{V},\eta}^{\mathsf{w}}(R_{\mathscr{V},\eta}^{\mathsf{w}})^* = y'R_{\eta}^{\mathsf{w}}(R_{\eta}^{\mathsf{w}})^*y'^* \leq ||R_{\eta}^{\mathsf{w}}||^2 y'y'^*$ . Thus, for every positive element  $b' = y'y'^* \neq 0$  of  $\mathscr{N}'$  there exists a positive element  $c' = R_{\mathscr{V},\eta}^{\mathsf{w}}(R_{\mathscr{V},\eta}^{\mathsf{w}})^* \neq 0$  of the two-sided ideal  $\mathscr{J}(\mathscr{K}, \psi)$  such that  $c' \leq b'$ . By ([L], 3.20) it follows that  $\mathscr{J}(\mathscr{K}, \psi)$  is  $s^*$ -dense in  $\mathscr{N}'$ .

Also,

(6) every positive element in 
$$\mathcal{J}(\mathcal{H}, \psi)$$
 is of the form  $\sum_{k=1}^{n} R_{\eta_{k}}^{\psi}(R_{\eta_{k}}^{\psi})^{*}$  with  $\eta_{k} \in D(\mathcal{H}, \psi)$  and  $n \in \mathbb{N}$ .

Indeed, let 
$$b' = \sum_{k=1}^{n} R_{\eta_{k}}^{\psi}(R_{\gamma_{k}}^{\psi})^{*} \in J(\mathcal{H}, \psi), b' > 0$$
. Then  $b' = (b' + b'^{*})/2$ , so

$$0 \leq 2^{-1} \sum_{k=1}^n (R^{v}_{\eta_k}(R^{v}_{\zeta_k})^* + R^{v}_{\zeta_k}(R^{v}_{\eta_k})^*) = b' \leq c' = 2^{-1} \sum_{k=1}^n R^{v}_{\eta_k + \zeta_k}(R^{v}_{\eta_k + \zeta_k})^*.$$

Since  $0 \le b' \le c'$ , it follows (1.4) that there exists  $y' \in \mathcal{N}'$  such that  $b' = y'c'y'^*$ . Consequently,

$$b' = 2^{-1} \sum_{k=1}^{n} R^{\psi}_{y'(\eta_k + \zeta_k)} (R^{\psi}_{y'(\eta_k + \zeta_k)})^*.$$

Finally, we show that

there exists a family  $\{\eta_k\}_k \subset D(\mathcal{H}, \psi)$  such that

(7) 
$$s^* - \sum_k R^{\psi}_{\eta_k}(R^{\psi}_{\eta_k})^* = 1$$

Indeed, since the two-sided ideal  $\mathcal{J}(\mathcal{H}, \psi)$  is  $s^*$ -dense in  $\mathcal{N}'$ , there exists a series of positive elements in  $\mathcal{J}(H, \psi)$  which is  $s^*$ -convergent to 1. Thus (7) follows using (6).

7.2. In the particular case when  $\mathcal{N} = \pi_{\psi}(\mathcal{N}) \subset \mathcal{B}(\mathcal{H}_{\psi})$ , the operators  $R_{\eta}^{\psi}$  are just the operators  $R_{\eta} \in \pi_{\psi}(\mathcal{N})'$  considered in Section 2.12. If  $\psi'$  is the natural weight on  $\pi_{\psi}(\mathcal{N})'$ , then (2.12. (7))

$$\psi'(R_{\zeta}^*R_{\eta})=(\eta|\zeta)_{\psi} \qquad (\eta,\; \zeta\in D(\mathscr{H}_{\psi},\; \psi)).$$

In the general case considered in Section 7.1, the following similar result holds:

(1) 
$$\eta, \ \zeta \in D(\mathcal{H}, \ \psi) \Rightarrow (R_{\zeta}^{\psi})^* R_{\eta}^{\psi} \in \mathfrak{M}_{\psi'} \ and \ \psi'((R_{\zeta}^{\psi})^* R_{\eta}^{\psi}) = (\eta \mid \zeta).$$

Indeed, due to the polarization relation ([L], 3.21) it is sufficient to consider only the case  $\zeta = \eta$ . Then let  $(R_{\eta}^{\psi})^* = V(R_{\eta}^{\psi}(R_{\eta}^{\psi})^*)^{1/2}$  be the polar decomposition of  $(R_{\eta}^{\psi})^*$ . Using 7.1. (4) and 7.1. (3) we see that the partial isometry  $V: \mathcal{H} \to \mathcal{H}_{\psi}$  has the properties

$$V^*V\eta = \eta$$
 and  $\pi_{\psi}(y)V = Vy$  for every  $y \in \mathcal{N}$ .

Since  $V\eta \in \mathcal{H}_{\psi}$  and  $\pi_{\psi}(b)V\eta = Vb\eta = VR_{\eta}^{w}b_{\psi}$   $(b \in \mathfrak{N}_{\psi})$ , it follows that  $V\eta \in D(\mathcal{H}_{\psi}, \psi)$  and  $R_{V\eta} = VR_{\eta}^{w}$ . Consequently,  $R_{\eta}^{\psi} = V^{*}R_{V\eta}$ ,  $(R_{\eta}^{w})^{*}R_{\eta}^{w} = R_{V\eta}^{*}R_{V\eta}$  and  $\psi'((R_{\eta}^{w})^{*}R_{\eta}^{w}) = \psi'(R_{V\eta}^{*}R_{V\eta}) = ||V\eta||_{\psi}^{2} = ||\eta||^{2}$ .

7.3. Consider now a von Neumann algebra  $\mathcal{M} \subset \mathcal{B}(\mathcal{H})$  with commutant  $\mathcal{N} = \mathcal{M}' \subset \mathcal{B}(\mathcal{H})$ ; let  $\varphi$  be a normal semifinite weight on  $\mathcal{M}$  and  $\psi$  an n.s.f. weight on  $\mathcal{N}$ .

We show that the function

$$q: D(\mathcal{H}, \psi) \ni \eta \mapsto q(\eta) = \varphi(R_{\eta}^{\psi}(R_{\eta}^{\psi})^{*}) \in [0, +\infty]$$

is lower semicontinuous and has the following properties:

(1) 
$$D(q) = \{ \eta \in D(\mathcal{H}, \psi); q(\eta) < +\infty \} \text{ is dense in } \mathcal{H};$$

(2) 
$$q(\lambda \eta) = |\lambda|^2 \ q(\eta) \text{ for all } \eta \in D(\mathcal{H}, \psi) \text{ and } \lambda \in \mathbb{C};$$

(3) 
$$q(\eta + \zeta) + q(\eta - \zeta) = 2q(\eta) + 2q(\zeta) \text{ for all } \eta, \zeta \in D(\mathcal{H}, \psi).$$

Indeed, by Corollary 5.9 there exists a family  $\{\xi_i\}_{i\in I} \subset \mathcal{H}$  such that  $\varphi(x) = \sum_i (x\xi_i|\xi_i)$   $(x \in \mathcal{M}^+)$ . Then, for  $\eta \in D(\mathcal{H}, \psi)$ , we get

$$q(\eta) = \sum_{i} \| (R_{\eta}^{\psi})^{*} \xi_{i} \|_{\psi}^{2} = \sum_{i} \sup_{\substack{b \in \Re_{\psi} \\ |b_{\psi}|_{\psi} < 1}} |((R_{\eta}^{\psi})^{*} \xi_{i} | b_{\psi})_{\psi}|$$

$$= \sum_{\substack{l \ b \in \mathfrak{R}_{\psi} \\ \|b_{\psi}\|_{\psi} < 1}} |(b^{*}\xi_{l}|\eta)|,$$

hence q is lower semicontinuous.

Since  $\varphi$  is semifinite,  $\mathfrak{N}_{\varphi}^*$  is s-dense in  $\mathscr{M}$  so that the set  $\{x\eta; x \in \mathfrak{N}_{\varphi}^*, \eta \in D(\mathscr{H}, \psi)\}$  is total in  $\mathscr{H}$  and contained in  $D(\mathscr{H}, \psi)$ . For  $x \in \mathfrak{N}_{\varphi}^*$  and  $\eta \in D(\mathscr{H}, \psi)$  we have  $q(x\eta) = \varphi(R_{\chi\eta}^w(R_{\chi\eta}^w)^*) = \varphi(xR_{\eta}^w(R_{\eta}^w)^*x^*) \le ||R_{\eta}^w||^2 \varphi(xx^*) < +\infty$ , so that  $x\eta \in D(q)$ . This proves (1), while (2) and (3) are obvious.

By (A.10) it follows that there exists a greatest positive self-adjoint operator  $\Delta(\varphi/\psi)$  in  $\mathcal{H}$  such that

(4) 
$$D(\Delta(\varphi/\psi)^{1/2}) \supset D(q),$$

(5) 
$$\eta \in D(\mathcal{H}, \psi) \Rightarrow \|\Delta(\varphi/\psi)^{1/2}\eta\|^2 = \varphi(R_n^{\psi}(R_n^{\psi})^*),$$

and we have

(6) 
$$\overline{\Delta(\varphi/\psi)^{1/2}|D(q)} = \Delta(\varphi/\psi)^{1/2}.$$

The operator  $\Delta(\varphi/\psi)$  is called the spatial derivative of the normal semifinite weight  $\varphi$  on  $\mathcal{M} \subset \mathcal{B}(\mathcal{H})$  with respect to the n.s.f. weight  $\psi$  on  $\mathcal{N} = \mathcal{M}' \subset \mathcal{B}(\mathcal{H})$ . Note that if  $\varphi \in \mathcal{M}_{+}^{+}$ , then  $D(q) = D(\mathcal{H}, \psi)$  and hence

(7) 
$$\overline{\Delta(\varphi/\psi)^{1/2}|D(\mathcal{H},\psi)} = \Delta(\varphi/\psi)^{1/2}.$$

Using (5) and 7.1 (2) it is easy to check that

(8) 
$$s(\Delta(\varphi/\psi)) \leqslant s(\varphi),$$

but we shall see that in fact equality holds (7.4).

Let us compute the spatial derivative in a very simple case, namely  $\mathcal{M} = \mathcal{B}(\mathcal{H})$ , so that  $\mathcal{N} = \mathcal{M}' = \mathbb{C} \cdot 1_{\mathcal{H}}$ . On  $\mathcal{N}$  we consider the weight  $\psi: \lambda \cdot 1_{\mathcal{H}} \mapsto \lambda$ . An arbitrary normal semifinite weight  $\varphi$  on  $\mathcal{M}$  is of the form  $\varphi = tr_A$  with A a positive self-adjoint operator in  $\mathcal{H}$  (4.10). It is clear that  $\mathcal{H}_{\psi} = \mathbb{C}$  and  $\|\lambda \cdot 1_{\mathcal{H}}\|_{\psi} = |\lambda|$  ( $\lambda \cdot 1_{\mathcal{H}} \in \mathcal{M}$ ). Also,  $D(\mathcal{H}, \psi) = \mathcal{H}$  and for  $\eta \in \mathcal{H}$  we have  $R_{\eta}^{\psi}: \mathbb{C} \ni \lambda \mapsto \lambda \eta \in \mathcal{H}$ , hence  $(R_{\eta}^{\psi})^{*}: \mathcal{H} \ni \mathcal{L} \mapsto (\xi | \eta) \in \mathbb{C}$ . Thus,  $R_{\eta}^{\psi}(R_{\eta}^{\psi})^{*} = \eta \otimes \bar{\eta}$  and  $q(\eta) = \varphi(R_{\eta}^{\psi}(R_{\eta}^{\psi})^{*}) = \varphi(\eta \otimes \bar{\eta}) = \|A^{1/2}\eta\|^{2}$  (see 4.23), hence  $\Delta(\varphi/\psi) = A$ . Denoting the weight  $\psi$  by 1, we can write the conclusion as follows:

(9) 
$$\Delta(tr_A/1) = A \qquad (\mathcal{M} = \mathcal{B}(\mathcal{H}), \ \mathcal{N} = \mathcal{M}' = \mathbb{C} \cdot 1_{\mathcal{I}}).$$

7.4. The main result concerning the spatial derivative is the following

**Theorem** (A. Connes). Let  $\mathcal{M} \subset \mathcal{B}(\mathcal{H})$  be a von Neumann algebra with commutant  $\mathcal{N} = \mathcal{M}' \subset \mathcal{B}(\mathcal{H})$ ,  $\varphi$  an n.s.f. weight on  $\mathcal{M}$  and  $\psi$  an n.s.f. weight on  $\mathcal{N}$ . Then:

(1) 
$$s(\Delta(\varphi/\psi)) = 1 \text{ and } \Delta(\varphi/\psi)^{-1} = \Delta(\psi/\varphi);$$

(2) 
$$\sigma_t^{\varphi}(x) = \Delta(\varphi/\psi)^{it} x \Delta(\varphi/\psi)^{-it} \quad (x \in \mathcal{M}, \ t \in \mathbb{R});$$

(3) 
$$\sigma_t^{\psi}(y) = \Delta(\varphi/\psi)^{-it} y \Delta(\varphi/\psi)^{it} \qquad (y \in \mathcal{N}, \ t \in \mathbb{R});$$

and for every normal semifinite  $\varphi_1$  on  $\mathcal{M}$  we have

$$\mathsf{s}(\Delta(\varphi_1/\psi)) = \mathsf{s}(\varphi_1);$$

(5) 
$$\Delta(\varphi_1/\psi)^{it} = [D\varphi_1: D\varphi]_t \Delta(\varphi/\psi)^{it} \qquad (t \in \mathbb{R}).$$

The proof is given in Sections 7.5-7.10.

7.5. For the  $W^*$ -algebra  $\mathcal N$  we have the identity representation  $\iota\colon \mathcal N\to \mathcal B(\mathcal H)$  and the standard representation  $\pi_\psi\colon \mathcal N\to \mathcal B(\mathcal H_\psi)$  associated with  $\psi$ . We consider the direct sum of these representations

$$\pi: \mathcal{N} \to \mathscr{B}(\mathscr{H} \oplus \mathscr{H}_{\psi})$$

and the von Neumann algebra

$$\pi(\mathcal{N})' \subset \mathcal{B}(\mathcal{H} \oplus \mathcal{H}_{\psi}).$$

Every operator  $T \in \mathcal{B}(\mathcal{H} \oplus \mathcal{H}_{\psi})$  is a matrix

$$T = \begin{pmatrix} T_{11} & T_{12} \\ T_{21} & T_{22} \end{pmatrix}$$

with  $T_{11} \in \mathcal{B}(\mathcal{H})$ ,  $T_{12} \in \mathcal{B}(\mathcal{H}_{\psi}, \mathcal{H})$ ,  $T_{21} \in \mathcal{B}(\mathcal{H}, \mathcal{H}_{\psi})$ ,  $T_{22} \in \mathcal{B}(\mathcal{H}_{\psi})$  such that for any vector  $\zeta \in \mathcal{H} \oplus \mathcal{H}_{\psi}$ ,

$$\zeta = \begin{pmatrix} \zeta_1 \\ \zeta_2 \end{pmatrix}$$
,

with  $\zeta_1 \in \mathcal{H}$ ,  $\zeta_2 \in \mathcal{H}_{\psi}$ , we have

$$T\zeta = egin{pmatrix} T_{11}\zeta_1 + T_{12}\zeta_2 \ T_{21}\zeta_1 + T_{22}\zeta_2 \end{pmatrix}$$

Define

$$\mathcal{I}(\pi_{\psi}, i) = \{ X \in \mathcal{B}(\mathcal{H}_{\psi}, \mathcal{H}); \quad yX = X\pi_{\psi}(y) \text{ for all } y \in \mathcal{N} \},$$

$$\mathcal{I}(i, \pi_{\psi}) = \{ X \in \mathcal{B}(\mathcal{H}, \mathcal{H}_{\psi}); \quad Xy = \pi_{\psi}(y)X \text{ for all } y \in \mathcal{N} \}.$$

It is easy to check that

$$T \in \pi(\mathcal{N})' \Leftrightarrow T_{11} \in \mathcal{N}' = \mathcal{M}, \quad T_{12} \in \mathcal{I}(\pi_{\phi}, \iota), \quad T_{21} \in \mathcal{I}(\iota, \pi_{\phi}), \quad T_{22} \in \pi_{\phi}(\mathcal{N})'$$

Let  $\psi'$  be the natural weight on  $\pi_{\psi}(\mathcal{N})'$  (2.12. (6)). Then the weight  $\psi'$  on  $\pi_{\psi}(\mathcal{N})'$  and the weight  $\varphi$  on  $\mathcal{M}$  define an n.s.f. weight  $\theta = \theta(\varphi, \psi')$  on  $\pi(\mathcal{N})'$ 

$$\theta(T) = \varphi(T_{11}) + \psi'(T_{22}) \qquad (T \in \pi(\mathcal{N})^{\prime +}).$$

As for the balanced weight (3.1, 3.10) one shows that

$$\sigma_t^{\theta}\begin{pmatrix} T_{11} & 0 \\ 0 & T_{22} \end{pmatrix} = \begin{pmatrix} \sigma_t^{\varphi}(T_{11}) & 0 \\ 0 & \sigma_t^{\varphi'}(T_{22}) \end{pmatrix} \qquad (T_{11} \in \mathcal{M}, \ T_{22} \in \pi_{\phi}(\mathcal{N}')')$$

and that there exists a group of isometries  $\{S_t^{\varphi}\}_{t\in\mathbb{R}}$  on the Banach space  $\mathcal{I}(\pi_{\varphi}, t)$ , uniquely determined, such that

$$\sigma_i^{\theta}\begin{pmatrix}0&T_{12}\\0&0\end{pmatrix}=\begin{pmatrix}0&S_i^{\theta}(T_{12})\\0&0\end{pmatrix}\qquad(T_{12}\in\mathcal{I}(\pi_{\phi},i)).$$

Recall (7.1) that  $R_{\eta}^{\psi} \in \mathcal{I}(\pi_{\psi}, i)$  for all  $\eta \in D(\mathcal{H}, \psi)$ .

7.6. With the assumptions of Theorem 7.4 and the notation of Section 7.5 we have the following

Lemma. There exists an so-continuous unitary representation

$$\mathbb{R}\ni t\mapsto u_t^o\in \mathscr{B}(\mathscr{H}),$$

uniquely determined, such that

(1) 
$$\sigma_i^{\bullet}(x) = u_i^{\bullet} x u_{-i}^{\bullet} \quad (x \in \mathcal{M})$$

(2) 
$$\sigma_t^{\psi}(y) = u_{-t}^{\phi} y u_t^{\phi} \qquad (y \in \mathcal{N})$$

(3) 
$$S_{i}^{\varphi}(R_{\eta}^{\psi}) = R_{u,\eta}^{\psi} \qquad (\eta \in D(\mathcal{H}, \psi)).$$

**Proof.** The uniqueness of  $u_i^{\sigma}$  follows obviously from (3). The proof of the existence statement is divided into three steps.

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(I) If the Lemma is valid for a certain n.s.f. weight  $\varphi_0$  on  $\mathcal{M}$ , then it is valid for any other n.s.f. weight  $\varphi$  on  $\mathcal{M}$ .

Indeed, it is easy to check that the mapping

$$\mathbb{R}\ni t\mapsto u_t^{\varphi}=[D\varphi\colon D\varphi_0]_t u_t^{\varphi_0}\in \mathscr{B}(\mathscr{H})$$

is an so-continuous unitary representation with properties (1) and (2). Also (3) follows if we note that

$$R^{\psi}_{u_{t}^{\varphi}\eta} = [D\varphi : D\varphi_{0}]_{t} R^{\psi}_{u_{t}^{\varphi}\circ_{\eta}} \qquad (\eta \in D(\mathcal{H}, \psi))$$

and that for  $\theta_0 = \theta(\varphi_0, \psi')$  we have

$$[D\theta: D\theta_0]_t = \begin{pmatrix} [D\varphi: D\varphi_0]_t & 0 \\ 0 & 1 \end{pmatrix} \qquad (t \in \mathbb{R}).$$

(II) If the Lemma is valid for some particular realization of  $\mathcal{N}$  (that is, of  $\mathcal{M}$ ) as a von Neumann algebra, then it remains valid for any other realization.

Indeed, if we have two different realizations of  $\mathcal{N}$ , then the corresponding von Neumann algebras are \*-isomorphic. Since any \*-isomorphism between von Neumann algebras is the composition of an amplification, an injective induction and a spatial isomorphism ([L], E.8.8), we may consider separately these three cases.

The case of a spatial isomorphism is trivial.

The case of an amplification: we assume the Lemma is valid for the von Neumann algebras  $\mathcal{N} \subset \mathcal{B}(\mathcal{H})$ ,  $\mathcal{M} = \mathcal{N}' \subset \mathcal{B}(\mathcal{H})$ ; we have to prove it for the von Neumann algebras  $\mathcal{N} \otimes 1 \subset \mathcal{B}(\mathcal{H}) \otimes \mathcal{B}(\ell^2(I))$ ,  $\mathcal{M} \otimes \mathcal{B}(\ell^2(I)) = (\mathcal{N} \otimes 1)' \subset \mathcal{B}(\mathcal{H}) \otimes \mathcal{B}(\ell^2(I))$ , where I is an arbitrary set.

A vector  $\eta = {\{\eta_i\}_{i \in I} \text{ in } \mathcal{H} \overline{\otimes} \ell^2(I) = \ell^2(I, \mathcal{H}) \text{ is } \psi\text{-bounded whenever each } \eta_i \in \mathcal{H} \ (i \in I) \text{ is } \psi\text{-bounded and } \sum_i \|R_{\eta_i}^w\|^2 < + \infty. \text{ In this case the operator } R_\eta^w \colon \mathcal{H}_\psi \to \mathcal{H} \overline{\otimes} \ell^2(I) \text{ acts as follows:}$ 

$$(R_{\eta}^{\psi}\zeta)_{i}=R_{\eta_{i}}^{\psi}\zeta\qquad(\zeta\in\mathscr{H}).$$

If  $\varphi$  is any n.s.f. weight on  $\mathscr{M}$  and tr is the canonical trace on  $\mathscr{B}(\ell^2(I))$ , then  $\Phi = \varphi \otimes tr$  (see 5.2 or 8.2) is an n.s.f. weight on  $\mathscr{M} \otimes \mathscr{B}(\ell^2(I))$  and  $\sigma_i^{\varphi} = \sigma_i^{\varphi} \otimes \iota$   $(t \in \mathbb{R})$ .

It is now easy to check that if  $\{u_i^{\varphi}\}_i$  satisfies the requirements of the Lemma for  $(\mathcal{N}, \psi; \mathcal{M}, \varphi)$ , then  $\{U_i^{\varphi} = u_i^{\varphi} \otimes 1\}_i$  satisfies those requirements for  $(\mathcal{N} \otimes 1, \psi; \mathcal{M} \otimes \mathcal{B}(\ell^2(I)), \Phi)$ .

Taking into account step (I) of the proof, it follows that the Lemma is true for the von Neumann algebras  $\mathcal{N} \otimes 1$ ,  $\mathcal{M} \otimes \mathcal{B}(\ell^2(I))$ .

The case of an injective induction: we assume the Lemma is valid for the von Neumann algebras  $\mathcal{N} \subset \mathcal{B}(\mathcal{H})$ ,  $\mathcal{M} = \mathcal{N}' \subset \mathcal{B}(\mathcal{H})$  and we have to prove it for the von Neumann algebras  $\mathcal{N}e \subset \mathcal{B}(e\mathcal{H})$ ,  $e\mathcal{M}e = (\mathcal{N}e)' \subset \mathcal{B}(e\mathcal{H})$ , where  $e \in \mathcal{M}$  is a projection with the central support z(e) = 1.

It is easy to see that  $D(e\mathcal{H}, \psi) = eD(\mathcal{H}, \psi)$  and that for  $\eta \in D(e\mathcal{H}, \psi) \subset$  $\subset D(\mathcal{H}, \psi)$  the operator  $R_{\eta}^{\psi}: \mathcal{H}_{\psi} \to e\mathcal{H}$  is the corestriction of the operator

 $R_{\eta}^{\psi} \colon \mathscr{H}_{\psi} \to \mathscr{H}.$ 

There exists an n.s.f. weight  $\varphi$  on  $\mathcal{M}$  such that  $e \in \mathcal{M}^{\varphi}$ . Indeed, if  $\mathcal{M}$  is semi-finite, we can take  $\varphi$  equal to any n.s.f. trace on  $\mathcal{M}$ ; if  $\mathcal{M}$  is of type III, then  $\mathcal{M} \approx \mathcal{M}at_2(e\mathcal{M}e)$  and we can take  $\varphi$  equal to the balanced weight corresponding to an arbitrary n.s.f. weight on  $e\mathcal{M}e$  with respect to itself. With this choice of  $\varphi$ , the restriction of the weight  $\varphi_e$  (2.22) to  $e\mathcal{M}e$  is an n.s.f. weight on  $e\mathcal{M}e$ ; its modular automorphism group is the restriction of the modular automorphism group of  $\varphi$ .

If  $\{u_i^{\theta}\}_i$  satisfies the requirements of the Lemma for  $(\mathcal{N}, \psi; \mathcal{M}, \varphi)$ , then  $u_i^{\theta}e = eu_i^{\theta}$  as  $e \in \mathcal{M}^{\theta}$  and it is easy to check that  $\{u_i^{\theta}e\}_i$  satisfies the requirements of the

Lemma for  $(Ne, \psi; eMe, \varphi_e)$ .

Taking into account step (I) of the proof, it follows that the Lemma is true for the von Neumann algebras Ne, eMe.

(III) By (I) and (II) it is sufficient to prove the Lemma assuming  $\mathcal{N} = \pi_{\psi}(\mathcal{N}) \subset \mathcal{B}(\mathcal{H}_{\psi})$ ,  $\mathcal{M} = \pi_{\psi}(\mathcal{N})'$  and  $\varphi = \psi'$ . In this case the mapping

$$\mathbb{R}\ni t\mapsto u_t^{\varphi}=\Delta_u^{-it}\in \mathscr{B}(\mathscr{H}_u)$$

is an so-continuous unitary representation satisfying (1) and (2). Also, the weight  $\theta = \theta(\varphi, \psi')$  is just the balanced weight, so that  $S_i^{\varphi} = \sigma_i^{\varphi}$  by 3.10.(1), and consequently

$$S_t^{\varphi}(R_{\eta}) = \sigma_t^{\varphi}(R_{\eta}) = \Delta_{\psi}^{-it} R_{\eta} \Delta_{\psi}^{it} = R_{\Delta_{\psi}^{-it} \eta} = R_{\nu_{\eta}^{\varphi} \eta},$$

which proves (3).

7.7. By Stone's theorem ([L], 9.20), there exists a unique positive self-adjoint operator  $A_{\varphi}$  in  $\mathscr{H}$  with  $s(A_{\varphi}) = 1$  such that  $u_i^{\varphi} = A_{\varphi}^{it}$   $(t \in \mathbb{R})$ . In this Section we show that  $\Delta(\varphi/\psi) = A_{\varphi}$ , thus proving statements (2) and (3) of Theorem 7.4, as well as showing that  $s(\Delta(\varphi/\psi)) = 1$ .

By Proposition 2.20, we obtain for every  $T \in \pi(\mathcal{N})'$  with  $\theta(T^*T) < +\infty$ ,

a bounded regular positive Borel measure  $\mu$  on  $(0, +\infty)$  such that

$$\theta(T^*\sigma_t^{\theta}(T)) = \int_0^\infty \lambda^{\mu} d\mu(\lambda), \quad \theta(TT^*) = \int_0^\infty \lambda d\mu(\lambda) \leqslant + \infty.$$

Let  $\eta \in D(\mathcal{H}, \psi)$  and  $T \in \pi(\mathcal{N})'$  with  $T_{12} = R_{\eta}^{\psi}$  and  $T_{11} = T_{21} = T_{22} = 0$ . Then, using 7.6.(3) and 7.2.(1), we get

$$\int_{0}^{\infty} \lambda^{lt} d\mu(\lambda) = \psi'((R_{\eta}^{\psi})^{*} S_{t}^{\phi}(R_{\eta}^{\psi})) = \psi'((R_{\eta}^{\psi})^{*} R_{u_{t}^{\psi}\eta}^{\psi}) = (u_{t}^{\phi}\eta|\eta) = (A_{\phi}^{lt}\eta|\eta)$$

hence  $\mu$  is the spectral measure associated with  $A_{\varphi}$  and  $\eta \in \mathcal{H}$ . Consequently,

(1) 
$$||A_{\varphi}^{1/2}\eta||^2 = \int_0^{\infty} \lambda \, \mathrm{d}\mu(\lambda) = \varphi(R_{\eta}^{\psi}(R_{\eta}^{\psi})^*) = ||\Delta(\varphi/\psi)^{1/2}\eta||^2 \qquad (\eta \in D(\mathcal{H}, \psi)).$$

On the other hand, we infer from 7.6.(1) and 7.6.(2) that the \*-automorphisms  $\mathscr{B}(\mathscr{H}) \ni z \mapsto u_t^{\varphi} z u_{-t}^{\varphi} \in \mathscr{B}(\mathscr{H}) \quad (t \in \mathbb{R})$  preserve  $\mathscr{M}, \mathscr{N}, \varphi, \psi$ , hence  $u_t^{\varphi} \Delta(\varphi/\psi) u_{-t}^{\varphi} = \Delta(\varphi/\psi) \quad (t \in \mathbb{R})$ , that is

(2) the operators  $\Delta(\varphi/\psi)$  and  $A_{\varphi}$  commute.

Now, the conclusions (1) and (2) imply that  $\Delta(\varphi/\psi) = A_{\varphi}$  (see, for instance, Theorem 6.2).

7.8. We prove that for two n.s.f. weights  $\psi_1, \psi_2$  on  $\mathcal{N}$ 

(1) 
$$\Delta(\varphi/\psi_2)^{-it}\Delta(\varphi/\psi_1)^{it} = [D\psi_2: D\psi_1]_t \quad (t \in \mathbb{R}).$$

To this end consider the balanced weight  $\psi = \theta(\psi_1, \psi_2)$  on the von Neumann algebra  $Mat_2(\mathcal{N}) \subset \mathcal{B}(\mathcal{H} \oplus \mathcal{H})$  with commutant  $\widetilde{\mathcal{M}} \subset \mathcal{B}(\mathcal{H} \oplus \mathcal{H})$  isomorphic to  $\mathcal{M}$  by amplification, and the n.s.f. weight  $\widetilde{\varphi}$  on  $\widetilde{\mathcal{M}}$  corresponding to  $\varphi$  under this amplification. Also, as in 3.11.(1), identify the Hilbert spaces  $\mathcal{H}_{\psi} = \mathcal{H}_{\psi_1} \oplus \mathcal{H}_{\psi_2}$ .

It is easy to check that the vector  $\eta = \eta_1 \oplus \eta_2 \in \mathcal{H} \oplus \mathcal{H}$  is  $\psi$ -bounded if and only if  $\eta_1 \in D(\mathcal{H}, \psi_1)$ ,  $\eta_2 \in D(\mathcal{H}, \psi_2)$  and in this case

$$R^{\psi}_{\eta} = \begin{pmatrix} R^{\psi_1}_{\eta_1} & R^{\psi_3}_{\eta_1} & 0 & 0 \\ 0 & 0 & R^{\psi_1}_{\eta_1} & R^{\psi_3}_{\eta_2} \end{pmatrix} : \mathcal{H}_{\phi} \to \mathcal{H} \oplus \mathcal{H},$$

hence

$$\widetilde{\varphi}(R_{\eta}^{\psi}(R_{\eta}^{\psi})^{*}) = \varphi(R_{\eta_{1}}^{\psi_{1}}(R_{\eta_{1}}^{\psi_{1}})^{*}) + \varphi(R_{\eta_{3}}^{\psi_{4}}(R_{\eta_{3}}^{\psi_{4}})^{*}).$$

Consequently,

$$\Delta(\widetilde{\varphi}/\psi) = \Delta(\varphi/\psi_1) \oplus \Delta(\varphi/\psi_2).$$

Using 7.4.(3) which has already been proved, we get

$$\begin{pmatrix}
0 & 0 \\
[D\psi_2 : D\psi_1]_t & 0
\end{pmatrix} = \sigma_t^{\psi} \begin{pmatrix} 0 & 0 \\
1 & 0 \end{pmatrix}$$

$$= \begin{pmatrix}
\Delta(\varphi/\psi_1)^{-it} & 0 \\
0 & \Delta(\varphi/\psi_2)^{-it}
\end{pmatrix} \begin{pmatrix}
0 & 0 \\
1 & 0
\end{pmatrix} \begin{pmatrix}
\Delta(\varphi/\psi_1)^{it} & 0 \\
0 & \Delta(\varphi/\psi_2)^{it}
\end{pmatrix}$$

$$= \begin{pmatrix}
0 & 0 \\
\Delta(\varphi/\psi_2)^{-it}\Delta(\varphi/\psi_1)^{it} & 0
\end{pmatrix}.$$

**7.9.** We now prove that  $\Delta(\psi/\varphi) = \Delta(\varphi/\psi)^{-1}$ .

Taking into account the argument in 7.6.(I) and 7.8.(1), we see that it is sufficient to prove the above identity only for a particular pair of weights  $\varphi, \psi$ . Then by the arguments of 7.6.(II), it follows that it is sufficient for the proof to assume that  $\mathcal{N} = \pi_{\psi}(\mathcal{N}) \subset \mathcal{B}(\mathcal{H}_{\psi})$ ,  $\mathcal{M} = \pi_{\psi}(\mathcal{N})' \subset \mathcal{B}(\mathcal{H}_{\psi})$  and  $\varphi = \psi'$ . In this last case equality is obvious.

7.10. To complete the proof of Theorem 7.4, we still have to check 7.4.(4) and 7.4.(5). If the weight  $\varphi_1$  is faithful, this has already been done in Sections 7.7 and 7.6.(1). Since, by 7.3.(8),  $s(\Delta(\varphi_1/\psi)) \leq s(\varphi_1)$ , the general case follows by considering the induction of  $\mathcal{N}$  by  $s(\varphi_1)$ , as the restriction of  $\varphi_1$  to  $s(\varphi_1)$ .  $Ms(\varphi_1)$  is faithful.

**7.11.** Corollary. Let  $\mathcal{M} \subset \mathcal{B}(\mathcal{H})$  be a von Neumann algebra with commutant  $\mathcal{N} = \mathcal{M}' \subset \mathcal{B}(\mathcal{H})$ ,  $\varphi$  an n.s.f. weight on  $\mathcal{M}$  and  $\psi$  an n.s.f. weight on  $\mathcal{N}$ . For every  $\xi \in D(\mathcal{H}, \varphi)$  and every  $\eta \in D(\mathcal{H}, \psi)$  we have

$$|(\xi|\eta)|^2 \leqslant \varphi(R_n^{\psi}(R_n^{\psi})^*) \, \psi(R_{\xi}^{\phi}(R_{\xi}^{\phi})^*) \leqslant + \infty.$$

*Proof.* Let  $\Delta = \Delta(\varphi/\psi)$ , hence  $\Delta^{-1} = \Delta(\psi/\varphi)$ . Using Definition 7.3.(5) we see that if either  $\xi \notin D(\Delta^{-1/2})$  or  $\eta \notin D(\Delta^{1/2})$  then the right hand side of the inequality is equal to  $+\infty$ , while if  $\xi \in D(\Delta^{-1/2})$  and  $\eta \in D(\Delta^{1/2})$  the inequality follows from the estimate  $|(\xi|\eta)|^2 = |(\Delta^{-1/2}\xi|\Delta^{1/2}\eta)|^2 \le ||\Delta^{-1/2}\xi||^2 ||\Delta^{1/2}\eta||^2$ .

7.12. Corollary (U. Haagerup). Let  $\mathcal{M} \subset \mathcal{B}(\mathcal{H})$  be a von Neumann algebra with commutant  $\mathcal{N} = \mathcal{M}' \subset \mathcal{B}(\mathcal{H})$  and  $\mathbb{R} \ni t \mapsto u_t \in \mathcal{B}(\mathcal{H})$  an so-continuous unitary representation. Then the following conditions are equivalent:

- (i) there exists  $\varphi \in W_{nef}(\mathcal{M})$  such that  $\sigma_i^{\varphi} = \operatorname{Ad}(u_i)$ ,  $(t \in \mathbb{R})$ ;
- (ii) there exists  $\psi \in W_{ns}(\mathcal{N})$  such that  $\sigma_t^{\psi} = \operatorname{Ad}(u_t^{\psi})$ ,  $(t \in \mathbb{R})$ .

*Proof.* By symmetry it is sufficient to prove (i)  $\Rightarrow$  (ii). Let  $\psi_0$  be an arbitrary n.s.f. weight on  $\mathcal{N}$ . Using (i) it follows that

$$\mathbb{R}\ni t\mapsto w_t=u_t^*\Delta(\varphi/\psi_0)^{\mathrm{i}t}\in\mathcal{M}'=\mathcal{N}$$

is a  $\sigma^{\psi_0}$ -cocycle hence, by Theorem 5.1, there exists an n.s.f. weight  $\psi$  on  $\mathcal{N}$  such that  $[D\psi: D\psi_0]_t = w_t$   $(t \in \mathbb{R})$ . Then

$$\sigma_t^{\boldsymbol{w}}(y) = w_t \sigma_t^{\boldsymbol{w}_0}(y) \, w_t^* = u_t^* y u_t \qquad (y \in \mathcal{N}, \ t \in \mathbb{R}).$$

7.13. Some computation rules for the spatial derivative are contained in the following

**Proposition.** Let  $\mathcal{M} \subset \mathcal{B}(\mathcal{H})$  be a von Neumann algebra with commutant  $\mathcal{N} = \mathcal{M}' \subset \mathcal{B}(\mathcal{H})$ ,  $\varphi$ ,  $\varphi_1$ ,  $\varphi_2$  normal semifinite weights on  $\mathcal{M}$ ,  $\psi$  an n.s.f. weight on  $\mathcal{N}$  and  $a \in \mathcal{M}$  an invertible element. Then

$$\varphi_1 \leqslant \varphi_2 \Leftrightarrow \Delta(\varphi_1/\psi) \leqslant \Delta(\varphi_2/\psi)$$

(2) 
$$\Delta(\varphi(a\cdot a^*)/\psi) = a^*\Delta(\varphi/\psi) a$$

and, if  $\varphi_1, \varphi_2 \in \mathcal{M}_{\pm}^+$ ,

(3) 
$$\Delta(\varphi_1 + \varphi_2/\psi) = \Delta(\varphi_1/\psi) + \Delta(\varphi_2/\psi).$$

*Proof.* (1) Let  $q_1$ ,  $q_2$  be the functions associated as in Section 7.3 with the weights  $\varphi_1, \varphi_2$ , respectively. If  $\varphi_1 \leqslant \varphi_2$ , then we obviously have  $D(q_2) \subset D(q_1)$ 

and  $q_1(\eta) \leqslant q_2(\eta)$  for any  $\eta \in D(q_2)$ , so it follows that  $\Delta(\varphi_1/\psi) \leqslant \Delta(\varphi_2/\psi)$ .

Conversely, if  $\Delta(\varphi_1/\psi) \leq \Delta(\varphi_2/\psi)$ , then by 7.1.(6) we infer that  $\varphi_1(x) \leq \varphi_2(x)$ for every positive element  $x \in \mathcal{J}(\mathcal{H}, \psi)$ . Since  $\mathcal{J}(\mathcal{H}, \psi)$  is an  $s^*$ -dense two-sided ideal of  $\mathcal{M} = \mathcal{N}'$  (7.1.(5)), any element  $x \in \mathcal{M}^+$  is the  $s^*$ -limit of an increasing net of positive elements in  $\mathscr{J}(\mathscr{H},\psi)$  ([L], 3.21). Thus, the inequality  $\varphi_1(x) \leqslant \varphi_2(x)$ remains valid for all  $x \in \mathcal{M}^+$ , since  $\varphi_1, \varphi_2$  are normal.

(2) By 7.1.(2), for every  $\eta \in D(\mathcal{H}, \psi)$  we have  $a\eta \in D(\mathcal{H}, \psi)$  and

$$\|(a^*\Delta(\varphi/\psi)\,a)^{1/2}\eta\,\|^2 = \|\Delta(\varphi/\psi)^{1/2}a\eta\,\|^2 = \varphi(R^{\psi}_{a\eta}(R^{\psi}_{a\eta})^*) = \varphi(aR^{\psi}_{\eta}(R^{\psi}_{\eta})^*a^*).$$

Since a is invertible, it follows from the definition of  $\Delta(\varphi/\psi)$  that  $a^*\Delta(\varphi/\psi)$  a is the greatest positive self-adjoint operator which satisfies the above equation; hence it coincides with the operator  $\Delta(\varphi(a \cdot a^*)/\psi)$ .

(3) This follows from the definition of the weak sum (A.11), using 7.3.(7).

**7.14.** The operators of form  $\Delta(\varphi/\psi)$  are characterized by the following **Theorem** (A. Connes). Let  $\mathcal{M} \subset \mathcal{B}(\mathcal{H})$  be a von Neumann algebra with commutant

 $\mathcal{N}=\mathcal{M}'\subset \mathcal{B}(\mathcal{H})$  and  $\psi$  an n.s.f. weight on  $\mathcal{N}$ . For a positive self-adjoint operator A in H, the following statements are equivalent:

(i) there exists a normal semifinite weight  $\varphi$  on  $\mathcal M$  such that  $A = \Delta(\varphi/\psi)$ ;

(ii)  $A^{it}y = \sigma_{-t}^{w}(y) A^{it}$  for all  $y \in \mathcal{N}$  and all  $t \in \mathbb{R}$ ;

(iii)  $\overline{A^{1/2}|D(A^{1/2})\cap D(\mathcal{H},\psi)}=A^{1/2}$  and, for any  $\eta_1,\ldots,\eta_n\in D(\mathcal{H},\psi)$ , the number  $\sum_{k=1}^{n} ||A^{1/2}\eta_{k}||^{2}$  depends only on  $\sum_{k=1}^{n} R_{\eta_{k}}^{\Psi}(R_{\eta_{k}}^{\Psi})^{*}$ .

*Proof.* The implications (i)  $\Rightarrow$  (ii) and (i)  $\Rightarrow$  (iii) are obvious.

(ii)  $\Rightarrow$  (i). If  $\varphi_0$  is any n.s.f. weight on  $\mathcal{M}$ , then by (ii) the mapping  $\mathbb{R} \ni t \mapsto$  $\mapsto A^{ii}\Delta(\varphi_0/\psi)^{-ii}$  is a  $\sigma^{\bullet \bullet}$ -cocycle; thus by Theorem 5.1 there exists a unique normal semifinite weight  $\varphi$  on  $\mathcal{M}$  such that  $[D\varphi: D\varphi_0]_t = A^{it}\Delta(\varphi_0/\psi)^{-it}$   $(t \in \mathbb{R})$ . By 7.4.(5) we get  $\Delta(\varphi/\psi)^{it} = A^{it}$   $(t \in \mathbb{R})$ , whence  $A = \Delta(\varphi/\psi)$ .

(iii)  $\Rightarrow$  (i). Assuming (iii), we infer from 7.1.(6), 7.1.(5) that there exists a

unique weight  $\varphi_0$  on  $\mathcal{J}(\mathcal{H}, \psi)$  such that

(1) 
$$\varphi_0(a) = \sum_{k=1}^n \|A^{1/2}\eta_k\|^2 \text{ for } 0 \leqslant a = \sum_{k=1}^n R_{\eta_k}^{\psi}(R_{\eta_k}^{\psi})^* \in \mathcal{J}(\mathcal{H}, \psi).$$

If  $\{z_i\} \subset \mathcal{M}$  is a net such that  $z_i \stackrel{s}{\to} 1$ , then

(2) 
$$\varphi_0(a) \leq \liminf_i \varphi_0(z_i a z_i^*),$$

since the mapping  $\mathscr{H} \ni \zeta \mapsto ||A^{1/2}\zeta||^2$  is lower semicontinuous and  $\varphi_0(z_i a z_i^{\bullet}) = \sum_{k=1}^n ||A^{1/2}z_i \eta_k||^2$ .

For  $x \in \mathcal{M}^+$  let

$$\varphi(x) = \sup \{ \varphi_0(a); \ a \in \mathcal{J}(\mathcal{H}, \psi), \ 0 \leqslant a \leqslant x \}.$$

We thus obtain a function  $\varphi: \mathcal{M}^+ \to [0, +\infty]$  with the properties

(3) 
$$\varphi(\lambda x) = \lambda \varphi(x), \quad \varphi(x+y) > \varphi(x) + \varphi(y) \quad (x, y \in \mathcal{M}^+, \lambda > 0).$$

Assume that  $x_i \uparrow x$  in  $\mathcal{M}^+$ . By Proposition 1.4 there exist  $z_i \in \mathcal{M}$ , with  $||z_i|| \le 1$ , such that  $x_i = z_i x z_i^*$  and  $z_i \stackrel{s}{\to} 1$ . If  $a \in \mathcal{J}(\mathcal{H}, \psi)$  and  $0 \le a \le x$ , then  $0 \le z_i a z_i^* \le z_i x z_i^* = x_i$  and

$$\varphi_0(a) \leqslant \liminf_{l} \varphi_0(z_l a z_l^*) \leqslant \sup_{l} \varphi(x_l).$$

It follows that  $\varphi(x) \leq \sup \varphi(x_i)$ , and hence  $\varphi$  is normal. Since  $f(\mathcal{H}, \psi)$  is an  $s^*$ -dense two-sided ideal in  $\mathcal{M}$ , the elements of  $\mathcal{M}^+$  can be approximated by increasing positive nets in  $f(\mathcal{H}, \psi)$ . Since  $\varphi$  is normal and superadditive (cf. (3)) and since  $\varphi \mid f(\mathcal{H}, \psi) = \varphi_0$  is additive, it follows that  $\varphi$  is a normal weight on  $\mathcal{M}$  such that

(4) 
$$\varphi(R_{\eta}^{\psi}(R_{\eta}^{\psi})^{*}) = ||A^{1/2}\eta||^{2} \qquad (\eta \in D(\mathcal{H}, \psi)).$$

Now, by 7.1.(7) we see that  $\varphi$  is semifinite. Thus (4) is equivalent to

$$||\Delta(\varphi/\psi)^{1/2}\eta|| = ||A^{1/2}\eta|| \qquad (\eta \in D(\mathcal{H}, \psi)).$$

Since each of the operators  $\Delta(\varphi/\psi)^{1/2}$  and  $A^{1/2}$  is equal to the closure of its restriction to the intersection of  $D(\mathcal{X}, \psi)$  with its domain of definition, it follows that  $A = \Delta(\varphi/\psi)$ .

7.15. The following Corollary characterizes the operators of the form  $\Delta(\varphi/\psi)$  with  $\varphi \in \mathcal{M}_{\bullet}^+$ , the assumptions being as in Theorem 7.14.

Corollary. For a positive self-adjoint operator A in H, the following statements are equivalent:

- (i) there exists  $\varphi \in \mathcal{M}_{\bullet}^+$  such that  $A = \Delta(\varphi/\psi)$ ;
- (ii)  $A^{ij}y = \sigma^{w}_{-i}(y) A^{ij}$  for all  $y \in \mathcal{N}$ ,  $t \in \mathbb{R}$ , and there exists a family  $\{\eta_k\}$  in  $D(\mathcal{H}, \psi)$  such that

$$\sum_{k} R_{q_k}^{\mathbf{v}} (R_{q_k}^{\mathbf{v}})^{\bullet} = 1$$
 and  $\sum_{k} ||A^{1/2} \eta_k||^2 < +\infty$ 

(iii)  $D(\mathcal{H}, \psi) \subset D(A^{1/2})$ ,  $\overline{A^{1/2}|D(\mathcal{H}, \psi)} = A^{1/2}$  and there exists a constant  $0 < \lambda < +\infty$  such that for any  $\eta_1, \zeta_1, \ldots, \eta_n, \zeta_n \in D(\mathcal{H}, \psi)$  we have

$$\left|\sum_{k=1}^n \left(A^{1/2}\eta_k|A^{1/2}\zeta_k\right)\right| \leqslant \lambda \left\|\sum_{k=1}^n R_{\eta_k}^{\psi}(R_{\zeta_k}^{\psi})^*\right\|.$$

**Proof.** (i)  $\Leftrightarrow$  (iii). If  $A = \Delta(\varphi/\psi)$  with  $\varphi \in \mathcal{M}_{+}^{+}$ , then (iii) is obviously satisfied with  $\lambda = \|\varphi\|$ . Conversely, if (iii) holds, then 7.14.(iii) holds also, and so there exists a normal semifinite weight  $\varphi$  on  $\mathcal{M}$  with  $A = \Delta(\varphi/\psi)$ . By the construction of  $\varphi$  and by (iii) it follows that  $|\varphi(a)| \leq \lambda ||a||$  for every element  $0 \leq a \in \mathcal{J}(\mathcal{H}, \psi)$ , hence  $\varphi \in \mathcal{M}_{+}^{+}$  and  $\|\varphi\| = \varphi(1) \leq \lambda$ .

(i) & (iii)  $\Rightarrow$  (ii). This follows from 7.3.(7) and 7.1.(7).

(ii)  $\Rightarrow$  (i). By Theorem 7.14 there is a normal semifinite weight  $\varphi$  on  $\mathcal{M}$  such that  $\Delta(\varphi/\psi) = A$  and we have

$$\varphi(1) = \sum_{k} \varphi(R_{\eta_{k}}^{\psi}(R_{\eta_{k}}^{\psi})^{*}) = \sum_{k} \|A^{1/2}\eta_{k}\|^{2} < + \infty.$$

7.16. Let  $\psi$  be an n.s.f. weight on the von Neumann algebra  $\mathcal{N} \subset \mathcal{B}(\mathcal{H})$ . A closed linear operator T in  $\mathcal{H}$ , with polar decomposition T = u|T|, is called homogeneous of degree  $s \in \mathbb{R}$  with respect to  $\psi$ , if  $u \in \mathcal{N}'$  and

$$|T|^{it}y = \sigma_{st}^{w}(y) |T|^{it} \quad (y \in \mathcal{N}, t \in \mathbb{R}).$$

Thus, the operators of form  $A = \Delta(\varphi/\psi)$  are characterized by Theorem 7.14 as the only positive self-adjoint operators homogeneous of degree s = -1 with respect to  $\psi$ . For such an operator it is possible to define "the integral with respect to  $\psi$ " by choosing any family  $\{\eta_k\} \subset D(\mathcal{H}, \psi)$  with  $\sum_k R_{\eta_k}^{\psi}(R_{\eta_k}^{\psi})^* = 1$  (7.1. (7)) and putting

(1) 
$$\int A d\psi = \sum_{k} ||A^{1/2} \eta_{k}||^{2} = \varphi(1).$$

A is called " $\psi$ -integrable" if  $\varphi(1) < +\infty$ , that is if A satisfies the equivalent conditions of Corollary 7.15.

Exploiting these ideas, it is possible to develop a "non-commutative integration theory" by defining spaces  $\mathcal{L}^p(\mathcal{H}, \psi)$ , where  $\mathcal{L}^p(\mathcal{H}, \psi)$  is the set of closed linear operators T on  $\mathcal{H}$  which are homogeneous of degree s=-1/p with respect to  $\psi$  and such that  $|T|^p$  is  $\psi$ -integrable. As an example, we show that

(2) 
$$T \in \mathcal{L}^2(\mathcal{H}, \psi) \Rightarrow T^* \in \mathcal{L}^2(\mathcal{H}, \psi) \text{ and } \int TT^* d\psi = \int T^*T d\psi.$$

Indeed, let T=uA=Bu be the polar decompositions of T with  $u^*u=s(A)$ ,  $uu^*=s(B)$ , A=|T|,  $B=|T^*|=uAu^*$  ([L], 9.30). If  $\{\eta_k\}$  is a family in  $D(\mathcal{X},\psi)$ 

with  $\sum_{k} R_{\eta_k}^{\psi}(R_{\eta_k}^{\psi})^* = s(A)$ , then  $\{\zeta_k = u\eta_k\}$  is a family in  $D(\mathcal{H}, \psi)$  with  $\sum_{k} R_{\zeta_k}(R_{\zeta_k})^* = s(B)$  and

$$\int TT^* d\psi = \int B^2 d\psi = \sum_k ||B\zeta_k||^2 = \sum_k ||A\eta_k||^2 = \int A^2 d\psi = \int T^*T d\psi.$$

We also record the following form of (1):

(3) 
$$\int \Delta(\varphi/\psi) \,\mathrm{d}\psi = \varphi(1).$$

7.17. Another important application of Theorem 7.14 is contained in the following

**Proposition.** Let  $\varphi$ ,  $\{\varphi_k\}_{k\in\mathcal{K}}$  be normal semifinite weights on the von Neumann algebra  $\mathcal{M}\subset\mathcal{B}(\mathcal{H})$  with commutant  $\mathcal{N}=\mathcal{M}'\subset\mathcal{B}(\mathcal{H})$  and  $\psi$  an n.s.f. weight on  $\mathcal{N}$ . If  $\varphi_k(x) \uparrow \varphi(x)$  for all  $x\in\mathcal{M}^+$ , then

(1) 
$$\sigma_i^{\varphi_k}(x) \xrightarrow{t^*} \sigma_i^{\varphi}(x) \text{ for all } x \in \bigcup_k s(\varphi_k) \mathcal{M}s(\varphi_k) \text{ and all } t \in \mathbb{R};$$

(2) 
$$[D\varphi_k: D\tau]_t \stackrel{t^*}{\to} [D\varphi: D\tau]_t \text{ for all } \tau \in W_{nsf}(\mathcal{M}) \text{ and all } t \in \mathbb{R};$$

(3) 
$$\Delta(\varphi_{\bullet}/\psi) \uparrow \Delta(\varphi/\psi).$$

Moreover, the convergence in (1) and (2) is uniform for  $|t| \leq t_0$ .

*Proof.* Let  $\Delta_k = \Delta(\varphi_k/\psi)$ ,  $\Delta = \Delta(\varphi/\psi)$ . Using 7.13.(1) and (A.5) we see that there exists a unique positive self-adjoint operator A in  $\mathcal{H}$  such that  $\Delta_k \uparrow A \leq \Delta$ , hence (A.3)

$$\Delta_k^{i_l} \stackrel{f^*}{\to} A^{i_l}$$
 uniformly for  $|t| \leq t_0$ .

Since  $\Delta_k$  are homogeneous of degree s=-1 with respect to  $\psi$ , it follows that A enjoys the same property and hence, by Theorem 7.14, there exists a unique normal semifinite weight  $\mu$  on  $\mathcal{M}$  such that  $A = \Delta(\mu/\psi)$ . Since  $A \leq \Delta$ , it follows (7.13.(1)) that  $\mu \leq \varphi$  and since  $\Delta_k \leq A$  we have  $\varphi_k \leq \mu$ . Hence  $\mu = \varphi$ .

We have thus proved that (3) holds and that  $\Delta_k^{it} \to \Delta^{it}$  uniformly for  $|t| \le t_0$ . Now (1) follows on applying Theorem 7.4, and (2) is obtained by applying (1) to the balanced weights  $\theta(\varphi_k, \tau) \uparrow \theta(\varphi, \tau)$  on  $Mat_2(\mathcal{M})$  and to the element  $c_{21} \in Mat_2(\mathcal{M})$ .

7.18. A similar result holds for norm convergence of normal positive forms:

**Proposition.** Let  $\mathcal{M} \subset \mathcal{B}(\mathcal{H})$  be a von Neumann algebra with commutant  $\mathcal{N} = \mathcal{M}' \subset \mathcal{B}(\mathcal{H})$ ,  $\varphi$ ,  $\{\varphi_n\}_{n \in \mathbb{N}}$  faithful normal positive forms on  $\mathcal{M}$  and  $\psi$  an n.s.f. weight on  $\mathcal{N}$ . If  $\|\varphi_n - \varphi\| \to 0$ , then

(1) 
$$\sigma_{t}^{\varphi}(x) \xrightarrow{t^{\varphi}} \sigma_{t}^{\varphi}(x) \text{ for all } x \in \mathcal{M} \text{ and all } t \in \mathbb{R};$$

(2) 
$$[D\varphi_n: D\tau]_t \xrightarrow{r} [D\varphi: D\tau]_t \text{ for all } \tau \in W_{nif}(\mathcal{M}) \text{ and all } t \in \mathbb{R};$$

(3) 
$$\Delta(\varphi_n/\psi)^{it} \stackrel{f}{\to} \Delta(\varphi/\psi)^{it} \text{ for all } t \in \mathbb{R}.$$

Moreover, in each case convergence is uniform for  $|t| \leq t_0$ .

**Proof.** It is sufficient to prove (1). Indeed, (2) for  $\tau \in \mathcal{M}^+_*$  follows from (1) by considering the balanced forms  $\|\theta(\varphi_n, \tau) - \theta(\varphi, \tau)\| \to 0$  and then, for an arbitrary  $\tau \in W_{nsf}(\mathcal{M})$ , we can use the chain rule (3.5). Also, (3) follows from (2) using 7.4.(5):

$$\Delta(\varphi_n/\psi)^{it} = [D\varphi_n: D\varphi]_t \Delta(\varphi/\psi)^{it} \stackrel{s^*}{\to} \Delta(\varphi/\psi)^{it}.$$

To prove (1) we may assume that  $\varphi = \omega_{\xi_0}$  where  $\xi_0 \in \mathcal{H}$  is a cyclic and separating vector for  $\mathcal{M}$ . Then ([L], Thm. 10.25) for each  $n \in \mathbb{N}$  there exists a unique vector  $\xi_n \in \mathfrak{P}_{\xi_0}$ , cyclic and separating for  $\mathcal{M}$ , such that  $\varphi_n = \omega_{\xi_n}$ . By ([L], Prop. 10.24) we have

$$\|\xi_n - \xi\| \le \|\varphi_n - \varphi\|^{1/2}$$
.

Let  $\Delta_0 = \Delta_{\xi_0}$ ,  $\Delta_n = \Delta_{\xi_n}$  be the corresponding modular operators, and  $J = J_{\xi_0} = J_{\xi_n}$  ([L], Lemma 2/10.24) the canonical conjugation. For every  $x \in \mathcal{M}$  we have

$$\begin{split} \|\varDelta_{n}^{1/2}x\xi_{n}-\varDelta_{0}^{1/2}x\xi_{0}\| &=\|J\varDelta_{n}^{1/2}x\xi_{n}-J\varDelta_{0}^{1/2}x\xi_{0}\| =\|x^{*}\xi_{n}-x^{*}\xi_{0}\| \\ &\leqslant \|x\|\,\|\varphi_{n}-\varphi\|^{1/2}, \end{split}$$

hence  $\|(\mathcal{A}_n^{1/2}+1)x\xi_n-(\mathcal{A}_0^{1/2}+1)x\xi_0\| \leq 2\|x\| \|\varphi_n-\varphi\|^{1/2}$  and

$$\|[(\Delta_n^{1/2}+1)^{-1}-(\Delta_0^{1/2}+1)^{-1}](\Delta_0^{1/2}+1)x\xi_0\|$$

$$\leq \|(\Delta_n^{1/2}+1)^{-1}[(\Delta_0^{1/2}+1)\,x\xi_0-(\Delta_n^{1/2}+1)\,x\xi_n]+(x\xi_n-x\xi_0)\|$$

$$\leq 3 \|x\| \|\varphi_n - \varphi\|^{1/2}$$
.

Since  $\Delta_0^{1/2} = \overline{\Delta_0^{1/2}|\mathcal{M}\xi_0}$ , the linear subspace  $(\Delta_0^{1/2} + 1)\mathcal{M}\xi_0$  is dense in  $\mathcal{H}$  ([L], E.9.1) and since  $\|(\Delta_n^{1/2} + 1)^{-1}\| \le 1$ , it follows that  $(\Delta_n^{1/2} + 1)^{-1} \stackrel{?}{\to} (\Delta_0^{1/2} + 1)^{-1}$  and hence (A.3)  $\Delta_n^{it} \stackrel{?}{\to} \Delta_0^{it}$  uniformly for  $|t| \le t_0$ . Consequently, for  $x \in \mathcal{M}$  we have

$$\sigma_t^{\varphi_n}(x) = \Delta_n^{it} x \Delta_t^{-it} \stackrel{s^*}{\to} \Delta_0^{it} x \Delta_0^{-it} = \sigma_t^{\varphi}(x),$$

uniformly for  $|t| \leq t_0$ .

7.19. A related result is contained in the next Proposition.

**Proposition.** For each  $t \in \mathbb{R}$  there exists a constant  $0 < C_t < +\infty$  such that for every  $W^*$ -algebra  $\mathcal{M}$  and every pair  $\varphi, \psi$  of normal states on  $\mathcal{M}$  with  $s(\psi) \leq s(\varphi)$  we have

$$|1-\varphi([D\psi:D\varphi]_t)|\leqslant C_t||\psi-\varphi||.$$

**Proof.** If the statement is not true, then there exist  $W^*$ -algebras  $\mathcal{M}_n$  and normal states  $\varphi_n$ ,  $\psi_n$  on  $\mathcal{M}_n$  with  $s(\varphi_n) = 1$  such that

$$\|\varphi_n - \psi_n\| \le 2^{-n} |1 - \varphi_n([D\psi_n; D\varphi_n])| \quad (n \in \mathbb{N}).$$

We have

$$\sum_{n} \|\varphi_{n} - \psi_{n}\| < + \infty$$

and, by repeating some pairs  $\varphi_n$ ,  $\psi_n$  if necessary, we may further assume that

(2) 
$$\sum_{n} |1 - \varphi_n([D\psi_n: D\varphi_n]_t)| = + \infty.$$

We may assume  $\mathcal{M}_n$  realized as a von Neumann algebra  $\mathcal{M}_n \subset \mathcal{B}(\mathcal{H}_n)$  with cyclic and separating vector  $\xi_n \in \mathcal{H}_n$  such that  $\varphi_n = \omega_{\xi_n}$ . By ([L], 10.24, 10.25) there exists  $\eta_n \in \mathcal{H}_n$  such that  $\psi_n = \omega_{n-1}$ ,  $(\eta_n | \xi_n) > 0$  and

$$\|\eta_n - \xi_n\|^2 \leqslant \|\varphi_n - \psi_n\| \quad (n \in \mathbb{N}).$$

The infinite tensor product  $W^*$ -algebra  $\mathcal{M} = \bigotimes_n(\mathcal{M}_n, \varphi_n)$  (see A.17) can be realized as an infinite tensor product von Neumann algebra acting on the Hilbert space  $\mathcal{H} = \bigotimes_n(\mathcal{H}_n, \xi_n)$ , and on this von Neumann algebra we have vector states

$$\Phi = \bigotimes_n \varphi_n$$
 and  $\Phi_k = \bigotimes_{n=1}^k \psi_n \bigotimes_{m=k+1}^\infty \varphi_m$ 

defined, respectively, by the vectors

$$\xi = \bigotimes_n \xi_n \in \mathcal{H} \text{ and } \eta^{(k)} = \bigotimes_{n=1}^k \eta_n \bigotimes \bigotimes_{m=k+1}^{\infty} \xi_m \in \mathcal{H}.$$

From (1) and (3) it follows that the sequence  $\{\eta^{(k)}\}$  is norm-convergent in  $\mathcal{H}$ , so that the sequence  $\{\Phi_k\}$  is norm-convergent in  $\mathcal{M}_*$ . Taking into account the results of Section 3.9 and Proposition 7.18, it follows that the sequence

$$[D\Phi_k: D\Phi]_t = [D\psi_1: D\varphi_1]_t \otimes \ldots \otimes [D\psi_k: D\varphi_k]_t \otimes 1$$

is s-convergent in M. In particular the sequence

$$\prod_{n=1}^{k} ([D\psi_n: D\varphi_n]_i \xi_n | \xi_n) = ([D\varphi_k: D\Phi]_i \xi | \xi)$$

is convergent, and hence

$$\sum_{n} |1 - ([D\psi_n : D\varphi_n]_i \xi_n |\xi_n)| < + \infty,$$

contradicting (2).

7.20. Let  $\varphi$  be a normal positive form on the  $W^*$ -algebra  $\mathcal{M}$ . Besides the notation  $\|x\|_{\varphi} = \|x_{\varphi}\|_{\varphi} = \varphi(x^*x)^{1/2}$  we shall also write  $\|x\|_{\varphi}^* = \varphi(x^*x + xx^*)^{1/2}$ ,  $(x \in \mathcal{M})$ . For  $a \in \mathcal{M}$  we shall consider the commutator  $[a, \varphi] = \varphi(\cdot a) - \varphi(a \cdot)$ .

Corollary. There exists an absolute constant  $0 < C < +\infty$  such that if  $\mathcal M$  is a  $W^*$ -algebra,  $\varphi$  a normal state on  $\mathcal M$  and  $a \in \mathcal M$ , with  $\|a\| \leqslant 1$ , we have

$$\|\sigma_t^{\varphi}(a) - a\|_{\varphi}^* \le C(1 + |t|) \|[a, \varphi]\|^{1/2}$$
  $(t \in \mathbb{R}).$ 

Proof. We divide the proof into several steps.

(I) First, let  $a = v \in \mathcal{M}$  be unitary. Then, using Proposition 7.19 for  $\varphi$  and  $\psi = \varphi(v \cdot v^*)$ , and the identity  $[D\psi : D\varphi]_t = v^*\sigma_t^{\varphi}(v)$  (cf. 3.7), we obtain

$$\|\sigma_t^{\varphi}(v) - v\|_{\varphi}^* \le 2 C_t^{1/2} \|[v, \varphi]\|^{1/2}$$
  $(t \in \mathbb{R}).$ 

(II) If 
$$0 \le a \le 1/2$$
, then  $\|[(1-a^2)^{1/2}, \varphi]\| \le \frac{2}{3} \|[a, \varphi]\|$ .

Indeed, by induction over n it is easy to check that

$$||[a^n, \varphi]|| \le n ||a||^{n-1} ||[a, \varphi]|| \le n 2^{-n+1} ||[a, \varphi]||$$

and the desired inequality follows using the expansion

$$(1-a^2)^{1/2} = \sum_{n=0}^{\infty} (-1)^n \frac{2^{-1}(2^{-1}-1) \dots (2^{-1}-n+1)}{n!} a^{2n}.$$

(III) If  $a = a^*$  and  $||a|| \le 1$ , then

$$\|\sigma_t^{\varphi}(a) - a\|_{\varphi}^* \le 8 C_t^{1/2} \|[a, \varphi]\|^{1/2}$$
  $(t \in \mathbb{R}).$ 

Indeed, let  $||[a, \varphi]|| = \varepsilon$ . We have  $0 \le (1+a)/4 \le 1/2$ . Putting  $v = (1+a)/4 + i(1-((1+a)/4)^2)^{1/2}$ , it follows from (II) that  $||[v, \varphi]|| \le \varepsilon/2$  and  $||[v^*, \varphi]|| \le \varepsilon/2$ ; and, since v is unitary, we get from (I) that  $||\varphi_i^{\varphi}(v) - v||_{\varphi}^* \le 2 C_t^{1/2} \varepsilon^{1/2}$ ,  $||\varphi_i^{\varphi}(v^*) - v^*||_{\varphi}^* \le 2 C_t^{1/2} \varepsilon^{1/2}$ . Thus, the desired conclusion follows, as  $(1+a)/2 = v + v^*$ .

(IV) Consider now  $a \in \mathcal{M}$ ,  $||a|| \le 1$ . By applying (III) for  $(a+a^*)/2$  and  $(a-a^*)/2$ i we get

$$\|\sigma_{t}^{\phi}(a) - a\|_{\phi}^{*} \leq 16 C_{t}^{1/2} \|[a, \phi]\|^{1/2}$$
  $(t \in \mathbb{R}).$ 

For each  $t \in \mathbb{R}$  we define K(t) as the least upper bound of the numbers  $\lambda > 0$  such that  $\|\sigma_t^{\varphi}(a) - a\|_{\varphi}^{\bullet} \le \lambda \|[a, \varphi]\|^{1/2}$  for every  $\mathcal{M}$ ,  $\varphi$ , a as in the statement of the Corollary. Then the function  $\mathbb{R} \ni t \mapsto K(t)$  is lower semicontinuous, K(0) = 0, K(-t) = K(t) and  $K(t+s) \le K(t) + K(s)$ ,  $(t, s \in \mathbb{R})$ . With these conditions it is easy to show that  $C = \sup \{K(t)/(1+|t|): t \in \mathbb{R}\} < +\infty$  and this proves the Corollary.

In particular, we obtain, as an immediate consequence, the implication ( $\Leftarrow$ ) of 2.21.(2) for  $\varphi \in \mathcal{M}_{\bullet}^+$ . Moreover, if  $\{a_i\}_i \subset \mathcal{M}$  is a norm-bounded net, then

(1) 
$$||[a_i, \varphi]|| \to 0 \Rightarrow ||\sigma^{\varphi}(a_i) - a_i||_{\alpha}^{\varphi} \to 0$$

uniformly for  $|t| \leq t_0$ .

7.21. Notes. The results in this Section are due to Connes [35], [45], [49]. An important part of the motivation of [49] was the work of Haagerup [103], which included Corollary 7.12 and several arguments used in the proof of Lemma 7.6. The proof of Proposition 7.18 is due to Araki [7].

For our exposition we have used [7], [45], [49], and [103].

Non-commutative integration theory, initiated and developed in the semifinite case by Dixmier [74] and Segal [214] has been extended to the general case by Haagerup [105]. Introducing the spaces  $\mathcal{L}^p(\mathcal{H}, \psi)$  (7.16), Connes [49] proposed the problem of establishing the properties of these spaces and their connection with the earlier theory of Haagerup [105]; this has been done by Hilsum [119]. Another general approach is contained in the recent work of Connes [51].

## §8. Tensor products

In this Section we introduce the tensor product of weights, starting with the tensor product of left Hilbert algebras, and study its properties.

8.1. Proposition. Let  $\mathfrak{A}_k \subset \mathcal{H}_k$  be a left Hilbert algebra with associated operators  $S_k$ ,  $S_k^{\bullet}$ ,  $J_k$ ,  $\Delta_k$ , (k=1,2). Then

$$\mathfrak{A} = \mathfrak{A}_1 \otimes \mathfrak{A}_2 \subset \mathscr{H}_1 \ \overline{\otimes} \ \mathscr{H}_2 = \mathscr{H}_1$$

equipped with the tensor product involutive algebra structure and with the scalar product of  $\mathcal{H}$  is a left Hilbert algebra with associated operators

(1) 
$$S = S_1 \otimes \overline{S}_2$$
,  $S^* = S_1^* \otimes \overline{S}_2^*$ ,  $J = J_1 \otimes \overline{J}_2$ ,  $\Delta = \Delta_1 \otimes \overline{\Delta}_2$ ;

we have

(2) 
$$L_{\xi_1 \oplus \xi_1} = L_{\xi_1} \otimes L_{\xi_1} \qquad (\xi_1 \in D(S_1), \ \xi_2 \in D(S_2))$$

$$(3) R_{\eta_1 \bullet \eta_1} = R_{\eta_1} \overline{\otimes} R_{\eta_1} (\eta_1 \in D(S_1^{\bullet}), \ \eta_2 \in D(S_2^{\bullet}))$$

(4) 
$$\mathfrak{L}(\mathfrak{A}) = \mathfrak{L}(\mathfrak{A}_1) \overline{\otimes} \mathfrak{L}(\mathfrak{A}_2), \quad \mathfrak{K}(\mathfrak{A}') = \mathfrak{K}(\mathfrak{A}'_1) \overline{\otimes} \mathfrak{K}(\mathfrak{A}'_2).$$

*Proof.* We begin by checking the axioms of a left Hilbert algebra ([L], 10.1. (i)—(iv)) for  $\mathfrak{A}$ . In order to avoid notational complications, we shall write the sums which define the elements of  $\mathfrak{A} = \mathfrak{A}_1 \otimes \mathfrak{A}_2$  without specifying summation indices. Let  $\xi_1$ ,  $\eta_1$ ,  $\zeta_1 \in \mathfrak{A}_1$  and  $\xi_2, \eta_2, \zeta_2 \in \mathfrak{A}_2$ .

(i) We have  $(\sum \xi_1 \otimes \xi_2) (\sum \eta_1 \otimes \eta_2) = \sum \xi_1 \eta_1 \otimes \xi_2 \eta_2 = \sum L_{\xi_1} \eta_1 \otimes L_{\xi_2} \eta_2 = \sum L_{\xi_1} \eta_1 \otimes L_{\xi_2} \eta_2 = \sum \xi_2 (\sum \eta_1 \otimes \eta_2) \mapsto (\sum \xi_1 \otimes \xi_2) (\sum \xi_1 \otimes$ 

$$L_{\xi_1 \oplus \xi_2} = L_{\xi_1} \overline{\otimes} L_{\xi_2} \qquad (\xi_1 \in \mathfrak{A}_1, \ \xi_2 \in \mathfrak{A}_2).$$

(ii) We have

$$((\sum \xi_1 \otimes \xi_2) (\sum \eta_1 \otimes \eta_2) | (\sum \zeta_1 \otimes \zeta_2)) = \sum (\xi_1 \eta_1 | \zeta_1) (\xi_2 \eta_2 | \zeta_2)$$

$$=\sum \left(\eta_1 \mid \xi_1^* \zeta_1\right) \left(\eta_2 \mid \xi_2^* \zeta_2\right) = \left(\left(\sum \eta_1 \otimes \eta_2\right) \mid \left(\sum \xi_1 \otimes \xi_2\right)^* \left(\sum \zeta_1 \otimes \zeta_2\right)\right).$$

(iii) It is clear that N2 is dense in A and hence

$$\begin{split} \mathfrak{L}(\mathfrak{A}) &= \{ \overline{L_{\xi_1 \otimes \xi_1}}; \ \xi_1 \in \mathfrak{A}_1, \ \xi_2 \in \overline{\mathfrak{A}_2} \}^{so} \\ &= \{ \overline{L_{\xi_1} \ \overline{\otimes} \ L_{\xi_1}}; \ \xi_1 \in \mathfrak{A}_1, \ \xi_2 \in \overline{\mathfrak{A}_2} \}^{so} = \mathfrak{L}(\mathfrak{A}_1) \ \overline{\otimes} \ \mathfrak{L}(\mathfrak{A}_2). \end{split}$$

(iv) We have  $(\sum \xi_1\eta_1 \otimes \xi_2\eta_2)^* = \sum (\xi_1\eta_1)^* \otimes (\xi_2\eta_2)^* = \sum S_1(\xi_1\eta_1) \otimes S_2(\xi_2\eta_2) = (S_1 \otimes S_2) (\sum \xi_1\eta_1 \otimes \xi_2\eta_2)$ . Since  $S_1$  and  $S_2$  are preclosed, it follows that  $S_1 \otimes S_2$  is preclosed ([L], 9.33) and  $S = S_1 \overline{\otimes} S_2$ .

Thus,  $\mathfrak A$  is indeed a left Hilbert algebra,  $\mathfrak L(\mathfrak A) = \mathfrak L(\mathfrak A_1) \otimes \mathfrak L(\mathfrak A_2)$  and  $S = S_1 \otimes S_2$ . From ([L], 9.34) it follows that  $S^* = S_1^* \otimes S_2^*$ . Then

$$J\Delta^{1/2} = S = S_1 \otimes S_2 = J_1\Delta_1^{1/2} \otimes J_2\Delta_2^{1/2} = (J_1 \otimes J_2) (\Delta_1^{1/2} \otimes \Delta_2^{1/2}),$$

the last equality being an easy exercise. Since  $\Delta_1^{1/2} \otimes \Delta_2^{1/2}$  is a positive self-adjoint operator ([L], 9.34), it follows by the uniqueness of the polar decomposition that  $J = J_1 \otimes J_2$  and  $\Delta_2^{1/2} = \Delta_2^{1/2} \otimes \Delta_2^{1/2}$ ; hence  $\Delta = \Delta_1 \otimes \Delta_2$ . The other assertions in the statement are now easily verified.

Using Stone's theorem ([L], 9.20) we obtain

$$\Delta^{\mu} = \Delta_{1}^{\mu} \overline{\otimes} \Delta_{2}^{\mu} \qquad (t \in \mathbb{R})$$

and then, by analytic continuation,

$$\Delta^{\alpha} = \Delta_{1}^{\alpha} \overline{\otimes} \Delta_{2}^{\alpha} \qquad (\alpha \in \mathbb{C}).$$

We shall denote by  $\mathfrak{A}_1 \ \overline{\otimes} \ \mathfrak{A}_2$  the maximal left Hilbert algebra  $\mathfrak{A}''$  associated with  $\mathfrak{A}$ .

From (3) it follows that  $\mathfrak{A}'_1 \otimes \mathfrak{A}'_2 \subset \mathfrak{A}'$ . On the other hand, we have

$$\overline{S^* \mid \mathfrak{A}_1' \otimes \mathfrak{A}_2'} = \overline{S_1^* \otimes S_2^* \mid \mathfrak{A}_1' \otimes \mathfrak{A}_2'} = (\overline{S_1^* \mid \mathfrak{A}_1') \otimes (S_2^* \mid \mathfrak{A}_2')} \\
= (\overline{S_1^* \mid \mathfrak{A}_1'}) \overline{\otimes} (\overline{S_2^* \mid \mathfrak{A}_2'}) = S_1^* \overline{\otimes} S_2^* = S^*$$

and consequently ([L], Lemma 3/10.5)

$$\mathfrak{A}_{1}^{\prime} \overline{\otimes} \mathfrak{A}_{2}^{\prime} = \mathfrak{A}^{\prime}$$

i.e.  $\mathfrak{A}'$  is the maximal right Hilbert algebra associated with the right Hilbert algebra  $\mathfrak{A}'_1 \otimes \mathfrak{A}'_2 \subset \mathscr{H}$ . Similarly, we get

(8) 
$$\mathfrak{A}_{1}^{"} \otimes \mathfrak{A}_{2}^{"} = \mathfrak{A}_{1} \otimes \mathfrak{A}_{2}.$$

By ([L], 10.4. (2)) we have  $\mathfrak{L}(\mathfrak{A})' = \mathfrak{R}(\mathfrak{A}')$ , so that from (4) we once again obtain the result ([L], Thm. 10.7)

$$(\mathfrak{L}(\mathfrak{A}_1) \overline{\otimes} \mathfrak{L}(\mathfrak{A}_2))' = \mathfrak{L}(\mathfrak{A}_1)' \overline{\otimes} \mathfrak{L}(\mathfrak{A}_2)'.$$

**8.2. Theorem.** Let  $\varphi_k$  be a normal semifinite weight on the  $W^*$ -algebra  $\mathcal{M}_k$ , (k = 1, 2). There exists a unique normal semifinite weight  $\varphi = \varphi_1 \otimes \varphi_2$  on the  $W^*$ -algebra  $\mathcal{M} = \mathcal{M}_1 \otimes \mathcal{M}_2$  such that

(1) 
$$x_1 \in \mathfrak{M}_{\sigma_1}, x_2 \in \mathfrak{M}_{\sigma_2} \Rightarrow x_1 \overline{\otimes} x_2 \in \mathfrak{M}_{\sigma} \text{ and } \varphi(x_1 \overline{\otimes} x_2) = \varphi_1(x_1) \varphi_2(x_2)$$

(2) 
$$\mathbf{s}(\varphi) = \mathbf{s}(\varphi_1) \ \overline{\otimes} \ \mathbf{s}(\varphi_2) \ \text{and} \ \sigma_t^{\varphi} = \sigma_t^{\varphi_1} \ \overline{\otimes} \ \sigma_t^{\varphi_2} \quad (t \in \mathbb{R}).$$

**Proof.** Assume first that  $\varphi_1$  and  $\varphi_2$  are n.s.f. weights. To prove the existence assertion we consider the standard representations  $\pi_k \colon \mathcal{M}_k \to \mathcal{B}(\mathcal{H}_{\varphi_k})$  associated with  $\varphi_k$  and the maximal left Hilbert algebras  $\mathfrak{A}_{\varphi_k} \subset \mathcal{H}_{\varphi_k}$ , (k = 1, 2). Let  $\mathfrak{A} = \mathfrak{A}_{\varphi_k} \subset \mathfrak{A}_{\varphi_k} \subset \mathcal{H}_{\varphi_k} \subset \mathfrak{A}_{\varphi_k} \subset \mathfrak{A}_{$ 

Let 
$$0 \le x_1 \in \mathfrak{M}_{\bullet_1}$$
,  $0 \le x_2 \in \mathfrak{M}_{\bullet_2}$ . Then  $x_1^{1/2} \in \mathfrak{N}_{\bullet_1}$ ,  $x_2^{1/2} \in \mathfrak{N}_{\bullet_2}$  and

$$\pi (x_1 \otimes x_2)^{1/2} = L_{(x_1^{1/2})_{\phi_1} \oplus (x_2^{1/2})_{\phi_2}}.$$

Hence

$$\varphi(x_1 \overline{\otimes} x_2) = \varphi_{\mathfrak{A}}(\pi(x_1 \overline{\otimes} x_2)) = \|(x_1^{1/2})_{\varphi_1} \otimes (x_2^{1/2})_{\varphi_1}\|^2$$

$$= \|(x_1^{1/2})_{\varphi_1}\|^2_{\varphi_1}\|(x_2^{1/2})_{\varphi_2}\|^2_{\varphi_2} = \varphi_1(x_1)\varphi_2(x_2).$$

On the other hand, using (8.1.(5)) we obtain

$$\pi(\sigma_t^{\varphi}(x_1 \overline{\otimes} x_2)) = \Delta_{\varphi}^{it} \pi(x_1 \overline{\otimes} x_2) \Delta_{\varphi}^{-it}$$

$$= (\Delta_{\varphi_1}^{it} \pi_1(x_1) \Delta_{\varphi_1}^{-it}) \overline{\otimes} (\Delta_{\varphi_2}^{it} \pi_2(x_2) \Delta_{\varphi_2}^{-it})$$

$$= \pi_1(\sigma_t^{\varphi_1}(x_1)) \overline{\otimes} \pi_2(\sigma_t^{\varphi_2}(x_2)) = \pi ((\sigma_t^{\varphi_1} \overline{\otimes} \sigma_t^{\varphi_2}) (x_1 \overline{\otimes} x_2)).$$

Thus,  $\varphi$  satisfies conditions (1) and (2). If  $\psi$  is another n.s.f. weight on  $\mathcal{M}$  satisfying (1) and (2),  $\psi$  commutes with  $\varphi$  and coincides with  $\varphi$  on the  $\sigma^{\varphi}$ -invariant and w-dense \*-subalgebra  $\mathfrak{M}_{\varphi_1} \otimes \mathfrak{M}_{\varphi_2}$  of  $\mathcal{M}$ , so that  $\psi = \varphi$  by the Pedersen-Takesaki theorem (6.2).

If  $\varphi_1$  and  $\varphi_2$  are not necessarily faithful, we define  $\varphi = \varphi_1 \otimes \varphi_2$  as an n.s.f. weight on the  $W^*$ -algebra  $e\mathcal{M}e$ , where  $e = s(\varphi_1) \otimes s(\varphi_2)$ , and then consider  $\varphi$  as a weight on  $\mathcal{M}$ , i.e.  $\varphi(x) = \varphi(exe)$   $(x \in \mathcal{M}^+)$ .

If  $\varphi_1$  and  $\varphi_2$  are normal positive forms on the  $W^*$ -algebras  $\mathcal{M}_1$  and  $\mathcal{M}_2$ , respectively, the normal positive form  $\varphi_1 \otimes \varphi_2$  on  $\mathcal{M}_1 \otimes \mathcal{M}_2$  is determined uniquely by condition 8.2. (1) alone (see 3.9).

Let  $\varphi$  be an n.s.f. weight on the  $W^*$ -algebra  $\mathscr{M}$  and let tr be the canonical trace on a factor  $\mathscr{F}_n$  of type  $I_n$ . Then  $\mathscr{M} \otimes \mathscr{F}_n$  can be identified with  $Mat_n(\mathscr{M})$  such that (see 5.2)

$$(\varphi \otimes tr)(x) = \sum_{k} \varphi(x_{kk}) \qquad (x = [x_{ij}] \in Mat_n (\mathcal{M})^+).$$

**8.3.** Recall (2.6) that for any normal semifinite weight  $\varphi$  on the  $W^*$ -algebra  $\mathcal{M}$  there exists an increasing net  $\{\varphi_i\}_{i\in I}$  of normal positive forms on  $\mathcal{M}$  such that  $\varphi_i \uparrow \varphi$ , i.e.  $\varphi_i(x) \uparrow \varphi(x)$  for all  $x \in \mathcal{M}^+$ .

**Proposition.** Let  $\varphi$ ,  $\psi$  be normal semifinite weights and  $\{\varphi_i\}_{i\in I}$ ,  $\{\psi_j\}_{j\in J}$  increasing nets of normal positive forms on the  $W^*$ -algebra  $\mathcal{M}$ ,  $\mathcal{N}$ , respectively. If  $\varphi_i \uparrow \varphi$  and  $\psi_j \uparrow \psi$ , then  $\varphi_i \boxtimes \psi_j \uparrow \varphi \boxtimes \psi$ .

*Proof.* Indeed,  $\{\varphi_i \ \overline{\otimes} \ \psi_j\}_{i \in I, j \in J}$  is an increasing net of normal positive forms on  $\mathscr{M} \ \overline{\otimes} \mathscr{N}$  and  $\varphi_i \ \overline{\otimes} \ \psi_j \le \varphi \ \overline{\otimes} \ \psi$  for all  $i \in I, j \in J$ . Consequently, we define a normal weight  $\omega$  on  $\mathscr{M} \ \overline{\otimes} \mathscr{N}$  by

$$\omega(z) = \sup_{ij} (\varphi_i \otimes \psi_j)(z) = \lim_{ij} (\varphi_i \otimes \psi_j)(z) \quad (z \in (\mathscr{M} \otimes \mathscr{N})^+).$$

For  $a \in \mathfrak{M}_{\bullet} \cap \mathcal{M}^+$ ,  $b \in \mathfrak{M}_{\bullet} \cap \mathcal{N}^+$  we have

$$\omega(a \otimes b) = \sup_{i,j} \varphi_i(a) \psi_j(b) = \sup_i \varphi_i(a) \sup_j \psi_j(b) = \varphi(a) \psi(b).$$

On the other, hand, it is easy to see that  $s(\varphi_i) \uparrow s(\varphi)$ ,  $s(\psi_j) \uparrow s(\psi)$  and  $s(\varphi_i \otimes \psi_j) \uparrow s(\omega)$ , whence  $s(\omega) = s(\varphi) \otimes s(\psi)$ . Moreover, by Proposition 7.17, for  $i \in \mathbb{R}$ ,  $x \in \bigcup_{i \in I} s(\varphi_i) \mathcal{M}s(\varphi_i)$ ,  $y \in \bigcup_{j \in I} s(\psi_j) \mathcal{N}s(\psi_j)$  we have

$$\sigma_t^{\varphi_l}(x) \overset{s}{\to} \sigma_t^{\varphi}(x), \ \ \sigma_t^{\psi_l}(y) \overset{s}{\to} \sigma_t^{\psi}(y), \ \ \sigma_t^{\varphi_l \overset{\circ}{\bullet} \psi_l}(x \ \overline{\otimes} \ y) \overset{s}{\to} \sigma_t^{\alpha}(x \ \overline{\otimes} \ y),$$

hence  $\sigma_i^{\omega}(x \overline{\otimes} y) = \sigma_i^{\omega}(x) \overline{\otimes} \sigma_i^{\omega}(y)$ . Since  $s(\varphi_i) \uparrow s(\varphi)$ ,  $s(\psi_j) \uparrow s(\psi)$ , this equality holds for every  $x \in s(\varphi) \mathcal{M}s(\varphi)$ ,  $y \in s(\psi) \mathcal{N}s(\psi)$ . Thus, the weight  $\omega$  satisfies 8.2.(1) and 8.2.(2), which determine  $\varphi \otimes \psi$ ; hence  $\omega = \varphi \otimes \psi$ , i.e.  $\varphi_i \otimes \psi_i \uparrow \varphi \otimes \psi$ .

8.4. As a first application we obtain the distributive law for the tensor product with respect to addition:

Corollary. Let  $\varphi_1$ ,  $\varphi_2$  be normal semifinite weights on the W\*-algebra  $\mathcal{M}$  and  $\psi$  a normal semifinite weight on the W\*-algebra  $\mathcal{N}$ . If  $\varphi_1 + \varphi_2$  is semifinite, then  $(\varphi_1 + \varphi_2) \otimes \psi = \varphi_1 \otimes \psi + \varphi_2 \otimes \psi$ .

*Proof.* Let  $\{\psi_k\}$  be an increasing net of normal positive forms on  $\mathcal N$  such that  $\psi_k \uparrow \psi$ .

Assume that  $\varphi_1$ ,  $\varphi_2$  are normal positive forms. Since the distributive law is obvious for normal positive forms, we have by Proposition 8.3,  $(\varphi_1 + \varphi_2) \otimes \psi = \sup_{\alpha} (\varphi_1 \otimes \varphi_2) \otimes \psi_{\alpha} = \sup_{\alpha}$ 

=  $\sup_k (\varphi_1 \boxtimes \varphi_2) \boxtimes \psi_k = \sup_k \varphi_1 \boxtimes \psi_k + \sup_k \varphi_2 \boxtimes \psi_k = \varphi_1 \boxtimes \psi + \varphi_2 \boxtimes \psi$ . In the general case, let  $\{\varphi_{1i}\}$ ,  $\{\psi_{2j}\}$  be increasing nets of normal positive forms on  $\mathscr{M}$  such that  $\varphi_{1i} \uparrow \varphi_1, \psi_{2j} \uparrow \psi_2$ . It is then obvious that  $\varphi_{1i} + \varphi_{2j} \uparrow \varphi_1 + \varphi_2$ . We have, again by Proposition 8.3 and the first part of the proof,  $(\varphi_1 + \varphi_2) \boxtimes \psi = \sup_{i,j} (\varphi_{1i} + \varphi_{2j}) \boxtimes \psi = \sup_{i,j} (\varphi_{1i} \otimes \psi + \varphi_2) \boxtimes \psi = \sup_{i,j} (\varphi_{1i} \otimes \psi + \varphi_2) \boxtimes \psi$ .

8.5. Another application concerns the relation between the tensor product and the balanced weight:

Corollary. Let  $\varphi_1$ ,  $\varphi_2$  be normal semifinite weights on the  $W^*$ -algebra  $\mathcal{M}$  and  $\psi$  a normal semifinite weight on the  $W^*$ -algebra  $\mathcal{N}$ . Then  $\theta(\varphi_1 \otimes \psi, \varphi_2 \otimes \psi) = \theta(\varphi_1, \varphi_2) \otimes \psi$  as weights on the  $W^*$ -algebra  $Mat_2(\mathcal{M} \otimes \mathcal{N}) \approx \mathcal{M} \otimes \mathcal{N} \otimes Mat_2(\mathbb{C}) \approx Mat_2(\mathcal{M}) \otimes \mathcal{N}$ .

*Proof.* Let  $\{\varphi_{1i}\}$ ,  $\{\varphi_{2j}\}$ ,  $\{\psi_k\}$  be increasing nets of normal positive forms such that  $\varphi_{1i} \uparrow \varphi_1$ ,  $\varphi_{2j} \uparrow \varphi_2$ ,  $\psi_k \uparrow \psi$ . For the balanced weights it is obvious that  $\theta(\varphi_{1i}, \varphi_{2j}) \uparrow \theta(\varphi_1, \varphi_2)$ ; using Proposition 8.3, we get  $\theta(\varphi_{1i}, \varphi_{j2}) \otimes \psi_k \uparrow \theta(\varphi_1, \varphi_2) \otimes \psi$ .

Also, we have  $\varphi_{1i} \otimes \psi_k \uparrow \varphi_1 \otimes \psi$ ,  $\varphi_{2j} \otimes \psi_k \uparrow \varphi_2 \otimes \psi$ , hence  $\theta(\varphi_{1i} \otimes \psi_k, \varphi_{2j} \otimes \varphi_k) \uparrow \theta(\varphi_1 \otimes \psi, \varphi_2 \otimes \psi)$ . Since  $\theta(\varphi_{1i} \otimes \psi_k, \varphi_{2j} \otimes \psi_k) = \theta(\varphi_{1i}, \varphi_{2j}) \otimes \psi_k$  for all i, j, k (see 3.9), it follows that  $\theta(\varphi_1 \otimes \psi, \varphi_2 \otimes \psi) = \theta(\varphi_1, \varphi_2) \otimes \psi$ .

**8.6.** If  $s(\varphi_2) \le s(\varphi_1)$ , then, arguing as in Section 3.9, we infer from Corollary 8.5 that

$$[D(\varphi_2 \overline{\otimes} \psi): D(\varphi_1 \overline{\otimes} \psi)]_t = [D\varphi_2: D\varphi_1]_t \overline{\otimes} s(\psi) \qquad (t \in \mathbb{R}).$$

Using the chain rule (3.5), we obtain the following general result:

**Corollary.** Let  $\varphi_1$ ,  $\varphi_2$  be normal semifinite weights on  $\mathcal{M}$  with  $s(\varphi_2) \leq s(\varphi_1)$  and  $\psi_1, \psi_2$  normal semifinite weights on  $\mathcal{N}$  with  $s(\psi_2) \leq s(\psi_1)$ . Then

$$[D(\varphi_2 \overline{\otimes} \psi_2): D(\varphi_1 \overline{\otimes} \psi_1)]_t = [D\varphi_2: D\varphi_1]_t \overline{\otimes} [D\psi_2: D\psi_1]_t \qquad (t \in \mathbb{R}).$$

8.7. In particular, using Corollary 4.8, we obtain:

Corollary. Let  $\varphi$ ,  $\psi$  be normal semifinite weights on the W\*-algebras  $\mathcal{M}$ ,  $\mathcal{N}$ , respectively, and A, B positive self-adjoint operators affiliated to  $\mathcal{M}^{\varphi}$ ,  $\mathcal{N}^{\psi}$ , respectively. Then  $A \otimes B$  is a positive self-adjoint operator affiliated to  $(\mathcal{M} \otimes \mathcal{N})^{\varphi \otimes \psi}$  and

$$(\varphi \otimes \psi)_{A \otimes B} = \varphi_A \otimes \psi_B.$$

**8.8.** Let  $\varphi$ ,  $\varphi_1$ ,  $\varphi_2$ ,  $\{\varphi_i\}$  be normal semifinite weights on the  $W^*$ -algebra  $\mathcal{M}$  and  $\psi$ ,  $\psi_1$ ,  $\psi_2$ ,  $\{\psi_j\}$  normal semifinite weights on the  $W^*$ -algebra  $\mathcal{N}$ . By Corollary 8.6 and Corollary 3.13,

$$\varphi_1 \leqslant \varphi_2, \ \psi_1 \leqslant \psi_2 \Rightarrow \varphi_1 \ \overline{\otimes} \ \psi_1 \leqslant \varphi_2 \ \overline{\otimes} \ \psi_2.$$

Also, arguing as in the proof of Proposition 8.3,

(2) 
$$\varphi_i \uparrow \varphi, \quad \psi_j \uparrow \psi \Rightarrow \varphi_i \overline{\otimes} \psi_j \uparrow \varphi \overline{\otimes} \psi,$$

and, by Corollary 8.4,

$$(\sum_{i} \varphi_{i}) \overline{\otimes} (\sum_{j} \psi_{j}) = \sum_{ij} \varphi_{i} \overline{\otimes} \psi_{j}.$$

8.9. If  $\varphi$ ,  $\psi$  are n.s.f. weights on the  $W^*$ -algebras  $\mathcal{M}$ ,  $\mathcal{N}$ , respectively, and  $\sigma \in Aut(\mathcal{M})$ ,  $\tau \in Aut(\mathcal{N})$ , by the definition (8.2) of the tensor product weight and 2.22. (5), we get

$$(\varphi \ \overline{\otimes} \ \psi) \cdot (\sigma \ \overline{\otimes} \ \tau) = (\varphi \cdot \sigma) \ \overline{\otimes} \ (\psi \cdot \tau).$$

More generally, arguing as in the proofs of Corollaries 8.4 and 8.5, we obtain the following

Corollary. Let  $\Phi: \mathcal{M}_1 \to \mathcal{M}$ ,  $\Psi: \mathcal{N}_1 \to \mathcal{N}$  be normal completely positive linear mappings between  $W^*$ -algebras and  $\varphi$ ,  $\psi$  normal semifinite weights on  $\mathcal{M}$ ,  $\mathcal{N}$ , respectively. If the weights  $\varphi \circ \Phi$ ,  $\psi \circ \Psi$  are semifinite, then

$$(\varphi \ \overline{\otimes} \ \psi) \circ (\varphi \ \overline{\otimes} \ \Psi) = (\varphi \cdot \varphi) \ \overline{\otimes} \ (\psi \cdot \Psi).$$

Recall ([204]; (236], 8.8) that the algebraic tensor product  $\Phi \otimes \Psi$  of two normal completely positive linear mappings extends to a normal completely positive linear mapping  $\Phi \otimes \Psi \colon \mathcal{M}_1 \otimes \mathcal{N}_1 \to \mathcal{M} \otimes \mathcal{N}_*$ .

8.10. While the equality of normal forms is equivalent to their equality on w-dense subsets, the equality of normal weights is, as we have seen (6.2, 6.6), a more delicate problem. The next result concerns the equality of certain tensor product weights.

**Proposition.** Let  $\varphi$  be an n.s. f. weight on the W\*-algebra  $\mathcal{M}$  and f a normal positive form on the factor  $\mathcal{F}$  of type I. If  $\psi$  is a normal semifinite weight on  $\mathcal{M} \otimes \mathcal{F}$  such that  $\psi \cdot (\sigma_t^{\sigma} \otimes 1_{\mathcal{F}}) = \psi(t \in \mathbb{R})$ , and there exists a  $\sigma^{\sigma}$ -invariant w-dense \*-subalgebra  $\mathcal{B}$  of  $\mathfrak{M}_{\sigma}$  such that

$$\psi(x^*x \otimes y^*y) = \varphi(x^*x) f(y^*y) \qquad (x \in \mathcal{A}, y \in \mathcal{F}),$$

then  $\psi = \varphi \otimes f$ .

**Proof.** Let tr be the canonical trace on  $\mathcal{F}$ . By ([L], E.7.8) there exists  $a \in \mathcal{F}$ , with a > 0, such that  $f = tr_a$ . Then  $\varphi \otimes tr$  is an n.s.f. weight on  $\mathscr{M} \otimes \mathscr{F}$ ,  $\sigma_t^{\varphi \otimes tr} = \sigma_t^{\varphi} \otimes \iota_F$ ,  $(t \in \mathbb{R})$ ,  $\varphi \otimes f = (\varphi \otimes tr)_{1 \otimes a}$  and  $\mathscr{B} \otimes \mathfrak{M}_{tr}$  is a  $\sigma^{\varphi \otimes tr}$ -invariant w-dense \*-subalgebra of  $\mathscr{M}_{\alpha \otimes tr}$  such that

$$\psi(z^*z) = (\varphi \otimes tr)_{1-\epsilon}(z^*z) \qquad (z \in \mathscr{A} \otimes \mathfrak{M}_{tr}).$$

Since  $\psi$  is  $\sigma^{\bullet \bullet tr}$ -invariant, we conclude by Theorem 6.2 that  $\psi = (\varphi \otimes tr)_{1 \overline{\bullet} a} = \varphi \otimes f$ .

8.11. Notes. Proposition 8.1 is due to Tomita and Takesaki [245]. The definition of the tensor product weight appears in [245] and [36]. The other results in this Section are from [70], [136], [229], [269].

For our exposition we have used [36], [229], [245], and [269].

### Chapter II

# Conditional expectations and operator valued weights

## §9. Conditional expectations

In this Section we introduce a special kind of positive linear mapping, called a conditional expectation, and give some applications to tensor products.

9.1. Let  $\mathscr{A}$  be a  $C^*$ -algebra and  $\mathscr{A} \subset \mathscr{A}$  a  $C^*$ -subalgebra.

A linear mapping  $\Phi: \mathcal{A} \to \mathcal{B}$  is called a *projection* if  $\Phi(b) = b$  for every  $b \in \mathcal{B}$ . In this case  $\Phi \circ \Phi = \Phi$  and  $\|\Phi\| > 1$ .

A linear mapping  $\Phi: \mathcal{A} \to \mathcal{B}$  is called  $\mathcal{B}$ -linear if  $\Phi(ab) = \Phi(a)b$  and  $\Phi(ba) = b\Phi(a)$  for every  $a \in \mathcal{A}$ ,  $b \in \mathcal{B}$ .

A  $\mathcal{B}$ -linear projection  $\Phi: \mathcal{A} \to \mathcal{B}$  which is also a positive mapping, i.e.  $\Phi(\mathcal{A}^+) \subset \mathcal{B}^+$ , is called a *conditional expectation*.

**Theorem** (J. Tomiyama). Every projection of norm 1 of the  $C^*$ -algebra  $\mathcal{A}$  onto the  $C^*$ -subalgebra  $\mathcal{A} \subset \mathcal{A}$  is a conditional expectation.

**Proof.** Consider first a norm 1 projection  $\Phi: \mathcal{M} \to \mathcal{N}$  of a  $W^*$ -algebra  $\mathcal{M}$  onto a  $W^*$ -subalgebra  $\mathcal{N} \subset \mathcal{M}$ . Then  $\mathcal{N}$  is a  $W^*$ -algebra and its unit element is a projection  $e_{\mathcal{N}} \in \mathcal{M}$ .

Let e be a projection in  $\mathcal{M}$ , put f = 1 - e, and assume that either e or f is in  $\mathcal{N}$ . For any  $x \in \mathcal{N}$ , both ex and fx are then in  $\mathcal{N}$  and for  $x, y \in \mathcal{M}$  we have

$$\|ex + fy\|^2 = \|(ex + fy)^* (ex + fy)\| = \|x^* ex + y^* fy\| \le \|ex\|^2 + \|fy\|^2.$$

So for  $\lambda \in \mathbb{R}$ ,

$$(\lambda + 1)^{2} \|f\Phi(ex)\|^{2} = \|f\Phi(ex + \lambda f\Phi(ex))\|^{2} \le \|ex + \lambda f\Phi(ex)\|^{2}$$
$$\le \|ex\|^{2} + \|\lambda f\Phi(ex)\|^{2} = \|ex\|^{2} + \lambda^{2} \|f\Phi(ex)\|^{2}.$$

As  $\lambda$  is arbitrary, we have (1-e)  $\phi(ex)=f\phi(ex)=0$ , i.e.  $\phi(ex)=e\phi(ex)$ . Interchanging e and f, we have  $e\phi(x-ex)=e\phi(fx)=0$ , or  $e\phi(x)=e\phi(ex)$ . Thus

(1) 
$$e\Phi(x) = \Phi(ex) \qquad (x \in \mathcal{M})$$

Putting x = 1,  $e_x = \Phi(e_x) = e_x \Phi(1) = \Phi(1)$ . Let  $\psi$  be any positive form on  $\mathcal{N}$  and

 $\varphi = \psi \cdot \Phi$ . Since  $\|\varphi\| \le \|\psi\| = \psi(e_x) = \varphi(1) \le \|\varphi\|$ , by ([L], 5.4.) it follows that  $\varphi$  is positive. Hence  $\Phi$  is positive and so self-adjoint. Taking adjoints in (1) we have

(2) 
$$\Phi(x)e = \Phi(xe) \qquad (x \in \mathcal{M}).$$

Since the  $W^*$ -algebra  $\mathcal{N}$  is the closed linear span of its projections ([L], 2.23), by (1) and (2) it follows that  $\Phi(yx) = y\Phi(x)$  and  $\Phi(xy) = \Phi(x)y$  for  $x \in \mathcal{M}$ ,  $y \in \mathcal{N}$ .

In the general case, when  $\Phi: \mathscr{A} \to \mathscr{B}$  is a projection of norm 1 of the  $C^*$ -algebra  $\mathscr{A}$  onto its  $C^*$ -subalgebra  $\mathscr{B}$ , we consider the second transpose  $\Psi = \Phi''$ , mapping of the second dual  $W^*$ -algebra  $\mathscr{N} = \mathscr{B}^{**}$  (A.15, A.16). Since  $\mathscr{A}$  is w-densely imbedded in  $\mathscr{A}^{**}$  and  $\mathscr{B}$  is w-densely imbedded in  $\mathscr{B}^{**}$ , we may identify  $\mathscr{N}$  with a  $W^*$ -subalgebra of  $\mathscr{M}$  and then  $\Psi: \mathscr{M} \to \mathscr{N}$  is obviously a projection of norm 1. By the first part of the proof,  $\Psi$  is a conditional expectation, which implies that its restriction  $\Phi = \Psi \mid \mathscr{A}$  is also a conditional expectation.

9.2. Let  $\mathscr{A}$ ,  $\mathscr{B}$  be  $C^*$ -algebras. A linear mapping  $\Phi: \mathscr{A} \to \mathscr{B}$  is called a Schwarz mapping if  $\Phi(a)^*\Phi(a) \leqslant \Phi(a^*a)$  for all  $a \in \mathscr{A}$ .

Note that if  $\Phi: \mathscr{A} \to \mathscr{B}$  is a Schwarz mapping and if  $a \in \mathscr{A}$  satisfies  $\Phi(a)^*\Phi(a) = \Phi(a^*a)$ , then for  $x \in \mathscr{A}$  we have

$$\Phi(x^*a) = \Phi(x)^*\Phi(a), \qquad \Phi(a^*x) = \Phi(a)^*\Phi(x).$$

Indeed, for  $x \in \mathcal{A}$ ,  $t \in \mathbb{R}$  we have

$$t(\Phi(a)^*\Phi(x) + \Phi(x)^*\Phi(a))$$

$$= \Phi(ta + x)^*\Phi(ta + x) - t^2\Phi(a)^*\Phi(a) - \Phi(x)^*\Phi(x)$$

$$\leq \Phi((ta + x)^*(ta + x)) - t^2\Phi(a^*a) - \Phi(x)^*\Phi(x)$$

$$= t\Phi(a^*x + x^*a) + (\Phi(x^*x) - \Phi(x)^*\Phi(x)).$$

Dividing this inequality by  $t \ge 0$  and letting  $|t| \to +\infty$  we get

$$\Phi(a)^*\Phi(x) + \Phi(x)^*\Phi(a) = \Phi(a^*x) + \Phi(x^*a).$$

Replacing a by -ia here and then multiplying by i we obtain

$$\Phi(a)^*\Phi(x) - \Phi(x)^*\Phi(a) = \Phi(a^*x) - \Phi(x^*a);$$

our assertion follows from the last two equations.

In particular, it follows that a projection  $\Phi: \mathscr{A} \to \mathscr{A} \subset \mathscr{A}$  which is also a Schwarz mapping, is a conditional expectation.

**Proposition.** Every conditional expectation  $\Phi: \mathcal{A} \to \mathcal{B} \subset \mathcal{A}$  is a Schwarz mapping and a projection of norm 1. If  $\mathcal{A}$  is unital, then  $\mathcal{B}$  is also unital and  $\Phi(1_{\mathcal{A}}) = 1_{\mathcal{B}}$ .

*Proof.* Indeed, for any  $a \in \mathcal{M}$  we have  $0 \leqslant \Phi((\Phi(a) - a)^*(\Phi(a) - a)) = -\Phi(a)^*\Phi(a) + \Phi(a^*a)$  and, since  $a^*a \leqslant \|a\|^2 \cdot 1_{\mathscr{A}^{**}}$ , we obtain  $\|\Phi(a)\|^2 = \|\Phi(a)^*\Phi(a)\| \leqslant \|\Phi(a^*a)\| \leqslant \|a\|^2$ , hence  $\|\Phi\| = 1$ . Also, by the preceding remark,  $\Phi(1_{\mathscr{A}})\Phi(a) = \Phi(a) = \Phi(a)\Phi(1_{\mathscr{A}})$ , so that  $\Phi(1_{\mathscr{A}})$  is the unit element of  $\mathscr{B}$ .

A positive linear mapping  $\Phi: \mathscr{A} \to \mathscr{B}$  between  $C^*$ -algebras is called faithful

if for  $a \in \mathcal{A}$ ,  $\Phi(a^*a) = 0 \Rightarrow a = 0$ .

If  $\Phi: \mathcal{M} \to \mathcal{N}$  is a Schwarz mapping between  $W^*$ -algebras, it is easy to check that  $\Phi$  is w-continuous if and only if  $\Phi$  is  $s^*$ -continuous; recall that in this case  $\Phi$  is called *normal*.

**9.3.** Recall ([L], C.5.2; [11], [236]) that a linear mapping  $\Phi: \mathcal{A} \to \mathcal{B}$  between the  $C^*$ -algebras  $\mathcal{A}$  and  $\mathcal{B}$  is said to be *completely positive* if, for each  $n \in \mathbb{N}$ , the natural extension  $\Phi_n: Mat_n(\mathcal{A}) \to Mat_n(\mathcal{B})$  is a positive mapping.

If  $\mathscr{B} = \mathscr{B}(\mathscr{H})$ , then  $Mat_n(\mathscr{B}) = \mathscr{B}(\widetilde{\mathscr{H}}_n)$  where  $\widetilde{\mathscr{H}}_n$  is the Hilbert space direct sum of n copies of  $\mathscr{H}$ . An element  $X \in \mathscr{B}(\widetilde{\mathscr{H}}_n)$  is positive if and only if  $(X\xi \mid \xi) \geqslant 0$  for any  $\xi = [\xi_1, \ldots, \xi_n] \in \widetilde{\mathscr{H}}_n$ . On the other hand, it is easy to check that every positive element of the  $C^*$ -algebra  $Mat_n(\mathscr{A})$  is a finite sum of matrices  $[a_{ij}] \in Mat_n(\mathscr{A})$  with  $a_{ij} = a_i^* a_j (1 \leq i, j \leq n)$ , where  $a_1, \ldots, a_n \in \mathscr{A}$ . Consequently, a linear mapping  $\Phi: \mathscr{A} \to \mathscr{B}(\mathscr{H})$  is completely positive if and only if  $\sum_{ij} (\Phi(a_i^* a_j) \xi_j \mid \xi_i) \geqslant 0$  for n-tuples  $a_1, \ldots, a_n \in \mathscr{A}$ , and  $\xi_1, \ldots, \xi_n \in \mathscr{H}$   $(n = 1, 2, \ldots)$ .

It is easy to see that if  $\mathcal{H} = \bigoplus_{i \in I} \mathcal{H}_i$  and  $\Phi(\mathcal{A}) \mathcal{H}_i \subset \mathcal{H}_i$   $(i \in I)$ , then  $\Phi$  is completely positive if and only if each of the mappings  $\Phi_i : \mathcal{A} \ni a \mapsto \Phi(a) \mid \mathcal{H}_i \in \mathcal{B}(\mathcal{H}_i)$ 

is completely positive.

Every  $C^*$ -algebra  $\mathscr{B}$  may be regarded as a concrete  $C^*$ -algebra  $\mathscr{B} \subset \mathscr{B}(\mathscr{H})$  such that  $\mathscr{BH} = \mathscr{H}$ ; in this case there exist a direct sum decomposition  $\mathscr{H} = \bigoplus_{i \in I} \mathscr{H}_i$  and vectors  $\xi_i \in \mathscr{H}_i$  such that  $\mathscr{B}\xi_i = \mathscr{H}_i (i \in I)$ . Thus, in proving that a linear mapping  $\Phi : \mathscr{A} \to \mathscr{B}$  is completely positive we may assume, without loss of generality, that  $\mathscr{B} \subset \mathscr{B}(\mathscr{H})$  and  $\mathscr{BE} = \mathscr{H}$  for some  $\xi \in \mathscr{H}$ .

Proposition. Every conditional expectation is completely positive.

*Proof.* Let  $\Phi: \mathscr{A} \to \mathscr{B} \subset \mathscr{A}$  be a conditional expectation and assume that  $\mathscr{B} \subset \mathscr{B}(\mathscr{H})$  with  $\overline{\mathscr{B}\xi} = \mathscr{H}$  for some  $\xi \in \mathscr{H}$ . Let  $a_k \in \mathscr{A}$ ,  $x_k \in \mathscr{A}$  and  $\xi_k = \Phi(x_k)\xi$   $(1 \le k \le n)$ . We have

$$\sum_{ij} \left( \Phi(a_i^* a_j) \, \xi_j \, \big| \, \xi_i \right) = \sum_{ij} \left( \Phi(x_i)^* \Phi(a_i^* a_j) \Phi(x_j) \xi \, \big| \, \xi \right)$$

$$= \sum_{ij} (\Phi(\Phi(x_i)^* a_i^* a_j \Phi(x_j)) \xi \mid \xi) = (\Phi(\sum_{ij} \Phi(x_i)^* a_i^* a_j \Phi(x_j)) \xi \mid \xi) > 0,$$

as  $\sum_{i,j} \Phi(x_i)^* a_i^* a_j \Phi(x_j) > 0$  and  $\Phi$  is positive. Since  $\overline{\mathscr{B}\xi} = \mathscr{H}$ , the above inequality holds for arbitrary vectors  $\xi_1, \ldots, \xi_n \in \mathscr{H}$ , hence  $\Phi$  is completely positive.

9.4. If  $\Phi: \mathscr{A} \to \mathscr{B}(\mathscr{H})$  is a completely positive linear mapping, the Stinespring theorem ([L], C.5.2; [11], [236]) shows that there exist a Hilbert space  $\mathscr{K}$ , a \*-representation  $\pi: \mathscr{A} \to \mathscr{B}(\mathscr{K})$  and a bounded linear mapping  $V: \mathscr{H} \to \mathscr{K}$  such that  $||V|| = ||\Phi||^{1/2}$ ,  $\mathscr{K}$  is the closed linear space generated by  $\pi(\mathscr{A})V\mathscr{H}$ , and

$$\Phi(a) = V^*\pi(a)V \qquad (a \in \mathcal{A}).$$

The triple  $\{\pi, V, \mathcal{K}\}$  is uniquely determined by these conditions and is called the Stinespring dilation of  $\Phi$ .

By means of the Stinespring dilation we obtain

(1) 
$$\Phi(a)^*\Phi(a) \leqslant \|\Phi\| \Phi(a^*a) \qquad (a \in \mathcal{A});$$

so if  $\|\Phi\| = 1$ ,  $\Phi$  is a Schwarz mapping. If  $\mathscr{A}$  is unital then  $\Phi(1) = V^{\bullet}V$  and  $\|\Phi\| = \|\Phi(1)\|$ .

Again, using the Stinespring dilation, we see that if  $\Phi_1: \mathscr{A}_1 \to \mathscr{B}_1$  and  $\Phi_2: \mathscr{A}_2 \to \mathscr{B}_2$  are (faithful) completely positive linear mappings, there exists a unique (faithful) completely positive linear mapping  $\Phi_1 \otimes_{C^0} \Phi_2: \mathscr{A}_1 \otimes_{C^0} \mathscr{A}_1 \to \mathscr{B}_1 \otimes_{C^0} \mathscr{A}_2$  such that

$$(\Phi_1 \otimes_{C^*} \Phi_2) (a_1 \otimes a_2) = \Phi_1(a_1) \otimes \Phi_2(a_2)$$

for  $a_1 \in \mathcal{A}_1$ ,  $a_2 \in \mathcal{A}_2$ , and moreover

$$\|\phi_1 \otimes_{C^*} \phi_2\| = \|\phi_1\| \|\phi_2\|.$$

It is easy to check that if  $\Phi_1$  and  $\Phi_2$  are conditional expectations, then  $\Phi_1 \otimes_{\mathbb{C}^n} \Phi_2$  is also a conditional expectation.

If  $\Phi: \mathcal{M} \to \mathcal{B}(\mathcal{H})$  is a normal completely positive linear mapping of the  $W^*$ -algebra  $\mathcal{M}$ , then the Stinespring dilation  $\pi$  is also a normal \*-representation.

It follows that if  $\Phi_1: \mathcal{M}_1 \to \mathcal{N}_1$  and  $\Phi_2: \mathcal{M}_2 \to \mathcal{N}_2$  are (faithful) normal completely positive linear mappings between  $W^*$ -algebras, then there exists a unique (faithful) normal completely positive linear mapping  $\Phi_1 \overline{\otimes} \Phi_2: \mathcal{M}_1 \overline{\otimes} \mathcal{M}_2 \to \mathcal{N}_1 \overline{\otimes} \mathcal{N}_2$  which extends the algebraic tensor product mapping  $\Phi_1 \otimes \Phi_2: \mathcal{M}_1 \otimes \mathcal{M}_2 \to \mathcal{N}_1 \otimes \mathcal{M}_2 \to \mathcal{N}_1 \otimes \mathcal{N}_2$ . Note that  $\mathcal{M}_1 \otimes \mathcal{C} \otimes \mathcal{M}_2$  is a w-dense  $C^*$ -subalgebra of  $\mathcal{M}_1 \overline{\otimes} \mathcal{M}_2$  and the restriction of  $\Phi_1 \overline{\otimes} \Phi_2$  to  $\mathcal{M}_1 \otimes \mathcal{C} \otimes \mathcal{M}_2$  is just  $\Phi_1 \otimes \mathcal{C} \otimes \Phi_2$ , so that

(3) 
$$\| \Phi_1 \overline{\otimes} \Phi_2 \| = \| \Phi_1 \| \| \Phi_2 \|.$$

If  $\Phi_1$  and  $\Phi_2$  are normal conditional expectations,  $\Phi_1 \overline{\otimes} \Phi_2$  is also a normal conditional expectation.

The extension of the algebraic tensor product mapping  $\Phi_1 \otimes \Phi_2$  to the  $W^*$ -algebra  $\mathcal{M}_1 \otimes \mathcal{M}_2$  is possible even if  $\Phi_1$ ,  $\Phi_2$  are not normal:

**Proposition.** (J. Tomiyama). Let  $\Phi_1: \mathcal{M}_1 \to \mathcal{N}_1$ ,  $\Phi_2: \mathcal{M}_2 \to \mathcal{N}_2$  be completely positive linear mappings between  $W^*$ -algebras. There exists a completely positive linear mapping  $\Phi: \mathcal{M}_1 \overline{\otimes} \mathcal{M}_2 \to \mathcal{N}_1 \overline{\otimes} \mathcal{N}_2$ ,  $\|\Phi\| = \|\Phi_1\| \|\Phi_2\|$ , such that

$$\Phi(x_1 \otimes x_2) = \Phi_1(x_1) \otimes \Phi_2(x_2) \qquad (x_1 \in \mathcal{M}_1, x_2 \in \mathcal{M}_2).$$

If  $\Phi_1$  and  $\Phi_2$  are conditional expectations, we can choose  $\Phi$  to be a conditional expectation.

Before we give the proof (in Section 9.7) some preparation is needed.

**9.5.** Lemma. Let  $\Phi: \mathcal{M} \to \mathcal{N}$  be a completely positive linear mapping between the  $W^*$ -algebras  $\mathcal{M}$ ,  $\mathcal{N}$ . There exists a completely positive linear mapping  $\Psi: \mathcal{M} \to \mathcal{N}$  with  $\Psi(1) = 1$  such that

$$\Phi(x) = \Phi(1)^{1/2} \Psi(x) \Phi(1)^{1/2} \qquad (x \in \mathcal{M}).$$

*Proof.* Let  $b = \Phi(1) \in \mathcal{N}^+$ , f = s(b) and let  $\varphi$  be any state of  $\mathcal{M}$ . The equation

$$\Psi_n(x) = (b+n^{-1})^{-1/2}\Phi(x)(b+n^{-1})^{-1/2} + \varphi(x)(1-f) \qquad (x \in \mathcal{M})$$

defines completely positive linear mappings  $\Psi_n: \mathcal{M} \to \mathcal{N} \ (n \in \mathbb{N})$ .

Let  $a \in \mathcal{M}$ ,  $0 \le a \le 1$ . Since  $0 \le \Phi(a) \le b$ , there exists ([L], E.2.6)  $y \in \mathcal{N}$ ,  $||y|| \le 1$ , such that  $\Phi(a)^{1/2} = yb^{1/2}$ . As  $b(b+n^{-1})^{-1} \stackrel{s^*}{\to} f$ , it follows that  $\Phi(a)^{1/2}(b+n^{-1})^{-1/2} \stackrel{s^*}{\to} yf$ , and the sequence  $\{\Psi_n(a)\}$  is  $s^*$ -convergent to the element  $\Psi(a) = fy^*yf + \varphi(a)(1-f)$ . We have  $b^{1/2}\Psi(a)b^{1/2} = b^{1/2}y^*yb^{1/2} = \Phi(a)$ . If a=1, then y=1 and  $\varphi(a)=1$ , hence  $\Psi(1)=1$ .

Since every element  $x \in \mathcal{M}$  is a linear combination of elements  $0 \le a \le 1$ , it follows that the mappings  $\Psi_n$  are pointwise convergent, with respect to the  $s^*$ -topology on  $\mathcal{N}$ , to a completely positive linear mapping  $\Psi: \mathcal{M} \to \mathcal{N}$  with the required properties.

9.6. Let I be a directed set. The space of all bounded nets  $\{f(i)\}_{i\in I}$  of complex numbers is just the  $C^*$ -algebra  $\mathcal{B}(I)$  of bounded functions on I. For each  $i\in I$ , the equation

$$\Lambda_i(f) = f(i)$$
  $(f \in \mathcal{B}(I))$ 

defines a positive form  $\Lambda_l \in \mathcal{B}(I)^*$  such that  $||\Lambda_l|| = 1$ . Since the closed unit ball of  $\mathcal{B}(I)^*$  is  $\sigma(\mathcal{B}(I)^*, \mathcal{B}(I))$ -compact, it follows that the intersection

$$\bigcap_{l\in I}\overline{\{A_j;\,j>i\}}\subset\mathcal{B}(I)^*$$

is not empty. An arbitrary element  $\Lambda$  in this intersection will be called a *Banach limit with respect to I*. For  $f \in \mathcal{B}(I)$  we shall write

$$\Lambda(f) = LIM_i f(i).$$

The properties of the Banach limits follow immediately from the fact that  $\Lambda$  is a positive form of norm 1 on  $\mathcal{B}(I)$ . Moreover, we have  $\text{LIM}_{i}f(i) = \lim_{i} f(i)$  whenever  $\lim_{i} f(i)$  exists.

Consider now a norm-bounded net  $\{x_i\}_{i\in I} \subset \mathcal{R}(\mathcal{X})$ . The mapping  $\mathcal{H} \times \mathcal{H} \ni (\xi, \eta) \mapsto \text{LIM}_i (x_i \xi \mid \eta)$  is a bounded sesquilinear form on  $\mathcal{H}$ , so there exists a unique operator

$$x = \text{LIM}_{i} x_{i} \in \mathcal{B}(\mathcal{H})$$

such that  $(x\xi \mid \eta) = \text{LIM}_i (x_i\xi \mid \eta)$  for  $\xi, \eta \in \mathcal{H}$ . It is easy to check the following properties:

(1) 
$$LIM_{i} (x_{i} + y_{i}) = LIM_{i} x_{i} + LIM_{i} y_{i},$$

(2) 
$$LIM_{l}(x_{l}^{*}) = (LIM x_{l})^{*},$$

(3) 
$$LIM_{i}(ax_{i}b) = a(LIM_{i}x_{i})b,$$

$$(4) x_i \stackrel{\text{wo}}{\to} x \Rightarrow \text{LIM}_i \ x_i = x.$$

Also, using the Hahn-Banach theorem we see that

(5) 
$$[if \mathcal{X} \subset \mathcal{B}(\mathcal{H}) \text{ is a wo-closed convex set and } x_i \in \mathcal{X} \\ \text{whenever } i \geq i_0, \text{ then } \text{LIM}_i x_i \in \mathcal{X}.$$

9.7. Proof of Proposition 9.4. We divide the proof into three steps.

(I) Let  $\Phi: \mathcal{M} \to \mathcal{N}$  be a completely positive linear mapping between the  $W^{\bullet}$ -algebras  $\mathcal{M}, \mathcal{N}$  and  $\iota: \mathcal{F} \to \mathcal{F}$  the identity mapping on a factor  $\mathcal{F}$  of type I. Let  $\{e_k\}_{k \in \mathcal{K}}$  be a family of minimal projections in  $\mathcal{F}$  with  $\sum_k e_k = 1$ , put  $I = \{J \subset \mathcal{K}; J \text{ finite}\}$ ,  $e_J = \sum_{k \in \mathcal{K}} e_k$  for  $J \in I$ , and let LIM be any Banach limit with respect to I.

Since e, Fe, is finite dimensional, we have ([L], 3.17)

$$(1 \ \overline{\otimes} \ e_j)(\mathcal{M} \ \overline{\otimes} \ \mathcal{F}) \ (1 \ \overline{\otimes} \ e_j) = \mathcal{M} \ \overline{\otimes} \ e_j \mathcal{F} e_j = \mathcal{M} \ \otimes \ e_j \mathcal{F} e_j$$

and so we can define a completely positive linear mapping

$$\widetilde{\Phi}_{J}: \mathcal{M} \ \overline{\otimes} \ \mathcal{F} \to \mathcal{N} \ \overline{\otimes} \ \mathcal{F}, \ \|\widetilde{\Phi}_{J}\| \leqslant \|\Phi\|, \text{ by}$$

$$\widetilde{\Phi}_{J}(x) = (\Phi \otimes \iota_{J}) ((1 \ \overline{\otimes} \ e_{J}) x (1 \ \overline{\otimes} \ e_{J})) \qquad (x \in \mathcal{M} \ \overline{\otimes} \ \mathcal{F}).$$

Now, using the results of Section 9.6, we obtain a completely positive linear map-

ping  $\widetilde{\Phi}$ :  $\mathscr{M} \otimes \mathscr{F} \to \mathscr{N} \otimes \mathscr{F}$ ,  $\|\widetilde{\Phi}\| \leqslant \|\Phi\|$ , by putting

$$\widetilde{\Phi}(x) = \text{LIM}_J \widetilde{\Phi}_J(x) \qquad (x \in \mathcal{M} \ \overline{\otimes} \ \mathcal{F}).$$

Since  $e_j \uparrow 1$  in  $\mathcal{F}$ , for  $a \in \mathcal{M}$ ,  $b \in \mathcal{F}$  we have

$$\widetilde{\Phi}(a \otimes b) = \operatorname{LIM}_{J}(\Phi(a) \otimes e_{J}be_{J}) = w \operatorname{-lim}_{J}(\Phi(a) \otimes e_{J}be_{J}) = \Phi(a) \otimes b;$$

thus  $\widetilde{\Phi}$  extends the algebraic tensor product mapping  $\Phi \otimes \iota$ .

If  $\Phi$  is a conditional expectation, then  $\|\widetilde{\Phi}\| = \|\Phi\| = 1$  and, as  $1 \overline{\otimes} e_j \uparrow 1 \overline{\otimes} 1$ , for  $y \in \mathcal{N} \overline{\otimes} \mathcal{F} \subset \mathcal{M} \overline{\otimes} \mathcal{F}$  we obtain

$$\widetilde{\Phi}(y) = w \text{-} \lim_{J} (\Phi \otimes \iota_{J})((1 \overline{\otimes} e_{J})y(1 \overline{\otimes} e_{J})) = w \text{-} \lim_{J} (1 \overline{\otimes} e_{J})y(1 \overline{\otimes} e_{J}) = y;$$

it follows by Theorem 9.1, that  $\widetilde{\Phi}$  is a conditional expectation.

(II) We assume that  $\Phi_1(1) = 1$ ,  $\Phi_2(1) = 1$  and assume  $\mathcal{M}_1 \subset \mathcal{B}(\mathcal{H}_1)$ ,  $\mathcal{M}_2 \subset \mathcal{B}(\mathcal{H}_2)$ ,  $\mathcal{N}_1 \subset \mathcal{B}(\mathcal{H}_1)$ ,  $\mathcal{N}_2 \subset \mathcal{B}(\mathcal{H}_2)$  realized as von Neumann algebras. By (I) there exist completely positive linear mappings

$$\widetilde{\Phi}_1: \mathcal{M}_1 \overline{\otimes} \mathcal{B}(\mathcal{H}_2) \to \mathcal{N}_1 \overline{\otimes} \mathcal{B}(\mathcal{H}_2) \text{ and } \widetilde{\Phi}_2: \mathcal{B}(\mathcal{K}_1) \overline{\otimes} \mathcal{M}_2 \to \mathcal{B}(\mathcal{K}_1) \overline{\otimes} \mathcal{N}_2$$

which extend the algebraic tensor product mappings  $\Phi_1 \otimes \iota_2$  and  $\iota_1 \otimes \Phi_2$ , respectively. Let us show that

$$x\in\mathcal{M}_1\ \overline{\otimes}\ \mathcal{M}_2\Rightarrow\widetilde{\Phi}_1(x)\in\mathcal{N}_1\ \overline{\otimes}\ \mathcal{M}_2.$$

Indeed, let  $x'_1 \in \mathcal{M}'_2$ . Since  $\Phi_1(1) = 1$ , we have

$$\widetilde{\Phi}_1(1 \overline{\otimes} x_2')^* \widetilde{\Phi}_1(1 \overline{\otimes} x_2') = \widetilde{\Phi}_1((1 \overline{\otimes} x_2')^*(1 \overline{\otimes} x_2')).$$

Using 9.4. (1) and the first remark in Section 9.2, we obtain

$$\widetilde{\Phi}_{1}(x) (1 \overline{\otimes} x'_{2}) = \widetilde{\Phi}_{1}(x) \widetilde{\Phi}_{1} (1 \overline{\otimes} x'_{2}) = \widetilde{\Phi}_{1}(x(1 \overline{\otimes} x'_{2})) =$$

$$= \widetilde{\Phi}_{1}((1 \overline{\otimes} x'_{2}) x) = \widetilde{\Phi}_{1} (1 \overline{\otimes} x'_{2}) \widetilde{\Phi}_{1}(x) = (1 \overline{\otimes} x'_{2}) \widetilde{\Phi}_{1}(x).$$

It follows that  $\widetilde{\Phi}_1(x) \in \mathcal{N}_1 \overline{\otimes} \mathcal{M}_2$ , as asserted. Similarly,

$$y \in \mathcal{N}_1 \otimes \mathcal{M}_2 \Rightarrow \widetilde{\Phi}_2(y) \in \mathcal{N}_1 \otimes \mathcal{N}_2.$$

Thus,  $\Phi = \widetilde{\Phi}_2 \circ \widetilde{\Phi}_1 : \mathcal{M}_1 \otimes \mathcal{M}_2 \to \mathcal{N}_1 \otimes \mathcal{N}_2$  is a completely positive linear mapping which extends the algebaic tensor product mapping  $\Phi_1 \otimes \Phi_2$ . If  $\Phi_1$  and  $\Phi_2$  are conditional expectations,  $\widetilde{\Phi}_1$  and  $\widetilde{\Phi}_2$  are also conditional expectations, and so  $\Phi$  is a conditional expectation.

(III) The general case of Proposition 9.4 now follows easily using Lemma 9.5.

9.8. Let  $\mathcal{M}$  and  $\mathcal{N}$  be  $W^*$ -algebras and  $\varphi \in \mathcal{M}_{*}$ . Since  $\mathcal{N} = (\mathcal{N}_{*})^{*}$ , for every  $x \in \mathcal{M} \otimes \mathcal{N}$  there exists a unique element  $E_{\mathcal{N}}^{\varphi}(x) \in \mathcal{N}$  such that

$$\psi(E_{\mathscr{X}}^{\bullet}(x)) = (\varphi \ \overline{\otimes} \ \psi) (x) \qquad (\psi \in \mathscr{N}_{\bullet}).$$

It is easy to check that  $E_{\mathcal{X}}^{\varphi} \colon \mathcal{M} \otimes \mathcal{N} \to \mathcal{N}$  is a w-continuous linear mapping,  $\|E_{\mathcal{X}}^{\varphi}\| = \|\varphi\|$ , and

(1) 
$$E_{\mathcal{L}}^{\phi}(a \otimes b) = \varphi(a)b \qquad (a \in \mathcal{M}, b \in \mathcal{N}).$$

Using the w-continuity of  $E_x^{\sigma}$  and the w-density of  $\mathcal{M} \otimes \mathcal{N}$  in  $\mathcal{M} \otimes \mathcal{N}$ , from (1) we infer that

(2) 
$$E_{\mathcal{X}}^{\bullet}((1 \overline{\otimes} b) \times (1 \overline{\otimes} c)) = bE_{\mathcal{X}}^{\bullet}(x)c \qquad (x \in \mathcal{M} \overline{\otimes} \mathcal{N}, b, c \in \mathcal{N}).$$

If  $\varphi \in \mathcal{M}_*$  is positive,  $E_{\mathscr{S}}^{\varphi}$  is also positive. Identifying  $\mathscr{N}$  with  $1 \otimes \mathscr{N}$  by amplification, it follows that if  $\varphi$  is a normal state on  $\mathscr{M}$ , then  $E_{\mathscr{S}}^{\varphi}$  is a normal conditional expectation of  $\mathscr{M} \otimes \mathscr{N}$  onto  $\mathscr{N}$ . The family of conditional expectations  $\{E_{\mathscr{S}}^{\varphi}; \varphi \text{ normal state on } \mathscr{M}\}$  is separating in the following sense:

(3) for every 
$$0 \neq x \in \mathcal{M} \otimes \mathcal{N}$$
 there exists a normal state  $\varphi$  on  $\mathcal{M}$  such that  $E_{\mathcal{K}}^{\varphi}(x) \neq 0$ .

From (1) it follows that

$$E_{\mathcal{X}}^{\bullet} = \varphi \ \overline{\otimes} \ \iota_{\mathcal{X}}$$

in the sense defined in Section 9.4, where  $\iota_{\mathcal{X}}$  denotes the identity mapping on  $\mathcal{X}$ . In view of their similarity to "partial integration" procedures, the mappings  $E_{\mathcal{X}}^{\bullet}$  are also called *Fubini mappings*.

If  $\varphi \in \mathcal{M}_{\bullet}^+$ , then for every normal semifinite weight  $\psi$  on  $\mathcal{N}$  we have

(5) 
$$\psi(E_{\mathcal{X}}^{\varphi}(x)) = (\varphi \overline{\otimes} \psi)(x) \quad (x \in (\mathcal{M} \overline{\otimes} \mathcal{X})^{+}).$$

This can be easily verified from the definition of  $E_x^{\alpha}$ , using Corollary 5.8 and Proposition 8.3.

The next result is equivalent to the commutation theorem for tensor products ([L], 10.7):

**Proposition.** Let  $\mathcal{M} \subset \mathcal{B}(\mathcal{H})$ ,  $\mathcal{N} \subset \mathcal{B}(\mathcal{K})$  be von Neumann algebras, and let  $\mathcal{G} \subset \mathcal{B}(\mathcal{H} \overline{\otimes} \mathcal{X})$  be a von Neumann algebra such that  $\mathcal{M} \overline{\otimes} \mathcal{N} \subset \mathcal{G}$  and  $E^{\varphi}_{\mathcal{B}(\mathcal{X})}(\mathcal{G}) \subset \mathcal{N}$ ,  $E^{\varphi}_{\mathcal{B}(\mathcal{X})}(\mathcal{G}) \subset \mathcal{M}$  for every  $\varphi \in \mathcal{B}(\mathcal{H})_*$ ,  $\psi \in \mathcal{B}(\mathcal{K})_*$ . Then  $\mathcal{G} = \mathcal{M} \overline{\otimes} \mathcal{N}$ .

*Proof.* Let  $x \in \mathcal{S}$  and  $a' \in \mathcal{M}'$ . For  $\phi \in \mathcal{B}(\mathcal{H})_*$ ,  $\psi \in \mathcal{B}(\mathcal{H})_*$ , we have

$$(\varphi \overline{\otimes} \psi)((a' \overline{\otimes} 1)x) = \varphi(E_{\mathcal{A}(\mathcal{X})}^{\psi}((a' \overline{\otimes} 1) x) = \varphi(a' E_{\mathcal{A}(\mathcal{X})}^{\psi}(x))$$

$$= \varphi(E_{\mathcal{A}(\mathcal{X})}^{\psi}(x)a') = \varphi(E_{\mathcal{A}(\mathcal{X})}^{\psi}(x(a' \overline{\otimes} 1))) = (\varphi \overline{\otimes} \psi)(x(a' \overline{\otimes} 1)),$$

hence x commutes with  $a' \overline{\otimes} 1$ . Similarly, for  $b' \in \mathcal{N}'$ , x commutes with  $1 \overline{\otimes} b'$ . Thus,  $x \in (\mathcal{M}' \overline{\otimes} \mathcal{N}')' = \mathcal{M} \overline{\otimes} \mathcal{N}$ .

9.9. Corollary. Let  $\sigma: G \to Aut(\mathcal{M})$ ,  $\tau: \mathcal{H} \to Aut(\mathcal{N})$  be actions of the groups G, H on the  $W^*$ -algebras  $\mathcal{M}$ ,  $\mathcal{N}$ , respectively, and let  $\sigma \otimes \tau: G \times H \to Aut(\mathcal{M} \otimes \mathcal{N})$  be the tensor product action, i.e.  $(\sigma \otimes \tau)_{g,h} = \sigma_g \otimes \tau_h(g \in G, h \in H)$ . Then  $(\mathcal{M} \otimes \mathcal{N})^{\sigma \otimes \tau} = \mathcal{M}^{\sigma} \otimes \mathcal{N}^{\tau}$ .

*Proof.* Clearly,  $\mathcal{M}^{\sigma} \overline{\otimes} \mathcal{N}^{\tau} \subset (\mathcal{M} \overline{\otimes} \mathcal{N})^{\sigma \bullet \tau}$ . Now let  $x \in (\mathcal{M} \overline{\otimes} \mathcal{N})^{\sigma \bullet \tau}$ ,  $\varphi \in \mathcal{M}_{\bullet}$  and  $h \in H$ , and denote by  $e \in G$  the neutral element of G. For  $\psi \in \mathcal{N}_{\bullet}$  we have

$$\psi(\tau_{\mathbf{A}}(E_{\mathscr{X}}^{\varphi}(x))) = (\varphi \ \overline{\otimes} \ \psi \circ \tau_{\mathbf{A}}) \ (x) = (\varphi \ \overline{\otimes} \psi)((\sigma_{\mathbf{c}} \ \overline{\otimes} \ \tau_{\mathbf{A}})(x))$$
$$= (\varphi \ \overline{\otimes} \ \psi) \ (x) = \psi(E_{\mathscr{X}}^{\varphi}(x)),$$

hence  $\tau_h(E^{\varphi}_{\mathcal{N}}(x)) = E^{\varphi}_{\mathcal{N}}(x)$ . Thus,  $E^{\varphi}_{\mathcal{N}}(x) \in \mathcal{N}^{\tau}$ . Similarly,  $E^{\varphi}_{\mathcal{M}}(x) \in \mathcal{M}^{\sigma}$  for  $\psi \in \mathcal{N}_{+}$ . By Proposition 9.8 it follows that  $x \in \mathcal{M}^{\sigma} \overline{\otimes} \mathcal{N}^{\tau}$ .

We obtain the next two results from Proposition 9.8, in a similar manner.

- **9.10.** Corollary. Let  $\mathscr{A}$ ,  $\mathscr{B}$  be maximal abelian \*-subalgebras of the W\*-algebras  $\mathscr{M}$ ,  $\mathscr{N}$ , respectively. Then  $\mathscr{A} \overline{\otimes} \mathscr{B}$  is a maximal abelian \*-subalgebra of the W\*-algebra  $\mathscr{M} \overline{\otimes} \mathscr{N}$ .
- 9.11. Corollary. Let  $\mathcal{M}_1 \subset \mathcal{B}(\mathcal{H})$ ,  $\mathcal{M}_2 \subset \mathcal{B}(\mathcal{H})$ ,  $\mathcal{N}_1 \subset \mathcal{B}(\mathcal{K})$ ,  $\mathcal{N}_2 \subset \mathcal{B}(\mathcal{K})$  be von Neumann algebras. Then  $\mathcal{M}_1 \overline{\otimes} \mathcal{N}_1 \cap \mathcal{M}_2 \overline{\otimes} \mathcal{N}_2 = (\mathcal{M}_1 \cap \mathcal{M}_2) \overline{\otimes} (\mathcal{N}_1 \cap \mathcal{N}_2)$ .
- 9.12. The next result is a characterization of tensor product  $W^*$ -algebras:

**Theorem.** Let  $\mathcal{R}$  be a  $W^*$ -algebra and  $\mathcal{M}, \mathcal{N} \subset \mathcal{R}$   $W^*$ -subalgebras with the properties: (i)  $\mathcal{R}$  is the  $W^*$ -algebra generated by  $\mathcal{M}$  and  $\mathcal{N}$ ;

(ii) ab = ba for every  $a \in \mathcal{M}$ ,  $b \in \mathcal{N}$ ;

(iii) there exists a family  $\{E_i\}_{i\in I}$  of w-continuous  $\mathcal N$ -linear mappings  $E_i\colon \mathcal R\to \mathcal N$ , such that:

(1) 
$$E_i(a) \in \mathbb{C} \cdot 1 \text{ for } a \in \mathcal{M}, i \in I$$

(2) 
$$x \in \mathcal{R}, E_i(x^*x) = 0$$
 for all  $i \in I \Rightarrow x = 0$ .

Then there exists a \*-isomorphism  $\Phi: \mathcal{M} \otimes \mathcal{N} \to \mathcal{R}$  such that

$$\Phi(a \otimes b) = ab \qquad (a \in \mathcal{M}, b \in \mathcal{N}).$$

*Proof.* From the assumptions it follows that the family  $\{E_i(a\cdot); a \in \mathcal{M}, i \in I\}$  consists of w-continuous  $\mathcal{N}$ -linear mappings satisfying (1), and the condition

$$x \in \mathcal{R}, E_i(ax) = 0$$
 for  $a \in \mathcal{M}, i \in I \Rightarrow x = 0$ .

Thus, we may assume that the family  $\{E_i\}_{i\in I}$  is separating, that is

$$x \in \mathcal{R}, E_i(x) = 0$$
 for all  $i \in I \Rightarrow x = 0$ .

In this case the set  $\{\psi \circ E_i; i \in I, \psi \in \mathcal{N}_{\bullet}^+\}$  is total in  $\mathcal{R}_{\bullet}$ .

From condition (1) it follows that for each  $i \in I$  there exists  $\varphi_i \in \mathcal{M}_{\bullet}$  such that  $E_i(a) = \varphi_i(a) \cdot 1$   $(a \in \mathcal{M})$ . Since the family  $\{E_i\}_{i \in I}$  is separating, the set  $\{\varphi_i; i \in I\}$  is total in  $\mathcal{M}_{\bullet}$  and hence the set  $\{\varphi_i \otimes \psi; i \in I, \psi \in \mathcal{N}_{\bullet}^+\}$  is total in  $(\mathcal{M} \otimes \mathcal{N})_{\bullet}$ .

Let  $\mathscr{F} \subset \mathscr{M}_{\bullet}$  be the linear subspace generated by  $\{\varphi_i; i \in I\}$ . For  $\varphi = \sum_i \lambda_i \varphi_i \in \mathscr{F}$  let  $E^{\varphi} = \sum_i \lambda_i E_i$ . Then the sets  $\{\varphi \otimes \psi; \varphi \in \mathscr{F}, \psi \in \mathscr{N}_{\bullet}^+\} \subset (\mathscr{M} \otimes \mathscr{N})_{\bullet}$  and  $\{\psi \cdot E^{\varphi}; \varphi \in \mathscr{F}, \psi \in \mathscr{N}_{\bullet}^+\} \subset \mathscr{R}_{\bullet}$  are dense linear subspaces and, for every  $\varphi \in \mathscr{F}, \psi \in \mathscr{N}_{\bullet}^+$ , we have

(3) 
$$\|\psi \cdot E^{\bullet}\| > \|(\psi \cdot E^{\bullet})\| \mathcal{M}\| = \sup \{ |(\psi \cdot E^{\bullet})(a)|; a \in \mathcal{M}, \|a\| \leq 1 \}$$
  
=  $\sup \{ |\psi(1)\varphi(a)|; a \in \mathcal{M}, \|a\| \leq 1 \} = \|\varphi\| \|\psi\| = \|\varphi \otimes \psi\|$ ;

moreover, if  $a_k \in \mathcal{M}$ ,  $b_k \in \mathcal{N}$   $(1 \le k \le n)$ , then

(4) 
$$(\psi \cdot E^{\phi}) \left( \sum_{k} a_{k} b_{k} \right) = (\varphi \otimes \psi) \left( \sum_{k} a_{k} \otimes b_{k} \right).$$

Using (ii) and (4) we see that the equation

$$\Phi_0(\sum_k a_k \otimes b_k) = \sum_k a_k b_k$$

defines a \*-isomorphism of the \*-algebra  $\mathcal{M} \otimes \mathcal{N}$  onto the \*-subalgebra of  $\mathcal{R}$  generated by  $\mathcal{M} \cup \mathcal{N}$ . Furthermore, using (3) and (4) we have  $\|\Phi_0\| \leq 1$ . Since  $\Phi_0$  is bounded and  $(\psi \circ E^{\varphi}) \circ \Phi_0 = \varphi \otimes \psi(\varphi \in \mathcal{F}, \psi \in \mathcal{N}_{*}^+)$ , it follows that for any  $\theta \in \mathcal{R}_{*}$  the linear form  $\theta \circ \Phi$  on  $\mathcal{M} \otimes \mathcal{N}$  is w-continuous. It follows that  $\Phi_0$  is w-continuous and so can be extended to a normal \*-homomorphism  $\Phi$  of  $\mathcal{M} \otimes \mathcal{N}$  onto the whole of  $\mathcal{R}$ , by assumption (i). If  $x \in \mathcal{M} \otimes \mathcal{N}$  and  $\Phi(x) = 0$ , then  $(\varphi \otimes \psi)(x) = (\psi \circ E^{\varphi})(\Phi(x)) = 0$  for all  $\varphi \in \mathcal{F}$ ,  $\psi \in \mathcal{N}_{*}^+$ , so that x = 0. We conclude that  $\Phi : \mathcal{M} \otimes \mathcal{N} \to \mathcal{R}$  is the required \*-isomorphism.

**9.13.** If, in Theorem 9.12,  $\mathcal{R}$  is a factor, the conclusion remains valid assuming (i), (ii) and the weaker condition

(iii<sub>0</sub>) there exists a non-zero w-continuous  $\mathcal N$ -linear mapping  $E\colon \mathcal R\to \mathcal N$ . Indeed, let  $I=\mathcal M\times \mathcal M$  and, for  $i=(a_1,\,a_2)\in I$ , define

$$E_i(x) = E(a_1 x a_2) \qquad (x \in \mathcal{R}).$$

We thus get a family  $\{E_i\}_{i\in I}$  of w-continuous  $\mathcal{N}$ -linear mappings  $E_i\colon \mathcal{R}\to \mathcal{N}$ . If  $a\in \mathcal{M}$ ,  $E(a)\in \mathcal{N}$  commutes with the elements of  $\mathcal{M}$  and for any  $b\in \mathcal{N}$  we have E(a)b==E(ab)=E(ba)=bE(a), hence E(a) belongs to the centre of the factor  $\mathcal{R}$ . It follows that the family  $\{E_i\}_{i\in I}$  satisfies condition 9.12.(1). On the other hand, it is easy to check that the set  $\mathcal{J}=\{x\in \mathcal{R}; E_i(x)=0 \text{ for all } i\in I\}$  is a w-closed two-sided ideal of  $\mathcal{R}$ . Since  $E\neq 0$  we have  $\mathcal{J}\neq \mathcal{R}$  and hence  $\mathcal{J}=\{0\}$ , as  $\mathcal{R}$  is a factor. Thus, the family  $\{E_i\}_{i\in I}$  also satisfies condition 9.12. (2). Hence, our assertion follows from Theorem 9.12.

**9.14.** For a subset  $\mathcal N$  of the  $W^*$ -algebra  $\mathcal M$  we shall denote by

$$\mathcal{N}' \cap \mathcal{M} = \{x \in \mathcal{M}; xy = yx \text{ for all } y \in \mathcal{N}\}$$

the relative commutant of  $\mathcal{N}$  in  $\mathcal{M}$ . If  $\mathcal{N} = \mathcal{N}^*$ ,  $\mathcal{N}' \cap \mathcal{M}$  is a  $W^*$ -subalgebra of  $\mathcal{M}$ . We record here an obvious consequence of Theorem 9.12:

Corollary. Let  $\mathcal{M}$  be a  $W^*$ -algebra and  $\mathcal{N} \subset \mathcal{M}$  a  $W^*$ -subfactor of  $\mathcal{M}$ ,  $1_{\mathcal{N}} = 1_{\mathcal{M}}$ , such that  $\mathcal{M}$  is generated, as a  $W^*$ -algebra, by  $\mathcal{N}$  and  $\mathcal{N}' \cap \mathcal{M}$ , and such that there exists a faithful normal conditional expectation  $E: \mathcal{M} \to \mathcal{N}$ . Then there exists a \*-isomorphism  $\Phi: \mathcal{N} \boxtimes (\mathcal{N}' \cap \mathcal{M}) \to \mathcal{M}$  such that

$$\Phi(a \otimes b) = ab \quad (a \in \mathcal{N}, b \in \mathcal{N}' \cap \mathcal{M}).$$

9.15. Let  $\mathcal{M}$  be a  $W^*$ -algebra and  $\mathcal{F} \subset \mathcal{M}$  a unital  $W^*$ -subalgebra which is a factor of type I.

Since  $\mathcal{F}$  is \*-isomorphic with some  $\mathcal{B}(\mathcal{H})$ , there exists a system of matrix units  $\{e_{ij}\}_{i,j\in I}$  in  $\mathcal{F}$ , that is,

$$e_{ij}=e_{j}^{*},\ e_{ij}e_{hk}=\delta_{jk}e_{ik}\qquad (i,\,j,\,h,\,k\in I),$$

the  $e_{ii}$  ( $i \in I$ ) are mutually orthogonal minimal projections in  $\mathcal{F}$ , and

$$\sum_{i\in I}e_{ii}=1.$$

Let  $1 \in I$  be a fixed index. Every element  $a \in \mathcal{F}$  determines a scalar matrix  $[a_{ij}]$  satisfying

$$e_{1i}ae_{j1}=a_{ij}e_{11}$$
  $(i,j\in I),$ 

and we have

$$a = \sum_{ij} e_{ii} a e_{jj} = \sum_{ij} e_{i1} e_{1i} a e_{j1} e_{1j} = \sum_{ij} a_{ij} e_{ij}.$$

On the other hand, w-continuous linear mappings  $E_{ij}: \mathcal{M} \to \mathcal{F}' \cap \mathcal{M}$  are defined by

$$E_{ij}(x) = \sum_{k} e_{ki} x e_{jk} \qquad (x \in \mathcal{M}, i, j \in I)$$

with the properties:

(1) 
$$E_{ij}(xb) = E_{ij}(x)b, \quad E_{ij}(bx) = bE_{ij}(x) \qquad (x \in \mathcal{M}, b \in \mathcal{F}' \cap \mathcal{M}),$$

(2) 
$$E_{ij}(a) = a_{ij} \cdot 1 \qquad (a \in \mathcal{F}),$$

(3) 
$$\sum_{ij} e_{ij} E_{ij}(x) = x \qquad (x \in \mathcal{M}).$$

It follows that  $\mathcal{M}$  is the  $W^*$ -algebra generated by  $\mathcal{F}$  and  $\mathcal{F}' \cap \mathcal{M}$ . Moreover, by Theorem 9.12 we have a canonical \*-isomorphism

$$\phi: \mathscr{F} \overline{\otimes} (\mathscr{F}' \cap \mathscr{M}) \to \mathscr{M}$$

such that  $\Phi(a \otimes b) = ab$  for every  $a \in \mathcal{F}$ ,  $b \in \mathcal{F}' \cap \mathcal{M}$ .

Note that the Fubini mappings  $\mathcal{F} \otimes (\mathcal{F}' \cap \mathcal{M}) \to \mathcal{F}$  give rise to a separating family of normal conditional expectations of  $\mathcal{M}$  onto  $\mathcal{F}$  (see 9.8. (3)).

Also, the mappings  $E_{ii}: \mathcal{M} \to \mathcal{F}' \cap \mathcal{M} \ (i \in I)$  are normal conditional expectations.

If  $\mathcal{F}$  is of finite type  $I_n$   $(n \in \mathbb{N})$  then  $I = \{1, ..., n\}$ , and the mapping

(5) 
$$E = \frac{1}{n} \sum_{i=1}^{n} E_{ii} : \mathcal{M} \to \mathcal{F}' \cap \mathcal{M}$$

is a faithful normal conditional expectation. Identifying  $\mathscr{M}$  with  $\mathscr{F} \otimes (\mathscr{F}' \cap \mathscr{M})$  via  $\Phi$ , it is easy to check that  $E = \mu \otimes \iota$ , where  $\mu = \frac{1}{n} \iota r$  is the normalized trace on

 ${\mathcal F}$  and  $\iota$  is the identity mapping on  ${\mathcal F}' \cap {\mathcal M}$ . Also, for every finite trace  $\tau$  on  ${\mathcal M}$  we have

$$\tau \circ E = \tau.$$

9.16. As an application, we obtain the following factorization result:

Corollary. Let  $\sigma: G \to Aut(\mathcal{M})$  be an action of the group G on the  $W^*$ -algebra  $\mathcal{M}$  such that the centralizer  $\mathcal{M}^{\sigma}$  is properly infinite and let  $\mathcal{F}$  be the countably decomposable infinite factor of type I. Then there exists a \*-isomorphism

$$(\mathcal{M}, \sigma) \approx (\mathcal{M} \overline{\otimes} \mathcal{F}, \sigma \overline{\otimes} \iota_{\mathcal{F}}).$$

**Proof.** By assumption, we may consider  $\mathscr{F}$  as a unital  $\mathscr{W}^*$ -subalgebra of  $\mathscr{M}^{\sigma} \subset \mathscr{M}$ . By the preceding Section we may identify  $\mathscr{M}$  with  $\mathscr{F} \otimes (\mathscr{F}' \cap \mathscr{M})$  via the \*-isomorphism  $\Phi$  (9.15.(4)). Since  $\mathscr{F} \subset \mathscr{M}^{\sigma}$ , we have also  $\sigma_{\mathfrak{g}}(\mathscr{F}' \cap \mathscr{M}) = \mathscr{F}' \cap \mathscr{M}$  ( $g \in G$ ), and hence  $(\mathscr{M}, \sigma) \approx (\mathscr{F} \otimes (\mathscr{F}' \cap \mathscr{M}), 1_{\mathscr{F}} \otimes (\sigma \mid \mathscr{F}' \cap \mathscr{M}))$ . Since  $(\mathscr{F}, 1_{\mathscr{F}}) \approx (\mathscr{F} \otimes \mathscr{F}, 1_{\mathscr{F}} \otimes 1_{\mathscr{F}})$ , it follows that  $(\mathscr{M}, \sigma) \approx (\mathscr{M} \otimes \mathscr{F}, \sigma \otimes 1_{\mathscr{F}})$ .

9.17. A related factorization result concerning weights on  $W^*$ -algebras is the following

**Proposition.** Let  $\varphi$  be an n.s. f. weight on the W\*-algebra  $\mathcal{M}$  such that the centralizer  $\mathcal{M}^{\varphi}$  contains a unital W\*-subfactor  $\mathcal{F}$  of type I. Then there exists an n.s. f. weight  $\psi$  on  $\mathcal{F}' \cap \mathcal{M}$  such that  $\sigma_i^{\varphi} = \sigma_i^{\varphi} | \mathcal{F}' \cap \mathcal{M}$  ( $t \in \mathbb{R}$ ), and there exists a \*-isomorphism

$$(\mathcal{M}, \varphi) \approx (\mathcal{F} \otimes (F' \cap \mathcal{M}), tr \otimes \psi).$$

*Proof.* By Section 9.15 we may identify  $\mathcal{M}$  with  $\mathcal{F} \otimes (\mathcal{F}' \cap \mathcal{M})$  via the \*-isomorphism  $\Phi(9.15.(4))$ . Also, we shall use the notation  $\{e_{ij}\}\subset \mathcal{F}$  and  $E_{ij}\colon \mathcal{M}\to \mathcal{F}'\cap \mathcal{M}$  of Section 9.15.

The mapping  $\psi: (\mathcal{F}' \cap \mathcal{M})^+ \ni b \mapsto \varphi(e_{11}b) \in [0, +\infty]$  is a normal weight on  $\mathcal{F}' \cap \mathcal{M}$ . If  $b \in (\mathcal{F}' \cap \mathcal{M})^+$  and  $\psi(b) = 0$ , then  $e_{11}b = 0$  because  $\varphi$  is faithful. It follows that  $e_{ii}b = e_{i1}e_{11}be_{1i} = 0$  for all  $i \in I$  and hence  $b = \sum_i e_{ii}b = 0$ . Thus  $\psi$  is faithful. Since  $\varphi$  is semifinite, there exists a net  $\{x_s\} \subset \mathfrak{M}_{\varphi} \cap \mathcal{M}^+$  such that  $x_s \stackrel{\text{w}}{\to} 1$ . Then  $b_s = E_{11}(x_s) \in (\mathcal{F}' \cap \mathcal{M})^+$ ,  $b_s \stackrel{\text{w}}{\to} 1$  and  $\psi(b_s) = \varphi(e_{11}E_{11}(x_s)e_{11}) = \varphi(e_{11}x_se_{11}) < +\infty$ , as  $e_{11} \in \mathcal{F} \subset \mathcal{M}^{\varphi}$  (2.21. (2)). Hence  $\psi$  is an n.s.f. weight on  $\mathcal{F}' \cap \mathcal{M}$ .

For each  $b \in (\mathcal{F}' \cap \mathcal{M})^+$ , the mapping  $\tau_b : \mathcal{F}^+ \ni a \mapsto \varphi(ab) \in [0, +\infty]$  is a normal weight on  $\mathcal{F}$ . Since  $\mathcal{F} \subset \mathcal{M}^{\bullet}$ , by 2.21.(2) we see that  $\tau_b$  is actually a normal trace on  $\mathcal{F}$ , and hence  $\tau_b = \lambda(b) \cdot tr$ , with  $0 \le \lambda(b) = \tau_b(e_{11}) = \varphi(e_{11}b) = \psi(b) \le t$ 

 $\leq +\infty$ . Consequently, for  $a \in \mathcal{F}^+$ ,  $b \in (\mathcal{F}' \cap \mathcal{M})^+$  and  $t \in \mathbb{R}$  we have

$$\varphi(ab) = \psi(b) tr(a) = (tr \otimes \psi) (ab)$$

and

$$(tr \ \overline{\otimes} \ \psi) (\sigma_{t}^{\varphi}(ab)) = (tr \ \overline{\otimes} \ \psi) (a\sigma_{t}^{\varphi}(b)) = tr(a)\psi(\sigma_{t}^{\varphi}(b))$$

$$= tr(a)\varphi(e_{11}\sigma_{t}^{\varphi}(b)) = tr(a)\varphi(\sigma_{t}^{\varphi}(e_{11}b))$$

$$= tr(a)\varphi(e_{11}b) = tr(a)\psi(b)$$

$$= (tr \ \overline{\otimes} \ \psi) (ab).$$

Using the Pedersen-Takesaki theorem on the equality of weights (6.2) we conclude that  $\varphi = tr \overline{\otimes} \psi$ .

Since  $\sigma_t^{\sigma} = \sigma_t^{tr \circ \psi} = \iota_{\mathcal{F}} \otimes \sigma_t^{\psi}$  (8.2), it follows that  $\sigma_t^{\psi} = \sigma_t^{\sigma} | \mathcal{F}' \cap \mathcal{M}$  for  $t \in \mathbb{R}$ .

9.18. A normal semifinite weight  $\varphi$  on the  $W^*$ -algebra  $\mathcal{M}$  is called of *infinite multiplicity* if the centralizer  $\mathcal{M}^*$  is properly infinite.

Corollary. If  $\varphi$  is a normal semifinite weight of infinite multiplicity on the W\*-algebra  $\mathcal{M}$ , then there exists a \*-isomorphism:

$$(\mathcal{M}, \varphi) \approx (\mathcal{M} \overline{\otimes} \mathcal{F}, \varphi \overline{\otimes} tr)$$

where F is the countably decomposable infinite type I factor.

Proof. Since  $\mathcal{M}^{\bullet}$  is properly infinite, we have  $\mathcal{M}^{\bullet} \supset \mathcal{A} \otimes \mathcal{B}$  with  $\mathcal{A} \approx \mathcal{B} \approx \mathcal{F}$ . By Proposition 9.17 there exists a normal semifinite weight  $\psi$  on  $\mathcal{A}' \cap \mathcal{M}$  such that  $(\mathcal{M}, \varphi) \approx (\mathcal{A} \otimes (\mathcal{A}' \cap \mathcal{M}), tr \otimes \psi)$  and  $(\mathcal{A}' \cap \mathcal{M})^{\triangledown} \supset \mathcal{B}$ . Thus, there exists a  $\mathcal{W}^{\bullet}$ -algebra  $\mathcal{N}$  and a normal semifinite weight  $\psi$  of infinite multiplicity on  $\mathcal{N}$  such that  $(\mathcal{M}, \varphi) \approx (\mathcal{F} \otimes \mathcal{N}), tr \otimes \psi$ . With the same argument we find a  $\mathcal{W}^{\bullet}$ -algebra  $\mathcal{P}$  and a normal semifinite weight  $\theta$  on  $\mathcal{P}$  such that  $(\mathcal{N}, \psi) \approx (\mathcal{F} \otimes \mathcal{P}, tr \otimes \theta)$ . Since  $(\mathcal{F} \otimes \mathcal{F}, tr \otimes tr) \approx (\mathcal{F}, tr)$ , it follows that  $(\mathcal{M}, \varphi) \approx (\mathcal{F} \otimes \mathcal{F} \otimes \mathcal{P}, tr \otimes tr \otimes \theta) \approx (\mathcal{F} \otimes \mathcal{P}, tr \otimes \theta)$  and therefore  $(\mathcal{M}, \varphi) \approx (\mathcal{F} \otimes \mathcal{M}, tr \otimes \varphi)$ .

9.19. Notes. Conditional expectations in a non-commutative setting were introduced by Dixmier [72], [73], [74] and Umegaki [259]. The main results (9.1, 9.4, 9.6) concerning projections of norm one are due to Tomiyama [252-257]. Theorem 9.12 and its consequences (9.13, 9.14) appeared in [168], [242], [255]. The simple proof of Theorem 9.1 appeared also in a course by E.C. Lance. Thanks are due to Simon Wassermann for mentioning this fact and for further simplifications of our proof.

For our exposition we have used [61], [181], [225], [236], and [255].

Theorem 9.1 allows an easy proof of Sakai's characterization of von Neumann algebras as  $W^*$ -algebras (A.16). Further properties of conditional expectations are contained in [255].

# § 10. Existence and uniqueness of conditional expectations

In this Section we give several criteria for the existence and uniqueness of conditional expectations, and some applications to the type theory of  $W^*$ -algebras.

**10.1.** Theorem. (M. Takesaki). Let  $\mathcal{N}$  be a unital W\*-subalgebra of the W\*-algebra  $\mathcal{M}$  and  $\varphi$  an n.s. f. weight on  $\mathcal{M}$ . The following conditions are equivalent:

(i) the faithful normal weight  $\varphi|\mathcal{N}^+$  is semifinite and  $\sigma_i^{\varphi}(\mathcal{N}) = \mathcal{N}$  for every

 $t \in \mathbb{R}$ ;

(ii) there exists a faithful normal conditional expectation  $E: \mathcal{M} \to \mathcal{N}$  such that

(1) 
$$\varphi(x) = \varphi(E(x)) \qquad (x \in \mathcal{M}^+).$$

Condition (1) determines uniquely the faithful normal conditional expectation  $E:\mathcal{M}\to\mathcal{N}$ .

The proof is given in Sections 10.2-10.3.

10.2. Assume that the weight  $\varphi|\mathcal{N}^+$  is semifinite. Thus, we have an n.s.f. weight  $\varphi$  on  $\mathcal{M}$  and an n.s.f. weight  $\psi = \varphi \mid \mathcal{N}^+$  on  $\mathcal{N}$ . In this Section we study the relationship between the standard representations associated with  $\varphi$  and  $\psi$ .

It is obvious that  $\mathfrak{N}_{\psi} = \mathfrak{N}_{\varphi} \cap \mathcal{N}$  and that the scalar products  $(\cdot|\cdot)_{\psi}$ ,  $(\cdot|\cdot)_{\varphi}$  coincide on  $\mathfrak{N}_{\psi}$ , hence  $\mathscr{H}_{\psi}$  can be identified with a closed linear subspace of  $\mathscr{H}_{\varphi}$  such that

$$(1) b_{\psi} = b_{\varphi} (b \in \mathfrak{N}_{\psi}).$$

Let P be the orthogonal projection of  $\mathcal{H}_{\bullet}$  onto  $\mathcal{H}_{\bullet}$ .

For  $y \in \mathcal{N}$ ,  $b \in \mathfrak{N}_{\psi}$  we have  $\pi_{\phi}(y)b_{\psi} = \pi_{\phi}(y)b_{\phi} = (yb)_{\phi} = (yb)_{\psi} = \pi_{\psi}(y)b_{\psi}$ , hence  $\mathscr{H}_{\psi}$  is  $\pi_{\phi}(\mathcal{N})$ -invariant, i.e.  $P \in \pi_{\phi}(\mathcal{N})'$ , and

(2) 
$$\pi_{\phi}(y) \mid \mathcal{H}_{\psi} = \pi_{\psi}(y) \qquad (y \in \mathcal{N}).$$

Also,  $\mathfrak{A}_{\bullet} = \mathfrak{A}_{\bullet} \cap \mathcal{N}$ , so that

$$\mathfrak{A}_{\psi} \subset \mathfrak{A}_{\varphi} \cap \mathscr{H}_{\psi},$$

and  $\mathfrak{A}_{\phi}$  is a \*-subalgebra of  $\mathfrak{A}_{\phi}$  with the same scalar product. It follows that

(4) 
$$D(S_{\bullet}) \subset D(S_{\bullet}) \cap \mathcal{H}_{\bullet} \text{ and } S_{\bullet} \xi = S_{\bullet} \xi \text{ for } \xi \in D(S_{\bullet}).$$

Assume moreover that  $\sigma_t^{\varphi}(\mathcal{N}) = \mathcal{N}$   $(t \in \mathbb{R})$ . Using the KMS-condition (2.12.(11)) we see that  $\sigma_t^{\varphi}(y) = \sigma_t^{\varphi}(y)$  for  $y \in \mathcal{N}$ ,  $t \in \mathbb{R}$ .

For  $b \in \mathfrak{N}_{+}$  we have (2.12.(9))  $\Delta_{+}^{ii}b_{+} = \Delta_{+}^{ii}b_{+} = (\sigma_{i}^{\bullet}(b))_{+} = (\sigma_{i}^{\bullet}(b))_{+} = \Delta_{+}^{ii}b_{+}$  ( $t \in \mathbb{R}$ ), hence  $\mathscr{H}_{+}$  is  $\Delta_{+}^{ii}$ -invariant, i.e.  $\Delta_{+}^{ii}P = P\Delta_{+}^{ii}$ , and

$$\Delta^{ii}_{\bullet} \mid \mathcal{H}_{\bullet} = \Delta^{ii}_{\bullet} \quad (t \in \mathbb{R}).$$

Thus,  $\Delta_{\varphi}$  commutes with P and, using ([L], 9.21), we infer from (5) that for any  $\alpha \in \mathbb{C}$ 

(6) 
$$D(\Delta_{\psi}^{\epsilon}) = D(\Delta_{\phi}^{\epsilon}) \cap \mathcal{H}_{\psi} \text{ and } \Delta_{\phi}^{\epsilon} \xi = \Delta_{\psi}^{\epsilon} \xi \text{ for } \xi \in D(\Delta_{\psi}^{\epsilon}).$$

For  $\alpha = 1/2$ , we get from (6) that

$$D(S_{\psi}) = D(S_{\varphi}) \cap \mathcal{H}_{\psi};$$

and, using also (4), we obtain  $J_{\phi}\Delta_{\psi}^{1/2}\xi = S_{\phi}\xi = J_{\phi}\Delta_{\phi}^{1/2}\xi = J_{\phi}\Delta_{\psi}^{1/2}\xi$  for  $\xi \in D(\Delta_{\psi}^{1/2})$ . It follows that  $\mathcal{H}_{\psi}$  is  $J_{\phi}$ -invariant, i.e.  $J_{\phi}P = PJ_{\phi}$ , and

(8) 
$$J_{\varphi}\xi = J_{\psi}\xi \qquad (\xi \in \mathcal{H}_{\psi}).$$

Also, by (6) for  $\alpha = -1/2$  and (8), we get

(9) 
$$D(S_{\varphi}^*) = D(S_{\varphi}^*) \cap \mathcal{H}_{\varphi}$$
, and  $S_{\varphi}^* \eta = S_{\varphi}^* \eta$  for  $\eta \in D(S_{\varphi}^*)$ .

If  $\eta \in \mathfrak{A}'_{\varphi} \cap \mathscr{H}_{\psi}$ , then  $\eta \in D(S_{\varphi}^{\bullet}) \cap \mathscr{H}_{\psi} = D(S_{\psi}^{\bullet})$  and for  $b \in \mathfrak{A}_{\psi} \subset \mathfrak{A}_{\varphi}$  we have  $\pi_{\psi}(b)\eta = \pi_{\varphi}(b)\eta = R_{\eta}^{\varphi}b_{\varphi} = R_{\eta}^{\varphi}b_{\psi}$ . Consequently,

(10) 
$$\mathfrak{A}'_{\bullet} \cap \mathscr{H}_{\bullet} \subset \mathfrak{A}'_{\bullet}$$

and, for  $\eta \in \mathfrak{A}'_{\varphi} \cap \mathscr{H}_{\psi}$  we have  $R_{\eta}^{\psi} = R_{\eta}^{\varphi} | \mathscr{H}_{\psi}$  and also  $R_{S_{\varphi}^{\psi} \eta}^{\psi} = R_{S_{\varphi}^{\psi} \eta}^{\varphi} | \mathscr{H}_{\psi} = (R_{\eta}^{\varphi})^{\varphi} | \mathscr{H}_{\psi}$ . Since  $\mathscr{H}_{\psi}$  is invariant with respect to  $R_{\eta}^{\varphi}$  and  $(R_{\eta}^{\varphi})^{\varphi}$ , it follows that

(11) 
$$PR_{\eta}^{\varphi} = R_{\eta}^{\varphi}P = R_{\eta}^{\varphi}P \qquad (\eta \in \mathfrak{A}'_{\varphi}).$$

Applying  $J_{\phi}$  to (10) and using (8), (3) and Tomita's fundamental theorem (2.12), we get

$$\mathfrak{A}_{\bullet} = \mathfrak{A}_{\bullet} \cap \mathscr{H}_{\bullet}.$$

Then, applying  $J_{\phi}$  to (12), we obtain

$$\mathfrak{A}'_{\nu} = \mathfrak{A}'_{\nu} \cap \mathscr{H}_{\bullet}.$$

If  $a \in \mathfrak{A}_{\varphi}$ , then  $a_{\varphi} \in D(S_{\varphi}) = D(A_{\varphi}^{1/2})$ , and hence  $Pa_{\varphi} \in D(A_{\varphi}^{1/2}) = D(S_{\varphi})$ ; for  $\eta \in \mathfrak{A}_{\varphi}' \cap \mathscr{H}_{\varphi}$  we have  $L_{Pa_{\varphi}}^{\varphi} \eta = R_{\eta}^{\varphi} Pa_{\varphi} = PR_{\eta}^{\varphi} a_{\varphi} = P\pi_{\varphi}(a)\eta$ , so that

(14) 
$$\mathfrak{A}_{\phi} = P\mathfrak{A}_{\phi} \text{ and } L_{Pa_{\phi}}^{\varphi} = P\pi_{\phi}(a)P \text{ for } a \in \mathfrak{A}_{\phi}.$$

Thus,  $\pi_{\phi}(\mathcal{N}) = \mathfrak{L}(\mathfrak{A}_{\phi}) = P\pi_{\phi}(\mathcal{M})P$  and so there exists a w-continuous positive linear mapping  $E: \mathcal{M} \to \mathcal{N}$ , uniquely determined, such that

(15) 
$$\pi_{\phi}(E(x)) = P\pi_{\phi}(x)P \qquad (x \in \mathcal{M}).$$

Using (2), for  $x \in \mathcal{M}$ ,  $y \in \mathcal{N}$  and  $\xi \in \mathcal{H}_{\psi}$  we get  $\pi_{\psi}(E(xy))\xi = P\pi_{\varphi}(xy)P\xi =$  $=P\pi_{\phi}(x)\pi_{\phi}(y)\xi=P\pi_{\phi}(x)P\pi_{\psi}(y)\xi=\pi_{\psi}(E(x))\pi_{\psi}(y)\xi=\pi_{\psi}(E(x)y)\xi, \text{ hence } E(xy)=E(x)y.$ If  $x \in \mathcal{M}$  and  $E(x^*x) = 0$ , for  $b \in \mathfrak{N}_{\psi}$  we have  $\varphi((xb)^*(xb)) = \|\pi_{\varphi}(x)b_{\varphi}\|_{\varphi}^2 =$  $=(P\pi_{\varphi}(x)Pb_{\varphi}\mid b_{\varphi})_{\varphi}=(\pi_{\psi}(E(x))b_{\psi}\mid b_{\psi})_{\psi}=0$ , so that xb=0. Since  $\mathfrak{N}_{\psi}$  is w-dense in  $\mathcal{N}$ , it follows that x = 0.

Thus,  $E: \mathcal{M} \to \mathcal{N}$  is a faithful normal conditional expectation. Moreover, we have

(16) 
$$E \circ \sigma_t^{\varphi} = \sigma_t^{\psi} \circ E \qquad (t \in \mathbb{R}).$$

Indeed, using (5), for  $x \in \mathcal{M}$  and  $\xi \in \mathcal{H}_{\psi}$  we get  $\pi_{\psi}(E(\sigma_{t}^{\varphi}(x)))\xi = P\pi_{\varphi}(\sigma_{t}^{\varphi}(x))P\xi =$ 

 $=P\Delta_{\varphi}^{it}\pi_{\varphi}(x)\Delta_{\varphi}^{-it}P\xi=\Delta_{\psi}^{it}P\pi_{\varphi}(x)P\Delta_{\psi}^{-it}\xi=\Delta_{\psi}^{it}\pi_{\psi}(E(x))\Delta_{\psi}^{-it}\xi=\pi_{\psi}(\sigma_{t}^{\psi}(E(x)))\xi.$ It follows that  $\psi\circ E$  is  $\alpha\sigma^{\varphi}$ -invariant n.s.f. weight on  $\mathcal{M}$ , that is,  $\psi\circ E$  commutes with  $\varphi$ . On the other hand,  $\mathscr{B} = \mathfrak{N}_{\varphi}^* \mathscr{M} \mathfrak{N}_{\varphi} \subset \mathfrak{N}_{\varphi}^* \mathfrak{N}_{\varphi} = \mathfrak{M}_{\varphi}$  is a  $\sigma^{\varphi}$ -invariant and w-dense \*-subalgebra of  $\mathcal{M}$  and for every  $x \in \mathcal{M}$ ,  $b \in \mathfrak{N}_{\psi}$  we have  $(\psi \circ E)(b^*xb) =$  $= \psi(b^*E(x)b) = (\pi_{\phi}(E(x))b_{\phi} \mid b_{\phi})_{\phi} = (P\pi_{\phi}(x)Pb_{\phi} \mid b_{\phi})_{\phi} = (\pi_{\phi}(x)b_{\phi} \mid b_{\phi})_{\phi} = \varphi(b^*xb).$ By the Pedersen-Takesaki theorem on the equality of weights (6.2) it follows that  $\psi \circ E = \varphi$ , that is  $\varphi(E(x)) = \varphi(x)$  for  $x \in \mathcal{M}^+$ .

Thus, we have proved the implication (i)  $\Rightarrow$  (ii) of Theorem 10.1.

Let us note that by analogy with (14) we have

(17) 
$$\mathfrak{A}'_{\psi} = P\mathfrak{A}'_{\varphi} \text{ and } R^{\psi}_{P\eta} = PR^{\varphi}_{\eta}P \text{ for } \eta \in \mathfrak{A}'_{\varphi}.$$

Using (2.12. (15) or [L], 10.21) we obtain

$$\mathfrak{T}_{\psi} = \mathfrak{T}_{\varphi} \cap \mathscr{H}_{\psi} = P\mathfrak{T}_{\varphi}.$$

Also, since the inclusion  $\mathcal{N} \hookrightarrow \mathcal{M}$  is an isometric normal \*-homomorphism, it follows that the mapping  $\rho: \mathcal{A}(\mathfrak{A}'_{\varphi}) \to \mathcal{A}(\mathfrak{A}'_{\varphi})$  defined by  $\rho(J_{\varphi}\pi_{\varphi}(y)J_{\varphi}) = \hat{J}_{\varphi}\pi_{\varphi}(y)J_{\varphi}$  $(y \in \mathcal{N})$  is an isometric normal \*-homomorphism. In particular, for  $\eta \in \mathfrak{A}'$  we have  $||R_n^{\psi}|| = ||R_n^{\varphi}||$  and  $R_n^{\psi} \stackrel{s}{\to} 1 \Leftrightarrow R_n^{\varphi} \stackrel{s}{\to} 1$ .

10.3. Assume now that there exists a normal conditional expectation  $E: \mathcal{M} \to \mathcal{N}$ such that  $\varphi \cdot E = \varphi$ ; then it follows that E is also faithful.

Let  $\psi = \varphi \mid \mathcal{N}^+$ . If  $x_i \in \mathfrak{M}_{\varphi} \cap \mathcal{M}^+$  and  $x_i \stackrel{\mathsf{w}}{\to} 1$ , then  $\psi(E(x_i)) = \varphi(x_i) < +\infty$ , hence  $E(x_i) \in \mathfrak{M}_+ \cap \mathcal{N}^+$  and  $E(x_i) \xrightarrow{w} 1$ . Consequently,  $\psi$  is an n.s. f. weight on N.

For  $x \in \mathcal{M}$  and  $b \in \mathfrak{N}_{+}$  we have  $(\pi_{+}(E(x))b_{+}|b_{+})_{+} = \psi(b^{*}E(x)b) = \varphi(E(b^{*}xb)) =$  $= \varphi(b^*xb)$ . Thus, the normal conditional expectation  $E: \mathcal{M} \to \mathcal{N}$  is uniquely determined by the condition  $\varphi \circ E = \varphi$ .

In what follows we shall use the notation introduced in the first part of Section 10.2. For  $a \in \mathfrak{N}_{\varphi}$  we have  $\psi(E(a)^*E(a)) \leq \psi(E(a^*a)) = \varphi(a^*a)$ , hence  $E(a) \in \mathfrak{N}_{\varphi}$ . Since for every  $b \in \mathfrak{N}_{+}$  we have  $(a_{\bullet} \mid b_{\bullet})_{\bullet} = \varphi(b^{*}a) = \psi(E(b^{*}a)) = \psi(b^{*}E(a)) =$  $=((E(a))_{\downarrow}|b_{\downarrow})_{\downarrow}$ , it follows that

(1) 
$$a \in \mathfrak{N}_{\varphi} \Rightarrow E(a) \in \mathfrak{N}_{\psi} \text{ and } (E(a))_{\psi} = Pa_{\varphi}.$$

Since  $E: \mathcal{M} \to \mathcal{N}$  is a self-adjoint mapping, we further obtain

(2) 
$$P\mathfrak{A}_{\varphi} = \mathfrak{A}_{\psi} \subset \mathfrak{A}_{\varphi}$$
, and  $S_{\varphi}Pa_{\varphi} = PS_{\varphi}a_{\varphi}$  for  $a \in \mathfrak{A}_{\varphi}$ .

As  $S_{\varphi} = \overline{S_{\varphi}|\mathfrak{A}_{\varphi}}$ , it follows that  $PS_{\varphi} \subset S_{\varphi}P$ , i.e.  $(1-2P)S_{\varphi}(1-2P) = S_{\varphi}$ , where 1-2P is a unitary operator. Then  $(1-2P)S_{\varphi}^{\bullet}(1-2P) = S_{\varphi}^{\bullet}$ ,  $(1-2P)\Delta_{\varphi}(1-2P) = \Delta_{\varphi}$ , so that P commutes with  $\Delta_{\varphi}$ , that is

$$\Delta^{il}_{\sigma}P = P\Delta^{il}_{\sigma} \qquad (t \in \mathbb{R}).$$

From (2) and (3) we infer that

(4) 
$$\Delta_{\sigma}^{\mu}\mathfrak{A}_{\phi} = \Delta_{\sigma}^{\mu}P\mathfrak{A}_{\sigma} = P\Delta_{\sigma}^{\mu}\mathfrak{A}_{\sigma} = P\mathfrak{A}_{\sigma} = \mathfrak{A}_{\phi}.$$

Let  $b \in \mathfrak{A}_{\phi}$  and  $t \in \mathbb{R}$ . By (4) there exists an element  $y \in \mathfrak{A}_{\phi}$  such that  $\Delta_{\phi}^{\mu}b_{\phi} = y_{\phi}$ . Then  $(\sigma_{t}^{\varphi}(b))_{\phi} = \Delta_{\phi}^{\mu}b_{\phi} = \Delta_{\phi}^{\mu}b_{\phi} = y_{\phi} = y_{\phi}$ , hence  $\sigma_{t}^{\varphi}(b) = y$ . Thus, for every  $t \in \mathbb{R}$  we have  $\sigma_{t}^{\varphi}(\mathfrak{A}_{\phi}) \subset \mathfrak{A}_{\phi}$ , and so  $\sigma_{t}^{\varphi}(\mathcal{N}) = \mathcal{N}$ , as  $\mathfrak{A}_{\phi}$  is w-dense in  $\mathcal{N}$ .

We have proved the implication (ii)  $\Rightarrow$  (i) in Theorem 10.1.

10.4. Let  $\mathcal N$  be a unital  $W^*$ -subalgebra of the  $W^*$ -algebra  $\mathcal M$ . For every n.s.f. weight  $\varphi$  on  $\mathcal M$  such that  $\varphi \mid \mathcal N^+$  is semifinite and  $\sigma_i^\varphi(\mathcal N) = \mathcal N$   $(i \in \mathbb R)$ , we shall denote by

$$E_{\bullet}^{\mathcal{N}} \colon \mathcal{M} \to \mathcal{N}$$

the unique faithful normal conditional expectation such that  $\varphi \cdot E_{\sigma}^{x} = \varphi$ .

Corollary. In the above situation, for  $\sigma \in Aut(\mathcal{M})$  we have

$$E_{\sigma \circ \sigma}^{\sigma^{-1}(\mathcal{N})} = \sigma^{-1} \cdot E_{\sigma}^{\mathcal{N}} \cdot \sigma.$$

10.5. Corollary. Let  $E: \mathcal{M} \to \mathcal{N}$  be a faithful normal conditional expectation of the  $W^*$ -algebra  $\mathcal{M}$  onto its  $W^*$ -subalgebra  $\mathcal{N}$ . If  $\psi$  is any n.s. f. weight on  $\mathcal{N}$ , then  $\varphi = \psi \circ E$  is an n.s. f. weight on  $\mathcal{M}$  and

(1) 
$$\sigma_t^{\varphi}(E(x)) = \sigma_t^{\varphi}(E(x)) = E(\sigma_t^{\varphi}(x)) \qquad (x \in \mathcal{M}, \ t \in \mathbb{R}).$$

If  $\psi_1$ ,  $\psi_2$  are n.s.f. weights on  $\mathcal N$  and  $\varphi_1 = \psi_1 \circ E$ ,  $\varphi_2 = \psi_2 \circ E$ , then

$$[D\varphi_2: D\varphi_1]_t = [D\psi_2: D\psi_1]_t \in \mathcal{N} \qquad (t \in \mathbb{R}).$$

*Proof.* Indeed,  $\varphi$  is an n.s.f. weight on  $\mathcal{M}$ ,  $\psi = \varphi \mid \mathcal{N}^+$  is semifinite, and from Theorem 10.1 it follows that  $\mathcal{N}$  is  $\sigma^*$ -invariant and  $E = E^{\mathcal{N}}_{\varphi}$ . Now (1) follows using the KMS condition and Corollary 10.4.

Then  $E \otimes i$  is a faithful normal conditional expectation of  $Mat_2(\mathcal{M}) = \mathcal{M} \otimes Mat_2(\mathbf{C})$  onto  $Mat_2(\mathcal{N}) = \mathcal{N} \otimes Mat_2(\mathbf{C})$  (see 9.4) and for the balanced weights (see 3.1) we have  $\theta(\varphi_1, \varphi_2) = \theta(\psi_1, \psi_2) \circ (E \otimes i)$ , so that (2) follows from the first equation in (1) applied to the balanced weights and to the element  $\begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix} \in Mat_2(\mathcal{N})$ .

(3) 
$$\sigma_{t}^{\psi_{1},\psi_{1}}(E(x)) = \sigma_{t}^{\varphi_{1},\varphi_{1}}(E(x)) = E(\sigma_{t}^{\varphi_{1},\varphi_{1}}(x)) \qquad (x \in \mathcal{M}, t \in \mathbb{R}).$$

(1) and (2) can also be expressed in the form:

Let  $\psi$  and  $\varphi$  be as in the statement of the Corollary and  $\omega$  an arbitrary n.s.f. weight on  $\mathcal{M}$ . An easy application of the Corollary and Theorem 5.1 shows that

(4) if 
$$[D\omega: D\varphi]_t \in \mathcal{N}$$
 for all  $t \in \mathbb{R}$ , then  $\omega \mid \mathcal{N}^+$  is semifinite.

Indeed, since  $\sigma_i^{\psi} = \sigma_i^{\varphi} | \mathcal{N}$ , there exists an n.s.f. weight  $\tau$  on  $\mathcal{N}$  such that  $[D(\tau \circ E): D\varphi]_t = [D\tau: D\psi]_t = [D\omega: D\varphi]_t$   $(t \in \mathbb{R})$ , hence  $\omega = \tau \circ E$ , and  $\omega | \mathcal{N}^+ = \tau$  is semifinite.

10.6. From Theorem 10.1 it follows that if  $\tau$  is an n.s.f. trace on the  $W^*$ -algebra  $\mathcal{M}$  and  $\mathcal{N} \subset \mathcal{M}$  is a unital  $W^*$ -subalgebra such that  $\tau \mid \mathcal{N}^+$  is semifinite, there exists a unique faithful normal conditional expectation  $E_{\tau}^{\mathcal{N}} : \mathcal{M} \to \mathcal{N}$  such that  $\tau \circ E_{\tau}^{\mathcal{N}} = \tau$ . In particular:

Corollary. Let  $\tau$  be a faithful normal finite trace on the W\*-algebra  $\mathcal{M}$ . For every unital W\*-subalgebra  $\mathcal{N} \subset \mathcal{M}$  there exists a unique faithful normal conditional expectation  $E_{\tau}^{\mathcal{X}}: \mathcal{M} \to \mathcal{N}$  such that  $\tau \circ E_{\tau}^{\mathcal{X}} = \tau$ .

If  $\mathcal{M}$  is a countably decomposable finite  $W^*$ -algebra and  $\mathcal{N} = \mathcal{Z}(\mathcal{M})$  is its centre, then all the conditional expectations  $E_{\tau}^{\mathcal{Z}(\mathcal{M})}$  coincide with the canonical central trace  $h: \mathcal{M} \to \mathcal{Z}(\mathcal{M})$  ([L], 7.11). Also, if  $\mathcal{F} \subset \mathcal{M}$  is a finite type I factor and  $\mathcal{N} = \mathcal{F}' \cap \mathcal{M}$ , then all the  $E_{\tau}^{\mathcal{X}}$  coincide with the conditional expectation defined in 9.15.(5).

**10.7. Corollary.** Let  $\mathcal{M}$  be a  $W^*$ -algebra,  $\varphi$  a faithful normal state on  $\mathcal{M}$  and  $\mathcal{N} \subset \mathcal{M}$  a  $\sigma^*$ -invariant unital  $W^*$ -subfactor such that  $\mathcal{M}$  generated as a  $W^*$ -algebra by  $\mathcal{N}$  and  $\mathcal{N}' \cap \mathcal{M}$ . There exists a \*-isomorphism  $\Phi \colon \mathcal{N} \ \overline{\otimes} \ (\mathcal{N}' \cap \mathcal{M}) \to \mathcal{M}$  such that  $\Phi(a \ \overline{\otimes} \ b) = ab \ (a \in \mathcal{N}, \ b \in \mathcal{N}' \cap \mathcal{M}), \ and \ \varphi \circ \Phi = (\varphi \mid \mathcal{N}) \ \overline{\otimes} \ (\varphi \mid \mathcal{N}' \cap \mathcal{M}), \ that is,$ 

$$\varphi(ab) = \varphi(a)\varphi(b)$$
  $(a \in \mathcal{N}, b \in \mathcal{N}' \cap \mathcal{M}).$ 

**Proof.** By Theorem 10.1 there exists a unique faithful normal conditional expectation  $E: \mathcal{M} \to \mathcal{N}$  with  $\varphi \circ E = \varphi$ . Thus, the existence of  $\Phi$  follows from Corollary 9.14. For  $b \in \mathcal{N}' \cap \mathcal{M}$ , the element E(b) belongs to the centre of the factor  $\mathcal{N}$ , and hence  $\varphi(b) = \varphi(E(b)) = E(b)$ ; if  $a \in \mathcal{N}$ , then  $\varphi(ab) = \varphi(E(ab)) = \varphi(aE(b)) = \varphi(a)E(b) = \varphi(a)\varphi(b)$ .

10.8. Let  $\sigma: G \to Aut(\mathcal{M})$  be an action of the group G on the  $W^*$ -algebra  $\mathcal{M}$ . We shall say that  $\mathcal{M}$  is  $\sigma$ -finite if for every  $x \in \mathcal{M}$ ,  $x \neq 0$ , there exists a  $\sigma$ -invariant normal state  $\varphi$  on  $\mathcal{M}$  such that  $\varphi(x) \neq 0$ .

Corollary (I. Kovács, J. Szücs). Let  $\sigma: G \to Aut(\mathcal{M})$  be an action of the group G on the  $W^*$ -algebra  $\mathcal{M}$ . The following statements are equivalent:

(i) M is σ-finite;

(ii) there exists a  $\sigma$ -invariant faithful normal conditional expectation  $E: \mathcal{N} \to \mathcal{M}^{\bullet}$ .

**Proof.** The implication (ii)  $\Rightarrow$  (i) is obvious. Conversely, if  $\mathcal{M}$  is  $\sigma$ -finite, there exists a family  $\{\varphi_i\}_{i\in I}$  of  $\sigma$ -invariant normal states on  $\mathcal{M}$  with  $\sum_i s(\varphi_i) = 1$ , and  $\varphi = \sum_i \varphi_i$  is a  $\sigma$ -invariant n.s.f. weight on  $\mathcal{M}$ . Then each  $\sigma_i^{\bullet}$  ( $i \in \mathbb{R}$ ) commutes with each  $\sigma_i^{\bullet}$  ( $g \in G$ ), in particular  $\mathcal{M}^{\sigma}$  is  $\sigma^{\bullet}$ -invariant. Since  $s(\varphi_i) \in \mathcal{M}^{\sigma}$  and  $\varphi(s(\varphi_i)) = \varphi_i(s(\varphi_i)) < +\infty$ , it follows that  $\varphi \mid (\mathcal{M}^{\sigma})^+$  is semifinite.

By Theorem 10.1 there exists a unique faithful normal conditional expectation  $E: \mathcal{M} \to \mathcal{M}^{\sigma}$  with  $\varphi \cdot E = \varphi$ . For  $g \in G$  we have  $\varphi \cdot \sigma_{\theta} = \varphi$  and  $\sigma_{\theta}^{-1}(\mathcal{M}^{\sigma}) = \mathcal{M}^{\sigma}$ ,

hence  $E \cdot \sigma_{e} = \sigma_{e} \cdot E = E$ , by Corollary 10.4.

10.9. Corollary. (F. Combes). Let  $\varphi$  be an n.s.f. weight on the W\*-algebra M. The following statements are equivalent:

(i)  $\varphi \mid (\mathcal{M}^{\sigma})^{+}$  is an n.s.f. trace on  $\mathcal{M}^{\varphi}$ ;

(ii) there exists a faithful normal conditional expectation  $E: \mathcal{M} \to \mathcal{M}^{\bullet}$  such that  $\varphi = \varphi \bullet E$ ;

(iii) there exists a  $\sigma$ -invariant faithful normal conditional expectation  $E: \mathcal{M} \to \mathcal{M}^{\phi}$  such that  $\varphi = \varphi \cdot E$ ;

(iv) there exists a family  $\{\varphi_i\}_{i\in I} \subset \mathcal{M}^+_{\bullet}$  with  $\sum_i s(\varphi_i) = 1$  and  $\varphi = \sum_i \varphi_i$ ;

(v) M is ov-finite.

*Proof.* (i)  $\Rightarrow$  (ii), by Theorem 10.1.

(ii)  $\Rightarrow$  (iii), by Corollary 10.4.

(iii)  $\Rightarrow$  (i). By Theorem 10.1, it follows, assuming (iii), that  $\varphi \mid (\mathcal{M}^{\varphi})^+$  is an n.s.f. weight on  $\mathcal{M}^{\varphi}$  and the trace property follows from 2.21. (2).

(i)  $\Rightarrow$  (iv). Since  $\psi = \varphi \mid (\mathcal{M}^{\varphi})^+$  is an n.s.f. trace on  $\mathcal{M}^{\varphi}$ , by ([L], E.7.11) we know that there exists a family  $\{\psi_i\}_{i\in I}$  of normal positive forms on  $\mathcal{M}^{\varphi}$  with mutually orthogonal supports such that  $\psi = \sum_i \psi_i$ . If E is the conditional expectation given by (iii) (cf. (i)  $\Rightarrow$  (iii)), then  $\varphi_i = \psi_i \circ E \in \mathcal{M}^+_{\varphi}$  ( $i \in I$ ),  $\sum_i s(\varphi_i) = \sum_i s(\psi_i) = 1$ , and  $\varphi = \psi \circ E = \sum_i \varphi_i$ .

(iv)  $\Rightarrow$  (v). Since  $\varphi(\cdot s(\varphi_i)) = \varphi(s(\varphi_i) \cdot) = \varphi_i$ , it follows from 2.21.(2) that  $s(\varphi_i) \in \mathcal{M}^{\varphi}$  and from 2.22.(3) that  $\varphi_i$  is  $\sigma^{\varphi}$ -invariant  $(i \in I)$ ; hence  $\mathcal{M}$  is  $\sigma$ -finite. (v)  $\Rightarrow$  (iii), by Corollary 10.8.

If the equivalent conditions of Corollary 10.9 are satisfied, then the n.s.f. weight  $\varphi$  is called *strictly semifinite* and we shall abbreviate this by saying that  $\varphi$  is an n.ss. f. weight.

With obvious modifications, Corollary 10.9 can be extended to weights which are not necessarily faithful and we get the notion of normal strictly semifinite weight.

Note that any normal semifinite trace and any normal positive form are strictly semifinite.

If there exists  $t_0 \in \mathbb{R}$ ,  $t_0 > 0$ , such that  $\sigma_{t_0}^{\varphi} = \iota_{\mathcal{M}}$ , the identity mapping on  $\mathcal{M}$ . then the weight  $\varphi$  is strictly semifinite, as the mapping

$$E: \mathcal{M} \ni x \mapsto \frac{1}{t_0} \int_0^{t_0} \sigma_t^{\varphi}(x) \, \mathrm{d}t \in \mathcal{M}^{\sigma}$$

is then a faithful normal conditional expectation with  $\varphi \circ E = \varphi$ .

Finally, we note that the tensor product of two normal strictly semifinite weights is again strictly semifinite (8.8.(3)).

10.10. On every  $W^*$ -algebra  $\mathcal{M}$  there exists an n.ss. f. weight. Indeed, there exists a family  $\{\varphi_i\}_{i\in I}$  of normal states on  $\mathcal{M}$  with supports  $e_i = s(\varphi_i)$  mutually orthogonal and  $\sum_i e_i = 1$ . Then  $\varphi = \sum_i \varphi_i$  is an n.ss. f. weight on  $\mathcal{M}$ .

Let  $\xi_i = (e_i)_{\varphi} \in \mathcal{H}_{\varphi}$ . Then the set  $\{\xi_i\}_{i \in I} \subset \mathcal{H}_{\varphi}$  is cyclic and separating for

 $\pi_{\varphi}(\mathcal{M})$  and, for  $i \neq j$ , we have  $(\xi_i \mid \xi_j)_{\varphi} = ((e_i)_{\varphi} \mid (e_j)_{\varphi})_{\varphi} = \varphi(e_j e_i) = 0$ .

Since every \*-isomorphism between two standard von Neumann algebras is spatial ([L], 10.15), it follows that if a von Neumann algebra  $\mathcal{M} \subset \mathcal{R}(\mathcal{H})$  is standard, then there exists a family  $\{\xi_i\}_{i\in I} \subset \mathcal{H}$  of mutually orthogonal vectors, cyclic and separating for  $\mathcal{M}$ . The converse of this assertion is also true ([198]).

10.11. Proposition. Let  $\varphi$  be an n.ss. f. weight on the  $W^*$ -algebra  $\mathcal{M}$ . If  $\sigma: \mathbb{R} \to Aut(\mathcal{M})$  is an action of  $\mathbb{R}$  on  $\mathcal{M}$  such that  $\sigma_t(\mathfrak{M}_{\varphi}) = \mathfrak{M}_{\varphi}$ ,  $(t \in \mathbb{R})$ , and  $\varphi$  satisfies the KMS condition with respect to  $\{\sigma_t\}_{t \in \mathbb{R}}$  in any two elements of  $\mathfrak{M}_{\varphi}$ , then  $\varphi$  is  $\sigma$ -invariant and hence  $\sigma_t = \sigma_t^{\varphi}$   $(t \in \mathbb{R})$ .

**Proof.** Since  $\psi = \varphi \mid (\mathcal{M}^{\varphi})^+$  is an n.s.f. trace on  $\mathcal{M}^{\varphi}$ , the w-dense two-sided ideal  $\mathfrak{M}_{\psi}$  of  $\mathcal{M}^{\varphi}$  has an increasing approximate unit  $u_k$  1 1. Let  $x \in \mathfrak{M}_{\varphi} \cap \mathcal{M}^+$  and let  $f_k$  be a complex function, defined, continuous and bounded on the strip  $\{\alpha \in \mathbb{C}; 0 \leq \text{Re } \alpha \leq 1\}$ , analytic in the interior of this strip, such that  $f_k(it) = \varphi(\sigma_t(x)u_k)$  and  $f_k(1+it) = \varphi(u_k\sigma_t(x))$   $(t \in \mathbb{R})$ . Since  $u_k \in \mathcal{M}^{\varphi}$ , using 2.21.(2) it follows that  $f_k(it) = f_k(1+it)$   $(t \in \mathbb{R})$ , and hence  $f_k$  is constant, that is  $\varphi(\sigma_t(x)u_k) = \varphi(xu_k)$   $(t \in \mathbb{R})$ . Let  $E: \mathcal{M} \to \mathcal{M}^{\varphi}$  be the faithful normal conditional expectation with  $\varphi = \psi \circ E$ . We have

$$\begin{split} \psi(E(\sigma_{t}(x))^{1/2}u_{k}E(\sigma_{t}(x))^{1/2}) &= \psi(u_{k}^{1/2}E(\sigma_{t}(x))u_{k}^{1/2}) \\ &= \psi(E(u_{k}^{1/2}\sigma_{t}(x)u_{k}^{1/2})) = \varphi(u_{k}^{1/2}\sigma_{t}(x)u_{k}^{1/2}) = \varphi(\sigma_{t}(x)u_{k}) = \varphi(xu_{k}) \\ &= \varphi(u_{k}^{1/2}xu_{k}^{1/2}) = \psi(E(u_{k}^{1/2}xu_{k}^{1/2})) = \psi(u_{k}^{1/2}E(x)u_{k}^{1/2}) = \psi(E(x)^{1/2}u_{k}E(x)^{1/2}). \end{split}$$

Since  $u_k \uparrow 1$  we get  $\varphi(\sigma_i(x)) = \psi(E(\sigma_i(x))) = \psi(E(x)) = \varphi(x)$ , for every  $y \in \mathfrak{M}_{\varphi} \cap \mathcal{M}^+$ , and because of the assumption  $\sigma_i(\mathfrak{M}_{\varphi}) = \mathfrak{M}_{\varphi}$  it follows that  $\varphi \circ \sigma_i = \varphi$ .

The above result holds, in particular, for normal positive forms. In this case the proof is simpler because we can take  $u_k = 1$  and the assumption  $\sigma_t(\mathfrak{M}_{\varphi}) = \mathfrak{M}_{\varphi}$   $(t \in \mathbb{R})$  is automatically satisfied.

10.12. Let G be a locally compact group. For a function f defined on G and an element  $g \in G$  we define the function f on G by  $(f)(h) = f(g^{-1}h)$   $(h \in G)$ .

A left invariant mean on  $\mathcal{L}^{\infty}(G)$  is a positive linear form in on the  $C^{\bullet}$ -algebra  $\mathcal{L}^{\infty}(G)$  with  $\mathfrak{m}(1)=1$  and

$$\mathfrak{m}(\mathfrak{g}f)=\mathfrak{m}(f)$$
  $(f\in \mathscr{L}^{\infty}(G),\ g\in G).$ 

The locally compact group G is called *amenable* if there exists a left invariant mean on  $\mathcal{L}^{\infty}(G)$ . There are many other equivalent definitions of amenability for which we refer to ([68], [98]).

Clearly, every compact group is amenable, the invariant mean being given by the normalized Haar measure of G. Also, every commutative discrete group is amenable ([68], [98]).

If the group G is discrete, then every left invariant mean m on  $l^{\infty}(G)$  is also right invariant ([98]), that is

$$\mathfrak{m}(f_{\mathfrak{g}})=\mathfrak{m}(f) \qquad (f\in l^{\infty}(G),\ g\in G),$$

where  $f_{\theta}(h) = f(hg)$   $(h \in G)$ .

We record the following criterion concerning the existence of (not necessarily normal) conditional expectations:

**Proposition.** Let  $\sigma: G \to Aut(\mathcal{M})$  be an action of the discrete group G on the  $W^*$ -algebra  $\mathcal{M}$ . If G is amenable, then there exists a  $\sigma$ -invariant conditional expectation  $E: \mathcal{M} \to \mathcal{M}^{\sigma}$ .

**Proof.** Let m be an invariant mean on  $I^{\infty}(G)$ . For each  $x \in \mathcal{M}$ , the mapping  $\mathcal{M}_{\bullet} \ni \varphi \mapsto \mathfrak{m}(g \mapsto \varphi(\sigma_{\mathfrak{g}}(x))) \in \mathbb{C}$  is a bounded linear form on  $\mathcal{M}_{\bullet}$ , with norm  $\leq ||x||$ , hence there exists a unique element  $E(x) \in \mathcal{M}$ ,  $||E(x)|| \leq ||x||$ , such that  $\varphi(E(x)) = m(g \mapsto \varphi(\sigma_{\mathfrak{g}}(x)))$  for every  $\varphi \in \mathcal{M}_{\bullet}$ . Since m is invariant, it follows that  $E(x) \in \mathcal{M}^{\bullet}$  and  $E(\sigma_{\mathfrak{g}}(x)) = E(x)$  for all  $x \in \mathcal{M}$ ,  $g \in G$ . If  $x \in \mathcal{M}$ , then clearly E(x) = x. Thus,  $E: \mathcal{M} \to \mathcal{M}^{\bullet}$  is a  $\sigma$ -invariant projection of norm 1, and hence a conditional expectation, by the Tomiyama theorem (9.1).

10.13. Even if the group G is not necessarily amenable, the following result is known:

Theorem (J. T. Schwartz). Let  $\sigma: G \to Aut(\mathcal{M})$  be an action of the discrete group G on the W\*-algebra  $\mathcal{M}$  with the property

(1) 
$$\overline{co}^{\mathsf{w}}(\{\sigma_g(x);\ g\in G\})\cap \mathcal{M}^{\sigma}\neq\emptyset \qquad (x\in\mathcal{M}).$$

For every  $x_0 \in \mathcal{M}$  and every  $a_0 \in \overline{co}^*(\{\sigma_g(x_0); g \in G\}) \cap \mathcal{M}^o$  there exists a conditional expectation  $E: \mathcal{M} \to \mathcal{M}^o$  such that  $E(x_0) = a_0$ .

*Proof.* Let  $\mathcal{S}$  be the set of all bounded linear mappings  $E: \mathcal{M} \to \mathcal{M}$  with the following properties: a)  $E(x_0) = a_0$ ; b)  $E(x) \in \overline{co}^*(\{\sigma_g(x); g \in G\})$  for  $x \in \mathcal{M}$ ; c) E(y) = y for  $y \in \mathcal{M}^o$ .

Let  $\Lambda$  be the set of all finitely supported functions  $\lambda: G \to [0, 1]$  such that  $\sum_{g \in G} \lambda(g) = 1$ . For  $\lambda \in \Lambda$  and  $x \in \mathcal{M}$  we shall write  $\lambda[x] = \sum_{g \in G} \lambda(g) \sigma_g(x)$ .

Since  $a_0 \in \overline{co}^w(\{\sigma_g(x); g \in G\})$ , there exists a net  $\{\lambda_i\}_{i \in I} \subset A$  such that  $\lambda_i[x_0] \xrightarrow{w} a_0$ . If LIM is a Banach limit with respect to I and  $E_0(x) = \coprod_i \lambda_i[x]$   $(x \in \mathcal{M})$ , then  $E_0 \in \mathcal{S}$ , and hence  $\mathcal{S}$  is not empty.

We define a preorder relation " $\leq$ " on  $\mathcal{E}$  by writing  $E_1 \leq E_2$  for  $E_1, E_2 \in \mathcal{E}$  if and only if

$$\overline{co}^{\mathsf{w}}(\{\sigma_{\mathsf{g}}(E_1(x)); g \in G\}) \supseteq \overline{co}^{\mathsf{w}}(\{\sigma_{\mathsf{g}}(E_2(x)); g \in G\})$$

for every  $x \in \mathcal{M}$ . If  $\{E_k\}_{k \in K}$  is an increasing net in  $\mathcal{E}$  and LIM is a Banach limit with respect to K, then the equation  $E(x) = \lim_{k \to \infty} E_k(x)$ ,  $(x \in \mathcal{M})$ , defines an upper bound  $E \in \mathcal{E}$  of  $\{E_k\}_{k \in K}$ . Thus,  $\mathcal{E}$  is inductively ordered.

Let E be a maximal element of  $\mathcal{E}$ . We show that  $E(\mathcal{M}) = \mathcal{M}^{\sigma}$ . If this is not the case there exists  $x_1 \in \mathcal{M}$  such that  $E(x_1) \notin \mathcal{M}^{\sigma}$ . By assumption (1) there exists  $a_1 \in \overline{co}^{\mathsf{w}}(\{\sigma_g(x_1); g \in G\}) \cap \mathcal{M}^{\sigma}$ . Let  $\{\lambda_j\}_{j \in J} \subset \Lambda$  be a net such that  $\lambda_j[x_1] \stackrel{\mathsf{w}}{\to} a_1$  and consider a Banach limit LIM with respect to J. Then the equation  $E_0(x) = \lim_{j \in J} \lambda_j[E(x)]$   $(x \in \mathcal{M})$  defines an element  $E_0 \in \mathcal{E}$ ,  $E_0 \geq E$  such that  $E_0 \neq E$ , as  $E(x_1) \in \overline{co}^{\mathsf{w}}(\{\sigma_g(E(x_1)); g \in G\})$  but  $\overline{co}^{\mathsf{w}}(\{\sigma_g(E_0(x_1)); g \in G\}) = \{a_1\} \not\ni E(x_1)$ , and this contradicts the maximality of E.

It follows that the maximal element E of  $\mathscr E$  is a projection of norm 1 of  $\mathscr M$  onto  $\mathscr M^{\sigma}$ , and hence a conditional expectation, by the Tomiyama theorem (9.1).

If there exists a  $\sigma$ -invariant normal conditional expectation  $E: \mathcal{M} \to \mathcal{M}^{\sigma}$ , then from (1) it follows that

(2) 
$$\overline{co}^{w}(\{\sigma_{g}(x); g \in G\}) \cap \mathcal{M}^{\sigma} = \{E(x)\} \qquad (x \in \mathcal{M}),$$

so that E is uniquely determined and faithful.

To see that E is faithful, choose a family  $\{\psi_i\}_i$  of normal states on  $\mathcal{M}$  with  $\sum_i s(\psi_i) = 1$ . Then each  $\psi_i \cdot E$  is a  $\sigma$ -invariant normal state on  $\mathcal{M}$ , hence  $s(\psi_i \cdot E) \in \mathcal{M}^{\sigma}$ .

It follows that  $s(\psi_i \circ E) = s(\psi_i)$ ,  $(i \in I)$ , hence  $\mathcal{M}$  is  $\sigma$ -finite and E is faithful (10.8). Note that if the  $\mathcal{W}^*$ -algebra  $\mathcal{M}$  is  $\sigma$ -finite, then using the Ryll-Nardzewski fixed point theorem ([L], A.3) one can show that (1), and hence (2) hold ([2], [140]).

10.14. Proposition. Let  $\mathcal N$  be a unital  $W^*$ -subalgebra of the  $W^*$ -algebra  $\mathcal M$  and  $\tau$  a faithful normal trace on  $\mathcal M$ . If  $x\in \mathfrak N_\tau$ , then

(1) 
$$\overline{co}^*(\{vxv^*; v \in U(\mathcal{N})\}) \subset \mathfrak{N}_r$$

(2) 
$$\overline{co}^*(\{vxv^*; v \in U(\mathcal{N})\}) \cap (\mathcal{N}' \cap \mathcal{M}) \neq \emptyset.$$

*Proof.* If  $a = vxv^*$ , then  $\tau(a^*a) = \tau(x^*x) < +\infty$ . Since the function  $\mathcal{M} \ni a \mapsto \tau(a^*a)^{1/2}$  is a lower w-semicontinuous seminorm (5.9), statement (1) follows.

The set  $\mathcal{K} = \overline{co}^*(\{vxv^*; v \in U(\mathcal{N})\})$  is norm bounded (by ||x||) and w-closed, thus  $\mathcal{K}$  is w-compact and the lower w-semicontinuous function  $a \mapsto \tau(a^*a)$  attains its greatest lower bound on  $\mathcal{X}$ , in some  $a_0 \in \mathcal{X}$ ; let  $\lambda = \tau(a_0^* a_0)$ . Clearly,  $va_0 v^* \in \mathcal{X}$ and  $\tau((va_0v^*)^*(va_0v^*)) = \tau(a_0^*a_0) = \lambda$  for every  $v \in U(\mathcal{N})$ . To prove (2) it is therefore sufficient to show that the set  $\mathcal{K}_0 = \{a \in \mathcal{K}; \tau(a^*a) = \lambda\}$  reduces to the singleton  $\{a_0\}$ . If  $a, b \in \mathcal{X}_0$ , then  $(a+b)/2 \in \mathcal{X}$  and hence  $\lambda \le \tau((a+b)^*(a+b))/4 = \tau(a^*a)/2 + \tau(b^*b)/2 - \tau((a-b)^*(a-b))/4 = \lambda - \tau((a-b)^*(a-b))/4$ , so that

 $\tau((a-b)^*(a-b)) = 0$  and a=b, as  $\tau$  is faithful.

Statement (2) is a fixed point theorem. If  $\mathcal N$  is abelian, then (2) follows by the Markov-Kakutani theorem ([L], A.1) without any restriction on  $x \in \mathcal{M}$ . Also, if  $\mathcal{N} = \mathcal{M}$ , then  $\mathcal{N}' \cap \mathcal{M} = \mathcal{Z}(\mathcal{M})$  and (2) holds for all  $x \in \mathcal{M}$  by a theorem of Dixmier ([L], C.4.4).

Note that  $\mathcal{N}' \cap \mathcal{M} = \mathcal{M}^G$ , where  $G = \{ \mathrm{Ad}(v); v \in U(\mathcal{N}) \} \subset Aut(\mathcal{M})$ . Thus, by Theorem 10.13 we obtain existence criteria for conditional expectations  $E: \mathcal{M} \to \mathcal{N}' \cap \mathcal{M}$ .

10.15. We shall say that a von Neumann algebra  $\mathscr{P} \subset \mathscr{B}(\mathscr{X})$  has the property P of J. T. Schwartz if, for every  $x \in \mathcal{B}(\mathcal{H})$ ,

(1) 
$$\overline{co}^{w}(\{vxv^{*}; v \in U(\mathcal{P}')\}) \cap \mathcal{P} \neq \emptyset.$$

In this case, there exists by Theorem 10.13 a conditional expectation  $E: \mathcal{B}(\mathcal{H}) \to \mathcal{P}$ . In particular, by the Markov-Kakutani fixed point theorem it follows that every von Neumann algebra  $\mathscr{P} \subset \mathscr{B}(\mathscr{H})$  with an abelian commutant  $\mathscr{P}' \subset \mathscr{B}(\mathscr{H})$ has property P. Consequently,

for every von Neumann algebra  $\mathcal{P} \subset \mathcal{B}(\mathcal{H})$  with abelian commutant **(2)** there exists a conditional expectation  $E: \mathcal{B}(\mathcal{H}) \to \mathcal{P}$ .

If  $\mathcal{A}$  is a maximal abelian \*-subalgebra of the W\*-algebra  $\mathcal{M}$ , then  $\mathcal{A}' \cap \mathcal{M} = \mathcal{A}$ , and again using the Markov-Kakutani theorem we see that  $\overline{co}^{w}(\{vxv^{*}; v \in U(\mathscr{A})\}) \cap \mathscr{A} \neq \emptyset$   $(x \in \mathscr{M})$ . Thus, by Theorem 10.13 it follows that

for every maximal abelian \*-subalgebra & of the W\*-algebra Al there (3) exists a conditional expectation  $E: \mathcal{M} \to \mathcal{A}$ .

Also, by the remark made in Section 10.13, we see that

if there exists a normal conditional expectation  $E: \mathcal{M} \to \mathcal{A}$ , then E is (4) uniquely determined and faithful.

10.16. Note that for every C\*-subaglebra A of an abelian W\*-algebra M there exists  $a *-homomorphism <math>\pi: \mathcal{M} \to \mathcal{A}$  such that  $\pi(a) = a$  for  $a \in \mathcal{A}$  ([94]; [114]; [236], 9.27). Combined with 10.15.(3), this result shows that for every abelian C\*-subalgebra of an arbitrary W\*-algebra M there exists a conditional expectation  $E: \mathcal{M} \to \mathcal{A}$ .

We record the following result concerning the existence of normal conditional expectations:

**Proposition.** Let  $\mathcal{M}$  be a  $W^*$ -algebra and  $\mathscr{C}$  any unital  $W^*$ -subalgebra of the centre  $\mathscr{Z}(\mathcal{M})$  of  $\mathcal{M}$ . For every  $x_0 \in \mathcal{M}$ ,  $x_0 \neq 0$ , there exists a normal conditional expectation  $E: \mathcal{M} \to \mathscr{C}$  such that  $E(x_0) \neq 0$ .

*Proof.* Let  $\varphi$  be a normal state on  $\mathscr{M}$  with  $\varphi(x_0) \neq 0$ .

Let  $x \in \mathcal{M}^+$ . For every  $z \in \mathcal{C}^+$  we have  $\varphi(xz) \leq ||x|| \varphi(z)$  so, by the Radon-Nikodym theorem ([L], 5.21), there exists a unique  $E(x) \in \mathcal{C}$ ,  $0 \leq E(x) \leq ||x||$ , such that  $\varphi(xz) = \varphi(E(x)z)$  ( $z \in \mathcal{C}$ ), and  $E(x) = E(x)s(\varphi \mid \mathcal{C})$ .

It is easy to check that the mapping  $\mathcal{M}^+ \ni x \mapsto E(x) \in \mathcal{C}$  can be uniquely completed to a normal conditional expectation  $E: \mathcal{M} \to \mathcal{C}$  such that  $\varphi(xz) = \varphi(E(x)z)$  for all  $x \in \mathcal{M}$ ,  $z \in \mathcal{C}$ . In particular,  $\varphi(E(x_0)) = \varphi(x_0) \neq 0$ .

10.17. The uniqueness of the normal conditional expectation onto a maximal commutative \*-subalgebra follows also from the next result:

**Proposition.** Let  $\mathcal{N}$  be a unital  $W^*$ -subalgebra of the  $W^*$ -algebra  $\mathcal{M}$  such that  $\mathcal{N}' \cap \mathcal{M} \subseteq \mathcal{N}$ . If there exists a normal conditional expectation  $E: \mathcal{M} \to \mathcal{N}$ , then this is uniquely determined and faithful. Moreover, for  $u \in U(\mathcal{M})$  we have

(1) 
$$u\mathcal{N}u^* = \mathcal{N} \Leftrightarrow E(uxu^*) = uE(x)u^* \text{ for all } x \in \mathcal{M}.$$

*Proof.* As for weights (2.1), we can define the support s(E) of E as the complement of the greatest projection  $p \in \mathcal{M}$  with E(p) = 0. For every  $v \in U(\mathcal{N})$  we have  $E(vpv^*) = vE(p)v^* = 0$ , so that  $vpv^* = p$  and hence  $p \in \mathcal{N}' \cap \mathcal{M} \subset \mathcal{N}$ . Consequently, p = E(p) = 0, s(E) = 1 and E is faithful.

Let  $E_1: \mathcal{M} \to \mathcal{N}$  be another faithful normal conditional expectation,  $\psi$  an n.s.f. weight on  $\mathcal{N}$ ,  $\varphi = \psi \circ E$ ,  $\varphi_1 = \psi \circ E_1$  n.s.f. weights on  $\mathcal{M}$  and  $u_t = [D\varphi_1: D\varphi]_t$   $(t \in \mathbb{R})$ .

For any  $y \in \mathcal{N}$  we have (10.5.(1))  $\sigma_t^{\varphi}(y) = \sigma_t^{\varphi}(y) = \sigma_t^{\varphi}(y) = u_t \sigma_t^{\varphi}(y) u_t^*$ , hence  $u_t \in \mathcal{N}' \cap \mathcal{M} \subset \mathcal{N}$  ( $t \in \mathbb{R}$ ). Then, for  $x \in \mathcal{M}'$  and  $t \in \mathbb{R}$  we obtain  $\varphi_1(\sigma_t^{\varphi}(x)) = \psi(E_1(u_t^*\sigma_t^{\varphi_1}(x)u_t)) = \psi(u_t^*E_1(\sigma_t^{\varphi_1}(x))u_t) = \psi(E_1(\sigma_t^{\varphi_1}(x))) = \varphi_1(x)$ , so that  $\varphi_1$  commutes with  $\varphi$ , i.e. (4.10) there exists a positive self-adjoint operator A affiliated to  $\mathcal{M}^{\varphi}$  such that  $\varphi_1 = \varphi_A$ . We have  $A^{it} = u_t \in \mathcal{N}' \cap \mathcal{M} = \mathcal{Z}(\mathcal{N})$  and  $\psi = \psi_A$ , hence A = 1 and  $\varphi_1 = \varphi$ .

We have proved that  $\psi \cdot E = \psi \cdot E_1$  for every n.s.f. weight  $\psi$  on  $\mathcal{N}$ . It follows that  $E = E_1$ .

If  $u \in U(\mathcal{M})$  and  $u\mathcal{N}u^* = \mathcal{N}$ , then it is easy to check that the mapping  $E_1: \mathcal{M} \to \mathcal{N}$  defined by  $E_1(x) = u^*E(uxu^*)u(x \in \mathcal{M})$ , is a faithful normal conditional expectation. By the first part of the proof we infer that  $E_1 = E$  and statement (1) follows.

For any conditional expectation  $E:\mathcal{M}\to\mathcal{N}\subset\mathcal{M}$  define the normalizer  $\mathfrak{N}(E)$  of E by

$$\mathfrak{R}(E) = \{ u \in U(\mathcal{M}); \ E(uxu^*) = uE(x)u^* \text{ for } x \in \mathcal{M} \}.$$

If E is normal and  $\mathcal{N}' \cap \mathcal{M} \subset \mathcal{N}$ , the above Proposition shows that

$$\mathcal{N}(E) = \{u \in U(\mathcal{M}); \ u \mathcal{N} u^* = \mathcal{N}\}.$$

10.18. Corollary. Let  $\mathcal N$  be a factor and  $\mathcal A$  an abelian  $W^*$ -algebra. If  $\mathcal M$  is a subfactor of  $\mathcal{N} \otimes \mathcal{A}$  and  $\mathcal{M} \supset \mathcal{N} \otimes 1$ , then  $\mathcal{M} = \mathcal{N} \otimes 1$ .

*Proof.* Consider  $\mathcal{N}$  realized as a von Neumann algebra  $\mathcal{N} \subset \mathcal{B}(\mathcal{H})$  and  $\mathcal{A}$ realized as a maximal abelian von Neumann algebra  $\mathscr{A} \subset \mathscr{A}(\mathscr{X})$ . Then  $\mathscr{N} \otimes 1 \subset$  $\subset \mathcal{M} \subset \mathcal{N} \otimes \mathcal{A} \subset \mathcal{B}(\mathcal{H} \otimes \mathcal{K})$  and, by Corollary 9.11,

$$(\mathscr{N} \otimes 1)' \cap (\mathscr{N} \overline{\otimes} \mathscr{A}) = (\mathscr{N}' \cap \mathscr{N}) \overline{\otimes} (\mathscr{B}(\mathscr{K}) \cap \mathscr{A}) = 1 \otimes \mathscr{A},$$

since  $\mathcal{N}$  is a factor. Consequently,

$$(\mathcal{N} \otimes 1)' \cap \mathcal{M} = (1 \otimes \mathcal{A}) \cap \mathcal{M} = \mathbb{C} \cdot 1 \subset \mathcal{N} \otimes 1,$$

as  $\mathcal{M}$  is also a factor and  $1 \otimes \mathcal{A}$  is the centre of  $\mathcal{N} \otimes \mathcal{A}$ .

Thus, by Proposition 10.17 it follows that all the Fubini mappings (9.8)  $E_{\mathcal{X}}^{w} \mid \mathcal{M} : \mathcal{M} \to \mathcal{N} \ (\omega \in \mathcal{A}_{*}^{+}, \ \omega(1) = 1)$ , are the same faithful normal "conditional expectation"  $E: \mathcal{M} \to \mathcal{N}$ .

Let  $x \in \mathcal{M}$ . For  $\psi \in \mathcal{N}_{\pm}$  and  $\omega \in \mathcal{A}_{\pm}^+$ ,  $\omega(1) = 1$ , we have

$$(\psi \ \overline{\otimes} \ \omega)(E(x) \ \overline{\otimes} \ 1) = \psi(E(x)) = \psi(E_x^{\omega}(x)) = (\psi \ \overline{\otimes} \ \omega)(x),$$

so that  $x = E(x) \otimes 1 \in \mathcal{N} \otimes 1$ .

10.19. If  $\mathscr{A} \subset \mathscr{B}(\mathscr{H})$  is a maximal abelian von Neumann algebra such that every non-zero projection of A dominates a minimal projection of A, then there exists a system of matrix units (9.15)  $\{e_{ij}\}_{i,j\in I}$  in  $\mathcal{B}(\mathcal{H})$  such that  $\mathcal{A}$  is the von Neumann algebra generated by  $\{e_{ii}; i\in I\}$  and it is easy to see that the mapping

$$\mathcal{A}(\mathcal{X}) \ni a \mapsto \sum_{l \in I} e_{ll} a e_{il} = \sum_{l \in I} a_{ll} e_{ll} \in \mathcal{A}$$

is a faithful normal conditional expectation.

On the other hand, let  $\mathcal{H} = \mathcal{L}^2([0,1])$  with respect to Lebesgue measure and let  $\mathscr{A} \subset \mathscr{B}(\mathscr{H})$  be von Neumann algebra  $\mathscr{L}^{\infty}([0,1])$  of multiplication operators. Since  $1 \in \mathcal{H}$  is a cyclic vector for  $\mathcal{A}$ , it follows that  $\mathcal{A}$  is maximal abelian in  $\mathcal{B}(\mathcal{H})$ (see [L], E.3.9, E.3.10; [236], Cor. 3/8.13, Prop. 3/9.37), but it is obvious that A has no minimal projections.

Consider now a general maximal abelian von Neumann algebra  $\mathscr{A} \subset \mathscr{B}(\mathscr{K})$ without minimal projections and let  $E: \mathcal{B}(\mathcal{H}) \to \mathcal{A}$  be a conditional expectation.

Let  $\gamma$  be a character of the abelian  $C^*$ -algebra  $\mathscr{A}$ . Then  $\gamma$  is a singular form ([A.16]) on the W\*-algebra  $\mathscr{A}$ . Indeed, let  $0 \neq p \in \mathscr{A}$  be a projection. Since  $\mathscr{A}$ 

has no minimal projections, there exists a projection  $0 \neq q \leq p$  in A with  $r = p - q \neq 0$ . If  $\gamma(p) \neq 0$  and  $\gamma(q) \neq 0$ , then  $\gamma(p) = \gamma(q) = 1$ , hence  $\gamma(r) = 0$ . Thus, every non-zero projection of  $\mathcal A$  dominates a non-zero projection of  $\mathcal A$  annihilated by  $\gamma$ , i.e.  $\gamma$  is singular.

Then  $\gamma \circ E$  is an extension to  $\mathscr{B}(\mathscr{H})$  of the singular form  $\gamma$  on  $\mathscr{A}$  and hence ([255], Lemma 4.3; [236], 8.5)  $\gamma \circ E$  is a singular form on  $\mathscr{B}(\mathscr{H})$ . It follows that

 $\gamma(E(e)) = 0$  for every minimal projection  $e \in \mathcal{B}(\mathcal{H})$ .

Since  $\gamma$  was an arbitrary character of  $\mathscr{A}$ , we have E(e) = 0 for every minimal projection  $e \in \mathscr{B}(\mathscr{H})$ . Hence, E is not normal, for the normality of E would imply that E(x) = 0 for all  $x \in \mathscr{B}(\mathscr{H})$ , a contradiction.

In conclusion, there exists a maximal abelian von Neumann algebra  $\mathcal{A} \subset \mathcal{B}(\mathcal{H})$  without minimal projections; for any such an algebra  $\mathcal{A}$  there is no normal conditional expectation of  $\mathcal{B}(\mathcal{H})$  onto  $\mathcal{A}$ .

10.20. As an application of the above remarks we establish a characterization of finiteness for  $W^*$ -algebras:

**Proposition.** A W\*-algebra M is finite if and only if for every maximal abelian \*-subalgebra A of M there exists a normal conditional expectation of M onto A.

Proof. If M is finite, the desired conclusion follows from Corollary 10.6.

Assume that  $\mathscr{M}$  is properly infinite. Then  $\mathscr{M} \approx \mathscr{M} \otimes \mathscr{B}(\mathscr{H})$  with  $\mathscr{H}$  a separable infinite dimensional Hilbert space. Let  $\mathscr{C}$  be a maximal abelian \*-subalgebra of  $\mathscr{M}$  and  $\mathscr{A} \subset \mathscr{B}(\mathscr{H})$  a maximal abelian von Neumann algebra. By Corollary 9.10,  $\mathscr{C} \otimes \mathscr{A}$  is a maximal abelian \*-subalgebra of  $\mathscr{M} \otimes \mathscr{B}(\mathscr{H})$ .

Suppose that there exists a normal conditional expectation E of  $\mathcal{M} \otimes \mathcal{B}(\mathcal{H})$  onto  $\mathscr{C} \otimes \mathscr{A}$  and let  $\varphi$  be a normal state on  $\mathscr{M}$ . Then the mapping

$$\mathcal{B}(\mathcal{H})\ni x\mapsto (\varphi\ \overline{\otimes}\ \iota)(E(1\ \overline{\otimes}\ x))\in \mathscr{A}$$

is a normal conditional expectation of  $\mathcal{B}(\mathcal{H})$  onto  $\mathcal{A}$ , contradicting the conclusion of Section 10.19.

10.21. The existence of a normal conditional expectation  $E: \mathcal{M} \to \mathcal{N}$  transfers certain properties of  $\mathcal{M}$  to  $\mathcal{N}$ .

Recall ([L], E.6.11; [236], 9.40) that the supremum of all the minimal projections (or atoms) of a  $W^*$ -algebra  $\mathcal{M}$  is a central projection of  $\mathcal{M}$  and that the  $W^*$ -algebra  $\mathcal{M}$  is called *atomic* if this central projection is equal to 1.

**Proposition.** Let  $E: \mathcal{M} \to \mathcal{N}$  be a normal conditional expectation of the  $W^*$ -algebra  $\mathcal{M}$  onto its unital  $W^*$ -subalgebra  $\mathcal{N}$ . Then

(1) 
$$\mathcal{M}$$
 is semifinite  $\Rightarrow \mathcal{N}$  is semifinite

(2) 
$$\mathcal{M}$$
 is discrete  $\Rightarrow \mathcal{N}$  is discrete

(3) 
$$\mathcal{M}$$
 is atomic  $\Rightarrow \mathcal{N}$  is atomic

**Proof.** (1) Assume that  $\mathcal{M}$  is semifinite and  $\mathcal{N}$  is of type III. Let  $\tau$  be an n.s.f. trace on  $\mathcal{M}$ . Since  $E \neq 0$  is normal, there exists a projection  $e \in \mathcal{M}$  with  $\tau(e) < +\infty$  and  $E(e) \neq 0$ . Since  $E(e) \in \mathcal{N}^+$  there exists a projection  $0 \neq f \in \mathcal{N}$  and  $\lambda \in (0, +\infty)$  such that  $\lambda f \leq E(e)$ .

We shall obtain a contradiction by showing that  $f\mathcal{N}f$  is finite with the help of Sakai's topological criterion of finiteness ([L], 7.23). So, let  $\{y_i\}_i \subset f\mathcal{N}f$  be a net such that  $||y_i|| \le 1$  and  $y_i \stackrel{s}{\to} 0$ . Since  $e \in \mathcal{M}$  is a finite projection and  $y_i e \stackrel{s}{\to} 0$ , we have  $ey_i^* \stackrel{s}{\to} 0$  ([L], 7.23). By the last remark of Section 9.2 we infer that  $E(e)y_i^* \stackrel{s}{\to} 0$  and therefore

$$y_i^* = (fE(e)f + (1-f))^{-1}fE(e)fy_i^* \stackrel{s}{\to} 0.$$

Hence ([L], 7.23)  $f\mathcal{N}f$  is finite, a contradiction.

(2) If  $\mathcal{M}$  is discrete, then by (1),  $\mathcal{N}$  is semifinite and hence ([L], E.4.14) there exists a family  $\{\mathcal{N}_i\}_{i\in I}$  of finite  $\mathcal{W}^*$ -algebras and a family  $\{\mathcal{K}_i\}_{i\in I}$  of Hilbert spaces such that  $\mathcal{N} = \bigoplus_{i\in I} \mathcal{M}_i \otimes \mathcal{B}(\mathcal{K}_i)$ . Taking into account the existence of Fubini mappings (9.8), we see that in order to prove (2) we may assume  $\mathcal{N}$  is finite.

Moreover, if  $\mathcal N$  is not discrete, we may assume that  $\mathcal N$  is of type II<sub>1</sub>. Let  $\tau$ 

be an n.s.f. trace on  $\mathcal{M}$  and  $\mu$  a non-zero normal finite trace on  $\mathcal{N}$ .

Then  $\varphi = \mu \circ E$  is a non-zero normal positive form on  $\mathcal{M}$  and, by Theorem 4.10, there exists a non-zero positive self-adjoint operator A affiliated to  $\mathcal{M}$  such that  $\varphi = \tau_A$ . For  $y \in \mathcal{N}$  and  $x \in \mathcal{M}$  we have  $\varphi(xy) = \mu(E(x)y) = \mu(yE(x)) = \varphi(yx)$ , and hence (by 2.21.(2) and 4.7)  $y = \sigma_i^{\sigma}(y) = A^{ij}yA^{-ij}$  ( $t \in \mathbb{R}$ ). Thus, A is affiliated to  $\mathcal{N}' \cap \mathcal{M}$ . There exists a non-zero spectral projection e of A and an element  $0 \leq a \in \mathcal{M}e$  such that Ae is bounded and Aea = e. Then  $e \in \mathcal{N}' \cap \mathcal{M}$  and  $\tau(e) = \tau(Aea) = \varphi(a) < + \infty$ , hence e is finite in  $\mathcal{M}$ . The  $\mathcal{W}^{\bullet}$ -algebra  $e\mathcal{M}e$  is finite and discrete so that every  $\mathcal{W}^{\bullet}$ -subalgebra of  $e\mathcal{M}e$  is discrete (see [L], 7.16, 7.17). However, the induced algebra  $\mathcal{N}e = e\mathcal{N}e \subset e\mathcal{M}e$  is of type II ([L], E.6.10), a contradiction.

(3) If  $\mathcal{M}$  is atomic and  $\mathcal{N}$  contains no minimal projections, then the desired contradiction can be obtained arguing as in Section 10.19, and replacing the characters by pure states of  $\mathcal{N}$ .

Since there exist conditional expectations  $\mathcal{M} \otimes \mathcal{N} \to \mathcal{M} \otimes 1$  (9.8), from the above Proposition we get the conclusions listed in ([L], C.7.4) concerning the type of the tensor product. Recall in particular that

(4) if 
$$\mathcal{M}$$
 is of type III, then  $\mathcal{M} \overline{\otimes} \mathcal{N}$  is of type III;

(5) if 
$$\mathcal{M}$$
 is continuous, then  $\mathcal{M} \overline{\otimes} \mathcal{N}$  is continuous.

10.22. A  $W^*$ -algebra  $\mathcal{M}$  is called *injective* if, whenever  $\mathcal{M}$  is imbedded as a  $C^*$ -subalgebra of a  $C^*$ -algebra  $\mathcal{A}$ , there exists a conditional expectation  $E: \mathcal{A} \to \mathcal{M}$ . Of course, we could similarly define the notions of an injective  $C^*$ -algebra, but it appears that the injective  $C^*$ -algebras so defined are almost  $W^*$ -algebras (more precisely, they are monotone complete  $AW^*$ -algebras, see [236], § 9).

It is easy to check that injectivity is stable under \*-isomorphisms.

**Theorem.** Let  $\mathcal{M} \subset \mathcal{B}(\mathcal{H})$  be a von Neumann algebra. The following statements are equivalent:

- (i) M is injective;
- (ii) there exists a conditional expectation  $\mathcal{B}(\mathcal{H}) \to \mathcal{M}$ ;
- (iii) there exists a conditional expectation  $\mathcal{B}(\mathcal{H}) \to \mathcal{M}'$ ;
- (iv) M' is injective.

**Proof.** Taking into account the structure of \*-isomorphisms between von Neumann algebras ([L], E.8.8), the existence of Fubini mappings (9.8) and using Proposition 9.4, it is easy to check that properties (ii) and (iii) are stable under \*-isomorphisms. We shall therefore assume that  $\mathcal{M} \subset \mathcal{B}(\mathcal{H})$  is a standard von Neumann algebra ([L], 10.15). Let  $J: \mathcal{H} \to \mathcal{H}$  be a conjugation such that  $JMJ = \mathcal{M}'$ .

(ii)  $\Leftrightarrow$  (iii). If  $E: \mathcal{B}(\mathcal{H}) \to \mathcal{M}$  is a conditional expectation, then the mapping  $E': \mathcal{B}(\mathcal{H}) \to \mathcal{M}'$  defined by E'(x) = JE(JxJ)J  $(x \in \mathcal{B}(\mathcal{H}))$  is a conditional

expectation.

- (i)  $\Leftrightarrow$  (ii). It is clear that (i)  $\Rightarrow$  (ii). Conversely, let  $\mathscr A$  be any  $C^*$ -algebra containing  $\mathscr M$  as a  $C^*$ -subalgebra. Then  $\mathscr M^{**}$  is a  $W^*$ -subalgebra of  $\mathscr A^{**}$  and there exist a central projection  $p \in \mathscr M^{**}$  and a \*-isomorphism  $\pi \colon \mathscr M^{**}p \to \mathscr M$  (A.16). Since  $\mathscr M$  has property (ii), which is stable to \*-isomorphisms, there exists a conditional expectation  $E_0 \colon \mathscr A^{**}p \to \mathscr M^{**}p$ . The mapping  $E \colon \mathscr A \to \mathscr M$  defined by  $E(x) = \pi(E_0(xp))$   $(x \in \mathscr A \subset \mathscr A^{**})$ , is then a conditional expectation.
  - (iii)  $\Leftrightarrow$  (iv) follows from (i)  $\Leftrightarrow$  (ii).

There are many other important characterizations of injective  $W^*$ -algebras (see 10.31).

In what follows we give some examples of injective  $W^*$ -algebras.

10.23. Proposition. Every discrete W\*-algebra is injective.

*Proof.* By ([L], 6.5) we can realize  $\mathcal{M}$  as a von Neumann algebra  $\mathcal{M} \subset \mathcal{B}(\mathcal{H})$  with an abelian commutant, so that there exists a conditional expectation  $E: \mathcal{B}(\mathcal{H}) \to \mathcal{M}$  (10.15.(2)) and  $\mathcal{M}$  is injective by Theorem 10.22.

10.24. Proposition. Let  $\mathcal{M}, \mathcal{N}$  be  $W^*$ -algebras. Then

(1) 
$$\mathcal{M} \otimes \mathcal{N}$$
 is injective  $\Leftrightarrow \mathcal{M}$  and  $\mathcal{N}$  are injective;

(2) 
$$\mathcal{M} \otimes \mathcal{N}$$
 is injective  $\Leftrightarrow \mathcal{M}$  and  $\mathcal{N}$  are injective.

Proof. Use Theorem 10.22, Proposition 9.4 and the Fubini mappings.

**10.25.** Proposition. Let  $\mathcal{M}$  be a  $W^*$ -algebra and  $\{\mathcal{M}_i\}_{i\in I}$  an upward directed family of  $W^*$ -subalgebras of  $\mathcal{M}$  such that  $\mathcal{M}$  is generated by  $\bigcup_{i\in I} \mathcal{M}_i$ . If each  $\mathcal{M}_i$  is injective, then  $\mathcal{M}$  is also injective.

**Proof.** Let  $\mathcal{M} \subset \mathcal{B}(\mathcal{H})$  be realized as a von Neumann algebra and choose a Banach limit LIM with respect to I (9.6) Since the  $\mathcal{M}'_i$  are injective (10.22), there exist conditional expectations  $E'_i \colon \mathcal{B}(\mathcal{H}) \to \mathcal{M}'_i$   $(i \in I)$ . The mapping

 $E': \mathcal{B}(\mathcal{H}) \to \mathcal{M}' = \bigcap_{i \in I} \mathcal{M}'_i$  defined by  $E'(x) = \lim_{i \in I} E'_i(x)$   $(x \in \mathcal{B}(\mathcal{H}'))$  is a conditional expectation, so that  $\mathcal{M}'$  is injective (10.22).

10.26. A  $W^*$ -algebra  $\mathcal{M}$  is called approximately finite dimensional if it is generated by an upward directed family of finite dimensional  $W^*$ -subalgebras.

By Proposition 10.23 and 10.25 it follows that every approximately finite dimensional  $W^*$ -algebra is injective. Conversely, A. Connes ([43]) has proved that every injective factor with separable predual is approximately finite dimensional.

A  $W^*$ -algebra  $\mathcal{M}$  is called *semidiscrete* if the identity mapping on  $\mathcal{M}$  can be approximated, with respect to the *p*-topology (2.23), by normal, finite rank, completely positive linear contractions ([82]). It is known that  $\mathcal{M}$  is semidiscrete if and only if it is injective ([27], [43], [262]).

On the other hand, it is easy to check directly that every approximately finite dimensional von Neumann algebra  $\mathcal{M} \subset \mathcal{B}(\mathcal{H})$  has property P of J.T. Schwartz, so that  $\mathcal{M}$  is injective (10.15.(1)).

If  $\mathcal{M}$  is a  $W^*$ -algebra with separable predual, then the assertions:  $\mathcal{M}$  is injective,  $\mathcal{M}$  is semidiscrete,  $\mathcal{M} \subset \mathcal{B}(\mathcal{H})$  has property P, are all equivalent to saying that  $\mathcal{M}$  is generated by an increasing sequence of finite dimensional \*-subalgebras.

Another property equivalent to injectivity (in the case of separable predual) is amenability, which we shall consider in the next sections.

10.27. We first prove another existence criterion for conditional expectations.

Theorem. Let  $\mathscr{A}$  be a unital C\*-algebra and  $\mathscr{M}$  a countably decomposable finite W\*-algebra contained as a unital C\*-subalgebra in  $\mathscr{A}$ . The following statements are equivalent:

- (i) there exists a conditional expectation E: A → M;
- (ii) there exists an M-linear projection P: A → M;
- (iii) there exists a bounded linear form  $\varphi$  on  $\mathscr{A}$  such that  $\varphi | \mathscr{M}$  is positive and faithful and  $\varphi(x \cdot) = \varphi(\cdot x)$  for every  $x \in \mathscr{M}$ ;
- (iv) there exists a positive linear form  $\varphi$  on  $\mathscr{A}$  such that  $\varphi | \mathscr{M}$  is faithful and  $\varphi(x \cdot) = \varphi(\cdot x)$  for every  $x \in \mathscr{M}$ .

*Proof.* (i)  $\Rightarrow$  (ii) is obvious.

- (ii)  $\Rightarrow$  (iii). If  $\tau$  is any faithful finite trace on  $\mathcal{M}$ , then  $\varphi = \tau \circ P$  is as required in (iii).
- (iii)  $\Rightarrow$  (iv). Replacing the bounded linear form  $\varphi$  given in (iii) by  $\varphi + \varphi^*$ , we may assume that  $\varphi$  is self-adjoint. Then let  $\varphi = \varphi^+ \varphi^-$  be the Jordan decomposition of  $\varphi$  ([L], 5.17). For every  $u \in U(\mathcal{M})$  we have  $\varphi = \varphi(u \cdot u^*) = \varphi^+(u \cdot u^*) \varphi^-(u \cdot u^*)$ , so that  $\varphi^+ = \varphi^+(u \cdot u^*)$ , by the uniqueness of the Jordan decomposition. Since  $\varphi^+ \mid \mathcal{M} > \varphi \mid \mathcal{M}$ , it follows that  $\varphi^+ \mid \mathcal{M}$  is faithful. Hence  $\varphi^+$  is the required form.
- (iv)  $\Rightarrow$  (i). Recall first that for every bounded linear form  $\psi$  on a  $W^*$ -algebra  $\mathcal{N}$  there exist unique normal and singular linear forms  $\psi_{nor}$  and  $\psi_{sing}$  such that  $\psi = \psi_{nor} + \psi_{sing}$ ; if  $\psi$  is positive and faithful, then  $\psi_{nor}$  is also positive and faithful (A.16; [236], 8.4).

We define a linear mapping  $F: \mathcal{A} \to \mathcal{M}_*$  by  $F(a) = (\varphi(a \cdot) | \mathcal{M})_{aa}$   $(a \in \mathcal{A})$ .

Then  $\tau = F(1) = (\varphi | \mathcal{M})_{ner}$  is a faithful normal finite trace on  $\mathcal{M}$ .

Let  $a \in \mathscr{A}$  with a > 0. For  $x \in \mathscr{M}$  we have  $\varphi(ax^*x) = \varphi(a|x|^2) = \varphi(|x|a|x|) > 0$ , hence F(a) > 0. Since  $0 \le a \le ||a|| \cdot 1$ , we obtain  $0 \le F(a) \le ||a|| \cdot 1$ . By the

Radon-Nikodym type theorem ([L], 5.21) there exists a unique element  $E(a) \in \mathcal{M}^+$ ,  $||E(a)|| \le ||a||$ , such that  $F(a) = \tau(E(a)^{1/2} \cdot E(a)^{1/2}) = \tau(E(a) \cdot)$ .

We thus get a positive linear mapping  $E: \mathcal{A} \to \mathcal{M}$ , uniquely determined, such that  $F(a) = \tau(E(a) \cdot)$  ( $a \in \mathcal{A}$ ). It is easy to check that E is a projection of  $\mathcal{A}$  onto  $\mathcal{M}$  and that ||E|| = ||E(1)|| = ||1|| = 1, as E is positive. By Theorem 9.1 it follows that E is a conditional expectation.

**10.28.** Corollary. A von Neumann algebra  $\mathcal{M} \subset \mathcal{B}(\mathcal{H})$  is injective if and only if there exists an  $\mathcal{M}$ -linear projection  $P: \mathcal{B}(\mathcal{H}) \to \mathcal{M}$ .

*Proof.* If  $\mathcal{M}$  is finite and countably decomposable, then the Corollary follows obviously from Theorem 10.27.

Assume that  $\mathcal{M}$  is semifinite and there exists an  $\mathcal{M}$ -linear projection  $P: \mathcal{B}(\mathcal{H}) \to \mathcal{M}$ . There exists an increasing net  $\{e_i\}_{i \in I}$  of countably decomposable finite projections in  $\mathcal{M}$  with  $e_i \uparrow 1$  (see [L], 4.20, 7.2). For each  $i \in I$ , P defines by restriction an  $e_i \mathcal{M} e_i$ -linear projection  $P_i: \mathcal{B}(e_i \mathcal{H}) = e_i \mathcal{B}(\mathcal{H}) e_i \to e_i \mathcal{M} e_i$ . Hence each  $e_i \mathcal{M} e_i$  is injective so that  $\mathcal{M}$  is also injective, by Proposition 10.25.

Assume that  $\mathcal{M}$  is properly infinite and that there exists an  $\mathcal{M}$ -linear projection  $P: \mathcal{A}(\mathcal{H}) \to \mathcal{M}$ . In this case we need the Connes-Takesaki continuous decomposition theorem, which will be proved later (23.6). According to this theorem, we may assume that there exists a semifinite von Neumann subalgebra  $\mathcal{N} \subset \mathcal{M}$  together with an so-continuous unitary representation  $\mathbb{R} \ni t \mapsto u(t) \in \mathcal{M}$  such that  $u(t)\mathcal{N}u(t)^* = \mathcal{N}$   $(t \in \mathbb{R})$ , and  $\mathcal{M} = (\mathcal{N} \cup u(\mathbb{R}))''$ . Moreover,  $\sigma: \mathbb{R} \ni t \mapsto Ad(u(t))|\mathcal{N} \in Aut(\mathcal{N})$  is a continuous action of  $\mathbb{R}$  on  $\mathcal{N}$  which defines a dual action  $\hat{\sigma}$  of  $\mathbb{R}$  on  $\mathcal{M}$  such that  $\mathcal{N} = \mathcal{M}^{\hat{\sigma}}$ .

Since the discrete abelian group  $\mathbb R$  is amenable, by Proposition 10.12 there exists a conditional expectation  $Q: \mathcal M \to \mathcal M^{\hat{\sigma}} = \mathcal N$ . Then  $Q \circ P: \mathcal B(\mathcal H) \to \mathcal N$  is an  $\mathcal N$ -linear projection. Since  $\mathcal N$  is semifinite, by the first part of the proof it follows that  $\mathcal N$  is injective and so there exists a conditional expectation (10.22)  $F': \mathcal B(\mathcal H) \to \mathcal N'$ .

Since  $\mathcal{M} = (\mathcal{N} \cup u(\mathbb{R}))''$ , we have  $\mathcal{M}' = \mathcal{N}' \cap u(\mathbb{R})'$ , i.e.  $\mathcal{M}' = (\mathcal{N}')^{\sigma'}$  where  $\sigma' \colon \mathbb{R} \ni t \mapsto \operatorname{Ad}(u(t)) \mid \mathcal{N}' \in \operatorname{Aut}(\mathcal{N}')$  is an action of the discrete abelian group  $\mathbb{R}$  on  $\mathcal{N}'$ . We obtain again by Proposition 10.12 a conditional expectation  $E' \colon \mathcal{N}' \to (\mathcal{N}')^{\sigma'} = \mathcal{M}'$ . Then  $E' \circ F' \colon \mathcal{B}(\mathcal{H}) \to \mathcal{M}'$  is a conditional expectation and so  $\mathcal{M}$  is injective (10.22).

In the general case, there exists a central projection  $p \in \mathcal{M}$  such that  $\mathcal{M}p$  is semifinite and  $\mathcal{M}(1-p)$  is of type III, hence properly infinite. If there exists an  $\mathcal{M}$ -linear projection  $P: \mathcal{B}(\mathcal{H}) \to \mathcal{M}$ , then the von Neumann algebras  $\mathcal{M}p \subset \mathcal{B}(p\mathcal{H})$ ,  $\mathcal{M}(1-p) \subset \mathcal{B}((1-p)\mathcal{H})$  enjoy the same property so that they are injective as well as their direct sum  $\mathcal{M}$  (10.24.(2)).

10.29. Let  $\mathscr M$  be a  $W^*$ -algebra. A normal dual Banach  $\mathscr M$ -bimodule is a Banach  $\mathscr M$ -bimodule  $\mathscr X$  \*) such that the Banach space  $\mathscr X$  is the dual of a certain Banach

<sup>\*)</sup> that is, there are bounded bilinear mappings

 $<sup>\</sup>mathcal{M} \times \mathcal{C} \ni (a, x) \mapsto a \cdot x \in \mathcal{C}$  and  $\mathcal{C} \times \mathcal{M} \ni (x, a) \mapsto x \cdot a \in \mathcal{C}$ 

called the left and right actions of  $\mathcal{M}$  on  $\mathcal{X}$ , such that, for every  $a, b \in \mathcal{M}$ ,  $x \in \mathcal{X}$ , we have:  $1 \cdot x = x \cdot 1 = x$ ,  $a \cdot (b \cdot x) = (ab) \cdot x$ ,  $(x \cdot a) \cdot b = x \cdot (ab)$ ,  $a \cdot (x \cdot b) = (a \cdot x) \cdot b$ .

space  $\mathcal{X}_{*}$  and, for  $f \in \mathcal{X}_{*}$ ,  $a_0 \in \mathcal{M}$ ,  $x_0 \in \mathcal{X}$ , the mappings

$$\mathcal{M} \ni a \mapsto f(a \cdot x_0) \in \mathbb{C}, \qquad \mathcal{M} \ni a \mapsto f(x_0 \cdot a) \in \mathbb{C}$$

are w-continuous and the mappings

$$\mathscr{X} \ni x \mapsto f(a_0 \cdot x) \in \mathbb{C}, \qquad \mathscr{X} \ni x \to f(x \cdot a_0) \in \mathbb{C}$$

are  $\sigma(\mathcal{X}, \mathcal{X}_{\star})$ -continuous.

A derivation of  $\mathcal{M}$  into  $\mathcal{X}$  is a bounded linear mapping  $\delta: \mathcal{M} \to \mathcal{X}$  such that  $\delta(ab) = \delta(a) \cdot b + a \cdot \delta(b)$   $(a, b \in \mathcal{M})$ . Every element  $x \in \mathcal{X}$  determines an inner derivation  $\delta: \mathcal{M} \ni a \mapsto x \cdot a - a \cdot x \in \mathcal{X}$ .

The  $W^*$ -algebra  $\mathcal{M}$  is called *amenable* if every derivation of  $\mathcal{M}$  into some normal dual Banach  $\mathcal{M}$ -bimodule  $\mathcal{X}$  is inner.

**Proposition.** If  $\mathcal{M}$  is an amenable unital  $W^*$ -subalgebra of the  $W^*$ -algebra  $\mathcal{B}$ , then there exists an  $(\mathcal{M}' \cap \mathcal{B})$ -linear projection  $P \colon \mathcal{B} \to \mathcal{M}' \cap \mathcal{B}$ .

*Proof.* Starting from the inclusion  $\mathcal{M} \subset \mathcal{B}$  we shall construct a normal dual Banach  $\mathcal{M}$ -bimodule  $\mathcal{X}$ .

Let  $\mathscr{Y}_* = \mathscr{B} \otimes \mathscr{B}_*$  be the Banach space completion of the algebraic tensor product  $\mathscr{B} \otimes \mathscr{B}_*$  with respect to the greatest cross-norm ([209]) and let  $\mathscr{Y} = (\mathscr{Y}_*)^*$ . It is known ([209]) that  $\mathscr{Y}$  can be identified isometrically with the Banach space  $\mathscr{B}(\mathscr{B})$  of all bounded linear mappings  $\mathscr{B} \to \mathscr{B}$ , in such a way that

$$S(b \otimes \psi) = \psi(S(b))$$
  $(S \in \mathcal{B}(\mathcal{B}), b \in \mathcal{B}, \psi \in \mathcal{B}_{\bullet}).$ 

If we define the left and right actions of  $\mathcal{M}$  on  $\mathcal{Y} = \mathcal{B}(\mathcal{B})$  by

$$(a \cdot S)(b) = aS(b), (S \cdot a)(b) = S(b)a \qquad (a \in \mathcal{M}, S \in \mathcal{B}(\mathcal{B}), b \in \mathcal{B})$$

then Y becomes a normal dual Banach M-bimodule.

Now let  $\mathscr{X}$  be the set of those  $S \in \mathscr{Y}$  with the property that for every  $a' \in \mathscr{M}' \cap \mathscr{B}$ ,  $b \in \mathscr{B}$ ,  $\psi \in \mathscr{B}_*$  we have

(1) 
$$S(a' \otimes \psi) = 0 \text{ that is } S(\mathscr{M} \cap \mathscr{B}) = 0;$$

(2) 
$$S(a'b \otimes \psi - b \otimes \psi(a' \cdot)) = 0$$
 that is  $S(a'b) = a'S(b)$ ;

(3) 
$$S(ba' \otimes \psi - b \otimes \psi(\cdot a')) = 0$$
 that is  $S(ba') = S(b)a'$ .

Then  $\mathscr X$  is a  $\sigma(\mathscr Y,\mathscr Y_*)$ -closed sub- $\mathscr M$ -bimodule of  $\mathscr Y$  and  $\mathscr X$  becomes a normal dual Banach  $\mathscr M$ -bimodule with the induced structure.

Let  $\iota_B \in \mathcal{B}(\mathcal{B}) = \mathcal{Y}$  be the identity mapping on  $\mathcal{B}$ . It is easy to check that the inner derivation of  $\mathcal{M}$  into  $\mathcal{Y}$  determined by  $\iota_B \in \mathcal{Y}$  takes values in  $\mathcal{X}$ , thus defining a derivation  $\delta : \mathcal{M} \to \mathcal{X}$ .

Since  $\mathcal{M}$  is amenable, there exists an element  $S \in \mathcal{X}$  such that  $\delta$  is the inner

derivation determined by S.

Let  $P = \iota_B - S \in \mathcal{Y} = \mathcal{B}(\mathcal{B})$ . Then  $P \cdot a - a \cdot P = 0$  for all  $a \in \mathcal{M}$ , hence  $P \colon \mathcal{B} \to \mathcal{M}' \cap \mathcal{B}$  is a bounded linear mapping. Since  $S \in \mathcal{X}$ , it follows from (1) that P is a projection of  $\mathcal{B}$  onto  $\mathcal{M}' \cap \mathcal{B}$ , while from (2) and (3) we deduce that P is  $(\mathcal{M}' \cap \mathcal{B})$ -linear.

**10.30.** Taking  $\mathcal{B} = \mathcal{B}(\mathcal{H})$  in Proposition 10.29 and using Corollary 10.28 and Theorem 10.22, we obtain the following

Corollary. Every amenable W\*-algebra is injective.

Conversely, if  $\mathcal{M}$  is an injective  $W^*$ -algebra with separable predual, then  $\mathcal{M}$  is generated by an increasing sequence of finite dimensional \*-subalgebras (as mentioned in 10.26) and by [123] it follows that  $\mathcal{M}$  is amenable.

The equivalence of the notions of amenability, semidiscreteness and injectivity in the case of separable predual, to the structural property of approximate finite dimensionality is one of the deepest and most important results in the theory of operator algebras to date.

10.31. Notes. Theorem 10.1 and Corollary 10.7 are due to Takesaki [247]. The particular case of Theorem 10.1 contained in Corollary 10.6 is due to Dixmier [74] and Umegaki [259]. Corollary 10.8 is due to Kovács and Szücs [140]; actually, they proved a better result, namely that  $\overline{co}^w(\{\sigma_g(x);g\in G\})$   $\cap$   $\mathcal{M}^\sigma=\{E(x)\}$  whenever  $\mathcal{M}$  is  $\sigma$ -finite (this result can be obtained using the Ryll-Nardzewski fixed point theorem, see [2]). The strictly semifinite weights were introduced by Combes [31], [32] who proved Corollary 10.9 and Proposition 10.11. Theorem 10.13 is due to Schwartz [211]; in the same article, Schwartz introduced property P (see also [24], [25]). Proposition 10.14 is due to Dixmier [76], Proposition 10.16 appears in [112] and [234], Proposition 10.17 is due to Connes [36] and Corollary 10.18 is due to Connes and Takesaki [61]. Proposition 10.20 is the answer to a problem posed by Kadison, given by Takesaki [247] and Tomiyama [256]. Proposition 10.21 is due to Sakai [201] and Tomiyama [252], [255]. For the injectivity property for  $W^*$ -algebras or properties equivalent to injectivity, as well as for the results contained in Sections 10.22–10.26, we refer to [11], [24], [26], [27], [28], [43], [81], [82], [111], [151], [211], and [262]. Theorem 10.27 and Corollary 10.28 are due to Connes [43] and Bunce and Paschke [18]. For the definition of an amenable  $W^*$ -algebra (10.29) we refer to [122], [123]. Corollary 10.30 is due to Connes [48] and its proof, based on Proposition 10.29, is due to Bunce and Paschke [18].

For our exposition we have used [18], [26], [31], [32], [76], [247], and [255].

Further results related to the Kovács-Szücs theorem are contained in [100], [218], [219], [221], [222], [223], [226].

The property of a  $W^*$ -algebra of being approximately finite dimensional was considered for the first time by Murray and von Neumann [164, IV] in the case of finite factors. They obtained several characterizations of this property and showed that all approximately finite dimensional factors of type II<sub>1</sub> are \*-isomorphic \*) (see [76]). The approximately finite dimensional factor of type II<sub>1</sub>, usually called the hyperfinite II<sub>1</sub> factor, will be denoted by  $\mathcal{R}$ .

For properly infinite W\*-algebras, several characterizations of this property are given in [84] and interesting stability results appeared in [95], [58].

Connes [43] proved the fundamental result that all injective factors of type II<sub>1</sub> are  $\leftarrow$  isomorphic to  $\mathscr{R}$ . In particular, it follows that any subfactor of  $\mathscr{R}$  is either finite dimensional, or  $\leftarrow$  isomorphic to  $\mathscr{R}$ . Also, it follows that all injective factors of type II $_{\infty}$  are  $\leftarrow$  isomorphic to  $\mathscr{R}_{\infty} = \mathscr{R}_{\infty} \otimes \mathscr{F}_{\infty}$ , where  $\mathscr{F}_{\infty}$  is the only factor of type I $_{\infty}$  (see [95], [130]). Recently, Connes, Feldman and Weiss [57] proved that for any two maximal abelian  $\leftarrow$  subalgebras  $\mathscr{A}_1$ ,  $\mathscr{A}_2$  of  $\mathscr{R}$  whose normalizers generate  $\mathscr{R}$  there exists  $\sigma \in Aut(\mathscr{R})$  such that  $\sigma(\mathscr{A}_1) = \mathscr{A}_2$ .

Among the most important examples of injective  $W^{\bullet}$ -algebras we have the crossed products of abelian  $W^{\bullet}$ -algebras by continuous actions of amenable locally compact groups, the von Neumann algebras generated by so-continuous unitary representations of connected locally compact groups and the von Neumann algebras generated by any  $\bullet$ -representation of a nuclear  $C^{\bullet}$ -algebra ([27], [28], [43], [55]).

<sup>\*)</sup> We consider only W\*-algebras with separable preduals.

Note that if G is a discrete ICC-group (22.6), then the factor  $\mathfrak{L}(G)$  is injective, i.e.  $\mathfrak{L}(G) \approx \mathfrak{B}_*$  if and only if G is amenable (see [43], [204], [211], [255]). Since the free group  $F_k$  on k generators,  $(k \ge 2)$ , is not amenable, it follows that  $\mathfrak{L}(F_k)$  is not \*-isomorphic to  $\mathfrak{B}$  ( $\approx \mathfrak{L}(S(\infty))$ , see 22.6).

#### §11. Operator valued weights

In this Section we introduce the extended positive part of a  $W^*$ -algebra and operator valued weights, together with their main properties.

11.1. Let  $\mathcal{M}$  be a  $\mathcal{W}^*$ -algebra. The extended positive part  $\overline{\mathcal{M}}^+$  of  $\mathcal{M}$  is the set of all functions  $m: \mathcal{M}^+_* \to [0, +\infty]$  such that:

(1) 
$$m(\varphi + \psi) = m(\varphi) + m(\psi) \quad (\varphi, \psi \in \mathcal{M}_{+}^{+});$$

(2) 
$$m(\lambda \varphi) = \lambda m(\varphi) \quad (\varphi \in \mathcal{M}_{+}^{+}, \ \lambda > 0);$$

If  $m, n \in \overline{\mathcal{M}}^+$ ,  $x \in \mathcal{M}$  and  $\lambda > 0$ , then one defines the elements  $m + n, \lambda m$  and  $x^*mx$  of  $\overline{\mathcal{M}}^+$  by

(4) 
$$(m+n)(\varphi) = m(\varphi) + n(\varphi) \qquad (\varphi \in \mathcal{M}_{\pm}^+);$$

(5) 
$$(\lambda m)(\varphi) = \lambda m(\varphi) \qquad (\varphi \in \mathcal{M}_{\bullet}^{+});$$

(6) 
$$(x^*mx)(\varphi) = m(\varphi(x^*\cdot x)) \qquad (\varphi \in \mathcal{M}_+^+).$$

If z is a positive element in the centre  $\mathscr{Z}(\mathcal{M})$  of  $\mathcal{M}$ , then we write zm or mz instead of  $z^{1/2}mz^{1/2}$ .

For  $m_1$ ,  $m_2 \in \overline{\mathcal{M}}^+$ , write  $m_1 \leq m_2$  if  $m_1(\varphi) \leq m_2(\varphi)$  for all  $\varphi \in \mathcal{M}_{\bullet}^+$ . If  $\{m_i\}_{i \in I} \subset \overline{\mathcal{M}}^+$  is an increasing net, then one defines an element  $m = \sup_{i \in I} m_i \in \overline{\mathcal{M}}^+$  by  $m(\varphi) = \sup_{i \in I} m_i(\varphi)$   $(\varphi \in \mathcal{M}_{\bullet}^+)$ ; in this case we also write  $m_i \uparrow m$ . In particular, for an arbitrary family  $\{m_i\}_{i \in I} \subset \overline{\mathcal{M}}^+$ , an element  $m = \sum_{i \in I} m_i \in \overline{\mathcal{M}}^+$  is defined by  $m(\varphi) = \sum_{i \in I} m_i(\varphi)$   $(\varphi \in \mathcal{M}_{\bullet}^+)$ .

11.2. Let  $\mathcal{M} \subset \mathcal{B}(\mathcal{H})$  be a von Neumann algebra,  $e \in \mathcal{M}$  a projection and A a positive self-adjoint operator in the Hilbert space  $e\mathcal{H}$ , affiliated to the von Neumann algebra  $e\mathcal{M}e \subset \mathcal{B}(e\mathcal{H})$ . Let

$$e_n = \chi_{(n-1,n)}(A) \leq c$$
  $(n = 1, 2, ...).$ 

For each n,  $Ae_n$  is a bounded positive operator in  $e\mathcal{M}e$  and  $s(Ae_n) \leq e_n$ . Define an element  $m_A \in \overline{\mathcal{M}}^+$  by

$$m_A(\varphi) = \sum_{n=1}^{\infty} \varphi(Ae_n) + \infty \cdot \varphi(1-e) \qquad (\varphi \in \mathcal{M}_{*}^+).$$

If e=1 and  $A=a\in\mathcal{M}^+$ , then  $m_a(\varphi)=\varphi(a)$ ,  $(\varphi\in\mathcal{M}^+_*)$ . The mapping  $\mathcal{M}^+\ni a\mapsto m_a\in\overline{\mathcal{M}}^+$  is injective and preserves the operations introduced in Section 11.1, so that we can identify  $m_a$  with a and write  $\mathcal{M}^+\subset\overline{\mathcal{M}}^+$ .

If e = 1 - f and A = 0, then the element  $m_A$  will be denoted by  $\infty \cdot f$ ; for  $\varphi \in \mathcal{M}_+^+$  we have  $(\infty \cdot f)(\varphi) = \infty \cdot \varphi(f)$ , i.e. this value is 0 if  $\varphi(f) = 0$  and  $+\infty$  if  $\varphi(f) > 0$ .

Thus, in the general case considered above we have

(1) 
$$m_A = \sum_{n=1}^{\infty} A e_n + \infty \cdot (1-e),$$

so that  $m_A$  is a sum of elements in  $\mathcal{M}^+$  with mutually orthogonal supports and a symbol  $\infty$  on a projection which is orthogonal on all these supports.

If  $\xi \in \mathcal{H}$ , then  $\omega_{\xi} \in \mathcal{M}_{*}^{+}$  and, by ([L], 9.9), we obtain

(2) 
$$m_{A}(\omega_{\zeta}) = \begin{cases} ||A^{1/2}\zeta||^{2} & \text{if } \zeta \in D(A^{1/2}) \subset e\mathcal{H} \\ +\infty, & \text{in the contrary case.} \end{cases}$$

In particular, it follows that the element  $m_A$  determines the projection e and the operator A uniquely: if  $f \in \mathcal{M}$  is another projection and B is a positive self-adjoint operator in  $f\mathcal{H}$  affiliated to  $f\mathcal{M}f$ , then

(3) 
$$m_A = m_B \Leftrightarrow e = f \text{ and } A = B.$$

It is easy to check that

(4) 
$$s(A) = e \Leftrightarrow m_A(\varphi) > 0 \text{ for all } 0 \neq \varphi \in \mathcal{M}_{\bullet}^+;$$

in this case we say that  $m = m_A \in \overline{\mathcal{M}}^+$  is faithful or non-singular. Also,

(5) 
$$e = 1 \Leftrightarrow \{ \varphi \in \mathcal{M}_{\bullet}^+; m_{A}(\varphi) < + \infty \} \text{ is dense in } \mathcal{M}_{\bullet}^+;$$

in this case we say that  $m = m_A \in \overline{\mathcal{M}}^+$  is semifinite. Moreover,

(6) 
$$e = 1$$
 and A is bounded  $\Leftrightarrow m_A(\varphi) < +\infty$  for all  $\varphi \in \mathcal{M}_{*}^+$ .

If  $\{e_{\lambda}\}_{{\lambda}\in(0,+\infty)}$  is the spectral scale of  ${\Lambda}$  (see [L], E.9.10), then  $e_{\lambda}\uparrow e$  for  ${\lambda}\uparrow +\infty$ 

and

(7) 
$$m_{A}(\varphi) = \int_{0}^{\infty} \lambda \, \mathrm{d}\varphi(e_{\lambda}) + \infty \cdot \varphi(1-e) \qquad (\varphi \in \mathcal{M}_{\bullet}^{+}).$$

Indeed, this identity is easily verified for  $\varphi = \omega_{\xi}$ ,  $(\xi \in \mathcal{H})$ , using (2) and ([L], E.9.10), and then extended for any  $\varphi \in \mathcal{M}_{+}^{+}$ , since  $\varphi = \sum_{n} \omega_{\xi_{n}}$  with  $\sum_{n} ||\xi_{n}||^{2} < +\infty$  (see [L], E.7.9, 8.17).

11.3. The example considered in the preceding Section is general, as is shown by the following

**Proposition.** Let  $\mathcal{M} \subset \mathcal{B}(\mathcal{H})$  be a von Neumann algebra and  $m \in \overline{\mathcal{M}}^+$ . There exists a projection  $e \in \mathcal{M}$  and a positive self-adjoint operator A in  $e\mathcal{H}$  affiliated to  $e\mathcal{M}e$ , uniquely determined, such that  $m = m_A$ .

Proof. The uniqueness follows from 11.2.(3).

Since  $m \in \overline{\mathcal{M}}^+$ , the function  $q: \mathcal{H} \ni \xi \mapsto q(\xi) = m(\omega_{\xi}) \in [0, +\infty]$  is lower semicontinuous and has the following properties:

$$q(\lambda\xi) = |\lambda|^2 q(\xi) \qquad (\xi \in \mathcal{H}, \ \lambda \in \mathbb{C});$$

$$q(\xi + \eta) + q(\xi - \eta) = 2q(\xi) + 2q(\eta) \qquad (\xi, \eta \in \mathcal{H});$$

$$q(u'\xi) = q(\xi) \qquad (\xi \in \mathcal{H}, \ u' \in \mathcal{M}' \text{ unitary}).$$

It follows that the set  $D(q) = \{\xi \in \mathcal{H}; q(\xi) < +\infty\}$  is a linear subspace of  $\mathcal{H}$ , stable under  $\mathcal{M}'$ , so that there exists a unique projection  $e \in \mathcal{M}$  such that  $\overline{D(q)} = e\mathcal{H}$ .

By (A.10) there exists a unique positive self-adjoint operator A in  $e\mathcal{H}$  such that  $\|A^{1/2}\xi\|^2 = q(\xi) = m(\omega_{\xi})$  for all  $\xi \in D(A^{1/2}) = D(q)$ . Using 11.2.(2) it follows that  $m(\omega_{\xi}) = m_A(\omega_{\xi})$  ( $\xi \in \mathcal{H}$ ), and since any  $\varphi \in \mathcal{M}_{+}^+$  is a sum of vector forms we conclude that  $m = m_A$ .

By this Proposition and the results in Section 11.2 it follows that for each element m in the extended positive part of a  $W^*$ -algebra  $\mathcal{M}$  there exists a sequence  $\{a_n\}$  of positive elements in  $\mathcal{M}$  with mutually orthogonal supports and a projection  $e \in \mathcal{M}$ ,  $e > \sum s(a_n)$ , such that

(1) 
$$m = \sum_{n} a_n + \infty \cdot (1 - e).$$

Also, by 11.2.(7), each element  $m \in \overline{\mathcal{M}}^+$  has a unique "spectral decomposition":

(2) 
$$m = \int_{0}^{\infty} \lambda \, \mathrm{d}e_{\lambda} + \infty \cdot (1 - e).$$

Finally, by 11.2.(6), for  $m \in \overline{\mathcal{M}}^+$  we have

(3) 
$$m(\varphi) < + \infty \text{ for all } \varphi \in \mathcal{M}_{\pm}^+ \Leftrightarrow m = a \in \mathcal{M}^+.$$

Let  $\mathscr{Z}$  be an abelian  $W^*$ -algebra. In ([L], 7.20) we defined an extension  $\widetilde{\mathscr{Z}}$  of  $\mathscr{Z}$ . It is easy to check that  $\widetilde{\mathscr{Z}}^+$  consists of those elements m of the extended positive part  $\widetilde{\mathscr{Z}}^+$  such that the set  $\{\varphi \in \mathscr{Z}_*^+; m(\varphi) < +\infty\}$  is dense in  $\mathscr{Z}_*^+$ . Also, each element  $m \in \widetilde{\mathscr{Z}}^+$  is of the form

(4) 
$$m = z + \infty \cdot p \text{ with } z \in \widetilde{\mathcal{Z}}^+, p \in \mathcal{Z}, pz = 0.$$

Let  $\mathcal{M} \subset \mathcal{B}(\mathcal{H})$  be a von Neumann algebra. We say that an element  $m \in \overline{\mathcal{B}(\mathcal{H})}^+$  is affiliated to  $\mathcal{M}$  if  $u^*mu = m$  for all unitaries  $u \in \mathcal{M}'$ . Let  $e \in \mathcal{B}(\mathcal{H})$  and A be the projection and the positive self-adjoint operator in  $e\mathcal{H}$ , respectively, such that  $m = m_A$ . Then

(5) 
$$m = m_A$$
 is affiliated to  $\mathcal{M} \Leftrightarrow e \in \mathcal{M}$  and A is affiliated to  $e\mathcal{M}e$ .

Thus, there is a natural bijective correspondence between  $\overline{\mathcal{M}}^+$  and the elements in  $\overline{\mathcal{B}(\mathcal{H})}^+$  affiliated to  $\mathcal{M}$ .

11.4. Proposition. Let  $\mathcal{M}$  be a  $W^*$ -algebra. Every normal weight  $\varphi$  on  $\mathcal{M}$  has a unique extension to  $\overline{\mathcal{M}}^+$ , still denoted by  $\varphi$ , such that

(1) 
$$\varphi(m+n) = \varphi(m) + \varphi(n) \qquad (m, n \in \overline{\mathcal{M}}^+)$$

(2) 
$$\varphi(\lambda m) = \lambda \varphi(m) \quad (m \in \overline{\mathcal{M}}^+, \ \lambda > 0)$$

(3) 
$$m_i, m \in \overline{\mathcal{M}}^+, m_i \uparrow m \Rightarrow \varphi(m_i) \uparrow \varphi(m).$$

If  $\varphi \in \mathcal{M}^+$ , then

(4) 
$$\varphi(m) = m(\varphi) \quad (m \in \overline{\mathcal{M}}^+).$$

*Proof.* If  $\varphi \in \mathcal{M}_{\bullet}^+$ , define the extension of  $\varphi$  to  $\overline{\mathcal{M}}^+$  by (4); (1), (2), (3) then follow.

By Corollary 5.8, every normal weight  $\varphi$  on  $\mathcal{M}$  can be written  $\varphi = \sum_{i} \varphi_{i}$  with  $\varphi_{i} \in \mathcal{M}_{\bullet}^{+}$ , so that we can define  $\varphi(m) = \sum_{i} \varphi_{i}(m) \ (m \in \overline{\mathcal{M}}^{+})$ , and (1), (2), (3) again follow easily.

For any  $m \in \overline{\mathcal{M}}^+$  there exists an increasing sequence  $\{a_n\} \subset \overline{\mathcal{M}}^+$  such that  $a_n \uparrow m$  (see 11.3.(1)); condition (3) implies that  $\varphi(a_n) \uparrow \varphi(m)$ . This proves the uniqueness of the extension of  $\varphi$ .

11.5. Let  $\mathcal{M}$  be a  $W^*$ -algebra and  $\mathcal{N} \subset \mathcal{M}$  a  $W^*$ -subalgebra. An operator valued weight on  $\mathcal{M}$  with values in  $\mathcal{N}$ , or an  $\mathcal{N}$ -valued weight on  $\mathcal{M}$ , is a mapping  $E: \mathcal{M}^+ \to \overline{\mathcal{N}}^+$  with the properties:

(1) 
$$E(a+b) = E(a) + E(b) \qquad (a, b \in \mathcal{M}^+)$$

(2) 
$$E(\lambda a) = \lambda E(a) \quad (a \in \mathcal{M}^+, \ \lambda > 0)$$

(3) 
$$E(y^*ay) = y^*E(a) y \qquad (a \in \mathcal{M}^+, y \in \mathcal{N}).$$

Any weight  $\varphi$  on  $\mathscr{M}$  can be regarded as an operator valued weight  $\varphi: \mathscr{M}^+ \to \overline{(\mathbb{C} \cdot 1_\mathscr{M})^+}$ . Every conditional expectation (9.1)  $E: \mathscr{M} \to \mathscr{N}$  is an operator valued weight such that  $E(1_\mathscr{M}) = 1_\mathscr{N}$ . Conversely, every operator valued weight  $E: \mathscr{M}^+ \to \overline{\mathscr{N}}^+$  such that  $E(1_\mathscr{M}) = 1_\mathscr{N}$  can be extended by linearity to a conditional expectation  $E: \mathscr{M} \to \mathscr{N}$ .

For an operator valued weight  $E: \mathcal{M}^+ \to \overline{\mathcal{N}}^+$  consider the sets

$$\mathfrak{F}_E = \{a \in \mathcal{M}^+; E(a) \in \mathcal{N}^+\}, \ \mathfrak{N}_E = \{x \in \mathcal{M}; E(x^*x) \in \mathcal{N}^+\}, \ \mathfrak{M}_E = \mathfrak{N}_E^*\mathfrak{N}_E.$$

 $\mathfrak{F}_E$  is a face of  $\mathcal{M}^+$ ,  $\mathfrak{N}_E$  is a left ideal of  $\mathcal{M}$  and  $\mathfrak{M}_E$  is a facial subalgebra of  $\mathcal{M}$ :  $\mathfrak{M}_E = \lim \mathfrak{F}_E$ ,  $\mathfrak{M}_E \cap \mathcal{M}^+ = \mathfrak{F}_E$ . Consequently, E can be extended uniquely up to a linear mapping  $E: \mathfrak{M}_E \to \mathcal{N}$ . Also, it is easy to see that  $\mathfrak{N}_E$  and  $\mathfrak{M}_E$  are  $\mathcal{N}$ -bimodules, i.e.

$$\mathcal{N} \cdot \mathfrak{N}_{E} \cdot \mathcal{N} \subset \mathfrak{N}_{E}, \quad \mathcal{N} \cdot \mathfrak{M}_{E} \cdot \mathcal{N} \subset \mathfrak{M}_{E}$$

and

(5) 
$$E(y_1xy_2) = y_1E(x) y_2 (x \in \mathfrak{M}_E, y_1, y_2 \in \mathcal{N}).$$

In particular, it follows from (5) that

(6) 
$$E(\mathfrak{M}_{E})$$
 is a two sided ideal in  $\mathcal{N}$ .

The face  $\mathfrak{F}_E$  contains an increasing right approximate unit  $\{u_i\}_{i\in I}$  for the left ideal  $\mathfrak{N}_E$ , which is  $s^*$ -convergent to the unique projection  $e\in \mathcal{M}$  such that  $\overline{\mathfrak{N}}_E^w = \mathcal{M}e$  and  $\overline{\mathfrak{M}}_E^w = e\mathcal{M}e$ . If  $\mathcal{N}$  contains the unit element of  $\mathcal{M}$ , then from (4) it follows that  $e\in \mathcal{N}'\cap \mathcal{M}$ . We say that E is semifinite if e=1. On the other hand, we say that E is faithful if for  $x\in \mathcal{M}$ ,  $E(x^*x)=0\Rightarrow x=0$ .

Note that

(7) if E is semifinite and faithful, then 
$$\overline{E(\mathfrak{M}_E)}^{\mathbf{v}} = \mathcal{N}$$
.

Indeed, by (6) there exists a unique central projection q in  $\mathcal{N}$  such that  $\overline{E(\mathfrak{M}_E)}^{\vee} =$ 

 $=\mathcal{N}q$ . Assume that  $q \neq 1$ . Since E is semifinite, there exists  $x \in \mathfrak{N}_E$  with  $x(1-q) \neq 0$ , hence  $0 \neq (1-q) x^*x(1-q) \in \mathfrak{M}_E$ . Since E is faithful, it follows that  $0 \neq E((1-q) x^*x(1-q)) = (1-q) E(x^*x) (1-q) \in \mathcal{N}(1-q)$ , contradicting  $E(\mathfrak{M}_E) \subset \mathcal{N}q$ .

We say that E is normal if  $E(a_i) \uparrow E(a)$  whenever  $a_i$ ,  $a \in \mathcal{M}^+$  and  $a_i \uparrow a$ . In this case there exists a unique projection  $s(E) \in \mathcal{N}' \cap \mathcal{M}$ , called the support of E, such that for  $x \in \mathcal{M}$  we have  $E(x^*x) = 0$  if and only if xs(E) = 0. Moreover, E is faithful if and only if s(E) = 1.

If E is normal, then, by Proposition 11.4, for every  $\psi \in \mathcal{N}_{*}^{+}$  we get a normal weight  $\psi \circ E$  on  $\mathcal{M}$ . Again by Proposition 11.4, for each  $m \in \overline{\mathcal{M}}^{+}$  we can define an element  $E(m) \in \overline{\mathcal{N}}^{+}$  such that  $E(m) (\psi) = (\psi \circ E) (m)$ ,  $(\psi \in \mathcal{N}_{*}^{+})$ . We thus get a unique extension of E to a normal, additive and positively homogeneous mapping  $E: \overline{\mathcal{M}}^{+} \to \overline{\mathcal{N}}^{+}$ .

Note that

(8) if E is normal semifinite and faithful, then 
$$E(\overline{\mathcal{M}}^+) = \overline{\mathcal{N}}^+$$
.

Indeed, consider first  $b \in \mathcal{N}^+ \cap E(\mathfrak{M}_E)$ . Then b is the image of a hermitian element of  $\mathfrak{M}_E$ , and there exist  $a, a' \in \mathfrak{M}_E \cap \mathcal{M}^+$  such that  $b = E(a) - E(a') \leq E(a)$ . By ([L], E.2.6) there exists  $y \in \mathcal{N}$  such that  $b = y^*E(a)$   $y = E(y^*ay)$ , hence  $b \in E(\mathcal{M}^+)$ .

Consider now  $b \in \mathcal{N}^+$  and let  $\{v_i\}$  be an increasing approximate unit for the w-dense two sided ideal  $E(\mathfrak{M}_E)$  of  $\mathcal{N}$  (see (6), (7)). Since  $b \geqslant b^{1/2} v_i b^{1/2} \uparrow b$ , it follows that there exists a family  $\{b_j\} \subset E(\mathfrak{M}_E) \cap \mathcal{N}^+$  such that  $b = \sum_j b_j$ . For each j there exists  $a_j \in \mathcal{M}^+$  with  $E(a_j) = b_j$ . Hence  $a = \sum_i a_j \in \overline{\mathcal{M}}^+$  and E(a) = b.

Finally, any element  $b \in \overline{\mathcal{N}}^+$  is a sum of elements in  $\mathcal{N}^+$  (11.3.(1)), and the same argument as above shows that  $b \in E(\overline{\mathcal{M}}^+)$ .

The set of all normal semifinite faithful  $\mathcal{N}$ -valued weights on  $\mathcal{M}$  will be denoted by  $P(\mathcal{M}, \mathcal{N})$ .

An  $\mathcal{N}$ -valued weight E on  $\mathcal{M}$  such that  $E(x^*x) = E(xx^*)$  for all  $x \in \mathcal{M}$  will be called an *operator valued trace*. In this case  $\mathfrak{N}_E$  and  $\mathfrak{M}_E$  are two sided ideals of  $\mathcal{M}$ , E(ab) = E(ba) for  $a, b \in \mathfrak{N}_E$  and E(xa) = E(ax) for  $a \in \mathfrak{M}_E$ ,  $x \in \mathcal{M}$ .

**11.6. Proposition.** Let  $\mathcal{M}$  be a  $W^*$ -algebra,  $Q \subset \mathcal{N}$   $W^*$ -subalgebras of  $\mathcal{M}$  and  $E \in P(\mathcal{M}, \mathcal{N}), F \in P(\mathcal{N}, Q)$ . Then  $F \circ E \in P(\mathcal{M}, Q)$ .

*Proof.* Clearly,  $F \circ E$  is a normal and faithful operator valued weight. Let  $x \in \mathfrak{N}_E$  and let  $\{v_j\}_{j \in J} \subset \mathfrak{N}_F \subset \mathcal{N}$  be such that  $0 \leq v_j \uparrow 1$ . Then  $(F \circ E) (v_j^* x^* x v_j) = F(v_j^* E(x^* x) v_j) \leq ||E(x^* x)|| F(v_j^* v_j) < +\infty$ , so that  $xv_j \in \mathfrak{N}_{F \circ E}$  and  $xv_j \stackrel{w}{\to} x$ . Thus,  $\mathfrak{N}_{F \circ E}$  is w-dense in  $\mathfrak{N}_E$  which is w-dense in  $\mathscr{M}$ . Consequently,  $F \circ E$  is also semifinite.

It is easy to check that

(1) 
$$(F \cdot E)(x) = F(E(x)) \text{ for all } x \in \lim (\mathfrak{M}_E \cap \mathfrak{M}_{F \cdot E} \cap \mathcal{M}^+).$$

In particular, if  $E \in P(\mathcal{M}, \mathcal{N})$  and  $\psi$  is an n.s.f. weight on  $\mathcal{N}$ , then  $\psi \circ E$  is an n.s.f. weight on  $\mathcal{M}$  and

(2) 
$$(\psi \circ E)(x) = \psi(E(x)) \text{ for all } x \in \lim (\mathfrak{M}_E \cap \mathfrak{M}_{\psi \circ E} \cap \mathscr{M}^+).$$

11.7. Conversely,

**Proposition.** Let  $E: \mathcal{M}^+ \to \overline{\mathcal{N}}^+$  be a normal operator valued weight. If there exists a faithful normal weight  $\psi$  on  $\mathcal{N}$  such that the normal weight  $\varphi = \psi \circ E$  on  $\mathcal{M}$  is faithful and semifinite, then E is faithful and semifinite.

Proof. Indeed, for  $x \in \mathcal{M}$  we have  $E(x^*x) = 0 \Rightarrow \varphi(x^*x) = 0 \Rightarrow x = 0$ ; hence E is faithful. Let  $a \in \mathfrak{M}_{\varphi} \cap \mathcal{M}^+$  and let  $\{b_n\}$  be a family of elements in  $\mathcal{N}^+$  with mutually orthogonal supports  $f_n = \mathbf{s}_{\mathcal{N}}(b_n)$  and  $f \geqslant \sum_n f_n$  such that  $E(a) = \sum_n b_n + \infty \cdot (1-f)$  (see 11.3. (1)). Then  $\psi(E(a)) = \varphi(a) < +\infty$ , hence f = 1. Putting  $e_k = 1 - \sum_{n=k+1}^{\infty} f_n \in \mathcal{N}$ , we have  $e_k \uparrow 1$ , hence  $e_k x e_k \xrightarrow{w} x$ ; also  $e_k x e_k \in \mathfrak{N}_E$ , as  $E(e_k x e_k) = e_k E(x) e_k = \sum_{n=1}^k b_n \in \mathcal{N}^+$ . Consequently  $\mathfrak{N}_E$  is w-dense in  $\mathfrak{N}_{\varphi}$ , which is w-dense in  $\mathcal{M}_{\varphi}$ , i.e. E is semifinite.

11.8. Let  $E: \mathcal{M}^+ \to \overline{\mathcal{N}}^+$  be an n.s.f. operator valued weight,  $\mathcal{F}_2 = Mat_2(\mathbb{C})$  a factor of type  $I_2$  and  $\iota: \mathcal{F}_2 \to \mathcal{F}_2$  the identity mapping on  $\mathcal{F}_2$ . Consider the tensor product linear mapping

$$\widetilde{E} = E \otimes \iota : \mathfrak{M}_F \otimes \mathcal{F}_2 \to \mathcal{N} \otimes \mathcal{F}_2.$$

Using the polarization relation it is easy to check that  $\mathfrak{M}_E \otimes \mathcal{F}_2 = = (\mathfrak{N}_E \otimes \mathcal{F}_2)^* (\mathfrak{N}_E \otimes \mathcal{F}_2)$  is a \*-subalgebra of  $\mathcal{M} \otimes \mathcal{F}_2$ , linearly spanned by its positive elements. Also,  $\mathfrak{M}_E \otimes \mathcal{F}_2$  is an  $(\mathcal{N} \otimes \mathcal{F}_2)$ -bimodule and we have

(1) 
$$\widetilde{E}(y_1xy_2) = y_1\widetilde{E}(x)y_2 \quad (x \in \mathfrak{M}_E \otimes \mathcal{F}_2, \ y_1, y_2 \in \mathcal{N} \otimes \mathcal{F}_2).$$

Note that

(2) 
$$x \in \mathfrak{M}_{E} \otimes \mathcal{F}_{2}, x > 0 \Rightarrow \widetilde{E}(x) > 0.$$

Indeed, let  $\psi \in \mathcal{N}_{\bullet}^+$ ,  $\theta = \psi \otimes tr \in (\mathcal{N} \otimes \mathcal{F}_2)_{\bullet}^+$  and  $\tilde{\theta} = (\psi \cdot E) \otimes tr$  be a normal semifinite weight on  $\mathcal{M} \otimes \mathcal{F}_2$ . We have  $\mathfrak{M}_E \otimes \mathcal{F}_2 \subset \mathfrak{M}_{\tilde{\theta}}$  and  $\tilde{\theta}(x) = \theta(\tilde{E}(x))$  for all  $x \in \mathfrak{M}_E \otimes \mathcal{F}_2$ . For every  $y \in \mathcal{N} \otimes \mathcal{F}_2$  we have  $(\pi_{\theta}(\tilde{E}(x))y\xi_{\theta}|y\xi_{\theta})_{\theta} = \theta(y^*\tilde{E}(x)y) = \theta(\tilde{E}(y^*xy)) = \tilde{\theta}(y^*xy) > 0$ , hence  $\pi_{\theta}(\tilde{E}(x)) > 0$ . Since  $\psi \in \mathcal{N}_{\bullet}^+$  was arbitrary, it follows that  $\tilde{E}(x) > 0$ .

**Lemma.** Let  $E: \mathcal{M}^+ \to \overline{\mathcal{N}}^+$  be an n.s.f. operator valued weight, let  $\varphi$  and  $\psi$  be n.s.f. weights on  $\mathcal{N}$  and let  $\widetilde{\varphi} = \varphi \circ E$ ,  $\widetilde{\psi} = \psi \circ E$ . Then

$$x \in (\mathfrak{N}_{\widetilde{\varphi}} \cap \mathfrak{N}_{E})^{*} (\mathfrak{N}_{\widetilde{\psi}} \cap \mathfrak{N}_{E}) \Rightarrow E(x) \in \mathfrak{N}_{\varpi}^{*} \mathfrak{N}_{\psi}.$$

Proof. We may assume that  $x = a^*b$  with  $a \in \mathfrak{N}_{\widetilde{\varphi}} \cap \mathfrak{N}_E$ ,  $b \in \mathfrak{N}_{\widetilde{\varphi}} \cap \mathfrak{N}_E$ . Then  $0 \le \widetilde{x} = \begin{pmatrix} a & b \\ 0 & 0 \end{pmatrix}^* \begin{pmatrix} a & b \\ 0 & 0 \end{pmatrix} = \begin{pmatrix} a^*a & x \\ x^* & b^*b \end{pmatrix} \in \mathfrak{M}_E \otimes \mathscr{F}_2$  and hence, by (2),

$$\tilde{E}(\tilde{x}) = \begin{pmatrix} E(a^*a) & E(x) \\ E(x^*) & E(b^*b) \end{pmatrix} \geqslant 0.$$

Let  $\theta = \theta(\varphi, \psi)$  be the balanced weight on  $\mathscr{N} \otimes \mathscr{F}_2$ . Then  $\theta(\tilde{E}(\tilde{x})) = \varphi(E(a^*a)) + \psi(E(b^*b)) = \tilde{\varphi}(a^*a) + \tilde{\psi}(b^*b) < +\infty$ , hence  $\tilde{E}(\tilde{x}) \in \mathfrak{M}_{\theta}$  and consequently (see 3.1. (3))  $E(x) \in \mathfrak{N}_{\theta}^*\mathfrak{N}_{\psi}$ .

11.9. Theorem. (U. Haagerup). Let  $E: \mathcal{M}^+ \to \overline{\mathcal{N}}^+$  be an n.s.f. operator valued weight and  $\varphi$ ,  $\psi$  n.s.f. weights on  $\mathcal{N}$ . Then

(1) 
$$\sigma_t^{\psi \circ E, \, \varphi \circ E}(y) = \sigma_t^{\psi, \, \varphi}(y) \qquad (y \in \mathcal{N}, \, t \in \mathbb{R})$$

that is

(2) 
$$\sigma_t^{\varphi \cdot E}(y) = \sigma_t^{\varphi}(y) \qquad (y \in \mathcal{N}, \ t \in \mathbb{R})$$

$$[D(\psi \circ E): D(\varphi \circ E)]_t = [D\psi: D\varphi]_t \qquad (t \in \mathbb{R}).$$

In particular, it follows from this statement that  $\mathcal{N}$  is  $\sigma^{\varphi \circ E}$ -invariant and  $[D(\psi \circ E): D(\varphi \circ E)]_t \in \mathcal{N}$   $(t \in \mathbb{R})$ .

The proof of the Theorem will be given in Section 11.12. In Sections 11.10 and 11.11 we consider properties of  $s^*$ -continuous one-parameter groups of isometries on  $W^*$ -algebras which are necessary for the proof.

Note that if  $E(1_{\mathcal{A}}) = 1_{\mathcal{X}}$ , then the Theorem follows from Corollary 10.5.

11.10. Let  $\{\sigma_i\}_{i\in\mathbb{R}}$  be an  $s^*$ -continuous one-parameter group of isometries on the  $W^*$ -algebra  $\mathcal{M}$ . Define the analytic extensions  $\sigma_a$ ,  $(\alpha \in \mathbb{C})$  as in Sections 3.12 and 2.14.

We say that an element  $a \in \mathcal{M}$  is of exponential type with respect to  $\{\sigma_t\}$  if  $a \in D(\sigma_a)$  for all  $\alpha \in \mathbb{C}$  and there exist two constants  $\gamma$ ,  $\delta > 0$  such that  $\|\sigma_a(a)\| \le \gamma \exp(-\delta |\operatorname{Im} \alpha|) (\alpha \in \mathbb{C})$ . The set of all elements in  $\mathcal{M}$  of exponential type will be denoted by  $\mathcal{M}_{exp}^{\sigma}$ . Note that for  $a \in \mathcal{M}$  we have

(1) 
$$a \in \mathcal{M}_{exp}^{\sigma} \Leftrightarrow a \in D((\sigma_{-1})^n) \text{ for all } n \in \mathbb{Z} \text{ and there exist}$$
  
 $\gamma, \delta > 0 \text{ such that } \|(\sigma_{-1})^n(a)\| \leq \gamma \exp(-\delta |n|) \quad (n \in \mathbb{Z}).$ 

Indeed, the implication  $(\Rightarrow)$  is obvious. Note that  $(\sigma_{-1})^n = \sigma_{-n1}$   $(n \in \mathbb{Z})$ , and  $\|\sigma_{t+1}(a)\| = \|\sigma_{1}(a)\| = \sup_{t \in \mathbb{R}} \|\sigma_{t+1}(a)\| (s, t \in \mathbb{R})$ , since all the  $\sigma_{t}(t \in \mathbb{R})$  are isometries. Thus the converse implication  $(\Leftarrow)$  follows using the "three lines theorem" ([79], VI.10.3).

Lemma.  $\mathcal{M}_{exp}^{\sigma}$  is w-dense in  $\mathcal{M}$ .

*Proof.* For each  $\lambda > 0$  consider the entire analytic function  $F_{\lambda}(\alpha) = (1 - \cos \lambda \alpha)/\pi \lambda \alpha^2$ ,  $(\alpha \in \mathbb{C})$ . It is well known that

$$\int_{-\infty}^{+\infty} F_{\lambda}(t) dt = 1$$

and that for any bounded continuous function  $f: \mathbb{R} \to \mathbb{R}$  we have

(3) 
$$\lim_{\lambda \to +\infty} \int_{-\infty}^{+\infty} F_{\lambda}(t) f(t) dt = f(0).$$

We shall show that

(4) 
$$\int_{-\infty}^{+\infty} |F_{\lambda}(t+is)| dt \leq \exp(\lambda |s|); \quad s \in \mathbb{R}.$$

To this end we consider the functions  $f_{\lambda}$  defined by

$$f_{\lambda}(r) = \begin{cases} \lambda^{-1/2} & \text{if } |r| \leq \lambda/2\\ 0 & \text{if } |r| > \lambda/2 \end{cases}$$

and their Fourier-Laplace transforms

$$\hat{f}_{\lambda}(\alpha) = (2\pi)^{-1/2} \int_{-\infty}^{+\infty} f_{\lambda}(r) e^{-i\alpha r} dr = (2/\pi \lambda \alpha)^{-1/2} \sin(\lambda r/2).$$

Since

$$\hat{f}_{\lambda}(\alpha)^{2} = F_{\lambda}(\alpha) \text{ and } \hat{f}_{\lambda}(t+is) = (2\pi)^{-1/2} \int_{-\infty}^{+\infty} f_{\lambda}(r) e^{ir} e^{-itr} dr,$$

we get using the Plancherel formula

$$\int_{-\infty}^{+\infty} |F_{\lambda}(t+\mathrm{i}s)| \mathrm{d}t = \int_{+\infty}^{-\infty} |\hat{f}_{\lambda}(t+\mathrm{i}s)|^2 \, \mathrm{d}t = \int_{-\infty}^{+\infty} |f_{\lambda}(r)e^{sr}|^2 \, \mathrm{d}r$$
$$= \lambda^{-1} \int_{-\lambda/2}^{+\lambda/2} e^{2sr} \mathrm{d}r \leqslant e^{\lambda|s|}.$$

Consider now  $a \in \mathcal{M}$  and  $a_{\lambda} = \int_{-\infty}^{+\infty} F_{\lambda}(r)\sigma_{r}(a)dr$ ,  $(\lambda > 0)$ . We shall show that

 $a_{\lambda} \in \mathcal{M}_{exp}^{\sigma}$  and  $a_{\lambda} \stackrel{w}{\to} a$  when  $\lambda \to +\infty$ . Indeed, it is easy to check that  $a_{\lambda}$  is an entire analytic element and  $\sigma_{\alpha}(a_{\lambda}) =$ 

$$= \int_{-\infty}^{\infty} F_{\lambda}(r-\alpha) \, \sigma_{r}(a) \, dr \, (\alpha \in \mathbb{C}). \quad \text{Moreover, using (4) we obtain } \|\sigma_{\alpha}(a_{\lambda})\| \leq$$

 $\leq ||a|| \exp(\lambda |\operatorname{Im} \alpha|)$ , hence  $a_{\lambda} \in \mathcal{M}_{exp}^{\sigma}$ . On the other hand, using (2) and (3), we get, for every  $\varphi \in \mathcal{M}_{\bullet}$ ,

$$\varphi(a_{\lambda}-a)=\int_{-\infty}^{+\infty}F_{\lambda}(r)\varphi(\sigma_{r}(a)-a)\mathrm{d}r\to 0$$

hence  $a_{\lambda} \stackrel{w}{\to} a$  when  $\lambda \to +\infty$ .

11.11. Proposition. Let  $\mathcal{M}$  be a W\*-algebra,  $\mathcal{N} \subset \mathcal{M}$  a W\*-subalgebra and  $\{\sigma_i\}_{i \in \mathbb{R}}$ ,  $\{\tau_i\}_{i\in\mathbb{R}}$  s\*-continuous one-parameter groups of isometries on  $\mathcal{M}, \mathcal{N}$  respectively. If

$$b \in D(\tau_{-i}) \subset \mathcal{N} \Rightarrow b \in D(\sigma_{-i})$$
 and  $\sigma_{-i}(b) = \tau_{-i}(b)$ 

then

$$\sigma_t(y) = \tau_t(y)$$
  $(y \in \mathcal{N}, t \in \mathbb{R}).$ 

*Proof.* By assumption and by 11.10. (1) it follows that  $\mathcal{N}_{exp}^{r} \subset \mathcal{M}_{exp}^{\sigma}$ . For  $b \in \mathcal{N}_{exp}^{\tau}$  we have  $\sigma_{-ni}(b) = \tau_{-ni}(b)$   $(n \in \mathbb{Z})$ , and there exist  $\gamma$ ,  $\delta > 0$  such that  $\|\sigma_n(b) - \tau_n(b)\| \le \gamma \exp(\delta |\operatorname{Im}\alpha|)$   $(\alpha \in \mathbb{C})$ . By a theorem of F. Carlson ([189], part 3, chapter 6, problem 328) it follows that  $\sigma_a(b) = \tau_a(b) \, (\alpha \in \mathbb{C})$ . Thus,  $\sigma_i(b) = \tau_i(b)$  for all  $b \in \mathcal{N}_{exp}^{\tau}$ ,  $t \in \mathbb{R}$ . Since the isometries  $\sigma_i$  and  $\tau_i$  are automatically w-continuous and since, by Lemma 11.10,  $\mathcal{N}_{exp}^{\tau}$  is w-dense in  $\mathcal{N}$ , we conclude that  $\sigma_i(y) = \tau_i(y)$  for  $y \in \mathcal{N}$ ,  $t \in \mathbb{R}$ .

11.12. Proof of Theorem 11.9. Let  $\tilde{\varphi} = \varphi \cdot E$ ,  $\tilde{\psi} = \psi \cdot E$  be n.s.f. weights on  $\mathcal{M}$ . By Proposition 11.11, we have to show that, if

(1) 
$$a \in D(\sigma_{-i}^{\mathbf{w}, \mathbf{o}}) \subset \mathcal{N} \text{ and } b = \sigma_{-i}^{\mathbf{w}, \mathbf{o}}(a) \in \mathcal{N},$$

then

(2) 
$$a \in D(\sigma_{-i}^{\widetilde{\psi},\widetilde{\phi}}) \text{ and } b = \sigma_{-i}^{\widetilde{\psi},\widetilde{\phi}}(a).$$

By Theorem 3.15, this amounts to showing that

(3) 
$$a\mathfrak{N}_{\tilde{\sigma}}^* \subset \mathfrak{N}_{\tilde{\nu}}^*, \ \mathfrak{N}_{\tilde{\nu}}b \subset \mathfrak{N}_{\tilde{\sigma}}$$

(4) 
$$\widetilde{\psi}(ax) = \widetilde{\varphi}(xb) \text{ for all } x \in \mathfrak{N}_{\widetilde{\alpha}}^* \mathfrak{N}_{\widetilde{\alpha}}.$$

From (1) it follows that  $a \in D(\sigma_{-1/2}^{\psi,\phi})$  and  $b^* \in D(\sigma_{-1/2}^{\phi,\psi})$  (see 3.12. (4)). Using Proposition 3.12 we infer that there exists  $\lambda > 0$  such that  $\psi(aya^*) \leq \lambda^2 \varphi(y)$  and  $\varphi(b^*yb) \leq \lambda^2 \psi(y)$  for all  $y \in \mathcal{N}^+$ . These inequalities remain valid for any  $y \in \overline{\mathcal{N}}^+$  and hence we get  $\widetilde{\psi}(axa^*) \leq \lambda^2 \widetilde{\varphi}(x)$  and  $\widetilde{\varphi}(b^*xb) \leq \lambda^2 \widetilde{\psi}(x)$  for all  $x \in \mathcal{M}^+$ . By Proposition 3.12 again, we obtain the required inclusions (3) as well as the inequalities

$$||(xa^*)_{\overline{a}}||_{\overline{a}} \leqslant \lambda ||x_{\overline{a}}||_{\overline{a}} \qquad (x \in \mathfrak{N}_{\overline{a}})$$

(6) 
$$\|(xb)z\|_{z} \leq \lambda \|xz\|_{z} \quad (x \in \mathfrak{N}z).$$

We now prove (4) in the particular case

$$x = y^*z$$
 with  $y \in \mathfrak{N}_x \cap \mathfrak{N}_E$ ,  $z \in \mathfrak{N}_x \cap \mathfrak{N}_E$ .

Using the first inclusion in (3) and the fact that  $\mathfrak{N}_E$  is a right  $\mathscr{N}$ -module, we obtain  $ax = (ya^*)^*z \in (\mathfrak{N}_{\varphi} \cap \mathfrak{N}_E)^*$   $(\mathfrak{N}_{\varphi} \cap \mathfrak{N}_E) \subset lin$   $(\mathfrak{M}_E \cap \mathfrak{M}_{\varphi} \cap \mathscr{M}^+)$  and, similarly,  $xb \in lin$   $(\mathfrak{M}_E \cap \mathfrak{M}_{\varphi} \cap \mathscr{M}^+)$ . We have  $x \in \mathfrak{M}_E$  and, by Lemma 11.7,  $E(x) \in \mathfrak{N}_{\varphi}^*\mathfrak{N}_{\psi}$ . Using 11.6.(2), assumption (1) and Theorem 3.15, we get  $\widetilde{\psi}(ax) = \psi(E(ax)) = \psi(aE(x)) = \varphi(E(xb)) = \varphi(E(xb)) = \widetilde{\varphi}(xb)$ .

Finally, we consider the general case:

$$x = y^*z$$
 with  $y \in \mathfrak{N}_{\tilde{\varphi}}$ ,  $z \in \mathfrak{N}_{\tilde{\varphi}}$ .

Since  $\varphi(E(y^*y)) < +\infty$  and  $\varphi$  is faithful, from 11.3 and 11.2.(7) we infer that  $E(y^*y)$  has a spectral decomposition of the form  $E(y^*y) = \int_0^\infty t \, de_t$ . For any t > 0

we have

$$ye_t \in \mathfrak{N}_{\widetilde{\omega}} \cap \mathfrak{N}_{E}$$

and for  $t \to +\infty$  we get

(8) 
$$\|(ye_t - y)_{\widetilde{\varphi}}\|_{\widetilde{\varphi}}^2 = \varphi(E((ye_t - y)^*(ye_t - y)) =$$

$$= \varphi((1 - e_t)E(y^*y)(1 - e_t)) = \varphi\left(\int_{\widetilde{\varphi}}^{\infty} s \, de_s\right) \to 0$$

so that, using (5), we further deduce

(9) 
$$(ye_i a^*)_{\widetilde{\psi}} \to (ya^*)_{\widetilde{\psi}} \text{ in } \mathcal{H}_{\widetilde{\psi}}.$$

Similarly, we have a spectral decomposition  $E(z^*z) = \int_0^\infty t \, df_t$  such that, for any

$$t \geqslant 0$$

$$zf_t \in \mathfrak{N}_{\widetilde{w}} \cap \mathfrak{N}_E$$

and, for  $t \to +\infty$ ,

(11) 
$$(zf_t)_{\widetilde{w}} \to z_{\widetilde{w}} \text{ in } \mathscr{H}_{\widetilde{w}},$$

$$(2f_t b)_{\widetilde{\varphi}} \to (zb)_{\widetilde{\varphi}} \text{ in } \mathscr{H}_{\widetilde{\varphi}}.$$

Using (7)—(12) and the particular case of statement (4) proved above, we conclude that

$$\begin{split} \widetilde{\psi}(ax) &= (z_{\widetilde{\psi}} \mid (ya^*)_{\widetilde{\psi}})_{\widetilde{\psi}} = \lim_{t \to \infty} ((zf_t)_{\widetilde{\psi}} \mid (ye_ta^*)_{\widetilde{\psi}})_{\widetilde{\psi}} \\ &= \lim_{t \to \infty} \widetilde{\psi}(a(ye_t)^* (zf_t)) = \lim_{t \to \infty} \ \widetilde{\varphi} ((ye_t)^* (zf_t)b) \\ &= \lim_{t \to \infty} ((zf_tb)_{\widetilde{\psi}} \mid (ye_t)_{\widetilde{\psi}})_{\widetilde{\psi}} = ((zb)_{\widetilde{\psi}} \mid y_{\widetilde{\psi}})_{\widetilde{\psi}} = \widetilde{\varphi}(xb), \end{split}$$

and this completes the proof of Theorem 11.9.

11.13. Corollary. Let  $E_1$ ,  $E_2$ :  $\mathcal{M}^+ \to \overline{\mathcal{N}}^+$  be n.s.f. operator valued weights. If there exists an n.s.f. weight  $\varphi$  on  $\mathcal{N}$  such that  $\varphi \cdot E_1 = \varphi \cdot E_2$ , then  $E_1 = E_2$ .

*Proof.* Let  $\psi$  be another n.s.f. weight on  $\mathcal{N}$ . By Theorem 11.9 we have  $[D(\psi \cdot E_1): D(\varphi \cdot E_1)]_t = [D\psi: D\varphi]_t = [D(\psi \cdot E_2): D(\varphi \cdot E_2)]_t (t \in \mathbb{R})$ , so that,

using Corollary 3.6, it follows from the assumption  $\varphi \cdot E_1 = \varphi \cdot E_2$  that  $\psi \circ E_1 = \psi \circ E_2.$ 

Now let  $\omega \in \mathcal{N}^{\pm}$  and  $e = s_{\mathcal{N}}(\omega)$ . There exists an n.s.f. weight  $\psi$  on  $\mathcal{N}$  such that  $\omega(y) = \psi(eye)$  for all  $y \in \mathcal{N}^+$  and this identity extends to all  $y \in \overline{\mathcal{N}}^+$ . Thus, for  $x \in \mathcal{M}^+$  we have  $E_1(x)(\omega) = \omega(E_1(x)) = \psi(E_1(exe)) = \psi(E_2(exe)) = \omega(E_2(x)) = \omega(E_2(exe))$  $=E_2(x)$  ( $\omega$ ). Since  $\omega \in \mathcal{N}_{\pm}^+$  was arbitrary, it follows that  $E_1(x)=E_2(x)$   $(x \in \mathcal{M}^+)$ .

Note that if  $\varphi_1$ ,  $\varphi_2$  are n.s.f. weights on  $\mathcal{N}$ , then

$$[D(\varphi_1 \circ E_1): D(\varphi_2 \circ E_2)]_t = [D\varphi_1: D\varphi_2]_t \ (t \in \mathbb{R}) \Rightarrow E_1 = E_2.$$

Indeed, using 11.9. (3), we have by assumption  $[D(\varphi_1 \circ E_1): D(\varphi_2 \circ E_2)]_t = [D(\varphi_1 \circ E_2): D(\varphi_2 \circ E_2)]_t$  ( $t \in \mathbb{R}$ ), so that  $\varphi_1 \circ E_1 = \varphi_1 \circ E_2$  by Corollary 3.6 and hence  $E_1 = E_2$ .

Arguing as in the second part of the proof of the above Corollary we also see that

(2) 
$$E_1 \leqslant E_2 \Leftrightarrow \varphi \cdot E_1 \leqslant \varphi \cdot E_2 \text{ for all } \varphi \in W_{nsf}(\mathcal{N}).$$

11.14. Every normal positive linear mapping between  $W^*$ -algebras  $\Phi: \mathcal{M} \to \mathcal{N}$ can be extended to a normal, additive and positively homogeneous mapping  $\Phi: \overline{\mathscr{M}}^+ \to \overline{\mathscr{N}}^+$  by

$$\Phi(m)(\psi) = m(\psi \cdot \Phi) \qquad (\psi \in \mathcal{N}_{\bullet}^+, \ m \in \overline{\mathcal{M}}^+).$$

In particular, every \*-automorphism  $\sigma$  of  $\mathcal{M}$  can be extended to  $\overline{\mathcal{M}}$  \*. Note that

(1) 
$$(\overline{\mathcal{M}}^{\sigma})^{+} = \{ m \in \overline{\mathcal{M}}^{+}; \sigma(m) = m \}.$$

Indeed, it is clear that  $(\overline{\mathcal{M}}^{\sigma})^+ \subset \{m \in \overline{\mathcal{M}}^+; \sigma(m) = m\}$ . Conversely, let  $m \in \overline{\mathcal{M}}^+$ , with  $\sigma(m) = m$ , have spectral decomposition

$$m = \int_{0}^{\infty} \lambda \, de_{\lambda} + \infty \cdot (1 - e); \text{ then } \sigma(m) = \int_{0}^{\infty} \lambda \, d\sigma(e_{\lambda}) + \infty \cdot \sigma(1 - e).$$

Since  $\sigma(m) = m$ , it follows that  $e_{\lambda}$ ,  $e \in \mathcal{M}^{\sigma}$ , hence  $m \in (\overline{\mathcal{M}^{\sigma}})^{+}$ .

Corollary. Let  $E: \mathcal{M}^+ \to \overline{\mathcal{N}}^+$  be an n.s.f. operator valued weight and  $\varphi$  an n.s.f. weight on N. Then

(2) 
$$E(\sigma_i^{\bullet,E}(x)) = \sigma_i^{\bullet}(E(x)) \qquad (x \in \mathcal{M}^+, \ t \in \mathbb{R}).$$

In particular,

In particular,
$$\sigma_{t}^{\bullet,E}(\mathfrak{M}_{E}) = \mathfrak{M}_{E} \qquad (t \in \mathbb{R}).$$

*Proof.* For each  $t \in \mathbb{R}$  consider the mapping

$$E_t = \sigma^{\varphi}_{-t} \circ E \circ \sigma^{\varphi \circ E}_t \colon \mathscr{M}^+ \to \overline{\mathscr{N}}^+.$$

It is easy to check that  $E_t$  is an n.s.f. operator valued weight; condition 11.5.(3) follows using Theorem 11.9. Since  $\varphi \circ E_t = \varphi \circ E \circ \sigma_t^{\varphi \circ E} = \varphi \circ E$ , using Corollary 11.13 we infer that  $E_t = E_t$ , the desired conclusion.

11.15. Let  $E:\mathcal{M}^+\to\mathcal{N}^+$  be an n.s.f. operator valued weight and  $\varphi$ ,  $\psi$  n.s.f. weights on  $\mathcal{N}$ .

By 11.9.(2) we have  $\sigma_i^{\varphi \cdot E}(\mathcal{N}) = \sigma_i^{\varphi}(\mathcal{N}) = \mathcal{N}$ , so that

(1) 
$$\sigma_{t}^{\varphi \cdot E}(\mathcal{N}' \cap \mathcal{M}) = \mathcal{N}' \cap \mathcal{M} \quad (t \in \mathbb{R}).$$

Since  $[D(\psi \circ E): D(\varphi \circ E)]_t = [D\psi:D\varphi]_t \in \mathcal{N}$ , for any  $z \in \mathcal{N}' \cap \mathcal{M}$  we have  $\sigma_t^{\varphi \circ E}(z) \in \mathcal{N}' \cap \mathcal{M}$ , hence

$$\sigma_t^{\psi \cdot E}(z) = [D(\psi \circ E): D(\psi \circ E)]_t \sigma_t^{\phi \cdot E}(z) [D(\psi \circ E): D(\phi \circ E)]_t^* = \sigma_t^{\phi \cdot E}(z).$$

Thus,  $\sigma_i^{\varphi \cdot E} | \mathcal{N}' \cap \mathcal{M}$  does not depend on the n.s.f. weight  $\varphi$  on  $\mathcal{N}$ , so that we can define

(2) 
$$\sigma_i^E = \sigma_i^{\varphi \circ E} | \mathcal{N}' \cap \mathcal{M} \qquad (t \in \mathbb{R}),$$

by choosing an arbitrary n.s.f. weight  $\varphi$  on  $\mathcal{N}$ .

The one-parameter group  $\{\sigma_t^E\}_{t\in\mathbb{R}}\subset Aut(\mathcal{N}'\cap\mathcal{M})$  is called the modular automorphism group associated with the operator valued weight  $E:\mathcal{M}^+\to\overline{\mathcal{N}}^+$ .

Consider now two n.s.f. operator valued weights  $E, F: \mathcal{M}^+ \to \overline{\mathcal{N}}^+$  and two n.s.f. weights  $\varphi$ ,  $\psi$  on  $\mathcal{N}$ .

We define an n.s.f. operator valued weight  $\Theta = \Theta(E, F)$ ,

$$\Theta: Mat_2(\mathcal{M})^+ \to (\overline{\mathcal{N} \otimes 1})^+$$

by (compare with 3.1)

$$\Theta\left(\begin{pmatrix} x_{11} & x_{12} \\ x_{21} & x_{22} \end{pmatrix}\right) = \begin{pmatrix} E(x_{11}) + F(x_{22}) & 0 \\ 0 & E(x_{11}) + F(x_{22}) \end{pmatrix} \qquad ([x_{ij}] \in Mat_2(\mathcal{M})^+$$

Consider also the weight  $\tilde{\varphi} = \varphi \otimes 1$  on  $\mathcal{N} \otimes 1$  and the balanced weight  $\theta = \theta(\varphi \cdot E, \varphi \cdot F)$  on  $Mat_2(\mathcal{M})$ . It is easy to check that  $\tilde{\varphi} \cdot \Theta = \theta$  and  $(\mathcal{N} \otimes 1)' \cap Mat_2(\mathcal{M}) = Mat_2(\mathcal{N}' \cap \mathcal{M})$ . By applying (1) in this case we get

$$\begin{pmatrix}0&0\\[D(\varphi \cdot F):D(\varphi \cdot E)]_t&0\end{pmatrix}=\sigma_t^{\widetilde{\varphi}} \Theta\left(\begin{pmatrix}0&0\\1&0\end{pmatrix}\right) \in Mat_2(\mathcal{N}'\cap \mathcal{M}),$$

hence

(3) 
$$[D(\varphi \cdot F): D(\varphi \cdot E)_t \in \mathcal{N}' \cap \mathcal{M} \quad (t \in \mathbb{R}).$$

Using (3), 3.4, 3.5 and 11.9.(3), we obtain

$$[D(\psi \cdot F):D(\psi \cdot E)]_{t} =$$

$$= [D(\psi \cdot F):D(\varphi \cdot F)]_{t}[D(\varphi \cdot F):D(\varphi \cdot E)]_{t}[D(\varphi \cdot E):D(\psi \cdot E)]_{t}$$

$$= ([D\psi:D\varphi]_{t}[D(\varphi \cdot F):D(\varphi \cdot E)]_{t}[D\varphi:D\psi]_{t}$$

$$= [D(\varphi \cdot F):(\varphi \cdot E)]_{t}.$$

Thus,  $[D(\varphi \cdot F):D(\varphi \cdot E)]$ , does not depend on the n.s.f. weight  $\varphi$  on  $\mathcal{N}$ , so that we can define

$$[DF: DE]_t = [D(\varphi \cdot F): D(\varphi \cdot E)]_t \in \mathcal{N}' \cap \mathcal{M} \qquad (t \in \mathbb{R}),$$

with an arbitrary n.s.f. weight  $\varphi$  on  $\mathcal{N}$ .

The function  $t \mapsto [DF; DE]_t$  is called the  $\sigma^E$ -cocycle associated with F. Indeed, using the results in Sections 3.1–3.5, it is easy to check that

(5) 
$$\sigma_i^F = \operatorname{Ad}([DF:DE]_i) \cdot \sigma_i^E,$$

(6) 
$$[DF: DE]_{s+t} = [DF: DE]_s \sigma_s^E([DF: DE]_t),$$

$$[DE: DF]_t = [DF: DE]_t^{\bullet}.$$

Also, the "chain rule" holds.

Using 11.13.(2) and Corollary 3.13, we obtain:

(8) 
$$F \leqslant E \Leftrightarrow \begin{cases} \text{there exists a w-continuous function} \\ f: \{\alpha \in \mathbb{C}; \ 0 \leqslant \operatorname{Re}\alpha \leqslant 1\} \to \mathcal{M}, \ \text{analytic in} \\ \{\alpha \in \mathbb{C}; \ 0 < \operatorname{Re}\alpha < 1\} \ \text{such that } f(\mathrm{it}) = \\ [DF: DE]_t, \ (t \in \mathbb{R}), \ \text{and} \ ||f(1/2)|| \leqslant 1. \end{cases}$$

Note that all the above results apply in particular for normal faithful conditional expectations.

11.16. Notes, Operator valued weights appeared in the works of Connes and Takesaki [61] and Landstad [152], but their systematic study is due to Haagerup [103]. The modular automorphism group associated with an operator valued weight was first considered in the case of conditional expectations by Combes and Delaroche [31].

For our exposition we have used [103].

# § 12. Existence and uniqueness of operator valued weights

In this Section we give several criteria for the existence and uniqueness of operator valued weights, together with some applications.

12.1. The main existence criterion for n.s.f. operator valued weights is the following

**Theorem** (U. Haagerup). Let  $\varphi$  be an n.s.f. weight on the W\*-algebra  $\mathcal M$  and  $\psi$  an n.s.f. weight on the W\*-algebra  $\mathcal N$  of  $\mathcal M$ . If

$$\sigma_t^{\varphi}(y) = \sigma_t^{\psi}(y) \qquad (y \in \mathcal{N}, \ t \in \mathbb{R}),$$

then there exists a unique n.s.f. operator valued weight  $E: \mathcal{M}^+ \to \overline{\mathcal{N}}^+$  such that  $\varphi = \psi \circ E$ .

The proof of this Theorem is contained in Sections 12.2—12.5. After some preparation (12.2), we prove the Theorem in the particular case when  $\varphi$  and  $\psi$  are n.s.f. traces in Section 12.3. In Section 12.4 we present a brief review of some results concerning the crossed product of a  $W^*$ -algebra by the modular automorphism group associated with an n.s.f. weight; the exposition here is essentially self-contained although these results will be considered later in greater generality. Finally, using these results, we complete the proof of the Theorem in Section 12.5.

12.2. Let  $\tau$  be an n.s.f. trace on the semifinite  $W^*$ -algebra  $\mathcal{M}$ . Each semifinite element  $A \in \overline{\mathcal{M}}^+$  (see 11.2.(5)) defines a normal semifinite weight  $\tau_A$  on  $\mathcal{M}$  as in Sections 4.1, 4.4. By Theorem 4.10, the mapping  $A \mapsto \tau_A$  establishes a bijective correspondence between the semifinite elements  $A \in \overline{\mathcal{M}}^+$  and the normal semifinite weights on  $\mathcal{M}$ .

Note that for  $a, b \in \mathcal{M}^+$  we have

(1) 
$$\tau_a(b) = \tau_b(a).$$

Indeed,  $\tau_a(b) = \tau(a^{1/2}ba^{1/2}) = \tau((b^{1/2}a^{1/2})^*(b^{1/2}a^{1/2})) = \tau((b^{1/2}a^{1/2})(b^{1/2}a^{1/2})^*) = \tau(b^{1/2}ab^{1/2}) = \tau_b(a)$ .

Consider now  $A \in \mathcal{M}^+$ . Using 4.3. (1), 4.3.(3) and Proposition 11.4, we see that the equation

$$\tau_A(b) = \tau_b(A) \qquad (b \in \mathcal{M}^+)$$

defines a normal weight  $\tau_A$  on  $\mathcal{M}$ , which extends to  $\overline{\mathcal{M}}^+$ . By the definition of  $\tau_A$  and by Proposition 11.4 it follows that

(2) 
$$\tau_{A+B} = \tau_A + \tau_B, \ \tau_{\lambda A} = \lambda \tau_A \qquad (A, \ B \in \overline{\mathcal{M}}^+, \ \lambda > 0).$$

Also, it is easy to check that

(3) 
$$\mathcal{M}^+ \ni a_n \uparrow A \in \overline{\mathcal{M}}^+, \ \mathcal{M}^+ \ni b_m \uparrow B \in \overline{\mathcal{M}}^+ \Rightarrow \tau_{a_n}(b_m) \uparrow \tau_{a}(B).$$

Using (1) and (3) we infer that

(4) 
$$\tau_A(B) = \tau_B(A) \qquad (A, B \in \overline{\mathcal{M}}^+),$$

and from (4) and 11.4. (3) it follows that

(5) 
$$A_i \uparrow A, B_j \uparrow B \text{ in } \overline{\mathcal{M}}^+ \Rightarrow \tau_{A_i}(B_j) \uparrow \tau_A(B);$$

indeed,  $\tau_A(B) = \sup_j \tau_A(B_j) = \sup_j \tau_{B_j}(A) = \sup_j \tau_{B_j}(A_l) = \sup_l \tau_{A_l}(B_l)$ . Note also that

(6) 
$$\tau_{xAx^*}(B) = \tau_A(x^*Bx) \quad (A, B \in \overline{\mathcal{M}}^+, x \in \mathcal{M});$$

indeed, if  $A = a \in \mathcal{M}^+$ ,  $B = b \in \mathcal{M}^+$ , then  $\tau_{xax^*}(b) = \tau_b(xax^*) = \tau(b^{1/2}xax^*b^{1/2}) = \tau(a^{1/2}x^*bxa^{1/2}) = \tau_a(x^*bx)$ , and the general case is obtained using (3).

It is easy to check that the normal weight  $\tau_A$  is semifinite if and only if the element  $A \in \mathcal{M}^+$  is semifinite and that in this case the above definition of  $\tau_A$  agrees with the definition given in Section 4.4.

Also, the normal weight  $\tau_A$  is faithful if and only if the element  $A \in \mathcal{M}^+$  is faithful (11.2.(4)).

Since any element of  $\mathcal{M}_{\bullet}^{+}$  is of the form  $\tau_{A}$  with  $A \in \mathcal{M}^{+}$  (by Theorem 4.10) and since every normal weight on  $\mathcal{M}$  is a sum of elements in  $\mathcal{M}_{\bullet}^{+}$  (by Corollary 5.8), it follows that every normal weight on  $\mathcal{M}$  is of the form  $\tau_{A}$  with  $A \in \mathcal{M}^{+}$ .

If  $A, B \in \mathcal{M}^+$ , then again by Theorem 4.10 we get:  $\tau_A = \tau_B \Leftrightarrow \tau_A(X) = \tau_B(X)$  for all  $X \in \mathcal{M}^+ \Leftrightarrow \tau_X(A) = \tau_X(B)$  for all  $X \in \mathcal{M}^+ \Leftrightarrow \varphi(A) = \varphi(B)$  for all  $\varphi \in \mathcal{M}_{\bullet}^+ \Leftrightarrow A = B$ . Consequently,

(7) the mapping  $A \mapsto \tau_A$  establishes a bijective correspondence between the sets  $\mathcal{M}^+$  and  $W_n(\overline{\mathcal{M}})$ .

Similarly, for A,  $A_i$ ,  $B \in \overline{\mathcal{M}}^+$ , we obtain

$$A \leqslant B \Leftrightarrow \tau_A \leqslant \tau_B,$$

$$(9) A_l \uparrow A \Leftrightarrow \tau_{A_l} \uparrow \tau_A.$$

12.3. We now prove Theorem 12.1 in the case when  $\varphi$  and  $\psi$  are n.s.f. traces on  $\mathcal{M}$  and  $\mathcal{N}$ , respectively.

For each  $a \in \mathcal{M}^+$ ,  $\varphi_a \mid \mathcal{N}^+$  is a normal weight on  $\mathcal{N}$  and hence, by 12.2.(7), there exists a unique element  $E(a) \in \widetilde{\mathcal{N}}^+$  such that

$$\varphi_a \mid \mathcal{N}^+ = \psi_{E(a)} \,.$$

We thus define a mapping  $E: \mathcal{M}^+ \to \overline{\mathcal{N}}^+$ . Using the results of Section 12.2 it is easy to check that E is a normal operator valued weight and that  $\varphi = \psi \cdot E$ . Moreover, using Proposition 11.7 it follows that E is faithful and semifinite.

If  $F: \mathcal{M}^+ \to \overline{\mathcal{N}}^+$  is another operator valued weight such that  $\varphi = \psi \cdot F$ , then for any  $a \in \mathcal{M}^+$ ,  $b \in \mathcal{N}^+$  we get  $\varphi_a(b) = \varphi_b(a) = \varphi(b^{1/2}ab^{1/2}) = \psi(b^{1/2}F(a)b^{1/2}) = \psi_b(F(a)) = \psi_{F(a)}(b)$ , hence F(a) = E(a). Actually, the uniqueness of E follows also from Corollary 11.13.

12.4. Let  $\varphi$  be an n.s.f. weight on the von Neumann algebra  $\mathscr{M} \subset \mathscr{B}(\mathscr{H})$ . The modular automorphism group  $\{\sigma_i^{\varphi}\}_{i\in\mathbb{R}}$  determines a continuous action  $\sigma = \sigma^{\varphi}$  of the group  $\mathbb{R}$  on  $\mathscr{M}$ . Consider the Hilbert space  $\mathscr{L}^2(\mathbb{R}, \mathscr{H}) = \mathscr{H} \otimes \mathscr{L}^2(\mathbb{R})$ .

The crossed product of  $\mathcal{M}$  by the action  $\sigma$  of  $\mathbb{R}$  is the von Neumann algebra  $\mathcal{R}(\mathcal{M}, \sigma) \subset \mathcal{B}(\mathcal{L}^2(\mathbb{R}, \mathcal{H}))$  generated by the operators  $I(x) = I_{\sigma}(x)$   $(x \in \mathcal{M})$ ,

$$(I(x)\xi)(r) = \sigma_{-r}(x)\xi(r)$$
  $(\xi \in \mathcal{L}^2(\mathbb{R}, \mathcal{H}), r \in \mathbb{R}),$ 

and by the unitary operators  $\lambda(t)$   $(t \in \mathbb{R})$ ,

$$(\lambda(t)\xi)(r) = \xi(r-t)$$
  $(\xi \in \mathcal{L}^2(\mathbb{R}, \mathcal{H}), r \in \mathbb{R}).$ 

The mapping  $I: \mathcal{M} \to \mathcal{R}(\mathcal{M}, \sigma)$  is an injective normal \*-homomorphism. We shall identify  $\mathcal{M}$  with  $I(\mathcal{M}) \subset \mathcal{R}(\mathcal{M}, \sigma)$  With this identification we have

(1) 
$$\sigma_t(x) = \lambda(t)x\lambda(t^*) \quad (x \in \mathcal{M}, \ t \in \mathbb{R}).$$

The unitary operators m(s)  $(s \in \mathbb{R})$ ,

$$(\mathbf{m}(s)\xi(r) = e^{-isr}\xi(r)$$
  $(\xi \in \mathcal{L}^2(\mathbb{R}, \mathcal{H}), r \in \mathbb{R}),$ 

define a dual action  $\theta = \theta^{\varphi} = \hat{\sigma}^{\varphi}$  of  $\mathbb{R}$  on  $\mathcal{R}(\mathcal{M}, \sigma)$ :

$$\theta_s(X) = m(s)Xm(s)^* \qquad (X \in \mathcal{R}(\mathcal{M}, \sigma), s \in \mathbb{R}),$$

which is characterized by the equalities

(2) 
$$\theta_s(x) = x \quad (x \in \mathcal{M}, s \in \mathbb{R}),$$

(3) 
$$\theta_s(\lambda(t)) = e^{-ist}\lambda(t) \qquad (s, t \in \mathbb{R}).$$

Moreover, we shall see later (Proposition 19.3) that the centralizer of the dual action coincides with  $\mathcal{M}$ :

(4) 
$$\mathscr{R}(\mathscr{M}, \sigma)^0 = \mathscr{M}.$$

We shall show that

$$E = E_{\varphi} = \int_{-\infty}^{+\infty} \theta_s \, \mathrm{d}s : \, \mathcal{R}(\mathcal{M}, \, \sigma)^+ \to \widetilde{\mathcal{M}}^+$$

is an n.s.f.  $\mathcal{M}$ -valued weight on  $\mathcal{R}(\mathcal{M}, \sigma)$  and

(5) 
$$E(\theta_s(X)) = E(X) \qquad (X \in \mathcal{R}(\mathcal{M}, \sigma)^+, s \in \mathbb{R}),$$

(6) 
$$E(\lambda(t)X\lambda(t)^*) = \lambda(t)E(X)\lambda(t)^* = \sigma_t(E(X))$$
  $(X \in \mathcal{R}(\mathcal{M}, \sigma), t \in \mathbb{R}).$ 

More precisely, E is defined by

$$E(X)(\omega) = \int_{-\infty}^{+\infty} \omega(\theta_s(X)) \, \mathrm{d}s \qquad (X \in \mathcal{R}(\mathcal{M}, \sigma)^+, \ \omega \in \mathcal{R}(\mathcal{M}, \sigma)^+_{\bullet})$$

as a mapping  $E: \mathcal{R}(\mathcal{M}, \sigma)^+ \to \overline{\mathcal{R}(\mathcal{M}, \sigma)^+}$ . Let  $X \in \mathcal{R}(\mathcal{M}, \sigma)^+$ . Using the translation invariance of the Lebesgue measure we obtain  $\theta_s(E(X)) = E(X)$  ( $s \in \mathbb{R}$ ), and hence (see (4) and 11.14.(1))  $E(X) \in \overline{\mathcal{R}(\mathcal{M}, \sigma)^0} = \overline{\mathcal{M}^+}$ . It is now easy to check that E is a normal faithful  $\mathcal{M}$ -valued weight on  $\mathcal{R}(\mathcal{M}, \sigma)$  which satisfies (5) and (6).

To show that E is semifinite, we consider a continuous function  $f: \mathbb{R} \to \mathbb{C}$  with compact support and the operator

$$\lambda(f) = \int_{-\infty}^{+\infty} f(r)\lambda(r) dr \in \mathcal{R}(\mathcal{M}, \sigma).$$

We have  $\lambda(f)^*\lambda(f) = \lambda(f^* * f)$ , where  $f^* * f$  is the convolution of the function  $f^*(r) = \overline{f(-r)}$  with the function f. Also, we consider the functions  $g_n(s) = \exp(s^2/2n^2)$   $(s \in \mathbb{R}, n \in \mathbb{N})$ , and their Fourier transforms  $h_n = \hat{g}_n$ ,  $h_n(t) = \int_{-\infty}^{\infty} g_n(s)e^{-ist} dt = n\sqrt{2\pi}\exp(n^2t^2/2)$   $(t \in \mathbb{R}, n \in \mathbb{N})$ . Note that  $g_n(s) \uparrow 1$  uniformly on compact sets, as  $n \uparrow \infty$ ,  $h_n(t) > 0$  and  $\int_{-\infty}^{\infty} h_n(t) dt = 2\pi$ ,

Since  $\|\lambda((f^**f)h_n)\| \le \|(f^**f)h_n\|_1 \le \|f^**f\|_{\infty} \|h_n\|_1 \le 2\pi \|f\|_2^2$ , it follows by Fatou's lemma and Fubini's theorem that

$$E(\lambda(f)^*\lambda(f)) = \sup_{n} \int_{-\infty}^{+\infty} \theta_s(\lambda(f^* * f))g_n(s) ds$$

$$= \sup_{n} \int_{-\infty}^{+\infty} g_n(s) \int_{-\infty}^{+\infty} (f^* * f)(t)e^{-ist}\lambda(t) dt ds$$

$$= \sup_{n} \int_{-\infty}^{+\infty} (f^* * f)(t)h_n(t)\lambda(t) dt$$

$$= \sup_{n} \lambda((f^* * f)h_n) \in \mathcal{M}^+,$$

that is,  $\lambda(f) \in \mathfrak{N}_E$ .

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Since  $\mathfrak{N}_E$  is a right  $\mathcal{M}$ -module, it follows that  $\lambda(f)x \in \mathfrak{N}_E$  for any  $x \in \mathcal{M}$  and any continuous function f with compact support. Since  $\mathcal{R}(\mathcal{M}, \sigma)$  is generated by  $\mathcal{M}$  and  $\{\lambda(t); t \in \mathbb{R}\}$ , it follows that  $\mathfrak{N}_E$  is dense in  $\mathcal{R}(\mathcal{M}, \sigma)$ , i.e. E is semifinite.

Thus, we can define an n.s.f. weight  $\hat{\varphi} = \varphi \circ E$  on  $\mathcal{H}(\mathcal{M}, \sigma)$  called the dual weight of  $\varphi$ . By (5) it follows that the dual weight is invariant with respect to the dual action:

$$\hat{\varphi} \circ \theta_{s} = \hat{\varphi} \qquad (s \in \mathbb{R}).$$

By Theorem 11.9 we have

(8) 
$$\sigma_t^{\hat{\varphi}}(x) = \sigma_t^{\varphi}(x) = \lambda(t)x\lambda(t)^* \qquad (x \in \mathcal{M}, \ t \in \mathbb{R}).$$

On the other hand, by (6), for  $X \in \mathcal{R}(\mathcal{M}, \sigma)^+$  and  $t \in \mathbb{R}$  we have

$$\hat{\varphi}(\lambda(s)X\lambda(s)^*) = \varphi(\sigma_s^{\varphi}(E(X))) = \varphi(E(X)) = \hat{\varphi}(X),$$

so that, using Corollary 3.7 we deduce that

(9) 
$$\sigma_t^{\hat{\sigma}}(\lambda(s)) = \lambda(s) = \lambda(t)\lambda(s)\lambda(t)^*$$
  $(s, t \in \mathbb{R}).$ 

Since  $\mathcal{R}(\mathcal{M}, \sigma)$  is generated by  $\mathcal{M}$  and  $\{\lambda(s); s \in \mathbb{R}\}$ , from (8) and (9) it follows that  $\sigma_s^{\hat{\rho}} = \mathrm{Ad}(\lambda(t))$   $(t \in \mathbb{R})$ .

There exists a unique positive self-adjoint operator A affiliated to  $\mathcal{R}(\mathcal{M}, \sigma)$  such that

(10) 
$$\lambda(t) = A^{-it} \quad (t \in \mathbb{R}).$$

Then the n.s.f. weight  $\tau = \tau_{\varphi} = \hat{\varphi}_{A}$ , constructed as in Section 4.4, has a trivial modular automorphism group (see Corollary 4.8) and hence is an n.s.f. trace on  $\mathcal{R}(\mathcal{M}, \sigma)$  (see, for instance, 2.18, (1)). Note that

$$[D\hat{\varphi}:Dt]_t=\lambda(t) \qquad (t\in\mathbb{R}).$$

From (3) and (10) it follows that

(12) 
$$\theta_s(A) = e^s A \qquad (s \in \mathbb{R}),$$

and using (7) and (12) it is easy to check that

(13) 
$$\tau \cdot \theta_s = e^{-s}\tau \quad (s \in \mathbb{R}).$$

12.5. Proof of Theorem 12.1. We may consider  $\mathcal{N} \subset \mathcal{M} \subset \mathcal{B}(\mathcal{H})$  realized as von Neumann algebras. Since  $\sigma_t^{\varphi} = \sigma_t^{\varphi} | \mathcal{N}$   $(t \in \mathbb{R})$ , it follows that  $\mathcal{B}(\mathcal{N}, \sigma^{\varphi})$  is the

von Neumann subalgebra of  $\mathcal{R}(\mathcal{M}, \sigma^{\bullet})$  generated by  $\mathcal{N}$  and  $\{\lambda(t); t \in \mathbb{R}\}$ , and

(1) 
$$\theta_s^{\mathbf{v}} = \theta_s^{\mathbf{v}} \mid \mathcal{R}(\mathcal{N}, \sigma^{\mathbf{v}}) \quad (s \in \mathbb{R}).$$

Consequently,

(2) 
$$E_{\psi} = E_{\varphi} \mid \mathcal{R}(\mathcal{N}, \, \sigma^{\psi}).$$

Consider the n.s.f. traces  $\tau_{\phi}$  and  $\tau_{\phi}$  defined on  $\mathcal{R}(\mathcal{M}, \sigma^{\phi})$  and  $\mathcal{R}(\mathcal{N}, \sigma^{\psi})$  as in Section 12.4. By the result of Section 12.3 there exists a unique n.s.f. operator valued weight

$$F: \mathcal{R}(\mathcal{M}, \sigma^{\varphi})^{+} \to \overline{\mathcal{R}(\mathcal{N}, \sigma^{\varphi})^{+}}$$

such that

$$\tau_{\psi} \circ F = \tau_{\varphi} \,.$$

By (1) it follows that for each  $s \in \mathbb{R}$ 

$$F_s = \theta_{-s}^{\psi} \cdot F \cdot \theta_s^{\phi} \colon \mathscr{R}(\mathscr{M}, \sigma^{\phi})^+ \to \overline{\mathscr{R}(\mathscr{N}, \sigma^{\psi})^+}$$

is an n.s.f. operator valued weight. Since  $\tau_{\varphi} \circ \theta_s^{\varphi} = e^{-s}\tau_{\varphi}$  and  $\tau_{\psi} \circ \theta_s^{\varphi} = e^{-s}\tau_{\psi}$ , using equality (3) we obtain  $\tau_{\psi} \circ F_s = \tau_{\varphi}$ , hence  $F_s = F$ , that is  $F \circ \theta_s^{\varphi} = \theta_s^{\varphi} \circ F(s \in \mathbb{R})$ . It follows that

$$(4) F \cdot E_{\varphi} = E_{\psi} \cdot F.$$

From 11.5.(8) we infer that

$$F(\mathcal{M}^+) \subset F(E_{\varphi}(\overline{\mathcal{R}(\mathcal{M},\sigma^{\varphi})}^+)) = E_{\psi}(F(\overline{\mathcal{R}(\mathcal{M},\sigma^{\varphi})}^+)) \subset \overline{\mathcal{N}}^+$$

hence  $E = F \mid \mathcal{M}^+ : \mathcal{M}^+ \to \overline{\mathcal{N}}^+$  is a normal  $\mathcal{N}$ -valued weight on  $\mathcal{M}$ .

Recall (12.4.(11)) that for the dual weights  $\hat{\varphi} = \varphi \circ E_{\varphi}$  and  $\hat{\psi} = \psi \circ E_{\psi}$  we have  $[D\hat{\varphi}: D\tau_{\varphi}]_t = \lambda(t) = [D\hat{\psi}: D\tau_{\psi}]_t$  ( $t \in \mathbb{R}$ ). Using (3) and Theorem 11.9 we obtain  $[D(\hat{\psi} \circ F): D\tau_{\varphi}]_t = [D(\hat{\psi} \circ F): D(\tau_{\psi} \circ F)]_t = [D\hat{\psi}: D\tau_{\psi}]_t = [D\hat{\varphi}: D\tau_{\varphi}]_t$  ( $t \in \mathbb{R}$ ). By Corollary 3.6 we deduce that  $\hat{\varphi} = \hat{\psi} \circ F$ , that is  $\varphi \circ E_{\varphi} = \psi \circ E_{\psi} \circ F = \psi \circ E \circ E_{\varphi}$ . Since  $E_{\varphi}(\overline{\mathcal{R}(\mathcal{M}, \sigma^{\varphi})}^+) = \overline{\mathcal{M}}^+$  (see 11.5.(8)), we conclude that  $\varphi = \psi \circ E$ . According to Proposition 11.7 it follows that the normal operator valued weight E is semifinite and faithful.

The uniqueness of E follows from Corollary 11.13.

12.6. Corollary. Let  $\mathcal{N} \subset \mathcal{M} \subset \mathcal{B}(\mathcal{H})$  be von Neumann algebras. Then  $P(\mathcal{M}, \mathcal{N}) \neq \emptyset$  if and only if there exist n.s.f. weights  $\varphi$  and  $\psi$  on  $\mathcal{M}$  and  $\mathcal{N}$ , respectively, such that  $\sigma_{\ell}^{\psi} = \sigma_{\ell}^{\varphi} | \mathcal{N}$   $(t \in \mathbb{R})$ .

Proof. Follows from Theorems 11.9 and 12.1.

**12.7.** Corollary. Let  $\mathcal{N} \subset \mathcal{M} \subset \mathcal{B}(\mathcal{H})$  be von Neumann algebras and let

$$W_{nsf}(\mathcal{N}) \ni \varphi \mapsto \widetilde{\varphi} \in W_{nsf}(\mathcal{M})$$

be a mapping with the following properties

$$\begin{split} \sigma_t^{\varphi} &= \sigma_t^{\widetilde{\varphi}} \mid \mathcal{N} \qquad (t \in \mathbb{R}, \ \varphi \in W_{nsf}(\mathcal{N})) \\ [D\widetilde{\psi} \colon D\widetilde{\varphi}]_t &= [D\psi \colon D\varphi]_t \qquad (t \in \mathbb{R}, \ \varphi, \ \psi \in W_{nsf}(\mathcal{N})). \end{split}$$

Then there exists a unique n.s.f. operator valued weight  $E: \mathcal{M}^+ \to \overline{\mathcal{N}}^+$  such that  $\widetilde{\varphi} = \varphi \circ E$  for all  $\varphi \in W_{nsf}(\mathcal{N})$ .

Proof. Let  $\varphi \in W_{nsf}(\mathcal{N})$  be fixed. By Theorem 12.1 there exists a unique n.s.f. operator valued weight  $E: \mathcal{M}^+ \to \overline{\mathcal{N}}^+$  such that  $\widetilde{\varphi} = \varphi \circ E$ . By our assumption and Theorem 11.9, for any  $\psi \in W_{nsf}(\mathcal{N})$  we have  $[D\widetilde{\psi}: D\widetilde{\varphi}]_t = [D\psi: D\varphi]_t = [D(\psi \circ E): D(\varphi \circ E)]_t = [D(\psi \circ E): D\widetilde{\varphi}]_t$ ,  $(t \in \mathbb{R})$ ; hence  $\widetilde{\psi} = \psi \circ E$  by Corollary 3.6.

12.8. Corollary. Let  $\mathcal{N}_1 \subset \mathcal{M}_1 \subset \mathcal{B}(\mathcal{H}_1)$ ,  $\mathcal{N}_2 \subset \mathcal{M}_2 \subset \mathcal{B}(\mathcal{H}_2)$  be von Neumann algebras and  $E_1 \in P(\mathcal{M}_1, \mathcal{N}_1)$ ,  $E_2 \in P(\mathcal{M}_2, \mathcal{N}_2)$ . There exists a unique element  $E = E_1 \otimes E_2 \in P(\mathcal{M}_1 \otimes \mathcal{M}_2, \mathcal{N}_1 \otimes \mathcal{N}_2)$  such that

(1) 
$$(\psi_1 \otimes \psi_2) \circ E = (\psi_1 \circ E_1) \otimes (\psi_2 \circ E_2)$$
 for all  $\psi_1 \in W_{nsf}(\mathcal{N}_1)$ ,  $\psi_2 \in W_{nsf}(\mathcal{N}_2)$ 

*Proof.* Let  $\varphi_1 \in W_{nsf}(\mathcal{N}_1)$ ,  $\varphi_2 \in W_{nsf}(\mathcal{N}_2)$  be fixed. According to the definition of the tensor product of n.s.f. weights (8.2) and to Theorem 11.9, we have

$$\sigma_{t}^{(\varphi_{1}\circ E_{1})\overline{\otimes}(\varphi_{1}\circ E_{2})} | \mathcal{N}_{1} \overline{\otimes} \mathcal{N}_{2} = \sigma_{t}^{\varphi_{1}\overline{\otimes}\varphi_{2}} \qquad (t \in \mathbb{R}).$$

By Theorem 12.1 there exists a unique element  $E \in P(\mathcal{M}_1 \otimes \mathcal{M}_2, \mathcal{N}_1 \otimes \mathcal{N}_2)$  such that  $(\varphi_1 \otimes \varphi_2) \circ E = (\varphi_1 \circ E_1) \otimes (\varphi_2 \circ E_2)$ .

For other n.s.f. weights  $\psi_1$ ,  $\psi_2$ , (1) now follows again using Theorem 11.9, Corollary 8.6 and Corollary 3.6.

The operator valued weight  $E_1 \otimes E_2$  is called the *tensor product* of  $E_1$  and  $E_2$ . It is easy to check that if  $a_1 \in \mathfrak{M}_{E_1}$ ,  $a_2 \in \mathfrak{M}_{E_2}$  then  $a_1 \otimes a_2 \in \mathfrak{M}_{E_1 \bullet E_2}$  and

$$(E_1 \otimes E_2)(a_1 \otimes a_2) = E_1(a_1) \otimes E_2(a_2),$$

but the uniqueness of  $E_1 \otimes E_2$  requires (1) for some  $\psi_1$ ,  $\psi_2$ .

From Section 11.15, it is clear that

(2) 
$$\sigma_t^{E_1 \bullet E_1} = \sigma_t^{E_1} \overline{\otimes} \sigma_t^{E_2} \qquad (t \in \mathbb{R}).$$

If  $E_1$  and  $E_2$  are normal faithful conditional expectations, then, by Corollary 8.7, the present definition of  $E_1 \otimes E_2$  agrees with that considered in Section 9.4.

12.9. Let  $\mathcal{N}_0 \subset \mathcal{M}_0 \subset \mathcal{B}(\mathcal{H})$  be von Neumann algebras,  $\mathcal{F}$  a type I factor and  $\mathcal{N} = \mathcal{N}_0 \otimes \mathcal{F} \subset \mathcal{M}_0 \otimes \mathcal{F} = \mathcal{M}$ . Consider an n.s.f. operator valued weight  $E: \mathcal{M}^+ \to \overline{\mathcal{N}}^+$ .

Let  $x \in \mathcal{M}_0^+$ . For  $u \in \mathcal{F}$  unitary, we have  $1 \otimes u \in \mathcal{N}$  and  $(1 \otimes u)E(x \otimes 1)(1 \otimes u)^* = E((1 \otimes u)(x \otimes 1)(1 \otimes u)^*) = E(x \otimes 1)$ , hence  $E(x \otimes 1) \in (\mathcal{N}_0 \otimes 1)^+$ . Thus, there exists  $E_0(x) \in \overline{\mathcal{N}}_0^+$  such that

$$(1) E(x \overline{\otimes} 1) = E_0(x) \overline{\otimes} 1.$$

It is easy to see that  $E_0: \mathcal{M}_0^+ \to \overline{\mathcal{N}}_0^+$  is a normal faithful operator valued weight. Let  $\psi$  be an n.s.f. weight on  $\mathcal{N}_0$  and tr the canonical trace on  $\mathcal{F}$ . For  $u \in \mathcal{F}$  we have  $1 \otimes u \in \mathcal{N}$  and hence (11.9.(2))  $\sigma_t^{(\psi \otimes tr) \circ E}(1 \otimes u) = \sigma_t^{\psi \otimes tr}(1 \otimes u) = 1 \otimes u$ . Thus,  $1 \otimes \mathcal{F}$  is contained in the centralizer of the n.s.f. weight  $(\psi \otimes tr) \circ E$  on  $\mathcal{M} = \mathcal{M}_0 \otimes \mathcal{F}$ . By Proposition 9.17 there exists an n.s.f. weight  $\varphi$  on  $\mathcal{M}_0$  such that  $(\psi \otimes tr) \circ E = \varphi \otimes tr$ . Then, for any  $x \in \mathcal{M}_0^+$  and any minimal projection  $e \in \mathcal{F}$  we have  $\varphi(x) = (\varphi \otimes tr)(x \otimes e) = (\psi \otimes tr)(E(x \otimes e)) = (\psi \otimes tr)(E_0(x) \otimes e) = \psi(E_0(x))$ , hence  $\varphi = \psi \circ E_0$ . Consequently,

(2) 
$$(\psi \otimes tr) \circ E = (\psi \circ E_0) \otimes tr.$$

From (2) and Proposition 11.7 it follows that  $E_0$  is semifinite. Then, by (2) and Corollary 12.8 we get

$$(3) E = E_0 \overline{\otimes} \iota_{\mathcal{F}},$$

where  $\iota_{\mathcal{F}}$  is the identity mapping on  $\mathcal{F}$ .

Thus, every  $E \in P(\mathcal{M}_0 \otimes \mathcal{F}, \mathcal{N}_0 \otimes \mathcal{F})$  is of the form (3), with  $E_0 \in P(\mathcal{M}_0, \mathcal{N}_0)$ .

12.10. Corollary. Let  $\varphi$  be an n.s.f. weight on the W\*-algebra M. The centralizer M\* of  $\varphi$  is semifinite if and only if there exists a  $\sigma$ -invariant n.s.f. M\*-valued weight on M.

*Proof.* Assume that  $\mathcal{M}^{\varphi}$  is semifinite and let  $\tau$  be an n.s.f. trace on  $\mathcal{M}$ . Since  $\sigma_t^{\varphi} \mid \mathcal{M} = \iota = \sigma_t^{\tau}$   $(t \in \mathbb{R})$ , it follows by Theorem 12.1 that there exists a unique n.s.f. operator valued weight  $E: \mathcal{M}^+ \to (\overline{\mathcal{M}^{\varphi}})^+$  such that  $\varphi = \tau \circ E$ . Since  $\tau \circ (E \circ \sigma_t^{\varphi}) = \varphi \circ \sigma_t^{\varphi} = \varphi = \tau \circ E$ , it follows that  $E \circ \sigma_t^{\varphi} = E$ , i.e. E is  $\sigma^{\varphi}$ -invariant.

Conversely, let  $E: \mathcal{M}^+ \to (\overline{\mathcal{M}}^{\varphi})^+$  be a  $\sigma^{\varphi}$ -invariant n.s.f. operator valued weight and let  $\psi$  be an n.s.f. weight on  $\mathcal{M}^{\varphi}$ . Then the n.s.f. weights  $\varphi$  and  $\psi \circ E$  on  $\mathcal{M}$  commute and so, by Theorem 4.10, there exists a semifinite element  $A \in (\overline{\mathcal{M}}^{\varphi})^+$  such that  $\psi \circ E = \varphi_A$ . Using Theorem 11.9 and Corollary 4.8 we obtain  $\sigma_t^{\psi} = \sigma_t^{\psi \wedge E} = \sigma_t^{\varphi \wedge A} = Ad(A^{it})$  ( $t \in \mathbb{R}$ ). Consequently,  $\tau = \psi_{A^{-1}}$  is an n.s.f. trace on the  $W^*$ -algebra  $\mathcal{M}$ .

12.11. Another consequence of Theorem 12.1, as well as Theorems 7.4, 7.14 and 11.9, is the following

Corollary. Let  $\mathcal{N} \subset \mathcal{M} \subset \mathcal{B}(\mathcal{H})$  be von Neumann algebras. There is a bijection

$$P(\mathcal{M}, \mathcal{N}) \ni E \mapsto E' \in P(\mathcal{N}', \mathcal{M}'),$$

uniquely determined, with the property

(1) 
$$\Delta(\psi/\varphi' \circ E') = \Delta(\psi \circ E/\varphi') \qquad (\psi \in W_{nsf}(\mathcal{N}), \varphi' \in W_{nsf}(\mathcal{M}')),$$

where  $\Delta(\cdot/\cdot)$  stands for the spatial derivative (7.3). For any  $E, E_1, E_2 \in P(\mathcal{M}, \mathcal{N})$  and any  $t \in \mathbb{R}$  we have

$$\sigma_t^{E'} = \sigma_{-t}^{E},$$

$$[DE_1': DE_2']_t = [DE_1: DE_2]_{-t}$$

$$(4) E_1 \leqslant E_2 \Leftrightarrow E_2' \leqslant E_1'.$$

*Proof.* Let  $\psi \in W_{nsf}(\mathcal{N})$  and  $\varphi' \in W_{nsf}(\mathcal{M}')$  be fixed.

Let  $E \in P(\mathcal{M}, \mathcal{N})$ . Then  $\varphi = \psi \circ E \in W_{nsf}(\mathcal{M})$ . Writing  $u_t = \Delta(\varphi/\varphi')^{it}$ , by Theorem 7.4 we have  $\sigma_t^{\varphi} = \operatorname{Ad}(u_t) \mid \mathcal{M}$  and  $\sigma_t^{\varphi'} = \operatorname{Ad}(u_t^*) \mid \mathcal{M}'$  ( $t \in \mathbb{R}$ ). By Theorem 11.9 we get  $\sigma_t^{\varphi} = \sigma_t^{\varphi} \mid \mathcal{N} = \operatorname{Ad}(u_t) \mid \mathcal{N}$  ( $t \in \mathbb{R}$ ). Using 7.4.(1) and Theorem 7.14 we infer the existence of a unique weight  $\psi' \in W_{nsf}(\mathcal{N}')$  such that  $u_t = \Delta(\psi/\psi')^{it}$  ( $t \in \mathbb{R}$ ). Then  $\sigma_t^{\psi'} = \operatorname{Ad}(u_t^*) \mid \mathcal{N}'$ , and hence  $\sigma_t^{\varphi'} = \sigma_t^{\psi'} \mid \mathcal{M}'$  ( $t \in \mathbb{R}$ ). By Theorem 12.1, there exists a unique operator valued weight  $E' \in P(\mathcal{N}', \mathcal{M}')$  such that  $\psi' = \varphi' \circ E'$  and we have

$$\Delta(\psi/\varphi' \circ E') = \Delta(\psi \circ E/\varphi').$$

Equation (5) determines the weight  $\varphi' \circ E'$  and hence the operator valued weight E', uniquely (see 7.13.(1) and 11.13).

Similarly, one can construct a mapping  $P(\mathcal{N}', \mathcal{M}') \ni E' \mapsto E \in P(\mathcal{M}, \mathcal{N})$  satisfying (5). It follows that the mappings  $E \mapsto E'$  and  $E' \mapsto E$  are reciprocal bijections.

If  $\overline{\psi} \in W_{nsf}(\mathcal{N})$ ,  $\overline{\varphi}' \in W_{nsf}(\mathcal{M}')$  are other weights, then, using Theorem 7.4. (5) and Theorem 11.9.(3), we deduce from (5) that  $\Delta(\overline{\psi}/\overline{\varphi}' \cdot E') = \Delta(\overline{\psi} \cdot E/\overline{\varphi}')$ , proving (1).

For  $z \in \mathcal{N}' \cap \mathcal{M} = (\mathcal{M}')' \cap \mathcal{N}'$  we have by definition (11.15.(2))  $\sigma_t^{E'}(z) = \sigma_t^{\phi' \circ E'}(z) = \sigma_t^{\psi'}(z) = u_t^* z u_t = u_{-t} z u_{-t}^* = \sigma_{-t}^{\phi}(z) = \sigma_{-t}^{E}(z) = \sigma_{-t}^{E}(z)$ . This proves (2).

Consider now  $E_1$ ,  $E_2 \in P(\mathcal{M}, \mathcal{N})$  and let  $\varphi_1$ ,  $u_t^1$ ,  $u_t^1$ ,  $E_1'$  and  $\varphi_2$ ,  $u_t^2$ ,  $\psi_2'$ ,  $E_2'$  be associated, as in the first part of the proof, with  $E_1$  and  $E_2$ , respectively. By Theorem 7.4.(5) we have  $[D\psi_1': D\psi_2']_t = u_{-t}^1 u_t^2 = [D\varphi_1: D\varphi_2]_{-t}$  ( $t \in \mathbb{R}$ ). Since  $\psi_1' = \varphi' \circ E_1'$ ,  $\varphi_1 = \psi \circ E_1$  (j = 1,2), using Definition 11.15.(4) we obtain (3). Finally, (4) is an immediate consequence of (3) and 11.15.(8).

#### 12.12. In particular, we have the following

**Corollary.** Let  $\mathcal{M} \subset \mathcal{B}(\mathcal{H})$  be a von Neumann algebra. There is a uniquely determined bijection

$$W_{nsf}(\mathcal{M}') \ni \psi \mapsto E_{\psi} \in P(\mathcal{B}(\mathcal{H}), \mathcal{M})$$

such that

(1) 
$$\varphi \circ E_{\psi} = tr_{\Delta(\varphi/\psi)} \text{ for any } \varphi \in W_{nef}(\mathcal{M}).$$

Moreover, we have

(2) 
$$E_{\psi}(\eta \otimes \overline{\eta}) = R_{\eta}^{\psi}(R_{\eta}^{\psi})^{*} \text{ for all } \eta \in D(\mathcal{H}, \psi).$$

Proof. The notation is as in Sections 4.23 and 7.1.

We apply Corollary 12.11, replacing  $\mathcal{M}$ ,  $\mathcal{N}$ , E,  $\psi$ ,  $\mathcal{M}'$ ,  $\mathcal{N}'$ , E',  $\varphi'$  in 12.11 by  $\mathcal{M}'$ ,  $\mathbb{C} \cdot 1_{\mathcal{R}}$ ,  $\psi$ , t,  $\mathcal{M}$ ,  $\mathcal{B}(\mathcal{H})$ ,  $E_{\phi}$ ,  $\varphi$ , respectively, where  $\mathcal{M} \subset \mathcal{B}(\mathcal{H})$ ,  $\psi \in \mathcal{W}_{nef}(\mathcal{M}')$ ,  $E_{\psi} \in (\mathcal{B}(\mathcal{H}), \mathcal{M})$  are as in the statement of 12.12,  $\varphi$  is an n.s.f. weight on  $\mathcal{M}$ , and the positive real number t > 0 is regarded as the weight  $\mathbb{C} \cdot 1_{\mathcal{R}} \ni \lambda \mapsto t\lambda \in \mathbb{C}$ . We thus deduce the existence of the required bijection  $\psi \mapsto E_{\phi}$ , uniquely determined by the condition

$$\Delta(t/\varphi \circ E_{+}) = \Delta(t\psi/\varphi)$$
 for all  $\varphi \in W_{nef}(\mathcal{M})$  and all  $t > 0$ .

According to 7.4.(1), 7.13.(2) and 7.3.(6), the above condition is equivalent to the condition

$$\Delta(\varphi \cdot E_{\phi}/1) = \Delta(\varphi/\psi) = \Delta(tr_{\Delta(\varphi/\psi)}/1) \text{ for all } \varphi \in W_{nef}(\mathcal{M}).$$

Using 7.13.(1) we get

$$\varphi \cdot E_{\phi} = tr_{A(\phi/\phi)}$$
 for all  $\phi \in W_{asf}(\mathcal{M})$ .

Thus, for any  $\eta \in D(\mathcal{H}, \psi)$  and any  $\varphi \in W_{naf}(\mathcal{M})$  we have (see 4.23.(4) and 7.3.(2))

$$\varphi(E_{\phi}(\eta \otimes \overline{\eta})) = tr_{A(\phi|\phi)}(\eta \otimes \overline{\eta}) = \|\Delta(\phi/\psi)^{1/2}\eta\|^2 = \varphi(R_{\eta}^{\psi}(R_{\eta}^{\psi})^{\bullet})$$

and hence  $E_{\bullet}(\eta \otimes \overline{\eta}) = R_{\eta}^{\psi}(R_{\eta}^{\psi})^{*} \ (\eta \in D(\mathcal{X}, \psi)).$ 

12.13. Another consequence of Theorem 12.1 is an extension of Theorem 5.1 for operator valued weights:

**Corollary.** Let  $\mathcal{N} \subset \mathcal{M} \subset \mathcal{B}(\mathcal{H})$  be von Neumann algebras and  $E \in P(\mathcal{M}, \mathcal{N}) \neq \emptyset$ . Then the mapping

 $F \mapsto \{[DF \colon DE]_t\}_{t \in \mathbb{R}}$ 

establishes a bijection between  $P(\mathcal{M}, \mathcal{N})$  and the set of all unitary  $\sigma^{E}$ -cocycles  $\{w_{t}\}_{t\in\mathbb{R}}\subset\mathcal{N}'\cap\mathcal{M}$ .

Proof. Let  $\{w_t\}_{t\in\mathbb{R}}\subset \mathcal{N}'\cap\mathcal{M}$  be a unitary  $\sigma^E$ -cocycle and  $\psi\in W_{nsf}(\mathcal{N})$ . Since  $\sigma_t^E=\sigma_t^{\psi\circ E}\mid \mathcal{N}'\cap\mathcal{M}$  (11.15.(2)),  $\{w_t\}_{t\in\mathbb{R}}$  is a unitary  $\sigma^{\psi\circ E}$ -cocycle so that, by Theorem 5.1, there exists  $\varphi\in W_{nsf}(\mathcal{M})$  such that

$$[D\varphi: D(\psi \circ E)]_t = w_t \quad (t \in \mathbb{R}).$$

Since  $w_i \in \mathcal{N}' \cap \mathcal{M}$ , for  $y \in \mathcal{N}$  we have (11.9.(2))  $\sigma_i^{\varphi}(y) = w_i \sigma_i^{\psi \circ E}(y) w_i^* = w_i \sigma_i^{\psi}(y) w_i^* = \sigma_i^{\psi}(y)$  ( $t \in \mathbb{R}$ ). By Theorem 12.1, there exists an operator valued weight  $F \in P(\mathcal{M}, \mathcal{N})$  such that  $\varphi = \psi \circ F$ . Then, from (1) and 11.15.(4) it follows that  $[DF: DE]_t = w_t$  ( $t \in \mathbb{R}$ ). Thus, the mapping considered in the statement is surjective.

The injectivity of this mapping follows immediately from 3.6 and 11.13.

12.14. Let  $\mathcal{M}$  be a semifinite von Neumann algebra and  $\mathcal{Z}$  a von Neumann subalgebra of the centre  $\mathcal{Z}(\mathcal{M})$  of  $\mathcal{M}$ .

Let us fix an n.s.f. trace  $\tau$  on  $\mathcal{M}$  and an n.s.f. weight v on  $\mathcal{Z}$ . By Theorem 12.1 (actually, by the particular case considered in Section 12.3) there exists a unique n.s.f. operator valued weight

such that  $\tau = \nu \cdot \mu$ , i.e.

(1) 
$$\tau(x) = v(x^{\natural}) \qquad (x \in \mathcal{M}^+).$$

Since  $\mathcal{Z}$  is contained in  $\mathcal{Z}(\mathcal{M})$ , we have

(2) 
$$(ax)^{\natural} = ax^{\natural} \quad (x \in \mathcal{M}^+, \ a \in \mathcal{Z}^+).$$

For every  $x \in \mathcal{M}$  and every  $a \in \mathcal{Z}^+$  we have  $v(a(x^*x)^{\frac{1}{2}}) = v((ax^*x)^{\frac{1}{2}}) = \tau(ax^*x) = \tau(axx^*) = v((axx^*)^{\frac{1}{2}}) = v(a(xx^*)^{\frac{1}{2}})$ . Since v is faithful and  $a \in \mathcal{Z}^+$  was arbitrary, it follows that

(3) 
$$(x^*x)^{b_1} = (xx^*)^{b_1} \quad (x \in \mathcal{M})$$

i.e.  $hat{h}$  is actually an operator valued trace. Thus,  $\mathfrak{M}_{h}$  and  $\mathfrak{N}_{h}$  are w-dense two sided ideals of  $\mathcal{M}$  and, consequently, any non-zero element of  $\mathcal{M}^+$  dominates a non-zero element of  $\mathfrak{M}_{h}$   $\cap$   $\mathcal{M}^+$ .

In what follows we assume that  $\mathcal{Z} = \mathcal{Z}(\mathcal{M})$ . Then, for two projections  $e, f \in \mathcal{M}$  we have

$$(4) e \prec f \Leftrightarrow e^{\natural} \leqslant f^{\natural};$$

this follows easily, using (3), the faithfulness of happa and the comparison theorem ([L], 4.6).

Consider now an arbitrary normal operator valued trace  $E: \mathcal{M}^+ \to \overline{\mathcal{Z}}^+$ Then  $v \circ E$  is a normal trace on  $\mathcal{M}$  and hence (see 12.2.(7) and [L], E.7.14, C.10.4) there exists  $A \in \overline{\mathcal{Z}}^+$  such that  $v \circ E = \tau_A$ . Thus, for  $x \in \mathcal{M}^+$  and  $a \in \mathcal{Z}^+$  we have  $v(aE(x)) = v(E(ax)) = \tau(Aax) = v((Aax)^{\frac{1}{2}}) = v(aAx^{\frac{1}{2}})$ . Consequently,

(5) 
$$E(x) = Ax^{\natural} \quad (x \in \mathcal{M}^+).$$

If  $\mathcal{M}$  is finite and countably decomposable and if  $\tau(1) = 1$ ,  $\nu(1) = 1$ , then  $\mu: \mathcal{M} \to \mathcal{Z}(\mathcal{M})$  is just the canonical central trace ([L], 7.11). If  $\mathcal{M}$  is properly infinite, then  $\nu(z) = +\infty$  for all  $0 \neq z \in \mathcal{Z}^+$ , hence

(6) 
$$z^{\natural} = \infty \cdot s(z) \quad (z \in \mathcal{Z}^+).$$

**Proposition.** Let  $\mathcal{M}$  be a type  $II_{\infty}$  von Neumann algebra with centre  $\mathcal{Z}$ ,  $\tau$  an n.s.f. trace on  $\mathcal{M}$ ,  $\nu$  an n.s.f. weight on  $\mathcal{Z}$  and  $h = h(\mathcal{Z}, \tau, \nu)$ :  $\mathcal{M}^+ \to \mathcal{Z}^+$ . Then

$$\{e^{\natural}; e \in \mathcal{M}, projection\} = \overline{\mathcal{Z}}^{+}.$$

*Proof.* Let  $0 \neq A \in \overline{\mathcal{Z}}^+$  and let  $f \in \mathcal{M}$  be a projection such that  $A \leqslant f^{\sharp}$ . We first show that there exists a projection  $e \in \mathcal{M}$ ,  $0 \neq e \leqslant f$ , such that  $e^{\sharp} \leqslant A$ .

If  $A = \infty \cdot p$  with p a central projection, then, according to (6), we can take e = fp. Otherwise we may assume that A is bounded (11.3.(1)). Since b is semifinite, there exists  $x \in \mathcal{M}^+$ ,  $0 \neq x \leq f$ , with  $||x^b|| \leq 1$ . Then ([L], 2.21) there exists a spectral projection  $g \neq 0$  of xA and a positive integer n > 1 such that  $g \leq nxA$ ; note that  $g \leq s(xA) \leq f$ . Since  $\mathcal{M}$  is a continuous von Neumann algebra, it follows by ([L], 4.11, E.4.10) that there exist mutually orthogonal and equivalent projections  $e_1, \ldots, e_n \in \mathcal{M}$  such that  $g = e_1 + \ldots + e_n$ . Then, for  $e = e_1$ , we have  $0 \neq e \leq f$  and  $e^b = n^{-1}f \leq n^{-1}(nxA)^b = x^bA \leq A$ .

Consider now an arbitrary element  $0 \neq A \in \mathbb{Z}^+$ . There exists a projection  $e \in \mathcal{M}$ , which is maximal with the property  $e^{ij} \leq A$ .

If  $A = \infty \cdot s(A)$ , then we have e = s(A) and hence  $e^{\frac{1}{2}} = A$ .

Assume that  $A \neq \infty \cdot s(A)$  and  $e^h \neq A$ . Then there is a central projection  $p \leq s(A)$  such that Ap and  $e^h p$  be bounded. Also, there is a central projection  $q \leq p$  such that  $Aq - e^h q \leq (1 - e)^h q$  and  $(1 - e)^h (p - q) \leq A(p - q) - e^h (p - q)$ . We have  $q \neq 0$  since q = 0 would imply  $\infty \cdot p = p^h = e^h p + (1 - e)^h p \leq e^h p + Ap - e^h p = Ap$ , contradicting the fact that Ap is bounded. By the first part of the proof, there exists a projection  $h \in \mathcal{M}$ ,  $0 \neq h \leq (1 - e)q$ , such that  $h^h \leq Aq - e^h q$ . Then  $e + h \in \mathcal{M}$  is a projection, e + h > e,  $e + h \neq e$  and  $(e + h)^h = e^h + h^h = e^h (1 - q) + e^h q + h^h \leq A(1 - q) + Aq = A$ , contradicting the maximality of e. Hence  $e^h = A$ .

**Corollary.** Let  $\mathcal{M}$  be a type  $II_{\infty}$  von Neumann algebra with centre  $\mathcal{Z}$ ,  $\tau$  an n.s. f. trace on  $\mathcal{M}$  and  $\omega$  a normal weight on  $\mathcal{Z}$ . There exists a projection  $e \in \mathcal{M}$  such that  $\omega(z) = \tau(ez)$   $(z \in \mathcal{Z}^+)$ .

*Proof.* Let v be an n.s.f. weight on  $\mathscr Z$  and  $heta = heta(\mathscr Z, \tau, v)$ . By 12.2.(7) there exists  $A \in \mathscr{Z}^+$  such that  $\omega = v_A$  and by the preceding Proposition there exists a projection  $e \in \mathscr{M}$  such that  $e^h = A$ . Then, for any  $z \in \mathscr{Z}^+$ , we have  $\omega(z) = v(Az) = v(e^h z) = v((ez)^h) = \tau(ez)$ .

12.15. Let  $\mathcal{N} \subset \mathcal{M} \subset \mathcal{B}(\mathcal{H})$  be von Neumann algebras and  $E: \mathcal{M}^+ \to \overline{\mathcal{N}^+}$  a normal operator valued weight. For  $x \in \mathcal{N}' \cap \mathcal{M}$  and unitary  $v \in \mathcal{N}$ , we have  $v^*E(x)v = E(v^*xv) = E(x)$ , hence  $E(x) \in \overline{\mathcal{Z}(\mathcal{N})}^+$ . Consequently, putting  $\mathcal{N}^c = \mathcal{N}' \cap \mathcal{M}$ , we obtain a normal operator valued weight

$$E^c = E \mid (\mathcal{N}^c)^+ \colon (\mathcal{N}^c)^+ \to \overline{\mathcal{Z}(\mathcal{N})^+}.$$

Clearly, if E is faithful, then  $E^c$  is also faithful.

Theorem (U. Haagerup), Let  $\mathcal{M} \subset \mathcal{N} \subset \mathcal{B}(\mathcal{H})$  be von Neumann algebras. The following statements are equivalent:

- (i) there exists  $E \in P(\mathcal{M}, \mathcal{N})$  such that  $E^c \in P(\mathcal{N}^c, \mathcal{Z}(\mathcal{N}))$ ;
- (ii)  $P(\mathcal{M}, \mathcal{N}) \neq \emptyset$  and  $E \in P(\mathcal{M}, \mathcal{N}) \Rightarrow E^c \in P(\mathcal{N}^c, \mathcal{Z}(\mathcal{N}));$
- (iii) there exists a separating family of bounded normal N-valued weights on M;
- (iv) there exists a separating family of normal conditional expectations of  $\mathcal{M}$  onto  $\mathcal{N}$ .

If these conditions are satisfied, then the mapping

$$P(\mathcal{M}, \mathcal{N}) \ni E \mapsto E^c \in P(\mathcal{N}^c, \mathcal{Z}(\mathcal{N}))$$

is a bijection and, for any  $E, F \in P(\mathcal{M}, \mathcal{N})$  and any  $t \in \mathbb{R}$ , we have

(1) 
$$\sigma_t^{E^c} = \sigma_t^E,$$

$$[DF^c: DE^c]_t = [DF: DE]_t.$$

*Proof.* (I) We first assume that  $\mathcal{N}$  is countably decomposable. Consider a fixed faithful normal state  $\varphi$  on  $\mathcal{N}$  and put  $\omega = \varphi \mid \mathcal{Z}(\mathcal{N})$ .

(i)  $\Leftrightarrow$  (ii). Let  $E, F \in P(\mathcal{M}, \mathcal{N})$ . Assume that  $E^c$  is semifinite, i.e.  $E^c \in P(\mathcal{N}^c, \mathcal{Z}(\mathcal{N}))$ . It is clear that

(3) 
$$(\varphi \cdot E) \mid (\mathcal{N}^c)^+ = \omega \cdot E^c \text{ is semifinite.}$$

Since (11.15.(4))  $[D(\varphi \circ F): D(\varphi \circ E)]_t = [DF: DE]_t \in \mathcal{N}^c$   $(t \in \mathbb{R})$ , it follows also that

(4) 
$$\omega \cdot F^c = (\varphi \circ F) \mid (\mathcal{N}^c)^+ \text{ is semifinite}$$

and hence, by Proposition 11.7,  $F^c$  is semifinite, i.e.  $F^c \in P(\mathcal{N}^c, \mathcal{Z}(\mathcal{N}))$ .

(i)  $\Rightarrow$  (iii). Let  $E \in P(\mathcal{M}, \mathcal{N})$  and assume that  $E^c$  is semifinite. Then there exists a net  $\{v_i\}_{i \in I} \subset \mathcal{N}^c \cap \mathfrak{M}_F$  such that  $v_i \uparrow 1$ . It follows that the mappings

$$F_i: \mathcal{M}^+ \ni x \mapsto E(v_i^* x v_i) \in \mathcal{N}^+ \qquad (i \in I)$$

constitute a separating family of bounded normal operator valued weights.

(iii)  $\Rightarrow$  (iv). Let  $x_0 \in \mathcal{M}^+$ ,  $x_0 \neq 0$ . Assuming (iii), there exists a bounded normal operator valued weight  $F_0: \mathcal{M}^+ \to \mathcal{N}^+$  such that  $F_0(x_0) \neq 0$ . Since  $1 \in \mathcal{N}^c$ , we have  $F_0(1) \in \mathcal{Z}(\mathcal{N})$  and we may assume that  $F_0(1) \leq 1$ . Let  $\{F_i\}_{i \in I}$  be a maximal family of bounded normal  $\mathcal{N}$ -valued weights on  $\mathcal{M}$  which contains  $F_0$ , such that  $F_i(1) \leq 1$  and the supports  $s(F_i(1)) \in \mathcal{Z}(\mathcal{N})$  are mutually orthogonal. In view of (iii) we get  $\sum_{i \in I} s(F_i(1)) = 1$ . Consequently,  $F = \sum_{i \in I} F_i$  is a bounded normal  $\mathcal{N}$ -valued weight on  $\mathcal{M}$ ,  $F(x_0) \neq 0$  and  $a = F(1) \in \mathcal{Z}(\mathcal{N})^+$ ,  $0 \leq a \leq 1$ , s(a) = 1. Then  $a^{-1} \in \overline{\mathcal{Z}(\mathcal{N})}^+$  is a semifinite element and the equation

$$E(x) = a^{-1}F(x); x \in \mathcal{M}^+$$

defines a normal conditional expectation E of  $\mathcal{M}$  onto  $\mathcal{N}$  such that  $E(x_0) \neq 0$ . (iv)  $\Rightarrow$  (i). Let  $\{E_i\}_{i \in I}$  be a maximal family of bounded normal  $\mathcal{N}$ -valued weights on  $\mathcal{M}$  with mutually orthogonal supports  $0 \neq s(E_i) \in \mathcal{N}^c$  and let  $e = 1 - \sum_{i \in I} s(E_i) \in \mathcal{N}^c$ . If  $e \neq 0$ , then by (iv) there exists a normal conditional expectation  $F_0: \mathcal{M} \to \mathcal{N}$  with  $F_0(e) \neq 0$ . Since  $e \in \mathcal{N}^c$  it follows that the mapping  $E_0: \mathcal{M} \ni x \mapsto F_0(exe) \in \mathcal{N}$  is a bounded normal operator valued weight with  $0 \neq s(E_0) \leqslant e$ , contradicting the maximality of the family  $\{E_i\}_{i \in I}$ . Hence e = 0, i.e.  $\sum_{i \in I} s(E_i) = 1$ . Thus, the equation

$$E(x) = \sum_{l \in I} E_l(x) \qquad (x \in \mathcal{M}^+)$$

defines a normal faithful operator valued weight  $E: \mathcal{M}^+ \to \overline{\mathcal{N}}^+$ . Since  $s(E_i) \in \mathcal{N}^c$ ,  $E(s(E_i)) = E_i(1)$  is bounded, and  $\sum_{i \in I} s(E_i) = 1$ , it follows that  $E^c$  and E are semifinite.

Now consider  $E, F \in P(\mathcal{M}, \mathcal{N})$  and assume that  $E^c, F^c$  are semifinite. Using (3), 11.15. (2), 10.1 and 10.5, it follows that

$$\sigma_{t}^{E^{c}} = \sigma_{t}^{m \cdot E^{c}} | \mathcal{N}^{c} = \sigma_{t}^{\phi \cdot E} | \mathcal{N}^{c} = \sigma_{t}^{E} \qquad (t \in \mathbb{R}).$$

Consider also the operator valued weight  $\Theta = \Theta(E, F) \in P(Mat_2(\mathcal{M}), \mathcal{N} \otimes 1)$  defined in Section 11.15. It is easy to check that

$$\Theta^{c} = \Theta \mid (\mathcal{N} \otimes 1)' \cap Mat_{2}(\mathcal{M}) = \Theta(E^{c}, F^{c}) \in P(Mat_{2}(\mathcal{N}^{c}), \mathcal{Z}(\mathcal{N}) \otimes 1),$$

i.e.  $\Theta^c$  is semifinite. It follows that  $\sigma_i^{\Theta^c} = \sigma_i^{\Theta}(t \in \mathbb{R})$ , and hence  $[DF^c: DE]_i = [DF: DE]_i(t \in \mathbb{R})$ . We have thus proved statements (1) and (2).

The injectivity of the mapping  $E \mapsto E^c$  follows from (2); its surjectivity can

be easily proved using (2) and Corollary 12.13.

(II) Assume now that  $\mathcal{N}$  is uniform of type  $\gamma$  ([L], 8.5). Then there exists a countably decomposable von Neumann algebra  $\mathcal{N}_{\bullet}$  and a type I factor  $\mathcal{F}$  such that  $\mathcal{N} = \mathcal{N}_{\bullet} \ \overline{\otimes} \ \mathcal{F}$ . Let  $\mathcal{M} = \mathcal{M}_{\bullet} \ \overline{\otimes} \ \mathcal{F}$  be the corresponding factorization of  $\mathcal{M}$  with  $\mathcal{M}_{\bullet} \supset \mathcal{N}_{\bullet}$  (see 9.15). By 12.9, every  $E \in P(\mathcal{M}, \mathcal{N})$  is of the form  $E = E_{\bullet} \ \overline{\otimes} \ I_{\mathcal{F}}$ 

with  $E_0 \in P(\mathcal{M}_0, \mathcal{N}_0)$ . It is easy to check that  $E^c$  is semifinite if and only if  $E_0^c$  is semifinite and, in this case,

$$\sigma_t^E = \sigma_t^{E_0} \mathbin{\overline{\otimes}} \iota_{\mathfrak{F}} , \ \sigma_t^{E^c} = \sigma_t^{E^c} \mathbin{\overline{\otimes}} \iota_{\mathfrak{F}} \qquad (t \in \mathbb{R}).$$

Using these facts it is easy to see that the proof of the Theorem in this case reduces to the case considered in the first part of the proof.

(III) In the general case there exist a family  $\Gamma$  of distinct infinite cardinals and a family  $\{q_\gamma\}_{\gamma\in\Gamma}$  of projections in  $\mathscr{Z}(\mathscr{N})$  such that  $\sum_{\gamma}q_\gamma=1$  and each  $\mathscr{N}q_\gamma$  is uniform of type  $\gamma$  ([L], 8.5). If  $E\in P(\mathscr{M},\mathscr{N})$  and  $E_\gamma=E\mid q_\gamma\mathscr{M}q_\gamma, E_\gamma^c=E\mid q_\gamma\mathscr{N}^c,$   $(\gamma\in\Gamma)$ , then  $E^c$  is semifinite if and only if each  $E_\gamma^c$  is semifinite and, in this case,

$$\sigma_t^{E^c} = \sigma_t^E(t \in \mathbb{R}) \Leftrightarrow \sigma_t^{E^c_{\gamma}} = \sigma_t^{E_{\gamma}} (t \in \mathbb{R}, \, \gamma \in \Gamma).$$

Thus, the general case reduces to the case (II).

**12.16.** Let  $\mathcal{N} \subset \mathcal{M} \subset \mathcal{B}(\mathcal{H})$  be von Neumann algebras. The equivalent conditions in Theorem 12.15 are satisfied in each of the following particular cases:

- (1)  $\mathcal{N}$  is a direct sum of type I factors;
- (2)  $\mathcal{M} = \mathcal{N} \ \overline{\otimes} \ \mathcal{R}$ , where  $\mathcal{N}$  is identified with  $\mathcal{N} \otimes 1 \subset \mathcal{M}$ ;
- $\mathcal{N} = \mathscr{Z}(\mathcal{M}).$

Indeed, in all these cases there are separating families of normal conditional expectations of  $\mathcal{M}$  onto  $\mathcal{N}$  (see 10.23, 9.8.(3), 10.16).

In particular, in all these cases we have  $P(\mathcal{M}, \mathcal{N}) \neq \emptyset$ . According to Corollary 12.11, we have  $P(\mathcal{M}, \mathcal{N}) \neq \emptyset$  also in the case when

(4) M is a direct sum of type I factors.

Finally, by Theorem 12.1 it follows that  $P(\mathcal{M}, \mathcal{N}) = \emptyset$  whenever

(5) 
$$\mathcal{M}$$
 and  $\mathcal{N}$  are semifinite.

12.17. In this Section we consider some results which show that the equivalent conditions in Theorem 12.15 are not always satisfied.

We begin with two general remarks. Let  $\mathcal{N} \subset \mathcal{M} \subset \mathcal{B}(\mathcal{H})$  be von Neumann algebras.

(1) If  $\mathcal{M}$  and  $\mathcal{N}$  are semifinite, then, for every  $E \in P(\mathcal{M}, \mathcal{N})$ , the modular automorphism group  $\{\sigma_t^E\}_{t \in \mathbb{R}} \subset Aut(\mathcal{N}' \cap \mathcal{M})$  is implemented by a unitary representation  $\mathbb{R} \mapsto U(\mathcal{N}' \cap \mathcal{M})$ .

Indeed, let  $\varphi$  and  $\psi$  be n.s.f. traces on  $\mathcal{M}$  and  $\mathcal{N}$ , respectively, and let  $E \in P(\mathcal{M}, \mathcal{N})$  be uniquely determined such that  $\varphi = \psi \cdot E$  (see 12.3). Then, by I 1.15.(2),  $\sigma_i^E = \sigma_i^{\psi \cdot E} \mid \mathcal{N}' \cap \mathcal{M} = \sigma_i^{\varphi} = \iota(t \in \mathbb{R})$ , and hence, for any  $F \in P(\mathcal{M}, \mathcal{N})$ , we

have  $w_t = [DF: DE]_t \in \mathcal{N}' \cap \mathcal{M}$  and  $\sigma_t^F = Ad(w_t) (t \in \mathbb{R})$  (see 11.15.(4), 11.15.(5)).

(2) If  $\mathcal{M}$  and  $\mathcal{N}$  satisfy the equivalent conditions in 12.15, then for every  $E \in P(\mathcal{M}, \mathcal{N})$  there exists  $\varphi \in W_{nsf}(\mathcal{N}' \cap \mathcal{M})$  such that  $\sigma_t^E = \sigma_t^{\varphi} (t \in \mathbb{R})$ .

Indeed, let v be an n.s.f. weight on  $\mathscr{Z}(\mathcal{N})$  and  $\varphi = v \cdot E^r$ . Then for every  $x \in \mathcal{N}' \cap \mathcal{M}$  we have (12.15.(1)):  $\sigma_t^E(x) = \sigma_t^{E^r}(x) = \sigma_t^{v \cdot E^r}(x) = \sigma_t^{\varphi}(x) (t \in \mathbb{R})$ .

Haagerup ([106]) showed that there exists an approximately finite dimensional type  $II_{\infty}$  factor  $\mathcal{A} \subset \mathcal{A}(\mathcal{H})$ , with  $\mathcal{H}$  a separable Hilbert space, and an abelian von Neumann algebra  $\mathcal{A} \subset \mathcal{A}$  such that the relative commutant  $\mathcal{A}^c = \mathcal{A}' \cap \mathcal{R}$  is of type III.

Then  $\mathcal{R}$  and  $\mathcal{A}$  are semifinite, hence  $P(\mathcal{R}, \mathcal{A}) \neq \emptyset$ , but they do not satisfy the equivalent conditions in Theorem 12.15, as is easily seen using (1), (2) and

([L], 10.29).

From the example of Haagerup it also follows that there exists an n.s.f. weight  $\varphi$  on the von Neumann algebra  $\mathcal{M} = \mathcal{R}$  such that the centralizer  $\mathcal{M}^{\varphi}$  is of type III. Indeed, since  $\mathcal{A} \subset \mathcal{A}(\mathcal{H})$  is abelian and  $\mathcal{H}$  is separable, there exists a positive operator  $a \in \mathcal{A}$ ,  $0 \le a \le 1$ , s(a) = 1, such that  $\mathcal{A}$  is the von Neumann algebra generated by a, i.e.  $\mathcal{A} = \{a\}''$  ([236], Prop. 8.14). Let  $\tau$  be an n.s.f. trace on  $\mathcal{A}$  and  $\varphi = \tau_a$  an n.s.f. weight on  $\mathcal{M} = \mathcal{R}$ . Since  $\sigma_i^{\varphi} = \operatorname{Ad}(a^{ir})$  ( $t \in \mathbb{R}$ ), it follows that  $\mathcal{M}^{\varphi} = \mathcal{R} \cap \{a\}' = \mathcal{A}' \cap \mathcal{R}$  is of type III. By Corollary 12.10 we see that in this case there is no  $\sigma^{\varphi}$ -invariant n.s.f.  $\mathcal{M}^{\varphi}$ -valued weight on  $\mathcal{M}$ .

Another instance when  $P(\mathcal{M}, \mathcal{N}) \neq \emptyset$  but  $\mathcal{M}$  and  $\mathcal{N}$  do not satisfy the equivalent conditions in Theorem 12.15 is the case of the continuous decomposition  $\mathcal{M} = \mathcal{R}(\mathcal{N}, \theta)$  of a properly infinite  $W^*$ -algebra  $\mathcal{M}$  (see 23.7). In this case there

are no non-zero normal conditional expectations of  $\mathcal{M}$  onto  $\mathcal{N}$  ([103]).

12.18. Let  $\mathscr{M}, \mathscr{N}$  be  $W^*$ -algebras and  $\varphi$  a normal weight on  $\mathscr{M}$ . We shall identify  $\mathscr{N}$  with  $1_{\mathscr{M}} \otimes \mathscr{N} \subset \mathscr{M} \otimes \mathscr{N}$ . As in Section 9.8, we define a normal operator valued weight  $E_{\mathscr{C}}^*: (\mathscr{M} \otimes \mathscr{N})^+ \to \overline{\mathscr{N}}^+$  by

(1) 
$$E_{\mathcal{F}}^{\varphi}(x)(\psi) = (\varphi \ \overline{\otimes} \ \psi)(x) \qquad (x \in (\mathcal{M} \ \overline{\otimes} \mathcal{N})^{+}, \psi \in \mathcal{N}_{\Phi}^{+});$$

 $E_{J'}^{\sigma}$  is called the Fubini mapping associated with  $\varphi$ . It is easy to check that  $E_{J'}^{\sigma}$  is semifinite (resp. faithful) if and only if  $\varphi$  is semifinite (resp. faithful). Using Combes' theorem (2.6), (1) has the extension

$$\psi \cdot E_{x'}^{\bullet} = \varphi \ \overline{\otimes} \ \psi,$$

valid for any normal weight  $\psi$  on  $\mathcal{N}$ .

If  $\varphi$  is an n.s.f. weight on  $\mathcal{M}$ , then equation (2) means that

$$E_{X}^{\bullet} = \varphi \ \overline{\otimes} \ \iota_{X},$$

where the tensor product is defined as in Corollary 12.8.

12.19. Notes. The results contained in this Section are due to Haagerup [103]. Proposition 12.12 contains an improvement due to Connes [49] and Proposition 12.14 is a classical result.

For our exposition we have used [49] and [103].

#### Chapter III

## Groups of automorphisms

### § 13. Groups of isometries on Banach spaces

In this Section we describe the general framework for the spectral analysis of groups of isometries on Banach spaces.

13.1. Let  $\mathscr X$  be a Banach space and  $\mathscr X_* \subset \mathscr X^*$  a closed linear subspace. Besides the norm topologies, we shall also consider the weak topologies  $w = \sigma(\mathscr X, \mathscr X_*)$  on  $\mathscr X$  and  $w_* = \sigma(\mathscr X_*, \mathscr X)$  on  $\mathscr X_*$ .

Consider the following conditions on the pair  $(\mathcal{X}, \mathcal{X}_{\star})$ :

$$(1_{\mathcal{X}}) \quad ||x|| = \sup \{ |\rho(x)|; \ \rho \in \mathcal{X}_{+}, \ ||\rho|| \leq 1 \} \ \text{for every } x \in \mathcal{X};$$

$$(2_x)$$
 if  $\mathscr{K} \subset \mathscr{X}$  is w-compact, then  $\overline{co}^w(\mathscr{K}) \subset \mathscr{X}$  is also w-compact:

$$(3_x)$$
 if  $\mathscr{K} \subset \mathscr{X}_*$  is  $w_*$ -compact, then  $\overline{co}^{w_*}(\mathscr{K}) \subset \mathscr{X}_*$  is also  $w_*$ -compact.

**Lemma 1.** Let  $(\mathcal{X}, \mathcal{X}_*)$  be a pair satisfying conditions  $(1_x), (2_x)$  and  $\mu$  a bounded regular Borel measure on a separable locally compact Hausdorff space S. For every w-continuous norm-bounded function  $x(\cdot): S \to \mathcal{X}$  there exists a unique element  $x \in \mathcal{X}$  such that

(1) 
$$\rho(x) = \int_{S} \rho(x(s)) \, \mathrm{d}\mu(s) \qquad (\rho \in \mathcal{X}_{\bullet}).$$

Proof. The equation

$$f(\rho) = \int_{S} \rho(x(s)) \, \mathrm{d}\mu(s) \qquad (\rho \in \mathscr{X}_{\bullet}),$$

defines a linear form f on  $\mathcal{X}_{\bullet}$ . To prove the Lemma it is sufficient to show that f is  $\sigma(\mathcal{X}_{\bullet}, \mathcal{X})$ -continuous or, equivalently, that f is continuous with respect to the Mackey topology  $\tau(\mathcal{X}_{\bullet}, \mathcal{X})$ . Thus, we have to show that there exist an absolutely convex w-compact set  $\mathcal{L} \subset \mathcal{X}$  and c > 0 such that

(2) 
$$|f(\rho)| \leq c \sup \{ |\rho(x)| \; ; \; x \in \mathcal{L} \} \quad (\rho \in \mathcal{X}_{\bullet}).$$

We first assume that  $C = supp \mu$  is compact. Then we have

$$|f(\rho)| \leq ||\mu|| \sup \{|\rho(x(s))|; s \in C\} \quad (\rho \in \mathcal{X}_{\bullet}).$$

Since C is compact and  $x(\cdot)$  is w-continuous, the set  $x(C) \subset \mathcal{X}$  is w-compact. Then the set  $\mathcal{X} = \{\lambda \cdot x(s); s \in C, |\lambda| = 1\}$  is w-compact, and hence  $\mathcal{L} = \overline{co}^*(\mathcal{X})$  is absolutely convex and w-compact by condition  $(2_x)$ . Since  $x(C) \subset \mathcal{L}$ , inequality (2) follows from (3).

In the general case there exists an increasing sequence  $\{C_n\}_{n>1}$  of compact subsets of S such that  $|\mu|(S \setminus C_n) \to 0$ . By the first part of the proof, there exist  $x_n \in \mathcal{X}$  such that

$$\rho(x_n) = \int_{C_n} \rho(x(s)) \, \mathrm{d}\mu(s) \qquad (\rho \in \mathcal{X}_{+}, \ n > 1).$$

Then, for every  $\rho \in \mathcal{X}_{\bullet}$ , we have

(4) 
$$|\rho(x_n) - f(x)| \le ||\rho|| \sup \{||x(s)||; s \in S\} |\mu|(S \setminus C_n) \to 0$$

and using condition  $(1_x)$  it follows that  $\{x_n\}_{n\geq 1}$  is a Cauchy sequence in  $\mathcal{X}$ . If  $x\in \mathcal{X}$  is the limit of this sequence, it then follows from (4) that  $\rho(x) = f(\rho)$  for all  $\rho \in \mathcal{X}_{\bullet}$ . The uniqueness of the element x satisfying (1) follows obviously using  $(1_x)$ .

The unique element  $x \in \mathcal{X}$  satisfying (1) will be denoted by

$$x=\int_{S}x(s)\;\mathrm{d}\mu(s).$$

Consider now two pairs  $(\mathcal{X}, \mathcal{X}_{\bullet})$  and  $(\mathcal{Y}, \mathcal{Y}_{\bullet})$  satisfying conditions  $(1_{\mathcal{I}}), (2_{\mathcal{I}})$  and  $(1_{\mathcal{I}}), (2_{\mathcal{I}})$ . Let  $\mathcal{B}(\mathcal{X}, \mathcal{Y})$  be the Banach space of all bounded linear operators  $\mathcal{X} \to \mathcal{Y}$  and  $\mathcal{B}_{w}(\mathcal{X}, \mathcal{Y})$  the linear space of all w-continuous linear operators  $\mathcal{X} \to \mathcal{Y}$ . Using the Banach-Steinhauss theorem, it is easy to check that  $\mathcal{B}_{w}(\mathcal{X}, \mathcal{Y}) \subset \mathcal{B}(\mathcal{X}, \mathcal{Y})$  is a norm-closed linear subspace. In particular,  $\mathcal{B}_{w}(\mathcal{X}, \mathcal{Y})$  is a Banach space. For  $\rho \in \mathcal{Y}_{\bullet}$  and  $x \in \mathcal{X}$  define a bounded linear form  $\rho(\cdot x)$  on  $\mathcal{B}_{w}(\mathcal{X}, \mathcal{Y})$  by

$$\rho(\cdot x)(T) = \rho(Tx) \qquad (T \in \mathcal{B}_w(\mathcal{X}, \mathcal{Y}))$$

and define the norm-closed linear subspace  $\mathscr{A}_{w}(\mathscr{X}, \mathscr{Y})_{\bullet}$  by

$$\mathscr{B}_{\mathbf{w}}(\mathscr{X},\mathscr{Y})_{\bullet} = \overline{\lim} \left\{ \rho(\cdot x); \ \rho \in \mathscr{Y}_{\bullet} \,, \ x \in \mathscr{X} \right\} \subset \mathscr{B}_{\mathbf{w}}(\mathscr{X},\mathscr{Y})^{\bullet}.$$

Lemma 2. In the above situation, if the pair  $(\mathcal{X}, \mathcal{X}_{\bullet})$  also satisfies condition  $(3_{\mathcal{X}})$ , then the pair  $(\mathcal{A}_{w}(\mathcal{X}, \mathcal{Y}), \mathcal{A}_{w}(\mathcal{X}, \mathcal{Y})_{\bullet})$  satisfies conditions  $(1_{\mathcal{A}_{w}(\mathcal{X}, \bullet)}), (2_{\mathcal{A}_{w}(\mathcal{X}, \bullet)})$ .

*Proof.* Let  $T \in \mathcal{B}_{w}(\mathcal{X}, \mathcal{Y})$ . By  $(1_{\mathscr{Y}})$  we have  $||Tx|| = \sup \{|\rho(Tx)|; \rho \in \mathcal{Y}_{*}, \sigma \in \mathcal{Y}_{*}\}$  $\|\rho\| \leqslant 1$  for all  $x \in \mathcal{X}$  and hence  $\|T\| = \sup \{ |\rho(Tx)|; \ \rho \in \mathcal{Y}_*, \ x \in \mathcal{X}, \ \|\rho\| \leqslant 1,$  $||x|| \le 1$   $\le \sup \{ |\varphi(T)|; \varphi \in \mathcal{B}_{w}(\mathcal{X}, \mathcal{Y})_{*}, ||\varphi|| \le 1 \} \le ||T||$ . This proves that condition  $(l_{\mathscr{B}_{\omega}}(x, \mathscr{Y}))$  is satisfied.

Note that each separately  $(w, w_*)$ -continuous bilinear form  $\mathscr{X} \times \mathscr{Y}_* \ni (x, \rho) \mapsto$  $\mapsto \langle x, \rho \rangle \in \mathbb{C}$  defines a unique element  $T \in \mathcal{B}_{w}(\mathcal{X}, \mathcal{Y})$  such that  $\rho(Tx) = \langle x, \rho \rangle$ for  $x \in \mathcal{X}$  and  $\rho \in \mathcal{Y}_{*}$ .

Now let  $\mathcal{X} \subset \mathcal{B}_{w}(\mathcal{X}, \mathcal{Y})$  be a w-compact set and denote by  $\mathfrak{T}$  the convex set of all regular Borel probability measures on  $\mathcal{K}$ . For each  $\mu \in \mathfrak{D}$  we can define a bilinear form  $\langle \cdot, \cdot \rangle_{\mu}$  on  $\mathscr{X} \times \mathscr{Y}_{*}$  by

$$\langle x, \rho \rangle_{\mu} = \int_{\mathcal{X}} \rho(Tx) \, \mathrm{d}\mu(T) \qquad (x \in \mathcal{X}, \ \rho \in \mathcal{Y}_{\bullet}).$$

We show that  $\langle \cdot, \cdot \rangle_{\mu}$  is separately  $(w, w_*)$ -continuous. Let  $\rho \in \mathscr{Y}_*$  be fixed. The mapping  $\mathscr{B}_{w}(\mathscr{X}, \mathscr{Y}) \ni T \mapsto \rho \circ T \in \mathscr{X}_{*}$  is continuous with respect to the w-topology on  $\mathscr{B}_{w}(\mathscr{X},\mathscr{Y})$  and the  $w_{*}$ -topology on  $\mathscr{X}_{*}$ , so that the set  $\{\rho \circ T; T \in \mathscr{K}\} \subset \mathscr{X}_{*}$  is  $w_*$ -compact. According to condition (3x) it follows that the  $w_*$ -closed absolutely convex envelope  $\mathscr{L} \subset \mathscr{X}$  of  $\{\rho \circ T; T \in \mathscr{K}\}$  is  $w_*$ -compact. We have

$$|\langle x, \rho \rangle_{\mu}| \leq \int_{\mathcal{X}} |\rho(Tx)| \, \mathrm{d}|\mu|(T) \leq ||\mu|| \, \sup \{|\varphi(x)|; \, \varphi \in \mathcal{L}\}.$$

Thus, the mapping  $\mathcal{X} \ni x \mapsto \langle x, \rho \rangle_{\mu}$  is continuous with respect to the Mackey topology  $\tau(\mathcal{X}, \mathcal{X}_*)$  and hence also with respect to the weak topology  $w = \sigma(\mathcal{X}, \mathcal{X}_*)$ . Similarly, for each fixed  $x \in \mathcal{X}$ , the mapping  $\mathcal{Y}_* \ni \rho \mapsto \langle x, \rho \rangle_{\mu}$  is  $w_*$ -continuous. Consequently, for every measure  $\mu \in \mathcal{X}$  there exists a unique element

 $T_{\mu} \in \mathscr{B}_{\mathbf{w}}(\mathscr{X}, \mathscr{Y})$  such that

(5) 
$$\rho(T_{\mu}x) = \int_{\mathbb{R}} \rho(Tx) \, \mathrm{d}\mu(T) \qquad (\rho \in \mathscr{Y}_{\bullet}, x \in \mathscr{X}).$$

Since these equalities are valid in particular for the Dirac measures on  $\mathcal{K}$ , it follows that  $\{T_{\mu}; \ \mu \in \mathfrak{L}\}$  is a convex set containing  $\mathscr{K}$ . Thus, in order to check condition  $(2_{\mathscr{B}_{\omega}(\mathfrak{X},\mathscr{Y})})$ , it is sufficient to show that the set  $\{T_{\mu}; \mu \in \mathfrak{L}\} \subset \mathscr{B}_{\omega}(\mathfrak{X},\mathscr{Y})$  is w-compact. By the Alaoglu theorem,  $\mathfrak L$  is a  $\sigma(\mathcal M(\mathcal K), \mathcal C(\mathcal K))$ -compact subset of  $\mathcal M(\mathcal K) = \mathcal C(\mathcal K)^*$ , hence it is enough to show that the mapping  $\mathfrak{A} \ni \mu \mapsto T_{\mu} \in \mathscr{B}_{w}(\mathcal{X}, \mathcal{Y})$  is continuous with respect to the corresponding topologies. Thus, we have to show that for each  $F \in \mathcal{B}_{\mathbf{w}}(\mathcal{X}, \mathcal{Y})_{*}$  the mapping  $\mathfrak{D} \ni \mu \to F(T_{\mu})$  is  $\sigma(\mathcal{M}(\mathcal{X}), \mathcal{C}(\mathcal{X}))$ -continuous. Indeed, the function  $\mathscr{K}\ni T\mapsto F(T)$  belongs to  $\mathscr{C}(\mathscr{K})$  and therefore the mapping  $\mathfrak{Z}\ni \mu\mapsto \int\limits_{\mathcal{X}} F(T)\,\mathrm{d}\mu(T) \ \text{is} \ \sigma(\mathcal{M}(\mathcal{X}),\mathcal{C}(\mathcal{X}))\text{-continuous, while from (5) it follows}$  that  $F(T_{\mu})=\int\limits_{\mathcal{X}} F(T)\,\mathrm{d}\mu(T)$ . Note that

(6) 
$$x = \int_{S} x(s) \, \mathrm{d}\mu(s) \in \mathcal{X}, \quad T \in \mathcal{B}_{w}(\mathcal{X}, \mathcal{Y}) \Rightarrow Tx = \int_{S} Tx(s) \, \mathrm{d}\mu(s) \in \mathcal{Y}.$$

13.2. Let  $(\mathcal{X}, \mathcal{X}_*)$  be a pair satisfying conditions  $(1_x)$ ,  $(2_x)$  and let G be a separable locally compact group with neutral element  $e \in G$ . A continuous representation of G on  $\mathcal{X}$  is a mapping  $U: G \to \mathcal{B}_w(\mathcal{X})$  such that  $U_e = \iota_X$ ,  $U_{st} = U_s U_t$  and  $||U_t|| = 1$  for  $s, t \in G$  and the functions

$$G \ni t \mapsto \rho(U_t x) \in \mathbb{C}$$
  $(x \in \mathcal{X}, \rho \in \mathcal{X}_{\bullet})$ 

are continuous.

Sometimes it is necessary to impose stronger continuity conditions, such as the norm-continuity of the functions

$$(C_{U}) G \ni t \mapsto U_{r}x \in \mathcal{X} (x \in \mathcal{X})$$

or the norm-continuity of the functions

$$(C_U^*) \hspace{1cm} G\ni t\mapsto \rho\, \cdot\, U_t\in \mathcal{X}_* \hspace{1cm} (\rho\in \mathcal{X}_*).$$

Let  $\mathcal{M}(G)$  be the convolution Banach algebra of bounded regular Borel measures on G; the \*-subalgebra of those measures which are absolutely continuous with respect to the Haar measure can be identified with  $\mathcal{L}^1(G)$ .

Let  $\mu \in \mathcal{M}(G)$ . For each  $x \in \mathcal{X}$ , the function  $G \ni t \mapsto U_t x \in \mathcal{X}$  is norm-bounded and w-continuous, so that Lemma 1/13.1 assures us that there is a well-defined element  $U_{\mu}x$  such that  $U_{\mu}x = \int_G U_t x \, d\mu(t)$ . We thus obtain an element  $U_{\mu} \in \mathcal{A}(\mathcal{X})$ 

with  $||U_{\pi}|| \leq ||\mu||$ .

The mapping  $\mathcal{M}(G) \ni \mu \mapsto U_{\mu} \in \mathcal{B}(\mathcal{X})$  is a Banach algebra homomorphism, in particular we have  $U_{\mu \bullet \nu} = U_{\mu}U_{\nu}$ ,  $(\mu, \nu \in \mathcal{M}(G))$ . Indeed, for any  $x \in \mathcal{X}$  we have

$$\begin{aligned} U_{\mu}U_{\nu}x &= \int U_{s}U_{\nu}x \,\mathrm{d}\mu(s) = \int U_{s}\left(\int U_{t}x \,\mathrm{d}v(t)\right)\mathrm{d}\mu(s) = \\ &= \left(\int U_{s}x \,\mathrm{d}\mu(s) \,\mathrm{d}v(t) = \int U_{r}x \,\mathrm{d}(\mu * \nu)(r) = U_{\mu * \nu}x. \right. \end{aligned}$$

For the Dirac measures  $\delta_t$  we obviously have  $U_{\delta_t} = U_t$ ,  $(t \in G)$ .

On the other hand, the set  $\{U_f x; f \in \mathcal{L}^1(G), x \in \mathcal{X}\}$  is w-dense in X. More precisely, we have the following result:

Lemma. Let  $\{V_i\}_{i\in I}$  be a fundamental system of neighbourhoods of the neutral element of G. For each  $i\in I$ , let  $f_i$  be a positive continuous function on G with compact support supp  $f_i\subset V_i$  and  $\int f_i(t)\,\mathrm{d}t=1$ . Then  $U_{f_i}x\overset{\mathrm{w}}{i\in I}x$  for all  $x\in\mathcal{X}$ .

Proof. Let  $x \in \mathcal{X}$ ,  $\rho \in \mathcal{X}_*$  and  $\varepsilon > 0$ . Since U is a continuous representation, there exists  $i \in I$  such that  $|\rho(U_t x - x)| < \varepsilon$  for  $t \in V_i$ . Then  $|\rho(U_t x - x)| = \left| \int_{V_t} \rho(U_t x - x) f_i(t) \, dt \right| \le \varepsilon$ .

Note that the strong continuity condition  $(C_U)$  implies that  $||U_{f_i}x - x||_{i \in I} = 0$  for all  $x \in \mathcal{X}$ .

If the pair  $(\mathcal{X}, \mathcal{X}_*)$  also satisfies condition  $(3_{\mathcal{X}})$ , then  $U_{\mu} \in \mathcal{B}_{w}(\mathcal{X})$  for  $\mu \in \mathcal{M}(G)$ . Indeed, for any  $\rho \in \mathcal{X}_*$ , the function  $G \ni t \mapsto \rho \circ U_t \in \mathcal{X}_*$  is norm-bounded and  $w_*$ -continuous. Condition  $(3_{\mathcal{X}})$  shows that we can apply Lemma 1/13.1 to the pair  $(\mathcal{X}_*, \mathcal{X})$  and obtain an element  $\rho' \in \mathcal{X}_*$  such that  $\rho'(x) = \int \rho(U_t x) \, \mathrm{d}\mu(t) = \rho(U_\mu x)$  for  $x \in \mathcal{X}$ . It follows that  $\rho \circ U_\mu = \rho' \in \mathcal{X}_*$  for each  $\rho \in \mathcal{X}_*$ , i.e.  $U_\mu \in \mathcal{B}_w(\mathcal{X})$ .

13.3. Lemma. Consider two pairs  $(\mathcal{X}, \mathcal{X}_*)$  and  $(\mathcal{Y}, \mathcal{Y}_*)$  satisfying conditions  $(1_{\mathcal{X}})$ ,  $(2_{\mathcal{X}})$ ,  $(3_{\mathcal{X}})$  and  $(1_{\mathcal{Y}})$ ,  $(2_{\mathcal{Y}})$ . Consider also two continuous representations  $U: G \to \mathcal{B}_w(\mathcal{X})$  and  $V: G \to \mathcal{B}_w(\mathcal{Y})$  of the separable locally compact group G on  $\mathcal{X}$  and  $\mathcal{Y}$ , respectively, and assume that at least one of the strong continuity conditions  $(C_{\mathcal{Y}})$  holds. Then the equation

$$\mathfrak{S}_s T = V_s T U_s^{-1} \qquad (T \in \mathscr{B}_w(\mathscr{X}, \mathscr{Y}), \ s \in G)$$

defines a continuous representation  $\mathfrak{S}\colon G\to \mathscr{B}_{w}(\mathscr{B}_{w}(\mathscr{X},\mathscr{Y}))$  and for every  $\mu\in \mathscr{M}(G)$  we have  $\mathfrak{S}_{\mu}\in \mathscr{B}_{w}(\mathscr{B}_{w}(\mathscr{X},\mathscr{Y}))$ .

**Proof.** By Lemma 2/13.1, the pair  $(\mathcal{B}_w(\mathcal{X}, \mathcal{Y}), \mathcal{B}_w(\mathcal{X}, \mathcal{Y})_*)$  satisfies conditions  $(1_{\mathcal{B}_w(\mathcal{X}, \mathcal{Y})}), (2_{\mathcal{B}_w(\mathcal{X}, \mathcal{Y})})$ . It is clear that  $\mathfrak{S}_e$  is the identity mapping,  $\mathfrak{S}_{zt}, = \mathfrak{S}_z \mathfrak{S}_t$ ,  $\|\mathfrak{S}_z\| \leq \|V_z\| \|U_z^{-1}\| = 1$  and  $\mathfrak{S}_z \in \mathcal{B}_w(\mathcal{B}_w(\mathcal{X}, \mathcal{Y}))$  for  $s, t \in G$ . To check the continuity of  $\mathfrak{S}$ , we must show that for each  $T \in \mathcal{B}_w(\mathcal{X}, \mathcal{Y})$  and each  $F \in \mathcal{B}_w(\mathcal{X}, \mathcal{Y})_*$  the function  $G \ni s \mapsto F(\mathfrak{S}_z T)$  is continuous. Clearly, we may assume that  $F = \rho(\cdot x)$  with  $\rho \in \mathcal{Y}_*$  and  $x \in \mathcal{X}$ . Then  $F(\mathfrak{S}_z T) = \rho(V_z T U_z^{-1} x) = (\rho \circ V_z)(T U_z^{-1} x)$ . Using, for instance, condition  $(C_v^*)$ , for  $s \to s_0$  we obtain

$$\begin{split} \|F(\mathfrak{S}_{z}T) - F(\mathfrak{S}_{z_{0}}T)\| \leqslant \\ \leqslant \|\rho \cdot V_{z} - \rho \cdot V_{z_{0}}\| \|T\| \|x\| + |(\rho \cdot V_{z_{0}})(TU_{z^{-1}}x - TU_{z_{0}^{-1}}x)| \to 0. \end{split}$$

Consider now  $\mu \in \mathcal{M}(G)$ . In order to check that  $\mathfrak{S}_{\mu} \in \mathcal{B}_{w}(\mathcal{X}, \mathcal{Y})$ , we have to show that  $F \circ \mathfrak{S}_{\mu} \in \mathcal{B}_{w}(\mathcal{X}, \mathcal{Y})_{*}$  for any  $F \in \mathcal{B}_{w}(\mathcal{X}, \mathcal{Y})_{*}$ , but it is sufficient to consider only  $F = \rho(\cdot x)$  with  $\rho \in \mathcal{Y}_{*}$  and  $x \in \mathcal{X}$ . Then

$$(F \circ \mathfrak{S}_{\mu})(T) = \int (\rho \circ V_{\mathfrak{s}})(TU_{\mathfrak{s}}^{-1}x) \, \mathrm{d}\mu(\mathfrak{s}).$$

Assume first that  $supp \ \mu = C$  is compact and let  $\varepsilon > 0$ . Using, for instance, the strong continuity condition  $(C_V^*)$ , it follows that the set  $\{\rho \circ V_s; s \in C\} \subset \mathscr{Y}_*$  is norm-compact, so that there exists a finite set  $\{\rho_1, \ldots, \rho_n\} \subset \mathscr{Y}_*$  such that the union of the sets  $E_k = \{s \in G; \ \|\rho \circ V_s - \rho_k\| \le \varepsilon\} \ (k = 1, \ldots, n)$ , contains  $\{\rho \circ V_s; s \in C\}$ . Then  $S_1 = E_1$  and  $S_k = E_k \setminus (E_1 \cup \ldots \cup E_{k-1}) \ (k = 2, \ldots, n)$ ,

are mutually disjoint Borel sets and  $\bigcup_k S_k = \bigcup_k E_k \supset \{\rho \cdot V_s; s \in C\}$ . With  $x_k = \int_S U_s^{-1} x \, \mathrm{d}\mu(s) \in \mathcal{X} \ (k = 1, ..., n)$ , it is easy to see that

$$\left\| F \circ \mathfrak{S}_{\mu} - \sum_{k=1}^{n} \rho_{k}(\cdot x_{k}) \right\| \leq \varepsilon \|x\| \|\mu\|.$$

Since  $\varepsilon > 0$  was arbitrary, it follows that  $F \cdot \mathfrak{S}_{\alpha} \in \mathscr{B}_{\alpha}(\mathcal{X}, \mathscr{Y})_{\alpha}$ .

In the general case there exists an increasing sequence  $\{C_n\}_{n\geq 1}$  of compact sets in G with  $|\mu|(G\setminus C_n)\to 0$ . By the preceding paragraph, there exist  $F_n\in \mathscr{B}_w(\mathscr{X},\mathscr{Y})_{+}$  such that  $F_n(T)=\int_{C_n}F(\mathfrak{S}_nT)\,\mathrm{d}\mu(s)$   $(n\geq 1)$ . It follows that the sequence  $\{F_n\}_{n\geq 1}$ 

is norm-convergent to  $F \cdot \mathfrak{S}_{\mu}$ , and so  $F \cdot \mathfrak{S}_{\mu} \in \mathscr{B}_{\mu}(\mathscr{X}, \mathscr{Y})_{\bullet}$ .

13.4. Let  $\mathscr{X}$  be a Banach space and  $\mathscr{X}_{\bullet} = \mathscr{X}^{\bullet}$ . Then the pair  $(\mathscr{X}, \mathscr{X}^{\bullet})$  satisfies condition  $(1_{\mathscr{X}})$  by the Hahn-Banach theorem, and condition  $(2_{\mathscr{X}})$  by a theorem of Krein and Smulian ([79], V.6.4); condition  $(3_{\mathscr{X}})$  is an obvious consequence of the Alaoglu theorem.

In this case, any continuous representation  $U: G \to \mathcal{B}_w(\mathcal{X})$  also satisfies the strong continuity condition  $(C_v)$ . More precisely, we have the following result:

**Lemma.** Let  $U: G \to \mathcal{B}(\mathcal{X})$  be a homomorphism of the locally compact group G into the group of all bounded linear bijections on the Banach space  $\mathcal{X}$ . The following statements are equivalent:

- (i) the mappings  $G \ni t \mapsto \rho(U_t x) \in \mathbb{C}$  are continuous for all  $x \in \mathcal{X}$ ,  $\rho \in \mathcal{X}^*$ ;
- (ii) the mappings  $G \ni t \mapsto U_t x \in \mathcal{X}$  are norm-continuous for all  $x \in \mathcal{X}$ ;
- (iii) the mapping  $G \times \mathcal{X} \ni (t, x) \mapsto U_t x \in \mathcal{X}$  is norm-continuous.

*Proof.* It is clear that (iii)  $\Rightarrow$  (ii)  $\Rightarrow$  (i).

(i)  $\Rightarrow$  (ii). Using the Banach-Steinhauss theorem, from (i) we infer that  $\lambda_{\mathcal{K}} = \sup \{ \|U_t\|; t \in K \} < +\infty$ , for every compact set  $K \subset G$ . The set  $\mathcal{S}$  of those elements  $x \in \mathcal{X}$  such that the mapping  $G \ni t \mapsto U_t x \in \mathcal{X}$  is norm-continuous is a norm-dense linear subspace of  $\mathcal{X}$  and so also  $\sigma(\mathcal{X}, \mathcal{X}^*)$ -closed. On the other hand, for  $x \in \mathcal{X}$ ,  $f \in \mathcal{L}^1(G)$  with support contained in a compact neighbourhood K of G in G and G in G and G in G in G and G in G in

$$\|U_{t}(U_{f}x) - U_{f}x\| = \|U_{t} \int f(s)U_{s}x \, \mathrm{d}s - \int f(s)U_{s}x \, \mathrm{d}s \| =$$

$$= \|\int f(s)U_{ts}x \, \mathrm{d}s - \int f(s)U_{s}x \, \mathrm{d}s \| =$$

$$= \|\int f(t^{-1}s)U_{s}x \, \mathrm{d}s - \int f(s)U_{s}x \, \mathrm{d}s \| \leq$$

$$\leq \lambda_{K} \|x\| \int |f(t^{-1}s) - f(s)| \, \mathrm{d}s \to 0.$$

Thus,  $\mathcal{S}$  contains the set  $\{U_f x; x \in \mathcal{X}, f \in \mathcal{L}^1(G), supp f \text{ compact}\}\$  which, by (i) and Lemma 13.2, is  $\sigma(\mathcal{X}, \mathcal{X}^*)$ -dense in  $\mathcal{X}$ . Hence  $\mathcal{S} = \mathcal{X}$ .

(ii)  $\Rightarrow$  (iii). Let  $K \subset G$  be a compact set. From (ii) it follows that  $\lambda_K = \sup\{\|U_t\|; t \in K\} < +\infty$ . If  $K \ni t_n \mapsto t \in G$  and  $x_n \to x$  in  $\mathscr{X}$ , then, again by assumption (ii), we get

$$||U_{t_n}x_n - U_tx|| \le ||U_{t_n}(x_n - x)|| + ||U_{t_n}x - U_tx|| \le$$

$$\le \lambda_K ||x_n - x|| + ||U_{t_n}x - U_tx|| \to 0.$$

13.5. Let  $\mathcal{M}$  be a  $\mathcal{W}^*$ -algebra with predual  $\mathcal{M}_* \subset \mathcal{M}^*$ . Then  $\mathcal{M} = (\mathcal{M}_*)^*$ , condition (1.4) is clearly satisfied for the pair  $(\mathcal{M}, \mathcal{M}_*)$ , (2.4) follows from the Alaoglu theorem and (3.4) follows from the Krein-Smulian theorem ([79], V.6.4). The topology  $w = \sigma(\mathcal{M}, \mathcal{M}_*)$  is just the usual w-topology on  $\mathcal{M}$ . Recall ([L], C.5.1) that on  $\mathcal{M}$  we can consider also the topologies  $s, s^*$ , as well as the Mackey topology  $\tau_w$  associated with the w-topology.

A representation of the locally compact group G by \*-automorphisms of  $\mathcal{M}$ , or an action (2.24) of G on  $\mathcal{M}$ , is a group homomorphism  $\sigma: G \to Aut(\mathcal{M})$ . The weak continuity condition (13.2) for such a representation is just the continuity of the mapping  $\sigma$  with respect to the p-topology (2.23) on  $Aut(\mathcal{M})$ , while the strong continuity condition  $(C^*_{\sigma})$  amounts to continuity with respect to the u-topology (2.23) on  $Aut(\mathcal{M})$ . Actually, these two conditions are equivalent, as the following shows

**Proposition.** Let  $\sigma: G \to Aut(\mathcal{M})$  be an action of the locally compact group G on the  $W^*$ -algebra  $\mathcal{M}$ . The following statements are equivalent:

- (i) the mappings  $G \ni t \mapsto \sigma_t(x) \in \mathcal{M}$  are w-continuous  $(x \in \mathcal{M})$ ;
- (ii) the mappings  $G \ni t \mapsto \sigma_t(x) \in \mathcal{M}$  are s-continuous  $(x \in \mathcal{M})$ ;
- (iii) the mappings  $G \ni t \mapsto \sigma_t(x) \in \mathcal{M}$  are  $s^*$ -continuous  $(x \in \mathcal{M})$ ;
- (iv) the mappings  $G \ni t \mapsto \sigma_t(x) \in \mathcal{M}$  are  $\tau_w$ -continuous  $(x \in \mathcal{M})$ ;
- (v) for every  $\sigma(\mathcal{M}_*, \mathcal{M})$ -compact subset  $\mathcal{L}$  of  $\mathcal{M}_*$ , the mapping  $G \times \mathcal{L} \ni (t, \varphi) \mapsto \varphi \circ \sigma_t \in \mathcal{M}_*$  is continuous with respect to the  $\sigma(\mathcal{M}_*, \mathcal{M})$ -topology on  $\mathcal{L}$  and  $\mathcal{M}_*$ ;
  - (vi) the mappings  $G \ni t \mapsto \varphi \circ \sigma_t \in \mathcal{M}_*$  are norm-continuous  $(\varphi \in \mathcal{M}_*)$ ;
  - (vii) the mapping  $G \times \mathcal{M}_{*} \ni (t, \varphi) \mapsto \varphi \circ \sigma_{t} \in \mathcal{M}_{*}$  is norm-continuous.

*Proof.* It is clear that (iv)  $\Rightarrow$  (iii)  $\Rightarrow$  (ii)  $\Rightarrow$  (i) and it is easy to check that (iv)  $\Rightarrow$  (v)  $\Rightarrow$  (i). Since  $(\mathcal{M}_{\pm})^* = \mathcal{M}$ , it follows from Lemma 13.4 that (i)  $\Leftrightarrow$  (vi)  $\Leftrightarrow$  (vii).

By a result due to Akemann ([1]; [3]; [236], Cor. 8.17) the restriction of the Mackey topology  $\tau_w$  to the closed unit ball of  $\mathcal{M}$  coincides with the restriction of the  $s^*$ -topology; since  $\|\sigma_t(x)\| = \|x\|$ , it follows that (iii)  $\Leftrightarrow$  (iv).

For  $x \in \mathcal{M}$ ,  $\varphi \in \mathcal{M}_*$ ,  $t \in G$  we have  $\varphi((\sigma_t(x) - x)^*(\sigma_t(x) - x)) = \varphi(\sigma_t(x^*x)) - \varphi(\sigma_t(x)^*x) - \varphi(x^*\sigma_t(x)) + \varphi(x^*x)$ . If  $t \in G$  converges to  $e \in G$ , it follows from (i) that the right hand side of this equation converges to 0. Thus, (i)  $\Rightarrow$  (ii) and (i)  $\Rightarrow$  (iii).

Recall (2.24) that if  $\sigma$  satisfies the equivalent conditions of the above Proposition, we say that  $\sigma$  is a continuous action of G on  $\mathcal{M}$ .

13.6. Notes. The exposition in this Section is based on the article of Arveson [12].

## §14. Spectra and spectral subspaces

In this Section we introduce the spectral subspaces associated to a continuous representation of a locally compact abelian group on a Banach space, together with their main properties and some applications.

14.1. Let G be a locally compact abelian group with dual group  $\hat{G}$ . For  $t \in G$  and  $\gamma \in \hat{G}$  we shall denote by  $\langle t, \gamma \rangle$  the value of the character  $\gamma$  at t. We shall denote the group operation by addition; in particular, 0 will denote the neutral element of G or of  $\hat{G}$ .

For  $\mu \in \mathcal{M}(G)$ ,  $f \in \mathcal{L}^1(G)$  we define the Fourier transforms  $\hat{\mu}$ ,  $\hat{f}$  by

$$\hat{\mu}(\gamma) = \int \langle t, \gamma \rangle \, \mathrm{d}\mu(t), \ \hat{f}(\gamma) = \int f(t) \langle t, \gamma \rangle \, \mathrm{d}t \qquad (\gamma \in \hat{G}).$$

For  $f \in \mathcal{L}^1(G)$  we write  $Z(f) = \{ \gamma \in \hat{G}; \hat{f}(\gamma) = 0 \}$  and for an ideal f of  $\mathcal{L}^1(G)$  we define its "hull" to be the closed set  $Z(f) = \bigcap \{ Z(f); f \in f \} \subset \hat{G}$ . Clearly, Z(f) = Z(f). Recall the following important result:

The Maximal Tauberian Theorem. Let  $f \in \mathcal{L}^1(G)$  and let  $f \in \mathcal{L}^1(G)$  be a closed ideal such that  $Z(f) \subset Z(f)$ . If the intersection of the boundaries of the sets Z(f) and Z(f) does not contain any non-empty perfect set, then  $f \in \mathcal{J}$ .

In particular, for a closed ideal  $\mathcal{J} \subset \mathcal{L}^1(G)$  we have

(1) 
$$f \in \mathcal{L}^1(G), \ Z(f) \subset int \ Z(f) \Rightarrow f \in f.$$

(2) 
$$Z(\mathcal{J}) = \emptyset \Rightarrow \mathcal{J} = \mathcal{L}^1(G).$$

(3) 
$$Z(\mathcal{J}) = \{\gamma\} \Rightarrow \mathcal{J} = \{f \in \mathcal{L}^1(G); \ \hat{f}(\gamma) = 0\}.$$

Let  $F \subset \hat{G}$  be a closed set. Then the set of all closed ideals  $\mathcal{J} \subset \mathcal{L}^1(G)$  with  $Z(\mathcal{J}) = F$  has a greatest element

$$\mathscr{K}(F) = \{ f \in \mathscr{L}^1(G); \ F \subset Z(f) \}$$

and a smallest element  $\mathcal{J}(F)$  which is the closure of each of the following ideals:

$$\mathcal{J}_0(F) = \{ f \in \mathcal{L}^1(G); \ F \subset int \ Z(f) \}$$

$$f_{\infty}(F) = \{ f \in \mathcal{L}^1(G); \text{ supp } \hat{f} \text{ compact, } F \subset \text{int } Z(f) \}.$$

In particular, the ideal  $\{f \in \mathcal{L}^1(G); supp \hat{f} \text{ compact}\}\$  is dense in  $\mathcal{L}^1(G)$ .

Note that every dense ideal  $\mathcal{J}$  of  $\mathcal{L}^1(G)$  contains an approximate unit of  $\mathcal{L}^1(G)$  with elements of norm  $\leq 1$ . Indeed, if  $\{f_i\}_{i\in I}$  is an approximate unit of  $\mathcal{L}^1(G)$  with  $\|f_i\|_1 = 1$ , then, for each  $i \in I$  and each  $n \geq 1$ , there exists an element  $h_{i,n} \in \mathcal{J}$  such that  $\|f_i - h_{i,n}\|_1 < 1/n$  and the family  $\{h_{i,n}/\|h_{i,n}\|_1\}_{i,n} \subset \mathcal{J}$  is an approximate unit of  $\mathcal{L}^1(G)$ .

Consequently, there exists an approximate unit  $\{k_i\}_{i\in I}$  of  $\mathcal{L}^1(G)$  with  $||k_i||_1 \le 1$  and  $supp \hat{k}_i$  compact  $(i \in I)$ . In particular

(4) for every 
$$f \in \mathcal{L}^1(G)$$
 and every  $\varepsilon > 0$  there exists  $k \in \mathcal{L}^1(G)$  with  $||k||_1 \le 1$  and supp  $k$  compact such that  $||f - f * k||_1 < \varepsilon$ .

Moreover

for every compact set  $C \subset \hat{G}$  and every open set  $D \subset \hat{G}$  with  $C \subset D$  there exists a function  $f \in \mathcal{L}^1(G)$  with supp  $\hat{f} \subset D$  such that  $\hat{f}(\gamma) = 1$  for any  $\gamma \in C$ .

For all the above results, and for various other results in harmonic analysis which we shall use in the sequel, we refer to [118], [199], [227].

**14.2.** Let  $(\mathcal{X}, \mathcal{X}_*)$  be a pair consisting of a Banach space  $\mathcal{X}$  and a closed linear subspace  $\mathcal{X}_* \subset \mathcal{X}^*$  which satisfies conditions  $(1_{\mathcal{X}})$  and  $(2_{\mathcal{X}})$  of Section 13.1. Let  $U: G \to \mathcal{B}_w(\mathcal{X})$  be a continuous representation of the locally compact abelian group G on  $\mathcal{X}$  such that  $U_\mu \in \mathcal{B}_w(\mathcal{X})$  for all  $\mu \in \mathcal{M}(G)$ ; this last condition is implied, for instance, by condition  $(3_{\mathcal{X}})$ .

For each element  $x \in \mathcal{X}$  we consider the closed ideal

$$\mathscr{I}_{x}^{U} = \{ f \in \mathscr{L}^{1}(G); \ U_{f}x = 0 \} \subset \mathscr{L}^{1}(G)$$

and define the spectrum of x with respect to U by

$$Sp_U(x) = Z(\mathscr{I}_x^U) = \{ \gamma \in \hat{G}; \ f \in \mathscr{L}^1(G), \ U_f x = 0 \Rightarrow \hat{f}(\gamma) = 0 \}.$$

**Proposition.** For  $x, y \in \mathcal{X}$ ;  $\mu, \mu_1, \mu_2 \in \mathcal{M}(G)$ ;  $t \in G$ ;  $\gamma \in \hat{G}$ ;  $0 \neq \lambda \in \mathbb{C}$  we have

$$Sp_{U}(\lambda x) = Sp_{U}(x)$$

$$(2) Sp_{U}(x+y) \subset Sp_{U}(x) \cup Sp_{U}(y)$$

$$Sp_{U}(U_{\mu}x) \subset Sp_{U}(x) \cap supp \hat{\mu}$$

$$Sp_{U}(U_{t}x) = Sp_{U}(x)$$

$$Sp_{U}(x) = \emptyset \Leftrightarrow x = 0$$

(6) 
$$Sp_{U}(x) = \{\gamma\} \Leftrightarrow x \neq 0 \text{ and } U_{r}x = \langle s, \gamma \rangle x \text{ for } s \in G$$

(7) 
$$Sp_{U}(x) = \{0\} \Leftrightarrow U_{r}x = x \neq 0 \text{ for } s \in G$$

(8) 
$$Sp_{U}(x) \subset int \{ \omega \in \hat{G}; \ \hat{\mu}_{1}(\omega) = \hat{\mu}_{2}(\omega) \} \Rightarrow U_{\mu_{1}}x = U_{\mu_{2}}x$$

(9) 
$$Sp_U(x) \subset int \{ \omega \in \hat{G}; \ \hat{\mu}(\omega) = 1 \} \Rightarrow U_p x = x.$$

*Proof.* (1) follows from  $\mathcal{I}_{x}^{U} = \mathcal{I}_{1x}^{U}$  and (2) from  $\mathcal{I}_{x}^{U} \cap \mathcal{I}_{y}^{U} \subset \mathcal{I}_{x+y}^{U}$ . Let us prove (3). If  $\gamma \notin Sp_{U}(x)$ , there exists  $f \in \mathcal{L}^{1}(G)$  with  $U_{f}x = 0$  and  $\hat{f}(\gamma) \neq 0$ , so that  $U_f U_\mu x = U_\mu U_f x = 0$  and  $\hat{f}(\gamma) \neq 0$ , hence  $\gamma \notin Sp_U(U_\mu x)$ . If  $\gamma \notin supp \hat{\mu}$ , there exists  $f \in \mathcal{L}^1(G)$  with  $\hat{f}(\gamma) = 1$  and  $supp \hat{f} \cap supp \hat{\mu} = \emptyset$  (see 14.1.(5)); we have  $(f * \mu)^{\hat{}} = \hat{f}\hat{\mu} = 0$ , hence  $f * \mu = 0$  and  $U_f U_\mu x = U_{f*\mu} x = 0$ , but  $\hat{f}(\gamma) \neq 0$ , so that  $y \notin Sp_U(U_n x)$ .

Equation (4) follows from (3) since for the Dirac measure  $\delta_t$  we have  $\hat{\delta}_t(\gamma) = \langle t, \gamma \rangle, \ (\gamma \in \hat{G}).$ 

Let us prove (5). If  $x \neq 0$ , then by Lemma 13.2 there exists  $f \in \mathcal{L}^1(G)$  with  $U_f x \neq 0$ , hence  $\mathcal{I}_x^U \neq \mathcal{L}^1(G)$  and using 14.1.(2) we see that  $Sp_U(x) \neq \emptyset$ . If x = 0, then  $\mathcal{I}_x^U = \mathcal{L}^1(G)$  and therefore (14.1.(5))  $Sp_U(x) = \emptyset$ .

Let us prove (6). If  $Sp_U(x) = \{\gamma\}$ , then (14.1.(3))  $\mathcal{J}_x^U = \{f \in \mathcal{L}^1(G); \hat{f}(\gamma) = 0\}$ , i.e. for  $f \in \mathcal{L}^1(G)$  we have  $U_f x = 0 \Leftrightarrow \hat{f}(y) = 0$ . Then, for  $\mu \in \mathcal{M}(G)$  we get

$$U_{x}x=0 \Leftrightarrow \hat{\mu}(y)=0.$$

Indeed,  $U_{\mu}x = 0 \Rightarrow U_f U_{\mu}x = 0 \Rightarrow U_{f \bullet \mu}x = 0 \Rightarrow (f * \mu)^{\hat{}}(\gamma) = 0 \Rightarrow \hat{f}(\gamma)\hat{\mu}(\gamma) = 0$  for any  $f \in \mathcal{L}^1(G)$  and therefore (14.1.(5))  $\hat{\mu}(\gamma) = 0$ ; conversely, if  $\hat{\mu}(\gamma) = 0$ , then we obtain similarly  $U_f U_\mu x = 0$  for any  $f \in \mathcal{L}^1(G)$ , and, using Lemma 13.2, we conclude that  $U_\mu x = 0$ . Since  $\mu - \hat{\mu}(\gamma)\delta_0 \in \mathcal{M}(G)$  and  $(\mu - \hat{\mu}(\gamma)\delta_0)^*(\gamma) = 0$ , it follows that  $U_\mu x = \hat{\mu}(\gamma)x$  for all  $\mu \in \mathcal{M}(G)$ . Taking in particular  $\mu = \delta_s$  we obtain  $U_{\varepsilon}x = \langle s, \gamma \rangle x$   $(s \in G)$ . Conversely, if  $U_{\varepsilon}x = \langle s, \gamma \rangle x$   $(s \in G)$ , then  $U_{\varepsilon}x = \hat{f}(\gamma)x$ for any  $f \in \mathcal{L}^1(G)$  and if  $x \neq 0$  it follows that  $\mathcal{I}_x^U = \{ f \in \mathcal{L}^1(G); \hat{f}(\gamma) = 0 \}$ , hence  $Sp_{U}(x) = \{\gamma\}.$ 

(7) follows obviously from (6).

Let us prove (8). For  $\mu = \mu_1 - \mu_2$  we have  $Sp_U(x) \subset int \{\omega \in \hat{G}; \hat{\mu}(\omega) = 0\}$  by assumption, hence  $Sp_U(x) \cap supp \hat{\mu} = \emptyset$ . Using (3) and (5) it follows that  $U_{\mu}x = 0$ , hence  $U_{\mu}x = U_{\mu}x$ .

Finally, (9) follows from (8) since  $\hat{\delta}_{\alpha}(\omega) = 1$  ( $\omega \in \hat{G}$ ).

In this proof we have also shown that

(10) 
$$Sp_{U}(x) = \{ \gamma \in \hat{G}; \ \mu \in \mathcal{M}(G), \ U_{\mu}x = 0 \Rightarrow \hat{\mu}(\gamma) = 0 \}.$$

Note that  $Sp_U(x)$  is "the support of the vector distribution  $\hat{f} \mapsto U_f x$ ", i.e.  $\hat{G} \setminus Sp_U(x)$  is the greatest open set  $D \subset \hat{G}$  with the property:  $f \in \mathcal{L}^1(G)$ , supp  $\hat{f} \subset D \Rightarrow$  $\Rightarrow U_1 x = 0.$ 

14.3. We continue with the notation of the previous Section.

For each set  $E \subset \hat{G}$  define the spectral subspace  $\mathcal{X}(U; E)$  of  $\mathcal{X}$  associated to U and E to be the w-closure of the set

$$\mathscr{X}_{\mathbf{0}}(U;E) = \{x \in \mathscr{X}; Sp_{U}(x) \subset E\}.$$

Clearly,

(1) 
$$E_1 \subset E_2 \Rightarrow \mathscr{X}(U; E_1) \subset \mathscr{X}(U; E_2).$$

**Proposition.** For every subset  $E \subset \hat{G}$ , the spectral subspace  $\mathcal{Z}(U; E)$  is a w-closed U-invariant linear subspace of  $\mathcal{X}$  and is equal to the w-closure of the set

$$\mathscr{X}_{00}(U; E) \subset \{x \in \mathscr{X}; Sp_U(x) \subset E compact\}.$$

For every closed subset  $F \subset \hat{G}$  we have

$$(2) \quad \mathscr{X}(U;F) = \mathscr{X}_0(U;F) = \{x \in X; \ \mathscr{J}_0(F) \subset \mathscr{I}_x^U\} = \{x \in X; \ \mathscr{J}_{00}(F) \subset \mathscr{I}_x^U\}.$$

For every open subset  $D \subset \hat{G}$ ,  $\mathcal{X}(U; D)$  is the w-closure of the set

$$\{U_f x; x \in \mathcal{X}, f \in \mathcal{L}^1(G), supp \hat{f} \subset D compact\}.$$

If  $\{F_i\}$  is any family of closed subsets of  $\hat{G}$ , then

(3) 
$$\mathscr{X}(U; \bigcap_{i} F_{i}) = \bigcap_{i} \mathscr{X}(U; F_{i})$$

and if  $\{D_i\}$  is any family of open subsets of  $\hat{G}$ , then

(4) 
$$\mathscr{X}(U; \bigcup_{i} D_{i}) = \bigvee_{i} \mathscr{X}(U; D_{i}).$$

*Proof.* By Proposition 14.2 it follows that  $\mathcal{X}_0(U; E)$  is a *U*-invariant linear subspace of  $\mathcal{X}$ , so that its w-closure  $\mathcal{X}(U; E)$  is a w-closed *U*-invariant linear subspace of  $\mathcal{X}$ .

By Lemma 13.2 and Proposition 14.2.(3), the set of elements in  $\mathcal{X}(U; E)$  of the form  $U_f x$  is w-dense in  $\mathcal{X}(U; E)$  and hence, using 14.1.(4) and 14.2.(3), it follows that  $\mathcal{X}_{00}(U; E)$  is w-dense in  $\mathcal{X}(U; E)$ . Consequently,

(5) 
$$\mathscr{X}(U;E) = \overline{\mathscr{X}_0(U;E)^{\mathsf{w}}} = \overline{\mathscr{X}_{00}(U;E)^{\mathsf{w}}}.$$

Consider now a closed set  $F \subset \hat{G}$  and  $x \in \mathcal{X}_0(U; F)$ . If  $f \in \mathcal{J}_0(F)$ , that is  $F \cap supp \hat{f} = \emptyset$ , then (14.2.(3), 14.2.(5))  $U_f x = 0$ , that is  $f \in \mathcal{J}_x^U$ . It follows that  $\mathcal{X}_0(U; F) \subset \{x \in \mathcal{X}; \mathcal{J}_0(F) \subset \mathcal{J}_x^U\} \subset \{x \in \mathcal{X}; \mathcal{J}_{00}(F) \subset \mathcal{J}_x^U\}$ . Conversely, if  $\mathcal{J}_{00}(F) \subset \mathcal{J}_x^U$ , then  $Sp_U(x) = Z(\mathcal{J}_0^U) \subset Z(\mathcal{J}_{00}(F)) = F$  (14.1), hence  $x \in \mathcal{X}_0(U; F)$ . Thus, the above inclusions are actually equalities and it follows that

(6) 
$$\mathscr{X}_0(U;F) = \bigcap \{ Ker \ U_f; \ f \in \mathscr{J}_0(F) \}$$

is w-closed, since the  $U_f$ 's are w-continuous.

For any set  $D \subset \hat{G}$  we have (14.2.(3))  $\{U_f x; x \in \mathcal{X}, supp \hat{f} \subset D \text{ compact}\}\subset \mathcal{X}_0(U; D)$ . Assume that D is open and let  $x \in \mathcal{X}_0(U; D)$ . Then  $K = Sp_U(x) \subset D$  is compact and hence (14.1.(5)) there exists  $f \in \mathcal{L}^1(G)$  with  $supp \hat{f} \subset D$  compact and  $K \subset int \{\gamma \in \hat{G}; \hat{f}(\gamma) = 1\}$ . It follows (14.1.(9)) that  $x = U_f x$  with  $f \in \mathcal{L}^1(G)$ ,  $supp \hat{f} \subset D$ , and using (5) we obtain

(7) 
$$\mathscr{X}(U;D) = \overline{\{U_f x; x \in \mathscr{X}, f \in \mathscr{L}^1(G), supp \hat{f} \subset D \text{ compact}\}^{\omega}}.$$

Equation (3) follows easily using (2) and (6). To prove (4) we note first that  $\mathcal{X}(U; D_l) \subset \mathcal{X}(U; \bigcup_i D_i)$ , so that the w-closed linear subspace  $\bigvee_i \mathcal{X}(U; D_l)$  generated by  $\bigcup_i \mathcal{X}(U; D_l)$  is contained in  $\mathcal{X}(U; \bigcup_i D_l)$ . Conversely, let  $x \in \mathcal{X}_{00}(U; \bigcup_i D_l)$ . Then  $K = Sp_U(x) \subset \bigcup_i D_l$  is compact and there exist  $i_1, \ldots, i_n$  such that  $K \subset \bigcup_{k=1}^n D_{l_k}$ . Using 14.1.(5) we find functions  $f_1, \ldots, f_n \in \mathcal{L}^1(G)$  such that  $\sup_i f_k \subset D_{l_k}(k = 1, \ldots, n)$ , and  $\inf_i f_k \subset f_i \cap f_k(\gamma) = 1$ . Then (14.2)  $\lim_i f_k \subset f_k(\gamma) \cap f_k(\gamma)$  with  $\lim_i f_k \subset \mathcal{X}(U; D_{l_k})$ , and hence  $\lim_i f_k \subset f_i \cap f_k(\gamma) \cap f_k(\gamma)$ .

Let  $F \subset \hat{G}$  be a closed set. From (3) and (1) it follows that if  $\{D_i\}$  is any family of open sets in  $\hat{G}$  such that  $F = \bigcap_{i} D_i = \bigcap_{i} \overline{D_i}$  then

(8) 
$$\mathscr{X}(U;F) = \bigcap \mathscr{X}(U;D_i) = \bigcap \mathscr{X}(U;\overline{D_i}).$$

Thus, for every fundamental system $\{N_i\}_{i\in I}$  of open and relatively compact neighbourhoods of 0 in G with  $\bigcap_{i} \overline{N_i} = \{0\}$ , we have

(9) 
$$\mathscr{X}(U;F) = \bigcap_{i} \mathscr{X}(U;F+N_{i}) = \bigcap_{i} \mathscr{X}(U;F+\overline{N_{i}}).$$

In particular,

(10) 
$$\mathcal{X}(U; F) = \bigcap \{ \mathcal{X}(U; D); D \supset F \text{ open} \}.$$

On the other hand, by (5), for every set  $E \subset \hat{G}$  we have

(11) 
$$\mathcal{X}(U; E) = \bigvee \{\mathcal{X}(U; K); K \subset E \text{ compact}\}.$$

Equations (10) and (11) prove the "regularity" of the family of spectral subspaces associated with U. We have also (14.2.(5))

(12) 
$$\mathcal{X}(U; \emptyset) = \{0\}, \quad \mathcal{X}(U; \hat{G}) = X.$$

For  $\gamma \in \hat{G}$  the spectral subspace (14.2.(6))

(13) 
$$\mathscr{X}(U; \{\gamma\}) = \{x \in \mathscr{X}; \ U_t x = \langle t, \gamma \rangle x \text{ for all } t \in G\}$$

will be called the eigenspace of U corresponding to the eigenvalue  $\gamma \in \hat{G}$ . In particular

(14) 
$$\mathscr{X}^U = \mathscr{X}(U; \{0\}) = \{x \in \mathscr{X}; \ U_t x = x \text{ for all } t \in G\}$$

will be called the centralizer of U.

14.4. We shall need the following extension of 14.3.(13):

**Lemma.** For each  $\gamma \in \hat{G}$ , each compact set  $K \subset G$ , and  $\varepsilon > 0$  there exists a compact neighbourhood W of 0 in  $\hat{G}$  such that

$$||U_t x - \langle t, \gamma \rangle x|| \le \varepsilon ||x|| \text{ for } x \in \mathcal{X}(U; \gamma + W) \text{ } (t \in K).$$

*Proof.* Let  $W_0$  be a compact neighbourhood of  $\gamma$  in  $\hat{G}$  and  $f \in \mathcal{L}^1(G)$  be such that  $\hat{f}(W_0) = \{1\}$ .

For each  $t \in K$  we define a function  $f_t \in \mathcal{L}^1(G)$  by

$$f_t(s) = f(s-t) - \langle t, \gamma \rangle f(s) \quad (s \in G).$$

Then  $\hat{f}_t(\gamma) = 0$  and hence ([199], 2.6.3) there exist a function  $k_t \in \mathcal{L}^1(G)$  and a neighbourhood  $W_t$  of  $\gamma$  in  $\hat{G}$  such that  $\hat{k}_t(W_t) = \{1\}$  and  $||f_t * k_t||_1 < \varepsilon$ . Since the mapping  $G \ni s \mapsto f_s \in \mathcal{L}^1(G)$  is norm-continuous, there exists a neighbourhood  $N_t$  of t in G such that  $||f_s * k_t||_1 < \varepsilon$  for all  $s \in N_t$ .

Since K is compact, there exist  $t_1, \ldots, t_n \in K$  such that  $K \subset \bigcup_{i=1}^n N_{t_i}$ . Let W be a compact neighbourhood of  $\gamma$  in  $\hat{G}$  such that  $W \subset int(W_0 \cap W_{t_1} \cap \ldots \cap W_{t_n})$ . For each  $t \in K$  there exists a function  $h_t \in \{k_{t_1}, \ldots, k_{t_n}\} \subset \mathcal{L}^1(G)$  such that  $W \subset int \{\omega \in \hat{G}; \ \hat{h}_t(\omega) = 1\}$  and  $\|f_t * h_t\|_1 < \varepsilon$ .

Let  $x \in \mathcal{X}(U; W)$  and  $t \in K$ . Then  $(\delta_t - \langle t, \gamma \rangle \delta_0)^{-1}$  coincides with  $(f_t * h_t)^{-1}$  on a neighbourhood of  $Sp_U(x)$  and hence (14.2.(8))  $||U_t x - \langle t, \gamma \rangle x|| = ||U_{f,*h} x|| \le \varepsilon ||x||$ .

14.5. In the setting of Section 14.2, the set  $Ker\ U = \{f \in \mathcal{L}^1(G);\ U_f = 0\}$  is a closed ideal of  $\mathcal{L}^1(G)$ . The spectrum of the representation U is defined as being the closed set

$$Sp\ U = Z(Ker\ U) = \{ \gamma \in \hat{G}; \ f \in \mathcal{L}^1(G), \ U_f = 0 \Rightarrow \hat{f}(\gamma) = 0 \}.$$

Using 14.1.(5) it is easy to check that for every w-total set  $\mathcal{X}_0$  in  $\mathcal{X}$  we have

(1) 
$$Sp U = \overline{\bigcup \{Sp_U(x); x \in \mathcal{X}_0\}}.$$

It is also easy to check that  $Sp\ U$  is "the support of the vector distribution  $\hat{f} \mapsto U_f$ ", i.e.  $\hat{G} \setminus Sp\ U$  is the greatest open set D in  $\hat{G}$  with the property:  $f \in \mathcal{L}^1(G)$ ,  $supp\ \hat{f} \subset D \Rightarrow U_f = 0$ .

**Proposition.** For  $\gamma \in \hat{G}$  the following statements are equivalent:

- (i)  $\gamma \in Sp U$ ;
- (ii)  $\mathcal{X}(U; \gamma + W) \neq \{0\}$  for every neighbourhood W of 0 in  $\hat{G}$ ;
- (iii) there exists a net  $\{x_i\} \subset \mathcal{X}, \|x_i\| = 1$ , such that

$$\lim_{t} \|U_{t}x_{t} - \langle t, \gamma \rangle x_{t}\| = 0$$

uniformly for t in compact subsets of G;

- (iv)  $|\hat{\mu}(\gamma)| \leq ||U_{\mu}||$  for all  $\mu \in \mathcal{M}(G)$ ;
- (v)  $|\hat{f}(\gamma)| \leq ||U_f||$  for all  $f \in \mathcal{L}^1(G)$ .

*Proof.* (i)  $\Rightarrow$  (ii). Let W be a neighbourhood of  $\gamma$  in  $\hat{G}$ . By 14.1.(5) there exists  $f \in \mathcal{L}^1(G)$  with  $\hat{f}(\gamma) = 1$  and  $supp \ \hat{f} \subset W$ . Since  $\gamma \in Sp \ U$ , we have  $U_f \neq 0$  so that  $0 \neq U_f x \in \mathcal{X}(U; W)$  for some  $x \in X$ .

(ii)  $\Rightarrow$  (iii). Using Lemma 14.4, we infer, assuming (ii), that for each compact set  $K \subset G$  and  $\varepsilon > 0$  there exists an element  $x_{K,\varepsilon} \in \mathcal{X}$ ,  $||x_{K,\varepsilon}|| = 1$ , such that  $||U_t x_{K,\varepsilon}|| < \varepsilon$  for all  $t \in K$ . Then  $\{x_{K,\varepsilon}\}_{K,\varepsilon}$  is the required net.

(iii)  $\Rightarrow$  (iv). Let  $\mu \in \mathcal{M}(G)$  and  $\varepsilon > 0$ . There exists a compact set  $K \subset G$  with  $|\mu|(G \setminus K) < \varepsilon/4$ . By assumption (iii) there exists  $x \in \mathcal{X}$ ,  $||x|| \le 1$ , such that  $||U_t x - \langle t, \gamma \rangle x|| < \varepsilon/2 |\mu|(K)$  for all  $t \in K$ . Then

$$\|U_{\mu}x - \hat{\mu}(\gamma)x\| \leq \int_{G} \|U_{t}x - \langle t, \gamma \rangle x\| \, \mathrm{d}|\mu|$$

$$\leq \int_{G} \|U_{t}x - \langle t, \gamma \rangle x\| \, \mathrm{d}|\mu| + 2|\mu|(G \setminus K) \leq \frac{\varepsilon}{2} + \frac{\varepsilon}{2} = \varepsilon.$$

It follows that  $|\hat{\mu}(\gamma)| = \|\hat{\mu}(\gamma)x\| \le \|U_{\mu}x\| + \varepsilon \le \|U_{\mu}\| + \varepsilon$ . Since  $\varepsilon > 0$  was arbitrary, we get  $|\hat{\mu}(\gamma)| \le \|U_{\mu}\|$ .

- (iv)  $\Rightarrow$  (v). Obvious.
- (v)  $\Rightarrow$  (i). If  $f \in \mathcal{L}^1(G)$  and  $U_f = 0$ , then  $\hat{f}(\gamma) = 0$  by (v). Hence  $\gamma \in Sp\ U$ .

Corollary. Let  $\mathcal{A}$  be the Banach algebra defined as the norm-closure of the subalgebra  $\{U_f; f \in \mathcal{L}^1(G)\}$  of  $\mathcal{A}(\mathfrak{X})$  and denote by  $\Omega_{\mathcal{A}}$  the Gelfand spectrum of  $\mathcal{A}$ . Then the mapping

$$\Omega_{\mathbf{a}} \ni \omega \mapsto \omega \circ U \in \Omega_{\mathcal{Z}^1(G)} = \hat{G}$$

is a homeomorphism of  $\Omega_{st}$  onto Sp U.

**Proof.** If  $\omega$  is a continuous character of  $\mathscr{A}$ , then the mapping  $\gamma_{\omega} \colon \mathscr{L}^1(G) \ni f \mapsto \omega(U_f)$  is a continuous character of  $\mathscr{L}^1(G)$ ; hence  $\gamma_{\omega} \in \hat{G}$  and  $|\hat{f}(\gamma_{\omega})| = |\omega(U_f)| \le \|U_f\|$  for every  $f \in \mathscr{L}^1(G)$ . The above Proposition shows that  $\gamma_{\omega} \in Sp\ U$ . The mapping  $\omega \mapsto \gamma_{\omega} = \omega \circ U$  is clearly continuous.

If  $\gamma \in Sp \ U$ , the above Proposition shows that the mapping  $U_f \mapsto \hat{f}(\gamma)$  can be extended to a continuous character  $\omega$ , of  $\mathcal{A}$ , which is uniquely determined by the condition  $\omega_{\gamma}(U_f) = \hat{f}(\gamma)$   $(f \in \mathcal{L}^1(G))$ . The mapping  $\gamma \mapsto \omega_{\gamma}$  is continuous and its inverse is just the above defined mapping  $\omega \mapsto \gamma_{\omega}$ .

**14.6.** With the help of the set  $Sp\ U$  we can describe the usual spectrum  $Sp(U_i)$ of the operator  $U_i \in \mathcal{B}(\mathcal{X})$ :

Proposition.  $Sp(U_t) = \overline{\{\langle t, \gamma \rangle; \ \gamma \in Sp \ U\}} \ (t \in G)$ .

*Proof.* If  $\gamma \in Sp\ U$ , then, by Proposition 14.5, there exists a net  $\{x_i\} \subset \mathcal{X}$ ,

 $||x_t|| = 1$ , such that  $||(U_t - \langle t, \gamma \rangle)x_t|| \to 0$ , hence  $\langle t, \gamma \rangle \in Sp(U_t)$  for  $t \in G$ . Since  $||U_t|| = ||U_t^{-1}|| = 1$ , we have  $Sp(U_t) \subset \mathbb{T} = \{z \in \mathbb{C}; |z| = 1\}$ . Assume

that there exists  $\lambda \in \mathbb{T}$ ,  $\lambda \notin \overline{\{\langle t, \gamma \rangle; \gamma \in Sp \ U\}}$  and consider two open neighbourhoods  $V \subset W$  of the set  $\{\langle t, \gamma \rangle; \ \gamma \in Sp \ U\}$  with  $\lambda \notin W$ . There exists a  $C^{\infty}$ -function  $\varphi$ on  $\mathbb{T}$  which is identically equal to 1 on V and  $supp \varphi \subset W$ . Then  $\mathbb{T}\ni z\mapsto f(z)=$  $= \varphi(z)(z-\lambda)^{-1}$  is a  $C^{\infty}$ -function on  $\mathbb{T}$ , equal to  $(z-\lambda)^{-1}$  on V and supp  $f \subset W$ . The Fourier series associated with f is absolutely convergent, and so we can write

$$f(z) = \sum_{n \in \mathbb{Z}} a_n z^n \text{ with } \sum_{n \in \mathbb{Z}} |a_n| < +\infty.$$

We consider the operator

$$T = \sum_{n \in \mathbb{Z}} a_n U_{nt} = U_{\sum_{n} a_n \delta_{nt}} \in \mathscr{B}(\mathcal{X}).$$

Then

$$T(U_t - \lambda) = (U_t - \lambda)T = U_\mu \text{ with } \mu = (\delta_t - \lambda \delta_0) * \sum_n a_n \delta_{nt}$$

and we have

$$\hat{\mu}(\gamma) = (\langle t, \gamma \rangle - \lambda) f(\langle t, \gamma \rangle) = \varphi(\langle t, \gamma \rangle) \qquad (\gamma \in \hat{G}).$$

Since  $\varphi$  is equal to 1 on V, it follows that  $Sp\ U \subset int\{\gamma \in G;\ \hat{\mu}(\gamma) = 1\}$ , and so  $U_{\mu}$  = the identity mapping on  $\mathcal{X}$ . Thus,  $U_t - \lambda$  is invertible in  $\mathcal{A}(\mathcal{X})$ , i.e.  $\lambda \notin Sp(U_t)$ .

From the above Proposition it is easy to obtain the following expression for the spectral radius of  $U_t - I$ :

$$||U_t - I||_{sp} = \sup \{|1 - \langle t, \gamma \rangle|; \ \gamma \in Sp \ U\}.$$

On the other hand, it is easy to check that a closed set  $K \subset \hat{G}$  is compact if and only if  $\limsup \{|1 - \langle t, \gamma \rangle|; \gamma \in K\} = 0$ . Consequently, we have

$$\lim_{t\to 0}\|U_t-I\|_{ap}=0 \Leftrightarrow Sp\ U \text{ is compact.}$$

Corollary. The representation  $U: G \to \mathcal{B}_w(\mathcal{X})$  is norm-continuous if and only if Sp U is compact.

**Proof.** By the above remarks, if  $\lim_{t\to 0} ||U_t - I|| = 0$ , then  $Sp\ U$  is compact. Conversely, if  $Sp\ U$  is compact, then there exists a function  $f \in \mathcal{L}^1(G)$  with  $Sp\ U \subset \{\gamma \in \hat{G}; \ \hat{f}(\gamma) = 1\}$ , hence  $U_f = I$  and

$$\lim_{t\to 0} \|U_t - I\| = \lim_{t\to 0} \|U_{\delta_t \circ f} - U_f\| \le \lim_{t\to 0} \|\delta_t \circ f - f\|_1 = 0.$$

14.7. Consider now two pairs  $(\mathcal{X}, \mathcal{X}_{\bullet})$  and  $(\mathcal{Y}, \mathcal{Y}_{\bullet})$  satisfying conditions  $(1_{\mathcal{E}})$ ,  $(2_{\mathcal{E}})$ ,  $(3_{\mathcal{E}})$  and  $(1_{\mathcal{F}})$ ,  $(2_{\mathcal{F}})$ ,  $(3_{\mathcal{E}})$  of Section 13.1. By Lemma 2/13.1, the pair  $(\mathcal{B}_{w}(\mathcal{X}, \mathcal{Y}), \mathcal{B}_{w}(\mathcal{X}, \mathcal{Y})_{\bullet})$  satisfies conditions  $(1_{\mathcal{B}_{w}}(\mathcal{X}, \mathcal{Y}))$ ,  $(2_{\mathcal{B}_{w}}(\mathcal{X}, \mathcal{Y}))$ .

Consider also a separable locally compact abelian group G and two continuous representations  $U: G \to \mathscr{B}_w(\mathscr{X}), \ V: G \to \mathscr{B}_w(\mathscr{X})$  of G on  $\mathscr{X}$  and  $\mathscr{Y}$ , respectively. We assume that V satisfies the strong continuity condition  $(C_v^v)$ . By Lemma 13.3 we then obtain a continuous representation  $\mathfrak{S}: G \to \mathscr{B}_w(\mathscr{B}_w(\mathscr{X}, \mathscr{Y}))$  defined by  $\mathfrak{S}_z T = V_z T U_z^{-1}$   $(T \in \mathscr{B}_w(\mathscr{X}, \mathscr{Y}), \ s \in G)$ , such that  $\mathfrak{S}_\mu \in \mathscr{B}_w(\mathscr{A}_w(\mathscr{X}, \mathscr{Y}))$  for all  $\mu \in \mathscr{M}(G)$ .

We shall write  $\mathcal{B} = \mathcal{B}_{\mathbf{w}}(\mathcal{X}, \mathcal{Y})$ .

**Theorem** (W. B. Arveson). In the above situation, for  $T \in \mathcal{B}$ ,  $Q \subset \hat{G}$  a closed set and  $\{W_i\}_{i \in I}$  a family of neighbourhoods of 0 in  $\hat{G}$  such that  $Q = \bigcap_{i} \overline{Q + W_i}$ , the following statements are equivalent

- (i)  $T \in \mathcal{B}(\mathfrak{S}; O)$ ;
- (ii)  $TX(U; F) \subseteq \mathcal{Y}(V; \overline{Q+F})$  for any closed set  $F \subseteq \hat{G}$ ;
- (iii)  $TX(U; E) \subset \mathcal{Y}(V; Q + E)$  for any set  $E \subset \hat{G}$ ;
- (iv)  $TX(U; D) \subset \mathcal{G}(V; Q + D)$  for any open set  $D \subset \hat{G}$ ;
- (v)  $T\mathcal{X}(U; K) \subset \mathcal{Y}(V; Q + K)$  for any compact set  $K \subset \hat{G}$ ;
- (vi)  $T\mathcal{X}(U; \gamma + W_i) \subset \mathcal{Y}(V; \gamma + W_i + Q)$  for any  $\gamma \in \hat{G}$  and any  $i \in I$ .

*Proof.* (i)  $\Rightarrow$  (ii). Let  $x_0 \in \mathcal{X}(U; F)$ . To show that  $Tx_0 \in \mathcal{G}(V; Q + F)$  it is sufficient to show that for every neighbourhood  $W_0$  of 0 in  $\hat{G}$  we have  $Tx_0 \in \mathcal{G}(V; Q + F + W_0)$  (see 14.3.(8)). Let W be an open and relatively compact neighbourhood of 0 in  $\hat{G}$  such that  $W + W \subset W_0$ .

Since  $x_0 \in \mathcal{X}(U; F) \subset \mathcal{X}(U; F + W)$ , it follows using 14.3.(7) that  $x_0$  is the w-limit of a net of elements of the form  $U_{\mathcal{X}}$  with  $x \in \mathcal{X}$  and  $g \in \mathcal{L}^1(G)$ , supp  $\hat{g} \subset F + W$  compact. Since  $T \in \mathcal{B}_w(\mathcal{X}, \mathcal{Y})$ , it is sufficient to show that  $TU_{\mathcal{X}} \in \mathcal{Y}(V; Q + F + W_0)$  for any  $x \in X$  and any  $g \in \mathcal{L}^1(G)$  with supp  $\hat{g} \subset F + W$  compact.

Similarly, T is the w-limit of a net of elements of form  $\mathfrak{S}_f S$  with  $S \in \mathcal{B}_w(\mathcal{X}, \mathcal{S})$  and  $f \in \mathcal{L}^1(G)$ , supp  $\hat{f} \subset Q + W$  compact; hence it is sufficient to show that

$$(\mathfrak{S}_{\ell}S)U_{\mathfrak{o}}x\in \mathfrak{G}(V;Q+F+W_{\mathfrak{o}})$$

for every  $S \in \mathcal{A}_{\infty}(\mathcal{X}, \mathcal{Y})$ ,  $x \in \mathcal{X}$ ,  $f \in \mathcal{L}^1(G)$  with supp  $\hat{f} \subset Q + W$  compact and  $g \in \mathcal{L}^1(G)$  with supp  $\hat{g} \subset F + W$  compact.

It is easy to see that

$$(\mathfrak{S}_f S) U_g x = \left( \int f(t) V_t S U_{-t} \, \mathrm{d}t \right) \int g(s) U_s x \, \mathrm{d}s$$

$$= \int \int f(t) g(s) V_t S U_{s-t} x \, \mathrm{d}s \, \mathrm{d}t = \int \left( \int f(t) g(s+t) V_t S U_s x \, \mathrm{d}t \right) \mathrm{d}s; .$$

thus it is sufficient to show that for every  $s \in G$  we have

(1) 
$$V_{fg_s}(SU_sx) = \int f(t)g(s+t)V_tSU_sx \, dt \in \mathcal{Y}(V; Q+F+W_0),$$

where  $g_s(t) = g(s+t)$ ,  $(t \in G)$ . The functions  $\hat{f}$  and  $\hat{g}_s$  are continuous and compactly supported, so they belong to  $\mathcal{L}^2(G)$ . By the Plancherel theorem it follows that  $f, g_s \in \mathcal{L}^2(G)$ , hence  $fg_s \in \mathcal{L}^1(G)$ , and  $supp(fg_s)^* = supp \hat{f} * \hat{g}_s \subset supp \hat{f} + supp \hat{g} \subset Q + W + F + W \subset Q + F + W_0$ , thus proving (1).

(ii)  $\Rightarrow$  (iii). By 14.3.(5) it is sufficient to show that  $T\mathcal{X}_{00}(U; E) \subset \mathcal{Y}(V; Q + E)$ . Let  $x \in \mathcal{X}_{00}(U; E)$ . Then  $F = Sp_U(x) \subset E$  is compact; hence Q + F = Q + F, and  $x \in \mathcal{X}(U; F)$ . By assumption (ii) it follows that  $Tx \in \mathcal{Y}(V; Q + F) \subset \mathcal{Y}(V; Q + E)$ .

(iii) ⇒ (iv). Obvious.

(iv)  $\Rightarrow$  (v). Let  $\{N_j\}_{j\in J}$  be a fundamental system of open and relatively compact neighbourhoods of 0 in  $\hat{G}$ . Assuming (iv) it follows that  $T\mathcal{X}(U;K) \subset T\mathcal{X}(U;K+N_j) \subset \mathcal{Y}(V;Q+K+N_j)$  for all  $j\in J$ , and using 14.3.(9) we get  $T\mathcal{X}(U;K) \subset \mathcal{Y}(V;Q+K)$ , as Q+K is closed.

 $(v) \Rightarrow (vi)$ . This follows easily using 14.3.(5).

(vi)  $\Rightarrow$  (i). To prove (i) it is sufficient (14.3.(3)) to show that  $T \in \mathcal{B}(\mathfrak{S}; \overline{Q+W_i})$  for every  $i \in I$ . Accordingly, consider a neighbourhood W of 0 in  $\hat{G}$  such that

(2) 
$$T\mathcal{X}(U; \gamma + W) \subset \mathcal{Y}(W; \gamma + Q + W) \qquad (\gamma \in \hat{G})$$

and let us show that  $T \in \mathcal{B}(\mathfrak{S}; \overline{Q+W})$ . To this end it is sufficient (14.3.(2)) to show that

(3) 
$$\mathfrak{S}_h T = 0 \text{ for all } h \in \mathscr{J}_{00}(\overline{Q+W}).$$

Let  $f \in \mathcal{L}^1(G)$  with  $\hat{f}(0) \neq 0$  and  $supp \hat{f} \subset W$  compact. For  $\gamma \in \hat{G}$  we denote by  $\bar{\gamma}f$  the  $\mathcal{L}^1$ -function  $G \ni t \mapsto \overline{\langle t, \gamma \rangle} f(t)$ . Then  $supp (\bar{\gamma}f) \cap C = \gamma + W$ , hence  $U_{\bar{\gamma}f}x \in \mathcal{X}(U; \gamma + W)$  for all  $x \in \mathcal{X}$ . Using (2) we get  $TU_{\bar{\gamma}f}x \in \mathcal{Y}(V; \gamma + Q + W)$   $(x \in \mathcal{X})$ . If  $h \in \mathcal{J}_{00}(\overline{Q + W})$ , then  $\bar{\gamma}h \in \mathcal{J}_{00}(\gamma + \overline{Q + W})$  and hence (14.3.(2))  $V_{\bar{\gamma}h}TU_{\bar{\gamma}f}x = 0$   $(x \in \mathcal{X}, \gamma \in \hat{G})$ . Consequently,

$$(4) \quad 0 = \int \int \overline{\langle s+t, \gamma \rangle} f(s)h(t) V_t T U_s x \, \mathrm{d}s \, \mathrm{d}t = \int \overline{\langle t, \gamma \rangle} \left( \int f(s)h(t-s) V_{t-s} T U_s x \, \mathrm{d}s \right) \mathrm{d}t.$$

For every  $\rho \in \mathcal{F}_{\bullet}$ , the formula  $k_{\rho}(t) = \int f(s) h(t-s) \rho(V_{t-s}TU_{r}x) \, ds$   $(t \in G)$  determines a function  $k_{\rho} \in \mathcal{L}^{1}(G)$ ; from (4) it follows that  $\hat{k}_{\rho} = 0$ , so that  $k_{\rho} = 0$  as an element of  $\mathcal{L}^{1}(G)$ . Since the functions  $\hat{f}$  and  $\hat{h}$  are compactly supported, and so belong to  $\mathcal{L}^{2}(G)$ , we have  $f, h \in \mathcal{L}^{2}(G)$ , while the function  $s \mapsto \rho(V_{t-s}TU_{r}x)$  is bounded and continuous. Thus, the function  $k_{\rho}$  is continuous, and it follows that  $k_{\rho}(0) = 0$ , i.e.  $\int f(-s)h(s)\rho(V_{s}TU_{-s}x) \, ds = 0$ . Replacing the function f here by its translates we get

(5) 
$$\int f(r-s)h(s)\rho((\mathfrak{S}_sT)x)\,\mathrm{d}s=0 \qquad (r\in G).$$

Furthermore, the formula  $g_{\rho}(s) = h(s)\rho((\mathfrak{S}_{a}T)x)$   $(s \in G)$ , determines a function  $g_{\rho} \in \mathcal{L}^{1}(G)$ ; from (5) it follows that  $f * g_{\rho} = 0$ , and  $0 = (f * g_{\rho})^{*}(0) = \hat{f}(0)\hat{g}_{\rho}(0)$ . Since  $\hat{f}(0) \neq 0$ , we obtain  $\hat{g}_{\rho}(0) = 0$ , i.e.  $\rho((\mathfrak{S}_{a}T)x) = \int h(s)\rho((\mathfrak{S}_{a}T)x) ds = 0$ . Since  $\rho \in \mathscr{Y}_{\bullet}$  and  $x \in \mathscr{X}$  were arbitrary, we obtain the required conclusion (3).

Corollary 1. For any sets  $P \subset \hat{G}$ ,  $E \subset \hat{G}$  we have  $\mathscr{B}(\mathfrak{S}; P)\mathscr{X}(U; E) \subset \mathscr{Y}(V; P + E)$ .

*Proof.* Indeed, if  $T \in \mathcal{B}_0(\mathfrak{S}; P)$ , then  $Q = Sp_2(T) \subset P$  is closed and  $T \in \mathcal{B}(\mathfrak{S}; Q)$ , hence  $T\mathcal{X}(U; E) \subset \mathcal{Y}(V; Q + E) \subset \mathcal{Y}(V; P + E)$  by the previous Theorem. Thus, Corollary 1 follows using 14.3.(5).

Corollary 2. An operator  $T \in \mathcal{B}_{w}(\mathcal{X}, \mathcal{Y})$  intertwines representations U and V, i.e.

$$(6) V_s T = T U_s (s \in G)$$

if and only if the inclusion  $T\mathcal{X}(U; E) \subset \mathcal{G}(V; E)$  holds for any  $E \subset \hat{G}$  which is closed (or open, or compact, or of the form  $\gamma + W_1$ ).

*Proof.* (6) means that  $\mathfrak{S}_s T = T$  ( $s \in G$ ), that is (14.4.(13))  $T \in \mathcal{B}(\mathfrak{S}; \{0\})$  and the Corollary follows from the Theorem by taking  $Q = \{0\}$ .

In particular, taking  $(\mathcal{X}, \mathcal{X}_{\bullet}) = (\mathcal{Y}, \mathcal{Y}_{\bullet})$  and T = I in Corollary 2, we see that the spectral subspaces associated with a continuous representation of G determine the representation uniquely:

Corollary 3. Given two continuous representations  $U: G \to \mathcal{B}_w(\mathcal{X})$  and  $V: G \to \mathcal{B}_w(\mathcal{X})$  of G on  $\mathcal{X}$ , we have U = V if and only if the inclusion  $\mathcal{X}(U; E) \subset \mathcal{X}(V; E)$  holds for every set  $E \subset \hat{G}$  which is closed (or open, or compact, or of the form  $\gamma + W_i$ ).

In Corollary 4 we assume that  $\hat{G}$  is ordered by a closed semigroup  $S \subset \hat{G}$  with  $S \cap (-S) = \{0\}$ ,  $S \cup (-S) = \hat{G}$  and that 0 is adherent to the interior  $\hat{S}$  of S.

Corollary 4. Let  $T \in \mathcal{B}_{w}(\mathcal{X}, \mathcal{Y})$  and  $\gamma \in \hat{G}$ . The following statements are equivalent:

(i)  $T \in \mathcal{B}(\mathfrak{S}; \gamma + S)$ ;

(ii)  $TX(U; \omega + S) \subset \mathcal{Y}(V; \gamma + \omega + S)$  for  $\omega \in \hat{G}$ ;

(iii) 
$$T\mathcal{X}(U; \omega + \mathring{S}) \subset \mathcal{Y}(V; \gamma + \omega + \mathring{S})$$
 for  $\omega \in \mathring{G}$ .

*Proof.* (i)  $\Rightarrow$  (iii). Since  $(\gamma + S) + (\omega + \mathring{S}) \subset \gamma + \omega + \mathring{S}$ , this implication follows from Corollary 1.

(iii)  $\Rightarrow$  (ii). Let  $\{\gamma_i\}$  be a net in  $\mathring{S}$  which converges to 0. Then, for every  $\lambda \in \mathring{G}$  we have  $\lambda + S = \bigcap_i (\lambda - \gamma_i + \mathring{S})$ . Thus, using assumption (iii) we get

$$T\mathcal{X}(U; \omega + S) \subset T\mathcal{X}(U; \omega - \gamma_i + \mathring{S}) \subset \mathcal{Y}(V; \gamma + \omega - \gamma_i + \mathring{S})$$
 and hence  $T\mathcal{X}(U; \omega + S) \subset \bigcap_{i} \mathcal{Y}(V; \gamma + \omega - \gamma_i + \mathring{S}) = \mathcal{Y}(V; \gamma + \omega + S).$ 

(ii) 
$$\Rightarrow$$
 (i). Let  $Q = \gamma + S$ . For each  $\lambda \in \mathring{S}$  we consider the set  $W_{\lambda} = (-\lambda + S)$   $\cap$ 

 $\bigcap(\lambda - S)$ . Since  $W_{\lambda} \supset (-\lambda + \mathring{S}) \cap (\lambda - \mathring{S})$ ,  $W_{\lambda}$  is a neighbourhood of 0 in  $\hat{G}$  and we have  $\bigcap\{Q + W_{\lambda}; \lambda \in \mathring{S}\} = \bigcap\{\gamma - \lambda + S; \lambda \in \mathring{S}\} = Q$ . Using assumption (ii), for every  $\omega \in \hat{G}$  we obtain  $T\mathcal{X}(U; \omega + W_{\lambda}) \subset T\mathcal{X}(U; \omega - \lambda + S) \subset \mathcal{Y}(V; \gamma + \omega - \lambda + S) = \mathcal{Y}(V; \omega + W_{\lambda} + Q)$  and using the implication (vi)  $\Rightarrow$  (i) from the previous Theorem we get the required conclusion (i).

14.8. In the context of in Section 14.7 we also note the following result:

**Proposition.** Let  $x \in \mathcal{X}$  and  $T \in \mathcal{B}_w(\mathcal{X}, \mathcal{Y})$ . If T intertwines the representations U and V, i.e.  $V_sT = TU_s$   $(s \in G)$ , then  $Sp_V(Tx) \subset Sp_U(x)$ , and if moreover T is injective, then  $Sp_V(Tx) = Sp_U(x)$ .

*Proof.* For  $f \in \mathcal{L}^1(G)$  we get  $V_f Tx = TU_f x$ , so it follows that  $\mathcal{I}^U_x \subset \mathcal{I}^V_{Tx}$ , and indeed  $\mathcal{I}^U_x = \mathcal{I}^V_{Tx}$  if T is injective. Thus, the Proposition follows by the definition of the spectrum of an element (14.2).

14.9. Let  $U: G \to \mathscr{B}_{w}(\mathscr{X})$  be a continuous representation. Consider another separable locally compact abelian group H and a continuous homomorphism  $\varphi: H \to G$ . Then  $U \cdot \varphi: H \to \mathscr{B}_{w}(\mathscr{X})$  is a continuous representation and the mapping  $\gamma \to \gamma \cdot \varphi$  defines the dual homomorphism  $\hat{\varphi}: \hat{G} \to \hat{H}$ .

Proposition. In the above situation we have:

$$Sp_{U \circ \phi}(x) = \overline{\widehat{\phi}(Sp_U(x))} \qquad (x \in \mathcal{Z}).$$

(2) 
$$\mathscr{X}(U \circ \varphi; E) \subset \mathscr{X}(U; \hat{\varphi}^{-1}(E)) \quad (E \subset \hat{H}).$$

(3) 
$$\mathscr{X}(U \circ \varphi; F) = \mathscr{X}(U; \hat{\varphi}^{-1}(E)) \quad (F \subset \hat{H}, closed).$$

$$(4) Sp U \circ \varphi = \overline{\widehat{\varphi}(Sp U)}.$$

*Proof.* For every  $v \in \mathcal{M}(H)$  we denote by  $\varphi(v) \in \mathcal{M}(G)$  the image of the measure v by the continuous homomorphism  $\varphi$ . It is easy to check that

(5) 
$$(U \cdot \varphi)_{\mathbf{v}} = U_{\varphi(\mathbf{v})} \qquad (\mathbf{v} \in \mathcal{M}(H))$$

(6) 
$$\varphi(v)^{\hat{}}(\gamma) = \hat{v}(\hat{\varphi}(\gamma)) \qquad (v \in \mathcal{M}(H), \gamma \in \hat{G}).$$

Let us prove (1). Let  $\gamma \in Sp_U(x)$  and  $\hat{\varphi}(\gamma) \in \hat{H}$ . If  $v \in \mathcal{M}(H)$  and  $(U \circ \varphi)_v x = 0$ , then  $U_{\varphi(v)}x = 0$ , hence  $\hat{v}(\hat{\varphi}(\gamma)) = \varphi(v) \hat{v}(\gamma) = 0$ , since  $\gamma \in Sp_U(x)$  (see 14.3.(10)). Consequently,  $\hat{\varphi}(\gamma) \in Sp_{U \circ \varphi}(x)$ . We have thus proved that  $\hat{\varphi}(Sp_U(x)) \subset Sp_{U \circ \varphi}(x)$ . Conversely, assume that  $\omega \in \hat{H}$  and  $\omega \notin \hat{\varphi}(Sp_U(x))$ . There exists a function  $h \in \mathcal{L}^1(H) \subset \mathcal{M}(H)$  with  $\hat{h}(\omega) \neq 0$  and supp  $\hat{h} \cap \hat{\varphi}(Sp_U(x)) = \emptyset$ . Then  $\mu = \varphi(h) \in \mathcal{M}(G)$  and  $\hat{\mu} = \hat{h} \circ \hat{\varphi}$  vanishes on a neighbourhood of  $Sp_U(x)$ , so that  $(U \circ \varphi)_h x = U_\mu x = 0$ . Since  $\hat{h}(\omega) \neq 0$ , it follows that  $\omega \notin Sp_{U \circ \varphi}(x)$ . We have thus proved that  $Sp_{U \circ \varphi}(x) \subset \hat{\varphi}(Sp_U(x))$ .

The proof of (4) is quite similar to the above proof.

Finally, (2) and (3) follow obviously from (1) using also 14.3.(5).

In what follows we consider some examples.

14.10. Let  $\mathscr{H}$  be a Hilbert space and G a separable locally compact abelian group. Then the pair  $(\mathscr{H}, \mathscr{H}^*)$  satisfies conditions  $(1_{\mathscr{H}}), (2_{\mathscr{H}}), (3_{\mathscr{H}})$  of Section 13.1 and every so-continuous unitary representation  $u: G \to \mathscr{A}(\mathscr{H})$  is a continuous representation of G on  $\mathscr{H}$  according to the definition given in Section 13.2; moreover, u satisfies the strong continuity conditions  $(C_n)$  and  $(C_n^*)$ .

In this case, as is easily verified, the mapping  $\mathcal{L}^1(G) \ni f \mapsto u_f \in \mathcal{B}(\mathcal{H})$  is a \*-representation of the involutive Banach algebra  $\mathcal{L}^1(G)$ , where the involution

 $f \mapsto f^*$  is defined by  $f^*(t) = \overline{f(-t)}$   $(t \in G)$ :

$$u_{f^{\bullet}} = u_f^{\bullet}$$
  $(f \in \mathcal{L}^1(G)).$ 

On the other hand, for each  $\xi \in \mathcal{H}_s$  the function  $G \ni t \mapsto (u_t \xi | \xi)$  is a positive definite function on G and hence, by Bochner's theorem, there exists a positive measure  $v_{\xi} \in \mathcal{M}(\hat{G})$  such that

$$(u_t \xi | \xi) = \int_G \langle t, \gamma \rangle dv_{\xi}(\gamma) \qquad (t \in G).$$

Then, for  $f \in \mathcal{L}^1(G)$  we obtain

$$||u_{f}\xi||^{2} = (u_{f^{*} \circ f}\xi|\xi) = \int_{\hat{G}} |\hat{f}(\gamma)|^{2} dv_{\xi}(\gamma) \leq ||\hat{f}|_{\infty}^{2} ||\xi||^{2}.$$

The set  $\mathscr{A}(G)=\{\hat{f};f\in\mathscr{L}^1(G)\}$  is a \*-subalgebra of  $\mathscr{C}_0(\hat{G})$  which separates the points of G and hence is norm-dense in  $\mathscr{C}_0(\hat{G})$ , by the Stone-Weierstrass theorem. The above inequality shows that the mapping  $\mathscr{A}(G)\ni\hat{f}\mapsto u_f$  can be extended to a \*-representation  $\pi_u\colon\mathscr{C}_0(\hat{G})\to\mathscr{A}(\mathscr{X})$  of the  $C^*$ -algebra  $\mathscr{C}_0(\hat{G})$ , uniquely determined, such that

$$\pi_u(\hat{f}) = u_f \qquad (\hat{f} \in \mathcal{L}^1(G)).$$

Furthermore (see, e.g. [236], 7.14) the \*-representation  $\pi_u$  can be uniquely extended to a \*-representation, still denoted by  $\pi_u$ , of the C\*-algebra  $\mathcal{Baire}(\hat{G})$  of all bounded Baire functions on  $\hat{G}$ , such that  $\pi_u(\varphi_n) \stackrel{so}{\to} \pi_u(\varphi)$  for every norm-bounded sequence  $\{\varphi_n\} \subset \mathcal{Baire}(\hat{G})$  which is pointwise convergent to  $\varphi \in \mathcal{Baire}(\hat{G})$ , and we have

$$(\pi_u(\varphi)\xi|\xi) = \int_{\hat{G}} \varphi(\gamma) \, d\nu_{\xi}(\gamma) \qquad (\varphi \in \mathcal{B}aire(\hat{G}), \ \xi \in \mathcal{H}).$$

Then, putting  $p_u(E) = \pi_u(\chi_E)$  for every Baire set  $E \subset \hat{G}$ , we obtain a  $\mathcal{B}(\mathcal{H})$ -valued spectral measure  $p_u(\cdot)$  on  $\hat{G}$ , uniquely determined, such that

$$(u_t\xi|\xi) = \int_{\widehat{G}} \langle t, \gamma \rangle \ \mathrm{d}(p_u(\gamma)\xi|\xi) \qquad (t \in G, \, \xi \in \mathscr{H}).$$

 $p_u$  is called the Stone spectral measure associated with u. This spectral measure has regularity properties similar to 14.3.(10) and 14.3.(11).

We are now in a position to state the next result:

**Proposition.** Let  $u: G \to \mathcal{B}(\mathcal{H})$  be an so-continuous unitary representation of G on  $\mathcal{H}$ . For every Baire set  $E \subset \widehat{G}$  we have

$$\mathscr{H}(u; E) = p_{u}(E)\mathscr{H}$$
.

Sp u is the support of the Stone spectral measure  $p_{u}(\cdot)$ .

*Proof.* For every  $f \in \mathcal{L}^1(G)$  and every Baire set  $E \subset \hat{G}$  we have

(1) 
$$u_f p_u(E) = p_u(E) u_f = \int_E \hat{f}(\gamma) \, \mathrm{d}p_u(\gamma).$$

Let  $D \subset \hat{G}$  be an open set. If  $f \in \mathcal{L}^1(G)$  and supp  $\hat{f} \subset D$ , then  $u_f \mathcal{H} \subset p_s(D) \mathcal{H}_s$  by (1). Hence (14.3.(7))

(2) 
$$\mathscr{H}(u;D) \subset p_{\mathfrak{n}}(D)\mathscr{H}.$$

Let  $F \subset \hat{G}$  be a closed set. For every  $f \in \mathcal{J}_0(F)$  we have  $u_f p_u(F) = 0$  again by (1). Hence (14.3.(2))

$$p_{\cdot}(F)\mathcal{K} \subset \mathcal{K}(u;F).$$

On the other hand, using (2), 14.3.(10) and the regularity of the Stone spectral measure, we obtain

$$\mathscr{H}(u;F) = \bigcap \{\mathscr{H}(u;D); D\supset F \text{ open}\} \subset \bigcap \{p_{s}(D)\mathscr{H}; D\supset F \text{ open}\} = p_{s}(F)\mathscr{H}.$$
 Hence

(3) 
$$\mathscr{H}(u; F) = p_{u}(F)\mathscr{H}.$$

Consider now any Baire set  $E \subset \hat{G}$ . Using (3), 14.3.(11) and the regularity of the Stone spectral measure we obtain

$$\mathcal{H}(u; E) = \bigvee \{\mathcal{H}(u; K); K \subset E \text{ compact}\} = \bigvee \{p_u(K)\mathcal{H}; K \subset E \text{ compact}\} = p_u(E)\mathcal{H}.$$

Since, for an open set  $D \subset \hat{G}$ , we have  $\mathcal{H}(u; D) = 0$  if and only if  $p_u(D)\mathcal{H} = 0$ , it follows that  $Sp\ u$  is just the support of  $p_u(\cdot)$ .

Recall ([236], 7.14) that if  $\hat{G}$  has a countable basis of open sets then any Borel set in  $\hat{G}$  is also a Baire set.

If  $G = \mathbb{R}$ , then we can identify  $\hat{G}$  with  $\mathbb{R}$  so that for  $t \in \mathbb{R} = G$  and  $s \in \mathbb{R} = \hat{G}$  we have

$$\langle t, s \rangle = e^{its}$$

In this case the Stone theorem shows that there exists a unique self-adjoint operator A in  $\mathcal{H}$  such that  $u_t = \exp(itA)$  ( $t \in \mathbb{R}$ ); A is called the infinitesimal generator of the so-continuous unitary representation u. For any Borel set  $E \subset \mathbb{R} = \hat{G}$  we have

$$p_{u}(E) = \chi_{E}(A).$$

Also,

$$(5) Sp u = Sp A$$

(6) 
$$\hat{f}(A) = \int_{\mathbb{R}} f(t) e^{itA} dt \qquad (f \in \mathcal{L}^1(\mathbb{R})).$$

If  $(\mathcal{X}, \mathcal{X}_{\bullet}) = (\mathcal{Y}, \mathcal{Y}_{\bullet}) = (\mathcal{X}, \mathcal{X}^{\bullet})$ , then  $\mathcal{B}_{w}(\mathcal{X}, \mathcal{Y}) = \mathcal{A}(\mathcal{X})$  and the w-topology on  $\mathcal{B}_{w}(\mathcal{X}, \mathcal{Y})$  is just the w-topology on the  $W^{\bullet}$ -algebra  $\mathcal{A}(\mathcal{X})$ , defined by the predual  $\mathcal{A}(\mathcal{X})_{\bullet} = \mathcal{A}_{w}(\mathcal{X}, \mathcal{Y})_{\bullet}$  of  $\mathcal{A}(\mathcal{X})$ .

Given an so-continuous unitary representation  $u: G \to \mathcal{B}(\mathcal{H})$ , the representation  $\mathfrak{S}(u,u)$  of G on  $\mathcal{B}(\mathcal{H})$  is just the continuous action  $\sigma: G \to Aut(\mathcal{B}(\mathcal{H}))$  defined by

$$\sigma_t(x) = u_t x u_t^*$$
  $(x \in \mathcal{B}(\mathcal{H}), t \in G).$ 

Assume that  $\hat{G}$  is ordered by a closed semigroup  $S \subset \hat{G}$  with  $S \cap (-S) = \{0\}$ ,  $S \cup (-S) = \hat{G}$  and that 0 is adherent to the interior  $\mathring{S}$  of S. Then, applying Corollary 4/14.7, we obtain

**Corollary.** Let  $u: G \to \mathcal{B}(\mathcal{H})$  be an so-continuous unitary representation and  $\sigma: G \to \operatorname{Aut}(\mathcal{B}(\mathcal{H}))$  the continuous action defined by  $\sigma_t = \operatorname{Ad}(u_t)$   $(t \in G)$ . For  $x \in \mathcal{B} = \mathcal{B}(\mathcal{H})$  and  $y \in \hat{G}$ , the following statements are equivalent:

- (i)  $x \in \mathcal{B}(\sigma; \gamma + S)$ ;
- (ii)  $xp_u(\omega + S)\mathcal{H} \subset p_u(\gamma + \omega + S)\mathcal{H}$  for all  $\omega \in \hat{G}$ :
- (iii)  $xp_{\mu}(\omega + \mathring{S})\mathcal{H} \subset p_{\mu}(\gamma + \omega + \mathring{S})\mathcal{H}$  for all  $\omega \in \hat{G}$ .

In particular, for  $G = \mathbb{R}$  we can take  $S = [0, +\infty) \subset \hat{G}$  or  $S = (-\infty, 0] \subset \hat{G}$ .

**14.11.** Let  $\mathscr{X}$  be a Banach space and  $D \in \mathscr{B}(\mathscr{X})$  be such that

(!) 
$$\|\exp(itD)\| = 1 \text{ for all } t \in \mathbb{R}.$$

Since  $\|\exp(itD) - I\| \le \exp(t\|D\|) - 1$ , it follows that the formula

$$(2) U_t = \exp(itD) (t \in \mathbb{R})$$

defines a norm-continuous representation  $U: \mathbb{R} \to \mathcal{B}(\mathcal{H})$  which is also a continuous representation in the sense defined in Section 13.2, with respect to the pair  $(\mathcal{X}, \mathcal{X}^*)$ .

From (1) it follows that the usual spectrum  $Sp(D) = Sp_{\mathcal{B}(\mathfrak{X})}(D)$  of the operator  $D \in \mathcal{B}(\mathfrak{X})$  is real, and, clearly,  $Sp(D) \subset [-\|D\|, \|D\|]$ . We have

$$Sp (\exp (itD)) = \{e^{its}; s \in Sp(D)\} \qquad (t \in \mathbb{R}).$$

On the other hand, since the representation U is norm-continuous, the set  $Sp\ U \subset \mathbb{R}$  is compact, by Corollary 14.5. Using Proposition 14.5 it follows that

$$(4) Sp(U_t) = \{e^{its}; s \in Sp U\} (t \in \mathbb{R}).$$

Using (2), (3) and (4) we conclude that

(5) 
$$Sp \ U = Sp \ (D) \subset [-\|D\|, \|D\|].$$

It is a classical result that for any norm-continuous representation  $U: \mathbb{R} \to \mathcal{B}(\mathcal{X})$  of  $\mathbb{R}$  by isometries on  $\mathcal{X}$  there exists a unique operator  $D \in \mathcal{B}(\mathcal{X})$  which satisfies conditions (1) and (2). This result can easily be obtained using the compactness of  $Sp\ U$ . Indeed, one can take  $D = U_f$  for any compactly supported  $C^{\infty}$ -function f such that  $Sp\ U \subset int \{s \in \mathbb{R}; \ \hat{f}(s) = s\}$ . Moreover,  $D = \text{norm-lim} \frac{1}{t^{-1}}(U_t - I)$ .

14.12. Let  $(\mathcal{X}, \mathcal{X}_{\bullet})$  be a pair satisfying conditions  $(1_{\mathcal{X}}), (2_{\mathcal{X}}), (3_{\mathcal{X}})$  of Section 13.1 and let  $T \in \mathcal{B}_{w}(\mathcal{X})$  be an isometry on  $\mathcal{X}$ . Then we obtain a representation  $U: \mathbb{Z} \to \mathcal{B}_{w}(\mathcal{X})$  defined by

$$(1) U_n = T^n (n \in \mathbb{Z}).$$

The dual group  $\widehat{\mathbb{Z}}$  of  $\mathbb{Z}$  can be identified with the one-dimensional torus  $\mathbb{T} = \{\lambda \in \mathbb{C} : |\lambda| = 1\}$  in such a way that for  $n \in \mathbb{Z}$  and  $\lambda \in \mathbb{T}$  we have  $\langle n, \lambda \rangle = \lambda^n$ . Since  $Sp\ U \subset \mathbb{T}$  is compact, using Proposition 14.5 we get  $Sp(T) = Sp(U_1) = \{\lambda; \lambda \in Sp\ U\}$ , that is

$$Sp U = Sp (T)$$

where Sp(T) is the usual spectrum  $Sp_{\mathfrak{B}(\mathfrak{X})}(T)$  of the operator  $T \in \mathfrak{B}(\mathfrak{X})$ . For  $\lambda \in \mathbb{C}$ ,  $|\lambda| = 1$  we have

(3) 
$$T = \lambda I \Leftrightarrow Sp(T) = \{\lambda\}.$$

Indeed, the implication ( $\Rightarrow$ ) is obvious. Conversely, if  $Sp(T) = \{\lambda\}$ , then  $Sp(U = \{\lambda\})$ , hence  $\mathcal{X}(U; \{\lambda\}) = \mathcal{X}$ . Consequently (14.3. (13)), for every  $x \in \mathcal{X}$  we have  $T^n x = U_n x = \lambda^n \ x(n \in \mathbb{Z})$ , hence  $T = \lambda I$ .

14.13. Recall that if a, b are two commuting elements of a unital Banach algebra  $\mathcal{A}$ , then

$$Sp_{\mathscr{A}}(a+b) \subset \{\lambda + \mu; \ \lambda \in Sp_{\mathscr{A}}(a), \ \mu \in Sp_{\mathscr{A}}(b)\},$$
  
 $Sp_{\mathscr{A}}(ab) \subset \{\lambda \mu; \ \lambda \in Sp_{\mathscr{A}}(a), \ \mu \in Sp_{\mathscr{A}}(b)\}.$ 

Now, for arbitrary elements  $a, b \in \mathcal{A}$ , the mappings  $L_a : \mathcal{A} \ni x \mapsto ax \in \mathcal{A}$ ,  $R_b : \mathcal{A} \ni x \mapsto xb \in \mathcal{A}$  define two commuting elements  $L_a$ ,  $R_b$  of the Banach algebra  $\mathcal{A}(\mathcal{A})$  of all bounded linear operators on  $\mathcal{A}$ , and it is clear that  $Sp_{\mathcal{A}(\mathcal{A})}(L_a) \subset Sp_{\mathcal{A}}(a)$ ,  $Sp_{\mathcal{A}(\mathcal{A})}(R_b) \subset Sp_{\mathcal{A}}(b)$ . Consequently,

(1) 
$$Sp_{\mathcal{B}(\mathcal{A})}(L_a + R_b) \subset \{\lambda + \mu; \ \lambda \in Sp_{\mathcal{A}}(a), \ \mu \in Sp_{\mathcal{A}}(b)\},$$

(2) 
$$Sp_{\mathscr{A}(\mathscr{A})}(L_{a}R_{b}) \subset \{\lambda\mu; \ \lambda \in Sp_{\mathscr{A}}(a), \ \mu \in Sp_{\mathscr{A}}(b)\}.$$

Proposition. Let  $\mathcal{M}$  be a  $W^*$ -factor, and let  $u \in U(\mathcal{M})$ ,  $\sigma = Ad(u) \in Aut(\mathcal{M})$ . Then

$$Sp_{\mathcal{A}(\mathcal{A})}(\sigma) = \{\lambda \mu^{-1}; \ \lambda, \ \mu \in Sp_{\mathcal{A}}(u)\}.$$

*Proof.* By the above remarks it is clear that  $Sp_{\mathscr{B}(\mathscr{M})}(\sigma) \subset \{\lambda \mu^{-1}; \lambda, \mu \in Sp_{\mathscr{M}}(u)\}$ . Now, let  $\lambda, \mu \in Sp_{\mathscr{M}}(u)$  and  $\varepsilon > 0$ . There exist two non-zero spectral projections  $e, f \in \mathscr{M}$  of u such that  $\|ue - \lambda e\| \leq \varepsilon/2$  and  $\|fu - \mu f\| \leq \varepsilon/2$ . Since  $\mathscr{M}$  is a factor, there exists a non-zero partial isometry  $v \in \mathscr{M}$  such that  $vv^* \leq e$  and  $v^*v \leq f$ . We have

$$\|\sigma(v) - \lambda \mu^{-1}v\| = \|uv - \lambda \mu^{-1}vu\| = \|\lambda^{-1}uv - \mu^{-1}vu\|$$

$$\leq \|\lambda^{-1}uv - v\| + \|v - \mu^{-1}vu\|$$

$$= \|uv - \lambda v\| + \|vu - \mu v\|$$

$$= \|(ue - \lambda e)v\| + \|v(fu - \mu f)\| \leq \varepsilon.$$

Hence  $Sp_{\mathcal{A}(\mathcal{M})}(\sigma) \supset \{\lambda \mu^{-1}; \lambda, \mu \in Sp_{\mathcal{M}}(u)\}.$ 

14.14. Notes. The spectral theory of the action of a locally compact abelian group on a Banach space appeared in the works of Borchers, Colojoară and Foiaș, Godement, Lyubic, Macaev and Feldman, Wightman, and others, but it was Arveson [12] who, as well as giving a systematic, self-contained exposition of this subject with several new results, showed the relevance of the theory for the study of operator algebras. The main result of this Section, Theorem 14.7, is due to Arveson [12]. Propositions 14.4, 14.5, 14.9 and 14.13 are due to Connes [36] (see also [177]). Four our exposition we have used [12], [34], [36], and [177].

## § 15. Continuous actions on W\*-algebras

In this Section we apply the spectral theory so far developed to continuous actions of locally compact abelian groups by \*-automorphisms of  $W^*$ -algebras. The main results concern the inner implementation of \*-automorphisms and derivations.

15.1. Let  $\sigma: G \to Aut(\mathcal{M})$  be a continuous action (13.5) of the separable locally compact abelian group G on the  $W^*$ -algebra  $\mathcal{M}$ .

Since  $\sigma$  is *u*-continuous, we infer (see 13.2) that every  $\varphi \in \mathcal{M}_*$  belongs to the norm-closure of the set  $\{\varphi \circ \sigma_f; f \in \mathcal{L}^1(G)\}$ . It follows that

for every norm-bounded approximate unit  $\{f_i\}_{i\in I}$  of the Banach algebra (1)  $\mathscr{L}^1(G)$  we have

$$\|\varphi \circ \sigma_{f_i} - \varphi\| \to 0 \qquad (\varphi \in \mathcal{M}_*).$$

Indeed, let  $\varphi \in \mathcal{M}_{\bullet}$ ,  $\|\varphi\| \le 1$ , and  $\varepsilon > 0$ . We assume that  $\|f_i\| \le 1$  for each  $i \in I$ . There exists  $f \in \mathcal{L}^1(G)$  with  $\|\varphi \circ \sigma_f - \varphi\| < \varepsilon/3$  and then  $i_{\varepsilon} \in I$  such that  $\|f * f_i - f\|_1 < \varepsilon/3$  for every  $i \ge i_{\varepsilon}$ . Thus, for  $i \ge i_{\varepsilon}$  we get  $\|\varphi \circ \sigma_{f_i} - \varphi\| \le \|\varphi \circ \sigma_{f_i} - \varphi \circ \sigma_f \circ \sigma_{f_i}\| + \|\varphi \circ \sigma_f \circ \sigma_{f_i} - \varphi \circ \sigma_f\| + \|\varphi \circ \sigma_f - \varphi\| \le \|f_i\|_1 \|\varphi - \varphi \circ \sigma_f\| + \|\varphi\| \|f * f_i - f\|_1 + \|\varphi \circ \sigma_f - \varphi\| < \varepsilon$ .

Also (13.2), every  $x \in \mathcal{M}$  belongs to the w-closure of the set  $\{\sigma_f x; f \in \mathcal{L}^1(G)\}$ . Using (1) it follows that

for every norm-bounded approximate unit  $\{f_i\}_{i\in I}$  of the Banach algebra  $\mathscr{L}^1(G)$  we have

$$\sigma_{f_i} x \xrightarrow{w} x \qquad (x \in \mathcal{M}).$$

Indeed, let  $x \in \mathcal{M}$ ,  $||x|| \le 1$ ,  $\varphi \in \mathcal{M}_*$   $||\varphi|| \le 1$  and  $\varepsilon > 0$ . There exists  $f \in \mathcal{L}^1(G)$  with  $||\varphi(x - \sigma_f x)|| < \varepsilon/4$  and there exists  $i_{\varepsilon} \in I$  such that  $||f_i * f - f|| < \varepsilon/4$  and  $||\varphi - \varphi \circ \sigma_{f_i}|| < \varepsilon/4$   $||x - \sigma_f x||$  for  $i > i_{\varepsilon}$ . Thus, for  $i > i_{\varepsilon}$  we get  $||\varphi(\sigma_{f_i} x - x)|| \le ||\varphi(\sigma_{f_i} x - x)|| + ||\varphi(\sigma$ 

In particular, for every  $x \in \mathcal{M}$ 

(3) 
$$x \in \overline{\{\sigma_k x; k \in \mathcal{L}^1(G), ||k||_1 \leq 1, supp \hat{k} compact\}}^{s^*}$$

Indeed,  $\{\sigma_k x; k \in \mathcal{L}^1(G), ||k||_1 \le 1, \text{ supp } \hat{k} \text{ compact}\}$  is a convex set and, by (2) and 14.1.(4), x is w-adherent and hence also  $s^*$ -adherent, to this set.

We shall also use the following result:

**Lemma.** Let  $\{D_i\}_{i\in I}$  be an open covering of  $\hat{G}$ . There exist a directed set  $\Lambda$  and a family  $\{f_{i,\lambda}\}_{i\in I,\lambda\in\Lambda} \subset \mathcal{L}^1(G)$  such that

a) for each  $\lambda \in \Lambda$  the set  $\{i \in I; f_{i,\lambda} \neq 0\}$  is finite,

b) for each  $i \in I$  and each  $\lambda \in \Lambda$  we have supp  $\hat{f}_{i,\lambda} \subset D_i$  and, moreover, for every  $x \in \mathcal{M}$  we have

$$\sum_{l\in I} \sigma_{f_l,\lambda} x \underset{\lambda\in A}{\overset{w}{\longrightarrow}} x.$$

*Proof.* Indeed, the linear subspace of  $\mathcal{L}^1(G)$  spanned by the set  $\{f \in \mathcal{L}^1(G);$  there exists  $i \in I$  with  $supp \hat{f} \subset D_i\}$  is a norm-dense ideal of  $\mathcal{L}^1(G)$  and hence (14.1) it contains a norm-bounded approximate unit of  $\mathcal{L}^1(G)$ , so that the Lemma follows using statement (2).

15.2. Let  $\sigma: G \to Aut(\mathcal{M})$  be a continuous action of G on  $\mathcal{M}$ . In this Section we study the relationship between the spectral subspaces associated with  $\sigma$  and the \*-operation on  $\mathcal{M}$ .

Let  $f \in \mathcal{L}^1(G)$  and let  $\overline{f} \in \mathcal{L}^1(G)$  be its complex-conjugate. It is easy to see that for any  $x \in \mathcal{M}$  and any  $y \in \hat{G}$  we have

(1) 
$$(\sigma_f(x))^{\bullet} = \sigma_{\tilde{f}}(x^{\bullet}), \ (\tilde{f})^{\hat{}}(\gamma) = \hat{f}(-\gamma).$$

It follows that

$$Sp_{\sigma}(x^*) = -Sp_{\sigma}(x).$$

Consequently, for any set  $E \subset \hat{G}$  we have

(3) 
$$\mathscr{M}(\sigma; E)^* = \mathscr{M}(\sigma; -E)$$

and  $Sp \sigma$  is a symmetric subset of  $\hat{G}$ , i.e.

$$Sp \sigma = - Sp \sigma.$$

Let  $\sigma' \colon G \to Aut(\mathcal{M})$  be another continuous action of G on  $\mathcal{M}$ . We consider the pair  $(\mathcal{B}, \mathcal{B}_*)$  consisting of the Banach space  $\mathcal{B}$  of all w-continuous linear mappings  $\mathcal{M} \to \mathcal{M}$  and the norm-closed linear subspace  $\mathcal{B}_* \subset \mathcal{B}^*$  generated by  $\{\varphi(\cdot x); \varphi \in \mathcal{M}_*, x \in \mathcal{M}\}$  (cf. 13.1), together with the continuous representation  $\mathfrak{S}$  of G on  $\mathfrak{B}$  defined by (cf. 13.3)

$$\mathfrak{S}_{s}T = \sigma'_{s} \circ T \circ \sigma_{-s} \qquad (T \in \mathscr{B}_{s} \ s \in G).$$

Note that there is a natural \*-operation  $T \mapsto T^*$  on the Banach space  $\mathcal{B}$ , namely  $T^*(x) = T(x^*)^*$   $(T \in \mathcal{B}, x \in \mathcal{M})$ , and it is easy to check that  $\mathfrak{S}$  is a \*-representation, i.e.  $\mathfrak{S}_s(T^*) = (\mathfrak{S}_sT)^*$   $(T \in \mathcal{B}, s \in G)$ . The same argument as above shows that

$$Sp_{\mathbf{g}}(T^*) = -Sp_{\mathbf{g}}(T) \qquad (T \in \mathcal{B}),$$

so that the spectrum of every self-adjoint element of  $\mathcal{B}$  is symmetric with respect to  $0 \in \widehat{G}$ .

Assume that  $\hat{G}$  is ordered by a closed semigroup  $S \subset \hat{G}$  with  $S \cap (-S) = \{0\}$ ,  $S \cup (-S) = \hat{G}$ , and that 0 is adherent to the interior  $\mathring{S}$  of S. Using (5) and Corollary 4/14.7 we get the following result:

**Proposition.** Let  $\sigma: G \to Aut(\mathcal{M})$ ,  $\sigma': G \to Aut(\mathcal{M})$  be continuous actions of G on  $\mathcal{M}$  and  $\theta \in Aut(\mathcal{M})$ . The following statements are equivalent:

(i) 
$$\sigma'_t \circ \theta = \theta \circ \sigma_t$$
 for all  $t \in G$ ;

(ii) 
$$\theta(\mathcal{M}(\sigma; \gamma + S)) \subset \mathcal{M}(\sigma'; \gamma + S)$$
 for all  $\gamma \in \hat{G}$ ;

(iii) 
$$\theta(\mathcal{M}(\sigma; \gamma + \mathring{S})) \subset \mathcal{M}(\sigma'; \gamma + \mathring{S})$$
 for all  $\gamma \in \hat{G}$ .

**Proof.** By Corollary 4/14.7, statements (ii) and (iii) are both equivalent to the fact that the \*-automorphism  $\theta \in \operatorname{Aut}(\mathcal{M}) \subset \mathcal{B}$  belongs to the spectral subspace  $\mathcal{B}(\mathfrak{S}; S)$ . Since  $\theta$  is a self-adjoint element of  $\mathcal{B}$ , the spectrum of  $\theta$  is symmetric and so  $Sp_{\mathfrak{S}}(\theta) \subset S \cap (-S) = \{0\}$ , that is (14.3.(14))  $\mathfrak{S}_i(\theta) = \theta$  and  $\sigma_i' \cdot \theta = \theta \cdot \sigma_i$  for all  $t \in G$ .

Clearly, the previous Proposition still holds for any self-adjoint element  $0 \in \mathcal{B}$  and can be extended to actions on different  $W^*$ -algebras.

If  $\theta$  = the identity mapping on  $\mathcal{M}$ , we get spectral conditions which are equivalent to the equalities  $\sigma_t = \sigma_t'(t \in G)$ .

The previous Proposition is particularly useful in the case  $G = \mathbb{R}$ , when we can take  $S = [0, +\infty) \subset \hat{G}$  or  $S = (-\infty, 0] \subset \hat{G}$ .

15.3. Let  $\sigma: G \to Aut(\mathcal{M})$  be a continuous action of G on  $\mathcal{M}$ . We now study the relationship between the spectral subspaces associated with  $\sigma$  and the multiplication operation on  $\mathcal{M}$ .

Consider again the pair  $(\mathcal{B}_{\bullet}\mathcal{B}_{\bullet})$  as in Section 15.2 and the continuous representation  $\mathfrak{S}$  of G on  $\mathcal{B}$  defined by  $\mathfrak{S}_{z}T = \sigma_{z} \circ T \circ \sigma_{-z}$   $(T \in \mathcal{B} \ s \in G)$ . We define an operator  $L: \mathcal{M} \to \mathcal{B}$  by putting

$$L_a(x) = ax$$
  $(a \in \mathcal{M}, x \in \mathcal{M}).$ 

The operator L is injective, w-continuous and intertwines the representations  $\sigma$  and  $\mathfrak{S}$ , i.e.

$$\mathfrak{S}_{s} \circ L = L \circ \sigma_{s} \qquad (s \in G)$$

since for  $a, x \in \mathcal{M}$  we have  $(\mathfrak{S}_s(L_a))(x) = \sigma_s(a\sigma_{-s}(x)) = \sigma_s(a)x = (L_{\sigma_s(a)})(x)$ . By Proposition 14.8 it follows that

$$Sp_{\alpha}(L_a) = Sp_{\alpha}(a) \quad (a \in \mathcal{M}).$$

Thus, using Theorem 14.7, we obtain the following result:

**Proposition.** Let  $\sigma: G \to Aut(\mathcal{M})$  be a continuous action of G on  $\mathcal{M}$ ,  $a \in \mathcal{M}$ ,  $Q \subset \hat{G}$  a closed set and  $\{W_i\}_{i \in I}$  a fundamental system of compact neighbourhoods of O in  $\hat{G}$ . The following statements are equivalent:

- (i)  $a \in \mathcal{M}(\sigma; Q)$ ;
- (ii)  $a\mathcal{M}(\sigma; F) \subset \mathcal{M}(\sigma; Q + F)$  for any closed set  $F \subset \hat{G}$ ;
- (iii)  $a\mathcal{M}(\sigma; E) \subset \mathcal{M}(\sigma; Q + E)$  for any set  $E \subset \hat{G}$ ;
- (iv)  $a\mathcal{M}(\sigma; D) \subset \mathcal{M}(\sigma; Q + D)$  for any open set  $D \subset \hat{G}$ ;
- (v)  $a\mathcal{M}(\sigma;K) \subset \mathcal{M}(\sigma;Q+K)$  for any compact set  $K \subset \hat{G}$ ;
- (vi)  $a \mathcal{M}(\sigma; \gamma + W_i) \subset \mathcal{M}(\sigma; \gamma + W_i + Q)$  for any  $\gamma \in \hat{G}$  and any  $i \in I$ .

In particular, for every  $a, b \in \mathcal{M}$  we have

(1) 
$$Sp_{\sigma}(ab) \subset Sp_{\sigma}(a) + Sp_{\sigma}(b)$$

and for every  $E_1$ ,  $E_2 \subset \hat{G}$  we have

(2) 
$$\mathcal{M}(\sigma; E_1) \mathcal{M}(\sigma; E_2) \subset \mathcal{M}(\sigma; E_1 + E_2).$$

We note also the following obvious identity:

(3) 
$$\sigma_{a}(axb) = a\sigma_{a}(x)b \qquad (a, b \in \mathcal{M}^{\sigma}, x \in \mathcal{M}, \mu \in \mathcal{M}(G)).$$

15.4. Let  $\sigma: G \to Aut(\mathcal{M})$  be a continuous action of G on  $\mathcal{M}$ .

If  $0 \neq e \in \mathcal{M}^{\sigma}$  is a projection, then  $\sigma$  obviously defines a continuous action  $\sigma^{e}: G \to Aut(e\mathcal{M}e)$  of G on the reduced  $W^{\bullet}$ -algebra  $e\mathcal{M}e: \sigma^{e}_{t} = \sigma_{t} \mid e\mathcal{M}e$   $(t \in G)$ .

It is easy to check that for every  $x \in eMe$  we have

$$Sp_{\sigma}e(x) = Sp_{\sigma}(x).$$

For every set  $E \subset \hat{G}$  we shall write  $\mathcal{M}(\sigma^e; E)$  instead of  $e\mathcal{M}e(\sigma^e; E)$ . From (1) it follows that

(2) 
$$\mathcal{M}(\sigma^e; E) = \mathcal{M}(\sigma; E) \cap e \mathcal{M}e.$$

In particular,

(3) 
$$(e\mathcal{M}e)^{\sigma^e} = \mathcal{M}^{\sigma} \cap e\mathcal{M}e.$$

If  $e_1$  and  $e_2$  are both projections in  $\mathcal{M}^{\sigma}$ , then

$$(4) 0 \neq e_1 \leqslant e_2 \Rightarrow Sp \ \sigma^{e_1} \subset Sp \ \sigma^{e_2}.$$

Let  $0 \neq e \in \mathcal{M}^{\sigma}$  be a projection and denote by  $\overline{e} \in \mathcal{Z}(\mathcal{M}^{\sigma})$  the central support of e in  $\mathcal{M}^{\sigma}$ . Then we have

$$Sp \sigma^{\bar{e}} = Sp \sigma^{e}.$$

Indeed, let  $E \subset \hat{G}$  be any set and suppose that there exists  $0 \neq x \in \mathcal{M}(\sigma^{\bar{e}}; E) = \mathcal{M}(\sigma; E) \cap \bar{e}\mathcal{M}\bar{e}$ . Since  $\bar{e} = \bigvee \{ueu^*; u \in U(\mathcal{M}^{\sigma})\}$ , we can find  $u, v \in U(\mathcal{M}^{\sigma})$  such that  $ueu^*xvev^* \neq 0$ . Then  $0 \neq eu^*xve \in \mathcal{M}e$  and  $eu^*xve \in \mathcal{M}(\sigma; E)$  as  $e, u, v \in \mathcal{M}^{\sigma}$ ,  $x \in \mathcal{M}(\sigma; E)$  (15.3.(2)), so that  $\mathcal{M}(\sigma^e; E) = \mathcal{M}(\sigma; E) \cap e\mathcal{M}e \neq \{0\}$ . Thus, (5) follows using Proposition 14.5.

15.5. Let  $\sigma: G \to Aut$  (*M*) be a continuous action of G on the von Neuman algebra  $\mathcal{M} \subset \mathcal{B}(\mathcal{H})$ .

Let  $E \subset \hat{G}$  be an arbitrary set. We consider the w-closed left ideal  $\mathcal{Q}(\sigma; E) = \{x \in \mathcal{M}; x\mathcal{M}(\sigma; E) = 0\}$  of  $\mathcal{M}$  and denote by  $q(\sigma; E)$  the greatest projection in  $\mathcal{Q}(\sigma; E)$ , i.e.

$$\mathscr{Q}(\sigma;E)=\mathscr{M}q(\sigma;E).$$

From this definition it follows that

$$(1) 1-q(\sigma; E) = \bigvee \{l(x); x \in \mathcal{M}(\sigma; E)\}$$

or, equivalently,

(2) 
$$(1 - q(\sigma; E) \mathcal{H} = \overline{\mathcal{M}(\sigma; E) \mathcal{H}}.$$

The spectral subspace  $\mathcal{M}(\sigma; E)$  is  $\sigma$ -invariant (14.2.(4)) and also invariant under left or right multiplications by elements in  $\mathcal{M}^{\sigma}(15.3.(2), 14.3.(14))$ . It follows that  $\mathcal{Q}(\sigma; E)$  enjoys the same properties and hence

(3) 
$$q(\sigma; E) \in \mathcal{Z}(\mathcal{M}^{\circ})$$

If  $\theta \in Aut(\mathcal{M})$  and  $\theta \circ \sigma_t = \sigma_t \circ \theta$   $(t \in G)$ , then, by Corollary 2/14.7,  $\theta(\mathcal{M}(\sigma; E)) = \mathcal{M}(\sigma; E)$ , and hence

(4) 
$$\theta \in Aut(\mathcal{M}), \ \theta \circ \sigma_t = \sigma_t \circ \theta \Rightarrow \theta(q(\sigma; E)) = q(\sigma; E).$$

15.6. We now restrict ourselves to the case  $G = \mathbb{R}$ , and consider a continuous action  $\sigma: \mathbb{R} \to Aut(\mathcal{M})$  of  $\mathbb{R}$  on the von Neumann algebra  $\mathcal{M} \subset \mathcal{B}(\mathcal{H})$  identifying  $\hat{G}$  with  $\mathbb{R}$  so that  $\langle t, s \rangle = e^{ist}$   $(t \in G = \mathbb{R}, s \in \hat{G} = \mathbb{R})$ .

By the construction in Section 15.5, for each  $t \in \hat{G} = \mathbb{R}$  we have a projection

(1) 
$$q_i^{\sigma} = q(\sigma; (t, +\infty)) \in \mathscr{Z}(\mathscr{M}^{\sigma})$$

such that

$$(2) 1-q_t^{\sigma}=\bigvee\{\mathbf{l}(x);\ x\in\mathcal{M}(\sigma;(t,+\infty))\}=\overline{\mathcal{M}(\sigma;(t,+\infty))\mathcal{H}}.$$

We also consider the projection

(3) 
$$q_{\infty}^{\sigma} = \bigvee_{t \in \mathbb{R}} q_t^{\sigma} \in \mathscr{Z}(\mathscr{M}^{\sigma});$$

clearly

$$(4) 1 - q_{\infty}^{\sigma} = \bigwedge_{t \in \mathbb{R}} \overline{\mathcal{M}(\sigma; (t, +\infty)) \mathcal{H}}.$$

Lemma. The mapping  $\mathbb{R} \ni t \mapsto q_t^a \in Proj(\mathscr{Z}(\mathscr{M}^o))$  has the following properties:

$$(a) t_1 \leqslant t_2 \Rightarrow q_{i_1}^{\sigma} \leqslant q_{i_2}^{\sigma},$$

(b) 
$$t_n > t, t_n \to t \Rightarrow q_{t_n}^{\sigma} \stackrel{s}{\to} q_t^{\sigma},$$

(c) 
$$t_n \to +\infty \Rightarrow q_{t_n}^{\sigma} \stackrel{s}{\to} q_{\infty}^{\sigma},$$

$$t<0\Rightarrow q_i^{\sigma}=0.$$

If there exists  $\varepsilon > 0$  such that  $Sp \sigma \subset [-\varepsilon, \varepsilon]$ , then

(e) 
$$t > \varepsilon \Rightarrow q_i^{\sigma} = 1.$$

*Proof.* For the proof put  $\mathcal{M}(t) = \mathcal{M}(\sigma; (t, +\infty))$ ,  $Q(t) = Q(\sigma; (t, +\infty))$  the left annihilator of  $\mathcal{M}(t)$  in  $\mathcal{M}$ ,  $q_t = q_t^a$  and  $q_\infty = q_\infty^a$ . We have  $\mathcal{L}(t) = \mathcal{M}q_t$  and  $q_\infty = \bigvee_{t=0}^{\infty} q_t$ .

Statement (a) follows from the fact that the mapping  $t \mapsto \mathcal{M}(t)$  is decreasing and hence the mapping  $t \mapsto \mathcal{Q}(t)$  is increasing. Statement (c) is now obvious as  $q_{\infty} = \bigvee_{i} q_{i}$ .

Let  $t_n \ge t$ ,  $t_n \to t$ . Since the mapping  $t \mapsto q_t$  is increasing, in order to show that  $q_{t_n} \xrightarrow{s} q_t$  we may assume that the sequence  $\{t_n\}$  is decreasing. Then (14.3.(4))  $\mathcal{M}(t) = \bigvee_n \mathcal{M}(t_n)$ , hence  $\mathcal{Q}(t) = \bigcap_n \mathcal{Q}(t_n)$  and  $q_t = \bigwedge_n q_{t_n} = s - \lim_n q_{t_n}$ . We have thus proved statement (b).

If t < 0, then  $\mathcal{M}(t) \supset \mathcal{M}^{\sigma} \ni 1$ , hence  $\mathcal{Q}(t) = 0$  and  $q_t = 0$ . If  $Sp \sigma \subset [-\varepsilon, \varepsilon]$ , then for  $t > \varepsilon$  we have  $\mathcal{M}(t) = \{0\}$ , hence  $\mathcal{Q}(t) = \mathcal{M}$  and  $q_t = 1$ .

15.7. Continuing with the notation of the previous Section, we assume that

$$a_{\infty}^{\sigma}=1.$$

Then Lemma 15.6 shows that the mapping  $\mathbb{R} \ni t \mapsto q_t^\sigma \in \mathcal{Z}(\mathcal{M}^\sigma)$  defines a spectral resolution of the identity on the Hilbert space  $\mathcal{H}$  (see [79], XI.5) and hence a self-adjoint operator A in  $\mathcal{H}$  affiliated to the von Neumann algebra  $\mathcal{Z}(\mathcal{M}^\sigma)$ ,

$$A = \int_{-\infty}^{+\infty} t \, \mathrm{d}q_t^{\sigma},$$

and an so-continuous unitary representation  $u: \mathbb{R} \to \mathcal{Z}(\mathcal{M}^o) \subset \mathcal{M}$ ,

(2) 
$$u_s = \exp(isA) \quad (s \in \mathbb{R}).$$

Since  $q_t^{\sigma} = 0$  for t < 0, the self-adjoint operator A is positive. Let  $p_u(\cdot)$  be the Stone spectral measure associated with u (14.10). Using Proposition 14.10 and 14.10.(4), 14.10.(5) we get

$$\mathcal{H}(u;(t,+\infty)) = p_{u}((t,+\infty)) \mathcal{H} = \chi_{(t,+\infty)}(A) \mathcal{H} = (q_{\infty}^{\sigma} - q_{t}^{\sigma}) \mathcal{H} \qquad (t \in \mathbb{R}).$$

Since, by assumption,  $q_{\infty}^{\sigma} = 1$ , we obtain using 15.6.(2)

3) 
$$\mathscr{H}(u;(t,+\infty)) = \overline{\mathscr{M}(\sigma;(t,+\infty)\mathscr{H})}$$
  $(t \in \mathbb{R}).$ 

Since  $(15.3.(2) \mathcal{M}(\sigma; (s, +\infty)) \mathcal{M}(\sigma; (t, +\infty)) \subset \mathcal{M}(\sigma; (s+t, +\infty))$ , we infer from (3) that

(4) 
$$\mathcal{M}(\sigma; (s, +\infty)) \mathcal{H}(u; (t, +\infty)) \subset \mathcal{H}(u; (s+t, +\infty))$$
  $(s, t \in \mathbb{R}).$ 

Using Corollary 14.10, we further deduce from (4) that

(5) 
$$\mathcal{M}(\sigma; (s, +\infty)) \subset \mathcal{M}(\mathrm{Ad}(u); (s, +\infty)) \quad (s \in \mathbb{R}).$$

Finally, with the help of Proposition 15.2 we conclude from (5) that

(6) 
$$\sigma_t = \mathrm{Ad}(u_t) \quad (t \in \mathbb{R}).$$

Recall that the so-continuous unitary representation  $u:\mathbb{R}\to \mathscr{M}$  defined above has positive spectrum,

$$Sp u = Sp(A) \subset [0, +\infty),$$

that is, its infinitesimal generator A is positive.

If  $Sp \ \sigma \subset [-\varepsilon, \varepsilon]$ , then (15.6.(e))  $q_t^{\sigma} = 1$  for every  $t > \varepsilon$ , in particular  $q_{\infty}^{\sigma} = 1$ , and from the definition (1) of the operator A it follows that

$$(7) 0 \leqslant A \leqslant \varepsilon.$$

15.8. As a conclusion to the above considerations we have the following remarkable result:

Theorem (H. J. Borchers, W. B. Arveson). Let  $\sigma: \mathbb{R} \to Aut$  (M) be a continuous action of  $\mathbb R$  on the von Neuman algebra  $\mathscr M\subset\mathscr B(\mathscr H)$ . The following statements are equivalent:

(i) there exists an so-continuous unitary representation  $u: \mathbb{R} \to \mathcal{B}(\mathcal{H})$  with

positive spectrum such that  $\sigma_t = Ad(u_t)$   $(t \in \mathbb{R})$ ;

(ii) there exists an so-continuous unitary representation u:R → M with positive spectrum such that  $\sigma_t = Ad(u_t)$   $(t \in \mathbb{R})$ ;

(iii) 
$$q_{\infty}^{\sigma} = 1$$
;  
(iv)  $\bigcap_{t \in \mathbb{R}} \overline{\mathcal{M}(\sigma; (t, +\infty))} \mathcal{H} = \{0\}$ .

*Proof.* (i)  $\Rightarrow$  (iv). Since  $Sp \ u \subset [0, +\infty)$  we have  $\mathcal{H} = \mathcal{H}(u; [0, +\infty))$ . Let  $p_u(\cdot)$  be the Stone spectral measure associated with u (14.10). Since  $\sigma = Ad(u)$ , using Corollary 1/14.7 and Proposition 14.10, we obtain for every  $t \in \mathbb{R}$ ,  $\mathcal{M}(\sigma; (t, +\infty)) \mathcal{H} = \mathcal{M}(\sigma; (t, +\infty)) \mathcal{H}(u; [0, +\infty))$ 

$$\subset \mathcal{H}(u;(t,+\infty)) = p_{\mu}((t,+\infty)) \mathcal{H}_{\bullet}$$

hence

$$\bigcap_{t\in\mathbb{R}}\overline{\mathcal{M}(\sigma;(t,+\infty))\,\mathcal{H}}\subset\bigcap_{t\in\mathbb{R}}p_*((t,+\infty))\,\mathcal{H}=(\bigwedge_{t\in\mathbb{R}}p_*((t,+\infty)))\,\mathcal{H}=\{0\}.$$

(iv)  $\Rightarrow$  (iii). Follows from 15.6.(4).

(iii) ⇒ (iii). This was proved in Section 15.7.

(ii) ⇒ (i). Obvious.

Clearly, the Theorem still remains valid if we replace the condition concerning the positivity of the spectrum by the requirement that the spectrum be left or right bounded.

15.9. Let  $\sigma: \mathbb{R} \to Aut(\mathcal{M})$  be a continuous action of  $\mathbb{R}$  on the von Neumann algebra  $\mathcal{M} \subset \mathcal{B}(\mathcal{H})$  and assume that  $q_{\infty}^{\sigma} = 1$ . Then the so-continuous unitary representation  $u: \mathbb{R} \to \mathcal{M}$  constructed in Section 15.7 has the following minimality property:

If  $v: \mathbb{R} \to \mathcal{B}(\mathcal{H})$  is any so-continuous unitary representation with positive spectrum such that  $\sigma_s = \operatorname{Ad}(v_s)$   $(s \in \mathbb{R})$ , then

$$\mathcal{H}(u;(t,+\infty)) \subset \mathcal{H}(v;(t,+\infty)) \qquad (t \in \mathbb{R})$$

that is, for the corresponding infinitesimal generators A, B we have

$$\chi_{(t_*+\infty)}(A) \leqslant \chi_{(t_*+\infty)}(B) \qquad (t \in \mathbb{R}).$$

Indeed, since  $\mathcal{H} = \mathcal{H}(v; [0, +\infty))$  and  $\sigma = \mathrm{Ad}(v)$ , using Corollary 1/14.7 we obtain  $\mathcal{M}(\sigma; (t, +\infty))$   $\mathcal{H} = \mathcal{M}(\sigma; (t, +\infty))$   $\mathcal{H}(v; [0, +\infty)) \subset \mathcal{H}(v; (t, +\infty))$ , so that the desired conclusion follows using 15.7.(3).

15.10. The arguments presented in Section 15.7 also give the following

**Proposition.** Let  $\sigma: \mathbb{R} \to Aut (\mathcal{M})$  be a continuous action of  $\mathbb{R}$  on the  $W^*$ -algebra  $\mathcal{M}$ . If there exists  $\varepsilon > 0$  such that  $Sp \sigma \subset [-\varepsilon, \varepsilon]$ , then there exists  $a = a^* \in \mathcal{Z}(\mathcal{M}^{\sigma})$ ,  $||a|| \le \varepsilon/2$ , such that  $\sigma_t = Ad(\exp(ita))$   $(t \in \mathbb{R})$ .

**Proof.** By the last remark in Section 15.7, there exists  $A \in \mathcal{Z}(\mathcal{M}^{\sigma})$ ,  $0 \le A \le \varepsilon$ , such that  $\sigma_t = \operatorname{Ad}(\exp(itA))$   $(t \in \mathbb{R})$ , and we can take  $a = A - \frac{\varepsilon}{2}$ .

15.11. Let  $\sigma:G \to Aut(\mathcal{M})$  and  $\tau:G \to Aut(\mathcal{M})$  be two continuous actions of G on  $\mathcal{M}$ . We shall say that the actions  $\sigma$  and  $\tau$  are outer conjugate, and we shall write  $\sigma \sim \tau$ , if there exists a unitary  $\sigma$ -cocycle  $w \in Z_{\sigma}(G; U(\mathcal{M}))$  (see 5.1) such that  $\tau_t = \mathrm{Ad}(w_t) \cdot \sigma_t$  ( $t \in \mathbb{R}$ ). It is easy to check that " $\sim$ " is an equivalence relation.

In this case we can define the balanced action  $\theta = \theta(\sigma, w)$  of G on the W\*-algebra  $\mathcal{P} = Mat_2(\mathcal{M})$  by putting

(1) 
$$\theta_t \begin{pmatrix} x_{11} & x_{12} \\ x_{21} & x_{22} \end{pmatrix} = \begin{pmatrix} \sigma_t(x_{11}) & \sigma_t(x_{12})w_t^* \\ w_t\sigma_t(x_{21}) & w_t\sigma_t(x_{22})w_t^* \end{pmatrix} \qquad ([x_{ij}] \in \mathscr{P}).$$

Since  $w \in Z_o(G, U(\mathcal{M}))$ , putting  $v_t = \begin{pmatrix} 1 & 0 \\ 0 & w_t \end{pmatrix}$   $(t \in G)$ , we define a unitary  $(\sigma \otimes i)$ -cocycle  $v \in Z_{\sigma \bullet i}(G, U(\mathcal{P}))$  such that  $\theta_t = \operatorname{Ad}(v_t) \cdot (\sigma_t \otimes i)$   $(t \in G)$ . Thus,  $\theta: G \to \operatorname{Aut}(\mathcal{P})$  is indeed a continuous action of G on  $\mathcal{P}$ . It is clear that for  $t \in G$  and  $x \in \mathcal{M}$  we have

(2) 
$$\theta_{t}\begin{pmatrix} x & 0 \\ 0 & 0 \end{pmatrix} = \begin{pmatrix} \sigma_{t}(x) & 0 \\ 0 & 0 \end{pmatrix}, \quad \theta_{t}\begin{pmatrix} 0 & 0 \\ 0 & x \end{pmatrix} = \begin{pmatrix} 0 & 0 \\ 0 & \tau_{t}(x) \end{pmatrix}.$$

The projections  $e = \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}$ ,  $f = \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix}$  are equivalent in  $\mathcal{P}$  and we have  $e, f \in \mathcal{P}^0$ . Moreover, from (2) it follows that

(3) 
$$(\mathcal{M}, \sigma) \approx (e\mathcal{P}e, \theta^e), \quad (\mathcal{M}, \tau) \approx (f\mathcal{P}f, \theta^f).$$

In particular, for every  $x \in \mathcal{M}$  we have:

(4) 
$$Sp_{\theta}(x) = Sp_{\theta}\begin{pmatrix} x & 0 \\ 0 & 0 \end{pmatrix}, \quad Sp_{t}(x) = Sp_{\theta}\begin{pmatrix} 0 & 0 \\ 0 & x \end{pmatrix}.$$

Note that, conversely, if there exists a continuous action  $\theta: G \to Aut(Mat_2(\mathcal{M}))$  which satisfies (2), then the actions  $\sigma$  and  $\tau$  are outer conjugate.

15.12. Using the results of Sections 15.10 and 15.11, we now prove a result which we shall need later.

Proposition. Let  $\sigma: \mathbb{R} \to Aut(\mathcal{M})$  be a continuous action of  $\mathbb{R}$  on the W\*-algebra  $\mathcal{M}$  and  $\varepsilon > 0$  such that

(1) 
$$Sp \sigma \cap \{[-2\varepsilon, -\varepsilon] \cup [\varepsilon, 2\varepsilon]\} = \emptyset.$$

Then there exists  $a = a^* \in \mathcal{Z}(\mathcal{M}^{\sigma})$ ,  $||a|| \le \varepsilon/2$ , such that for the continuous action  $\tau: \mathbb{R} \to Aut(\mathcal{M})$  defined by  $\tau_t = Ad(\exp(ita)) \circ \sigma_t$   $(t \in \mathbb{R})$ , we have

(2) 
$$Sp \tau \cap (-\varepsilon, \varepsilon) = \{0\}.$$

Proof. From (1) and statements 15.2.(3), 15.3.(2), it follows that the spectral subspace  $\mathcal{N} = \mathcal{M}(\sigma; [-\varepsilon, \varepsilon])$  is a  $\sigma$ -invariant unital  $W^*$ -subalgebra of  $\mathcal{M}$ . Let  $\sigma'_t = \sigma_t | \mathcal{N}(t \in \mathbb{R})$ . Then  $\sigma' : \mathbb{R} \to Aut(\mathcal{N})$  is a continuous action of  $\mathbb{R}$  on  $\mathcal{N}$ ,  $\mathcal{N}^{\sigma'} = \mathcal{M}^{\sigma}$  and  $Sp \ \sigma' \subset [-\varepsilon, \varepsilon]$ . By Proposition 15.10 there exists  $a = a^* \in \mathcal{Z}(\mathcal{N}^{\sigma}) = \mathcal{Z}(\mathcal{M}^{\sigma})$ ,  $||a|| \le \varepsilon/2$ , such that  $\sigma'_t = \mathrm{Ad}(\exp(\mathrm{i}ta))$  ( $t \in \mathbb{R}$ ). Since  $a \in \mathcal{M}^{\sigma}$ , the equation  $\tau_1(x) = \exp(\mathrm{i}ta)\sigma_1(x) \exp(-\mathrm{i}ta)$  ( $x \in \mathcal{M}$ ,  $t \in \mathbb{R}$ ), defines a continuous action  $\tau : \mathbb{R} \to Aut(\mathcal{M})$ .

By (1) and Lemma 15.1 we see that the set  $\mathcal{N} \cup \mathcal{M}(\sigma; \mathbb{R} \setminus [-2\varepsilon, 2\varepsilon])$  is w-total in  $\mathcal{M}$ . Thus, in order to prove (2), it is sufficient (14.5.(1)) to show that

$$x \in \mathcal{N} \cup \mathcal{M}(\sigma; \mathbb{R} \setminus [-2\varepsilon, 2\varepsilon]) \Rightarrow Sp_{\varepsilon}(x) \cap (-\varepsilon, \varepsilon) = \{0\}.$$

Since  $\mathcal{N} \subset \mathcal{M}^{\tau}$ , for  $x \in \mathcal{N}$  we obviously have  $Sp_{t}(x) = \{0\}$ . Consider now the balanced action  $\theta = \theta(\sigma, w)$  of  $\mathbb{R}$  on  $\mathcal{P} = Mat_{2}(\mathcal{M})$  constructed as in Section 15.11 with  $w_{t} = \exp(ita)$  ( $t \in \mathbb{R}$ ). Then we have

$$\theta_{t}\begin{pmatrix}0&0\\1&0\end{pmatrix}=\begin{pmatrix}0&0\\\exp(ita)&0\end{pmatrix}\qquad(t\in\mathbb{R})$$

and hence for every  $f \in \mathcal{L}^1(\mathbb{R})$  we get (see 14.10.(6))

$$\theta_f\begin{pmatrix}0&0\\1&0\end{pmatrix}=\begin{pmatrix}0&0\\\hat{f}(a)&0\end{pmatrix}.$$

It follows that

(3) 
$$Sp_{\theta}\begin{pmatrix}0&0\\1&0\end{pmatrix} = Sp(a) \subset [-\varepsilon/2, \ \varepsilon/2].$$

Let  $x \in \mathcal{M}(\sigma; \mathbb{R} \setminus [-2\varepsilon, 2\varepsilon])$ . Since  $\begin{pmatrix} 0 & 0 \\ 0 & x \end{pmatrix} = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} x & 0 \\ 0 & 0 \end{pmatrix} \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}$ , using 15.3.(2), 15.2.(2), 15.11.(4) and (3) above, we get  $Sp_{\tau}(x) \subset [-\varepsilon/2, \varepsilon/2] + \{\mathbb{R} \setminus [-2\varepsilon, 2\varepsilon]\} + [-\varepsilon/2, \varepsilon/2]$ ; hence  $Sp_{\tau}(x) \cap (-\varepsilon, \varepsilon) = \{0\}$ .

15.13. We now give another application of the technique developed in Section 15.7. Let  $\mathcal{M}$  be a  $W^*$ -algebra. A linear mapping  $\delta: \mathcal{M} \to \mathcal{M}$ , such that  $\delta(xy) = x\delta(y) + \delta(x)y$   $(x, y \in \mathcal{M})$ , is called a *derivation* on  $\mathcal{M}$ . Every derivation on a  $W^*$ -algebra is bounded ([204], 4.1.3).

Let  $\delta$  be a derivation on  $\mathcal{M}$ . Then the mappings  $\delta_1$ ,  $\delta_2$ :  $\mathcal{M} \to \mathcal{M}$  defined by

$$\delta_1(x) = (\delta(x) - \delta(x^*)^*)/2, \quad \delta_2(x) = (\delta(x) + \delta(x^*)^*)/2i$$

are antihermitian derivations, i.e.  $\delta_k(x^*) = -\delta_k(x)^*$  (k = 1, 2), and  $\delta = \delta_1 + i\delta_2$ . Every element  $a \in \mathcal{M}$  determines an inner derivation  $\delta_a$  on  $\mathcal{M}$  defined by  $\delta_a(x) = ax - xa$   $(x \in \mathcal{M})$ .

Theorem (R. V. Kadison, S. Sakai). Every derivation on a W\*-algebra is inner.

**Proof.** Without loss of generality, we consider an antihermitian derivation  $\delta$  on the  $W^*$ -algebra  $\mathcal{M}$ . It is then easy to check that the elements  $\sigma_t = \exp(it\delta)$   $(t \in \mathbb{R})$  of the Banach algebra  $\mathcal{B}(\mathcal{M})$  are \*-automorphisms of  $\mathcal{M}$  and that the mapping  $\sigma: \mathbb{R} \ni t \mapsto \sigma_t \in Aut(\mathcal{M})$  is a norm-continuous action of  $\mathbb{R}$  on  $\mathcal{M}$ . In Section 14.11 we proved that  $Sp \ \sigma = Sp_{\mathcal{B}(\mathcal{M})}(\delta) \subset [-\|\delta\|, \|\delta\|]$ .

As we saw in Section 15.7,  $a = \int t \, dq_t^{\sigma}$  is a positive element of  $\mathcal{M}_{\bullet} \|a\| \le \|\delta\|$  and  $\sigma_t = \operatorname{Ad}(\exp(ita))$   $(t \in \mathbb{R})$ , that is

$$(\exp(it\delta))(x) = \exp(ita) x \exp(-ita)$$
  $(x \in \mathcal{M}, t \in \mathbb{R}).$ 

Taking the derivative here with respect to t and then putting t = 0, it follows that  $\delta(x) = ax - xa$   $(x \in \mathcal{M})$ , i.e.  $\delta = \delta_a$ .

**15.14.** For an antihermitian derivation  $\delta$  of  $\mathcal{M}_{\bullet}$  the element  $a \in \mathcal{M}$  constructed in the proof of Theorem 15.13 is the smallest positive element  $b \in \mathcal{M}$  such that  $\delta = \delta_b$ ; moreover, for every central projection e in  $\mathcal{M}$  we have

$$||ae|| = ||\delta|e\mathcal{M}e||.$$

Indeed, let  $e \in \mathcal{M}$  be a central projection. We have  $\delta(e) = \delta_e(e) = 0$ . It follows that  $\delta(e,ce) = e\delta(x)e$   $(x \in \mathcal{M})$ , and  $\sigma_i(e) = e$   $(t \in \mathbb{R})$ , that is  $e \in \mathcal{M}^\sigma$ . Consequently, the restriction of  $\delta$  to  $e\mathcal{M}e$  is a derivation  $\delta^e$  on the  $\mathcal{W}^\bullet$ -algebra  $e\mathcal{M}e$  and  $\exp(it\delta^e) = \sigma_i^e$   $(t \in \mathbb{R})$ . By the proof of Theorem 15.13, we have  $\sigma_i^e = -\mathbf{M}e$  with  $\|a'\| \le \|a'\|$  is defined by  $a' = \int t \, dq_i^{\sigma^e}$ . It is easy to check that  $q_i^{\sigma^e} = eq_i^{\sigma} = q_i^{\sigma}e$   $(t \in \mathbb{R})$ , hence a' = ae and therefore

$$||ae|| \leq ||\delta|e\mathcal{M}e||$$
.

If c = ae - ||ae||/2, then  $||c|| \le ||ae||/2$  and  $\delta_c = \delta_{ee} = \delta^e$ , hence  $||c|| > ||\delta^e||/2$ . Consequently

$$||ae|| > ||\delta|eMe||$$
.

Consider now  $0 \le b \in \mathcal{M}$  with  $\delta = \delta_b$ . Then the mapping  $v: \mathbb{R} \to e \mathcal{M}e$  defined by  $v_t = \exp(itbe)$   $(t \in \mathbb{R})$ , is an so-continuous unitary representation with positive spectrum and  $\sigma_t^e = \operatorname{Ad}(v_t)$   $(t \in \mathbb{R})$ . By the minimality property established in Section 15.9, we have

$$\chi_{(t, +\infty)}(ae) \leq \chi_{(t, +\infty)}(be) \qquad (t \in \mathbb{R}).$$

In particular, taking t = ||be||, we obtain

$$||ae|| \leq ||be|| \quad (e \in Proj(\mathcal{Z}(\mathcal{M}))).$$

Assume that  $(a-b)^+ \neq 0$ . Then there exist  $\lambda > 0$  and a spectral projection  $e \neq 0$  of (a-b) such that

$$(a-b) e > \lambda c.$$

Since  $\delta_a = \delta_b$ , we have  $a - b \in \mathcal{Z}(\mathcal{M})$ , hence  $(a - b)^+ \in \mathcal{Z}(\mathcal{M})$  and  $e \in Proj(\mathcal{Z}(\mathcal{M}))$ . Now from (3) we deduce that  $||be|| < ||be|| + \lambda = ||be + \lambda|| \le ||ae||$ , contradicting (2). Thus,  $(a - b)^+ = 0$  and  $a \le b$ .

15.15. Using Theorem 15.13 we can also obtain a similar result for automorphisms of  $W^*$ -algebras. To this end it is necessary to consider, when possible, the logarithm of an automorphism and to show that it is a derivation.

We shall denote by in the principal branch of the logarithm defined on the domain  $\mathbb{C}\setminus(-\infty, 0]$ . Then

$$\ln: \mathbb{C} \setminus (-\infty, 0] \to \{\lambda \in \mathbb{C}; |\text{Im } \lambda| < \pi\}$$

is an analytic function, namely the inverse function of

exp: 
$$\{\lambda \in \mathbb{C}; |\text{Im } \lambda| < \pi\} \to \mathbb{C} \setminus (-\infty, 0].$$

Let  $\mathscr{A}$  be a unital Banach algebra and  $\sigma \in \mathscr{A}$  such that

(1) 
$$Sp_{sl}(\sigma) \subset \{\lambda \in \mathbb{C}; \operatorname{Re} \lambda > 0\}.$$

Then  $\sigma$  is an invertible element and so defines a bounded linear mapping

$$Ad(\sigma): \mathscr{A} \ni \alpha \mapsto \sigma \alpha \sigma^{-1} \in \mathscr{A}.$$

Since  $Sp_{\mathscr{A}}(\sigma) \subset \mathbb{C} \setminus (-\infty, 0]$ , it is meaningful to consider  $\delta = \ln(\sigma) \in \mathscr{A}$ . We have  $\sigma = \exp(\delta)$  and, by (1),

(2) 
$$Sp_{sl}(\delta) \subset \{\lambda \in \mathbb{C}; |\operatorname{Im} \lambda| < \pi/2\}.$$

 $\delta \in \mathcal{A}$  defines a bounded linear mapping

$$ad(\delta): \mathcal{A} \ni \alpha \mapsto \delta\alpha - \alpha\delta \in \mathcal{A}$$
.

Then  $Ad(\sigma)$  and  $ad(\delta)$  are elements of the Banach algebra  $\mathcal{B}(\mathcal{A})$  and, using 14.13.(1), 14.13.(2), we deduce from (1), (2) that

$$Sp_{\mathscr{B}(\mathscr{A})}(\mathrm{Ad}(\sigma)) \subset \{\lambda\mu^{-1}; \lambda, \mu \in Sp_{\mathscr{A}}(\sigma)\} \subset \mathbb{C} \setminus (-\infty, 0]$$

$$(4) Sp_{\mathscr{B}(\mathscr{A})}(\mathrm{ad}(\delta)) \subset \{\lambda - \mu; \ \lambda, \ \mu \in Sp_{\mathscr{A}}(\delta)\} \subset \{\lambda \in \mathbb{C}; \ |\mathrm{Im} \ \lambda| < \pi\}.$$

Since  $\sigma = \exp(\delta)$ , it is easy to check that

(5) 
$$Ad(\sigma) = \exp(ad(\delta));$$

and using (3), (4) we deduce that

(6) 
$$ad(\delta) = \ln(Ad(\sigma)).$$

Now let  $\mathcal{M}$  be a unital Banach algebra and  $\mathcal{A} = \mathcal{B}(\mathcal{M})$ . If  $\sigma \in \mathcal{A}$  is an automorphism of  $\mathcal{M}$  satisfying (1), then  $\delta = \ln(\sigma) \in \mathcal{A}$  is a derivation on  $\mathcal{M}$ .

Indeed, consider the mapping  $L: \mathcal{M} \to \mathcal{A}$  defined by  $L_x(y) = xy$   $(x, y \in \mathcal{M})$ . Then L is a bounded injective Banach algebra homomorphism and we have  $(\mathrm{Ad}(\sigma))(L_x) = L_{\sigma(x)}$   $(x \in \mathcal{M})$ , that is  $\mathrm{Ad}(\sigma) \cdot L = L \cdot \sigma$ . It follows that  $p(\mathrm{Ad}(\sigma)) \cdot L = L \cdot p(\sigma)$  for every polynomial p and, using Runge's theorem ([271], 1.3.2), we also obtain  $\ln (\mathrm{Ad}(\sigma)) \cdot L = L \cdot \ln (\sigma)$ . By (6), this means that  $\mathrm{ad}(\delta) \cdot L = L \cdot \delta$ . Since ad  $(\delta)$  is a derivation of  $\mathcal{A}$  and L is an injective homomorphism, we conclude that  $\delta$  is a derivation on  $\mathcal{M}$ .

If  $\delta$  is an inner derivation, i.e.  $\delta = \delta_a = \operatorname{ad}(a)$  with  $a \in \mathcal{M}$ , then  $\sigma = \exp(\delta) = \exp(\operatorname{ad}(a)) = \operatorname{Ad}(\exp(a))$ .

Using Theorem 3.13 we thus obtain the following

Corollary. Let  $\sigma$  be an automorphism of the W\*-algebra  $\mathcal{M}$  such that  $Sp_{\mathfrak{A}(\mathcal{A})}(\sigma) \subset \{\lambda \in \mathbb{C}; \operatorname{Re} \lambda > 0\}$ . There exists an invertible element  $v \in \mathcal{M}$  such that  $\sigma = \operatorname{Ad}(v)$ , i.e.

$$\sigma(x) = vxv^{-1} \qquad (x \in \mathcal{M}).$$

If, moreover,  $\sigma$  is a \*-automorphism, then there exists a unitary element  $u \in \mathcal{M}$  such that  $\sigma = \mathrm{Ad}(u)$ .

*Proof.* The first statement has already been proved. Assume that  $\sigma = \operatorname{Ad}(v)$  is a \*-automorphism and let v = ua be the polar decomposition of v. Then  $u \in \mathcal{M}$  is a unitary element and  $a \in \mathcal{M}$  is an invertible positive element. For every  $x \in \mathcal{M}$  we have  $uax^*a^{-1}u^* = \sigma(x^*) = \sigma(x)^* = ua^{-1}x^*au^*$ . It follows that  $a^2 \in \mathcal{Z}(\mathcal{M})$ , so that  $a \in \mathcal{Z}(\mathcal{M})$  and  $\sigma = \operatorname{Ad}(u)$ .

We note that if  $\sigma \in Aut(\mathcal{M})$  and  $\|\sigma - \iota_{\mathcal{M}}\| < 1$ , then the requirement  $Sp_{\mathcal{A}(\mathcal{M})}(\sigma) \subset \{\lambda; \text{Re } \lambda > 0\}$  is satisfied and hence  $\sigma \in Int(\mathcal{M})$ .

Actually, Kadison and Ringrose [131] proved that if  $\sigma \in Aut(\mathcal{M})$  and  $\|\sigma - \iota_{\mathcal{M}}\| < 2$ , then  $\sigma \in Int(\mathcal{M})$  (see also [16], Thm. 5.4.A). Since for any \*-automorphism  $\sigma$  we have  $\|\sigma - \iota_{\mathcal{M}}\| \le 2$ , it follows that  $\|\sigma - \iota_{\mathcal{M}}\| = 2$  whenever  $\sigma$  is outer. A simple proof of the implication  $\|\sigma - \iota_{\mathcal{M}}\| < \sqrt{3} \Rightarrow \sigma \in Int(\mathcal{M})$  can be found in [76].

15.16. Let  $\sigma: G \to Aut(\mathcal{M})$  be a continuous action of the locally compact group G on the  $W^*$ -algebra  $\mathcal{M}$  such that  $\sigma_t \in Int(\mathcal{M})$  for all  $t \in G$ . Without the assumption that the predual  $\mathcal{M}_{\bullet}$  of  $\mathcal{M}$  is separable, this property of  $\sigma$  does not imply the existence of an s-continuous unitary representation  $u: G \to \mathcal{M}$  such that  $\sigma_t = Ad(u_t)$  ( $t \in G$ ) (see [36], 1.5.8.c). In the case when  $\mathcal{M}_{\bullet}$  is separable several positive results are known ([75], [113], [126], [134], [163]). We note here the following result

Theorem (R. R. Kallman, C. C. Moore). Let  $\sigma \colon \mathbb{R} \to Aut (\mathcal{M})$  be a continuous action of  $\mathbb{R}$  on the  $W^*$ -algebra  $\mathcal{M}$ . If the predual  $\mathcal{M}_{\bullet}$  is separable and  $\sigma_t \in Int(\mathcal{M})$  for all  $t \in \mathbb{R}$ , then there exists an s-continuous unitary representation  $u \colon \mathbb{R} \to \mathcal{M}$  such that  $\sigma_t = \mathrm{Ad}(u_t)$  for all  $t \in \mathbb{R}$ .

For the proof of the full Theorem we refer to ([134], [163]). In this Section we present some arguments, due to Hansen [113], which lead to a simple and elementary proof of the Theorem when  $\mathcal{M}$  is a factor.

Let  $\sigma: \mathbb{R} \to \operatorname{Aut}(\mathcal{M})$  be a continuous action of  $\mathbb{R}$  on the W\*-algebra  $\mathcal{M}$  and  $\{v_t\}_{t\in\mathbb{R}}\subset U(\mathcal{M})$  such that  $\sigma_t=\operatorname{Ad}(v_t)$   $(t\in\mathbb{R})$ . Then

$$(1) v_{s}v_{t} = v_{t}v_{s} (s, t \in \mathbb{R})$$

Since  $Ad(v_s v_t) = \sigma_{s+t} = Ad(v_t v_s)$ , it follows that there exists a mapping  $c: \mathbb{R} \times \mathbb{R} \to U(\mathcal{Z}(\mathcal{M}))$  such that  $\sigma_t(v_s) = v_t v_s v_t^* = c(t, s) v_s$  for all  $s, t \in \mathbb{R}$ . It is clear that the mapping c is separately w-continuous, c(0, s) = c(t, t) = 1,  $c(s, t) = c(-t, s) = c(t, s)^*$  and c(t + t', s) = c(t, s) c(t', s). It follows that c(2t, t) = c(-t, s) = c(-t,

 $c(t, t)^2 = 1$ , hence  $c(q2^{-n}t, t) = c(2^{-n}t, t)^q = 1$  for any  $t \in \mathbb{R}$ ,  $n \in \mathbb{N}$ ,  $q \in \mathbb{Z}$  and the continuity of c allows us to conclude that c(s, t) = 1 for all  $s, t \in \mathbb{R}$ .

Assume now that  $\mathcal{M}$  is a factor. Then the elements  $v_i \in U(\mathcal{M})$  with  $\sigma_i = \operatorname{Ad}(v_i)$  are uniquely determined modulo the normal subgroup  $\mathbb{T} = \{\lambda \cdot 1_{\mathcal{M}}; \lambda \in \mathbb{C}, |\lambda| = 1\}$  of  $U(\mathcal{M})$ .

Consider the topological group  $U(\mathcal{M})$  with the w-topology and the group  $Int(\mathcal{M})$  with the p-topology (2.23). Then the mapping  $Ad: U(\mathcal{M}) \ni u \mapsto Ad(u) \in Int(\mathcal{M})$  is the composition of the canonical quotient mapping  $k:U(\mathcal{M}) \to U(\mathcal{M})/\mathbb{T}$  with an injective homomorphism

$$\alpha: U(\mathcal{M})/\mathbb{I} \to Int(\mathcal{M})$$

of the quotient topological group  $U(\mathcal{M})/\mathbb{T}$  into  $Int(\mathcal{M})$ . Then the equation  $v_t = k(v_t)$   $(t \in \mathbb{R})$  defines a group homomorphism

$$v: \mathbb{R} \to U(\mathcal{M})/\mathbb{T}$$
,

uniquely determined, such that  $\alpha \circ \nu = \sigma$ .

Finally assume also that the factor  $\mathcal{M}$  has separable predual. Then  $U(\mathcal{M})$  and  $U(\mathcal{M})/\mathbb{T}$  are polish groups. Using the measurable selection theorem of von Neumann [76] and the continuity of the action  $\sigma$ , we find a Lebesgue measurable mapping  $v: \mathbb{R} \to U(\mathcal{M})$  such that  $\mathrm{Ad}(v_t) = \sigma_t$   $(t \in \mathbb{R})$ . It follows that the mapping v is a Lebesgue measurable homomorphism and hence v is a continuous homomorphism.

To prove the Theorem, it is sufficient to show that there exists a continuous homomorphism  $u:\mathbb{R}\to U(\mathcal{M})$  such that  $k(u_t)=v_t$  for  $t\in\mathbb{R}$ .

By statement (1), the set  $G = \{(u, t) \in U(\mathcal{M}) \times \mathbb{R}; k(u) = v_t\}$  is an abelian subgroup of  $U(\mathcal{M}) \times \mathbb{R}$ . With the topology inherited from  $U(\mathcal{M}) \times \mathbb{R}$ , G is a topological group. The mapping

$$j\colon G\ni (u,\,t) \mapsto t\in \mathbb{R}$$

is an open, continuous and surjective homomorphism, whose kernel is isomorphic and homeomorphic to  $\mathbb{T}$ . Since  $\mathbb{T}$  and  $\mathbb{R}$  are locally compact groups, it follows ([118], 5.25) that G is also locally compact. On the other hand, the mapping

$$i: \mathbb{T} \ni \lambda \mapsto (\lambda, 0) \in G$$

is a homeomorphism of  $\overline{\mathbb{U}}$  onto a compact subgroup of G. The character  $\lambda \mapsto \lambda$  on  $\overline{\mathbb{U}}$  can be extended ([118], 24.12; [199], 2.1.4) to a continuous character  $\gamma$  on G. Thus, there exists a continuous homomorphism  $\gamma: G \to \overline{\mathbb{U}}$  such that  $\gamma \circ i = \iota_{\overline{\mathbb{U}}}$ . Thus, the short exact sequence

$$0 \to \mathbb{T} \stackrel{f}{\to} G \stackrel{f}{\to} \mathbb{R} \to 0$$

is split and therefore there exists a continuous homomorphism  $\delta: \mathbb{R} \to G$  with  $j \cdot \delta = \iota_{\mathbb{R}}$ , i.e. a continuous homomorphism  $u: \mathbb{R} \to U(\mathcal{M})$  with  $k(u_t) = v_t$   $(t \in \mathbb{R})$ .

15.17. In this final Section we prove a general result which we shall use later in some particular situations.

Proposition. Let  $\sigma: G \to Aut(\mathcal{M})$  be a continuous action of the separable locally compact abelian group G on the countably decomposable  $W^*$ -algebra  $\mathcal{M}$ . Let  $x \in \mathcal{M}$ ,  $e = \mathbf{r}(x)$ ,  $e' = \mathbf{l}(x)$  and let x = u|x| the polar decomposition of x. We assume that there exist projections  $f, f' \in \mathcal{M}^{\sigma}, f \geq e, f' \geq e'$ , such that

(1) 
$$(Sp_{\sigma}(x) - Sp_{\sigma}(x)) \cap (Sp \ \sigma^f \cup Sp \ \sigma^f) = \{0\}.$$

Then

(2) 
$$Sp_{\sigma}(u) = Sp_{\sigma}(x), |x| \in \mathcal{M}^{\sigma}, c \in \mathcal{M}^{\sigma}, c' \in \mathcal{M}^{\sigma}.$$

If, moreover,  $\mathcal{M}^{\sigma}$  is properly infinite, then there exists a partial isometry  $v \in \mathcal{M}$  such that:

$$Sp_{\sigma}(v) \subset Sp_{\sigma}(x)$$

(4) 
$$v^*v = the \ central \ support \ of \ e \ in \ \mathcal{M}^{\sigma}$$

(5) 
$$vv^* = the \ central \ support \ of \ e' \ in \ \mathcal{M}^{\sigma}$$

(6) 
$$v\mathcal{M}^{\sigma}v^{*} \subset \mathcal{M}^{\sigma}, \quad v^{*}\mathcal{M}^{\sigma}v \subset \mathcal{M}^{\sigma}$$

$$(7) a = v^*x \in \mathscr{M}^{\sigma}$$

$$(8) x = va.$$

Proof. Let  $E = Sp_{\sigma}(x)$ . We have  $x \in \mathcal{M}(\sigma; E)$ ,  $x^* \in \mathcal{M}(\sigma; -E)$  hence  $x^*x \in \mathcal{M}(\sigma; E - E) \cap f \mathcal{M} f \subset \mathcal{M}^{\sigma}$ , by (1). Thus,  $|x| \in \mathcal{M}^{\sigma}$  and  $Sp_{\sigma}(x) \subset Sp_{\sigma}(u)$ , as x = u|x|. There exists a sequence  $\{a_n\} \subset \mathcal{M}^{\sigma}$  such that  $|x|a_n \xrightarrow{w} s(|x|) = e$ . It follows that  $e \in \mathcal{M}^{\sigma}$  and  $\mathcal{M}(\sigma; E) \ni xa_n = u|x|a_n \xrightarrow{w} u$ , hence  $Sp_{\sigma}(u) \subset Sp_{\sigma}(x)$ . Consequently,  $Sp_{\sigma}(u) = Sp_{\sigma}(x) = E$  and  $e' = uu^* \in \mathcal{M}(\sigma; E - E) \cap f' \mathcal{M} f' \subset \mathcal{M}^{\sigma}$ , by (1). We have thus proved (2).

Let  $c = \mathbf{z}_{\mathcal{M}^{\sigma}}(e)$ ,  $c' = \mathbf{z}_{\mathcal{M}^{\sigma}}(e') \in \mathcal{Z}(\mathcal{M}^{\sigma})$  be the central supports of the projections  $e, e' \in \mathcal{M}^{\sigma}$ .

There exist projections  $e_0$ ,  $e_1 \in \mathcal{Z}(e\mathcal{M}^o e)$ , uniquely determined, such that  $e = e_0 + e_1$ ,  $e_0 \mathcal{M}^o e_0$  is properly infinite, and  $e_1 \mathcal{M}^o e_1$  is finite. Also, there exist projections  $e_0'$ ,  $e_1' \in \mathcal{Z}(e', \mathcal{M}^o e')$ , uniquely determined, such that  $e' = e_0' + e_1'$ ,  $e_0' \mathcal{M}^o e_0'$  is properly infinite, and  $e_1' \mathcal{M}^o e_1'$  is finite.

Then there exist projections  $c_0$ ,  $c_1 \in \mathcal{Z}(\mathcal{M}^{\sigma})$  with  $c_0 + c_1 = c$ ,  $c_0 e = e_0$ ,  $c_1 e = c_1$  and  $c'_0$ ,  $c'_1 \in \mathcal{Z}(\mathcal{M}^{\sigma})$  with  $c'_0 + c'_1 = c'$ ,  $c'_0 e' = e'_0$ ,  $c'_1 e' = e'_1$ .

For  $y \in e \mathcal{M}^{\sigma}e$  we have  $uyu^* \in e' \mathcal{M}e'$  and  $Sp_{\sigma}(uyu^*) \subset (E - E) \cap Sp\sigma^{e'} = \{0\}$ , hence  $uyu^* \in e' \mathcal{M}^{\sigma}e'$ . Thus, the mappings

$$e\mathcal{M}^{\sigma}e\ni y\mapsto uyu^{*}\in e'\mathcal{M}^{\sigma}e',\ e'\mathcal{M}^{\sigma}e'\ni z\mapsto u^{*}zu\in e\mathcal{M}^{\sigma}e$$

are reciprocal \*-isomorphisms. By the uniqueness of the decompositions  $e=e_0+e_1$ ,  $e'=e'_0+e'_1$ , it follows that

$$ue_0u^* = e'_0$$
,  $ue_1u^* = e'_1$ ,  $u^*e'_0u = e_0$ ,  $u^*e'_1u = e_1$ .

By construction,  $e_1$  is a finite projection in  $\mathcal{M}^{\sigma}$  with central support  $c_1$ . Since  $\mathcal{M}^{\sigma}$  is properly infinite, we can write

$$c_1 = \sum_{n=1}^{\infty} e_n$$
 with  $e_n \in Proj(\mathcal{M}^{\sigma})$ ,  $e_n \sim e_1$  in  $\mathcal{M}^{\sigma}$ ,

so there exist  $w_n \in \mathcal{M}^{\sigma}$  with  $w_n^* w_n = e_1$ ,  $w_n w_n^* = e_n$  and  $w_1 = e_1$ . Similarly, we can write

$$c_1' = \sum_{n=1}^{\infty} e_n'$$
 with  $e_n' \in Proj(\mathcal{M}^{\sigma})$ ,  $e_n' \sim e_1'$  in  $\mathcal{M}^{\sigma}$ 

and there exist  $w'_n \in \mathcal{M}^{\sigma}$  with  $w'_n * w'_n = e'_1$ ,  $w'_n w'_n * = e'_n$  and  $w'_1 = e'_1$ . Then  $u_n = w'_n u w_n^* \in \mathcal{M}(\sigma; E)$ ,  $u_n^* u_n = e_n$ ,  $u_n u_n^* = e'_n$ , hence

$$v_1 = \sum_{n=1}^{\infty} u_n \in \mathcal{M}(\sigma; E), \quad v_1^* v_1 = c_1, \quad v_1 v_1^* = c_1'.$$

On the other hand,  $e_0$  is a properly infinite projection in  $\mathcal{M}^{\sigma}$  with central support equal to  $c_0$ , hence  $e_0 \sim c_0$  in  $\mathcal{M}^{\sigma}$ , i.e. there exists  $w \in \mathcal{M}^{\sigma}$  with  $w^*w = e_0$ ,  $ww^* = c_0$ . Similarly, there exists  $w' \in \mathcal{M}^{\sigma}$  with  $w'^*w' = e'_0$ ,  $w'w'^* = c'_0$ . Then

$$v_0 = w'uw^* \in \mathcal{M}(\sigma; E), \quad v_0^*v_0 = c_0, \quad v_0v_0^* = c_0'.$$

Thus,  $v = v_0 + v_1 \in \mathcal{M}(\sigma; E)$ ,  $v^*v = c$ ,  $vv^* = c'$  and this proves (3), (4), (5). From (1) and 15.4.(5) it follows that

$$(E-E) \cap (Sp\sigma^c \cup Sp\sigma^{c'}) = \{0\}.$$

Thus, if  $y \in \mathcal{M}^{\sigma}$ , then  $vyv^* \in \mathcal{M}(\sigma; E - E) \cap c'\mathcal{M}c' = \mathcal{M}^{\sigma}$  and  $v^*yv \in \mathcal{M}(\sigma; E - E) \cap c\mathcal{M}c = \mathcal{M}^{\sigma}$ , proving (6).

Also,  $a = v^*x \in \mathcal{M}(\sigma; E - E) \cap c\mathcal{M}c = \mathcal{M}^{\sigma}$  and, as  $vv^* = c' \ge e' = \mathbf{I}(x)$ , we have  $ra = vv^*x = x$ , proving (7) and (8).

Corollary. Let  $\sigma: \mathbb{R} \to Aut$  (M) be a continuous action of  $\mathbb{R}$  on the factor  $\mathcal{M}$  such that  $\mathcal{M}^{\sigma}$  is properly infinite. Let  $\varepsilon > 0$  and let  $p_1, p_2 \in \mathcal{Z}(\mathcal{M}^{\sigma})$  be non-zero projections such that 0 be an isolated point in  $Sp \sigma^{p_1} \cup Sp \sigma^{p_2}$ . Then there exists a partial isometry  $0 \neq v \in \mathcal{M}$  with  $p_1 \geqslant v^*v \in \mathcal{Z}(\mathcal{M}^{\sigma}), p_2 \geqslant vv^* \in \mathcal{Z}(\mathcal{M}^{\sigma})$  and  $Sp_{\sigma}(v) - Sp_{\sigma}(v) \subset [-\varepsilon, \varepsilon]$ .

Proof. We may assume that  $(Sp \ \sigma^{p_1} \cup Sp \ \sigma^{p_2}) \cap [-\varepsilon, \varepsilon] = \{0\}$ . Since  $\mathcal{M}$  is a factor, there exists  $y \in \mathcal{M}$  with  $p_2yp_1 \neq 0$ . By Lemma 15.1, there exists  $f \in \mathcal{L}^1(\mathbb{R})$  with  $supp \hat{f} - supp \ \hat{f} \subset [-\varepsilon, \varepsilon]$  and  $x = p_2\sigma_f(y)p_1 = \sigma_f(p_2yp_1) \neq 0$ . We have  $p_2x = xp_1 = x$  and  $Sp_\sigma(x) - Sp_\sigma(x) \subset [-\varepsilon, \varepsilon]$ . From the Proposition we infer that  $e_1 = \mathbf{r}(x) \in \mathcal{M}^\sigma$ ,  $e_2 = \mathbf{l}(x) \in \mathcal{M}^\sigma$ . Let  $q_1 = \mathbf{z}_{\mathcal{M}^\sigma}(e_1) \leq p_1$ ,  $q_2 = \mathbf{z}_{\mathcal{M}^\sigma}(e_2) \leq p_2$ . Again by the Proposition, there exists  $0 \neq v \in \mathcal{M}$  such that  $v^*v = q_1$ ,  $vv^* = q_2$  and  $Sp_\sigma(v) \subset Sp_\sigma(x)$ , hence  $Sp_\sigma(v) - Sp_\sigma(v) \subset [-\varepsilon, \varepsilon]$ .

15.18. Notes. The proofs of Borchers' theorem (15.8, (i) ↔ (ii); [15]) and of the Kadison-Sakai theorem (15.13; [127], [202]) given in this Section are due to Arveson [12]. Theorem 15.15 appears in [204] and the proof is based on a device due to Zeller-Meier [264]. The results contained in Sections 15.10-15.12 and 15.17 are due to Connes [36].

For our exposition we have used [12], [34], [36], [113], and [177].

For our exposition we have used [12], [34], [36], [113], and [177].

Different proofs and extensions of Borchers' theorem are contained in [34], [129], [177], [185]. The following references also contain applications of the theory of spectral subspaces to groups of \*-automorphisms on C\*-algebras: [4], [16], [17], [175], [176], [178], [184].

## § 16. The Connes invariant $\Gamma(\sigma)$

In this Section we introduce an outer conjugacy invariant for continuous actions.

16.1. Let  $\sigma: G \to Aut(\mathcal{M})$  be a continuous action of the separable commutative locally compact group G on the  $W^*$ -algebra  $\mathcal{M}$ . We define a closed set  $\Gamma(\sigma) \subset \hat{G}$  by

(1) 
$$\Gamma(\sigma) = \bigcap \{ Sp \ \sigma^e; \ 0 \neq e \in Proj(\mathcal{M}^\sigma) \}.$$

According to 15.4.(5), we also have

(2) 
$$\Gamma(\sigma) = \bigcap \{ Sp \ \sigma^e; \ 0 \neq e \in Proj \ (\mathscr{Z}(\mathcal{M}^{\sigma})) \}.$$

In particular,

(3) if 
$$\mathcal{M}^{\sigma}$$
 is a factor, then  $\Gamma(\sigma) = Sp \sigma$ .

Clearly, for every non-zero projection  $e \in \mathcal{M}^a$  we have

(4) 
$$\Gamma(\sigma) \subset \Gamma(\sigma^{e}).$$

**Proposition.**  $\Gamma(\sigma)$  is a closed subgroup of  $\hat{G}$  and for every non-zero projection  $e \in \mathcal{M}^{\sigma}$  we have

(5) 
$$\Gamma(\sigma) + Sp \ \sigma^e = Sp \ \sigma^e.$$

*Proof.* We remark first that  $0 \in \Gamma(\sigma)$ , since for every non-zero projection  $e \in \mathcal{M}^{\sigma}$  we have  $Sp \sigma^{e} \supset Sp_{\sigma^{e}}(e) = \{0\}$ .

Therefore,  $\Gamma(\sigma) + Sp \ \sigma \supset Sp \ \sigma$ . Conversely, let  $\gamma_1 \in Sp \ \sigma$  and  $\gamma_2 \in \Gamma(\sigma)$ . In order to prove that  $\gamma_1 + \gamma_2 \in Sp \ \sigma$ , we have to show (14.5) that  $\mathcal{M}(\sigma; V) \neq \{0\}$  for every neighbourhood V of  $\gamma_1 + \gamma_2$ . Let  $V_1$  and  $V_2$  be neighbourhoods of  $\gamma_1$  and  $\gamma_2$ , respectively, such that  $V_1 + V_2 \subset V$ . Since  $\gamma_1 \in Sp \ \sigma$ , there exists  $0 \neq x_1 \in \mathcal{M}(\sigma; V_1)$ . Then  $e = \bigvee_{t \in G} \Gamma(\sigma_t(x_1))$  is a non-zero projection in  $\mathcal{M}^{\sigma}$ . Since  $\gamma_2 \in \Gamma(\sigma) \subset \mathcal{M}(\sigma; V_1)$ .

 $\subset Sp\ \sigma^{\epsilon}$ , it follows (15.4.(2)) that there exists  $0 \neq x_2 \in \mathcal{M}(\sigma; V_2) \cap e\mathcal{M}e$ . Since  $ex_2 = x_2 \neq 0$ , there exists  $t \in G$  with  $x = \sigma_t(x_1)x_2 \neq 0$  and (14.2.(4), 15.3.(1))  $x \in \mathcal{M}(\sigma; V_1 + V_2) \subset \mathcal{M}(\sigma; V)$ . Hence  $\Gamma(\sigma) + Sp\ \sigma = Sp\ \sigma$ .

Using this conclusion and (4) we see that  $\Gamma(\sigma) + Sp \sigma^e = Sp \sigma^e$  for every  $0 \neq e \in Proj(\mathcal{M}^{\sigma})$ .

From (1) and (5) we infer that  $\Gamma(\sigma) + \Gamma(\sigma) = \Gamma(\sigma)$ . On the other hand, from (1) and 15.2.(4) it follows that  $\Gamma(\sigma) = -\Gamma(\sigma)$ . Hence  $\Gamma(\sigma)$  is a closed subgroup of  $\hat{G}$ .

**16.2.** Let  $\sigma: G \to Aut(\mathcal{M})$  be a continuous action of G on  $\mathcal{M}$ .

**Proposition.** Let  $e_1, e_2 \in \mathcal{M}^{\sigma}$  be two projections with  $\mathbf{z}_{\mathcal{M}}(e_1)\mathbf{z}_{\mathcal{M}}(e_2) \neq 0$  and V a compact neighbourhood of 0 in  $\hat{G}$ . There exist two non-zero projections  $f_1, f_2 \in \mathcal{M}^{\sigma}, f_1 \leq e_1, f_2 \leq e_2$ , such that

(1) 
$$Sp \sigma^{f_1} \subset V + Sp \sigma^{f_2}, Sp \sigma^{f_2} \subset V + Sp \sigma^{f_1}.$$

**Proof.** Since  $\mathbf{z}_{\mathcal{M}}(e_1)\mathbf{z}_{\mathcal{M}}(e_2) \neq 0$ , there exists  $0 \neq y \in \mathcal{M}$  such that  $e_1y = y = ye_2$  ([L], 4.5). By Lemma 15.1, there exists  $h \in \mathcal{L}^1(G)$  with  $supp \ \hat{h} - supp \ \hat{h} \subset V$  and  $x = \sigma_h(y) \neq 0$ . Then (15.3.(3))  $e_1x = e_1\sigma_h(y) = \sigma_h(e_1y) = \sigma_h(y) = x = \dots = xe_2$  and (14.2.(3))  $Sp_{\sigma}(x) - Sp_{\sigma}(x) \subset V$ . We define

$$f_1 = \bigvee_{i \in G} \mathbf{l}(\sigma_i(x)), \quad f_2 = \bigvee_{i \in G} \mathbf{r}(\sigma_i(x)).$$

Then  $f_1, f_2 \in \mathcal{M}^{\sigma}$ ,  $0 \neq f_1 \leqslant e_1$ ,  $0 \neq f_2 \leqslant e_2$ .

Let  $\gamma_1 \in Sp \ \sigma^{f_1}$ . Since V is compact, the set  $V + Sp \ \sigma^{f_2}$  is closed. Thus, in order to prove that  $\gamma_1 \in V + Sp \ \sigma^{f_2}$ , it is sufficient to show that  $(V_1 - V) \cap Sp \ \sigma^{f_2} \neq \emptyset$  for any compact neighbourhood  $V_1$  of  $\gamma_1$ . Since  $\gamma_1 \in Sp \ \sigma^{f_1}$ , there exists  $0 \neq x_1 \in \mathcal{M}(\sigma; V_1) \cap f_1 \mathcal{M} f_1$ . Since  $x_1 f_1 = x_1 \neq 0$ , there exists  $t \in G$  with  $x_1 \sigma_t(x) \neq 0$ . Since  $\sigma_t(x)^* x_1^* f_1 = \sigma_t(x)^* x_1^* \neq 0$ , there exists  $s \in G$  such that  $\sigma_t(x)^* x_1^* \sigma_s(x) \neq 0$ . Therefore,  $x_2 = \sigma_s(x^*) x_1 \sigma_t(x) \neq 0$ . We obviously have  $x_2 f_2 = x_2 = f_2 x_2$  and (15.2.(2), 15.3(1))  $Sp_{\sigma}(x_2) \subset Sp_{\sigma}(x_1) + Sp_{\sigma}(x) - Sp_{\sigma}(x) \subset V_1 - V$ , hence  $(V_1 - V) \cap Sp \ \sigma^{f_2} \neq \emptyset$ . We have thus proved the first inclusion in (1). The second one is proved similarly.

Corollary 1. If  $e_1, e_2 \in Proj(\mathcal{M}^o)$  and  $z_{\mathcal{M}}(e_1) = z_{\mathcal{M}}(e_2) \neq 0$ , then

(2) 
$$\Gamma(\sigma^{\epsilon_1}) = \Gamma(\sigma^{\epsilon_2}).$$

In particular, if  $e \in Proj(\mathcal{M}^{\sigma})$  and  $\mathbf{z}_{\mathcal{M}}(e) = 1$ , then

(3) 
$$\Gamma(\sigma^e) = \Gamma(\sigma).$$

*Proof.* It is clear that if  $e \in \mathcal{M}^{\sigma}$ , then  $z_{\mathcal{M}}(e) \in \mathcal{M}^{\sigma}$ . Thus, it is sufficient to prove (2) only for  $e_2 = z_{\mathcal{M}}(e_1)$ , that is, it is sufficient to prove (3).

We have (16.1.(5))  $\Gamma(\sigma) \subset \Gamma(\sigma^e)$ . To prove the opposite inclusion we note that

(4) 
$$\Gamma(\sigma) = \bigcap \{V + Sp \, \sigma^f\}$$

with  $0 \neq f \in Proj(\mathcal{M}^{\sigma})$  and V compact neighbourhood of 0 in  $\hat{G}$ . The above Proposition shows that for any f and any V there exists  $0 \neq e' \in Proj(\mathcal{M}^{\sigma})$  with  $e' \leq e$  and  $Sp \sigma^{e'} \subset V + Sp \sigma^{f}$ , hence  $\Gamma(\sigma^{e}) \subset Sp \sigma^{e'} \subset V + Sp \sigma^{f}$ . Therefore,  $\Gamma(\sigma^{e}) \subset \Gamma(\sigma)$ .

Corollary 2. If M is a factor, then the family

$$\mathcal{F}(\sigma) = \{V + Sp\sigma^e; 0 \neq e \in Proj\mathcal{M}^{\sigma}\}, V \text{ a compact neighbourhood of } 0 \in \hat{G}\}$$
 is the basis of a filter with intersection equal to  $\Gamma(\sigma)$ .

Proof. We have already noticed (4) that the intersection of the family  $\mathcal{F}(\sigma)$  is equal to  $\Gamma(\sigma)$ . Now, let  $e_1, e_2 \in \mathcal{M}^{\sigma}$  be two non-zero projections and  $V_1, V_2$  two compact neighbourhoods of 0 in  $\hat{G}$ . There exists a compact neighbourhood V of 0 in  $\hat{G}$  such that  $V \subset V_1$  and  $V + V \subset V_2$ . By the previous Proposition, there exist two non-zero projections  $f_1, f_2 \in \mathcal{M}^{\sigma}, f_1 \leq e_1, f_2 \leq e_2$ , which satisfy (1). Since  $V \subset V_1$  and  $f_1 \leq e_1$ , we have (15.4.(4))  $V + Sp \sigma^{f_1} \subset V_1 + Sp \sigma^{e_1}$ . Since  $V + V \subset V_2$  and  $f_2 \leq e_2$ , it follows from (1) that  $V + Sp \sigma^{f_1} \subset V_2 + Sp \sigma^{e_2}$ .

16.3. Let  $\sigma: G \to Aut(\mathcal{M})$  and  $\tau: G \to Aut(\mathcal{M})$  be two continuous actions of G on the W\*-algebra  $\mathcal{M}$ . In Section 15.11 we defined the outer conjugacy relation  $\sigma \sim \tau$ .

Proposition. If  $\sigma \sim \tau$ , then  $\Gamma(\sigma) = \Gamma(\tau)$ .

Proof. Let 
$$\mathscr{P} = Mat_2(\mathscr{M})$$
 and  $e = \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}$ ,  $f = \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix} \in \mathscr{P}$ . Then  $e$  and  $f$  are equivalent projections in  $\mathscr{P}$  and, as proved in Section 15.11, there exists a continuous action  $\theta: G \to Aut(\mathscr{P})$  such that  $e, f \in \mathscr{P}^0$  and  $(\mathscr{M}, \sigma) \approx (e\mathscr{P}e, \theta^e)$ ,  $(\mathscr{M}, \tau) \approx (f\mathscr{P}f, \theta^f)$ . Using Corollary 1/16.2 we conclude that  $\Gamma(\sigma) = \Gamma(\theta^f) = \Gamma(\theta^f) = \Gamma(\tau)$ .

By the above Proposition and by Corollary 2/16.2, it follows that if  $\mathcal{M}$  is a actor and  $\sigma \sim \tau$ , then for every  $F \in \mathcal{F}(\sigma)$  there exists  $F' \in \mathcal{F}(\tau)$  with  $F' \subset F$ .

16.4. Let  $\sigma:G\to Aut(\mathcal{M})$  be a continuous action of G on the W\*-algebra  $\mathcal{M}$ . Put

$$Ker \, \sigma = \{t \in G; \ \sigma_t = \iota_{\mathcal{A}}\},\,$$

Int 
$$\sigma = \{t \in G; \text{ there exists } u \in U(\mathcal{M}^{\sigma}) \text{ with } \sigma_t = Ad(u)\}.$$

Note that  $Ker \sigma \subset Int \sigma$  and

(1) 
$$u \in U(\mathcal{M}^{\sigma}), \ \sigma_t = \mathrm{Ad}(u) \Rightarrow u \in U(\mathcal{Z}(\mathcal{M}^{\sigma})).$$

For each subset  $E \subset \hat{G}$ , the set

$$E^{\perp} = \{t \in G; \langle t, \gamma \rangle = 1 \text{ for all } \gamma \in E\}$$

is a closed subgroup of G and  $E^{\perp \perp}$  is the smallest closed subgroup of  $\hat{G}$  containing E ([199], 2.1.3).

Using 14.13.(1) and Proposition 14.6, we get:

(2) 
$$Ker \sigma = (Sp \sigma)^{\perp} \subset \Gamma(\sigma)^{\perp},$$

since, by definition,  $\Gamma(\sigma) \subset Sp \sigma$ . It follows that

(3) 
$$Sp \ \sigma = \Gamma(\sigma) \Leftrightarrow Ker \ \sigma = \Gamma(\sigma)^{\perp}.$$

Recall (16.1) that these conditions are satisfied if  $\mathcal{M}^{\sigma}$  is a factor and that they imply that  $Sp \sigma$  is a closed subgroup of  $\hat{G}$ . Conversely,

Proposition. If  $\Gamma(\sigma)$  is discrete, then

(4) 
$$Sp \ \sigma = \Gamma(\sigma) \Rightarrow \mathscr{Z}(\mathscr{M}^{\sigma}) = \mathscr{Z}(\mathscr{M})^{\sigma}.$$

In particular, if  $\mathcal M$  is a factor and  $\Gamma(\sigma)$  is discrete, then

(5) 
$$Sp \ \sigma = \Gamma(\sigma) \Leftrightarrow \mathcal{M}^{\sigma} \ is \ a \ factor.$$

Proof. Let  $e, f \in \mathcal{M}^{\sigma}$  be projections with  $\mathbf{z}_{\mathcal{M}}(e)\mathbf{z}_{\mathcal{M}}(f) \neq 0$ . Then ([L], 4.5) there exists  $y \in \mathcal{M}$  with  $eyf \neq 0$ . Let  $y \in Sp_{\sigma}(eyf)$ . Since  $Sp_{\sigma} = \Gamma(\sigma)$  is discrete, there exists (14.1.(5))  $h \in \mathcal{L}^1(G)$  such that  $\hat{h}(y) \neq 0$  and  $\hat{h}(\omega) = 0$  for all  $\omega \in Sp_{\sigma} \setminus \{y\}$ . Then  $x = \sigma_h(eyf) \neq 0$ ,  $Sp_{\sigma}(x) = \{y\}$  and (15.3.(3)) ex = x = xf. Since  $Sp_{\sigma}(x) = \{y\}$  is a singleton, using 15.2.(2), 15.3.(1) and 14.3.(14) we obtain  $x^*x \in \mathcal{M}^{\sigma}$ ,  $xx^* \in \mathcal{M}^{\sigma}$ , hence  $\mathbf{r}(x) = \mathbf{s}(x^*x) \in \mathcal{M}^{\sigma}$ ,  $\mathbf{l}(x) = \mathbf{s}(xx^*) \in \mathcal{M}^{\sigma}$ ; note that  $\mathbf{l}(x) \leq e$ ,  $\mathbf{r}(x) \leq f$ . Since  $y \in Sp_{\sigma} = \Gamma(\sigma)$ ,  $-y \in \Gamma(\sigma)$  (16.1), and since  $0 \neq \mathbf{r}(x) \in Proj(\mathcal{M}^{\sigma})$ , there exists  $0 \neq z \in \mathcal{M}(\sigma; \{-\gamma\}) \cap \mathbf{r}(x) \mathcal{M}\mathbf{r}(x)$ . Then  $0 \neq a = xz \in \mathcal{M}^{\sigma}$  and  $eaf = a \neq 0$ . Hence ([L], 4.5)  $\mathbf{z}_{\mathcal{R}^{\sigma}}(e)\mathbf{z}_{\mathcal{R}^{\sigma}}(f) \neq 0$ .

It is clear that  $\mathscr{Z}(\mathscr{M})^{\sigma} \subset \mathscr{Z}(\mathscr{M}^{\sigma})$ . Conversely, let  $e \in \mathscr{Z}(\mathscr{M}^{\sigma})$  be a projection and let f = 1 - e. Since ef = 0, by the above arguments we infer that  $\mathbf{z}_{\mathscr{A}}(e)\mathbf{z}_{\mathscr{A}}(f) = 0$ , hence  $e = \mathbf{z}_{\mathscr{A}}(e) \in \mathscr{Z}(\mathscr{M})$ .

This Proposition can be applied, in particular, if the group G is compact, since then  $\hat{G}$  is discrete ([199], 1.2.5).

16.5. The main duality result is the following

Theorem. Let  $\sigma: G \to Aut(\mathcal{M})$  be a continuous action of G on the W\*-algebra  $\mathcal{M}$ . Then:

(1) Int 
$$\sigma \subset \Gamma(\sigma)^{\perp}$$
.

If M is a factor and the set Sp  $\sigma/\Gamma(\sigma)$  is compact in  $\hat{G}/\Gamma(\sigma)$ , then

(2) Int 
$$\sigma = \Gamma(\sigma)^{\perp}$$
.

Proof. Let  $t \in Int \ \sigma$  and let  $u \in U(\mathcal{Z}(\mathcal{M}^{\sigma}))$  be such that  $\sigma_{\tau} = \mathrm{Ad}(u)$ . Let  $\varepsilon > 0$ . There exist  $\lambda_0 \in \mathbb{C}$ , with  $|\lambda_0| = 1$ , and a spectral projection  $e \in \mathcal{Z}(\mathcal{M}^{\sigma})$  of u, such that  $||ue - \lambda_0 e|| < \varepsilon$ . Then the spectrum of ue in  $e\mathcal{M}e$  is contained in  $\{\lambda \in \mathbb{C} : |\lambda - \lambda_0| < \varepsilon\}$ . Using 14.13. (2), it follows that the spectrum of the automorphism  $\sigma_{\tau}^{\varepsilon} = \mathrm{Ad}(ue) \in \mathcal{A}ut(e\mathcal{M}e)$  as an element of  $\mathcal{B}(e\mathcal{M}e)$  is contained in the set  $\{\lambda \in \mathbb{C} : |\lambda - 1| < 2\varepsilon\}$ . On the other hand, by Proposition 14.6, the spectrum of  $\sigma_{\tau}^{\varepsilon}$  in  $\mathcal{B}(e\mathcal{M}e)$  is equal to the closure of the set  $\{\langle t, \gamma \rangle; \gamma \in Sp \ \sigma^{\varepsilon}\}$ . Consequently,  $|\langle \cdot, \gamma \rangle - 1| < 2\varepsilon$  for every  $\gamma \in Sp \ \sigma^{\varepsilon}$ , in particular for every  $\gamma \in \Gamma(\sigma)$ . Since  $\varepsilon > 0$  was arbitrary, it follows that  $t \in \Gamma(\sigma)^{\perp}$ .

We assume now that  $\mathcal M$  is a factor and that  $Sp \sigma/\Gamma(\sigma)$  is a compact subset

of  $\hat{G}/\Gamma(\sigma)$ .

Let  $k: \hat{G} \to \hat{G}/\Gamma(\sigma)$  be the canonical quotient mapping. Since, by assumption,  $k(Sp \ \sigma)$  is compact, using Corollary 2/16.2 we see that the family  $\{k(F); F \in \mathcal{F}(\sigma)\}$  is the basis of a filter on  $\hat{G}/\Gamma(\sigma)$  with intersection equal to  $\{k(0)\}$ . By Proposition 16.1, we have  $k^{-1}(k(F)) = F$  for every  $F \in \mathcal{F}(\sigma)$ .

Let  $t \in \Gamma(\sigma)^{\perp}$  and  $0 < \varepsilon < 1$ . The set  $D_{\varepsilon} = \{ \gamma \in \hat{G} : \text{Re } \langle t, \gamma \rangle > 1 - \varepsilon \}$  is an open neighbourhood of k(0) in  $\hat{G}/\Gamma(\sigma)$ . Consequently, there exists  $F \in \mathcal{F}(\sigma)$  such that  $k(F) \subset k(D_{\varepsilon})$ . Since  $t \in \Gamma(\sigma)^{\perp}$ , we have  $k^{-1}(k(D_{\varepsilon})) = D_{\varepsilon}$  and therefore  $F \subset D_{\varepsilon}$ . We conclude that there exists a non-zero projection  $e \in \mathcal{M}^{\sigma}$  such that  $Sp \sigma^{\varepsilon} \subset D_{\varepsilon}$ .

Using Proposition 14.6 it follows that

(3) 
$$Sp(\sigma_i^r) \subset \{\lambda \in \mathbb{C}; |\lambda| = 1, \operatorname{Re} \lambda > 1 - \epsilon\},$$

where, we recall,  $Sp(\sigma_i^e)$  is the spectrum of  $\sigma_i^e$  in  $\mathcal{B}(e\mathcal{M}e)$ . By Corollary 15.15 we infer that  $\sigma_i^e \in Int(e\mathcal{M}e)$ . Since  $\mathcal{M}$  is a factor, using Proposition 17.1 we deduce that  $\sigma_i \in Int(\mathcal{M})$ , i.e. there exists  $u \in U(\mathcal{M})$  such that  $\sigma_i = Ad(u)$ . We still have to show that  $u \in \mathcal{M}^e$ .

Note that  $ueu^* = \sigma_i(e) = e$ , ue = eu, and so  $\sigma_i^e = Ad(ue)$ . Since *eMe* is a factor, it follows from Proposition 14.13 that

$$Sp(\sigma_i^e) = \{\lambda \mu^{-1}; \lambda, \mu \in Sp_{\mathcal{A}}(ue)\}.$$

Comparing (3) and (4) and using the spectral theorem, we deduce by some elementary computations that

(5) 
$$\inf \{ ||ue - \lambda e||; \lambda \in \mathbb{C}, |\lambda| = 1 \} \leq \sqrt{2\varepsilon}.$$

Let  $f \in \mathcal{M}^{\sigma}$  be an arbitrary non-zero projection. Since  $\Gamma(\sigma^f) = \Gamma(\sigma)$ , it follows from what has just been shown that there exists a non-zero projection  $e \in \mathcal{M}^{\sigma}$ ,  $e \leq f$ , such that (3) holds. As above, we see that ue = eu and  $\sigma_i^e = \mathrm{Ad}(ue)$ ; and we deduce inequality (5).

Using a standard argument it follows that for each  $\varepsilon > 0$  there exist a family  $\{e_i\}$  of mutually orthogonal non-zero projections in  $\mathcal{M}^{\sigma}$  with  $\sum_{i}^{\infty} e_i = 1$  and a family

 $\{\lambda_i\}$  of unimodular complex numbers such that  $\|ue_i - \lambda_i e_i\| < \varepsilon$ , hence  $\|u - \sum_i \lambda_i e_i\| < \varepsilon$ . We conclude that  $u \in \mathcal{M}^{\sigma}$ .

Hence  $t \in Int \sigma$ .

A different proof of (1) is given in Section 21.6.

**16.6.** The second main result concerning the invariant  $\Gamma$  is the following

Theorem. Let  $\sigma: G \to Aut(\mathcal{M})$  be a continuous action of G on the factor  $\mathcal{M}$ . For every non-zero projection  $e \in \mathcal{M}^{\sigma}$  there exists a continuous action  $\tau: G \to Aut(\mathcal{M})$  such that  $\tau \sim \sigma$  and  $Sp \tau \subset Sp \sigma^{e}$ .

In particular,

(1) 
$$\Gamma(\sigma) = \bigcap_{\tau \sim \sigma} Sp \ \tau.$$

By Proposition 14.6 and by the definition (16.1) of  $\Gamma(\sigma)$ , the inclusion

(2) 
$$\Gamma(\sigma) \subset \bigcap_{\tau \sim \sigma} Sp \ \tau$$

is valid in general, for any  $W^*$ -algebra. If  $\mathcal{M}$  is a factor, then (1) follows obviously from the first assertion of the Theorem and the definition of  $\Gamma(\sigma)$ .

Note that (1) is trivially true if  $\mathcal{M}^{\sigma}$  is a factor (16.1.(3)). However, (1) is not true for all  $W^*$ -algebras, as can easily be seen by considering a direct sum  $(\mathcal{M}, \sigma) = (\mathcal{M}_1, \sigma_1) \oplus (\mathcal{M}_2, \sigma_2)$ .

The proof of Theorem 16.6 will be given in Section 16.11, while in Sections 16.7—16.10 we present some auxiliary results which are of independent interest. In fact, Propositions 16,7, 16.8 and 16.9 have stronger conclusions, but in special situations.

**16.7.** Proposition. Let  $\sigma: G \to Aut(\mathcal{M})$  be a continuous action of G on the  $W^*$ -algebra  $\mathcal{M}$ . For every projection  $e \in \mathcal{M}^a$  which is equivalent to 1 in  $\mathcal{M}$  there exists a continuous action  $\tau: G \to Aut(\mathcal{M})$  such that  $\tau \sim \sigma$  and  $Sp \tau = Sp \sigma^e$ .

**Proof.** Let  $u \in \mathcal{M}$  with  $u^*u = 1$ ,  $uu^* = e$  and put  $w_t = u^*\sigma_t(u)$ ,  $(t \in \mathbb{R})$ . It is easy to check that the mapping  $t \mapsto w_t$  is a unitary  $\sigma$ -cocycle  $w \in Z_{\sigma}(G; U(\mathcal{M}))$  which defines a continuous action  $\tau \sim \sigma$  where  $\tau_t(x) = w_t\sigma_t(x)w_t^* = u^*\sigma_t(uxu^*)u$   $(x \in \mathcal{M}, t \in \mathbb{R})$ . Thus, the mapping  $x \mapsto uxu^*$  defines a \*-automorphism  $(\mathcal{M}, \tau) \approx \approx (e\mathcal{M}e, \sigma^e)$  and  $Sp \tau = Sp \sigma^e$ .

This Proposition already implies Theorem 16.6 for countably decomposable type III factors.

16.8. Lemma. Let  $\sigma: G \to Aut(\mathcal{M})$  and  $\tau: G \to Aut(\mathcal{M})$  be two continuous actions of G on the  $W^*$ -algebra  $\mathcal{M}$ . If there exists a projection  $e \in \mathcal{M}^{\sigma} \cap \mathcal{M}^{\tau}$  with  $\mathbf{z}_{\mathcal{M}}(e) = 1$  such that  $\sigma^{e} \sim \tau^{e}$ , then  $\sigma \sim \tau$ .

**Proof.** By assumption, there exists an s-continuous mapping  $t\mapsto v_t$  of G into  $U(e\mathcal{M}e)$  such that  $v_{s+t}=v_s\sigma_s(v_t)$  and  $\tau_t\sigma_t^{-1}(x)=v_txv_t^*$  for  $s,\ t\in G,\ x\in e\mathcal{M}e$ . By Proposition 17.1, for each  $t\in G$  there exists a unique  $w_t\in U(\mathcal{M})$  such that  $w_te=v_t=ew_t$  and  $\tau_t\sigma_t^{-1}=\mathrm{Ad}(w_t)\ (t\in G)$ . Since  $\tau_{s+t}\sigma_{s+t}^{-1}(x)=\tau_s\tau_t\sigma_t^{-1}\sigma_s^{-1}(x)=\tau_s(w_t\sigma_s^{-1}\ (x)w_t^*)=\tau_s\sigma_s^{-1}(\sigma_s(w_t)\ x\sigma_s(w_t^*))=w_s\sigma_s(w_t)\ x\sigma_s(w_t^*)w_s^*\ (x\in \mathcal{M}),$  and  $w_s\sigma_s(w_t)e=v_s\sigma_s(v_t)=v_{s+t}=ew_s\sigma_s(w_t),$  by uniqueness it follows that  $w_s\sigma_s(w_t)=w_{s+t}$  (s,  $t\in G$ ). Also, the mapping  $t\mapsto w_t$  is s-continuous (17.1.(2)). Hence  $\tau\sim\sigma$ .

**Proposition.** Let  $\sigma: G \to Aut(\mathcal{M})$  be a continuous action of G on the  $W^*$ -algebra  $\mathcal{M}$ . If  $e \in \mathcal{M}^{\sigma}$  is a projection such that 1 - e is the sum of a family of mutually orthogonal projections in  $\mathcal{M}$ , each equivalent to e, then there exists a continuous action  $\tau: G \to Aut(\mathcal{M})$  such that  $\tau \sim \sigma$  and  $Sp \tau = Sp \sigma^e$ .

**Proof.** By assumption it follows that there exist a type I factor  $\mathcal{F}$ , a minimal projection p in  $\mathcal{F}$  and a \*-isomorphism  $\pi$  of  $\mathcal{M}$  onto  $(e\mathcal{M}e) \boxtimes \mathcal{F}$  such that  $\pi(x) = x \boxtimes p$  for  $x \in e\mathcal{M}e$ . We then define a continuous action  $\tau: G \to Aut(\mathcal{M})$  by putting  $\tau_i = \pi^{-1} \cdot (\sigma_i^* \boxtimes_{\mathcal{F}}) \cdot \pi$   $(t \in G)$ . We have  $\tau_i^* = \sigma_i^*$   $(t \in G)$ , hence  $\tau^* \sim \sigma^*$  and so  $\tau \sim \sigma$  by the previous Lemma. Thus,  $Sp \tau = Sp$   $(\sigma^* \boxtimes I_{\mathcal{F}}) = Sp$   $\sigma^*$  (see 16.16.(1)).

**16.9.** Lemma. Let G be a closed subgroup of the locally compact abelian group G' and  $\mathcal{M}$  a  $W^*$ -algebra. Every s-continuous unitary representation  $u: G \to U(\mathcal{M})$  can be extended to an s-continuous unitary representation  $u': G' \to U(\mathcal{M})$ .

**Proof.** Without loss of generality, we may assume that the  $W^*$ -algebra  $\mathcal{M}$  is generated by u(G). Then  $\mathcal{M}$  is abelian and can be written as a direct sum of countably decomposable  $W^*$ -algebras ([L], 7.2), so we can also assume that  $\mathcal{M}$  is countably decomposable. Then ([L], 10.15) we can realize  $\mathcal{M}$  as a von Neumann algebra  $\mathcal{M} \subset \mathcal{B}(\mathcal{H})$  with a cyclic and separating vector  $\xi \in \mathcal{H}$ . As we have seen in Section 14.10, there exists a unique \*-homomorphism  $\pi_{\pi}: \mathcal{C}_0(\hat{G}) \to \mathcal{M}$  such that

(1) 
$$\pi_{\mathbf{n}}(\hat{f}) = u_f \quad (f \in \mathcal{L}^1(G))$$

and there exists a unique positive measure  $\mu \in \mathcal{M}(\hat{G})$  such that

(2) 
$$(\pi_{\bullet}(\varphi)\xi|\xi) = \int \varphi(\gamma) \, \mathrm{d}\mu(\gamma) \qquad (\varphi \in \mathscr{C}_{0}(\hat{G})).$$

 $\mathscr{C}_0(\hat{G})$  is a w-dense  $C^*$ -subalgebra of the  $W^*$ -algebra  $\mathscr{L}^{\infty}(\hat{G}, \mu)$ ,  $\pi_*(\mathscr{C}_0(\hat{G}))$  is a w-dense  $C^*$ -subalgebra of the  $W^*$ -algebra  $\mathscr{M}$  and, using (2), it is easy to check that the \*-homomorphism  $\pi_*$  is normal, i.e. w-continuous. The refore  $\pi_*$  can be extended to a \*-isomorphism  $\pi_*:\mathscr{L}^{\infty}(\hat{G}, \mu) \to \mathscr{M}$ . Using (1) and approximate units in  $\mathscr{L}^1(G)$ , it is easy to check that

$$(\pi_{\pi}^{-1}(u_t))(\gamma) = \langle t, \gamma \rangle \qquad (t \in G, \gamma \in \hat{G}).$$

Thus, we can assume that  $\mathcal{M} = \mathcal{L}^{\infty}(\hat{G}, \mu)$  and  $u_t(\gamma) = \langle t, \gamma \rangle$   $(t \in G, \gamma \in \hat{G})$ . Furthermore, by decomposing  $\mathcal{M}$  into a direct sum, we may assume that the measure  $\mu$  has compact support (see, e.g. [71], Prop. 41, § 15).

Let  $p: \hat{G}' \to \hat{G}$  be the dual homomorphism of the inclusion  $G \hookrightarrow G'$ . There exists a compact set  $K' \subset \hat{G}'$  such that  $p(K') = supp \ \mu$ . Let  $\mu'$  be an extreme point of the weakly compact convex set of all positive measures v' on K' such that  $p(v') = \mu$ . Then ([161]) the mapping

$$\Phi \colon \mathscr{M} = \mathscr{L}^{\infty}(\hat{G}, \ \mu) \ni \varphi \mapsto \varphi \circ p \in \mathscr{L}^{\infty}(\hat{G}', \mu')$$

is a \*-isomorphism. For  $t' \in G'$  we define  $v'_{t'} \in \mathcal{L}^{\infty}(\hat{G}', \mu')$  by  $v'_{t'}(\gamma') = \langle t', \gamma' \rangle$   $(\gamma' \in \hat{G}')$ , and  $u'_{t'} = \Phi^{-1}(v'_{t'})$ . Then  $u' : G' \to U(\mathcal{M})$  is an s-continuous unitary representation and for  $t \in G$ ,  $\gamma' \in \hat{G}'$  we have  $(\Phi(u'_t))(\gamma') = v'_t(\gamma') = \langle t, \gamma' \rangle = u_t(p(\gamma')) = (\Phi(u_t))(\gamma')$ , hence  $u'_t = u_t$ .

**16.10. Proposition.** Let  $\sigma: G \to Aut(\mathcal{M})$  be a continuous action of G on the factor  $\mathcal{M}:$  If  $\mathcal{Z}(\mathcal{M}^{\sigma})$  contains a minimal projection, then there exists a continuous action  $\tau: G \to Aut(\mathcal{M})$  such that  $\tau \sim \sigma$  and  $Sp \tau = \Gamma(\tau) = \Gamma(\sigma)$ .

Proof. Let  $e \in \mathcal{Z}(\mathcal{M}^{\sigma})$  be a minimal projection. Then  $\mathcal{M}^{\sigma} = e\mathcal{M}^{\sigma}e$  is a factor, hence (16.1.(3))  $Sp \ \sigma^{e} = \Gamma(\sigma^{e})$  and therefore (16.4.(3))  $Ker \ \sigma^{e} = \Gamma(\sigma^{e})^{\perp}$ . Since  $\mathcal{M}$  is a factor, we have  $\Gamma(\sigma^{e}) = \Gamma(\sigma)$  (16.2. (3)). Thus, for every  $t \in \Gamma(\sigma)^{\perp}$  we have  $\sigma_{t}^{e} = t \in Int(e\mathcal{M}e)$ . Using Proposition 17.1 it follows that for every  $t \in \Gamma(\sigma)^{\perp}$  there exists a unique  $u_{t} \in U(\mathcal{M})$  such that  $u_{t}e = eu_{t} = e$  and  $\sigma_{t} = Ad(u_{t})$ ; since, for any  $s \in G$ , the element  $\sigma_{s}(u_{t})$  satisfies the same conditions as  $u_{t}$ , we have  $u_{t} \in \mathcal{M}^{\sigma}$ . Also, by the uniqueness of  $u_{t}$  and 17.1.(2) we see that the mapping  $u: \Gamma(\sigma)^{\perp} \ni t \mapsto u_{t} \in U(\mathcal{M}^{\sigma})$  is an s-continuous unitary representation. By Lemma 16.9 there exists an s-continuous unitary representation  $v: G \to U(\mathcal{M}^{\sigma})$  such that  $v_{t} = u_{t}$  for  $t \in \Gamma(\sigma)^{\perp}$ . Then v is a unitary  $\sigma$ -cocycle; v defines a continuous action  $\tau: G \to Aut(\mathcal{M})$ ,  $\tau \sim \sigma$ , by the formula  $\tau_{t} = Ad(v_{t}^{*}) \circ \sigma_{t}$  ( $t \in G$ ). If  $t \in \Gamma(\tau)^{\perp} = \Gamma(\sigma)^{\perp}$ , then  $\tau_{t} = Ad(v_{t}^{*}u_{t}) = t$ , i.e.  $t \in Ker \tau$ . Consequently,  $\Gamma(\tau)^{\perp} = Ker \tau$  and hence (16.4.(3))  $Sp \tau = \Gamma(\tau) = \Gamma(\sigma)$ .

16.11. Proof of Theorem 16.6. If  $\mathcal{M}^{\sigma}$  has a minimal projection, then its central support in  $\mathcal{M}^{\sigma}$  is a minimal projection in  $\mathcal{Z}(\mathcal{M}^{\sigma})$ . Taking into account Propositions 16.10 and 16.7, we see that, in order to prove the Theorem, we may assume that  $\mathcal{M}^{\sigma}$  has no minimal projections and that the non-zero projection  $e \in \mathcal{M}^{\sigma}$  is not equivalent to 1 in  $\mathcal{M}$ . In this case we shall show that there exists a non-zero projection  $f \in \mathcal{M}^{\sigma}$ ,  $f \leq e$ , such that 1 - f is the sum of a family of mutually orthogonal projections in  $\mathcal{M}$ , each equivalent to f. According to Proposition 16.8, it will follow that there exists  $\tau \sim \sigma$  with  $Sp \tau = Sp \sigma^f \subset Sp \sigma^e$ .

Assume first that  $\mathcal{M}$  is properly infinite. Since, by assumption, e is not equi-

valent to 1, we can take in this case f = e.

Assume now that  $\mathcal{M}$  is finite and let  $\mu$  be the n.s.f. trace on  $\mathcal{M}$  with  $\mu(1) = 1$ . By assumption, for every non-zero projection  $p \in \mathcal{M}^{\sigma}$  and every  $\varepsilon > 0$  there exists a non-zero projection  $q \in \mathcal{M}^{\sigma}$  with  $\mu(q) < \varepsilon$ . Let  $n \in \mathbb{N}$  be such that  $1/n \leq \mu(e)$  and let  $\{f_i\}$  be a maximal family of mutually orthogonal non-zero projections in  $\mathcal{M}^{\sigma}$ ,

majorized by e and such that  $\sum_{i} \mu(f_i) \leq 1/n$ . Then  $\sum_{i} \mu(f_i) = 1/n$ . Let  $f = \sum_{i} f_i$ . Then  $0 \neq f \in \mathcal{M}^o$ ,  $f \leq e$  and  $\mu(f) = 1/n$ , so that there exist (n-1) mutually orthogonal projections in  $\mathcal{M}$ , each equivalent to f, with sum equal to 1 - f.

**16.12. Proposition.** Let  $\sigma: G \to Aut(\mathcal{M})$  be a continuous action of G on the factor  $\mathcal{M}$ . If  $\hat{G}/\Gamma(\sigma)$  is compact, then there exists a continuous action  $\tau: G \to Aut(\mathcal{M})$  such that  $\tau \sim \sigma$  and  $Sp \tau = \Gamma(\tau) = \Gamma(\sigma)$ .

*Proof.* Since  $\hat{G}/\Gamma(\sigma)$  is compact, its dual  $\Gamma(\sigma)^{\perp}$  is a discrete, hence closed, subgroup of G. Moreover, by Theorem 16.5, we have  $\Gamma(\sigma)^{\perp} = Int \sigma$ . Let  $k: Int \sigma \rightarrow Int\sigma/Ker \sigma$  be the canonical quotient mapping.

Let U be the subgroup of  $U(\mathcal{Z}(\mathcal{M}^{\sigma}))$  consisting of those  $u \in U(\mathcal{Z}(\mathcal{M}^{\sigma}))$  with the property that there exists  $t = t(u) \in Int \ \sigma$  such that  $\sigma_t = \mathrm{Ad}(u)$ . For each  $u \in U$  we put  $j(u) = k(t(u)) \in Int \ \sigma/Ker \ \sigma$ . Then the mapping  $j \colon U \to Int \ \sigma/Ker \ \sigma$  is a well defined surjective homomorphism. Let  $\mathbb{T} = \{\lambda \in \mathbb{C}; |\lambda| = 1\}$  and denote by  $i \colon \mathbb{T} \to U$  the injective homomorphism defined by  $i(\lambda) = \lambda \cdot 1_{\mathcal{M}} \ (\lambda \in \mathbb{T})$ . Since  $\mathcal{M}$  is a factor we have a short exact sequence

$$0 \to \mathbb{T} \xrightarrow{I} U \xrightarrow{J} Int\sigma/Ker\sigma \to 0$$
,

which is split as  $\mathbb{T}$  is a divisible group ([118], Thm. A.7). Hence there exists a homomorphism  $h: Int \ \sigma/Ker \ \sigma \to U$  such that  $j \circ h =$  the identity mapping. Then  $u = h \circ k: \Gamma(\sigma)^{\perp} = Int \ \sigma \to U \subset U(\mathcal{Z}(\mathcal{M}^{\sigma}))$  is a unitary representation of the discrete group  $\Gamma(\sigma)^{\perp}$  in  $\mathcal{Z}(\mathcal{M}^{\sigma})$  such that  $\sigma_t = \mathrm{Ad}(u_t)$  for all  $t \in \Gamma(\sigma)^{\perp}$ . By Lemma 16.9, u can be extended to an s-continuous unitary representation  $u: G \to U(\mathcal{Z}(\mathcal{M}^{\sigma}))$ . Then u is a unitary  $\sigma$ -cocycle and the equation  $\tau_t = \mathrm{Ad}(u_t^{\bullet}) \circ \sigma_t$  ( $t \in G$ ) defines a continuous action  $\tau \sim \sigma$  such that  $\Gamma(\sigma)^{\perp} \subset Ker \ \tau$ . It follows (16.3, 16.4.(2)) that  $Ker \ \tau = \Gamma(\tau)^{\perp}$  and hence (16.4.(3))  $Sp \ \tau = \Gamma(\tau) = \Gamma(\sigma)$ .

In general, all the sets in the family

(1) 
$$\{Ker \ \tau; \ \tau \sim \sigma\}$$

are contained in  $\Gamma(\sigma)^{\perp}$  (16.3, 16.4.(2)). If  $\mathcal{M}$  is a factor and either  $\widehat{G}/\Gamma(\sigma)$  is compact or  $\mathcal{Z}(\mathcal{M}^{\sigma})$  has a minimal projection, then, by the above Proposition and by Proposition 16.10, family (1) has a greatest element, equal to  $\Gamma(\sigma)^{\perp}$  (16.4.(3)).

16.13. We now give two applications of Theorem 16.5 to \*-automorphisms of  $W^{\bullet}$ -algebras.

Let  $\mathscr{M}$  be a  $W^*$ -algebra and  $\sigma \in Aut(\mathscr{M})$ . Then the mapping  $n \mapsto \sigma^n$  defines an action  $\sigma \colon \mathbb{Z} \to Aut(\mathscr{M})$ . As usual, we identify the dual  $\hat{\mathbb{Z}}$  of  $\mathbb{Z}$  with  $\mathbb{T}$  in such a way that  $\langle n, \lambda \rangle = \lambda^n$   $(n \in \mathbb{Z}, \lambda \in \mathbb{T})$ . By Proposition 14.6, the spectrum of  $\sigma \in Aut(\mathscr{M})$  is equal to the spectrum  $Sp \ \sigma \subset \mathbb{T}$  of the action  $\sigma \colon \mathbb{Z} \to Aut(\mathscr{M})$ .

If  $\mathcal{M}$  is a factor, then Theorem 16.5 can be applied to the action  $\sigma$  since  $\overline{\mathbf{U}} = \widehat{\mathbb{Z}}$  is compact.

**Proposition 1.** Let  $\mathcal{M}$  be a factor and  $\sigma \in Aut(\mathcal{M})$ . If  $\lambda(Sp \sigma) \neq Sp \sigma$  for every  $\lambda \in \mathbb{T}$ ,  $\lambda \neq 1$ , then  $\sigma \in Int(\mathcal{M})$ .

*Proof.* By assumption and Proposition 16.1 it follows that  $\Gamma(\sigma) = \{1\}$ , hence  $\Gamma(\sigma)^{\perp} = \mathbb{Z} \ni 1$ . By Theorem 16.5 we conclude that  $\sigma \in Int(\mathcal{M})$ .

**Proposition 2.** Let  $\mathcal{M}$  be a factor and  $\sigma \in Aut(\mathcal{M})$ . If  $Sp \ \sigma \neq \mathbb{T}$ , then there exists  $n \in \mathbb{Z}$ ,  $n \neq 0$ , such that  $\sigma^n \in Int(\mathcal{M})$ .

*Proof.* Since  $Sp \ \sigma \neq \overline{\mathbb{U}}$  we have  $\Gamma(\sigma) \neq \overline{\mathbb{U}}$  and hence  $\Gamma(\sigma)^{\perp} \neq \{0\}$ . If  $n \in \Gamma(\sigma)^{\perp}$ ,  $n \neq 0$ , then  $\sigma^n \in Int(\mathcal{M})$  by Theorem 16.5.

The requirement that  $\mathcal{M}$  be a factor comes from Theorem 16.5, but *Proposition 2* is valid for any  $W^*$ -algebra, as shown by Borchers ([16]) with rather similar methods.

**16.14.** We now give an example of two continuous actions  $\sigma: G \to Aut(\mathcal{M})$ ,  $\tau: G \to Aut(\mathcal{M})$  such that  $\tau_t \sigma_t^{-1} \in Int(\mathcal{M})$  for every  $t \in G$  but  $\sigma$  and  $\tau$  are not outer conjugate.

Let  $\mathcal{H} = \ell^2(\mathbb{Z})$ ,  $\mathcal{M} = \mathcal{B}(\mathcal{H})$ ,  $G = \mathbb{Z} \times \mathbb{Z}$  and  $\sigma: G \ni t \mapsto \iota_{\mathcal{M}} \in Aut(\mathcal{M})$  be the trivial action. There exists  $\lambda \in \mathbb{U}$  such that the set  $\{\lambda^n; n \in \mathbb{Z}\}$  is dense in  $\mathbb{U}$ . We define the unitary operators  $u, v \in \mathcal{B}(\mathcal{H})$  by

$$(u\xi)(n) = \xi(n-1), (v\xi)(n) = \lambda^n \xi(n) \qquad (\xi \in \ell^2(\mathbb{Z}), n \in \mathbb{Z}),$$

and for  $t = (p, q) \in G$  we define  $\tau_t = \operatorname{Ad}(u^p v^q)$ . Since  $vu = \lambda uv$ , it follows that  $\tau \colon G \ni t \mapsto \tau_t \in \operatorname{Aut}(\mathcal{M})$  is an action.

By construction, we have  $\tau_t \sigma_t^{-1} \in Int(\mathcal{M})$  for all  $t \in G$ .

On the other hand, it is clear that  $Ker \tau = \{0\}$ . Thus, if we can show that  $\mathcal{M}^{\tau} = \mathbb{C}$ , it will follow from 16.1.(1) that  $\Gamma(\tau) = Sp \tau$ , so that (16.4.(3))  $\Gamma(\tau)^{\perp} = Ker \tau = \{0\}$  and  $\Gamma(\tau) = \mathbb{T}$ , while  $\Gamma(\sigma) = \{0\}$ , so that (16.3) the actions  $\sigma$  and  $\tau$  cannot be outer conjugate.

Let us show that  $\mathcal{M}^r = \mathbb{C}$ . Since v is the multiplication operator by the character  $\lambda \in \ell^{\infty}(\mathbb{Z})$  and since the set  $\{\lambda^n; n \in \mathbb{Z}\}$  is dense in  $\mathbb{T}$ , the commutant of v is just the von Neumann algebra  $\ell^{\infty}(\mathbb{Z}) \subset \mathcal{B}(\mathcal{H})$ . Since the only translation invariant functions are the constants, the commutant  $\{u, v\}' \subset \mathcal{B}(\mathcal{H}) = \mathcal{M}$  reduces to scalar operators, i.e.  $\mathcal{M}^r = \mathbb{C}$ .

**16.15.** Let  $\mathcal{M}$  be a  $W^*$ -algebra and  $\sigma$ ,  $\tau \in Aut(\mathcal{M})$ . Consider also the corresponding actions  $\sigma: \mathbb{Z} \ni n \mapsto \tau^n \in Aut(\mathcal{M})$ ,  $\tau: \mathbb{Z} \ni n \mapsto \tau^n \in Aut(\mathcal{M})$ . Then

$$\tau \sim \sigma \Leftrightarrow \tau \equiv \sigma \pmod{Int(\mathcal{M})}.$$

Indeed, if there exists  $u \in U(\mathcal{M})$  such that  $\tau = \mathrm{Ad}(u) \circ \sigma$ , then the equation

(2) 
$$u_0 = 1$$
 and  $u_n = u\sigma(u) \dots \sigma^{n-1}(u), u_{-n} = \sigma^{-1}(u^*) \dots \sigma^{-n}(u^*), (n > 1)$ 

defines a unitary  $\sigma$ -cocycle with  $\tau^n = \operatorname{Ad}(u^n) \circ \sigma^n (n \in \mathbb{Z})$ , and  $u_1 = u$ . Conversely, any unitary  $\sigma$ -cocycle is defined as in (2) by a unitary element of  $\mathcal{M}$ .

16.16. Let  $\mathcal{M}$ ,  $\mathcal{F}$  be  $W^*$ -algebras,  $\sigma: G \to Aut(\mathcal{M})$  a continuous action of G on  $\mathcal{M}$  and  $\iota: G \to Aut(\mathcal{F})$  the trivial action of G on  $\mathcal{F}$ . Since for every  $f \in \mathcal{L}^1(G)$  we have  $(\sigma \otimes \iota)_f = \sigma_f \otimes \iota$ , it follows (14.5) that

(1) 
$$Sp (\sigma \overline{\otimes} \iota) = Sp \sigma.$$

Also, using Corollary 9.9, we obtain  $\mathscr{Z}((\mathscr{M} \otimes \mathscr{F})^{\sigma \bullet}) = \mathscr{Z}(\mathscr{M}^{\sigma} \otimes \mathscr{F}) = \mathscr{Z}(\mathscr{M}^{\sigma}) \otimes \mathscr{Z}(\mathscr{F})$ . By statement 16.1. (2) it follows that if  $\mathscr{F}$  is a factor then

(2) 
$$\Gamma(\sigma \otimes \iota) = \Gamma(\sigma).$$

16.17. The next group of results is concerned with periodic automorphisms, that is with actions of finite cyclic groups.

Let  $\mathcal{M}$  be a  $\mathcal{W}^*$ -algebra. A \*-automorphism  $\sigma \in Aut(\mathcal{M})$  is called minimal periodic if there exists  $n \in \mathbb{Z}$ , n > 2, such that

(1) 
$$\sigma^n = \iota \text{ and } \Gamma(\sigma) \supset \{\lambda \in \mathbb{C}; \lambda^n = 1\};$$

the number n is called the minimal period of  $\sigma$ .

In this case, for every non-zero projection  $e \in \mathcal{M}^o$  we have

(2) 
$$Sp \ \sigma^e = \Gamma(\sigma^e) = \{\lambda \in \mathbb{C}; \ \lambda^n = 1\} = \{1, \gamma, \ldots, \gamma^{n-1}\}.$$

where  $y = \exp(2\pi i/n)$ .

Indeed, if  $\lambda \in Sp \ \sigma$ , then, by Proposition 14.5, there exists a net  $\{x_i\} \subset \mathcal{M}$ ,  $\|x_i\| = 1$ , such that  $\|\sigma^k(x_i) - \lambda^k x_i\| \to 0$  for all  $k \in \mathbb{Z}$ ; in particular, for k = n we have  $|1 - \lambda^n| = \|x_i - \lambda^n x_i\| \to 0$ , i.e.  $\lambda^n = 1$ . Therefore  $Sp \ \sigma \subset \{\lambda \in \mathbb{C}; \lambda^n = 1\} \subset \Gamma(\sigma) \subset Sp \ \sigma$ , proving (2) for e = 1. For an arbitrary projection  $e \in \mathcal{M}^\sigma$ , the \*-automorphism  $\sigma^e$  also satisfies (1) since (16.1.(4))  $\Gamma(\sigma^e) \supset \Gamma(\sigma)$ ; hence (2) is valid in general.

From (2) and Proposition 16.4 it follows that

In particular, for central supports we have

(4) 
$$z_{\mathcal{M}}(e) = z_{\mathcal{M}}(e) = z(e) (e \in Proj(\mathcal{M}^{\bullet})).$$

Let  $x \in \mathcal{M}$  and write

(5) 
$$\hat{x}(k) = \frac{1}{n} \sum_{j=0}^{n-1} \gamma^{-kj} \sigma^j(x) \qquad (k = 0, 1, ..., n-1).$$

Then  $\|\hat{x}(k)\| \le \|x\|$ ,  $\hat{x}(k)^* = \hat{x}^*(n-k)$  (k = 0,1, ..., n-1), and

(6) 
$$x = \sum_{k=0}^{n-1} \hat{x}(k)$$
 and  $\hat{x}(k) \in \mathcal{M}(\sigma; \{\gamma^k\})$   $(k = 0, 1, ..., n-1).$ 

**Proposition.** Let  $\sigma \in Aut(\mathcal{M})$  be a minimal periodic \*-automorphism on the properly infinite  $W^*$ -algebra  $\mathcal{M}$ . Then the centralizer  $\mathcal{M}^{\sigma}$  is also properly infinite.

*Proof.* For every non-zero projection  $p \in \mathcal{Z}(\mathcal{M}^{\sigma}) = \mathcal{Z}(\mathcal{M})^{\sigma}$ ,  $\sigma^{p}$  is also a minimal periodic \*-automorphism on the properly infinite  $W^{*}$ -algebra  $\mathcal{M}p$ . Hence it is sufficient just to prove that  $\mathcal{M}^{\sigma}$  is infinite.

By assumption, there exists  $n \in \mathbb{Z}$ ,  $n \ge 2$ , such that (2) holds.

Let  $e, f \in \mathcal{M}^{\sigma}$  be non-zero projections such that z(e)  $z(f) \neq 0$ . Then ([L], 4.5) there exists  $x \in \mathcal{M}$  with  $exf \neq 0$ . By (6) there exist  $k \in \{0,1,\ldots,n-1\}$  and  $x_k \in \mathcal{M}$  ( $\sigma$ ;  $\{\gamma^k\}$ ) such that  $ex_k f \neq 0$ . Let  $r = \mathbf{r}(ex_k f) \leq f$  and  $j \in \{0,1,\ldots,n-1\}$  with  $k+j=1 \pmod{n}$ . Since  $0 \neq r \in Proj(\mathcal{M}^{\sigma})$ , there exists  $x_j \in \mathcal{M}(\sigma; \{\gamma^j\})$  such that  $0 \neq x_j r x_j r$ . Then  $y = ex_k f x_j \in \mathcal{M}(\sigma; \{\gamma\})$  and  $eyf = y \neq 0$ . If y = v|y| is the polar decomposition of y, then  $v \in \mathcal{M}(\sigma; \{\gamma\})$  is a non-zero partial isometry such that  $v^*v \leq f$ ,  $vv^* \leq e$ .

Now let u be a maximal partial isometry such that

$$u \in \mathcal{M}(\sigma; \{\gamma\}).$$

If  $z(1-uu^*)$   $z(1-u^*u) \neq 0$ , the preceding argument shows that there exists a non-zero partial isometry  $v \in \mathcal{M}(\sigma; \{\gamma\})$  with  $v^*v \leq 1-u^*u$ ,  $vv^* \leq 1-uu^*$  and  $w=u+v \in \mathcal{M}(\sigma; \{\gamma\})$  is a partial isometry, contradicting the maximality of u. Consequently,  $z(1-uu^*)$   $z(1-u^*u)=0$ , and there exists a non-zero central projection p such that either  $u^*up=p$  or  $uu^*p=p$ . Replacing  $(\mathcal{M}, \sigma)$  by  $(\mathcal{M}p, \sigma^p)$  we may assume that

either 
$$u^*u = 1$$
 or  $uu^* = 1$ .

If  $u^*u=1$  but  $uu^*\neq 1$ , then  $u^n$  is a non unitary isometry in  $\mathcal{M}(\sigma;\{\gamma^n\})=\mathcal{M}^\sigma$ , hence  $\mathcal{M}^\sigma$  is infinite. If  $uu^*=1$  but  $u^*u\neq 1$ , then  $(u^*)^n$  is a non unitary isometry in  $\mathcal{M}^\sigma$ , and  $\mathcal{M}^\sigma$  is again infinite.

Finally, consider the case  $u^*u = uu^* = 1$  and assume, to the contrary, that  $\mathcal{M}^{\sigma}$  is finite. Then ([L], 7.23) the \*-operation is s-continuous on the unit ball of  $\mathcal{M}^{\sigma}$ . We shall show that the same property is valid for  $\mathcal{M}$ ; it will follow that  $\mathcal{M}$  is finite ([L], 7.23), a contradiction.

So, consider  $x \in \mathcal{M}$ ,  $||x|| \le 1$ , and a net  $\{x_i\}_{i \in I} = \mathcal{M}$ ,  $||x_i|| \le 1$ , such that  $x_i \xrightarrow[i \in I]{i \in I} x$ . For each  $k \in \{0,1,\ldots,n-1\}$ , the elements  $\hat{x}(k)u^{-k}$ ,  $\hat{x}_i(k)u^{-k}$  belong to the closed unit ball of  $\mathcal{M}^{\sigma}$ , and  $\hat{x}_i(k)u^{-k} \xrightarrow[i \in I]{i \in I} \hat{x}(k)u^{-k}$  since  $\sigma$  is s-continuous. By our last assumption  $\mathcal{M}^{\sigma}$  is finite, so  $\hat{x}_i(k)u^{-k})^* \xrightarrow[i \in I]{i \in I} (\hat{x}(k)u^{-k})^*$ , that is  $u^k \hat{x}_i^* (n-k)$  and hence  $\hat{x}_i^* (n-k) \xrightarrow[i \in I]{i \in I} \hat{x}^* (n-k)$ . It follows that  $x_i^* \xrightarrow[i \in I]{i \in I} x^*$ .

Corollary. Let  $\sigma \in Aut(\mathcal{M})$  be a minimal \*-automorphism of the countably decomposable W\*-algebra  $\mathcal M$  with minimal period n > 2. Let  $e, f \in Proj(\mathcal M^e), v \in U(\mathcal M)$  and  $\lambda \in Sp \ \sigma$ . Then

(7) 
$$e \sim f$$
 in  $\mathcal{M} \Leftrightarrow$  there exists  $w \in \mathcal{M}(\sigma; \{\lambda\})$  with  $w^*w = e$ ,  $ww^* = f$ ;

(8) 
$$v\sigma(v)\ldots\sigma^{n-1}(v)=1 \Leftrightarrow \text{there exists } u\in U(\mathcal{M}) \text{ with } v=u^*\sigma(u).$$

In particular.

(9) 
$$e \sim f \text{ in } \mathcal{M} \Leftrightarrow \epsilon \sim f \text{ in } \mathcal{M}^{\sigma};$$

(10) there exists 
$$u \in U(\mathcal{M})$$
 with  $u^n = 1$  and  $\sigma(u) = \lambda u$ ;

(11) there exists a non-zero projection 
$$p \in \mathcal{M}$$
 with  $\sigma(p)p = 0$ .

*Proof.* We first prove (9). In view of (3) we can consider separately the cases cfinite and e properly infinite in M.

If e is finite, then f and  $e \lor f$  are also finite, so that we may may assume that  $\mathcal{M}$  is finite. Let  $h: \mathcal{M} \to \mathcal{Z}(\mathcal{M})$  be the canonical central trace on  $\mathcal{M}$ . Using (3) it is easy to check that  $h : \mathcal{M}^{\sigma} : \mathcal{M}^{\sigma} \to \mathcal{Z}(\mathcal{M}^{\sigma})$  is the canonical central trace on  $\mathcal{M}^{\sigma}$ . Since  $e \sim f$ in  $\mathcal{M}$ , we have  $e^{4} = f^{4}$ , hence  $e \sim f$  in  $\mathcal{M}^{\sigma}$  also ([L], 7.11, 7.12).

If e is properly infinite in  $\mathcal{M}$ , then so is f and, by the previous Proposition, eand f are also properly infinite in  $\mathcal{M}^{\sigma}$ . Since  $e \sim f$  in  $\mathcal{M}$ , we have z(e) = z(f). Therefore ([L], 4.13)  $e \sim f$  in  $\mathcal{M}^o$ , since  $\mathcal{M}$  is assumed countably decomposable.

We now prove (8). If  $v = u^*\sigma(u)$  with  $u \in U(\mathcal{M})$ , then  $r\sigma(v) \dots \sigma^{n-1}(v) =$  $= u^*\sigma(u)\sigma(u^*)\sigma^2(u)\ldots\sigma^{n-1}(u)^*\sigma^n(u) = u^*\sigma^n(u) = u^*u = 1.$  Conversely, assume that  $v\sigma(v)\ldots\sigma^{n-1}$  (v)=1. Let  $\mathscr{P}=Mat_2(\mathscr{M})=\mathscr{M}\otimes Mat_2(\mathbb{C}),\ V=\begin{pmatrix}1&0\\0&n\end{pmatrix}\in U(\mathscr{P})$ and  $\mathfrak{S} = \mathrm{Ad}(V) \cdot (\sigma \otimes i) \in \mathrm{Aut}(\mathcal{P})$ . Since  $\sigma^n = i$  and  $v\sigma(v) \ldots \sigma^{n-1}(v) = 1$ , we have  $\mathfrak{S}^n = \mathfrak{l}$ . Also, using the results of Sections 16.3, 16.15, 16.16, we get  $\Gamma(\mathfrak{S}) = \Gamma(\sigma \otimes \mathfrak{l})$  $=\Gamma(\sigma)=\{\omega\in\mathbb{C};\,\omega^n=1\}\}$ . Thus,  $\mathfrak S$  is a minimal periodic \*-automorphism. On the other hand, the projections  $\begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}$  and  $\begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix}$  belong to  $\mathcal{P}^3$  and are equivalent in  $\mathcal P$  so that, by (9), they are also equivalent in  $\mathcal P^3$ . A partial isometry implementing this equivalence is necessarily of the form  $\begin{pmatrix} 0 & u \\ 0 & 0 \end{pmatrix}$  with  $u \in U(\mathcal{M})$ ; the fact

that  $\begin{pmatrix} 0 & u \\ 0 & 0 \end{pmatrix}$  is G-invariant means that  $u = \sigma(u)v^*$ , i.e.  $v = u^*\sigma(u)$ .

In particular, for  $v = \lambda \cdot 1_{\mathcal{M}}$  with  $\lambda^{n} = 1$  there exists  $u_{1} \in U(\mathcal{M})$  such that  $\sigma(u_1) = \lambda u_1$ . Then  $u_1^n \in \mathcal{M}^{\sigma}$ , and there exists  $u_0 \in U(\mathcal{M}^{\sigma})$  a Borel function of  $u_1^n$  such that  $u_0^{-n} = u_1^n$ . Since  $u_1^n$  commutes with  $u_1$  and  $u_0$  is a Borel function of  $u_1^n$ , it follows that  $u_0$  commutes with  $u_1$ . Then  $u = u_0 u_1 \in U(\mathcal{M})$ ,  $\sigma(u) = \lambda u$  and  $u^n = 1$ , proving (10) It is now easy to prove (7). If  $e \sim f$  in  $\mathcal{M}$ , then, by (9), there exists  $x \in \mathcal{M}^{\sigma}$  with  $x^*x = e$  and  $xx^* = f$ . Since  $\sigma^e$  is also minimal periodic with minimal period n using (10) we obtain  $u \in \mathcal{M}$  with  $u^*u = uu^* = e$  and  $\sigma(u) = \lambda u$ . Then  $w = xu \in \mathcal{M}$  o(w) =  $\lambda w$  and  $w^*w = e$ ,  $ww^* = f$ .

Finally, we prove (11). Let  $\gamma = \exp{(2\pi i/n)}$ . By (10) there exists  $u \in U(\mathcal{M})$  with  $u^n = 1$  and  $\sigma(u) = \gamma u$ . Since  $u^n = 1$ , the spectral decomposition of u is of the form  $u = \sum_{k=0}^{n-1} \gamma^k p_k$  with  $p_0, \ldots, p_{n-1}$  mutually orthogonal projections in  $\mathcal{M}$  and  $\sum_{k=0}^{n-1} p_k = 1$ . Then  $\sum_{k=0}^{n-1} \gamma^k \sigma(p_k) = \sigma(u) = \gamma u = \sum_{k=0}^{n-1} \gamma^{k+1} p_k$ . Thus, if  $p_k \neq 0$ ,  $\sigma(p_k) = p_{k-1}$  and have

16.18. Notes. The results in this Section are due to Connes [36], [41], [42].

For our exposition we have used [34], [36], and [41]. For the proofs of Proposition 16.17 and Corollary 17.24 (which are not explicit in the literature) the author has benefited from several useful discussions with Apostol and Digernes.

We record the following related references: [121], [139], [175], [179], [180], [184], [186].

## §17. Outer automorphisms

In this Section we derive a canonical decomposition of a \*-automorphism into an inner part and a properly outer part. We give an important characterization of properly outer \*-automorphisms and some applications.

17.1. Proposition. Let  $\mathcal{M}$  be a  $W^*$ -algebra,  $\sigma \in Aut(\mathcal{M})$  and let  $e \in \mathcal{M}^{\sigma}$  be a projection with central support  $p = \mathbf{z}_{\mathcal{M}}(e)$ . If there exists  $u \in U(e\mathcal{M}e)$  such that  $\sigma^e = \mathrm{Ad}(u)$ , then there exists a unique  $v \in U(\mathcal{M}p)$  such that  $\sigma^p = \mathrm{Ad}(v)$  and v = u = ev.

If 
$$a \in \mathcal{M}p$$
,  $ae = u = ea$  and  $ax = \sigma(x)a$  for all  $x \in \mathcal{M}p$ , then  $a = v$ .

*Proof.* Since  $e \in \mathcal{M}^{\sigma}$ , we also have  $z_{\mathcal{M}}(e) \in \mathcal{M}^{\sigma}$ . Thus, without loss of generality, we may assume that  $p = z_{\mathcal{M}}(e) = 1$ .

Let  $\mathcal{M} \subset \mathcal{B}(\mathcal{H})$  be realized as a von Neumann algebra. We recall ([L], 3.9) that  $z_{\mathcal{M}}(e)\mathcal{H} = \overline{\mathcal{M}e\mathcal{H}}$ . For  $x_1, \ldots, x_n \in \mathcal{M}$  and  $\xi_1, \ldots, \xi_n \in \mathcal{H}$  we have

$$\begin{split} \| \sum_{k} \sigma(x_{k}) u \xi_{k} \|^{2} &= \sum_{i,j} (u^{*} \sigma(ex_{j}^{*} x_{i} e) u \xi_{i} | \xi_{j}) \\ &= \sum_{i,j} (ex_{j}^{*} x_{i} e \xi_{i} | \xi_{j}) = \| \sum_{k} x_{k} \xi_{k} \|^{2}. \end{split}$$

Since  $z_{\mathcal{A}}(e) = 1$ , it follows that there exists a unique unitary operator  $v \in \mathcal{B}(\mathcal{X})$  such that

(1) 
$$vxe\xi = \sigma(x)ue\xi \qquad (x \in \mathcal{M}, \ \xi \in \mathcal{H}).$$

It is easy to check that  $v \in U(\mathcal{M}')' = \mathcal{M}$ , and  $v \mid e\mathcal{H}' = u$ . For every  $x, y \in \mathcal{M}$  and  $\xi \in \mathcal{H}$  we have  $vxye\xi = \sigma(xy)ue\xi = \sigma(x)\sigma(y)ue\xi = \sigma(x)vye\xi$ , hence  $vx = \sigma(x)v$ , i.e.  $\sigma = Ad(v)$ .

If  $a \in \mathcal{M}$ , ae = u = ea and  $ax = \sigma(x)a$  for all  $x \in \mathcal{M}$ , then  $v^*a \in \mathcal{Z}(\mathcal{M})$  and  $v^*ae = e$ ; hence  $v^*a = 1$  and a = v.

With the same assumptions as in the Proposition, let  $\{\sigma_i\}_{i\in I} \subset Aut(\mathcal{M})$  and  $\{u_i\}_{i\in I}\subset U(e\mathcal{M}e)$  be two nets with  $\sigma_i^e=\mathrm{Ad}(u_i),\,(i\in I).$  For each  $i\in I$  let  $v_i\in U(\mathcal{M}p)$ be the unique element such that  $\sigma_i^p = Ad(v_i)$  and  $v_i e = u_i = ev_i$ . Then:

(2) 
$$\sigma_i(x) \stackrel{\mathsf{w}}{\to} \sigma(x)$$
 for all  $x \in \mathcal{M}$  and  $u_i \stackrel{\mathsf{w}}{\to} u \Rightarrow v_i \stackrel{\mathsf{w}}{\to} v$ .

Indeed, arguing as above we may assume that p = 1 and  $\mathcal{M} \subset \mathcal{B}(\mathcal{X})$ . For  $x \in \mathcal{M}$  and  $\xi, \eta \in \mathcal{H}$  we have, by (1).

$$(v_i x e \xi \mid \eta) = (\sigma_i(x) u_i e \xi \mid \eta) \to (\sigma(x) u e \xi \mid \eta) = (v x e \xi \mid \eta),$$

hence  $v_i \stackrel{\text{\tiny def}}{\rightarrow} v$ .

17.2. Let  $\mathcal{M}$  be a  $W^*$ -algebra and  $\sigma \in Aut(\mathcal{M})$ . Let  $\{p_i\}_{i \in I} \subset \mathcal{Z}(\mathcal{M})$  be a maximal family of mutually orthogonal projections with the property  $\sigma^{p_i} \in Int(\mathcal{M}p_i)$   $(i \in I)$ , and put  $p(\sigma) = \sum_{i=1}^{n} p_i \in \mathcal{Z}(\mathcal{M})$ . Then it is clear that  $\sigma^{p(\sigma)} \in Int(\mathcal{M}p(\sigma))$  and from Proposition 17.1 it follows that  $p(\sigma)$  is the greatest projection  $e \in \mathcal{M}^{\sigma}$  such that  $\sigma^e \in Int(e\mathcal{M}e)$ .

We call  $p(\sigma)$  the inner part of  $\sigma$ . If  $p(\sigma) = 0$ , then the \*-automorphism  $\sigma$  is

called properly outer.

It is clear that  $p(\sigma^{1-p(\sigma)}) = 0$ . We call  $1 - p(\sigma)$  the properly outer part of  $\sigma$ . Thus:

Corollary. Let  $\mathcal M$  be a  $W^*$ -algebra and  $\sigma \in Aut(\mathcal M)$ . There exist two central projections  $p, q \in \mathcal{Z}(\mathcal{M})$  with p + q = 1, such that  $\sigma^p$  is inner and  $\sigma^q$  is properly outer. pand q are uniquely determined by these conditions.

Since  $p(\sigma)$  is a central projection, it is easy to see that

(1) 
$$p(Ad(u) \circ \sigma) = p(\sigma) = p(\sigma \circ Ad(u))$$
  $(\sigma \in Aut(\mathcal{M}), u \in U(\mathcal{M})).$ 

It follows that

(2) if there exists 
$$0 \neq e \in Proj(\mathcal{M})$$
 and  $u \in U(\mathcal{M})$  such that  $\sigma(x) = uxu^*$  for all  $x \in e\mathcal{M}e$ , then  $p(\sigma) > z_{\mathcal{M}}(e) \neq 0$ 

Indeed, by assumption it follows that  $(Ad(u^*) \circ \sigma)(x) = x$  for all  $x \in eMe$ , hence  $p(\sigma) = p(Ad(u^*) \circ \sigma) > e.$ 

17.3. Let  $\mathcal{M}$  be a  $W^*$ -algebra and  $G \subset Aut(\mathcal{M})$  a subgroup. Let

$$[G] = \{ \sigma \in Aut \ (\mathcal{M}) \colon \bigvee_{g \in G} p(g^{-1} \sigma) = 1 \}.$$

Let  $\sigma \in [G]$  and denote by  $\mathcal{F}(\sigma,G)$  the set of all families of the form  $\{p_i, u_i, g_i\}_{i \in I}$  such that

(1) 
$$\{p_i; i \in I\}$$
 are mutually orthogonal non-zero projections in  $\mathcal{Z}(\mathcal{M})$ ;

$$(2) u_i \in \mathcal{M}, \ u_i^* u_i = u_i u_i^* = p_i;$$

(3) 
$$g_i \in G$$
,  $(g_i^{-1}\sigma)(p_i) = p_i$  and  $(g_i^{-1}\sigma)(x) = u_i x u_i^*$  for all  $x \in \mathcal{M}p_i$ .

The set  $\mathcal{F}(\sigma, G)$  is inductively ordered by inclusion and hence there exists a maximal element  $\{p_i, u_i, g_i\}_{i \in I} \in \mathcal{F}(\sigma, G)$ . Since  $\sigma \in [G]$ , it follows that  $\sum_{i \in I} p_i = 1$ . For  $x \in \mathcal{M}$  we have

(4) 
$$x = \sum_{i \in I} x p_i \text{ and } \sigma(x) = \sum_{i \in I} g_i (u_i x u_i^*).$$

Thus, the maximal element  $\{p_i, u_i, g_i\}_{i \in I}$  of  $\mathcal{F}(\sigma, G)$  determines completely the \*-automorphism  $\sigma \in [G]$ .

It is now easy to check that [G] is a subgroup of  $\operatorname{Aut}(\mathcal{M})$ ,  $G \subset [G]$  and [[G]] = [G]. The group [G] is called the full group associated with G.

17.4. Proposition. Let  $\mathcal{M}$  be a  $W^*$ -algebra and  $\sigma \in Aut(\mathcal{M})$ . Then

(1) 
$$a \in \mathcal{M}, \ ax = \sigma(x)a \ for \ all \ x \in \mathcal{M} \Rightarrow a = ap(\sigma).$$

In particular,  $\sigma$  is properly outer if and only if

(2) 
$$a \in \mathcal{M}, \ ax = \sigma(x)a \ \text{for all } x \in \mathcal{M} \Rightarrow a = 0,$$

and  $\sigma$  is outer, i.e.  $\sigma \notin Int(\mathcal{M})$ , if and only if

(3) 
$$a \in \mathcal{M}, ax = \sigma(x)a \text{ for all } x \in \mathcal{M} \Rightarrow z(a) \neq 1.$$

**Proof.** Let  $a \in \mathcal{M}$  be such that  $ax = \sigma(x)a$  for all  $x \in \mathcal{M}$ . If  $x \in \mathcal{M}$  is unitary, then  $x^*a^* = a^*\sigma(x)^*$ ,  $a^*a = a^*\sigma(x)^*\sigma(x)a = x^*a^*ax$ ,  $aa^* = axx^*a^* = \sigma(x)aa^*\sigma(x)^*$ , hence  $a^*a \in \mathcal{Z}(\mathcal{M})$ ,  $aa^* \in \mathcal{Z}(\mathcal{M})$ ,  $r(a) = s(a^*a) \in \mathcal{Z}(\mathcal{M})$ ,  $1(a) = s(aa^*) \in \mathcal{Z}(\mathcal{M})$ . In particular,  $|a| \in \mathcal{Z}(\mathcal{M})$  and  $r(a) = 1(a) = z(a) = p \in \mathcal{Z}(\mathcal{M})$ . Also,  $pa = ap = \sigma(p)a$ , hence  $(p - \sigma(p))p = 0$  and  $\sigma(p) = p$ , i.e.  $p \in \mathcal{Z}(\mathcal{M})^{\sigma}$ .

Let  $a = u \mid a \mid$  be the polar decomposition of a. Then  $u^*u = uu^* = p$  and for  $x \in \mathcal{M}p$  we have  $ux \mid a \mid = u \mid a \mid x = ax = \sigma(x) \ a = \sigma(x) \ u \mid a \mid$ , hence  $ux = uxp = \sigma(x)up = \sigma(x)pu = \sigma(xp)u = \sigma(x)u$ , that is  $\sigma^p = \mathrm{Ad}(u)$ . Consequently,  $p \leq p(\sigma)$  and  $a = ap = ap(\sigma)$ .

If  $\sigma$  is properly outer, i.e.  $p(\sigma) = 0$ , the (2) follows from (1). Conversely, if  $p = p(\sigma) \neq 0$  and  $u \in \mathcal{M}$ ,  $u^*u = uu^* = p$ ,  $\sigma^p = \mathrm{Ad}(u)$ , it follows from (2) that u = 0, a contradiction.

If  $\sigma \notin Int(\mathcal{M})$ , then  $p(\sigma) \neq 1$  and (3) follows from (1). Conversely, if  $\sigma \in Int(\mathcal{M})$  and  $u \in U(\mathcal{M})$ ,  $\sigma = Ad(u)$ , it follows from (3) that  $1 = z(u) \neq 1$ , a contradiction.

The main assertion (1) is obviously equivalent to

(4) 
$$p(\sigma) = \bigvee \{z(a) \quad a \in \mathcal{M}, \ ax = \sigma(x)a \text{ for all } x \in \mathcal{M}\}.$$

An element  $a \in \mathcal{M}$  such that  $ax = \sigma(x)a$  for all  $x \in \mathcal{M}$  will be called a  $\sigma$ -dependent element.

The above proof shows that if  $a \in \mathcal{M}$  is  $\sigma$ -dependent and a = u|a| is its polar decomposition, then

(5) 
$$|a| = |a^*| \in \mathcal{Z}(\mathcal{M})^{\sigma}, \quad l(a) = \mathbf{r}(a) = \mathbf{z}(a) \in \mathcal{Z}(\mathcal{M})^{\sigma},$$

(6) 
$$u^*u = uu^* = z(a) \text{ and } \sigma(x) = uxu^* \ (x \in \mathcal{M}z(a)).$$

It is easy to check that, for  $a \in \mathcal{M}$ , we have

(7) 
$$a \text{ is } \sigma\text{-dependent} \Leftrightarrow a^* \text{ is } \sigma^{-1}\text{-dependent}.$$

Note that all the above statements remain valid if we replace the conditions of the form  $ax = \sigma(x)a$  by  $xa = a\sigma(x)$ , the only change appearing in (6), where u must be replaced by  $u^*$ .

17.5. Let  $\mathcal{Z}$  be an abelian  $W^*$ -algebra and  $\sigma \in Aut(\mathcal{Z})$ . In this case  $p(\sigma)$  is the greatest projection  $p \in \mathcal{Z}$  such that  $\sigma^p = \iota$  the identity mapping on  $\mathcal{Z}p$ . We shall say that  $\sigma$  acts freely on  $\mathcal{Z}$  if for every non-zero projection  $p \in \mathcal{Z}$  there exists a non-zero projection  $q \in \mathcal{Z}$ ,  $q \leq p$ , such that  $q\sigma(q) = 0$ . The next Proposition shows, in particular, that  $\sigma$  acts freely on  $\mathcal{Z}$  if and only if  $p(\sigma) = 0$ .

**Proposition.** Let  $\mathcal{M}$  be a  $W^*$ -algebra and  $\sigma \in Aut(\mathcal{M})$ . The following statements are equivalent:

- (i)  $p(\sigma \mid \mathcal{Z}(\mathcal{M})) = 0$ ;
- (ii)  $\sigma$  acts freely on  $\mathcal{Z}(\mathcal{M})$ ;
- (iii)  $a \in \mathcal{M}$  and  $az = \sigma(z)a$  for all  $z \in \mathcal{Z}(\mathcal{M}) \Rightarrow a = 0$ .

In particular, if  $\sigma$  acts freely on  $\mathcal{Z}(\mathcal{M})$ , then  $\sigma$  is properly outer.

Proof. (i)  $\Rightarrow$  (ii). Let  $p \in \mathcal{Z}(\mathcal{M})$  be a non-zero projection. We first show that there exists a projection  $r \in \mathcal{Z}(\mathcal{M})$  with  $\sigma(r) \neq r$ . Otherwise, for every projection  $z \in \mathcal{Z}(\mathcal{M})$  we have  $pz = \sigma(pz) = \sigma(p)\sigma(z) = p\sigma(z) = \sigma(z)p$  and the identity  $pz = \sigma(z)p$  holds for any  $z \in \mathcal{Z}(\mathcal{M})$ ; this, by (i) and 17.4.(2), implies that p = 0, a contradiction. Thus, there exists a projection  $r \in \mathcal{Z}(\mathcal{M})$  with  $r \leq p$  and  $\sigma(r) \neq r$ . If  $q = r - r\sigma(r) \neq 0$ , then we have  $0 \neq q \leq r \leq p$  and  $q\sigma(q) = 0$ . If  $r = r\sigma(r)$ , then  $r \leq \sigma(r)$ ,  $\sigma^{-1}(r) \leq r$ , hence  $q' = r - \sigma^{-1}(r) \neq 0$ ,  $q' \leq r \leq p$  and  $q'\sigma(q') = 0$ .

(ii)  $\Rightarrow$  (iii). Let  $a \in \mathcal{M}$  be such that  $az = \sigma(z)a$  for all  $z \in \mathcal{Z}(\mathcal{M})$ , and suppose that  $p = \mathbf{z}(a) \in \mathcal{Z}(\mathcal{M})$ . If  $a \neq 0$ , then, by (ii), there exists a non-zero projection  $q \in \mathcal{Z}(\mathcal{M})$ ,  $q \leq p$ , with  $q\sigma(q) = 0$ ; it follows that  $aq = \sigma(q)a = 0$ , hence  $0 \neq q = qp = 0$ , a contradiction. Thus, a = 0.

(iii) ⇒ (i). Obvious.

**17.6. Proposition.** Let  $\mathcal{M}$ ,  $\mathcal{N}$  be  $W^*$ -algebras,  $\sigma \in Aut(\mathcal{M})$ ,  $\tau \in Aut(\mathcal{N})$ . Then

(1) 
$$p(\sigma \otimes \tau) = p(\sigma) \otimes p(\tau).$$

In particular,

(2) 
$$\sigma \otimes \tau$$
 is properly outer  $\Leftrightarrow$  either  $\sigma$  or  $\tau$  is properly outer.

(3) 
$$\sigma \boxtimes \tau \in Int(\mathcal{M} \boxtimes \mathcal{N}) \Leftrightarrow \sigma \in Int(\mathcal{M}) \text{ and } \tau \in Int(\mathcal{N}).$$

*Proof.* It is clear that if  $\sigma = \operatorname{Ad}(u)$  and  $\tau = \operatorname{Ad}(v)$  with  $u \in U(\mathcal{M})$  and  $v \in U(\mathcal{N})$ , then  $\sigma \otimes \tau = \operatorname{Ad}(u \otimes v)$  with  $u \otimes v \in U(\mathcal{M} \otimes \mathcal{N})$ . Hence  $p(\sigma \otimes \tau) \geqslant p(\sigma) \otimes p(\tau)$ .

Conversely, let  $a \in \mathcal{M} \otimes \mathcal{N}$  be such that  $az = (\sigma \otimes \tau)(z)a$  for all  $z \in \mathcal{M} \otimes \mathcal{N}$ . Let  $\psi \in \mathcal{N}_*$  and  $x \in \mathcal{M}$ . We have (9.8)

$$E_{\mathcal{M}}^{\mathbf{v}}(a)x = E_{\mathcal{M}}^{\mathbf{v}}(a(x \otimes 1)) = E_{\mathcal{M}}^{\mathbf{v}}((\sigma(x) \otimes 1)a) = \sigma(x)E_{\mathcal{M}}^{\mathbf{v}}(a).$$

Using Proposition 17.4. (1), we infer that

$$0 = E_{\mathcal{M}}^{\mathbf{w}}(a) - E_{\mathcal{M}}^{\mathbf{w}}(a)p(\sigma) = E_{\mathcal{M}}^{\mathbf{w}}(a((1 - p(\sigma)) \otimes 1)).$$

Since  $\psi \in \mathcal{N}_{*}$ , was arbitrary, it follows (9.8.(3)) that  $a = a(p(\sigma) \otimes 1)$ . Similarly, we get  $\underline{a} = a(1 \otimes p(\tau))$ . Hence  $a = a(p(\sigma) \otimes p(\tau))$ . Using 17.4.(4), we infer that  $p(\sigma \otimes \tau) \leq p(\sigma) \otimes p(\tau)$ . We have thus proved (1).

Equations (2) and (3) follow immediately from (1).

17.7. Let  $\sigma: G \to Aut(\mathcal{M})$  be an action of the discrete group G on the  $W^*$ -algebra  $\mathcal{M}$ . We shall say that the action  $\sigma$  is properly outer if for every  $t \in G$ ,  $t \neq$  the neutral element of G, the \*-automorphism  $\sigma_t \in Aut(\mathcal{M})$  is properly outer. We recall that the action is called *ergodic* if  $\mathcal{M}^{\sigma} = \mathbb{C} \cdot 1_{\mathcal{M}}$ .

Every \*-automorphism  $\sigma \in Aut(\mathcal{M})$  defines an action  $\sigma \colon \mathbb{Z} \ni n \mapsto \sigma^n \in Aut(\mathcal{M})$ . Clearly, the action  $\sigma$  is ergodic if and only if the \*-automorphism  $\sigma$  is ergodic. We shall say that the \*-automorphism is aperiodic if the action  $\sigma$  is properly outer, i.e. if  $p(\sigma^n) = 0$  for all  $n \in \mathbb{Z}$ ,  $n \neq 0$ .

**Proposition.** Let  $\mathcal{M}$  be a  $W^*$ -algebra without minimal projections and  $\sigma \in Aut(\mathcal{M})$ . If  $\sigma$  is ergodic, then  $\sigma$  is aperiodic.

**Proof.** The set of all states  $\{\varphi \in \mathcal{M}_*; \varphi > 0, \varphi(1) = 1\}$  on  $\mathcal{M}$  is a  $\sigma(\mathcal{M}^*, \mathcal{M})$ -compact convex subset of  $\mathcal{M}^*$ , invariant under the transformations  $\varphi \mapsto \varphi \circ \sigma^*$ ,  $(n \in \mathbb{Z})$ . By the Markov-Kakutani fixed point theorem ([L], A.1) it follows that there exists a state  $\varphi$  on  $\mathcal{M}$  such that  $\varphi \circ \sigma = \varphi$ .

Let  $n \in \mathbb{Z}$ , n > 0, be such that  $p = p(\sigma^n) \neq 0$ . There exists  $u \in \mathcal{M}$ ,  $u^*u = uu^* = p$  such that  $\sigma^n(px) = upxu^*$   $(x \in \mathcal{M})$ . Then  $v = u + (1 - p) \in U(\mathcal{M})$  and  $\sigma^n(px) = vpxv^*(x \in \mathcal{M})$ . Let  $\mathcal{A}$  be a maximal abelian \*-subalgebra of  $\mathcal{M}$  containing v. For every  $a \in \mathcal{A}$  we have  $\sigma^n(pa) = pa$ .

It is easy to check that every minimal projection of  $\mathscr A$  is also a minimal projection of  $\mathscr M$  so that, by assumption,  $\mathscr A$  has no minimal projections. Thus there exists a non-zero projection  $q \in \mathscr A$ ,  $q \le p$ , such that  $\varphi(q) < 1/n$ . Since  $\sigma^n(q) = q$ , we have  $a = q + \sigma(q) + \ldots + \sigma^{n-1}(q) \in \mathscr M^{\sigma}$ . Since  $\sigma$  is ergodic, there exists  $\lambda \in \mathbb C$  with  $a = \lambda \cdot 1_{\mathscr M}$ . We have  $a \ge q$  hence  $\lambda \ge 1$ . On the other hand,  $\lambda = \varphi(a) = \varphi(q) + \varphi(\sigma(q)) + \ldots + \varphi(\sigma^{n-1}(q)) < n/n = 1$ , a contradiction,

Hence  $p(\sigma^n) = 0$  for all  $n \in \mathbb{Z}$ ,  $n \neq 0$ .

17.8. Let  $\mathcal{M}$  be a  $W^*$ -algebra and  $G \hookrightarrow Aut(\mathcal{M})$  an ergodic action of the discrete group G on  $\mathcal{M}$ . Then

(1) 
$$\sigma \in Aut(\mathcal{M}), \ \sigma g = g\sigma \ \text{ for all } g \in G \Rightarrow either \ p(\sigma) = 0 \ \text{ or } p(\sigma) = 1$$

since it follows from the assumption that  $p(\sigma)$  is G-invariant.

Assume moreover that G is commutative and let  $i \in G$  be the neutral element of G. Then from (1) it follows that

(2) 
$$g \notin Int(\mathcal{M})$$
 for all  $g \in G$ ,  $g \neq i \Rightarrow p(g) = 0$  for all  $g \in G$ ,  $g \neq i$ . Also,

(4) 
$$\sigma \in [G]$$
,  $\sigma g = g\sigma$  for all  $g \in G \Rightarrow$  there exists  $g \in G$  with  $g^{-1}\sigma \in Int(\mathcal{M})$ .

Indeed, we have  $(g^{-1}\sigma)h = h(g^{-1}\sigma)$  for all  $g, h \in G$  so, by (1), either  $p(g^{-1}\sigma) = 0$  for all  $g \in G$ , or  $p(g^{-1}\sigma) = 1$  for some  $g \in G$ . Since  $\sigma \in [G]$ , the desired conclusion follows.

Finally, if the  $W^*$ -algebra  $\mathcal{M}$  is commutative, it follows from (3) that

(4) 
$$\sigma \in [G], \ \sigma g = g\sigma \ for \ all \ g \in G \Rightarrow \sigma \in G,$$

i.e. G is "maximal commutative" in [G].

17.9. The next Theorem is a remarkable non-commutative extension of the equivalence (i) ⇔ (ii) of Proposition 17.5.

Theorem. (A. Connes). Let  $\mathcal{M}$  be a countably decomposable  $W^*$ -algebra and  $\sigma \in Aut(\mathcal{M})$ . Then  $p(\sigma)$  is the smallest central projection p in  $\mathcal{M}$  with the following:

property:

(1) for every non-zero projection  $e \in \mathcal{M}$ ,  $e \leqslant 1 - p$  and every  $\varepsilon > 0$  there exists a non-zero projection  $f \in \mathcal{M}$ ,  $f \leqslant e$ , such that  $||f\sigma(f)|| < \varepsilon$ .

In particular,  $\sigma$  is properly outer if and only if

(2) for every non-zero projection  $e \in \mathcal{M}$  and every  $\varepsilon > 0$  there exists a non-zero projection  $f \in \mathcal{M}$ ,  $f \leq e$ , such that  $||f\sigma(f)|| < \varepsilon$ .

Also, if  $\sigma \notin Int(\mathcal{M})$ , then

(3) Jor every  $\varepsilon > 0$  there exists a non-zero projection  $f \in \mathcal{M}$  such that  $||f\sigma(f)|| < \varepsilon$ .

The proof is contained in Sections 17.10—17.16, which are also of independent interest.

17.10. In this Section we show that if a central projection  $p \in \mathcal{Z}(\mathcal{M})$  satisfies condition 17.9.(1), then  $p \ge p(\sigma)$ .

If  $p \not \geq p(\sigma)$ , then  $0 \neq q = p(\sigma) - p(\sigma) p \leqslant 1 - p$  and there exists  $u \in \mathcal{M}$ ,  $u^*u = uu^* = q$  such that  $\sigma(x) = uxu^*$  for all  $x \in \mathcal{M}q$ . There exists  $\lambda \in \mathbb{C}$ ,  $|\lambda| = 1$  and a non-zero spectral projection  $e \in \mathcal{M}q$  of u such that  $||ue - \lambda e|| \leqslant 1/4$ . Since  $e \in \mathcal{M}^{\sigma}$ , it follows that  $\sigma^{\sigma} = \operatorname{Ad}(ue) \in \operatorname{Aut}(e\mathcal{M}e)$  and  $||\sigma^{\sigma} - \iota|| \leqslant 1/2$ . Then for every projection  $f \in \mathcal{M}$ ,  $f \leqslant e$ , we have  $||\sigma(f) - f|| \leqslant 1/2$ . For  $f \neq 0$  we get

$$\begin{split} 2\|f\sigma(f)\| &> \|f\sigma(f) - \sigma(f)f\| = \|(\sigma(f) + f) - (\sigma(f) - f)^2\| \\ &> \|\sigma(f) + f\| - \|(\sigma(f) - f)^2\| > \|f\| - \|\sigma(f) - f\|^2 > 1 - \frac{1}{4} = \frac{3}{4} \end{split}$$

that is  $||f\sigma(f)|| > 3/8$ , contradicting 17.9.(1).

17.11. In order to complete the proof of Theorem 17.9, we still have to show that  $p(\sigma)$  satisfies condition 17.9.(1). To this end we shall first prove (17.11—17.14) that every outer \*-automorphism  $\sigma \in Aut(\mathcal{M})$  satisfies condition 17.9.(3).

If  $\sigma$  does not act identically on  $\mathcal{Z}(\mathcal{M})$ , i.e. if  $p(\sigma | \mathcal{Z}(\mathcal{M})) \neq 1$ , then 17.9.(3) follows obviously from Proposition 17.5.

Therefore, we shall assume that  $\sigma$  acts identically on  $\mathcal{Z}(\mathcal{M})$ . Then a standard maximality argument shows that for each  $n \in \mathbb{Z}$ , n > 1, there exists a greatest central projection  $p_n(\sigma) \in \mathcal{Z}(\mathcal{M})$  such that the following statement concerning  $0 \neq p \in Proj(\mathcal{Z}(\mathcal{M}))$  and  $1 \leq k \in \mathbb{Z}$ :

(1) there exists  $u \in \mathcal{M}^{\sigma}$ ,  $u^*u=uu^*=p$ , such that  $\sigma^k(x)=uxu^*$  for all  $x \in \mathcal{M}p$ 

is true for  $p = p_a(\sigma)$  when k = n, but false for every  $p \le p_a(\sigma)$  when k < n.

The projections  $\{p_n(\sigma)\}_{n\geq 1}$  are mutually orthogonal and

(2) 
$$\sum_{n\geq 1} p_n(\sigma) = \bigvee_{n\geq 1} p(\sigma^n).$$

More precisely, we shall show that

(3) 
$$p(\sigma^n) \leqslant \sum_{k=1}^{n} p_k(\sigma) \qquad (n > 1).$$

Indeed, let  $p = p(\sigma^n)$  and let  $v \in \mathcal{M}$ ,  $v^*v = vv^* = p$ , such that  $\sigma^n(x) = vxv^*$ ,  $(x \in \mathcal{M}p)$ . For  $x \in \mathcal{M}p$  we have  $\sigma(v)x\sigma(v)^* = \sigma(v\sigma^{-1}(x)v^*) = \sigma(\sigma^n(\sigma^{-1}(x))) = \sigma^n(x) = vxv^*$ , hence  $v^*\sigma(v) = z \in \mathcal{Z}(\mathcal{M}p)$ . Since  $v = \sigma^n(v) = z^nv$ , it follows that  $z^n = p$ . Therefore  $\sigma(v^n) = \sigma(v)^n = z^nv^n = v^n$  and  $\sigma^{n}(x) = (v^n)x(v^n)^*$  for all  $x \in \mathcal{M}p$ . This proves (3) and hence also (2).

Note that  $\sigma \in Int(\mathcal{M}) \Leftrightarrow p_1(\sigma) = 1$ . So, in order to prove that  $\sigma \notin Int(\mathcal{M}) \Rightarrow 17.9.(3)$ , we distinguish two cases: either

(a) there exists 
$$n \in \mathbb{Z}$$
,  $n > 2$ , such that  $p_n(\sigma) = 1$ 

or  $p_n(\sigma) = 0$  for all n > 1, that is, by (3),

(b) 
$$\sigma \in Aut(\mathcal{M})$$
 is aperiodic.

17.12. In each of the cases 17.11.(a) and 17.11.(b) we now compute the invariant  $\Gamma(\sigma) \subset \widehat{\mathbb{Z}} = \mathbb{T} = \{ \gamma \in \mathbb{C}; |\gamma| = 1 \}$  of the action  $\sigma: \mathbb{Z} \ni n \mapsto \gamma^n \in Aut(\mathcal{M})$ . If  $\mathcal{M}$  is a factor, it is easy to see, using Theorem 16.5, that

(1) in case 17.11.(a) we have 
$$\Gamma(\sigma) = (n\mathbb{Z})^1 = \{ \gamma \in \mathbb{C}; \ \gamma^n = 1 \}$$
,

(2) in case 17.11.(b) we have 
$$\Gamma(\sigma) = \{0\}^{\perp} = \{\gamma \in \mathbb{C}; |\gamma| = 1\}.$$

Statements (1) and (2) are true in general, without the assumption that  $\mathcal{M}$  is a factor. However, in proving the general case we shall use another characterization of invariant  $\Gamma(\sigma)$  and some elementary results concerning crossed products by discrete groups, which are given in Sections 21.1 and 22.6.

By Theorem 21.1,  $\Gamma(\sigma)$  is the kernel of the restriction of the dual action  $\hat{\sigma}$  of  $\mathbb{T} = \widehat{\mathbb{Z}}$  to the centre  $\mathscr{L}(\mathcal{A}(\mathcal{M}, \sigma))$  of the crossed product  $\mathscr{R}(\mathcal{M}, \sigma)$ . An arbitrary element  $X \in \mathscr{R}(\mathcal{M}, \sigma)$  is of the form (22.1)

(3) 
$$X = \sum_{k \in \mathbb{Z}} \pi_{\sigma}(a(k)) \ (1 \ \overline{\otimes} \ \lambda(k))$$

with  $a(k) \in \mathcal{M} (k \in \mathbb{Z})$ , and for every  $\gamma \in \mathbb{T}$  we have (19.3)

(4) 
$$\hat{\sigma}_{\gamma}(X) = \sum_{k \in \mathbb{Z}} \gamma^{k} \pi_{\sigma}(a(k)) (1 \otimes \lambda(k)).$$

By Theorem 22.6 we have  $X \in \mathcal{Z}(\mathcal{R}(\mathcal{M}, \sigma))$  if and only if for every  $k \in \mathbb{Z}$ .

(5) 
$$a(k) \in \mathcal{M}^{\sigma} \text{ and } a(k) \sigma^{k}(x) = xa(k) \text{ for } x \in \mathcal{M}.$$

In case 17.11.(b) we have  $p(\sigma^k) = 0$  for all  $k \neq 0$  so, using (5) and 17.4.(2), it follows that a(k) = 0 for all  $k \neq 0$  and  $a(0) \in \mathcal{Z}(\mathcal{M})^{\sigma}$ . Thus, in this case we have  $\mathcal{Z}(\mathcal{R}(\mathcal{M}, \sigma)) = \pi_{\sigma}(\mathcal{Z}(\mathcal{M})^{\sigma})$  and so the restriction of the dual action to  $\mathcal{Z}(\mathcal{R}(\mathcal{M}, \sigma))$  is just the trivial action, that is its kernel is the whole dual group  $\hat{\mathbb{Z}} = \mathbb{T}$ ; hence  $\Gamma(\sigma) = \{ \gamma \in \mathbb{C}; |\gamma| = 1 \}$ .

Consider now case 17.11.(a). For each  $k \in \mathbb{Z}$ ,  $a(k)^* \in \mathcal{M}$  is a  $\sigma^k$ -dependent element, so that (17.4.(5), 17.4.(6)) the partial isometry appearing in the polar decomposition of  $a(k)^*$  is  $\sigma$ -invariant and implements the restriction of  $\sigma^k$  to the (central) support of  $a(k)^*$ . It follows from condition 17.11.(a) that

$$a(k) \neq 0 \Rightarrow k \in n \mathbb{Z}$$
.

From 17.11.(a) it also follows that there exists a unitary element  $u \in \mathcal{M}^{\sigma}$  such that  $\sigma^{n} = \mathrm{Ad}(u)$ . Then  $\mathscr{L}(\mathcal{R}(\mathcal{M}, \sigma))$  consists of all elements of the form

$$X = \sum_{m \in \mathbb{Z}} \pi_{\sigma}(z_m u^{-m}) (1 \otimes \lambda(nm))$$

with  $z_m \in \mathcal{Z}(\mathcal{M})$   $(m \in \mathbb{Z})$ , and for every  $\gamma \in \mathbb{T}$  we have

$$\widehat{\sigma}_{\gamma}(X) = \sum_{m \in \mathbb{Z}} \gamma^{nm} \pi_{\sigma}(z_m u^{-m}) (1 \otimes \lambda(nm)).$$

Consequently,  $\hat{\sigma}_{\gamma}(X) = X$  for  $X \in \mathcal{Z}(\mathcal{R}(\mathcal{M}, \sigma))$  if and only if  $\gamma^n = 1$ , that is  $\Gamma(\tau) = \{ \gamma \in \mathbb{C}; \ \gamma^n = 1 \}.$ 

17.13. We show that 17.9.(3) holds true in case 17.11.(b). In this case, by 16.1.(1) and 17.12.(2), we have  $Sp \sigma = \Gamma(\sigma) = \{ \gamma \in \mathbb{C}; |\gamma| = 1 \}$ , so that it is sufficient to prove the following

Lemma. Let  $\sigma \in Aut(\mathcal{M})$  be such that  $-1 \in Sp\ \sigma$ . Then, for every  $\varepsilon > 0$  there exists a non-zero projection  $f \in \mathcal{M}$  such that  $||f\sigma(f)|| \leq \varepsilon$ .

*Proof.* Since  $-1 \in Sp \ \sigma$ , there exists, by Proposition 14.5,  $x \in \mathcal{M}$ , ||x|| = 1, such that  $||\sigma(x) + x|| \le \varepsilon/4 = \delta$ . Write x = b + ic with  $b = b^* \in \mathcal{M}$ ,  $c = c^* \in \mathcal{M}$ . Then ||b|| + ||c|| > 1,  $||\sigma(b) + b|| \le \delta$ ,  $||\sigma(c) + c|| \le \delta$ . Therefore we may assume that ||b|| > 1/2 and then, putting  $a = \pm b/||b||$ , we have

$$a = a^* \in \mathcal{M}, ||a|| = 1, 1 \in Sp(a), ||\sigma(a) + a|| \le 2\delta.$$

Since  $1 \in Sp(a)$ , we have  $f = \chi_{[1-\delta,1]}(a) \neq 0$  and  $af > (1-\delta)f$ .

Consider  $\mathcal{M} \subset \mathcal{B}(\mathcal{H})$  realized as a standard von Neumann algebra; then ([L], 10.15) there exists a unitary operator  $v \in \mathcal{B}(\mathcal{H})$  such that  $\sigma = \mathrm{Ad}(v)|\mathcal{M}$ . For every  $\xi \in f\mathcal{H}$ ,  $\|\xi\| = 1$ , we have

$$||a\xi - \xi||^2 = ||(af - f)\xi||^2 = \omega_{\xi}((af - f)^2) = \omega_{\xi}(a^2f - 2af + f)$$

$$\leq \omega_{\xi}(af - 2af + f) = \omega_{\xi}(f - af) \leq \omega_{\xi}(f - (1 - \delta)f) = \delta.$$

Then  $\sigma(f)\mathcal{H} = vfv^*\mathcal{H} = vf\mathcal{H}$  and, for  $\eta = v\xi \in \sigma(f)\mathcal{H}$ , we have

$$\|\sigma(a) \eta - \eta\| = \|vav^*\eta - \eta\| = \|va\xi - v\xi\| = \|a\xi - \xi\| \le \delta,$$

$$\|a\eta + \eta\| = \|(a\eta + \sigma(a) \eta) + (\eta - \sigma(a) \eta)\|$$

$$\le \|a + \sigma(a)\| \|\eta\| + \|\eta - \sigma(a) \eta\| \le 3\delta.$$

Consequently, for  $\xi \in f\mathcal{H}$ ,  $||\xi|| = 1$ , and  $\eta \in \sigma(f)\mathcal{H}$ ,  $||\eta|| = 1$ , we get

$$|(\xi|\eta)-(a\xi|\eta)|\leqslant \delta, \quad |(\xi|a\eta)+(\xi|\eta)|\leqslant 3\delta,$$

and hence  $|(\xi|\eta)| \le 4\delta = \varepsilon$ . Therefore  $||f\sigma(f)|| \le \varepsilon$ .

17.14. Let us show that statement 17.9.(3) holds also in case 17.11.(a). In this case we have (17.11, 17.12)

$$\Gamma(\sigma) = \{ \gamma \in \mathbb{C}; \ \gamma^n = 1 \} \text{ and } \sigma^n = \operatorname{Ad}(u) \text{ with } u \in U(\mathcal{M}^{\sigma}).$$

Since  $u \in U(\mathcal{M}^{\sigma})$ , there exists  $v \in U(\mathcal{M}^{\sigma})$  such that  $v^{n} = u$ . Then  $\tau = \mathrm{Ad}(v^{\bullet}) \circ \sigma \in \mathcal{A}ut(\mathcal{M})$  and, since  $v \in \mathcal{M}^{\sigma}$  and  $v^{n} = u$ , we have  $\tau^{n} = \mathrm{Ad}(v^{\bullet})^{n} \circ \sigma^{n} = \iota$ . Note that the corresponding actions  $\sigma$  and  $\tau$  of  $\mathbb{Z}$  on  $\mathcal{M}$  are outer conjugate (16.15) and hence, by Proposition 16.3,  $\Gamma(\sigma) = \Gamma(\tau)$ .

Let  $\varepsilon > 0$ . There exist  $\lambda \in \mathbb{C}$ ,  $|\lambda| = 1$ , and a non-zero spectral projection  $e \in \mathcal{M}^{\sigma} \cap \mathcal{M}^{\tau}$  of v such that  $||ve - \lambda e|| \le \varepsilon/2$ . Then

$$||\sigma^{\epsilon} - \tau^{\epsilon}|| \leq \epsilon.$$

On the other hand, it is clear that  $(\tau^e)^n = \iota$  and (16.1.(4))  $\Gamma(\tau^e) \supset \Gamma(\tau) = \Gamma(\sigma) = \{ \gamma \in \mathbb{C}; \ \gamma^n = 1 \}$ , so that  $\tau^e$  is minimal periodic. By Corollary 16.17.(11) there exists a non-zero projection  $f \in \mathcal{M}$ ,  $f \leq e$ , such that  $f\tau(f) = 0$ .

Finally, using (1), we obtain  $||f\sigma(f)|| \le \varepsilon$ .

17.15. Before completing the proof of Theorem 17.9 we shall review some elementary facts about the "relative position" of two projections.

Let  $\mathcal{H}$  be a Hilbert space, e and f two projections in  $\mathcal{B}(\mathcal{H})$ ,  $\mathcal{M} = \mathcal{R}\{e, f\} \subset \mathcal{B}(\mathcal{H})$  the von Neumann algebra generated by e, f, and let

$$s(e,f) = |e-f| \in \mathcal{M}, \quad c(e,f) = |e \vee f - e - f| \in \mathcal{M}.$$

It is clear that

(1) e and f are abelian projections in 
$$\mathcal{M}$$

since  $eMe = \Re\{e, efe\}$  and  $fMf = \Re\{f, fef\}$ . Also,

$$p = e \wedge f + (1 - e) \wedge f + e \wedge (1 - f) + (1 - e) \wedge (1 - f) \text{ is the greatest projection } q \in \mathcal{Z}(\mathcal{M}) \text{ such that } \mathcal{M}q \text{ is abelian and } \mathcal{M}(1 - p)$$
 is of type  $I_2$ 

Indeed, p is clearly a projection, p is central since it commutes with e and f, and  $\mathcal{M}p = \mathcal{R}\{ep, fp\}$  is abelian as  $epfp = e \land f = fpep$ . If  $q \in \mathcal{M}$  is a central projection and  $\mathcal{M}q$  is abelian, then eq commutes with fq and it follows that pq = q, i.e.  $q \leq p$ . If p = 0, then  $e \lor f = (1 - e) \lor f = e \lor (1 - f) = (1 - e) \lor (1 - f) = 1$  and, using the parallelogram law ([L], 4.4), we deduce that  $e = 1 - (1 - e) = (1 - e) \lor f - (1 - e) \sim f - (1 - e) \land f = f$  and  $1 - e = e \lor f - e \land f = f$ ; hence e and 1 - e are equivalent abelian projections in  $\mathcal{M}$ , which means that in this case  $\mathcal{M}$  is of type  $I_2$ .

On the other hand, we have  $s(e, f)^2 = e + f - ef - fe$ ,  $c(e, f)^2 = e \vee f - e - f + ef + fe$ , hence

(3) 
$$s(e,f)^2 + c(e,f)^2 = e \vee f$$
.

We have  $ec(e, f)^2 = efe = c(e, f)^2e$ , hence c(e, f) commutes with e and f:

(4) 
$$s(e,f) \in \mathcal{Z}(\mathcal{M}), c(e,f) \in \mathcal{Z}(\mathcal{M}).$$

Since  $e \lor f \in \mathcal{Z}(\mathcal{M})$ , we have

$$\mathbf{z}_{\mathcal{A}}(e) \,\,\vee\,\, \mathbf{z}_{\mathcal{A}}(f) = e \,\,\vee\, f.$$

Also,

(6) 
$$s_{\mathcal{M}}(c(e,f)) \leq z_{\mathcal{M}}(e) z_{\mathcal{M}}(f).$$

Indeed, let  $q \in \mathcal{Z}(\mathcal{M})$  be a projection such that qe = e. Then  $e \vee f - f > q(e \vee f - f) \sim q(e - e \wedge f) = e - e \wedge f \sim e \vee f - f$ , hence  $q(e \vee f - f) = e \vee f - f$ , since by (2)  $\mathcal{M}$  is finite. Therefore,  $q(e, f)^2 = q(e \vee f - f - e + ef + fe) = e \vee f - f - e + ef + fe = c(e, f)^2$ , so that q(e, f) = c(e, f). Thus,  $s_{\mathcal{M}}(c(e, f)) \leq s_{\mathcal{M}}(e)$  and, similarly,  $s_{\mathcal{M}}(c(e, f)) \leq s_{\mathcal{M}}(f)$ .

We now prove that

(7) if 
$$s_{\mathcal{A}}(c(e,f)) = e \vee f$$
 and  $e \vee f - (e+f) = u$   $c(e,f)$  is the polar decomposition, then  $u = u^*$ ,  $u^2 = e \vee f$ ,  $ueu = f$ ,  $ufu = e$ .

Indeed,  $e \lor f - (e + f)$  is self-adjoint, hence  $u = u^*$ . Also,  $u^* = u^*u = s_{\mathcal{A}}(c(e, f)) = e \lor f$ . Since  $e \lor f = s_{\mathcal{A}}(c(e, f)) = s_{\mathcal{A}}(e \lor f - e - f)$ , we have  $s_{\mathcal{A}}(efe) = e$ ,  $s_{\mathcal{A}}(fef) = f$ , for if  $q \in \mathcal{M}$  is a projection with  $q \leqslant e$ , efeq = 0, then  $q \leqslant e \lor f$ , eq = e, fq = 0, so that  $(e \lor f - e - f) q = 0$  and hence q = 0. We have  $e \lor f - ueu - ufu = u(e \lor f - e - f) u = uu \cdot c(e, f) u = e \lor f - e - f$ , hence ueu + ufu = e + f. Finally,  $ueu \geqslant ue \cdot c(e, f)^*eu = (e \lor f - e - f)e(e \lor f - e - f) = efef$ , hence  $ueu \geqslant s_{\mathcal{A}}(fef) = f$ . Similarly,  $ufu \geqslant e$ . Thus ueu = f and ufu = e. Note that

(8) 
$$||s(e,f)|| = ||e-f||, ||c(e,f)|| = ||ef||.$$

The first identity is obvious. Since  $ec(e, f)^2 e = efe$ , we have ||ec(e, f)|| = ||ef||. Using (6) and the fact that any induction by a projection with central support equal to 1 is a \*-isomorphism ([L], 3.14), it follows that ||c(e, f)|| = ||ec(e, f)|| = ||ef||. For  $\lambda > 0$  we show that

if for every non-zero projections  $e', f' \in \mathcal{M}$ ,  $e' \leq e$ ,  $f' \leq f$ , we (9) have  $||e'f|| > \lambda$  and  $||ef'|| > \lambda$ , then

$$c(e,f) > \lambda(e \vee f).$$

We first prove that  $c(e,f) > \lambda z_{\mathcal{A}}(e)$ . Otherwise, using the Gelfand representation, we find  $0 < \mu < \lambda$  and a non-zero projection  $q \in \mathcal{Z}(\mathcal{M})$  such that  $qc(e,f) \leq \mu qz_{\mathcal{A}}(e) \neq 0$ . Then  $qe \neq 0$  and  $qe \leq e$ , hence  $c(qe,f) = |qe \lor f - qe - f| = q|e \lor f - e - f| + (1-q)|f-f| = qc(e,f) \leq \mu qz_{\mathcal{A}}(e)$ . Thus, by (8) and the assumption, we get  $\lambda \leq ||qef|| = ||c(qe,f)|| \leq ||\mu qz_{\mathcal{A}}(e)|| \leq \mu$ , a contradiction. Therefore,  $c(e,f) > \lambda z_{\mathcal{A}}(e)$  and, similarly,  $c(e,f) > \lambda z_{\mathcal{A}}(f)$ , so the desired inequality follows using (5). In connection with the first equation in (8) we note that

(10) 
$$||e-f|| < 1 \Rightarrow e = \mathbf{1}_{\mathcal{A}}(ef) \sim \mathbf{r}_{\mathcal{A}}(ef) = f.$$

Indeed, if  $q \in \mathcal{M}$  is a projection such that  $q \le e$  and qef = 0, then qe = q, qf = 0, hence ||q|| = ||q(e - f)|| < 1 and q = 0. Thus,  $e = 1_{\mathcal{A}}(ef)$  and, similarly,  $f = r_{\mathcal{A}}(ef)$ . Finally, in connection with the second equation in (8), we note that

(11) 
$$||ef|| < 1 \Rightarrow f' = e \lor f - e \sim f, \ e \lor f = e + f', \ ||f - f'|| = ||ef||.$$

Indeed,  $||e \wedge f|| = ||(e \wedge f)ef|| < 1$ , hence  $e \wedge f = 0$ , so that  $f' = e \vee f - e \sim f - e \wedge f = f$ . Then, using (8), we obtain  $||f - f'|| = ||e \vee f - e - f|| = ||c(e, f)|| = ||ef||$ .

**17.16.** End of the proof of Theorem 17.9. To prove that  $p(\sigma)$  satisfies condition 17.9.(1) we may assume that  $p(\sigma) = 0$ .

Let  $e \in \mathcal{M}$  be a non-zero projection and suppose that

(1) 
$$\lambda = \inf \{ ||f\sigma(f)||; f \in Proj(\mathcal{M}), 0 \neq f \leq e \} > 0.$$

Choose  $\varepsilon > 0$  with  $(\lambda + 1) \varepsilon < \lambda$  and a non-zero projection  $f \in \mathcal{M}$  such that  $||f\sigma(f)|| \le \lambda + \varepsilon$ . For every non-zero projection  $f' \le f$  we have  $||f'\sigma(f')|| \ge \lambda$ , hence  $||f\sigma(f')|| \ge \lambda$ ,  $||f'\sigma(f)|| \ge \lambda$ . From 17.15.(9), we deduce that  $c(f, \sigma(f)) \ge \lambda(f \vee \sigma(f))$ . Using 17.15.(8), it follows from the choice of f that  $c(f, \sigma(f)) \le (\lambda + \varepsilon) (f \vee \sigma(f))$ .

On the other hand, let u be the partial isometry appearing in the polar decomposition of  $f \vee \sigma(f) - f - \sigma(f)$ . Using 17.15.(7), we obtain  $u = u^*$ ,  $u^2 = f \vee \sigma(f)$ ,  $ufu = \sigma(f)$ ,  $u\sigma(f)u = f$  and  $||\lambda u - uc(f, \sigma(f))|| \le ||\lambda(f \vee \sigma(f)) - c(f, \sigma(f))|| \le \varepsilon$ , that is

(2) 
$$\|\lambda u - (f \vee \sigma(f) - f - \sigma(f))\| \leq \varepsilon.$$

The equation  $\tau(x) = u\sigma(x)u^*(x \in f \mathcal{M} f)$  defines a \*-automorphism  $\tau \in Aut(f \mathcal{M} f)$ . Since  $p(\sigma) = 0$ , we obtain using 17.2.(2),  $\tau \notin Int(f \mathcal{M} f)$ . By the last statement in Theorem 17.9, which has already been proved (17.11-17.14), there exists a non-zero projection  $h \in \mathcal{M}$ ,  $h \leq f$ , such that  $||h\tau(h)|| \leq \varepsilon$ .

Then we have  $||hu\sigma(h)|| = ||hu\sigma(h) u^*|| = ||h\tau(h)|| \le \varepsilon$ . Using (2) we infer that  $||h(f \lor \sigma(f) - f - \sigma(f)) \sigma(h)|| \le \varepsilon(\lambda + 1)$ . Since  $h \le f$ , we have  $h(f \lor \sigma(f) - f - \sigma(f)) \sigma(h) = -h\sigma(h)$ , hence  $||h\sigma(h)|| \le \varepsilon(\lambda + 1) < \lambda$ , contradicting (1).

We conclude that  $\lambda = 0$ , and this completes the proof of Theorem 17.9.

17.17. Let  $\mathcal{M}$  be a finite  $W^*$ -algebra and  $\mu$  a faithful normal trace on  $\mathcal{M}$  with  $\mu(1)=1$ . For  $x\in\mathcal{M}$  we consider the norms  $\|x\|_1=\mu(|x|)$  and  $\|x\|_2=\mu(x^*x)^{1/2}$ . It is easy to see that  $\|x\|_1 \leq \|x\|_2$ ,  $\|x\|_2^2 \leq \|x\| \|x\|_1$   $(x\in\mathcal{M})$ .

Recall that the closed unit ball  $\mathcal{M}_1$  of  $\mathcal{M}$  endowed with s-topology is a complete metrizable space; in fact the metric  $||x - y||_2$  defines the s-topology on  $\mathcal{M}_1([236], 8.12)$ .

A family of mutually orthogonal non-zero projections in  $\mathcal{M}$  with sum equal to 1 will be called, briefly, a partition of unity in  $\mathcal{M}$ .

Theorem 17.9 is the main technical instrument in proving the following important result:

**Theorem** (V. Rokhlin, A. Connes). Let  $\mathcal{M}$  be a finite  $W^*$ -algbera,  $\mu$  a faithful normal trace on  $\mathcal{M}$  with  $\mu(1) = 1$  and  $\sigma \in Aut(\mathcal{M})$  an aperiodic \*-automorphism such that  $\mu \circ \sigma = \mu$ .

For each  $n \in \mathbb{Z}$ , n > 1, and  $\varepsilon > 0$ , there exists a partition of unity  $\{e_1, \ldots, e_n\}$  in  $\mathcal{M}$  such that

$$\|\sigma(e_1)-e_2\|_2\leqslant \varepsilon,\ldots,\|\sigma(e_{n-1})-e_n\|_2\leqslant \varepsilon,\,\|\sigma(e_n)-e_1\|_2\leqslant \varepsilon.$$

The proof is given in Sections 17.20-17.23, where we use Lemmas 17.18, 17.19. In the present Section we divide the proof into three distinct cases.

There exists a partition of unity  $\{p_k\}_{k>0}$  in  $\mathcal{Z}(\mathcal{M})$  such that, if k>1, we have  $(\sigma | \mathcal{Z}(\mathcal{M}) p_k)^k = \iota$  and  $(\sigma | \mathcal{Z}(\mathcal{M}) p)^j \neq \iota$  for every central projection  $0 \neq p \leq p_k$  and every  $1 \leq j < k$ ; then we have  $(\sigma | \mathcal{Z}(\mathcal{M}) p)^j \neq \iota$  for every central projection  $0 \neq p \leq p_0$  and every j > 1. Thus, in proving Theorem 17.17, we can consider separately the cases  $p_1 = 1$ ,  $p_k = 1$  with k > 2, and  $p_0 = 1$ , i.e.

- (I)  $\sigma$  acts identically on  $\mathcal{Z}(\mathcal{M})$ ;
- (II) there exists k > 2 such that  $(\sigma | \mathcal{Z}(\mathcal{M}))^k = 1$ , but  $(\sigma | \mathcal{Z}(\mathcal{M}) p)^j \neq 1$  for every central projection  $p \neq 0$  and every  $1 \leq j < k$ ;

(III)  $\sigma \mid \mathcal{Z}(\mathcal{M})$  is aperiodic.

17.18. Lemma. Let  $\mathcal{M}$  be a  $W^*$ -algebra, let  $n \in \mathbb{Z}$ , n > 1, and choose  $\varepsilon > 0$  so that  $n!\varepsilon < 1$ . If  $\{f_1, \ldots, f_n\} \subset \mathcal{M}$  is a family of projections with  $||f_jf_k|| \le \varepsilon$  for  $j \ne k$ , then there exists a family  $\{e_1, \ldots, e_n\} \subset \mathcal{M}$  of mutually orthogonal projections such that  $\sum_{k=1}^{n} e_k = \bigvee_{k=1}^{n} f_k$  and  $e_k \sim f_k$ ,  $||e_k - f_k|| \le n!\varepsilon$  for  $1 \le k \le n$ .

*Proof.* For n=1 the Lemma is obvious. Assume the Lemma is true for n-1 projections. Then there exists a family  $\{e_1,\ldots,e_{n-1}\}\subset\mathcal{M}$  of mutually orthogonal projections such that  $e=\sum_{k=1}^{n-1}e_k=\bigvee_{k=1}^{n-1}f_k$  and  $e_k\sim f_k, \ \|e_k-f_k\|\leqslant (n-1)!\varepsilon$  for  $1\leqslant k\leqslant n-1$ . Then  $\|e_kf_n-f_kf_n\|\leqslant (n-1)!\varepsilon$   $(1\leqslant k\leqslant n-1)$ , hence

$$\|ef_n\| \le \sum_{k=1}^{n-1} \|e_k f_n - f_k f_n\| + \sum_{k=1}^{n-1} \|f_k f_n\| \le (n-1)(n-1)! \varepsilon + (n-1)! \varepsilon$$

that is,  $||ef_n|| \le n! \varepsilon < 1$ . Using 17.15.(11) we obtain a projection  $e_n \in \mathcal{M}$  such that the family  $\{e_1, \ldots, e_{n-1}, e_n\}$  satisfies the requirements of the Lemma.

17.19. Lemma. Let  $\mathcal{M} \subset \mathcal{B}(\mathcal{H})$  be a countably decomposable von Neumann algebra,  $\xi \in \mathcal{B}$  and  $e, f \in \mathcal{M}$  projections. Put  $\varepsilon = ||e\xi - f\xi||$ .

If fe = wa is the polar decomposition of fe, i.e.  $a = (efe)^{1/2}$ ,  $w^*w = s(efe)$ , then

(1) 
$$\|(w-e)\xi\| \le 4\varepsilon$$
,  $\|(w-f)\xi\| \le 3\varepsilon$ ,  $\|(w-e)^*\xi\| \le 3\varepsilon$ ,  $\|(w-f)^*\xi\| \le 4\varepsilon$ .

If  $e \sim f$ , then there exists  $u \in \mathcal{M}$  such that  $u^*u = e$ ,  $uu^* = f$  and

$$||(u-f)\,\xi|| \leqslant 6\varepsilon, \, ||(u-f)^*\xi|| \leqslant 7\varepsilon.$$

*Proof.* We have  $a^2 = efe \le e$ ,  $a^2 \le a \le e$ , so that

$$||(w - fe) \xi|| = ||w(e - a) \xi|| \le ||(e - a^2) \xi|| = ||e(e - f) c\xi|| \le ||(e - fe) \xi||$$

$$||(e - fe) \xi|| \le ||(e - f) \xi|| + ||f(f - e) \xi|| \le 2\varepsilon,$$

hence  $\|(w-e)\xi\| \le \|(w-fe)\xi\| + \|(e-fe)\xi\| \le 4\varepsilon$  and  $\|(w-f)\xi\| \le \|(w-fe)\xi\| + \|(fe-f)\xi\| \le 3\varepsilon$ . This proves the first two inequalities in (1). The last two inequalities in (1) then follow since  $ef = w^*(waw^*)$  is the polar decomposition of ef.

Assume now that  $e \sim f$  and let  $\delta > 0$ . There exists a projection  $p \in \mathcal{Z}(\mathcal{M})$  such that the projections  $e_1 = pe$ ,  $f_1 = pf$  are finite while the projections  $e_0 = (1 - p)e$ ,  $f_0 = (1 - p)f$  are properly infinite.

Since  $e_0, f_0$  are properly infinite, we can write  $e_0 = \sum_{k=1}^{\infty} e_0^k, f_0 = \sum_{k=1}^{\infty} f_0^k$  with

 $e_0^k \sim e_0 \sim f_0 \sim f_0^k$ . Let  $e_0' = \sum_{k=1}^n e_0^k$ ,  $f_0' = \sum_{k=1}^n f_0^k$  with n so large that

$$\|(e_0-e_0')\,\xi\|<\delta,\ \|(f_0-f_0')\,\xi\|<\delta.$$

Clearly,  $e_0 - e_0' \sim e_0 \sim f_0 \sim f_0 - f_0'$ . We define

$$e^1 = e_1 + e'_0$$
,  $f^1 = f_1 + f'_0$  and  $e^2 = \mathbf{r}(f^1 e^1)$ ,  $f^2 = \mathbf{l}(f^1 e^1)$ .

Then  $e^1f^1e^1 \leqslant e^2 \leqslant e^1$ ,  $f^1e^1f^1 \leqslant f^2 \leqslant f^1$ , so that

(3) 
$$\|(e^{1} - e^{2}) \xi\| \leq \|(e^{1} - e^{1}f^{1}e^{1}) \xi\| \leq 2\|(e^{1} - f^{1}) \xi\|$$

$$\leq 2(\|(e - f) \xi\| + \|(e_{0} - e'_{0}) \xi\| + \|(f_{0} - f'_{0}) \xi\|) \leq 2\varepsilon + 4\delta,$$

and hence  $\|(e^1-e^2)\xi\| \le 2\varepsilon + 4\delta$ ,  $\|(f^1-f^2)\xi\| \le 2\varepsilon + 4\delta$ . Also, we have  $e^2 \sim f^2$ , more precisely  $e^2 = w^*w$ ,  $f^2 = ww^*$ , where w is the partial isometry appearing in the polar decomposition of  $f^1e^1$ . Using (1) and (3) we deduce that  $\|(w-f^1)\xi\| \le 3(\varepsilon + 2\delta)$ ,  $\|(w-f^1)^*\xi\| \le 4(\varepsilon + 2\delta)$ .

On the other hand, we have  $e_1 \sim f_1$  and  $e_1 > pe^2 \sim pf^2 \le f_1$ , hence ([L], E.4.9)  $e_1 - pe^2 \sim f_1 - pf^2$ . Also, we have  $e_0 - (1-p)e^2 > e_0 - e_0$ ,  $f_0 - (1-p)f^2 > f_0 - f_0$ ; hence  $e_0 - (1-p)e^2$  and  $f_0 - (1-p)f^2$  are countably decomposable properly infinite projections having equal central supports so that ([L], 4.13) they are equivalent:  $e_0 - (1-p)e^2 \sim f_0 - (1-p)f^2$ . It follows that  $e - e^2 \sim f - f^2$ , and there exists  $v \in \mathcal{M}$  with  $v^*v = e - e^2$ ,  $vv^* = f - f^2$ .

Let u = w + v. Clearly,  $u^*u = e$ ,  $uu^* = f$ . Then

$$||v\xi|| = ||vv^*v\xi|| \le ||v^*v\xi|| = ||(e - e^2)\xi|| \le ||(e^1 - e^2)\xi|| + ||(e_0 - e'_0)\xi|| \le 2\varepsilon + 5\delta,$$

$$||v^*\xi|| = ||v^*vv^*\xi|| \le ||vv^*\xi|| = ||(f-f^2)\xi|| \le ||(f^1-f^2)\xi|| + ||(f_0-f_0')\xi|| \le 2\varepsilon + 5\delta,$$

$$\|(w-f)\,\xi\| \le \|(w-f^1)\,\xi\| + \|(f_0-f_0')\,\xi\| \le 3\varepsilon + 7\delta,$$
  
$$\|(w-f)^*\xi\| \le \|(w-f^1)^*\xi\| + \|(f_0-f_0')\,\xi\| \le 4\varepsilon + 9\delta,$$

so (2) follows on choosing  $\delta < \varepsilon/9$ .

17.20. Lemma. Let  $\mathcal{M}$  be a finite  $W^*$ -algebra,  $\sigma \in Aut(\mathcal{M})$  an aperiodic \*-automorphism acting identically on  $\mathcal{Z}(\mathcal{M})$  and  $\mu$  a faithful normal  $\sigma$ -invariant trace on  $\mathcal{M}$  with  $\mu(1) = 1$ .

For each  $n \in \mathbb{Z}$ , n > 2, and  $\delta > 0$  there exists a unitary element  $v \in \mathcal{M}$  and a family  $\{f_1, \ldots, f_n\} \subset \mathcal{M}$  of mutually orthogonal non-zero projections such that

(1) 
$$\|v-1\|_1 \leq \delta \mu (f_1+\ldots+f_n)$$

(2) 
$$(\mathrm{Ad}(v) \circ \sigma)(f_j) = f_{j+1} \qquad (j = 1, \ldots, n)$$

where  $f_{n+1} = f_1$ .

*Proof.* Let  $\gamma = \delta/12(n+1)$ , m = nr with  $2m^{-1/2} \le \gamma/2$  and  $0 < \varepsilon < 1/m!$  with  $2mm! \ \varepsilon \le \gamma/2$ .

Since  $\sigma$  is aperiodic, using Theorem 17.9 we find projections  $p_1 > p_2 > \dots$   $p_m \neq 0$  in  $\mathcal{M}$  such that  $||p_k \sigma^k(p_k)|| \leq \varepsilon \ (1 \leq k \leq m)$ . Let  $p = p_m$ . Since  $p \leq p_k$ , it follows that  $||p\sigma^k(p)|| \leq \varepsilon \ (1 \leq k \leq m)$ , so that  $||\sigma^l(p) \sigma^l(p)|| \leq \varepsilon$  for  $i \neq j$ ,  $1 \leq i, j \leq m$ .

Let  $e = \bigvee_{k=1}^{m} \sigma^{k}(p)$ . Using Lemma 17.18 we find a family  $\{e_{1}, \ldots, e_{m}\} \subset \mathcal{M}$  of mutually orthogonal projections such that  $e = \sum_{k=1}^{m} e_{k}$  and  $e_{k} \sim \sigma^{k}(p)$ .

 $||e_k - \sigma^k(p)|| \le m! \varepsilon \le \gamma/4m$  ( $1 \le k \le m$ ). It follows that

(3) 
$$\|\sigma(e_k) - e_{k+1}\| \leq \gamma/2m \quad (k = 1, ..., m-1).$$

Since  $\sigma$  acts identically on  $\mathcal{Z}(\mathcal{M})$ , the canonical central trace  $h: \mathcal{M} \to \mathcal{Z}(\mathcal{M})$ , is  $\sigma$ -invariant. By ([L], 7.12) it follows that  $\sigma^k(p) \sim p$  ( $1 \le k \le m$ ), hence

$$(4) e_1 \sim e_2 \sim \ldots \sim e_m$$

Define

(5) 
$$f_j = \sum_{s=0}^{r-1} e_{ns+j} \quad (1 \le j \le n).$$

Clearly,  $\sum_{j=1}^{n} f_j = e$  and  $f_1 \sim f_2 \sim \ldots \sim f_n$ .

Let  $f = e \vee \sigma(e) = e \vee \sigma^{m+1}(p)$  and  $\mathcal{N} = f\mathcal{M}f$ . Then  $e_k, \sigma(e_k) \in \mathcal{N}(1 \le k \le m)$ , and  $f_j, \sigma(f_j) \in \mathcal{N}(1 \le j \le n)$ . Then  $\mu' = (\mu | \mathcal{N})/\mu(f)$  is a faithful normal trace on  $\mathcal{N}$  with  $\mu'(f) = 1$  and for  $x \in \mathcal{N} \subset \mathcal{M}$  we have

(6) 
$$||x||_2' = \mu'(x^*x)^{1/2} = \mu(f)^{-1/2}\mu(x^*x)^{1/2} = \mu(f)^{-1/2}||x||_2.$$

Using (3), (4) and (6) we obtain

$$\|\sigma(f_j)-f_{j+1}\|_2^2\leqslant r\gamma/2m<\gamma\qquad (1\leqslant j\leqslant n-1).$$

On the other hand, from (4) it follows that  $\mu'(e_k) \leq 1/m$ , hence  $\mu'(\sigma(e_k)) \leq 1/m$  (since  $e_k \sim \sigma(e_k)$ ) for  $1 \leq k \leq m$ . Thus,  $||e_1||_2' \leq m^{-1/2}$ ,  $||\sigma(e_{nr})||_2' \leq m^{-1/2}$  and, using (5), (3) and (6), we deduce that

$$\|\sigma(f_n) - f_1\|_2' \le (r-1)\gamma/2m + 2m^{-1/2} < \gamma.$$

For  $1 \le j \le n$  we have  $\sigma(f_j) \sim f_j \sim f_{j+1}$  so, by Lemma 17.19, there exists  $w_j \in \mathcal{N}$  such that

$$w_i^* w_j = \sigma(f_j), \ w_j w_j^* = f_{j+1} \ \text{and} \ \|w_j - f_{j+1}\|_2' \le 6\gamma.$$

Since  $f - \sigma(e) \sim f - e$ , there exists  $w_0 \in \mathcal{N}$  such that

$$w_0^*w_0=f-\sigma(e), w_0w_0^*=f-e.$$

Then  $w = w_0 + w_1 + \ldots + w_n \in \mathcal{N}$  is unitary and

(9) 
$$w\sigma(f_j) w^* = f_{j+1} \quad (1 \le j \le n).$$

Since  $\mu'(f-e) \le \mu'(\sigma^{m+1}(p)) = \mu'(p) \le 1/m$ , we obtain

$$||w - f||_2' = ||w_0 - (f - e)||_2' + \sum_{j=1}^n ||w_j - f_{j+1}||_2'$$

$$\leq ||w_0||_2' + ||f - e||_2' + \sum_{j=1}^n ||w_j - f_{j+1}||_2'$$

$$\leq 2m^{-1/2} + 6n\gamma = 6(n+1)\gamma = \delta/2.$$

Finally,  $v = w + (1 - f) \in \mathcal{M}$  is unitary, satisfies condition (2) and  $||v - 1||_1 = \mu(|v - 1|) = \mu(|w - f|) = \mu(f) \mu'(|w - f|) < 2\mu(f) ||w - f||_2' \leq 2\mu(f) \delta/2 = \delta\mu(f_1 + \ldots + f_n)$ .

17.21. Proof of Theorem 17.17 in case 17.17.(I). We assume that  $\sigma$  acts identically on  $\mathcal{Z}(\mathcal{M})$ . Let  $n \in \mathbb{Z}$ , n > 1, and  $\delta = \varepsilon/4 > 0$  be fixed.

Let  $\delta$  be the set of all n+1-tuples  $(e_1,\ldots,e_n;u)$  where  $\{e_1,\ldots,e_n\}\subset\mathcal{M}$  consists of mutually orthogonal equivalent projections and  $u\in\mathcal{M}$  is a unitary element such that  $\|u-1\|_1<\delta\mu(e_1+\ldots+e_n)$  and  $u\sigma(e_j)$   $u^*=e_{j+1}$  for  $1\leqslant j\leqslant n$ .

We define an order relation " $\leqslant$ " on 8 writing  $(e_1, \ldots, e_n; u) \leqslant (e_1, \ldots, e'_n; u')$  if and only if  $e_j \leqslant e'_j$   $(1 \leqslant j \leqslant n)$ , and  $||u - u'||_1 \leqslant \delta \mu((e'_1 - e_1) + \ldots + (e'_n - e_n))$ .

The set S is then inductively ordered. Indeed, let  $S \subset S$  be a totally ordered set. Since the mapping

$$\mathcal{F} \ni (e_1, \ldots, e_n; u) \mapsto \mu(e_1 + \ldots + e_n) \in [0,1]$$

is an order isomorphism of  $\mathcal{F}$  onto a subset of [0,1], we may assume that  $\mathcal{F} = \{(e_1^k, \ldots, e_n^k; u_k)\}_{k>1}$  is an increasing sequence. Then, for each  $1 \le j \le n$ , the increasing sequence of projections  $\{e_j^k\}_{k>1}$  is s-convergent to the projection  $e_j = \bigvee_{k>1} e_j^k$ . Therefore, the projections  $\{e_1, \ldots, e_n\}$  are mutually orthogonal and equivalent, and  $e_i^k \le e_n$ 

the projections  $\{e_1, \ldots, e_n\}$  are mutually orthogonal and equivalent, and  $e_j^k \leq e_j$  for all j and k. On the other hand, we have

$$||u_k - u_{k+1}||_1 \le \delta \mu \left( \sum_{j=1}^n (e_j^{k+1} - e_j^k) \right),$$

hence  $\sum_{k>1} \|u_k - u_{k+1}\|_1 \le \delta < +\infty$ . By the remarks made at the beginning of Section 17.17, it follows that there exists a unitary operator  $u \in \mathcal{M}$  such that  $\|u_k - u\|_1 \to 0$  and  $u_k \xrightarrow{\mathfrak{s}} u$ . It is now easy to check that  $(e_1, \ldots, e_n; u) \in \mathfrak{s}$  is an upper bound for  $\mathfrak{F}$ .

By Zorn's lemma, there exists a maximal element  $(e_1, \ldots, e_n; u)$  in  $\delta$ . Assume that

$$f = 1 - (e_1 + \ldots + e_n) \neq 0.$$

Let  $\mathcal{N} = f\mathcal{M}f$ ,  $\mu' = (\mu \mid \mathcal{N})/\mu(f)$  and  $\sigma' = (\mathrm{Ad}(u) \circ \sigma) \mid \mathcal{N}$ . Then  $\sigma' \in Aut(\mathcal{N})$  is aperiodic, acts identically on  $\mathcal{Z}(\mathcal{N})$  and  $\mu' \circ \sigma' = \mu'$ . By Lemma 17.20, there exists an n+1-tuple  $(f_1, \ldots, f_n; v)$  where  $\{f_1, \ldots, f_n\} \subset \mathcal{N}$  is a set of mutually orthogonal and equivalent projections and  $v \in \mathcal{N}$  is a unitary element such that  $\|v - f\|_1' \leq \delta \mu'(f_1 + \ldots + f_n) \neq 0$  and  $v\sigma'(f_j)v^* = f_{j+1}$  for  $1 \leq j \leq n$ . We now define  $e'_j = e_j + f_j$ ,  $(1 \leq j \leq n)$ , and u' = v + (1 - f)u. Then  $\{e'_1, \ldots, e'_n\} \subset \mathcal{M}$  consists ou mutually orthogonal and equivalent projections,  $u \in \mathcal{M}$  is a unitary element,  $f'\sigma(e'_j)u'^* = e'_{j+1}$  for  $1 \leq j \leq n$  and we have

$$\begin{split} \|(v+(1-f))-1\|_1 &= \|v-f\|_1 = \mu(f)\|v-f\|_1' \leq \delta\mu(f)\mu'(\sum_j f_j) = \delta\mu(\sum_j f_j), \\ \|u'-u\|_1 &\leq \|u\| \|(v+(1-f))-1\|_1 \leq \delta\mu(\sum_j f_j) = \delta\mu(\sum_j (e_j'-e_j)), \\ \|u'-1\|_1 &\leq \|u'-u\|_1 + \|u-1\|_1 \leq \delta\mu(\sum_j e_j'), \end{split}$$

hence  $(e'_1, \ldots, e'_n; u') \in \mathcal{S}$  is strictly greater than  $(e_1, \ldots, e_n; u)$ , a contradiction. Thus, if  $(e_1, \ldots, e_n; u)$  is a maximal element in  $\mathcal{S}$ , then  $\{e_1, \ldots, e_n\}$  is a partition of unity in  $\mathcal{M}$ ,  $u \in U(\mathcal{M})$ ,  $||u-1||_1 < \delta$  and  $u\sigma(e_j)u^* = e_{j+1}$ ,  $(1 \le j \le n)$ . Therefore

$$\|\sigma(e_j) - e_{j+1}\|_2^2 = \|\sigma(e_j) - u\sigma(e_j)u^*\|_2^2$$

$$\leq 2 \|\sigma(e_j) - u\sigma(e_j)u^*\|_1 \leq 4 \|u - 1\|_1 \leq 4\delta = \varepsilon.$$

17.22. Proof of Theorem 17.17 in case 17.17. (II). We assume that there exists  $k \ge 2$  such that  $(\sigma \mid \mathcal{Z}(\mathcal{M})^k = i$ , but  $(\sigma \mid \mathcal{Z}(\mathcal{M})p)^J \ne i$  for any central projection  $p \ne 0$  and j such that  $1 \le j < k$ . If  $p \in \mathcal{Z}(\mathcal{M})$  is a maximal projection such that  $p, \sigma(p), \ldots, \sigma^{k-1}(p)$  are mutually orthogonal, then  $p + \sigma(p) + \ldots + \sigma^{k-1}(p) = 1$ . Thus, there exists a partition of unity  $\{p_1, \ldots, p_k\}$  in  $\mathcal{Z}(\mathcal{M})$  such that

$$\sigma(p_i) = p_{i+1} \qquad (1 \leqslant i \leqslant k)$$

where  $p_{k+1} = p_1$ .

Let  $n \in \mathbb{Z}$ ,  $n \ge 1$ , and  $\delta = \varepsilon/k > 0$  be fixed. The \*-automorphism  $\sigma' = \sigma^k \mid \mathcal{M}p_1 \in Aut(\mathcal{M}p_1)$  is aperiodic, acts identically on  $\mathcal{Z}(\mathcal{M}p_1)$  and preserves the faithful normal trace  $\mu' = (\mu \mid \mathcal{M}p_1)/\mu(p_1) = k\mu \mid \mathcal{M}p_1$ . By Section 17.21, there exists a partition of unity  $\{f_0, \ldots, f_{n-1}\}$  in  $\mathcal{M}p_1$  such that (with  $f_n = f_0$ )

$$\|\sigma'(f_s)-f_{s+1}\|_2'\leqslant\delta\qquad(0\leqslant s\leqslant n-1).$$

The family  $\{\sigma^i(f_s); 1 \le i \le k, 0 \le s \le n-1\}$  is a partition of unity  $\{h_1, \ldots, h_{nk}\}$  in  $\mathcal{M}$ , where

$$h_{sk+i} = \sigma^i(f_s) \qquad (1 \leqslant i \leqslant k, \ 0 \leqslant s \leqslant n-1).$$

For  $1 \le r \le nk$  we have (with  $h_{nk+1} = h_1$ )

$$\|\sigma(h_r) - h_{r+1}\|_2 \leqslant k^{-1/2} \delta < \delta.$$

Indeed, if r = sk + i with  $0 \le s \le n - 1$  and  $1 \le i < k$ , then r + 1 = sk + (i + 1), hence  $\sigma(h_r) = h_{r+1}$ ; if r = sk + k, then r + 1 = (s + 1)k + 1, hence

$$\begin{split} \|\sigma(h_r) - h_{r+1}\|_2 &= \|\sigma(\sigma^k(f_s)) - \sigma(f_{s+1})\|_2 = \|\sigma^k(f_s) - f_{s+1}\|_2 \\ &= k^{-1/2} \|\sigma'(f_s) - f_{s+1}\|_2' \leqslant k^{-1/2} \delta. \end{split}$$

Finally, the projections

$$e_j = h_j + h_{j+n} + \ldots + h_{j+(k-1)n}$$
  $(1 \le j \le n)$ 

constitute a partition of unity in  $\mathcal{M}$  and we have (with  $f_{n+1} = f_1$ )

$$\|\sigma(e_j) - e_{j+1}\|_2 \leqslant k\delta = \varepsilon \qquad (1 \leqslant j \leqslant n).$$

17.23. Proof of Theorem 17.17 in case 17.17. (III). In this case we may assume that  $\mathcal{M}$  is abelian. So, in this Section we give essentially the proof of the classical Rokhlin theorem.

Let  $n \in \mathbb{Z}$ ,  $n \ge 1$ , and  $\varepsilon > 0$ . Choose  $r \in \mathbb{Z}$ ,  $r \ge 1$ , such that  $1/r \le \varepsilon/16$ , and write m = nr.

Let  $p \in \mathcal{M}$  be a maximal projection such that

(1) 
$$p, \sigma(p), \ldots, \sigma^{m-1}(p)$$
 are mutually orthogonal and define

(2) 
$$p_k = \sigma^{-m+1}(p)\sigma^k(p) \qquad (1 \leqslant k \leqslant m).$$

Then  $p_1, \ldots, p_m$  are mutually orthogonal projections and the maximal choice of p with property (1) implies that

(3) 
$$\sigma^{-m+1}(p) = p_1 + \ldots + p_m;$$

indeed, if  $q = \sigma^{-1}\left(\sigma^{-m+1}(p) - \sum_{k=1}^{m} p_k\right) \neq 0$ , then p+q contradicts the maximality of p.

Consider the projections

(4) 
$$\begin{array}{cccc}
\sigma^{-1}(p_2) \\
\sigma^{-1}(p_3) & \sigma^{-2}(p_3) \\
\vdots & \vdots & \ddots & \vdots \\
\sigma^{-1}(p_m) & \sigma^{-2}(p_m) & \dots & \sigma^{-m+1}(p_m)
\end{array}$$

(5) 
$$\sigma^{-1}(p) \qquad \sigma^{-2}(p) \quad \dots \quad \sigma^{-m+1}(p) \qquad p$$

All the projections appearing in (4) and (5) are mutually orthogonal. Indeed, all the projections in (5) are mutually orthogonal, by (1). Moreover the projections appearing in the same column of (4) are mutually orthogonal, by (2), and two projections appearing in different columns of (4) are orthogonal, by (3) and (1); hence all the projections in (4) are mutually orthogonal. Finally, using (2) and (1) it follows that  $\sigma^{-l}(p_j) \, \sigma^{-k}(p) = 0$  for all  $1 \le i < j \le m$ ,  $0 \le k \le m - 1$ .

Let q be the sum of all the projections in (4) and (5). We claim that q=1. To prove this it is sufficient to show that q is  $\sigma$ -invariant since, as  $\sigma$  is aperiodic, the assumption  $1-q\neq 0$  would then contradict the choice of p maximal with property (1). Furthermore, to show that  $\sigma^{-1}(q)=q$  it is sufficient to show just that  $\sigma^{-1}(q)\leqslant q$ , since  $\mu$  is a  $\sigma$ -invariant faithful state on  $\mathcal{M}$ . Looking at (4) and (5) we see that the only projections from the sum defining  $\sigma^{-1}(q)$  which do not appear explicitly in the sum defining q are  $\sigma^{-m}(p)$ ,  $\sigma^{-2}(p_2)$ , ...,  $\sigma^{-m}(p_m)$ . By (3) we have  $\sigma^{-m}(p)=\sigma^{-1}(p_1+p_2+\ldots+p_m)\leqslant \sigma^{-1}(p_1)\vee q$  and from (2) it follows that  $\sigma^{-k}(p_k)\leqslant q$  for all  $1\leqslant k\leqslant m$ . Hence  $\sigma^{-1}(q)\leqslant q$  and, consequently, q=1.

We now define the projection

(6) 
$$e = \sum_{s=0}^{r-1} \sigma^{-ns}(p) + \sum_{k=n+1}^{m} \sum_{s=0}^{s(k)} \sigma^{-sn-1}(p_k)$$

where s(k) is the greatest  $s \in \mathbb{Z}$ ,  $s \ge 0$ , such that sn + 1 < k - n. Looking at (4) and (5) we see that

(7) 
$$e, \sigma^{-1}(e), \ldots, \sigma^{-n+1}(e)$$
 are mutually orthogonal.

We have

(8) 
$$\|e - \sigma^{-n}(e)\|_1 \leqslant \varepsilon/4.$$

Indeed, if we compute  $\sigma^{-n}(e)$  using (6) and compare with (6), we obtain

$$\mu(|e - \sigma^{-n}(e)|) \leq \mu(p + \sigma^{-nr}(p) + \sum_{k=n+1}^{m} \sigma^{-1}(p_k) + \sigma^{-(s(k)+1)n-1}(p_k)$$

$$\leq 2\mu(p) + 2\sum_{k=n+1}^{m} \sigma^{-1}(p_k) + \sigma^{-(s(k)+1)n-1}(p_k)$$

$$\leq 2\mu(p) + 2\sum_{k=1}^{m} \mu(p_k) \leq 4\mu(p) \leq 4/m \leq 4/r \leq \varepsilon/4$$

since  $\mu$  is  $\sigma$ -invariant and we have (3). We also have

(9) 
$$\left\|1-\sum_{i=0}^{n-1}\sigma^{-i}(e)\right\|_{1}\leqslant \varepsilon/4.$$

Indeed, the sum  $q = \sum_{i=0}^{n-1} \sigma^{-i}(e)$  contains all the projections in (5) and the projections in (4) except for at most n projections from each row of (4). Since the sum of the projections in (4) and (5) is 1, we obtain

$$\mu(1-q) \leqslant \sum_{k=2}^{m} n\mu(p_k) \leqslant n\mu\left(\sum_{k=1}^{m} p_k\right) = n\mu(p) \leqslant n/m < \varepsilon/4,$$

using (3) again, and the  $\sigma$ -invariance of  $\mu$ .

From (7), (8) and (9) it follows that the projections

$$e_j = \sigma^{-n+j}(e) \ (1 \le j \le n-1), \ \text{and} \ e_n = e + \left(1 - \sum_{i=0}^{n-1} \sigma^{-i}(e)\right)$$

satisfy the requirements of Theorem 17.17.

17.24. Finally, we note a useful consequence of Theorem 17.9.

**Corollary.** Let  $\sigma: G \to Aut(\mathcal{M})$  be a properly outer action of the finite group G on the countably decomposable infinite  $W^*$ -algebra  $\mathcal{M}$ . Then the centralizer  $\mathcal{M}^{\sigma}$  is infinite.

*Proof.* Let  $\varepsilon > 0$  be such that  $\varepsilon$  (card G)! (card G)<sup>2</sup> < 1.

Since every  $\sigma_g$   $(e \neq g \in G)$  is properly outer, a familiar maximality argument based on Theorem 17.9 shows that there exists a projection  $p \in \mathcal{M}$  such that  $\|\sigma_g(p)\sigma_h(p)\| < \varepsilon$  for  $g, h \in G(g \neq h)$  and  $\bigvee_{g \in G} \sigma_g(p) = 1$ . Since  $\mathcal{M}$  is properly infinite and

G is finite, it follows that some  $\sigma_g(p)$  is properly infinite and we may therefore assume that p is properly infinite. Thus, there exists a partial isometry  $v \in p\mathcal{M}p$  with  $v^*v = p$  and  $vv^* \neq p$ . Let  $u = \sum_{g \in G} \sigma_g(v) \in \mathcal{M}^g$ . From the choice of  $\varepsilon > 0$  it is easy to check that  $\sigma(v) = 1$  while  $\Gamma(v) \neq 1$ . Hence  $\mathcal{M}^g$  is infinite.

that  $\mathbf{r}(u) = 1$  while  $\mathbf{l}(u) \neq 1$ . Hence  $\mathcal{M}^{\sigma}$  is infinite.

Note that a particular case of this result (16.17) has been used (17.14) in proving Theorem 17.9.

17.25. Notes. Propositions 17.4—17.7 are due to Kallman [132], [133]. The results in Section 17.8 are from [22], [23], [250]. The main results, Theorems 17.9 and 17.17, are due to Connes [42]. For our exposition we have used [23], [34], [42], and [132].

Recently, an interesting extension of Theorem 17.17 for several commuting \*-automorphisms has been obtained by Ocneanu [174]. The notion of a full group was introduced in the commutative case by Dye [80] and in the general case by Haga and Takeda [108] and Connes [36].

## Crossed products

## §18. Hopf—von Neumann algebras

In this Section we consider a category of objects called Hopf—von Neumann algebras, which in a certain sense generalize locally compact groups, and also their actions on  $W^*$ -algebras. The main interest of these objects consists in their giving a natural framework for the duality theory of locally compact groups.

18.1. We first introduce certain notation and conventions which will be used frequently in what follows.

Let  $\mathcal{H}, \mathcal{K}$  be Hilbert spaces and  $\mathcal{M} \subset \mathcal{B}(\mathcal{H})$ ,  $\mathcal{N} \subset \mathcal{B}(\mathcal{K})$  von Neumann algebras. There exists a unique unitary operator

$$\sim : \mathcal{H} \ \overline{\otimes} \ \mathcal{K} \to \mathcal{K} \ \overline{\otimes} \ \mathcal{H}$$

such that  $\sim (\xi \otimes \eta) = \eta \otimes \xi$  for all  $\xi \in \mathcal{H}$ ,  $\eta \in \mathcal{H}$ . The mapping

$$\sim : \mathcal{M} \otimes \mathcal{N} \ni X \mapsto \widetilde{X} = \sim \circ X \circ \sim \in \mathcal{N} \otimes \mathcal{M}$$

is then a \*-isomorphism, uniquely determined, such that  $(x \otimes y)^{\sim} = y \otimes x$  for  $x \in \mathcal{M}, y \in \mathcal{N}$ .

The value  $\varphi(x)$  of a linear form  $\varphi \in \mathcal{M}^*$  on a vector  $x \in \mathcal{M}$  will also be denoted by  $\langle x, \varphi \rangle$ .

Let G be a locally compact group. The elements of the Hilbert space  $\mathcal{H} \otimes \mathcal{L}^2(G) = \mathcal{L}^2(G, \mathcal{H})$  will be identified with vector-valued functions on G. Also, the linear operators T on  $\mathcal{L}^2(G, \mathcal{H})$  will usually be defined by specifying the elements  $(T\xi)(g)$   $(\xi \in \mathcal{L}^2(G, \mathcal{H}), g \in G)$ . The formal versions of these procedures are standard and well known.

The identity mapping on  $\mathcal{M}$  will be denoted by  $i_{\mathcal{M}}$ , or by  $i_k$ , where k indicates a position in tensor products. The same convention will be used for the unit element  $1_{\mathcal{M}}$ .

18.2. A Hopf—von Neumann algebra is a pair  $(\mathcal{A}, \delta_{\mathcal{A}})$ , where  $\mathcal{A}$  is a  $W^*$ -algebra and  $\delta_{\mathcal{A}}: \mathcal{A} \to \mathcal{A} \ \ \ \ \ \ \ \ \$  is an injective unital normal \*-homomorphism, called comultiplication, which is coassociative, i.e.

(1) 
$$(\mathbf{1}_{M} \otimes \delta_{M}) \cdot \delta_{M} = (\delta_{M} \otimes \mathbf{1}_{M}) \cdot \delta_{M}.$$

The Hopf—von Neumann algebra  $(\mathcal{A}, \delta_{\mathcal{A}})$  is said to be commutative if the algebra  $\mathcal{A}$  is commutative and is called *cocommutative* if

$$(2) \qquad \qquad \gamma \cdot \delta_{sl} = \delta_{sl} \,.$$

A coinvolutive Hopf—von Neumann algebra is a triple  $(\mathcal{A}, \delta_{\mathcal{A}}, j_{\mathcal{A}})$  where  $(\mathcal{A}, \delta_{\mathcal{A}})$  is a Hopf—von Neumann algebra and  $j: \mathcal{A} \to \mathcal{A}$  is an involutive \*-antiautomorphism (i.e.  $j_{\mathcal{A}} \circ j_{\mathcal{A}} = \iota_{\mathcal{A}}$ ), called coinvolution, such that

$$(j_{\mathscr{A}} \otimes j_{\mathscr{A}}) \circ \delta_{\mathscr{A}} = \gamma \circ \delta_{\mathscr{A}} \circ j_{\mathscr{A}}.$$

An action of the Hopf—von Neumann algebra  $(\mathcal{A}, \delta_{\mathcal{A}})$  on the  $W^*$ -algebra  $\mathcal{M}$  is an injective unital normal \*-homomorphism  $\delta: \mathcal{M} \to \mathcal{M} \ \overline{\otimes} \ \mathcal{A}$  such that

$$(1_{\mathcal{M}} \overline{\otimes} \delta_{\mathcal{M}}) \circ \delta = (\delta \overline{\otimes} 1_{\mathcal{M}}) \circ \delta.$$

In this case we also say that  $\mathcal{M}$  is an  $\mathcal{A}$ -comodule via  $\delta$ .

Note that  $\delta_{\mathscr{A}}$  is an action of  $(\mathscr{A}, \delta_{\mathscr{A}})$  on  $\mathscr{A}$ .

The centralizer of the action  $\delta: \mathcal{M} \to \mathcal{M} \otimes \mathcal{A}$  is the set

$$\mathcal{M}^{\delta} = \{x \in \mathcal{M}; \ \delta(x) = x \ \overline{\otimes} \ 1_{\mathcal{A}}\}.$$

Clearly,  $\mathcal{M}^{\delta}$  is a unital  $W^*$ -subalgebra of  $\mathcal{M}$ .

Let  $\mathcal{M}_1$ ,  $\mathcal{M}_2$  be  $\mathscr{A}$ -comodules via the actions  $\delta_1 \colon \mathscr{A} \to \mathcal{M}_1 \ \otimes \mathscr{A}$ ,  $\delta_2 \colon \mathscr{A} \to \mathcal{M}_2 \ \otimes \mathscr{A}$ , respectively. We shall say that a normal completely positive linear mapping  $\sigma \colon \mathcal{M}_1 \to \mathcal{M}_2$  intertwines the actions  $\delta_1$ ,  $\delta_2$  or that  $\sigma$  is an  $\mathscr{A}$ -comodule mapping if

$$\delta_2 \circ \sigma = (\sigma \otimes \iota_{\mathscr{A}}) \circ \delta_1.$$

In particular, if there exists a \*-isomorphism  $\sigma: \mathcal{M}_1 \to \mathcal{M}_2$  intertwining  $\delta_1$ ,  $\delta_2$ , then

we say that the actions  $\delta_1$ ,  $\delta_2$  are isomorphic.

If  $\delta: \mathcal{M} \to \mathcal{M} \ \overline{\otimes} \ \mathcal{A}$  is an action of  $\mathcal{A}$  on  $\mathcal{M}$  and  $\mathcal{N} \subset \mathcal{M}$  is a unital  $\mathcal{W}^*$ -subalgebra such that  $\delta(\mathcal{N}) \subset \mathcal{N} \ \overline{\otimes} \ \mathcal{A}$ , then  $\delta \mid \mathcal{N}: \mathcal{N} \to \mathcal{N} \ \overline{\otimes} \ \mathcal{A}$  is an action of  $\mathcal{A}$  on  $\mathcal{N}$  and the canonical injection  $\mathcal{N} \hookrightarrow \mathcal{M}$  is an  $\mathcal{A}$ -comodule mapping. In this case we shall say that  $\mathcal{N}$  is an  $\mathcal{A}$ -subcomodule of  $\mathcal{M}$ .

Let  $\mathscr{A}_1$ ,  $\mathscr{A}_2$  be Hopf—von Neumann algebras and  $\delta_1: \mathscr{M} \to \mathscr{M} \ \otimes \mathscr{A}_1$ ,  $\delta_2: \mathscr{M} \to \mathscr{M} \ \otimes \mathscr{A}_2$  actions of  $\mathscr{A}_1$ ,  $\mathscr{A}_2$  on the same  $W^*$ -algebra  $\mathscr{M}$ . We shall say that  $\delta_1$  and  $\delta_2$  commute if

$$(\delta_1 \ \overline{\otimes} \ \iota_{\mathscr{A}_1}) \cdot \delta_2 = (\iota_{\mathscr{M}} \ \overline{\otimes} \ \gamma) \cdot (\delta_2 \ \overline{\otimes} \ \iota_{\mathscr{A}_1}) \cdot \delta_1.$$

Finally, we remark that if  $\delta: \mathcal{M} \to \mathcal{M} \otimes \mathcal{A}$  is an action of the Hopf—von Neumann algebra  $(\mathcal{A}, \delta_{\mathcal{A}})$  on the  $W^*$ -algebra  $\mathcal{M}$  and  $\mathcal{N}$  is an arbitrary  $W^*$ -algebra, then

$$\iota : \iota_{\mathcal{V}} \boxtimes \delta \colon \mathcal{N} \boxtimes \mathcal{M} \to \mathcal{N} \boxtimes \mathcal{M} \boxtimes \mathcal{M}$$

is an action of  $(\mathcal{A}, \delta_{\mathcal{A}})$  on  $\mathcal{N} \otimes \mathcal{M}$  and we have

$$(\mathcal{N} \overline{\otimes} \mathcal{M})^{i_{\mathcal{N}} \overline{\otimes} \delta} = \mathcal{N} \overline{\otimes} \mathcal{M}^{\delta}.$$

Indeed, the inclusion " $\supset$ " is obvious. Conversely, let  $x \in \mathcal{N} \boxtimes \mathcal{M}$  be such that  $(\iota_{\mathcal{N}} \boxtimes \delta)(x) = x \boxtimes 1_{\mathscr{A}}$ . For  $\psi \in \mathcal{N}_{*}$ ,  $\varphi \in \mathcal{M}_{*}$ ,  $k \in \mathcal{A}_{*}$ , we have

$$\begin{split} \langle \delta(E_{\mathcal{A}}^{\mathbf{w}}(x)), \ \varphi \ \overline{\otimes} \ k \rangle &= \langle E_{\mathcal{A}}^{\mathbf{w}}(x), \ (\varphi \ \overline{\otimes} \ k) \circ \delta \rangle = \langle x, \ \psi \ \overline{\otimes} \ ((\varphi \ \overline{\otimes} \ k) \circ \delta) \rangle \\ &= \langle x, (\psi \ \overline{\otimes} \ \varphi \ \overline{\otimes} \ k) \circ (\iota_{\mathcal{N}} \ \overline{\otimes} \ \delta) \rangle = \langle x \ \overline{\otimes} \ 1_{\mathcal{A}}, \psi \ \overline{\otimes} \ \varphi \ \overline{\otimes} \ k \rangle \\ &= \langle x, \ \psi \ \overline{\otimes} \ \varphi \rangle \ \langle 1_{\mathcal{A}}, \ k \rangle = \langle E_{\mathcal{A}}^{\mathbf{w}}(x) \ \overline{\otimes} \ 1_{\mathcal{A}}, \ \varphi \ \overline{\otimes} \ k \rangle, \end{split}$$

hence  $E_{\mathcal{A}}^{w}(x) \in \mathcal{M}^{\delta}$ . By Proposition 9.8 it follows that  $x \in \mathcal{N} \otimes \mathcal{M}^{\delta}$ .

18.3. Let  $(\mathscr{A}, \delta_{\mathscr{A}})$  be a Hopf—von Neumann algebra. It is easy to check that the predual  $\mathscr{A}_{*}$  with its Banach space structure and multiplication  $\mathscr{A}_{*} \times \mathscr{A}_{*} \ni (h, k) \mapsto h \cdot k \in \mathscr{A}_{*}$  defined by

$$(1) \langle a, h \cdot k \rangle = \langle \delta_{\mathscr{A}}(a), h \ \overline{\otimes} \ k \rangle (a \in \mathscr{A})$$

is a Banach algebra. Note that

$$(h \cdot k)^* = h^* \cdot k^* \quad (h, \ k \in \mathscr{A}_*).$$

The Banach algebra  $\mathscr{A}_{*}$  is commutative if and only if the Hopf—von Neumann algebra  $(\mathscr{A}, \delta_{\mathscr{A}})$  is cocommutative.

If  $(\mathcal{A}, \delta_{\mathcal{A}}, j_{\mathcal{A}})$  is a coinvolutive Hopf—von Neumann algebra, then the Banach algebra  $\mathcal{A}_{\bullet}$  with the involution  $\mathcal{A}_{\bullet} \ni k \mapsto k^0 = k^* \circ j_{\mathcal{A}} \in \mathcal{A}_{\bullet}$ , i.e.

(3) 
$$\langle a, k^0 \rangle = \overline{\langle j_{\mathscr{A}}(a^*), k \rangle} \quad (a \in \mathscr{A}),$$

is an involutive Banach algebra. Note that

(4) 
$$(k^0)^* = (k^*)^0 \quad (k \in \mathscr{A}).$$

Let  $\delta: \mathcal{M} \to \mathcal{M} \ \overline{\otimes} \ \mathcal{A}$  be an action of the Hopf—von Neumann algebra  $(\mathcal{A}, \delta_{\mathcal{A}})$  on the  $\mathcal{W}^*$ -algebra  $\mathcal{M}$ . For every  $k \in \mathcal{A}_*$  we consider the Fubini mapping (9.8)  $E_{\mathcal{A}}^k: \mathcal{M} \ \overline{\otimes} \ \mathcal{A} \to \mathcal{M}$  and define

$$k \cdot x = E_{\mathcal{A}}^{k}(\delta(x)) \qquad (x \in \mathcal{M}),$$

i.e.

(5) 
$$\langle k \cdot x, \varphi \rangle = \langle \delta(x), \varphi \otimes k \rangle \quad (x \in \mathcal{M}, k \in \mathcal{A}_{\bullet}, \varphi \in \mathcal{M}_{\bullet}).$$

It is easy to check that

(6) the mapping 
$$(k, x) \mapsto k \cdot x$$
 is bilinear;

(7) the mappings 
$$x \mapsto k \cdot x$$
 are w-continuous  $(k \in \mathcal{A}_{\bullet})$ ;

$$||k \cdot x|| \leq ||k|| \, ||x|| \qquad (x \in \mathcal{M}, \ k \in \mathcal{A}_{\bullet});$$

(9) 
$$(k \cdot x)^* = k^* \cdot x^* (x \in \mathcal{M}, k \in \mathcal{A}_*);$$

(10) 
$$x \in \mathcal{M}^+, \ k \in \mathcal{A}^+_* \Rightarrow k \cdot x \in \mathcal{M}^+;$$

(11) 
$$h \cdot (k \cdot x) = (h \cdot k) \cdot x \qquad (x \in \mathcal{M}, h, k \in \mathcal{A}_{\bullet}).$$

In particular, the mapping  $\mathscr{A}_* \times \mathscr{M} \ni (k, x) \mapsto k \cdot x$  determines the structure of a left Banach  $\mathscr{A}_*$ -module on  $\mathscr{M}$ .

On the other hand, the mapping  $\mathcal{M}_{\bullet} \times \mathcal{A}_{\bullet} \ni (\varphi, k) \mapsto \varphi \cdot k \in \mathcal{M}_{\bullet}$ , defined by

(12) 
$$\langle x, \varphi \cdot k \rangle = \langle \delta(x), \varphi \otimes k \rangle = \langle k \cdot x, \varphi \rangle \quad (x \in \mathcal{M}),$$

determines the structure of a right Banach  $\mathcal{A}_{\bullet}$ -module on  $\mathcal{M}_{\bullet}$ ; definition (1) is just a particular case of this definition. Note that

$$||\varphi \cdot k|| \leq ||\varphi|| \, ||k|| \qquad (\varphi \in \mathcal{M}_{\bullet}, \ k \in \mathcal{A}_{\bullet}),$$

(14) 
$$(\varphi \cdot k)^* = \varphi^* \cdot k^* \qquad (\varphi \in \mathcal{M}_*, \ k \in \mathcal{A}_*).$$

Since  $\delta$  is injective and  $\mathcal{M} = (\mathcal{M}_{\bullet})^{\bullet}$ , it follows using the Hahn—Banach theorem that

(15) the set 
$$\{\varphi \cdot k; \varphi \in \mathcal{M}_{\bullet}, k \in \mathcal{A}_{\bullet}\}$$
 is total in  $\mathcal{M}_{\bullet}$ .

If  $\mathcal N$  and  $\mathcal M$  are two  $\mathscr A$ -comodules and  $\sigma\colon \mathcal N\to \mathcal M$  is an  $\mathscr A$ -comodule mapping, then

(16) 
$$\sigma(k \cdot y) = k \cdot \sigma(y) \quad (y \in \mathcal{N}, \ k \in \mathcal{A}_{\bullet}).$$

In particular, if  $\mathcal N$  is an  $\mathscr A$ -subcomodule of  $\mathcal M$ , then

$$(17) y \in \mathcal{N} \subset \mathcal{M}, \ k \in \mathcal{A}_{\bullet} \Rightarrow k \cdot y \in \mathcal{N}.$$

**18.4.** Let G be a locally compact group with neutral element  $e \in G$ , left Haar measure dg = d'g and modular function  $\Delta = \Delta_G$ . Recall that  $\Delta: G \to \mathbb{R}^+ \setminus \{0\}$ 

is a continuous group homomorphism and we have for  $k \in \mathcal{L}^1(G)$ ,  $t \in G$ 

(1) 
$$\int k(tg) dg = \int k(g) dg,$$

(2) 
$$\int k(gt) dg = \Delta(t)^{-1} \int k(g) dg,$$

(3) 
$$\int k(g^{-1}) dg = \int k(g) \Delta(g)^{-1} dg.$$

In particular,  $d'g = \Delta(g)^{-1} dg$  is the right Haar measure.

Let  $\mathcal{K}(G)$  be the set of all continuous functions on G of compact support. The set  $\mathcal{K}(G)$  endowed with the scalar product from  $\mathcal{L}^2(G)$  and with the operations of multiplication and complex conjugation:

(4) 
$$(\xi \eta)(s) = \xi(s)\eta(s) \qquad \xi(s) = \overline{\xi(s)}$$

is a commutative Hilbert algebra. It is easy to check that the associated maximal Hilbert algebra is  $\mathcal{L}^{\infty}(G) \cap \mathcal{L}^2(G) \subset \mathcal{L}^2(G)$  with the same operations. The associated modular operator  $\nabla_G$  and canonical conjugation  $K_G$  are given by

$$\nabla_G \xi = \xi \qquad K_G \xi = \overline{\xi}.$$

The associated left and right von Neumann algebras both coincide with the von Neumann algebra  $\mathcal{L}^{\infty}(G)$  acting by multiplication on  $\mathcal{L}^{2}(G)$ :

(6) 
$$(f\xi)(s) = f(s)\xi(s).$$

By the commutation theorem ([L], 10.4.(2)) it follows that  $\mathcal{L}^{\infty}(G)$  is maximal commutative in  $\mathcal{A}(\mathcal{L}^2(G))$ :

(7) 
$$\mathscr{L}^{\infty}(G)' = \mathscr{L}^{\infty}(G).$$

The natural weight on  $\mathcal{L}^{\infty}(G)$  associated with this Hilbert algebra ([L], 10.16) is denoted by  $\mu_G$  and is called the Haar weight on  $\mathcal{L}^{\infty}(G)$ . We have

(8) 
$$\mu_G(f) = \int f(g) \, \mathrm{d}g \qquad (f \in \mathscr{L}^{\infty}(G)^+).$$

On the other hand, the set  $\mathcal{K}(G)$  endowed with the scalar product from  $\mathcal{L}^2(G)$  and with the operations of convolution and involution

(9) 
$$(\xi * \eta)(s) = \int \xi(g) \eta(g^{-1}s) \, dg, \quad \xi^*(s) = \Delta(s)^{-1} \overline{\xi(s^{-1})}$$

is a left Hilbert algebra. The associated modular operator  $\Delta_G$  and canonical conjugation  $J_G$  are given by

$$(10) \qquad (\Delta_G \xi)(s) = \Delta(s)\xi(s) \qquad (J_G \xi)(s) = \Delta(s)^{-1/2}\overline{\xi(s^{-1})}.$$

The associated left von Neumann algebra, denoted by  $\mathfrak{L}(G)$ , is generated by the left regular representation  $\lambda: G \ni g \mapsto \lambda(g) \in \mathscr{B}(\mathcal{L}^2(G))$ ,

$$(\lambda(g)\xi)(s) = \xi(g^{-1}s)$$

while the associated right von Neumann algebra, denoted by  $\Re(G)$ , is generated by the right regular representation  $\rho: G \ni g \mapsto \rho(g) \in \Re(\mathcal{L}^2(G))$ ,

(12) 
$$(\rho(g)\xi)(s) = \Delta(g)^{1/2}\xi(sg).$$

Thus.

(13) 
$$\mathfrak{L}(G) = \mathfrak{R}\{\lambda(g); g \in G\} \qquad \mathfrak{R}(G) = \mathfrak{R}\{\rho(g); g \in G\}$$

and, by the commutation theorem ([L], 10.4.(2)), we have

$$\mathfrak{L}(G)'=\mathfrak{R}(G).$$

The natural weight on  $\mathfrak{L}(G)$  associated with this left Hilbert algebra ([L], 10.16) is denoted by  $\omega_G$  and is called the Plancherel weight on  $\mathfrak{L}(G)$ . The properties of the Plancherel weight will be studied later (18.17).

Using the commutation relations (7) and (14) as well as the fact that the only translation invariant functions on G are the constant functions, we obtain

$$\mathscr{A}(\mathscr{L}^2(G)) = \mathscr{R}\{\mathscr{L}^{\infty}(G), \ \mathfrak{L}(G)\} = \mathscr{R}\{\mathscr{L}^{\infty}(G), \ \mathfrak{R}(G)\}.$$

We define a unitary operator  $W_G$  on  $\mathcal{L}^2(G) \otimes \mathcal{L}^2(G)$  by

$$(W_G\zeta)(s,t)=\zeta(s,st)$$

 $(\zeta \in \mathcal{L}^2(G \times G); s, t \in G)$ . Consider also the unitary operator  $V_G = \widetilde{W}_G^* = \sim W_G^* \sim W_G^*$ 

$$(V_G\zeta)(s, t) = \zeta(t^{-1}s, t).$$

Using the commutation relations we get

(18) 
$$W_G \in \mathcal{L}^{\infty}(G) \boxtimes \mathfrak{L}(G), \ V_G \in \mathfrak{L}(G) \boxtimes \mathcal{L}^{\infty}(G).$$

18.5. We now consider the first example of a coinvolutive Hopf—von Neumann algebra associated with a locally compact group G, namely the triple

$$\mathbf{G} = (\mathcal{L}^{\infty}(G), \pi_G, k_G)$$

consisting of the von Neumann algebra  $\mathscr{L}^{\infty}(G) \subset \mathscr{B}(\mathscr{L}^2(G))$  with comultiplication  $\pi_G \colon \mathscr{L}^{\infty}(G) \to \mathscr{L}^{\infty}(G) \ \otimes \mathscr{L}^{\infty}(G)$  defined by

(1) 
$$\pi_G(f) = V_G^*(f \ \overline{\otimes} \ 1_G)V_G,$$

i.e.  $\pi_G(f)$  is the multiplication operator on  $\mathscr{L}^2(G \times G)$  given by the function

$$(2) \qquad (\pi_G(f))(s, t) = f(ts),$$

and coinvolution  $k_G: \mathscr{L}^{\infty}(G) \to \mathscr{L}^{\infty}(G)$  defined by

$$k_G(f) = J_G \overline{f} J_G,$$

i.e.  $k_G(f)$  is the multiplication operator on  $\mathcal{L}^2(G)$  given by the function

(4) 
$$(k_G(f))(s) = f(s^{-1}).$$

It is easy to check that requirements 18.2. (1), 18.2.(3) are satisfied. The predual  $\mathscr{L}^{\infty}(G)_{*}$  of the von Neumann algebra  $\mathscr{L}^{\infty}(G) \subset \mathscr{B}(\mathscr{L}^{2}(G))$  is identified with the Banach space  $\mathscr{L}^{1}(G)$  in the usual way:

(5) 
$$\langle f, k \rangle = \int f(g)k(g) \, \mathrm{d}g \qquad (f \in \mathcal{L}^{\infty}(G), k \in \mathcal{L}^{1}(G)).$$

Indeed, since  $\mathscr{L}^{\infty}(G) \subset \mathscr{A}(\mathscr{L}^2(G))$  is in standard form, every element  $k \in \mathscr{L}^{\infty}(G)_*$  is of the form  $\omega_{\xi,\eta}$  with  $\xi, \eta \in \mathscr{L}^2(G)$ , hence k will correspond to the function  $\xi \bar{\eta} \in \mathscr{L}^1(G)$ . By definitions 18.3.(1), 18.3.(3),  $\mathscr{L}^1(G)$  becomes an involutive Banach algebra. It is easy to check that for  $h, k \in \mathscr{L}^1(G)$  we have

$$(6) h \cdot k = k * h,$$

$$k^0=k^*,$$

the convolution "\*" and the involution "#" being defined by 18.4.(9).

The definition of  $\pi_G$  can be extended to an action of G on  $\mathscr{B}(\mathscr{L}^2(G))$ , still denoted by

$$\pi_G\colon \mathscr{B}(\mathscr{L}^2(G))\to \mathscr{B}(\mathscr{L}^2(G))\ \overline{\otimes}\ \mathscr{L}^\infty(G),$$

namely

(8) 
$$\pi_G(x) = V_G^*(x \otimes 1_G) V_G \qquad (x \in \mathscr{B}(\mathscr{L}^2(G))).$$

Note that

(9) 
$$\mathscr{B}(\mathscr{L}^2(G))^{\pi_G} = \mathscr{R}(G).$$

Indeed, if  $x \in \mathcal{B}(\mathcal{L}^2(G))$  and  $\pi_G(x) = x \otimes 1_G$ , then  $V_G(x \otimes 1_G) = (x \otimes 1_G)V_G$ . By applying this to a vector of the form  $\xi \otimes \eta$  with  $\xi$ ,  $\eta \in \mathcal{X}(G)$  and then taking the scalar product with another vector of the same form, it follows that  $x\lambda(t) = \lambda(t)x$   $(t \in G)$ . Hence  $x \in \mathcal{L}(G)' = \mathcal{R}(G)$ .

More generally, for any  $W^*$ -algebra  $\mathcal{M}$ , the mapping

$$\iota_{\mathcal{A}} \ \overline{\otimes} \ \pi_G \colon \mathcal{M} \ \overline{\otimes} \ \mathcal{B}(\mathcal{L}^2(G)) \to \mathcal{M} \ \overline{\otimes} \ \mathcal{B}(\mathcal{L}^2(G)) \ \overline{\otimes} \ \mathcal{L}^{\infty}(G)$$

determines an action of G on  $\mathcal{M} \otimes \mathcal{B}(\mathcal{L}^2(G))$ ,

$$(10) \ (\iota_{\mathscr{M}} \ \overline{\otimes} \ \pi_{G})(X) = (1_{\mathscr{M}} \ \overline{\otimes} \ V_{G}^{*})(X \ \overline{\otimes} \ 1_{G})(1_{\mathscr{M}} \ \overline{\otimes} \ V_{G}) \qquad (X \in \mathscr{M} \ \overline{\otimes} \ \mathscr{B}(\mathscr{L}^{2}(G)))$$

and, by (9) and 18.2.(7), we have

$$(11) \qquad (\mathscr{M} \ \overline{\otimes} \ \mathscr{B}(\mathscr{L}^2(G))^{i_{\mathscr{M}} \overline{\otimes} \pi_G} = \mathscr{M} \ \overline{\otimes} \ \mathscr{B}(G).$$

18.6. In this Section we show that the actions of the Hopf—von Neumann algebra G on the  $W^*$ -algebra  $\mathcal{M}$  actually correspond to the continuous actions of the locally compact group G on  $\mathcal{M}$ .

Consider first a continuous action  $\sigma: G \ni g \mapsto \sigma_g \in Aut(\mathcal{M})$  of G on  $\mathcal{M}$ . For each  $x \in \mathcal{M}$ , the function  $G \ni g \mapsto \sigma_g^{-1}(x) \in \mathcal{M}$  is w-continuous and bounded by ||x||, hence (Lemma 1/13.1) it defines a unique element  $\pi_{\sigma}(x) \in \mathcal{M} \boxtimes \mathcal{L}^{\infty}(G)$  such that

(1) 
$$\langle \pi_{\sigma}(x), \varphi \otimes k \rangle = \int \varphi(\sigma_{g}^{-1}(x))k(g) dg$$

for  $\varphi \in \mathcal{M}_*$  and  $k \in \mathcal{L}^1(G)$ . If  $\mathcal{M} \subset \mathcal{B}(\mathcal{H})$  and  $\mathcal{M} \otimes \mathcal{L}^{\infty}(G) \subset \mathcal{B}(\mathcal{L}^2(G,\mathcal{H}))$  are realized as von Neumann algebras, then

(2) 
$$(\pi_{\sigma}(x)\xi)(g) = \sigma_{g}^{-1}(x)\xi(g) \qquad (\xi \in \mathcal{L}^{2}(G,\mathcal{H}), g \in G).$$

Using (1) or (2), it is easy to check that

$$\pi_{\sigma} \colon \mathcal{M} \to \mathcal{M} \ \overline{\otimes} \ \mathcal{L}^{\infty}(G)$$

is an injective unital normal \*-homomorphism. We show that  $\pi_{\sigma}$  is an action of G on  $\mathcal{M}$ , i.e.

$$(\mathbf{i}_{\mathscr{M}} \otimes \pi_G) \circ \pi_{\sigma} = (\pi_{\sigma} \otimes \mathbf{i}_G) \cdot \pi_{\sigma}.$$

Indeed, for  $x \in \mathcal{M}$ ,  $\varphi \in \mathcal{M}_*$  and  $h, k \in \mathcal{L}^1(G)$  we have

$$\langle (\iota_{\mathscr{M}} \otimes \pi_{G})(\pi_{\sigma}(x)), \ \varphi \otimes h \otimes k \rangle = \langle \pi_{\sigma}(x), \ \varphi \otimes ((h \otimes k) \cdot \pi_{G})$$

$$= \langle \pi_{\sigma}(x), \ \varphi \otimes (h \cdot k) \rangle = \langle \pi_{\sigma}(x), \ \varphi \otimes (k * h) \rangle$$

$$= \int \varphi(\sigma_{g}^{-1}(x))(k * h)(g) \, \mathrm{d}g = \int \varphi(\sigma_{g}^{-1}(x))k(t)h(t^{-1}g) \, \mathrm{d}t \, \mathrm{d}g$$

and

$$\langle (\pi_{\sigma} \boxtimes \iota_{G})(\pi_{\sigma}(x)), \varphi \boxtimes h \boxtimes k \rangle = \langle \pi_{\sigma}(x), ((\varphi \boxtimes h) \circ \pi_{\sigma}) \boxtimes k \rangle$$

$$= \int \langle \pi_{\sigma}(\sigma_{t}^{-1}(x)), \varphi \boxtimes h \rangle k(t) dt = \iint \varphi(\sigma_{s}^{-1}(\sigma_{t}^{-1}(x))) h(s) k(t) ds dt$$

$$= \iint \varphi(\sigma_{ts}^{-1}(x)) h(s) k(t) ds dt = \iint \varphi(\sigma_{g}^{-1}(x)) k(t) h(t^{-1}g) dg dt,$$

and Fubini's theorem insures that the two integrals are equal.

We now show that

$$\mathcal{M}^{\pi_{\sigma}} = \mathcal{M}^{\sigma}.$$

i.e. that for  $x \in \mathcal{M}$  we have

$$\pi_{\sigma}(x) = x \ \overline{\otimes} \ 1_G \Leftrightarrow \sigma_{g}(x) = x \ \text{ for all } g \in G.$$

Indeed, if  $\sigma_g(x) = x$  for all  $g \in G$ , then for  $\varphi \in \mathcal{M}_*$  and  $k \in \mathcal{L}^1(G)$  we have  $\langle \pi_{\sigma}(x), \varphi \otimes k \rangle = \int \varphi(x)k(g) \, \mathrm{d}g = \langle x, \varphi \rangle \, \langle 1_G, k \rangle = \langle x \otimes 1_G, \varphi \otimes k \rangle$ , hence  $\pi_{\sigma}(x) = x \otimes 1_G$ . Conversely, if  $\pi_{\sigma}(x) = x \otimes 1_G$ , then for  $\varphi \in \mathcal{M}_*$  and  $k \in \mathcal{L}^1(G)$  we have

$$\int \varphi(\sigma_g^{-1}(x))k(g)\,\mathrm{d}g = \int \varphi(x)k(g)\,\mathrm{d}g.$$

It follows that the continuous function  $G\ni g\mapsto \varphi(\sigma_g^{-1}(x))$  coincides almost everywhere with the constant function  $\varphi(x)$ . Consequently,  $\varphi(\sigma_g^{-1}(x))=\varphi(x)$  for all  $g\in G$  and  $\varphi\in \mathcal{M}_*$ , i.e.  $x\in \mathcal{M}^\sigma$ . Note that

(5) 
$$\pi_{\sigma}(\sigma_{g}(x)) = (1_{\mathscr{M}} \overline{\otimes} \lambda(g))\pi_{\sigma}(x)(1_{\mathscr{M}} \overline{\otimes} \lambda(g))^{*} \qquad (x \in \mathscr{M}, g \in G).$$

Indeed, for  $k \in \mathcal{L}^1(G) = \mathcal{L}^{\infty}(G)_*$ , the element  $k \circ \operatorname{Ad}(\lambda(g)) \in \mathcal{L}^{\infty}(G)_*$ , considered as an  $\mathcal{L}^1$ -function on G, can be written

$$(k \circ \operatorname{Ad}(\lambda(g)))(t) = k(gt) \quad (t \in G).$$

Consequently, for  $\varphi \in \mathcal{M}_{\bullet}$ ,  $k \in \mathcal{L}^1(G)$ , we have

$$\langle (1 \otimes \lambda(g))\pi_{\sigma}(x)(1 \otimes \lambda(g))^{*}, \varphi \otimes k \rangle = \langle \pi_{\sigma}(x), \varphi \otimes (k \cdot \operatorname{Ad}(\lambda(g))) \rangle$$

$$= \int \varphi(\sigma_{t^{-1}}(x))k(gt) dt = \int \varphi(\sigma_{t^{-1}g}(x))k(t) dt$$

$$= \int \varphi(\sigma_{t}^{-1}(\sigma_{g}(x)))k(t) dt = \langle \pi_{\sigma}(\sigma_{g}(x)), \varphi \otimes k \rangle.$$

Recall that for every  $k \in \mathcal{L}^1(G)$  and every  $x \in \mathcal{M}$  we have defined an element (13.2)

$$\sigma_k(x) = \int \sigma_g(x) k(g) \, \mathrm{d}g$$

and also an element (18.3.(5))

$$k \cdot x = E_{\mu}^{k}(\pi_{\sigma}(x)).$$

We have:

(6) 
$$k \cdot x = \sigma_{\overline{k}^*}(x) \qquad (x \in \mathcal{M}, \ k \in \mathcal{L}^1(G)).$$

Indeed, for every  $\varphi \in \mathcal{M}_{\bullet}$  we obtain

$$\begin{aligned} \langle k \cdot x, \; \varphi \rangle &= \langle \pi_{\sigma}(x), \; \varphi \; \overline{\otimes} \; k \rangle = \int \varphi(\sigma_{\mathbf{g}}^{-1}(x))k(g) \, \mathrm{d}g \\ \\ &= \left\langle \int \sigma_{\mathbf{g}}^{-1}(x)k(g) \, \mathrm{d}g, \; \varphi \right\rangle = \left\langle \int \sigma_{\mathbf{g}}(x)k(g^{-1})\Delta(g^{-1}) \, \mathrm{d}g, \; \varphi \right\rangle \\ &= \left\langle \int \sigma_{\mathbf{g}}(x)\overline{k^{\bullet}(g)} \, \mathrm{d}g, \; \varphi \right\rangle = \left\langle \sigma_{\overline{k^{\bullet}}}(x), \varphi \right\rangle. \end{aligned}$$

Note also that, writing  $k^{t}(g) = k(gt)$ , we have

(7) 
$$\sigma_t(k \cdot x) = \Delta(t)(k^t \cdot x) \qquad (t \in G),$$

the verification being similar to the above computation.

Using 15.1.(2), we infer from (6) that for any norm-bounded approximate unit  $\{k_i\}_{i\in I}$  of  $\mathcal{L}^1(G)$  and any  $x\in\mathcal{M}$  we have

$$k_i \cdot x \xrightarrow{w} x.$$

Moreover, using (7), from (8) we also obtain

(9) 
$$\Delta(t)(k_t^i \cdot x) \xrightarrow{w} \sigma_t(x) \qquad (t \in G).$$

Conversely, we have the following

Proposition. Let  $\pi: \mathcal{M} \to \mathcal{M} \ \overline{\otimes} \ \mathcal{L}^{\infty}(G)$  be an action of G on  $\mathcal{M}$ . There exists a continuous action  $\sigma: G \to \operatorname{Aut}(\mathcal{M})$  of G on  $\mathcal{M}$ , uniquely determined such that  $\pi = \pi_{\sigma}$ .

Proof. (I) Consider first the action

$$\pi = \pi_G \colon \mathscr{B}(\mathscr{L}^2(G)) \to \mathscr{B}(\mathscr{L}^2(G)) \ \overline{\otimes} \ \mathscr{L}^{\infty}(G)$$

of G on  $\mathcal{B}(\mathcal{L}^2(G))$ . There exists a continuous action

$$\sigma = \mathrm{Ad}(\lambda) \colon G \to \mathrm{Aut}(\mathcal{B}(\mathcal{L}^2(G)))$$

of G on  $\mathcal{B}(\mathcal{L}^2(G))$ , defined by the left regular representation. Since  $\mathcal{B}(\mathcal{L}^2(G)) = \mathcal{B}\{\mathcal{L}^\infty(G), \mathcal{B}(G)\}$ , in order to establish that  $\pi = \pi_\sigma$  it is sufficient to show that  $\pi(x) = \pi_\sigma(x)$  separately for  $x \in \mathcal{B}(G)$  and for  $x \in \mathcal{L}^\infty(G)$ . If  $x \in \mathcal{B}(G)$ , then it is clear that  $\pi(x) = x \otimes 1 = \pi_\sigma(x)$ . Also, for  $x = f \in \mathcal{L}^\infty(G)$  it is easy to check that  $\pi_\sigma(x) = \pi(x)$  is the multiplication operator on  $\mathcal{L}^2(G \times G)$  given by the function  $(s, t) \mapsto f(ts)$ . Hence  $\pi = \pi_\sigma$ .

(II) Consider next the action

$$\pi = \operatorname{I}_{\mathcal{M}} \mathbin{\overline{\otimes}} \pi_G \colon \mathscr{M} \mathbin{\overline{\otimes}} \mathscr{B}(\mathscr{L}^2(G)) \to \mathscr{M} \mathbin{\overline{\otimes}} \mathscr{B}(\mathscr{L}^2(G)) \mathbin{\overline{\otimes}} \mathscr{L}^\infty(G)$$

of G on  $\mathcal{M} \ \overline{\otimes} \ \mathcal{B}(\mathcal{L}^2(G))$  and the continuous action

$$\sigma = \iota_{\mathscr{M}} \overline{\otimes} \operatorname{Ad}(\lambda) \colon G \to \operatorname{Aut}(\mathscr{M} \overline{\otimes} \mathscr{B}(\mathscr{L}^2(G)))$$

of G on  $\mathcal{M} \otimes \mathcal{B}(\mathcal{L}^2(G))$ , i.e.

$$\sigma_{\mathbf{g}}(X) = (1_{\mathscr{M}} \mathbin{\overline{\otimes}} \lambda(g)) X (1_{\mathscr{M}} \mathbin{\overline{\otimes}} \lambda(g))^* \qquad (g \in G, \ X \in \mathscr{M} \mathbin{\overline{\otimes}} \mathscr{B}(\mathscr{L}^2(G))).$$

Using the result proved in step (I), it is easy to see that in the present situation also, we have  $\pi = \pi_{\sigma}$ .

(III) It is clear that if the action  $\pi$  of G on  $\mathcal{M}$  is isomorphic to an action of the type  $\pi_{\sigma}$ , then  $\pi$  is also of the form  $\pi_{\sigma}$ .

(IV) Now let  $\sigma: G \to Aut(\mathcal{M})$  be a continuous action of G on  $\mathcal{M}$  and let  $\mathcal{N} \subset \mathcal{M}$  be a unital  $\mathcal{W}^*$ -subalgebra such that  $\pi_{\sigma}(\mathcal{N}) \subset \mathcal{N} \ \overline{\otimes} \ \mathcal{L}^{\infty}(G)$ . Then  $\pi = \pi_{\sigma}|\mathcal{N}$  is an action of G on  $\mathcal{N}$  and  $\mathcal{N}$  with the G-comodule structure defined by  $\pi$  is a G-subcomodule of the G-comodule  $\mathcal{M}$  defined by  $\pi_{\sigma}$ . Consequently, for  $y \in \mathcal{N} \subset \mathcal{M}$  we have (see 18.3.(17))

$$k \cdot y \in \mathcal{N}$$
  $(k \in \mathcal{L}^1(G))$ 

and if  $\{k_i\}_{i\in I}$  is a norm-bounded approximate unit of  $\mathcal{L}^1(G)$ , then, according to (9),

$$\mathcal{N}\ni \varDelta(t)(k_t^t\!\cdot\!y)\stackrel{w}{\to}\sigma_t(y) \qquad (t\in G).$$

It follows that  $\sigma_t(\mathcal{N}) = \mathcal{N}$   $(t \in G)$ , and  $\pi = \pi_{\sigma} | \mathcal{N} = \pi_{\sigma | \mathcal{N}}$ .

(V) Finally, let  $\pi: \mathcal{M} \to \mathcal{M} \ \overline{\otimes} \ \mathcal{L}^{\infty}(G)$  be any action of G on  $\mathcal{M}$ . By the coassociativity condition  $(\iota_{\mathcal{M}} \ \overline{\otimes} \ \pi_G) \circ \pi = (\pi \ \overline{\otimes} \ \iota_G) \circ \pi$  it follows that  $\pi(\mathcal{M})$  is a G-subcomodule of the G-comodule  $\mathcal{M} \ \overline{\otimes} \ \mathcal{B}(\mathcal{L}^2(G))$  which is isomorphic to the G-comodule  $\mathcal{M}$ . Thus, the existence assertion of the statement follows from (II), (III) and (IV).

(V) The uniqueness assertion follows easily using (9).

18.7. We now consider the second example of a coinvolutive Hopf—von Neumann algebra associated with a locally compact group G, namely the triple

$$\hat{\mathbf{G}} = (\mathfrak{L}(G), \ \delta_G, \ j_G)$$

consisting of the von Neumann algebra  $\mathfrak{L}(G) \subset \mathfrak{B}(\mathcal{L}^2(G))$  with comultiplication  $\delta_G \colon \mathfrak{L}(G) \to \mathfrak{L}(G) \ \overline{\otimes} \ \mathfrak{L}(G)$  defined by

$$\delta_{G}(x) = W_{G}^{*}(x \otimes 1_{G})W_{G},$$

so that, in particular,

(2) 
$$\delta_{G}(\lambda(g)) = \lambda(g) \ \overline{\otimes} \ \lambda(g),$$

and coinvolution  $j_G: \mathfrak{L}(G) \to \mathfrak{L}(G)$  defined by

$$j_G(x) = K_G x^* K_G,$$

so that, in particular,

$$(4) j_G(\lambda(g)) = \lambda(g^{-1}).$$

It is easy to check that 18.2.(1), 18.2.(3) are satisfied.

Let  $\mathscr{A}(G) = \mathscr{L}(G)_*$  be the predual of  $\mathscr{L}(G)$  endowed with the corresponding involutive Banach algebra structure (18.3.(1), 18.3.(3)). For every  $k \in \mathscr{A}(G)$  we consider the function  $k(\cdot)$  defined by

(5) 
$$k(g) = \langle \lambda(g), k \rangle \quad (g \in G).$$

Then  $k(\cdot)$  is a continuous function in  $\mathcal{L}^{\infty}(G)$  and

$$||k(\cdot)||_{\infty} \leqslant ||k||_{\mathscr{A}(G)}.$$

Actually, the mapping  $\mathscr{A}(G) \ni k \mapsto k(\cdot) \in \mathscr{L}^{\infty}(G)$  is an injective \*-homomorphism. Indeed, this mapping is clearly linear and injective and, for  $h, k \in \mathscr{A}(G)$  and  $g \in G$ , we have

(6) 
$$(h \cdot k)(g) = \langle \lambda(g), h \cdot k \rangle = \langle \delta_G(\lambda(g)), h \otimes k \rangle$$

$$= \langle \lambda(g) \otimes \lambda(g), h \otimes k \rangle = h(g)k(g),$$

(7) 
$$k^{0}(g) = \langle \lambda(g), k^{0} \rangle = \langle j_{G}(\lambda(g)), k^{*} \rangle = \overline{\langle \lambda(g^{-1})^{*}, k \rangle} = \overline{\langle \lambda(g), k \rangle} = \overline{k(g)}.$$

Since  $\mathfrak{L}(G) \subset \mathfrak{A}(\mathscr{L}^2(G))$  is in standard form, every  $k \in \mathscr{A}(G)$  is of form  $\omega_{\xi, \eta}$  with  $\xi, \eta \in \mathscr{L}^2(G)$ . In this case it is easy to check that  $k(\cdot) = \eta * \check{\xi}$ , where  $\check{\xi}(g) = \xi(g^{-1})$   $(g \in G)$ .

The involutive Banach algebra  $\mathscr{A}(G)$  is called the Eymard algebra. The definition of  $\delta_G$  can be extended with the aid of equality (1) to an action of  $\hat{\mathbf{G}}$  on  $\mathscr{B}(\mathscr{L}^2(G))$  still denoted by

(8) 
$$\delta_G \colon \mathscr{B}(\mathscr{L}^2(G)) \to \mathscr{B}(\mathscr{L}^2(G)) \ \overline{\otimes} \ \mathfrak{L}(G).$$

Note that

(9) 
$$\mathscr{R}(\mathscr{L}^2(G))^{\delta_G} = \mathscr{L}^{\infty}(G),$$

the proof being similar to the proof of 18.5.(9). More generally, for any  $W^*$ -algebra  $\mathcal{M}$ , the mapping

$$1_{\mathcal{M}} \ \overline{\otimes} \ \delta_G \colon \mathscr{M} \ \overline{\otimes} \ \mathscr{B}(\mathscr{L}^2(G)) \to \mathscr{M} \ \overline{\otimes} \ \mathscr{B}(\mathscr{L}^2(G)) \ \overline{\otimes} \ \mathfrak{L}(G)$$

determines an action of  $\hat{\mathbf{G}}$  on  $\mathcal{M} \otimes \mathcal{B}(\mathcal{L}^2(G))$ ,

$$(10) \ (\mathbf{1}_{\mathcal{M}} \ \overline{\otimes} \ \delta_G)(X) = (\mathbf{1}_{\mathcal{M}} \ \overline{\otimes} \ W_G^*)(X \ \overline{\otimes} \ \mathbf{1}_G)(\mathbf{1}_{\mathcal{M}} \ \overline{\otimes} \ W_G) \qquad (X \in \mathcal{M} \ \overline{\otimes} \ \mathcal{B}(\mathcal{L}^2(G))),$$

and, by (9) and 18.2.(7), we have

(11) 
$$(\mathscr{M} \overline{\otimes} \mathscr{B}(\mathscr{L}^{2}(G)))^{'\mathcal{M}^{\overline{\otimes}^{0}}G} = \mathscr{M} \overline{\otimes} \mathscr{L}^{\infty}(G).$$

Also, there exists another action of  $\hat{G}$  on  $\mathcal{B}(\mathcal{L}^2(G))$ ,

$$\delta_G^* \colon \mathscr{B}(\mathscr{L}^2(G)) \to \mathscr{B}(\mathscr{L}^2(G)) \ \overline{\otimes} \ \mathfrak{L}(G),$$

defined by

(12) 
$$\delta_G^*(x) = W_G(x \otimes 1_G)W_G^* \qquad (x \in \mathcal{B}(\mathcal{L}^2(G))),$$

with centralizer

(13) 
$$\mathscr{B}(\mathscr{L}^2(G))^{\delta_{G}^{*}} = \mathscr{L}^{\infty}(G)$$

and, correspondingly, an action  $i_{\mathscr{M}} \otimes \delta_G^*$  of  $\hat{G}$  on  $\mathscr{M} \otimes \mathscr{B}(\mathscr{L}^2(G))$  with centralizer

(14) 
$$(\mathcal{M} \ \overline{\otimes} \ \mathcal{B}(\mathcal{L}^2(G))^{i,n} = \mathcal{M} \ \overline{\otimes} \ \mathcal{L}^{\infty}(G).$$

18.8. Assume now that the locally compact group G is abelian and consider the dual locally compact abelian group  $\hat{G}$  of all continuous characters on G, endowed with the compact-open topology. We choose the Haar measures dg on G and dy on  $\hat{G}$  such that the inversion theorem for the Fourier transform holds.

By the Pontryagin duality theorem, G can be identified with the dual group  $G^{\hat{}}$  of G. For  $g \in G = G^{\hat{}}$  and  $\gamma \in \hat{G}$ , we shall denote by  $\langle g, \gamma \rangle$  the value of  $\gamma$  at g (or the value of g at  $\gamma$ ).

By the Plancherel theorem, the restriction of the Fourier transform to  $\mathcal{L}^1(G) \cap \mathcal{L}^2(G)$  can be extended to a unitary operator

$$F: \mathscr{L}^2(G) \to \mathscr{L}^2(\hat{G})$$

called the Fourier-Plancherel isomorphism, whose inverse is obtained similarly from the inverse Fourier transform.

Recall that if we denote by  $\mathscr{B}(G)$  the linear span of continuous positive definite functions on G, then  $\mathscr{D}(G) = \mathscr{K}(G) \cap \mathscr{B}(G)$  is a dense translation invariant linear subspace of  $\mathscr{L}^2(G)$  and for every  $\xi \in \mathscr{D}(G)$  we have

(1) 
$$(F\zeta)(\gamma) = \hat{\zeta}(\gamma) = \int_{G} \zeta(g) \langle g, \gamma \rangle \, \mathrm{d}g \qquad (\gamma \in \hat{G}).$$

Also,  $F(\mathcal{D}(G))$  is a dense linear subspace of  $\mathcal{L}^2(\hat{G})$ , invariant under multiplication by characters, and for every  $\eta \in F(\mathcal{D}(G))$  we have

(2) 
$$(F^{-1}\eta)(g) = \hat{\eta}(g) = \int_{\hat{G}} \eta(\gamma) \langle g, \gamma \rangle \, \mathrm{d}\gamma \qquad (g \in G).$$

The characters  $g \in G = G^{\hat{}}$  on  $\hat{G}$  are  $\mathcal{L}^{\infty}$ -functions. For the sake of clarity, the unitary operator given by the multiplication with the function  $g \in \mathcal{L}^{\infty}(\hat{G})$  on  $\mathcal{L}^2(\hat{G})$  will be denoted by  $\mathbf{m}(g)$ :

(3) 
$$(\mathbf{m}(g)\eta)(\gamma) = \langle g, \gamma \rangle \eta(\gamma) \qquad (\eta \in \mathcal{L}^2(\hat{G}), \ \gamma \in \hat{G}).$$

Since the trigonometric polynomials (i.e. the linear combinations of characters) are dense in  $\mathcal{K}(\hat{G})$  with respect to the topology of uniform convergence on compact subsets, it follows that the von Neumann algebra  $\mathcal{L}^{\infty}(\hat{G}) \subset \mathcal{A}(\mathcal{L}^2(\hat{G}))$  is generated by the operators m(g)  $(g \in G)$ .

We recall that the von Neumann algebra  $\mathfrak{L}(G) \subset \mathcal{B}(\mathcal{L}^2(G))$  is generated by the left translation operators  $\lambda(g)$ ,  $(g \in G)$ .

**Proposition.** The Fourier-Plancherel isomorphism establishes an isomorphism between the coinvolutive Hopf—von Neumann algebras  $(\mathfrak{L}(G), \delta_G, j_G)$  and  $(\mathcal{L}^{\infty}(\hat{G}), \pi_{\hat{G}}, \pi_{\hat{G}})$ .

*Proof.* We define a \*-isomorphism  $\Phi: \mathscr{A}(\mathscr{L}^2(G)) \to \mathscr{A}(\mathscr{L}^2(\hat{G}))$  by

and show that  $\Phi(\mathfrak{L}(G)) = \mathscr{L}^{\infty}(\hat{G})$ ,  $(\Phi \otimes \Phi) \cdot \delta_G = \pi_{\hat{G}} \cdot \Phi$ ,  $\Phi \cdot j_G = k_{\hat{G}} \cdot \Phi$ .

For  $g \in G$ ,  $\xi \in \mathcal{D}(G)$ ,  $\gamma \in \hat{G}$  we have

$$(\mathbf{m}(g)F\xi)(\gamma) = \langle g, \gamma \rangle (F\xi)(\gamma) = \langle g, \gamma \rangle \int \xi(t) \langle t, \gamma \rangle dt$$

$$= \int \xi(t) \langle gt, \gamma \rangle dt = \int \xi(g^{-1}s) \langle s, \gamma \rangle ds$$

$$= \int (\lambda(g)\xi)(s) \langle s, \gamma \rangle ds = (F\lambda(g)\xi)(\gamma),$$

that is  $m(g)F = F\lambda(g)$ , and hence

$$\phi(\lambda(g)) = \mathbf{m}(g) \qquad (g \in G),$$

so that  $\Phi(\mathfrak{L}(G)) = \mathscr{L}^{\infty}(\widehat{G})$ .

For  $g \in G$ , the operator  $\pi_{\widehat{G}}(\mathbf{m}(g))$  is (by 18.5.(2)) the multiplication operator by the function

$$\hat{G} \times \hat{G} \ni (\alpha, \beta) \mapsto \langle g, \alpha\beta \rangle = \langle g, \alpha \rangle \langle g, \beta \rangle$$

on  $\mathcal{L}^2(\hat{G}\times\hat{G})$ , that is,  $\pi_{\hat{G}}(\mathbf{m}(g))=\mathbf{m}(g)\boxtimes\mathbf{m}(g)$ . According to (5) and 18.7.(2) we get

$$(\Phi \mathbin{\overline{\otimes}} \Phi)(\delta_G(\lambda(g))) = \Phi(\lambda(g)) \mathbin{\overline{\otimes}} \Phi(\lambda(g)) = \mathbf{m}(g) \mathbin{\overline{\otimes}} \mathbf{m}(g) = \pi_{\hat{G}}(\Phi(\lambda(g))),$$

so that  $(\Phi \boxtimes \Phi) \circ \delta_G = \pi_{\hat{G}} \circ \Phi$ .

Finally, using (5), 18.5.(4) and 18.7.(4), it is easy to see that

$$\Phi(j_G(\lambda(g))) = \Phi(\lambda(g^{-1})) = \mathbf{m}(g^{-1}) = k_{\widehat{G}}(\mathbf{m}(g)) = k_{\widehat{G}}(\Phi(\lambda(g)),$$

so that  $\Phi \circ j_G = k_{\hat{G}} \circ \Phi$ .

Thus, if G is abelian, the notation  $\hat{G}$  introduced with two different meanings in Sections 18.5 and 18.7, designates the same coinvolutive Hopf—von Neumann algebra.

Also, according to Proposition 18.6, every action  $\delta: \mathcal{M} \to \mathcal{M} \ \overline{\otimes} \ \mathfrak{L}(G)$  of  $\hat{G} = (\mathfrak{L}(G), \delta_G, j_G)$  on a  $\mathcal{W}^*$ -algebra  $\mathcal{M}$  corresponds to a continuous action  $\sigma: \hat{G} \to Aut(\mathcal{M})$  of  $\hat{G}$  on  $\mathcal{M}$ , uniquely determined, such that  $\pi_{\sigma} = (\iota_{\mathcal{M}} \ \overline{\otimes} \ \Phi) \cdot \delta$ .

18.9. We continue to assume that the locally compact group G is abelian. For  $\gamma \in \hat{G}$  we denote by  $\mathbf{m}(\gamma) \in \mathcal{L}^{\infty}(G) \subset \mathcal{B}(\mathcal{L}^2(G))$  the unitary operator of multiplication with the function  $\gamma \in \mathcal{L}^{\infty}(G)$  on  $\mathcal{L}^2(G)$ . Then

$$\hat{G}\ni\gamma\mapsto\mathbf{m}(\gamma)\in\mathcal{B}(\mathcal{L}^2(G))$$

is an so-continuous unitary representation of  $\hat{G}$  on  $\mathcal{L}^2(G)$ .

It is easy to check that

(1) 
$$\lambda(g)\mathbf{m}(\gamma) = \overline{\langle g, \gamma \rangle} \mathbf{m}(\gamma)\lambda(g) \qquad (g \in G, \ \gamma \in \hat{G}).$$

We define a continuous action  $\theta: \hat{G} \to Aut(\mathcal{B}(\mathcal{L}^2(G)))$  by

(2) 
$$\theta_{\gamma}(x) = m(\gamma)^* x m(\gamma) \qquad (x \in \mathcal{B}(\mathcal{L}^2(G)), \ \gamma \in \hat{G})$$

and the corresponding action  $\pi_{\theta}$ :  $\mathscr{B}(\mathscr{L}^{2}(G)) \to \mathscr{B}(\mathscr{L}^{2}(G)) \ \overline{\otimes} \ \mathscr{L}^{\infty}(\hat{G})$  of  $\hat{\mathbf{G}} = (\mathscr{L}^{\infty}(\hat{G}), \pi_{\hat{G}}, k_{\hat{G}})$  on  $\mathscr{B}(\mathscr{L}^{2}(G))$ .

It is clear that  $\theta_{\gamma}(f) = f$  for every  $f \in \mathcal{L}^{\infty}(G)$   $(\gamma \in \hat{G})$ , hence

(3) 
$$\pi_{\theta}(f) = f \ \overline{\otimes} \ 1_{G} \qquad (f \in \mathcal{L}^{\infty}(G)).$$

On the other hand, using the definition 18.6.(1) of  $\pi_{\theta}$  and the commutation relations (1), it is easy to check that

(4) 
$$\pi_{\theta}(\lambda(g)) = \lambda(g) \ \ \mathbf{m}(g) \qquad (g \in G).$$

Let  $\iota_G$  be the identity mapping on  $\mathscr{B}(\mathscr{L}^2(G))$  and  $\Phi: \mathscr{B}(\mathscr{L}^2(G)) \to \mathscr{B}(\mathscr{L}^2(\hat{G}))$  the Fourier—Plancherel isomorphism. Using (3), (4), 18.7.(2) and 18.8.(4) we obtain

$$\pi_{\theta}(\iota_{G}(x)) = (\iota_{G} \ \overline{\otimes} \ \Phi)(\delta_{G}(x)),$$

valid for  $x = f \in \mathcal{L}^{\infty}(G)$  and for  $x = \lambda(g) \in \mathcal{L}(G)$  and hence (18.4.(15)) for all  $x \in \mathcal{B}(\mathcal{L}^{2}(G))$ .

We thus get the following

**Proposition.** Let G be a locally compact abelian group and  $\mathcal M$  a  $W^*$ -algebra. The action

$$1_{\mathscr{A}} \overline{\otimes} \delta_G : \mathscr{M} \overline{\otimes} \mathscr{B}(\mathscr{L}^2(G)) \to \mathscr{M} \overline{\otimes} \mathscr{B}(\mathscr{L}^2(G)) \overline{\otimes} \mathscr{L}(G)$$

of  $\hat{G} = (\mathfrak{L}(G), \delta_G, j_G)$  on  $\mathcal{M}$  corresponds, via the Fourier-Plancherel isomorphism, to the continuous action

$$\theta: \hat{G} \to Aut(\mathcal{M} \boxtimes \mathcal{B}(\mathcal{L}^2(G)))$$

of  $\hat{G}$  on  $\mathcal{M} \ \overline{\otimes} \ \mathcal{B}(\mathcal{L}^2(G))$  defined by

$$\theta_{\gamma}(X) = (1_{\mathscr{M}} \mathbin{\overline{\otimes}} \operatorname{m}(\gamma))^* X (1_{\mathscr{M}} \mathbin{\overline{\otimes}} \operatorname{m}(\gamma)) \qquad (X \in \mathscr{M} \mathbin{\overline{\otimes}} \mathscr{B}(\mathscr{L}^2(G)), \ \gamma \in \hat{G}).$$

Let  $\sigma: G \to Aut(\mathcal{M})$  be a continuous action of the locally compact group G on the  $W^*$ -algebra  $\mathcal{M}$ ,  $\pi_{\sigma}: \mathcal{M} \to \mathcal{M} \ \otimes \mathcal{L}^{\infty}(G)$  the corresponding action of G on  $\mathcal{M}$  and  $\varphi$  a normal weight on  $\mathcal{M}$ .

Recall that  $\varphi$  is called  $\sigma$ -invariant if  $\varphi \circ \sigma_g = \varphi$   $(g \in G)$ . This condition is equivalent to the following condition:

$$\langle \pi_{\sigma}(x), \varphi \ \overline{\otimes} \ k \rangle = \langle x \ \overline{\otimes} \ 1_{G}, \varphi \ \overline{\otimes} \ k \rangle \qquad (x \in \mathcal{M}^{+}, \ k \in \mathcal{L}^{1}(G)^{+}).$$

In what follows we consider a convenient notion of invariance of weights with respect to the action of a coinvolutive Hopf—von Neumann algebra.

**18.10.** Let  $\delta: \mathcal{M} \to \mathcal{M} \ \overline{\otimes} \ \mathcal{A}$  be an action of the coinvolutive Hopf—von Neumann algebra  $(\mathcal{A}, \delta_{\mathcal{A}}, j_{\mathcal{A}})$  on the  $W^*$ -algebra  $\mathcal{M}$  and let  $\varphi$  be a normal semifinite weight on  $\mathcal{M}$ .

We shall say that  $\varphi$  is  $\delta$ -invariant if

$$\langle \delta(x), \varphi \ \overline{\otimes} \ k \rangle = \langle x \ \overline{\otimes} \ 1_A, \varphi \ \overline{\otimes} \ k \rangle \qquad (x \in \mathcal{M}^+, \ k \in \mathcal{A}_{\bullet}^+).$$

**Lemma.** Let  $\varphi$  be a  $\delta$ -invariant normal semifinite weight on the  $\mathscr{A}$ -comodule  $\mathscr{M}$ . Then

$$s(\varphi) \in \mathscr{M}^{\delta},$$

for every  $x \in \mathbb{N}_{\omega}$  and  $k \in \mathcal{A}_{\star}$  we have  $k \cdot x \in \mathbb{N}_{\omega}$  and

(3) 
$$||(k \cdot x)_{\sigma}||_{\sigma} \leq ||k|| \, ||x_{\sigma}||_{\sigma},$$

and for every  $x, y \in \mathfrak{N}_{\varphi}$  and  $k \in \mathscr{A}_{*}^{+}$  we have  $(y^{*} \overline{\otimes} 1_{\mathscr{A}})\delta(x) \in \mathfrak{M}_{\varphi \overline{\otimes} k}, \ y^{*}(k \cdot x) \in \mathfrak{M}_{\varphi}$  and

(4) 
$$\langle (y^* \overline{\otimes} 1_A) \delta(x), \varphi \overline{\otimes} k \rangle = \langle y^*(k \cdot x), \varphi \rangle.$$

*Proof.* Let  $x \in \mathfrak{N}_{\varphi}$ ,  $k \in \mathscr{A}_{*}$  with polar decomposition  $k = |k|(v \cdot)$ ,  $v \in \mathscr{M}$ , and let  $f \in \mathscr{M}_{*}^{+}$ ,  $f \leq \varphi$ . We have

$$\langle (k \cdot x)^*(k \cdot x), f \rangle = \langle ((k \cdot x)^* \ \overline{\otimes} \ 1_{\mathscr{A}}) \delta_{\mathscr{A}}(x), f \ \overline{\otimes} \ k \rangle$$

$$= \langle ((k \cdot x)^* \ \overline{\otimes} \ v) \delta(x), f \ \overline{\otimes} \ |k| \rangle$$

$$\leq \langle (k \cdot x)^*(k \cdot x), f \rangle^{1/2} ||k||^{1/2} \langle \delta(x^*x), f \ \overline{\otimes} \ |k| \rangle^{1/2}$$

$$\leq \langle (k \cdot x)^*(k \cdot x), f \rangle^{1/2} ||k||^{1/2} \langle \delta(x^*x), \varphi \ \overline{\otimes} \ |k| \rangle^{1/2}$$

$$= \langle (k \cdot x)^*(k \cdot x), f \rangle^{1/2} ||k||^{1/2} \langle x^*x, \varphi \rangle^{1/2} ||k||^{1/2}$$

hence

$$\langle (k \cdot x)^*(k \cdot x), f \rangle^{1/2} \leq ||k|| \, ||x_{\varphi}||_{\varphi}.$$

Since  $f \in \mathcal{M}_{\frac{1}{2}}^+$ ,  $f \leq \varphi$  was arbitrary, (3) follows.

Consider now  $x, y \in \mathfrak{N}_{\varphi}$  and  $k \in \mathscr{A}_{\bullet}^+$ . From (3) it follows that  $y^*(k \cdot x) \in \mathfrak{N}_{\varphi}$ . Since  $\langle \delta(x)^* \delta(x), \varphi \otimes k \rangle = \langle x^* x, \varphi \rangle \langle 1_{\mathscr{A}}, k \rangle < +\infty$ , we have  $\delta(x) \in \mathfrak{N}_{\varphi \otimes k}$ , hence  $(y^* \otimes 1_{\mathscr{A}}) \delta(x) \in \mathfrak{N}_{\varphi \otimes k}$ . Let

(5) 
$$(y^* \otimes 1_{a})\delta(x) = a_1 - a_2 + ia_3 - ia_4$$
 with  $a_i \in \mathfrak{M}_{a \otimes b}, a_i > 0$   $(1 \leq j \leq 4)$ .

Using 9.8.(5) we get

(6) 
$$\langle E_{\mathcal{A}}^{k}(a_{j}), \varphi \rangle = \langle a_{j}, \varphi \otimes k \rangle < +\infty \quad (1 \leq j \leq 4),$$

hence  $0 \leq E_{\mathcal{A}}^{k}(a_{l}) \in \mathfrak{M}_{a}$ . Thus,

$$y^*(k \cdot x) = E_{\mathcal{A}}^k((y^* \otimes 1_{\mathcal{A}})\delta(x)) = E_{\mathcal{A}}^k(a_1) - E_{\mathcal{A}}^k(a_2) + iE_{\mathcal{A}}^k(a_3) - iE_{\mathcal{A}}^k(a_4)$$

and, using (5) and (6), we obtain (4).

Finally, we prove assertion (2). Clearly,  $(1_{\mathcal{M}} - s(\varphi))_{\varphi} = 0$  and, by (3), this implies that  $(k \cdot (1_{\mathcal{M}} - s(\varphi))_{\varphi} = 0$ , hence  $(k \cdot (1_{\mathcal{M}} - s(\varphi)))s(\varphi) = 0$  for all  $k \in \mathcal{A}_{\bullet}$ . Consequently, for  $k \in \mathcal{A}_{\bullet}$  and  $f \in \mathcal{M}_{\bullet}$ , we have  $\langle \delta(1_{\mathcal{M}} - s(\varphi))(s(\varphi) \otimes 1_{\mathcal{M}}), f \otimes k \rangle = \langle (k \cdot (1_{\mathcal{M}} - s(\varphi)))s(\varphi), f \rangle = 0$ , i.e.  $\delta(1_{\mathcal{M}} - s(\varphi))(s(\varphi) \otimes 1_{\mathcal{M}}) = 0$ . Thus,

$$\delta(s(\varphi)) \leq s(\varphi) \otimes 1_{\mathscr{A}}$$
.

On the other hand, let  $e = (s(\varphi) \ \overline{\otimes} \ 1_{\mathscr{A}}) - \delta(s(\varphi))$ . Since  $\langle \delta(1_{\mathscr{A}} - s(\varphi)), \varphi \ \overline{\otimes} \ k \rangle = \langle (1_{\mathscr{A}} - s(\varphi)) \ \overline{\otimes} \ 1_{\mathscr{A}}, \varphi \ \overline{\otimes} \ k \rangle = 0$   $(k \in \mathscr{A}_{*}^{+})$ , we have  $\langle e, \varphi \ \overline{\otimes} \ k \rangle = 0$ , so  $e(s(\varphi) \ \overline{\otimes} \ s(k)) = 0$  for all  $k \in \mathscr{A}_{*}^{+}$ , and hence  $e = e(s(\varphi) \ \overline{\otimes} \ 1_{\mathscr{A}})e = 0$ .

From (3) it follows that the mappings  $E_{\mathcal{M}}^k: x \mapsto k \cdot x$  define bounded linear operators  $\pi_{\mathfrak{o}}^{\mathfrak{o}}(k) \in \mathcal{B}(\mathcal{H}_{\mathfrak{o}})$ ,

(7) 
$$\pi^{\delta}_{\bullet}(k)x_{\bullet} = (k \cdot x)_{\bullet} \qquad (x \in \mathfrak{R}_{\bullet}, \ k \in \mathscr{A}_{\bullet})$$

and the mapping

$$\pi^{\delta}_{\bullet} \colon \mathscr{A}_{\bullet} \to \mathscr{B}(\mathscr{H}_{\circ})$$

is a contractive representation of the Banach algebra  $\mathscr{A}_{\bullet}$ .

We shall say that the weight  $\varphi$  is  $(\delta, j_{\mathscr{A}})$ -invariant if  $\varphi$  is  $\delta$ -invariant and

$$(9) \qquad \langle (v^* \boxtimes 1_{\mathscr{A}}) \delta(x), \varphi \boxtimes k \rangle = \langle \delta(v^*)(x \boxtimes 1_{\mathscr{A}}), \varphi \boxtimes (k \cdot j_{\mathscr{A}}) \rangle$$

for all  $x, y \in \mathfrak{N}_{\bullet}$  and  $k \in A_{\bullet}^{+}$ .

Using (4) we see that both sides of (9) are well defined and that (9) is equivalent to the equation:

(10) 
$$((k \cdot x)_{\varphi}|y_{\varphi})_{\varphi} = (x_{\varphi}|(k^{0} \cdot y)_{\varphi})_{\varphi} \quad (x, y \in \mathfrak{N}_{\varphi}, k \in \mathscr{A}_{\varphi}),$$

that is.

$$\pi^{\delta}_{\bullet}(k)^{\bullet} = \pi^{\delta}_{\bullet}(k^{0}) \qquad (k \in \mathscr{A}_{\bullet}).$$

Consequently, if  $\varphi$  is  $(\delta, j_{\mathscr{A}})$ -invariant, then  $\pi^{\delta}_{\varphi}$  is a contractive \*-representation of the involutive Banach algebra  $\mathscr{A}_{*}$ .

**18.11.** Consider again an action  $\delta: \mathcal{M} \to \mathcal{M} \ \overline{\otimes} \ \mathcal{A}$  of the coinvolutive Hopf—von Neumann algebra  $(\mathcal{A}, \delta_{\mathcal{A}}, j_{\mathcal{A}})$  on the  $W^*$ -algebra  $\mathcal{M}$  and a normal semifinite weight  $\varphi$  on  $\mathcal{M}$ .

If there exists  $\lambda > 0$  such that

(1) 
$$x \in \mathfrak{N}_{\varphi}, \ k \in \mathscr{A}_{*} \Rightarrow k \cdot x \in \mathfrak{N}_{\varphi}, \ \|(k \cdot x)_{\varphi}\|_{\varphi} \leqslant \lambda \|k\| \|x_{\varphi}\|_{\varphi},$$

(2) 
$$x, y \in \mathfrak{N}_{\varphi}, \ k \in \mathscr{A}_{\bullet} \Rightarrow ((k \cdot x)_{\varphi} | y_{\varphi})_{\varphi} = (x_{\varphi} | (k^{0} \cdot y)_{\varphi})_{\varphi},$$

then we shall say that  $\pi_{\varphi}^{\delta}$  is a bounded \*-representation of the involutive Banach algebra  $\mathcal{A}_{*}$ .

In this case, we can indeed define by 18.10.(7) a bounded \*-representation  $\pi_{\varphi}^{\delta} \colon \mathscr{A}_{*} \to \mathscr{B}(\mathscr{H}_{\varphi})$  with  $\|\pi_{\varphi}^{\delta}\| \leq \lambda$ .

Also, with the same arguments as in the proof of 18.10.(2), we can show that

(3) 
$$\delta(s(\varphi)\mathcal{M}s(\varphi)) \subset s(\varphi)\mathcal{M}s(\varphi) \ \overline{\otimes} \ \mathcal{A}.$$

**Lemma.** If  $\pi_{\varphi}^{\delta}$  is a bounded \*-representation of the involutive Banach algebra  $\mathscr{A}_{*}$ , then the action  $\delta$  commutes with the modular automorphism group  $\{\sigma_{t}^{\phi}\}_{t\in\mathbb{R}}$  of  $\varphi$ :

$$\delta \circ \sigma_t^{\varphi} = (\sigma_t^{\varphi} \ \overline{\otimes} \ \iota_{st}) \circ \delta \qquad (t \in \mathbb{R}).$$

*Proof.* Taking into account (3) we see that, without loss of generality but disregarding the assumption  $\delta(1_{\mathcal{M}}) = 1_{\mathcal{M}} \otimes 1_{\mathcal{M}}$ , we may assume that  $\varphi$  is an n.s.f. weight on  $\mathcal{M}$ .

By assumption it follows that for every  $k \in \mathcal{A}_*$  and every  $x_{\varphi} \in \mathfrak{A}_{\varphi}$  we have  $S_{\varphi}\pi_{\varphi}^{\delta}(k)x_{\varphi} = S_{\varphi}(k \cdot x)_{\varphi} = ((k \cdot x)^*)_{\varphi} = (k^* \cdot x^*)_{\varphi} = \pi_{\varphi}^{\delta}(k^*)S_{\varphi}x_{\varphi}$ . Since  $S_{\varphi} = \overline{S_{\varphi}|\mathfrak{A}_{\varphi}}$ , we obtain

$$\xi \in D(S_\varphi) \Rightarrow \pi_\varphi^\delta(k) \xi \in D(S_\varphi), \quad S_\varphi \pi_\varphi^\delta(k) \xi = \pi_\varphi^\delta(k^*) S_\varphi \xi.$$

Let  $\eta \in D(\Delta_{\varphi})$  and  $\xi \in D(S_{\varphi})$ . Then  $\eta \in D(S_{\varphi})$ ,  $S_{\varphi}\eta \in D(S_{\varphi}^*)$  and  $(S_{\varphi}\pi_{\varphi}^{\delta}(k)\eta|S_{\varphi}\xi)_{\varphi} = (\pi_{\varphi}^{\delta}(k^*)S_{\varphi}\eta|S_{\varphi}\xi)_{\varphi} = (S_{\varphi}\eta|\pi_{\varphi}^{\delta}(k^*)S_{\varphi}\xi)_{\varphi} = (S_{\varphi}\eta|S_{\varphi}\pi_{\varphi}^{\delta}(k^0)\xi)_{\varphi} = (\pi_{\varphi}^{\delta}(k^0)\xi|S_{\varphi}^*S_{\varphi}\eta)_{\varphi} = (\xi|\pi_{\varphi}^{\delta}(k)\Delta_{\varphi}\eta)_{\varphi}$ . Therefore,  $S_{\varphi}\pi_{\varphi}^{\delta}(k)\eta \in D(S_{\varphi}^*)$  and  $S_{\varphi}^*S_{\varphi}\pi_{\varphi}^{\delta}(k)\eta = \pi_{\varphi}^{\delta}(k)\Delta_{\varphi}\eta$ . Thus,

$$\eta \in D(\Delta_{\varphi}) \Rightarrow \pi_{\varphi}^{\delta}(k) \eta \in D(\Delta_{\varphi}), \quad \Delta_{\varphi} \pi_{\varphi}^{\delta}(k) = \pi_{\varphi}^{\delta}(k) \Delta_{\varphi} \eta ,$$

i.e.  $\pi_{\underline{\theta}}^{\delta}(k)$  commutes with  $\Delta_{\underline{\theta}}$ .

It follows that for  $x \in \mathfrak{N}_{\phi}$ ,  $k \in \mathscr{A}_{+}$ ,  $t \in \mathbb{R}$ , we have  $(\sigma_{i}^{\phi}(k \cdot x))_{\phi} = \Delta_{\phi}^{it}(k \cdot x)_{\phi} = \Delta_{\phi}^{it}(k) \lambda_{\phi}^{it} = (k \cdot \sigma_{i}^{\phi}(x))_{\phi}$ . Consequently,  $\sigma_{i}^{\phi}(k \cdot x) = k \cdot \sigma_{i}^{\phi}(x)$  for all  $x \in \mathscr{M}$ ,  $k \in \mathscr{A}_{+}$ ,  $t \in \mathbb{R}$  and, for every  $f \in \mathscr{M}_{+}$ , we deduce that

$$\langle \delta(\sigma_i^{\varphi}(x)), f \otimes k \rangle = \langle k \cdot \sigma_i^{\varphi}(x), f \rangle = \langle \sigma_i^{\varphi}(k \cdot x), f \rangle = \langle k \cdot x, f \cdot \sigma_i^{\varphi} \rangle$$

$$= \langle \delta(x), (f \cdot \sigma_i^{\bullet}) \ \overline{\otimes} \ k \rangle = \langle (\sigma_i^{\bullet} \ \overline{\otimes} \ 1_{sl})(\delta(x)), f \ \overline{\otimes} \ k \rangle,$$

thus proving the Lemma.

- 18.12. Theorem. Let  $\delta: \mathcal{M} \to \mathcal{M} \ \overline{\otimes} \ \mathcal{A}$  be an action of the coinvolutive Hopf—von Neumann algebra  $(\mathcal{A}, \delta_{\mathcal{A}}, j_{\mathcal{A}})$  on the  $W^*$ -algebra  $\mathcal{M}$  and  $\varphi$  a normal semifinite weight on  $\mathcal{M}$ . The following statements are equivalent:
  - (i)  $\varphi$  is  $(\delta, j_{\mathcal{A}})$ -invariant.
  - (ii) π<sub>θ</sub> is a bounded \*-representation of the involutive Banach algebra A.
  - (iii) π<sub>o</sub> is a contractive \*-representation of the involutive Banach algebra s.
  - (iv) The following conditions are satisfied:
  - a)  $s(\varphi) \in \mathcal{M}^{\delta}$ ;
  - b)  $\delta(\sigma_t^{\varphi}(x)) = (\sigma_t^{\varphi} \otimes \iota_{\mathscr{A}})(\delta(x))$  for all  $x \in S(\varphi) \mathscr{M}S(\varphi)$ ,  $t \in \mathbb{R}$ ;
- c) there exists a  $\sigma^{\phi}$ -invariant \*-subalgebra  $\mathcal B$  of  $\mathfrak M_{\phi}$ , w-dense in  $s(\phi)\mathcal Ms(\phi)$ , such that

$$\langle \delta(x), \varphi \ \overline{\otimes} \ k \rangle = \langle x \ \overline{\otimes} \ 1_{\mathscr{A}}, \varphi \ \overline{\otimes} \ k \rangle \qquad (x \in \mathscr{B} \ \cap \mathscr{M}^+, \ k \in \mathscr{A}^+_{\bullet});$$

d) there exist a  $\|\cdot\|_{\sigma}$ -dense subset  $\mathcal{D}$  of  $\mathfrak{N}_{\sigma}$  and a norm-dense subset  $\mathcal{F}$  of  $\mathcal{A}_{\sigma}^{+}$  such that for every  $x, y \in \mathcal{D}$  and every  $k \in \mathcal{F}$  we have  $\delta(x), \delta(y) \in \mathfrak{N}_{\sigma \odot k}$  and

$$\langle (y^* \ \overline{\otimes} \ 1_{\mathscr{A}}) \delta(x), \varphi \ \overline{\otimes} \ k \rangle = \langle \delta(y^*)(x \ \overline{\otimes} \ 1_{\mathscr{A}}), \varphi \ \overline{\otimes} \ k \rangle.$$

*Proof.* (i)  $\Rightarrow$  (iii). By Section 18.10.

(iii) ⇒ (ii). Obvious.

(ii)  $\Rightarrow$  (i). We have to show just that  $\varphi$  is  $\delta$ -invariant, i.e.

(1) 
$$(\varphi \otimes k) \cdot \delta = \langle 1_{\mathscr{A}}, k \rangle \varphi \qquad (k \in \mathscr{A}_{\varphi}^+),$$

since then condition 18.10.(9) will follow from 18.11.(2) using 18.10.(4).

Let  $k \in \mathscr{A}_{\bullet}^+$ . From (ii) it follows that  $(k \cdot (1_{\mathscr{A}} - s(\varphi)))s(\varphi) = 0$  and then, using 9.8.(5), we deduce that  $\langle \delta(1_{\mathscr{A}} - s(\varphi)), \varphi \otimes k \rangle = \langle k \cdot (1_{\mathscr{A}} - s(\varphi)), \varphi \rangle = 0$ , i.e.  $s((\varphi \otimes k) \cdot \delta) \leq s(\varphi)$ , and therefore  $s((\varphi \otimes k) \cdot \delta) = s((\varphi \otimes k) \cdot \delta)s(\varphi)\mathscr{M}s(\varphi)$ . On the other hand, it is clear that  $s(\langle 1_{\mathscr{A}}, k \rangle \varphi) = s(\langle 1_{\mathscr{A}}, k \rangle \varphi | s(\varphi)\mathscr{M}s(\varphi))$ . Thus, we may assume that  $\varphi$  is an n.s.f. weight on  $\mathscr{M}$ .

Then  $\mathscr{B} = \mathfrak{T}^2_{\varphi}$  is a w-dense  $\sigma^{\varphi}$ -invariant \*-subalgebra of  $\mathscr{M}$  and  $\mathscr{B} = \lim (\mathscr{B} \cap \mathscr{M}^+) \subset \mathfrak{M}_{\varphi}$ .

Let  $x \in \mathcal{B} \cap \mathcal{M}^+$  and  $k \in \mathcal{A}^+$ . Then  $k \cdot x \in \mathcal{M}_{\phi} \cap \mathcal{M}^+$ . Indeed, for every  $y \in \mathcal{N}_{\phi}$  we have (18.11.(2))  $\langle y^*(k \cdot x), \varphi \rangle = \langle (k^0 \cdot y)^* x, \varphi \rangle$ , so that the assertion follows using 2.13.(3). Consequently, there exist  $a, b \in \mathcal{N}_{\phi} \cap \mathcal{M}^+$  such that

$$x=a^2, \qquad k\cdot x=b^2.$$

By Proposition 2.16 there exists a net  $\{v_j\} \in \mathfrak{T}_{\varphi}$  such that

$$\sigma_{\alpha}^{\varphi}(v_i) \stackrel{s^*}{\to} 1_{\mathcal{A}}$$
 for all  $\alpha \in \mathbb{C}$ .

Using Lemma 18.11 we obtain (here  $y \in \mathcal{M}$  is identified with  $\pi_{\varphi}(y) \in \mathcal{B}(\mathcal{H}_{\varphi})$ ):

$$\begin{split} \langle x, (\varphi \ \overline{\otimes} \ k) \cdot \delta \rangle &= \langle \delta(x), \varphi \ \overline{\otimes} \ k \rangle = \langle k \cdot x, \varphi \rangle = \langle b^2, \varphi \rangle = (b_{\varphi} | b_{\varphi})_{\varphi} \\ &= (b_{\varphi} | S_{\varphi} b_{\varphi})_{\varphi} = (b_{\varphi} | J_{\varphi} \Delta_{\varphi}^{1/2} b_{\varphi})_{\varphi} = \lim_{j} (b_{\varphi} | J_{\varphi} \sigma_{-i/2}^{\varphi}(v_{j}^{*}) \Delta_{\varphi}^{1/2} b_{\varphi})_{\varphi} \\ &= \lim_{j} (b_{\varphi} | J_{\varphi} \Delta_{\varphi}^{1/2} v_{j}^{*} b_{\varphi})_{\varphi} = \lim_{j} (b_{\varphi} | S_{\varphi}(v_{j}^{*} b)_{\varphi})_{\varphi} = \lim_{j} (b_{\varphi} | (bv_{j})_{\varphi})_{\varphi} \\ &= \lim_{j} ((k \cdot x)_{\varphi} | (v_{j})_{\varphi})_{\varphi} = \lim_{j} (x_{\varphi} | (k^{0} \cdot v_{j})_{\varphi})_{\varphi} = \lim_{j} (a_{\varphi} | (a(k^{0} \cdot v_{j}))_{\varphi})_{\varphi} \\ &= \lim_{j} (a_{\varphi} | S_{\varphi}((k^{0} \cdot v_{j})a)_{\varphi})_{\varphi} = \lim_{j} (a_{\varphi} | J_{\varphi} \Delta_{\varphi}^{1/2}((k^{0} \cdot v_{j})a)_{\varphi})_{\varphi} \\ &= \lim_{j} (a_{\varphi} | J_{\varphi} \sigma_{-i/2}^{\varphi}(k^{0} \cdot v_{j}) \Delta_{\varphi}^{1/2} a_{\varphi})_{\varphi} = \lim_{j} (a_{\varphi} | J_{\varphi}(k^{0} \cdot \sigma_{-i/2}^{\varphi}(v_{j})) \Delta_{\varphi}^{1/2} a_{\varphi})_{\varphi} \\ &= (a_{\varphi} | J_{\varphi}(k^{0} \cdot 1_{\mathcal{A}}) \Delta_{\varphi}^{1/2} a_{\varphi})_{\varphi} = (a_{\varphi} | S_{\varphi} a_{\varphi})_{\varphi} \langle 1_{\mathcal{A}}, k \rangle = (a_{\varphi} | a_{\varphi})_{\varphi} \langle 1_{\mathcal{A}}, k \rangle \\ &= \langle a^{2}, \varphi \rangle \langle 1_{\mathcal{A}}, k \rangle = \langle x, \langle 1_{\mathcal{A}}, k \rangle \varphi \rangle. \end{split}$$

Consequently, the two weights appearing in (1) are equal on  $\mathscr{B}$ . In particular,  $(\varphi \otimes k) \circ \delta$  is semifinite.

Again using Lemma 18.11, we see that  $(\varphi \otimes k) \circ \delta$  commutes with  $\langle 1 , k \rangle \varphi$ , hence (1) follows from Theorem 6.2.

If the equivalent conditions (i), (ii), (iii) are satisfied, then statement (iv) results as follows: a) by 18.10.(2), b) by Lemma 18.11, c) is clear with  $\mathcal{B} = \mathfrak{M}_{\phi}$  and d) is clear with  $\mathcal{B} = \mathfrak{N}_{\phi}$  and  $\mathcal{F} = \mathscr{A}_{+}^{+}$ .

(iv)  $\Rightarrow$  (iii). From condition a) it follows that we may assume that  $\varphi$  is an n.s.f. weight. Using conditions b) and c) and Theorem 6.2, we obtain (1), hence  $\varphi$  is  $\delta$ -invariant. Then  $\pi^{\delta}_{\varphi}$  is defined and contractive and, using condition d), we obtain  $\pi^{\delta}_{\varphi}(k)^* = \pi^{\delta}_{\varphi}(k^0)$ , first for  $k \in \mathscr{F}$  and then, by passing to the limit, for every  $k \in \mathscr{A}^+_{\varphi}$ .

Note that in Sections 18.10—18.12 we have not used all the conditions which define a coinvolutive Hopf—von Neumann algebra and its action on a  $W^*$ -algebra, but only the following:  $\mathcal{M}$  and  $\mathcal{A}$  are  $W^*$ -algebras,  $\delta: \mathcal{M} \to \mathcal{M} \otimes \mathcal{A}$  is a unital normal \*-homomorphism and  $j_{\mathcal{M}}: \mathcal{A} \to \mathcal{A}$  is a \*-antiautomorphism with  $j_{\mathcal{M}} \circ j_{\mathcal{M}} = i_{\mathcal{M}}$ . The comultiplication  $\delta_{\mathcal{M}}$  of  $\mathcal{A}$  appeared only in considering the multiplicative structure on  $A_*$ , but this structure has not been used.

18.13. Corollary. Let  $\delta: \mathcal{M} \to \mathcal{M} \ \otimes \ \mathscr{A}$  be an action of the coinvolutive Hopf—von Neumann algebra  $(\mathscr{A}, \delta_{\mathscr{A}}, j_{\mathscr{A}})$  on the  $W^*$ -algebra W and let  $\varphi, \psi$  be  $(\delta, j_{\mathscr{A}})$ -invariant n.s. f. weights on  $\mathscr{M}$ . Then

$$[D\psi: D\varphi]_t \in \mathcal{M}^\delta$$
  $(t \in \mathbb{R}).$ 

**Proof.** The mapping  $\delta_2 = \iota_2 \otimes \delta$  is an action of  $\mathscr{A}$  on the  $W^*$ -algebra  $Mat_2(\mathcal{M}) = Mat_2(\mathbb{C}) \otimes \mathscr{M}$ . Since  $\varphi$  and  $\psi$  are  $(\delta, j_{\mathscr{M}})$ -invariant, the balanced weight  $\theta = \theta(\varphi, \psi)$  is  $(\delta_2, j_{\mathscr{M}})$ -invariant. By Theorem 18.12 it follows that  $\delta_2$  commutes with  $\sigma_t^0$   $(t \in \mathbb{R})$ .

Let  $u_t = [D\psi : D\varphi]_t$   $(t \in \mathbb{R})$ . Then

$$\begin{pmatrix} 0 & 0 \\ \delta(u_t) & 0 \end{pmatrix} = \delta_2 \begin{pmatrix} 0 & 0 \\ u_t & 0 \end{pmatrix} = \delta_2 \left( \sigma_t^{\theta} \begin{pmatrix} 0 & 0 \\ 1_{\mathcal{A}} & 0 \end{pmatrix} \right)$$

$$\sigma^{\theta} \boxtimes L_{\theta} \begin{pmatrix} \delta & \begin{pmatrix} 0 & 0 \\ 0 & 0 \end{pmatrix} \end{pmatrix}$$

$$= (\sigma_i^{\theta} \mathbin{\overline{\otimes}} \iota_{\mathscr{A}}) \left( \delta_2 \left( \begin{pmatrix} 0 & 0 \\ 1_{\mathscr{A}} & 0 \end{pmatrix} \right) \right) = (\sigma_i^{\theta} \mathbin{\overline{\otimes}} \iota_{\mathscr{A}}) \left( \begin{pmatrix} 0 & 0 \\ 1_{\mathscr{A}} & 0 \end{pmatrix} \mathbin{\overline{\otimes}} 1_{\mathscr{A}} \right) = \begin{pmatrix} 0 & 0 \\ u_i \mathbin{\overline{\otimes}} 1_{\mathscr{A}} & 0 \end{pmatrix}$$

hence  $\delta(u_t) = u_t \otimes 1_{\mathscr{A}}$ , i.e.  $u_t \in \mathscr{M}^{\delta}$ .

18.14. Proposition. Let  $(\mathcal{A}, \delta, j)$  be a coinvolutive Hopf—von Neumann algebra. If there exists a non-zero  $\delta$ -invariant normal semifinite weight on  $\mathcal{A}$ , then this weight is faithful,  $\mathcal{A}^{\delta} = \mathbb{C} \cdot 1_{\mathcal{A}}$  and any two non-zero  $(\delta, j)$ -invariant normal semifinite weights  $\omega, \tau$  on  $\mathcal{A}$  are proportional:  $\tau = \lambda \omega$   $(\lambda > 0)$ .

*Proof.* Let  $\omega$  be a non-zero  $\delta$ -invariant normal semifinite weight on  $\mathscr{A}$ . Let  $e \in \mathscr{A}^{\delta}$  be a projection. Then (18.2.(3))  $\delta(j(e)) = \sim (j \otimes j)(\delta(e)) = 1_{\mathscr{A}} \otimes j(e)$ , hence for every  $x \in \mathfrak{M}_{\omega} \cap \mathscr{A}^+$  and  $k \in \mathscr{A}_{\omega}^+$  we obtain

$$\langle j(e)xj(e), \omega \rangle \langle 1_{\mathscr{A}}, k \rangle = \langle \delta(j(e)xj(e)), \omega \otimes k \rangle$$

$$= \langle (1_{\mathscr{A}} \otimes j(e))\delta(x)(1_{\mathscr{A}} \otimes j(e)), \omega \otimes k \rangle$$

$$= \langle \delta(x), \omega \otimes k(j(e) \cdot j(e)) \rangle$$

$$= \langle x, \omega \rangle \langle j(e), k \rangle.$$

Since  $\omega \neq 0$ , we have  $s(\omega) \neq 0$ , so that there exists  $k \in \mathscr{A}_{+}^{+}$ ,  $k \neq 0$ , with  $\langle j(1_{\mathscr{A}} - s(\omega)), k \rangle = 0$ . By 18.10.(2) we have  $s(\omega) \in \mathscr{A}^{\delta}$  so that, replacing e by  $1_{\mathscr{A}} - s(\omega)$  in (1), we get

$$\langle j(1_{\mathscr{A}} - s(\omega))xj(1_{\mathscr{A}} - s(\omega)), \omega \rangle = 0 \quad (x \in \mathfrak{M}_{\mathscr{A}} \cap \mathscr{A}^+).$$

It follows that  $j(1 - s(\omega))s(\omega) = 0$ , that is

(2) 
$$\mathbf{s}(\omega) = j(\mathbf{s}(\omega))\mathbf{s}(\omega).$$

Assume now that  $s(\omega) \neq 1_{\mathscr{A}}$ . Then there exists  $k \in \mathscr{A}^+$ ,  $k \neq 0$ , with  $\langle j(s(\omega)), k \rangle = 0$  and, again using (1), we obtain

$$\langle j(s(\omega))xj(s(\omega)), \omega \rangle = 0 \quad (x \in \mathfrak{M}_{\omega} \cap \mathscr{A}^+),$$

i.e.  $j(s(\omega))s(\omega) = 0$ . This, together with (2), implies that  $\omega = 0$ , a contradiction. Hence  $\omega$  is faithful.

If  $e \in \mathscr{A}^{\delta}$ ,  $e \neq 1_{\mathscr{A}}$ , there exists  $k \in \mathscr{A}_{*}^{+}$ ,  $k \neq 0$ , with  $\langle j(e), k \rangle = 0$  and using (1) we obtain  $\langle j(e)xj(e), \omega \rangle = 0$  for all  $x \in \mathfrak{M}_{\omega} \cap \mathscr{A}^{+}$ , i.e.  $j(e) = j(e)s(\omega) = 0$ , hence e = 0. Consequently,  $\mathscr{A}^{\delta} = \mathbb{C} \cdot 1_{\mathscr{A}}$ .

Consider now two non-zero  $(\delta, j)$ -invariant normal semifinite weights  $\omega$  and  $\tau$  on  $\mathcal{A}$ . Then  $\omega$  and  $\tau$  are faithful and, by Corollary 18.13, we have  $[D\tau: D\omega]_t \in \mathcal{A}^{\delta} = \mathbb{C} \cdot 1_{\mathcal{A}}$   $(t \in \mathbb{R})$ . It follows that there exists  $\lambda > 0$  with  $[D\tau: D\omega]_t = \lambda^{it}$   $(t \in \mathbb{R})$ , and hence  $\tau = \lambda \omega$ .

**18.15.** A Kac algebra is a quadruple  $(\mathcal{A}, \delta, j, \omega)$  where  $(\mathcal{A}, \delta, j)$  is a coinvolutive Hopf—von Neumann algebra and  $\omega$  is a  $(\delta, j)$ -invariant n.s.f. weight on  $\mathcal{A}$  such that  $\sigma_t^{\omega o l} = \sigma_t^{\omega}$   $(t \in \mathbb{R})$ , that is

(1) 
$$\sigma_t^{\omega} \circ j = j \circ \sigma_{-t}^{\omega} \quad (t \in \mathbb{R}).$$

By Proposition 18.14, the invariant weight  $\omega$  appearing in the definition of a Kac algebra is unique up to a positive multiplicative constant. The weight  $\omega$  will be called the *left Haar weight* on the Kac algebra  $\mathscr{A}$ .

There exists a canonical way to associate with every Kac algebra  $(\mathcal{A}, \delta, j, \omega)$  a dual Kac algebra  $(\hat{\mathcal{A}}, \hat{\delta}, \hat{j}, \hat{\omega})$ , a procedure which we now describe without proof.

The von Neumann algebra a is defined by

$$\hat{\mathscr{A}} = \mathscr{R}\{\pi_{\omega}^{\delta}(\mathscr{A}_{*})\} \subset \mathscr{R}(\mathscr{H}_{\omega}).$$

Using the  $\delta$ -invariance of  $\omega$  one shows that the mapping  $x \otimes y \mapsto \delta(x)(1 \otimes y)$  defines an isometry on  $\mathcal{H}_{\omega} \otimes \mathcal{H}_{\omega}$  and, moreover, the adjoint W of this isometry is again an isometry, that is,  $W \in \mathcal{A}(\mathcal{H}_{\omega} \otimes \mathcal{H}_{\omega})$  is a unitary operator. We have  $W \in \hat{\mathcal{A}} \otimes \mathcal{A}$  and

$$\delta(x) = W^*(x \ \overline{\otimes} \ 1)W \qquad (x \in \mathscr{A}).$$

One then considers the unitary operator  $\hat{W} = \sim W^* \circ \sim \in \mathscr{A} \ \overline{\otimes} \ \mathscr{A}$  and one defines by

$$\hat{\delta}(x) = \hat{W}^*(x \ \overline{\otimes} \ 1)\hat{W} \qquad (x \in \hat{\mathscr{A}})$$

a coassociative comultiplication  $\hat{\delta}$  on  $\hat{\mathscr{A}}$ .

On the other hand, the equation

$$\hat{j}(x) = J_{\omega} x^* J_{\omega} \qquad (x \in \hat{\mathscr{A}})$$

defines a coinvolution  $\hat{j}$  on  $\mathcal{A}$  which is compatible with  $\hat{\delta}$ .

In order to define the weight  $\hat{\omega}$  one constructs a \*-subalgebra  $\mathcal{D}$  of  $\mathscr{A}$  which is contained in  $\mathfrak{T}^3_{\omega}$  and which, regarded as a subset of  $\mathscr{A}_*$  via Proposition 2.13, is an involutive subalgebra of the involutive Banach algebra  $\mathscr{A}_*$ ; moreover,  $\widehat{S_{\omega}|\mathcal{D}} = S_{\omega}$ . With the involutive algebra structure inherited from  $\mathscr{A}_*$  and the scalar product of  $\mathscr{H}_{\omega}$ ,  $\mathcal{D}$  becomes a left Hilbert algebra whose associated von Neumann algebra is equal to  $\mathscr{A}$ . The natural weight associated with the left Hilbert algebra  $\mathcal{D}$  is a  $(\hat{\delta}, \hat{j})$ -invariant n.s.f. weight  $\hat{\omega}$  on  $\mathscr{A}$ .

Of course, D, with the \*-algebra structure inherited from A and the scalar product of  $\mathcal{H}_{\omega}$ , is also a left Hilbert algebra,  $\mathcal{A}$  is the associated von Neumann algebra and  $\omega$  is the corresponding natural weight.

This structure of a "Tomita bi-algebra" on D is at the heart of the duality theory for Kac algebras, the fundamental result being that  $(\hat{\mathcal{A}}, \hat{\delta}, \hat{i}, \hat{\hat{o}})$  is isomorphic to  $(\mathcal{A}, \delta, j, \omega)$ .

We now return to the examples considered above.

18.16. Let  $\sigma: G \to Aut(\mathcal{M})$  be a continuous action of the locally compact group G on the W\*-algebra  $\mathcal{M}$  and  $\pi_{\sigma}: \mathcal{M} \to \mathcal{M} \ \overline{\otimes} \ \mathcal{L}^{\infty}(G)$  the corresponding action of  $G = (\mathcal{L}^{\infty}(G), \pi_G, k_G)$  on  $\mathcal{M}$ . For any normal semifinite weight  $\varphi$  on  $\mathcal{M}$  it is easy to check that

$$\varphi$$
 is  $\pi_{\sigma}$ -invariant  $\Rightarrow \varphi$  is  $\sigma$ -invariant

(1) 
$$\Rightarrow \varphi \text{ is } (\pi_{\sigma}, k_{G})\text{-invariant}$$
 
$$\Rightarrow \varphi \text{ is } \pi_{\sigma}\text{-invariant}$$

hence all meaningful notions of invariance coincide.

In particular, since the lest Haar measure dg is invariant under lest translation and  $\pi_G = \pi_{AdQQ}$ , it follows that the left Haar weight  $\mu_G$  on  $\mathcal{L}^{\infty}(G)$  is  $(\pi_G, k_G)$ invariant and  $G = (\mathcal{L}^{\infty}(G), \pi_G, k_G, \mu_G)$  is a Kac algebra.

With the notation introduced in 18.10.(7), 13.2, 18.4.(9), it is easy to check that

(2) 
$$\pi_{\mu_G}^{\pi_G}(k) = \overline{\lambda(k^{\bullet})} \in \mathfrak{L}(G) \subset \mathfrak{A}(\mathcal{L}^2(G)) \quad (k \in \mathcal{L}^1(G) = \mathcal{L}^{\infty}(G)_{\bullet}).$$

18.17. Consider now the coinvolutive Hopf—von Neumann algebra  $G = (\mathfrak{L}(G), \delta_G, j_G)$ associated with the locally compact group G and the Plancherel weight  $\omega_G$  on  $\mathfrak{L}(G)$ .

Recall (18.4) that  $\omega_G$  is the natural weight associated with the left Hilbert algebra  $\mathfrak{A} = \mathscr{K}(G) \subset \mathscr{L}^2(G)$  with the operations of convolution and involution.

We shall use the notation  $\mathfrak{A}', \mathfrak{A}'', L_{\xi}, R_{\eta}$ , etc. associated with the left Hilbert algebra  $\mathfrak{A} = \mathcal{K}(G)$  (see 2.12 and [L], 10.1–10.4). Note that  $\mathfrak{A}'' = \mathfrak{A}_{G}$ .

By definition, for  $\xi \in \mathfrak{A} = \mathcal{K}(G)$  we have

(1) 
$$L_{\xi}\eta = \xi * \eta = \lambda(\xi)\eta \qquad (\eta \in \mathcal{L}^2(G)).$$

Consequently, for  $\eta \in \mathcal{L}^2(G)$ , the operator  $R_{\eta}^0$  is defined by  $R_{\eta}^0 \xi = L_{\xi} \eta = \xi * \eta$   $(\xi \in \mathfrak{A} = \mathcal{K}(G))$ . If  $R_{\eta}$  is bounded, it follows that

(2) 
$$R_{\eta}\xi = \xi * \eta \qquad (\xi \in \mathcal{L}^2(G)).$$

Indeed, if  $\xi_n \in \mathcal{K}(G)$  and  $\xi_n \to \xi$  in  $\mathcal{L}^2(G)$ , then  $R_\eta \xi_n \to R_\eta \xi$  in  $\mathcal{L}^2(G)$  and  $\xi_n * \eta \to \xi * \eta$  uniformly. In particular, (2) holds whenever  $\eta \in \mathfrak{U}'$ . Consequently, for  $\xi \in \mathcal{L}^2(G)$ , the operator  $L_{\xi}^0$  is defined by  $L_{\xi}^0 \eta = R_{\eta} \xi = \xi * \eta \ (\eta \in \mathfrak{U}')$ . If  $L_{\xi}$  is bounded, we obtain similarly

(3) 
$$L_{\xi}\eta = \xi * \eta \qquad (\eta \in \mathcal{L}^2(G)).$$

It follows that

(4) 
$$\xi \in \mathcal{L}^1(G) \cap \mathcal{L}^2(G) \Rightarrow L_{\xi} \text{ is bounded and } L_{\xi} = \lambda(\xi).$$

Using 2.12.(2) we see that for every  $\xi, \eta \in \mathcal{L}^1(G) \cap \mathcal{L}^2(G)$  we have  $\lambda(\xi), \lambda(\eta) \in \mathfrak{N}_{\omega_G}$  and  $\omega(\lambda(\eta)^*\lambda(\xi)) = (\xi|\eta)$ , i.e.

$$(5) \qquad \omega_G(\lambda(\eta^* * \xi)) = \int \xi(g)\overline{\eta(g)} \, \mathrm{d}g = (\eta^* * \xi)(e) \quad (\xi, \eta \in \mathcal{L}^1(G) \cap \mathcal{L}^2(G)).$$

Recall that the convolution of two  $\mathcal{L}^2$ -functions is equal almost everywhere to a continuous function.

Moreover, for every function  $f \in \mathcal{L}^1(G)$  we have  $\lambda(f^* * f) \in \mathfrak{L}(G)^+$  and

(6) 
$$\omega_G(\lambda(f^* * f)) = \int |f(g)|^2 dg = "(f^* * f)(e)" \quad (f \in \mathcal{L}^1(G))$$

where the last equation is just formal. Indeed, if  $f \in \mathcal{L}^1(G) \cap \mathcal{L}^2(G)$ , then (6) follows from (5). If  $\omega_G(\lambda(f^**f)) < +\infty$ , then  $\lambda(f) \in \mathfrak{N}_{\omega_G}$  and so there exists  $\xi \in \mathcal{L}^2(G)$  with  $L_{\xi} = \lambda(f)$ , i.e.  $\xi * \eta = f * \eta$  for all  $\eta \in \mathcal{L}^2(G)$ , which means that  $f = \xi \in \mathcal{L}^2(G)$ . In computations involving  $\omega_G$  we shall often use the following

**Proposition.** For  $x \in \mathfrak{L}(G)^+$  we have  $\omega_G(x) < +\infty$  if and only if there exists a continuous function  $f \in \mathscr{L}^2(G)$  with  $x = L_f$ ; in this case,

(7) 
$$\omega_G(L_f) = f(e).$$

In particular, if  $f \in \mathcal{L}^1(G)$  and  $\lambda(f) > 0$ , then  $\omega_G(\lambda(f)) < +\infty$  if and only if  $f \in \mathcal{L}^1(G) \cap \mathcal{L}^2(G)$ ; in this case f is (equal almost everywhere to) a continuous function and

(8) 
$$\omega_G(\lambda(f)) = f(e).$$

**Proof.** Let  $f \in \mathcal{L}^2(G)$  be a continuous function such that the operator  $L_f$  is bounded and positive. Then  $\varphi = J_G f \in \mathcal{L}^2(G)$  is also a continuous function and the operator  $R_{\varphi} = J_G L_f J_G$  (see 2.12.(4)) is bounded and positive:

$$0 \leqslant R_{\varphi} \leqslant \lambda$$
.

Since for every  $\zeta \in \mathcal{K}(G)$  we have  $(R_{\varphi}\zeta \mid \zeta) = (\zeta * \varphi \mid \zeta) = (\varphi \mid \zeta^{\varphi} * \zeta) = \int_{\mathbb{R}^{2}} \varphi(g)\overline{(\zeta^{*} * \zeta)(g)} \, dg$ , it follows that the continuous function  $\varphi$  is positive definite and

$$\int \varphi(g)(\zeta^* * \zeta)(g) \, \mathrm{d}g \leq \lambda \|\zeta\|_2^2 \qquad (\zeta \in \mathscr{K}(G)).$$

Let  $u_{\varphi}: G \to \mathcal{B}(\mathcal{H}_{\varphi})$  be the so-continuous cyclic unitary representation of G, with cyclic vector  $\xi_{\varphi} \in \mathcal{H}_{\varphi}$ , associated with  $\varphi$  (see 13.4.5). The corresponding \*-representation of the involutive Banach algebra  $\mathcal{L}^1(G)$  is just the GNS-representation defined by the positive form  $\varphi \in \mathcal{L}^{\infty}(G) = \mathcal{L}^1(G)^*$  and will also be denoted by  $u_{\varphi}$ . For  $\zeta \in \mathcal{K}(G)$  we have

$$\|u_{\varphi}(\zeta)\xi_{\varphi}\|^{2} = \langle \zeta^{*}*\zeta, \varphi \rangle = \int \varphi(g)(\zeta^{*}*\zeta)(g) \,\mathrm{d}g \leqslant \lambda \|\zeta\|_{2}^{2}.$$

Thus, there exists a bounded linear operator  $T: \mathcal{L}^2(G) \to \mathcal{H}_{\varphi}$ ,  $||T|| \leq \lambda$ , such that  $T\zeta = u_{\varphi}(\zeta)\xi_{\varphi}$  ( $\zeta \in \mathcal{K}(G)$ ). The range of T is dense in  $\mathcal{H}_{\varphi}$  since  $\xi_{\varphi} \in \mathcal{H}_{\varphi}$  is a cyclic vector and

$$T\lambda(g) = u_{\sigma}(g)T \qquad (g \in G).$$

If T = V|T| is the polar decomposition of T, then  $V: \mathcal{L}^2(G) \to \mathcal{H}_{\phi}$  is a coisometry, i.e.  $VV^* = 1$ , and

$$V\lambda(g) = u_{\varphi}(g)V \qquad (g \in G).$$

Let  $\eta = \overline{V^*\xi_a} \in \mathcal{L}^2(G)$ . For every  $\zeta \in \mathcal{K}(G)$  we have

$$\overline{\zeta * \eta} = \zeta * \overline{\eta} = \lambda(\zeta) V^* \xi_o = V^* u_o(\zeta) \xi_o = V^* T \zeta = |T| \zeta,$$

hence the operator  $R_{\pi}$  is bounded and positive. On the other hand,

$$\varphi(g) = (u_{\varphi}(g)\xi_{\varphi}|\xi_{\varphi}) = (\lambda(g)\bar{\eta}|\bar{\eta}) = \int \bar{\eta}(g^{-1}t)\eta(t) dt \qquad (g \in G)$$

i.e.  $\varphi = \eta * \eta^{\flat}$ , where  $\eta^{\flat}(s) = \overline{\eta(s^{-1})} (s \in G)$ .

It follows that  $\xi = J_G \eta \in \mathcal{L}^2(G)$  has the property that the operator  $L_{\xi} = J_G R_{\eta} J_G$  is bounded and positive and  $f = J_G \varphi = J_G (\eta * \eta^b) = \xi^{\bullet} * \xi$ . Consequently,  $\omega_G(L_f) = \omega_G(L_{\xi}^{\bullet} L_{\xi}) = \|\xi\|_2^2 = (\xi^{\bullet} * \xi)(e) = f(e) < +\infty$ .

Consider now  $x \in \mathfrak{L}(G)^+$  with  $\omega_G(x) < +\infty$ . By the definition of the natural weight  $\omega_G$  it follows that there exists  $\xi \in \mathscr{L}^2(G)$  such that  $x^{1/2} = L_{\xi}$  and  $\omega_G(x) = \|\xi\|^2$ . Then  $f = \xi^* * \xi \in \mathscr{L}^2(G)$  is a continuous function with  $x = L_{\xi}^* L_{\xi} = L_f$  and  $\omega_G(x) = f(e)$ . In particular, if  $x = \lambda(h)$  with  $h \in \mathscr{L}^1(G)$ , then  $\lambda(h) = L_f$ , hence h = f almost everywhere.

Thus, the Proposition is completely proved.

We have

(9) 
$$k \cdot \lambda(f) = \lambda(k(\cdot)f) \quad (f \in \mathcal{L}^1(G), k \in \mathcal{A}(G)).$$

Indeed, for every 
$$h \in \mathcal{A}(G)$$
, we get  $\langle k \cdot \lambda(f), h \rangle = \langle \delta_G(\lambda(f)), h \otimes k \rangle = \left\langle \int f(g)\lambda(g) \otimes \lambda(g) \, \mathrm{d}g, h \otimes k \right\rangle = \left\langle \int f(g)\langle \lambda(g), k \rangle \lambda(g) \, \mathrm{d}g, h \right\rangle = \langle \lambda(k(\cdot)f), h \rangle.$ 

From (9) it follows that

(10) 
$$\pi^{\delta_{G}}_{\omega_{G}}(k) = k(\cdot) \in \mathscr{L}^{\infty}(G) \subset \mathscr{B}(\mathscr{L}^{2}(G)) \qquad (k \in \mathscr{A}(G) = \mathfrak{L}(G)_{*}).$$

Indeed, for every  $\xi \in \mathcal{X}(G)$  we have  $\pi_{\omega_G}^{\delta_G}(k) = \pi_{\omega_G}^{\delta_G}(k)(\lambda(\xi))_{\omega_G} = (k \cdot \lambda(\xi))_{\omega_G} = (k \cdot \lambda(\xi))_{\omega_G}$ 

We are now able to show that

(11) the n.s.f. weight 
$$\omega_G$$
 on  $\mathfrak{L}(G)$  is  $(\delta_G, j_G)$ -invariant

by checking conditions (iv), a)-d), of Theorem 18.12.

- a)  $s(\omega_G) = 1_G \in \mathfrak{L}(G)^{\delta_G}$ .
- b) The modular operator associated with  $\omega_G$  is the multiplication operator defined by the modular function  $\Delta_G$ ; hence it is affiliated to  $\mathcal{L}^{\infty}(G)$  which is the entralizer  $\mathcal{L}(\mathcal{L}^2(G))^{\delta_G}$  of the action  $\delta_G$  (18.7.(9)). Consequently,  $\sigma_t^{\omega_G} = \Delta_G^{it} \cdot \Delta_G^{it}$  commutes with  $\delta_G$ .
- c) For  $\xi \in \mathcal{X}(G)$  with  $\lambda(\xi) > 0$  and  $k \in \mathcal{A}(G)^+$  we have  $\lambda(k(\cdot)\xi) = k \cdot \lambda(\xi) > 0$  and, using (8), we get  $\langle \delta_G(\lambda(\xi)), \omega_G \otimes k \rangle = \langle \lambda(k(\cdot)\xi), \omega_G \rangle = k(e)\xi(e) = \langle \lambda(\xi) \otimes 1_G, \omega_G \otimes k \rangle$ .
- d) This condition amounts to showing that the representation  $\pi_{\varpi G}^{G}$  is a \*-representation, and this follows obviously from (10) as  $k^{o}(\cdot) = \overline{k(\cdot)}$ . We also have

$$\omega_G \cdot j_G = \omega_G.$$

Indeed, it is easy to see that  $\sigma_t^{\infty}G(\lambda(g)) = \Delta_G^{it}\lambda(g)\Delta_G^{-it} = \Delta_G(g)^{it}\lambda(g)$   $(g \in G, t \in \mathbb{R})$ , so  $j_G(\sigma_t^{\infty}G(\lambda(g))) = \Delta_G(g)^{it}j_G(\lambda(g)) = \Delta_G(g^{-1})^{-it}\lambda(g^{-1}) = \sigma_{-t}^{\infty}G(\lambda(g^{-1})) = \sigma_{-t}^{\infty}G(j_G(\lambda(g)))$   $(g \in G, t \in \mathbb{R})$ , and hence the two weights appearing in (12) commute. On the other hand, for  $\xi \in \mathcal{K}(G)$  with  $\lambda(\xi) > 0$  we have  $0 \le j_G(\lambda(\xi)) = \int \xi(g) \lambda(g^{-1}) dg = \int \xi(g) \lambda(g) dg =$ 

$$= \int \xi(g^{-1}) \Delta_G(g)^{-1} \lambda(g) \, \mathrm{d}g = \lambda(\eta), \text{ where } \eta(g) = \xi(g^{-1}) \Delta_G(g)^{-1}, (g \in G), \text{ and using (8)}$$
we get  $\langle \lambda(\xi), \omega_G \circ j_G \rangle = \langle \lambda(\eta), \omega_G \rangle = \eta(e) = \xi(e) = \langle \lambda(\xi), \omega_G \rangle$ . Consequently, (12) follows using Theorem 6.2.

From (11) and (12) it follows that  $G = (\mathfrak{L}(G), \delta_G, j_G, \omega_G)$  is a Kac algebra. Actually, G and  $\hat{G}$  are dual Kac algebras.

Finally, we show that

(13) 
$$\omega_G \cdot \operatorname{Ad}(\lambda(g)) = \Delta_G(g) \, \omega_G; \, g \in G.$$

Indeed, the two weights appearing in this equation commute because  $\operatorname{Ad}(\lambda(g))$  commutes with  $\sigma_t^{\omega_G}(t \in \mathbb{R})$ . For  $\xi \in \mathcal{K}(G)$  with  $\lambda(\xi) > 0$  we have  $0 \le (\operatorname{Ad}(\lambda(g)))(\lambda(\xi)) = \lambda(g)\lambda(\xi)\lambda(g^{-1}) = \lambda(\eta)$ , where  $\eta(s) = \Delta_G(g)\xi(gsg^{-1})$  ( $s \in G$ ), and using (8) we get  $\langle \lambda(\xi), \omega \circ \operatorname{Ad}(\lambda(g)) \rangle = \langle \lambda(\eta), \omega \rangle = \eta(e) = \Delta_G(g)\xi(e) = \langle \lambda(\xi), \Delta_G(g) \omega_G \rangle$ . Thus, (13) follows by Theorem 6.2.

18.18. The invariance property of a weight with respect to an action can be extended to a similar property of the tensor product of the weight with a normal positive form, namely:

Proposition. Let  $\delta: \mathcal{M} \to \mathcal{M} \ \overline{\otimes} \ \mathcal{A}$  be an action of the coinvolutive Hopf—von Neumann algebra  $(\mathcal{A}, \delta_{\mathcal{A}}, j_{\mathcal{A}})$  on the  $W^*$ -algebra  $\mathcal{M}$  and let  $\varphi$  be a  $(\delta, j_{\mathcal{A}})$ -invariant n.s.f. weight on  $\mathcal{M}$ . For any  $W^*$ -algebra  $\mathcal{N}$  and any  $f \in (\mathcal{A} \ \overline{\otimes} \mathcal{N})^+_+$  we have

$$\langle X, (\varphi \otimes f) \cdot (\delta \otimes \iota_{\mathcal{S}}) \rangle = \langle X \otimes \iota_{\mathcal{S}}, (\varphi \otimes f) \cdot (\iota_{\mathcal{A}} \otimes \gamma_{\mathcal{S}, \mathcal{A}}) \rangle$$

$$(X \in (\mathcal{M} \otimes \mathcal{N})^{+}).$$

Proof. We consider the normal weight  $\psi = (\varphi \otimes f) \cdot (\delta \otimes I_{\mathscr{S}})$  on  $\mathscr{M} \otimes \mathscr{N}$  and the normal positive form  $h = f(1_{\mathscr{A}} \otimes \cdot)$  on  $\mathscr{N}$ , that is,  $\langle y, h \rangle = \langle 1_{\mathscr{A}} \otimes y, f \rangle$   $(y \in \mathscr{N})$ . Using the  $\delta$ -invariance of  $\varphi$ , we obtain, for  $x \in \mathfrak{N}_{\varphi} \subset \mathscr{M}$  and  $y \in \mathscr{N}$ 

$$\langle x^*x \ \overline{\otimes} \ y^*y, \psi \rangle = \langle \delta(x^*x) \ \overline{\otimes} \ y^*y, \varphi \ \overline{\otimes} f \rangle = \langle \delta(x^*x), (\varphi \ \overline{\otimes} f) \ (\cdot \ \overline{\otimes} \ y^*y) \rangle$$

$$= \langle \delta(x^*x), \varphi \ \overline{\otimes} \ (f(\cdot \ \overline{\otimes} \ y^*y)) \rangle = \langle x^*x \ \overline{\otimes} \ 1_{\mathscr{A}}, \varphi \ \overline{\otimes} \ (f(\cdot \ \overline{\otimes} \ y^*y)) \rangle$$

$$= \langle x^*x, \varphi \rangle \langle 1_{\mathscr{A}} \ \overline{\otimes} \ y^*y, f \rangle = \langle x^*x, \varphi \rangle \langle y^*y, h \rangle = \langle x^*x \ \overline{\otimes} \ y^*y, \varphi \ \overline{\otimes} \ h \rangle.$$

In particular,  $\psi$  is semifinite. On the other hand, for every  $t \in \mathbb{R}$ ,  $\sigma_t^{\varphi}$  commutes with  $\delta$  (Lemma 18.11), hence

$$\psi \circ (\sigma_i^{\varphi} \ \overline{\otimes} \ \iota_{\mathscr{N}}) = (\varphi \ \overline{\otimes} f) \circ ((\delta \circ \sigma_i^{\varphi}) \ \overline{\otimes} \ \iota_{\mathscr{N}})$$

$$= (\varphi \ \overline{\otimes} f) \circ (\sigma_i^{\varphi} \ \overline{\otimes} \ \iota_{\mathscr{N}} \ \overline{\otimes} \ \iota_{\mathscr{N}}) \circ (\delta \ \overline{\otimes} \ \iota_{\mathscr{N}}) = ((\varphi \circ \sigma_i^{\varphi}) \ \overline{\otimes} f) \circ (\delta \ \overline{\otimes} \ \iota_{\mathscr{N}}) = \psi.$$

If  $\mathcal N$  is a type I factor, then, using Proposition 8.10, we infer that  $\psi = \varphi \ \overline{\otimes} \ h$ , which is equivalent to (1).

In the general case we can represent  $\mathcal{N}$  as a von Neumann algebra  $\mathcal{N} \subset \mathcal{B}(\mathcal{H})$  and extend f to a normal positive form on  $\mathcal{A} \otimes \mathcal{B}(\mathcal{H})$  so that the general case of (1) follows by restriction from the case  $\mathcal{N} = \mathcal{B}(\mathcal{H})$ , which has already been proved.

Note that (1) for  $\mathcal{N} = \mathbb{C} \cdot 1_{\mathcal{X}}$  just expresses the  $\delta$ -invariance of  $\varphi$ .

18.19. We now show that the action  $\delta$  of a Kac algebra on a  $W^*$ -algebra  $\mathcal{M}$  defines a canonical  $\mathcal{M}^{\delta}$ -valued weight on  $\mathcal{M}$ .

Proposition. Let  $\delta: \mathcal{M} \to \mathcal{M} \ \overline{\otimes} \ \mathcal{A}$  be an action of the Kac algebra  $(\mathcal{A}, \delta_{\mathcal{A}}, j_{\mathcal{A}}, \omega_{\mathcal{A}})$  on the  $W^*$ -algebra  $\mathcal{M}$ . The formula

(1) 
$$P_{\sigma}(x) = E_{\mathcal{M}}^{\omega_{\mathcal{M}} \circ J_{\mathcal{M}}}(\delta(x)) \qquad (x \in \mathcal{M}^+)$$

defines a normal faithful  $\mathcal{M}^{\delta}$ -valued weight on  $\mathcal{M}$ .

*Proof.* Let  $x \in \mathcal{M}^+$ . (1) defines an element  $m = P_{\delta}(x) \in \overline{\mathcal{M}}^+$ . We shall show that  $m = (\overline{\mathcal{M}}^{\delta})^+$ . By the uniqueness of the spectral decomposition of m (11.3.(2)), it is sufficient to show that  $\delta(m) = m \otimes 1_{M}$ , that is

(2) 
$$\langle \delta(m), f \rangle = \langle m \otimes 1_{\mathscr{A}}, f \rangle \text{ for all } f \in (\mathscr{M} \otimes \mathscr{A})_{*}^{+}.$$

Let  $f \in (\mathcal{M} \otimes \mathcal{A})^+$ . We have  $\langle \delta(m), f \rangle = \langle m, f \cdot \delta \rangle = \langle \delta(x), (f \cdot \delta) \otimes (\omega_{\mathcal{M}} \cdot j_{\mathcal{M}}) \rangle = \langle x, (f \otimes (\omega_{\mathcal{M}} \cdot j_{\mathcal{M}})) \cdot (\delta \otimes t_{\mathcal{M}}) \cdot \delta \rangle = \langle x, (f \otimes (\omega_{\mathcal{M}} \cdot j_{\mathcal{M}})) \cdot (t_{\mathcal{M}} \otimes \delta_{\mathcal{M}}) \cdot \delta \rangle = \langle \delta(x), (f \otimes (\omega_{\mathcal{M}} \cdot j_{\mathcal{M}})) \cdot (t_{\mathcal{M}} \otimes \delta_{\mathcal{M}}) \cdot \delta \rangle = \langle \delta(x), (f \otimes (\omega_{\mathcal{M}} \cdot j_{\mathcal{M}})) \cdot (t_{\mathcal{M}} \otimes \delta_{\mathcal{M}}) \rangle$  and  $\langle m \otimes t_{\mathcal{M}}, f \rangle = \langle m, f(\cdot \otimes t_{\mathcal{M}}) \rangle = \langle \delta(x), (f \otimes t_{\mathcal{M}}) \otimes (t_{\mathcal{M}} \otimes t_{\mathcal{M}}) \rangle = \langle \delta(x), (f \otimes t_{\mathcal{M}}) \otimes (t_{\mathcal{M}} \otimes t_{\mathcal{M}}) \rangle = \langle \delta(x), (f \otimes t_{\mathcal{M}}) \otimes (t_{\mathcal{M}} \otimes t_{\mathcal{M}}) \rangle = \langle \delta(x), (f \otimes t_{\mathcal{M}}) \otimes (t_{\mathcal{M}} \otimes t_{\mathcal{M}}) \rangle = \langle \delta(x), (f \otimes t_{\mathcal{M}}) \otimes (t_{\mathcal{M}} \otimes t_{\mathcal{M}}) \rangle = \langle \delta(x), (f \otimes t_{\mathcal{M}}) \otimes (t_{\mathcal{M}} \otimes t_{\mathcal{M}}) \rangle = \langle \delta(x), (f \otimes t_{\mathcal{M}}) \otimes (t_{\mathcal{M}} \otimes t_{\mathcal{M}}) \rangle = \langle \delta(x), (f \otimes t_{\mathcal{M}}) \otimes (t_{\mathcal{M}} \otimes t_{\mathcal{M}}) \rangle = \langle \delta(x), (f \otimes t_{\mathcal{M}}) \otimes (t_{\mathcal{M}} \otimes t_{\mathcal{M}}) \rangle = \langle \delta(x), (f \otimes t_{\mathcal{M}}) \otimes (t_{\mathcal{M}} \otimes t_{\mathcal{M}}) \rangle = \langle \delta(x), (f \otimes t_{\mathcal{M}}) \otimes (t_{\mathcal{M}} \otimes t_{\mathcal{M}}) \rangle = \langle \delta(x), (f \otimes t_{\mathcal{M}}) \otimes (t_{\mathcal{M}} \otimes t_{\mathcal{M}}) \rangle = \langle \delta(x), (f \otimes t_{\mathcal{M}}) \otimes (t_{\mathcal{M}} \otimes t_{\mathcal{M}}) \rangle = \langle \delta(x), (f \otimes t_{\mathcal{M}}) \otimes (t_{\mathcal{M}} \otimes t_{\mathcal{M}}) \rangle = \langle \delta(x), (f \otimes t_{\mathcal{M}}) \otimes (t_{\mathcal{M}} \otimes t_{\mathcal{M}}) \rangle = \langle \delta(x), (f \otimes t_{\mathcal{M}}) \otimes (t_{\mathcal{M}} \otimes t_{\mathcal{M}}) \rangle = \langle \delta(x), (f \otimes t_{\mathcal{M}}) \otimes (t_{\mathcal{M}} \otimes t_{\mathcal{M}}) \rangle = \langle \delta(x), (f \otimes t_{\mathcal{M}}) \otimes (t_{\mathcal{M}} \otimes t_{\mathcal{M}}) \rangle = \langle \delta(x), (f \otimes t_{\mathcal{M}}) \otimes (t_{\mathcal{M}} \otimes t_{\mathcal{M}}) \rangle = \langle \delta(x), (f \otimes t_{\mathcal{M}}) \otimes (t_{\mathcal{M}} \otimes t_{\mathcal{M}}) \rangle = \langle \delta(x), (f \otimes t_{\mathcal{M}}) \otimes (t_{\mathcal{M}} \otimes t_{\mathcal{M}}) \rangle = \langle \delta(x), (f \otimes t_{\mathcal{M}}) \otimes (t_{\mathcal{M}} \otimes t_{\mathcal{M}}) \otimes (t_{\mathcal{$ 

$$(3) (f \otimes (\omega_{\mathscr{A}} \cdot j_{\mathscr{A}})) \cdot (\iota_{\mathscr{A}} \otimes \delta_{\mathscr{A}}) = (f(\cdot \otimes 1_{\mathscr{A}})) \otimes (\omega_{\mathscr{A}} \cdot j_{\mathscr{A}}).$$

As in the last part of the proof of Proposition 18.18 we see that it is sufficient to check (3) only when  $\mathcal{M}$  is a type I factor. In this case there exists an involutive \*-automorphism  $j: \mathcal{M} \to \mathcal{M}, j \circ j = i_{\mathcal{M}}$ . Let  $h = f \circ (j \otimes j_{\mathcal{M}}) \circ \sim \mathcal{M}, n \in (\mathcal{M} \otimes \mathcal{M})^+$ .

By composing the weights in (3) with the \*-antiautomorphism  $\sim 10^{\circ}$  ( $j_{\text{ad}} \otimes j_{\text{b}}$ ):  $s_{\text{ad}} \otimes s_{\text{b}} = s_{\text{ad}} \otimes s_{\text{b}} \otimes s_{\text{b}}$  and using 18.2.(3), we see that (3) is equivalent to the

$$(\omega_{\mathcal{M}} \overline{\otimes} h) \cdot (\delta_{\mathcal{M}} \overline{\otimes} \iota_{\mathcal{M}}) = [(\omega_{\mathcal{M}} \overline{\otimes} h) \cdot (\iota_{\mathcal{M}} \overline{\otimes} \sim \iota_{\mathcal{M}})] (\cdot \overline{\otimes} \iota_{\mathcal{M}})$$

of normal weights on A .M, which is an obvious consequence of Proposition 18.18.

Thus,  $P_{\delta}(x) \in (\overline{\mathcal{M}^{\delta}})^+$  for every  $x \in \mathcal{M}^+$ . Taking into account the properties of the Fubini mappings (9.8, 12.18), it is now easy to check that  $P_{\delta}: \mathcal{M}^+ \to (\overline{\mathcal{M}^{\delta}})^+$  is a normal faithful operator valued weight.

Note that if  $\mathcal{N} \subset \mathcal{M}$  is an  $\mathscr{A}$ -subcomodule of the  $\mathscr{A}$ -comodule  $\mathscr{M}$  via  $\delta$ , then for the action  $\delta | \mathscr{N}$  of  $\mathscr{A}$  on  $\mathscr{N}$  we have

$$(4) P_{\delta|\mathcal{N}} = P_{\delta}|\mathcal{N}$$

as  $\delta(\mathcal{N}) \subset \mathcal{N} \ \overline{\otimes} \ \mathscr{A}$  and, clearly,  $E_{\nu}^{\omega_{\mathcal{A}} \circ j_{\mathcal{A}}} = E_{\mu}^{\omega_{\mathcal{A}} \circ j_{\mathcal{A}}} | \mathcal{N} \ \overline{\otimes} \ \mathscr{A}$ .

Also, if  $\delta: \mathcal{M} \to \mathcal{M} \ \overline{\otimes} \ \mathcal{A}$  is an action of  $\mathcal{A}$  on  $\mathcal{M}$  and  $\mathcal{N}$  is any other  $W^{\bullet}$ -algebra, then for the action  $\iota_{\mathcal{N}} \ \overline{\otimes} \ \delta: \mathcal{N} \ \overline{\otimes} \ \mathcal{M} \to \mathcal{N} \ \overline{\otimes} \ \mathcal{M} \ \overline{\otimes} \ \mathcal{A}$  of  $\mathcal{A}$  on  $\mathcal{N} \ \overline{\otimes} \ \mathcal{M}$  we have

$$P_{\iota_{\mathcal{N}} \overline{\Theta} \delta} = \iota_{\mathcal{N}} \ \overline{\otimes} \ P_{\delta}$$

as 
$$E_{\mathcal{N} \buildrel \bullet}^{\omega_{sl} \cdot j_{sl}} = \imath_{\mathcal{N}} \begin{cases} ar{\otimes} E_{\mathcal{M}}^{\omega_{sl} \cdot j_{sl}}. \end{cases}$$

If  $\delta = \delta_{sd}$  is the action of the Kac algebra sd on itself, then  $sd^{\delta} = \mathbb{C} \cdot 1_{sd}$  (18.14) and  $P_{\sigma}$  coincides with the weight  $\omega_{sd} \circ j_{sd}$ .

The action  $\delta: \mathcal{M} \to \mathcal{M} \ \overline{\otimes} \ \mathcal{A}$  is called *integrable* if the operator valued weight  $P_{\delta}$  is semifinite.

18.20. Consider, in particular, a continuous action  $\sigma: G \to Aut(\mathcal{M})$  of the locally compact group G on the  $W^*$ -algebra  $\mathcal{M}$  and the corresponding action  $\pi_{\sigma}: \mathcal{M} \to \mathcal{M} \otimes \mathcal{L}^{\infty}(G)$  of the Kac algebra  $G = (\mathcal{L}^{\infty}(G), \pi_G, k_G, \mu_G)$  on  $\mathcal{M}$ . We recall that  $\mathcal{M}^{\sigma} = \mathcal{M}^{\pi_{\sigma}}$  (18.6.(4)) and put  $P_{\sigma} = P_{\pi_{\sigma}}$ . We shall show that in this case the operator valued weight  $P_{\sigma}: \mathcal{M}^+ \to \widehat{(\mathcal{M}^b)}^+$  is given by

(1) 
$$P_{\sigma}(x) = \int \sigma_{g}(x) dg \qquad (x \in \mathcal{M}^{+}).$$

Let  $x \in \mathcal{M}^+$ ,  $\varphi \in \mathcal{M}^+$  and  $h \in \mathcal{L}^1(G) = \mathcal{L}^\infty(G)_{\bullet}$ . Then the element  $h \cdot k_G \in \mathcal{L}^\infty(G)_{\bullet}$ , regarded as an  $\mathcal{L}^1$ -function, has the expression  $(h \cdot k_G)(g) = h(g^{-1}) \Delta_G(g^{-1})$   $(g \in G)$  see (18.5.(5)). Thus,

$$\langle k_G(E_{\mathbf{g}^{\infty}(G)}^{\varphi}(\pi_{\mathbf{g}}(\mathbf{x}))), h \rangle = \langle \pi_{\sigma}(\mathbf{x}), \varphi \otimes (h \cdot k_G) \rangle$$

$$= \int \varphi(\sigma_{\mathbf{g}}^{-1}(\mathbf{x})) h(g^{-1}) \Delta_G(g^{-1}) dg$$

$$= \int \varphi(\sigma_{\mathbf{g}}(\mathbf{x})) h(g) dg$$

hence the element  $k_G(E_{\mathscr{L}^{\infty}(G)}^{\varphi}(\pi_{\sigma}(x))) \in \mathscr{L}^{\infty}(G)$  is the function  $G \ni g \mapsto \varphi(\sigma_g(x))$ . Consequently

$$\begin{split} \left\langle P_{\sigma}(x), \varphi \right\rangle &= \left\langle \pi_{\sigma}(x), \varphi \ \overline{\otimes} \ (\mu_{G} \circ k_{G}) \right\rangle = \left\langle k_{G}(E_{\mathscr{L}^{\infty}(G)}^{\varphi} \left( \pi_{\sigma}(x) \right) \right), \mu_{G} \right\rangle \\ &= \int \varphi(\sigma_{g}(x)) \, \mathrm{d}g = \left\langle \left[ \sigma_{g}(x) \, \mathrm{d}g, \ \varphi \right] \right\rangle, \end{split}$$

proving (1).

Equation (1) justifies the notion of an integrable action (18.19).

Note that if G is compact, then  $P_{\sigma}$  is finite, i.e.  $P_{\sigma}$  is a normal faithful conditional expectation of  $\mathcal{M}$  onto  $\mathcal{M}^{\sigma}$ .

Using (1) and 18.4.(2) we see that

(2) 
$$P_{\sigma}(\sigma_{t}(x)) = \Delta_{G}(t)^{-1}P_{\sigma}(x) \qquad (x \in \mathcal{M}^{+}, \ t \in G).$$

In particular, if G is unimodular, e.g. abelian or compact, then  $P_{\sigma} \circ \sigma_t = P_{\sigma}(t \in G)$ .

18.21. Consider now the continuous action

$$\sigma \otimes \operatorname{Ad}(\rho) \colon G \to \operatorname{Aut}(\mathscr{M} \otimes \mathscr{B}(\mathscr{L}^2(G)))$$

where  $\sigma: G \to Aut(\mathcal{M})$  is any continuous action of G on  $\mathcal{M}$  and  $\rho: G \to \mathcal{B}(\mathcal{L}^2(G))$  is the right regular representation of G.

We shall assume  $\mathcal{M} \subset \mathcal{B}(\mathcal{H})$  realized as a von Neumann algebra and hence  $\mathcal{M} \boxtimes \mathcal{B}(\mathcal{L}^2(G)) \subset \mathcal{B}(\mathcal{L}^2(G,\mathcal{H}))$ . Moreover, we may assume (2.24) that there exists an so-continuous unitary representation  $G \ni g \mapsto v(g) \in \mathcal{B}(\mathcal{H})$  such that  $\sigma_g = \operatorname{Ad}(v(g)) \ (g \in G)$ . On the other hand we put  $u(g) = 1_{\mathcal{M}} \boxtimes \lambda(g) \in \mathcal{M} \boxtimes \mathcal{B}(\mathcal{L}^2(G)) \ (g \in G)$ , and recall (18.6.(5)) that  $\pi_{\sigma}(\sigma_g(x)) = u(g) \ \pi_{\sigma}(x) \ u(g)^* \ (x \in \mathcal{M}, g \in G)$ .

Every compactly supported w-continuous function  $f: G \to \mathcal{M}$  defines an element

(1) 
$$T_f^o = \int \pi_\sigma(f(t)) \, u(t) \, \mathrm{d}t \in \mathscr{M} \ \mathscr{B}(\mathscr{L}^2(G)).$$

On the other hand, every compactly supported  $s^*$ -continuous function  $G \times G \ni (s, r) \mapsto X(s, r) \in \mathcal{M}$  defines an element  $X \in \mathcal{M} \otimes \mathcal{B}(\mathcal{L}^2(G))$  uniquely determined such that

(2) 
$$(X \xi | \eta) = \iint (X(s, r) \xi(r) | \eta(s)) drds \qquad (\xi, \eta \in \mathcal{L}^2(G, \mathcal{H})).$$

It is easy to check that if the operator X is positive, then X(g,g) > 0 for all  $g \in G$ .

We shall show that if the operator X just defined is positive, then

$$P_{\sigma \bullet Ad(p)}(X) = T_f^{\sigma},$$

where the compactly supported w-continuous function  $f: G \to \mathcal{M}$  is defined by

(4) 
$$f(t) = \int \sigma_{tr}(X(tr, r)) \Delta_G(r) dr \qquad (t \in G).$$

Indeed, for  $\xi \in \mathcal{L}^2(G, \mathcal{H})$  and  $g, s \in G$  we have

$$(((\sigma_{\mathbf{g}} \ \overline{\otimes} \ \operatorname{Ad}(\rho(g)) \ (X)) \ \xi) \ (s) =$$

$$= ((v(g) \ \overline{\otimes} \ \rho(g)) \ X(v(g) \ \overline{\otimes} \ \rho(g))^* \xi) \ (s)$$

$$= \Delta_{\mathbf{G}}(g)^{1/2} v(g) \ (X(v(g) \ \overline{\otimes} \ \rho(g))^* \xi) \ (sg)$$

$$= \Delta_{\mathbf{G}}(g)^{1/2} v(g) \int X(sg, r) \ ((v(g)^* \ \overline{\otimes} \ \rho(g^{-1})) \ \xi) \ (r) \ dr$$

$$= v(g) \int X(sg, r) \ v(g)^* \xi(rg^{-1}) \ dr$$

$$= \Delta_{\mathbf{G}}(g) \int v(g) \ X(sg, rg) \ v(g)^* \xi(r) \ dr$$

$$= \Delta_{\mathbf{G}}(g) \int \sigma_{\mathbf{g}}(X(sg, rg)) \ \xi(r) \ dr$$

and, using 18.20.(1), we obtain

$$(P_{\sigma \bullet \mathsf{Ad}(p)}(X) \, \xi | \, \xi) = \iiint \Delta_G(g) \, (\sigma_g(X(sg, rg)) \, \xi(r) | \, \xi(s)) \, \mathrm{d}r \mathrm{d}s \mathrm{d}g.$$

On the other hand, for the function f defined by (4) we have

$$(T_{j}^{\sigma}\xi|\xi) = \int (\pi_{\sigma}(f(t)) u(t) \xi|\xi) dt$$

$$= \iint ((\pi_{\sigma}(f(t)) u(t) \xi) (s)|\xi(s)) dsdt$$

$$= \iint (\sigma_s^{-1}(f(t)) (u(t) \xi) (s) | \xi(s)) ds dt$$

$$= \iint (\sigma_s^{-1}(f(t)) \xi(t^{-1}s) | \xi(s)) ds dt$$

$$= \iiint \Delta_G(r) (\sigma_{s^{-1}tr}(X(tr, r)) \xi(t^{-1}s) | \xi(s)) dr ds dt$$

$$(\text{via } r = t^{-1}sg) = \iiint \Delta_G(t^{-1}sg) (\sigma_g(X(sg, t^{-1}sg)) \xi(t^{-1}s) | \xi(s)) dg ds dt$$

$$(\text{via } t = sr^{-1}) = \iiint \Delta_G(g) (\sigma_g(X(sg, rg)) \xi(r) | \xi(s)) dg ds dr.$$

Consequently, the element  $P_{\sigma \in Ad(\mathfrak{O})}(X)$  is bounded and (3) holds.

18.22. Finally, we consider the action  $\delta = \iota_{\mathcal{M}} \otimes \delta_G$  of the Kac algebra  $\hat{\mathbf{G}} = (\mathfrak{L}(G), \delta_G, j_G, \omega_G)$  on  $\mathcal{M} \otimes \mathcal{B}(\mathcal{L}^2(G))$ , where  $\mathcal{M} \subset \mathcal{B}(\mathcal{H})$  is a von Neumann algebra, and compute the values of the operator valued weight  $P_{\delta}$  on two types of elements.

Recall that  $\omega_G \circ j_G = \omega_G$  (18.17.(12)) and  $u(g) = 1_{\mathcal{M}} \otimes \lambda(g) \in \mathcal{M} \otimes \mathcal{B}(\mathcal{L}^2(G))$  ( $g \in G$ ). Let  $f: G \to \mathcal{M}$  be a compactly supported w-continuous function.

We first consider the operator  $\Lambda_f = \int (f(g) \otimes \lambda(g)) dg \in \mathcal{M} \otimes \mathfrak{L}(G)$  and show that if  $\Lambda_f > 0$ , then

(1) 
$$E_{\mathcal{A}}^{\omega_{G}}(\Lambda_{f}) = f(e).$$

Indeed, for  $\varphi \in \mathcal{M}_{\frac{1}{n}}^+$  and  $k \in \mathcal{A}(G)$  we have

$$\langle E_{\mathfrak{L}(G)}^{\bullet}(\Lambda_f), k \rangle = \langle \Lambda_f, \varphi \otimes k \rangle = \int \varphi(f(g)) \, k(g) \, \mathrm{d}g$$
$$= \left\langle \int \varphi(f(g)) \, \lambda(g) \, \mathrm{d}g, k \right\rangle = \left\langle \lambda(\varphi \cdot f), k \right\rangle$$

hence  $E_{\mathfrak{L}(G)}^{\bullet}(\Lambda_f) = \lambda(\varphi \cdot f) > 0$  and, using 18.17.(8), we obtain

$$\left\langle E_{\mathcal{A}}^{\sigma_G}(\Lambda_f), \varphi \right\rangle = \left\langle E_{\mathcal{L}(G)}^{\sigma}(\Lambda_f), \omega_G \right\rangle = \left\langle \lambda(\varphi \cdot f), \omega_G \right\rangle = \left\langle f(e), \varphi \right\rangle$$
 which proves (1).

Next, we consider a continuous action  $\sigma: G \to Aut(\mathcal{M})$ , we define the operator (18.21.(1))  $T_f^{\sigma} = \int \pi_{\sigma}(f(g)) u(g) \, \mathrm{d}g \in \mathcal{M} \ \overline{\otimes} \ \mathcal{B}(\mathcal{L}^2(G))$  and show that

(2) 
$$P_{\iota_{\mathscr{M}} \overline{\otimes} \delta_{G}}(T_{f}^{\sigma}) = \pi_{\sigma}(f(e)).$$

Indeed, we have  $\pi_{\sigma}(\mathcal{M}) \subset \mathcal{M} \otimes \mathcal{L}^{\infty}(G) = (\mathcal{M} \otimes \mathcal{B}(\mathcal{L}^{2}(G))^{\delta})$  and  $\delta(u(g)) = u(g) \otimes \lambda(g)$ , hence  $\delta(T_{\sigma}^{\sigma}) = \int ((\pi_{\sigma}(f(g))u(g)) \otimes \lambda(g) dg$  so that, using (1), we obtain  $P_{\bullet}(T_{\sigma}^{\sigma}) = E^{\omega}_{\bullet}(\delta(T_{\sigma}^{\sigma})) = \pi_{\sigma}(f(e))$ .

Given the compactly supported w-continuous functions  $f, f_1, f_2: G \to \mathcal{M}$ , we define the functions  $f^*, f_1 * f_2: G \to \mathcal{M}$  by

$$f^*(g) = \Delta_G(g)^{-1}f(g^{-1})^*, (f_1 * f_2)(g) = \int f_1(t) f_2(t^{-1}g) dt; \quad (g \in G).$$

It is easy to check that

(3) 
$$(\Lambda_f)^* = \Lambda_{f^*} \qquad \Lambda_{f_1} \Lambda_{f_2} = \Lambda_{f_1 * f_2}$$

$$(T_f^{\sigma})^* = T_{f^*}^{\sigma} \qquad T_{f_1}^{\sigma} T_{f_2}^{\sigma} = T_{f_1 \bullet f_2}^{\sigma}$$

and from (1) and (2), using the polarization relation, we infer that

(5) 
$$E_{\mathcal{A}}^{\omega_{G}}(\Lambda_{f_{1}}^{*}\Lambda_{f_{2}}) = (f_{1}^{*} * f_{2})(e),$$

(6) 
$$P_{i,\sigma} = \delta_{G}((T_{f_{1}}^{\sigma})^{*}(T_{f_{2}}^{\sigma})) = \pi_{\sigma}((f_{1}^{\bullet} * f_{2})(e)).$$

Consider now a compactly supported w-continuous function  $G \times G \ni (s,r) \mapsto X(s,r) \in \mathcal{M}$ , the corresponding operator  $X \in \mathcal{M} \otimes \mathcal{B}(\mathcal{L}^2(G))$  uniquely determined by equalities 18.21.(2) and the operator  $F_x\mathcal{M} \otimes \mathcal{L}^\infty(G)$  defined by the compactly supported w-continuous function

(7) 
$$F_X(g) = \Delta_G(g) X(g,g) \qquad (g \in G).$$

If the operator X is positive, then

$$P_{i,\overline{A} \bullet b_{G}}(X) = F_{X}.$$

Indeed, we first compute the element  $(i_{\mathscr{A}} \boxtimes \delta_G)(X) \in \mathscr{M} \boxtimes \mathscr{B}(\mathscr{L}^2(G)) \boxtimes \mathfrak{L}(G) \subset \mathscr{B}(\mathscr{L}^2(G \times G, \mathscr{H}))$ . For  $\zeta \in \mathscr{L}^2(G \times G, \mathscr{H})$  we have

$$((1_{\mathcal{M}} \boxtimes \delta_{G})(X)\zeta | \zeta) = ((1_{\mathcal{M}} \boxtimes W_{G}^{\bullet})(X \boxtimes 1_{G})(1_{\mathcal{M}} \boxtimes W_{G})\zeta | \zeta)$$

$$= ((X \boxtimes 1_{G})(1_{\mathcal{M}} \boxtimes W_{G})\zeta | (1_{\mathcal{M}} \boxtimes W_{G})\zeta)$$

$$= \iiint (X(s,r)(((1_{\mathcal{M}} \boxtimes W_{G})\zeta)(r,t)) | ((1_{\mathcal{M}} \boxtimes W_{G})\zeta)(s,t)) drdsdt$$

$$= \iiint (X(s,r)\zeta (r,rt) | \zeta (s,st)) drdsdt$$

$$= \iiint (X(s,r)\zeta (r,rs^{-1}t) | \zeta (s,t)) drdsdt$$

$$= \iiint (X(s,r^{-1}s)\zeta (r^{-1}s,r^{-1}t) | \zeta (s,t)) \Delta_{G}(r^{-1}s) drdsdt.$$

Let  $\varphi = \omega_{\xi} \in \mathcal{M} \otimes \mathcal{B}(\mathcal{L}^{2}(G))_{\bullet}^{+}$  with  $\xi \in \mathcal{K}(G, \mathcal{K})$  and  $k \in \mathcal{A}(G)$ . There exists  $\eta \in \mathcal{L}^{2}(G)$  such that  $k = \omega_{\eta}$ , i.e.

$$k(r) = \langle \lambda(r), \omega_{\mathbf{q}} \rangle = (\lambda(r) \, \eta | \eta) = \int \eta(r^{-1}t) \, \overline{\eta(t)} \, \mathrm{d}t.$$

Then  $\varphi \boxtimes k = \omega_{\zeta}$  with  $\zeta = \xi \boxtimes \eta$ , that is  $\zeta(s, t) = \xi(s) \eta(t)$ , and by the above computation it follows that

$$\langle E_{\mathfrak{L}(G)}^{\varphi}(\delta(X)), k \rangle = \langle (\iota_{\mathscr{M}} \otimes \delta_{G}) (X), \varphi \otimes k \rangle$$

$$= \int k(r) \left( \int d_{G}(r^{-1}s) (X(s, r^{-1}s) | \xi(r^{-1}s)| | \xi(s)) ds \right) dr = \langle \lambda(f), k \rangle$$

where  $f \in \mathcal{K}(G)$  is defined by

(9) 
$$f(r) = \int d_G(r^{-1}s) (X(s, r^{-1}s) |\xi(r^{-1}s)| |\xi(s)| ds (r \in G).$$

Consequently,  $0 \le E_{\mathcal{L}(G)}^{\bullet}(\delta(X)) = \lambda(f)$  and, using 18.17.(8), it follows that

$$(P_{\delta}(X) | \xi | \xi) = \langle E_{\mathcal{A} \cap \mathcal{B}(\mathcal{L}^{\bullet}(G))}^{\sigma_{G}}(\delta(X)), \varphi \rangle = \langle \delta(X), \varphi | \overline{\otimes} \omega_{G} \rangle$$

$$= \langle E_{\mathcal{L}(G)}^{\sigma}(\delta(X)), \omega_{G} \rangle = \langle \lambda(f), \omega_{G} \rangle = f(e)$$

$$= \int \Delta_{G}(s) (X(s, s) | \xi(s)) dr = (F_{x} \xi | \xi).$$

Therefore, the operator  $P_s(X)$  is bounded and  $P_s(X) = F_x$ .

18.23. Notes. The extension of the Pontryagin and Tannaka duality theories (see [118]) to a duality in the category of Kac algebras has been developed in a long series of papers by several different mathematicians: [213], [217], [125], [90], [251], [89], [246], [260], [87], [138], etc. The actions of Hopf-von Neumann algebras on W\*-algebras have been considered in [152], [153], [165], [166], [232], [233]. The notion of  $(\delta, J_{st})$ -invariance, Theorem 18.12, and Proposition 18.14, and also the computations given in Sections 18.21, 18.22, are from [233]. Proposition 18.19 was proved in several special cases in [104], [152], [233] and the general case was asserted and used in [85], but the complete proof of it in the general case has only appeared in [269].

For our exposition we have used: [104], [233], [246], and [269].

## §19. Crossed products

In this Section we introduce the crossed product of a  $W^*$ -algebra by the continuous action of a locally compact group as a particular case of crossed products by actions of Kac algebras. The main properties of crossed products, including the duality theory, are described.

19.1. Let  $\delta: \mathcal{M} \to \mathcal{M} \ \overline{\otimes} \ \mathcal{A}$  be an action of the Kac algebra  $(\mathcal{A}, \delta_{\mathcal{A}}, j_{\mathcal{A}}, \omega_{\mathcal{A}})$  on the  $W^*$ -algebra  $\mathcal{M}$ . Consider the  $W^*$ -algebra  $\mathcal{M}$  realized as a von Neumann algebra  $\mathscr{A} \subset \mathscr{B}(\mathscr{H}_{\mathscr{A}})$  in the standard representation associated with the weight  $\omega_{\mathscr{A}}$ . As we have seen in Section 18.15, one can construct a dual Kac algebra  $(\hat{\mathcal{A}}, \hat{\delta}_{\mathcal{A}}, \hat{j}_{\mathcal{A}}, \hat{\omega}_{\mathcal{A}})$ where  $\mathscr{A} \subset \mathscr{A}(\mathscr{H}_{\mathscr{A}})$  is a von Neumann algebra also acting on  $\mathscr{H}_{\mathscr{A}}$ .

We define the crossed product of the W\*-algebra  $\mathcal M$  by the action  $\delta$  of the Kac algebra on M to be the W\*-algebra

$$\mathfrak{R}(\mathcal{M}, \delta) \subset \mathcal{M} \otimes \mathfrak{B}(\mathcal{H}_{\mathbf{d}})$$

generated by  $\delta(\mathcal{M})$  and  $1_{\mathcal{M}} \otimes \hat{\mathcal{A}}$ .

In particular, let G be a locally compact group and let  $G = (\mathcal{L}^{\infty}(G), \pi_G, k_G, \mu_G)$ and  $\hat{\mathbf{G}} = (\mathfrak{L}(G), \delta_G, j_G, \omega_G)$  be the two associated Kac algebras which are dual to one another (18.16, 18.17).

If  $\sigma: G \to Aut(\mathcal{M})$  is a continuous action of G on the W\*-algebra  $\mathcal{M}$ , then  $\pi_{\bullet}: \mathcal{M} \to \mathcal{M} \ \otimes \mathscr{L}^{\infty}(G)$  is an action of G on  $\mathcal{M}$  (18.6) and, according to the general definition above, the crossed product of the W\*-algebra M by the continuous action o of G on M is the W\*-algebra

$$\mathfrak{R}(\mathcal{M},\sigma)\subset \mathcal{M}\ \overline{\otimes}\ \mathfrak{R}(\mathcal{L}^2(G))$$

generated by  $\pi_{\bullet}(\mathcal{M})$  and  $1_{\mathcal{M}} \otimes \mathfrak{L}(G)$ , i.e.

(1) 
$$\mathfrak{R}(\mathcal{M}, \sigma) = \mathfrak{R}\{\pi_{\sigma}(x), 1_{\mathcal{M}} \overline{\otimes} \lambda(g); x \in \mathcal{M}, g \in G\}.$$

Recall (18.6.(5)) that

(2) 
$$\pi_{\sigma}(\sigma_{g}(x)) = (1_{\mathscr{M}} \overline{\otimes} \lambda(g)) \, \pi_{\sigma}(x) \, (1_{\mathscr{M}} \overline{\otimes} \lambda(g))^{*} \qquad (x \in \mathscr{M}, g \in G),$$

which constitutes another proof of the fact (2.24) that there exists a realization  $\mathcal{M} \subset \mathcal{B}(\mathcal{H})$  of  $\mathcal{M}$  as a von Neumann algebra and an so-continuous unitary representation  $G \ni g \mapsto v(g) \in \mathcal{B}(\mathcal{H})$  with

(3) 
$$\sigma_{\mathbf{g}}(x) = v(g) x v(g)^* \qquad (x \in \mathcal{M}, g \in G).$$

If  $\delta: \mathcal{M} \mapsto \mathcal{M} \otimes \mathfrak{L}(G)$  is an action of the Kac algebra  $\hat{G}$  on  $\mathcal{M}$ , then the corresponding crossed product is the  $W^*$ -algebra

$$\mathcal{R}(\mathcal{M}, \delta) \subset \mathcal{M} \ \overline{\otimes} \ \mathcal{B}(\mathcal{L}^2(G))$$

generated by  $\delta(\mathcal{M})$  and  $1_{\mathcal{M}} \otimes \mathcal{L}^{\infty}(G)$ , i.e.

(4) 
$$\mathscr{R}(\mathcal{M}, \delta) = \mathscr{R}\{\delta(x), 1_{\mathcal{M}} \ \overline{\otimes} f; \ x \in \mathcal{M}, \ f \in \mathscr{L}^{\infty}(G)\}.$$

In what follows we are interested only in crossed products by continuous actions of groups. However, the appearance of certain important duality phenomena necessitates the consideration also of crossed products by actions of Kac algebras of type  $\hat{\mathbf{G}}$ .

Throughout this Section, G will denote a locally compact group.

**19.2.** Lemma. Let  $\sigma: G \to Aut(\mathcal{M})$  be a continuous action of G on the W\*-algebra  $\mathcal{M}$ . Then:

(1) 
$$\mathscr{M} \otimes \mathscr{L}^{\infty}(G) = \mathscr{R}\{\pi_{\sigma}(\mathscr{M}), 1_{\mathscr{M}} \otimes \mathscr{L}^{\infty}(G)\}$$

$$(2) \quad \mathscr{M} \ \overline{\otimes} \ \mathscr{B}(\mathscr{L}^2(G)) = \mathscr{R}\{\pi_o(\mathscr{M}), 1_\mathscr{M} \ \overline{\otimes} \ \mathscr{B}(\mathscr{L}^2(G))\} = \mathscr{R}\{\mathscr{R}(\mathscr{M}, \sigma), 1_\mathscr{M} \ \overline{\otimes} \ \mathscr{L}^{\infty}(G)\}$$

$$(\mathscr{M} \otimes \mathscr{L}^{\infty}(G))^{\sigma \widetilde{\bullet} \operatorname{Ad}(\mathfrak{p})} = \pi_{\sigma}(\mathscr{M}).$$

**Proof.** We may assume  $\mathcal{M} \subset \mathcal{B}(\mathcal{H})$  realized as a von Neumann algebra such that there exists an so-continuous unitary representation  $v: G \to \mathcal{B}(\mathcal{H})$  with  $\sigma_g = \operatorname{Ad}(v(g)) (g \in G)$ . Let V be the unitary operator on  $\mathcal{H} \otimes \mathcal{L}^2(G)$  defined by the bounded so-continuous function  $g \mapsto v(g)$ , i.e.

$$(V\xi)(g) = v(g)\,\xi(g) \qquad (\xi \in \mathcal{L}^2(G,\mathcal{H}), g \in G).$$

It is easy to check that the \*-automorphism  $\theta = \operatorname{Ad}(V^*) = V^* \cdot V$  leaves invariant the von Neumann algebra  $\mathscr{M} \otimes \mathscr{L}^{\infty}(G) \subset \mathscr{B}(\mathscr{H} \otimes \mathscr{L}^2(G))$ ; in fact

(4) 
$$\theta(x \ \overline{\otimes} \ 1_G) = \pi_{\sigma}(x) \qquad (x \in \mathcal{M})$$

(5) 
$$\theta(1_{\mathscr{A}} \otimes f) = 1_{\mathscr{A}} \otimes f \quad (f \in \mathscr{L}^{\infty}(G)).$$

Consequently,  $\mathscr{M} \otimes \mathscr{L}^{\infty}(G) = \theta(\mathscr{M} \otimes \mathscr{L}^{\infty}(G)) = \theta(\mathscr{R}\{\mathscr{M} \otimes 1_G, 1_{\mathscr{M}} \otimes \mathscr{L}^{\infty}(G)\}) = \mathscr{R}\{\pi_{\sigma}(\mathscr{M}), 1_{\mathscr{M}} \otimes \mathscr{L}^{\infty}(G)\}, \text{ proving (1). (2) follows from (1) because } \mathscr{B}(\mathscr{L}^{3}(G)) = \mathscr{R}\{\mathfrak{L}(G), \mathscr{L}^{\infty}(G)\} \text{ and, by definition, } \mathscr{R}(\mathscr{M}, \sigma) = \mathscr{R}\{\pi_{\sigma}(\mathscr{M}), 1_{\mathscr{M}} \otimes \mathfrak{L}(G)\}.$ On the other hand, it is easy to check that

(6) 
$$(\sigma_{\bullet} \otimes \operatorname{Ad}(\rho(g))) \circ \theta = \theta \circ (\iota_{\mathcal{M}} \otimes \operatorname{Ad}(\rho(g))) (g \in G)$$

as \*-automorphisms on  $\mathscr{M} \otimes \mathscr{L}^{\infty}(G)$ . Consequently, for  $X \in \mathscr{M} \otimes \mathscr{L}^{\infty}(G)$  we have  $X \in (\mathscr{M} \otimes \mathscr{L}^{\infty}(G))^{\sigma \otimes \operatorname{Ad}(\rho)} \Leftrightarrow (\sigma_g \otimes \operatorname{Ad}(\rho(g)))X = X \ (g \in G) \Leftrightarrow (i_{\mathscr{M}} \otimes \operatorname{Ad}(\rho(g)))\theta^{-1}X = \theta^{-1}X, \ (g \in G) \Leftrightarrow \theta^{-1}X \in \mathscr{M} \otimes 1_G \Leftrightarrow X \in \theta(\mathscr{M} \otimes 1_G) = \pi_{\sigma}(\mathscr{M}), \text{ since } (\mathscr{M} \otimes \mathscr{L}^{\infty}(G))^{\circ \otimes \operatorname{Ad}(\rho)} = \mathscr{M} \otimes 1_G.$  This proves (3).

Similarly, one can show that for  $X \in \mathcal{M} \otimes \mathcal{L}^{\infty}(G)$  we have

(7) 
$$X \in \pi_{\sigma}(\mathcal{M}) \Leftrightarrow (\pi_{\sigma} \ \overline{\otimes} \ \iota_{G}) \ (X) = (\iota_{\mathcal{M}} \ \overline{\otimes} \ \pi_{G}) \ (X)$$

and that if  $\mathcal{N} \subset \mathcal{M}$  is a unital  $W^*$ -subalgebra, then for  $x \in \mathcal{M}$  we have

(8) 
$$\pi_{\sigma}(x) \in \mathcal{N} \ \overline{\otimes} \ \mathcal{L}^{\infty}(G) \Rightarrow x \in \mathcal{N}.$$

19.3. Let  $\mathcal{R}(\mathcal{M}, \sigma) \subset \mathcal{M} \ \overline{\otimes} \ \mathcal{B}(\mathcal{L}^2(G))$  be the crossed product of the  $W^*$ -algebra  $\mathcal{M}$  by the continuous action  $\sigma \colon G \to Aut(\mathcal{M})$  of G on  $\mathcal{M}$ .

Consider also the action  $\delta = i_{\mathcal{M}} \otimes \delta_G$  of  $\hat{G}$  on  $\mathcal{M} \otimes \mathcal{B}(\mathcal{L}^2(G))$ . Since, by 18.7.(11), its centralizer is  $\mathcal{M} \otimes \mathcal{L}^{\infty}(G)$  and since  $\pi_{\sigma}(\mathcal{M}) \subset \mathcal{M} \otimes \mathcal{L}^{\infty}(G)$ , we have

(1) 
$$\delta(\pi_{\sigma}(x)) = \pi_{\sigma}(x) \ \overline{\otimes} \ 1_{G} \in \mathcal{R}(\mathcal{M}, \sigma) \ \overline{\otimes} \ \mathfrak{L}(G) \qquad (x \in \mathcal{M}).$$

On the other hand (18.7.(2)),

$$(2) \delta(1_{\mathscr{M}} \overline{\otimes} \lambda(g)) = 1_{\mathscr{M}} \overline{\otimes} \lambda(g) \overline{\otimes} \lambda(g) \in \mathscr{R}(\mathscr{M}, \sigma) \overline{\otimes} \mathfrak{L}(G) (g \in G).$$

Thus (19.1.(1)),  $\delta(\mathcal{R}(\mathcal{M}, \sigma)) \subset \mathcal{R}(\mathcal{M}, \sigma) \boxtimes \mathfrak{L}(G)$  and hence  $\mathcal{R}(\mathcal{M}, \sigma)$  is a  $\hat{G}$ -subcomodule of the  $\hat{G}$ -comodule  $\mathcal{M} \boxtimes \mathcal{R}(\mathcal{L}^2(G))$  via  $\delta = \iota_{\mathcal{M}} \boxtimes \delta_G$ . The restriction of the action  $\iota_{\mathcal{M}} \boxtimes \delta_G$  to  $\mathcal{R}(\mathcal{M}, \sigma)$  is denoted by

$$\hat{\sigma}: \mathcal{R}(\mathcal{M}, \sigma) \to \mathcal{R}(\mathcal{M}, \sigma) \ \overline{\otimes} \ \mathfrak{L}(G)$$

and is called the dual action of  $\hat{G}$  on  $\mathfrak{R}(\mathcal{M}, \sigma)$ .

If G is commutative, then, according to Proposition 18.9, the dual action is determined by the continuous action

$$\hat{\sigma} \colon \hat{G} \to Aut(\mathfrak{R}(\mathcal{M}, \sigma))$$

defined by

$$\hat{\sigma}_{\gamma}(X) = (1_{\mathscr{M}} \ \overline{\otimes} \ \mathbf{m}(\gamma))^* X (1_{\mathscr{M}} \ \overline{\otimes} \ \mathbf{m}(\gamma)) \qquad (X \in \mathscr{R}(\mathscr{M}, \, \sigma), \, \gamma \in \hat{G})$$

and equalities (1), (2) can be reformulated as follows (see 18.9.(1)):

(3) 
$$\hat{\sigma}_{\gamma}(\pi_{\sigma}(x)) = \pi_{\sigma}(x) \qquad (x \in \mathcal{M}, \ \gamma \in \hat{G})$$

(4) 
$$\hat{\sigma}_{\gamma}(1_{\mathscr{M}} \overline{\otimes} \lambda(g)) = \overline{\langle g, \gamma \rangle}(1_{\mathscr{M}} \overline{\otimes} \lambda(g)) \quad (g \in G, \gamma \in \hat{G}).$$

In the general case, we also consider the continuous action  $\sigma \otimes Ad(\rho)$  of G on  $\mathscr{M} \otimes \mathscr{B}(\mathscr{L}^2(G))$ . Using 19.2.(3), 18.4.(14) and the definition 19.1.(1) of the crossed product, we see that

(5) 
$$\mathscr{R}(\mathscr{M},\sigma) \subset (\mathscr{M} \otimes \mathscr{B}(\mathscr{L}^2(G)))^{\sigma \otimes \operatorname{Ad}(p)}$$

In the sequel we shall write  $\mathcal{N} = \mathcal{R}(\mathcal{M}, \sigma)$ ,  $\mathcal{P} = \mathcal{M} \ \overline{\otimes} \ \mathcal{B}(\mathcal{L}^2(G))$  and  $\beta = \sigma \ \overline{\otimes} \ \mathrm{Ad}(\rho)$ ; recall also the notation  $\delta = \iota_{\mathcal{M}} \ \overline{\otimes} \ \delta_G$ .

The identity  $\delta_G(\mathrm{Ad}(\rho(t))(x)) = (\mathrm{Ad}(\rho(t)) \otimes \iota_G)(\delta_G(x))$   $(t \in G)$ , is easily verified for  $x = f \in \mathcal{L}^{\infty}(G)$  and for  $x = \lambda(g) \in \mathcal{L}(G)$ , and so it remains valid for any  $x \in \mathcal{B}(\mathcal{L}^2(G))$  (see 18.4.(15)). Consequently,

$$\delta \cdot \beta_{\mathbf{g}} = (\beta_{\mathbf{g}} \ \overline{\otimes} \ \iota_{\mathbf{G}}) \cdot \delta \qquad (\mathbf{g} \in \mathbf{G})$$

that is, the actions  $\delta$  and  $\beta$  on  $\mathcal{P}$  commute. It follows that

$$X \in \mathcal{P}^{\delta} \Rightarrow \beta_{\bullet}(X) \in \mathcal{P}^{\delta} \text{ and } X \in \mathcal{P}^{\beta} \Rightarrow \delta(X) \in \mathcal{P}^{\beta} \ \overline{\otimes} \ \mathfrak{L}(G)$$

hence, by restriction, we obtain:

. (7) a continuous action 
$$\beta: G \to Aut(\mathcal{P}^b)$$
 of G on  $\mathcal{P}^b$ ,

(8) an action 
$$\delta: \mathcal{P}^{\beta} \to \mathcal{P}^{\beta} \boxtimes \mathfrak{L}(G)$$
 of  $\hat{G}$  on  $\mathcal{P}^{\beta}$ .

**Proposition.** For every continuous action  $\sigma: G \to Aut(\mathcal{M})$  of G on the W\*-algebra  $\mathcal{M}$  we have

(9) 
$$\pi_{\sigma}(\mathcal{M}) = \mathcal{R}(\mathcal{M}, \sigma)^{\widehat{\sigma}}$$

where  $\hat{\sigma}$  is the dual action.

**Proof.** With the above notation we have  $\pi_{\sigma}(\mathcal{M}) \subset \mathcal{N}^{\delta}$  (by (1)),  $\mathcal{N} \subset \mathcal{P}^{\delta}$  (by (5)) and  $\mathcal{P}^{\delta} = \mathcal{M} \ \overline{\otimes} \ \mathcal{L}^{\infty}(G)$  (by 18.7.(11)). Since  $\beta$  and  $\delta$  commute, using Lemma 19.2.(3) we obtain  $\pi_{\sigma}(\mathcal{M}) \subset \mathcal{N}^{\delta} \subset (\mathcal{P}^{\delta})^{\delta} = (\mathcal{P}^{\delta})^{\beta} = (\mathcal{M} \ \overline{\otimes} \ \mathcal{L}^{\infty}(G))^{\beta} = \pi_{\sigma}(\mathcal{M})$  and hence  $\pi_{\sigma}(\mathcal{M}) = \mathcal{N}^{\delta}$ .

This proof also showed that

(10) 
$$(\mathcal{P}^{\beta})^{\delta} = \pi_{\sigma}(\mathcal{M}).$$

Finally, note that the mapping  $\chi \colon \mathscr{L}^{\infty}(G) \ni f \mapsto 1_{\mathscr{M}} \overline{\otimes} f \in \mathscr{P}$  is a unital injective normal \*-homomorphism and that

(11) 
$$\beta_g(\chi(f)) = \chi(\operatorname{Ad}(\rho(g))(f)) \qquad (f \in \mathcal{L}^{\infty}(G), g \in G).$$

19.4. Consider now the crossed product  $\mathfrak{R}(\mathcal{M}, \delta) \subset \mathcal{M} \otimes \mathfrak{B}(\mathcal{L}^2(G))$  of the  $W^*$ -algebra  $\mathcal{M}$  by the action  $\delta : \mathcal{M} \to \mathcal{M} \otimes \mathfrak{L}(G)$  of  $\widehat{G}$  on  $\mathcal{M}$ .

Consider also the continuous action  $\sigma = \iota_{\mathcal{M}} \otimes \operatorname{Ad}(\rho)$  of G on  $\mathcal{M} \otimes \mathcal{B}(\mathcal{L}^2(G))$ . Since, by 18.4.(14), the centralizer of this action is  $\mathcal{M} \otimes \mathfrak{L}(G)$  and since  $\delta(\mathcal{M}) \subset \mathcal{M} \otimes \mathfrak{L}(G)$ , we have

(1) 
$$\sigma_{\bullet}(\delta(x)) = \delta(x) \in \mathcal{R}(\mathcal{M}, \delta) \quad (x \in \mathcal{M}, g \in G).$$

On the other hand,

$$(2) \sigma_{\mathfrak{g}}(1_{\mathscr{M}} \overline{\otimes} f) = 1_{\mathscr{M}} \overline{\otimes} (\mathrm{Ad}(\mathfrak{p}(g))(f)) \in \mathscr{R}(\mathscr{M}, \delta) (f \in \mathscr{L}^{\infty}(G), g \in G).$$

Thus (19.1.(4)),  $\sigma_{\mathfrak{g}}(\mathcal{M}(\mathcal{M}, \delta)) = \mathcal{R}(\mathcal{M}, \delta)$   $(g \in G)$ . The restriction of the continuous action  $\sigma = \iota_{\mathcal{M}} \overline{\otimes} \operatorname{Ad}(\rho)$  to  $\mathcal{R}(\mathcal{M}, \delta)$  is denoted by

$$\delta: G \to Aut(\mathcal{R}(\mathcal{M}, \delta))$$

and is called the dual action of G on  $\mathcal{R}(\mathcal{M}, \delta)$ .

If G is commutative, then the method of defining the dual action given in the present Section leads to the same result as the procedure of Section 19.3. This can easily be verified using the Fourier—Plancherel isomorphism (18.8.(4); a continuous action of G can be regarded also as an action of the dual object of the group  $\hat{G}$ ).

19.5. Let  $\sigma: G \to Aut(\mathcal{M})$  be a continuous action of G on  $\mathcal{M}$ . We then have a dual action  $\hat{\sigma}: \mathcal{R}(\mathcal{M}, \sigma) \to \mathcal{R}(\mathcal{M}, \sigma) \ \overline{\otimes} \ \mathfrak{L}(G)$  of  $\hat{G}$  on the crossed product  $\mathcal{R}(\mathcal{M}, \sigma)$  (19.3) and also a second dual action  $\hat{\sigma}: G \to Aut(\mathcal{R}(\mathcal{R}(\mathcal{M}, \sigma), \hat{\sigma}))$  of G on the second crossed product  $\mathcal{R}(\mathcal{R}(\mathcal{M}, \sigma), \hat{\sigma})$  (19.4).

**Theorem.** Let  $\sigma: G \to Aut(\mathcal{M})$  be a continuous action of the locally compact group G on the W\*-algebra  $\mathcal{M}$ . There exists a \*-isomorphism

$$\Phi: \mathcal{M} \ \overline{\otimes} \ \mathcal{R}(\mathcal{L}^2(G)) \to \mathcal{R}(\mathcal{R}(\mathcal{M}, \sigma), \hat{\sigma})$$

such that

$$\hat{\hat{\sigma}}_{g} \cdot \Phi = \Phi \cdot (\sigma_{g} \otimes \operatorname{Ad}(\rho(g))) \qquad (g \in G).$$

Briefly, we shall write

(1) 
$$(\mathfrak{R}(\mathfrak{R}(\mathcal{M},\sigma),\hat{\sigma}));\hat{\sigma})\approx (\mathfrak{M}\ \overline{\otimes}\ \mathfrak{R}(\mathcal{L}^2(G));\sigma\ \overline{\otimes}\ \mathrm{Ad}(\rho)).$$

**Proof.** We shall use the notation introduced in Section 19.3, i.e.  $\mathcal{N} = \mathcal{R}(\mathcal{M}, \sigma)$ ,  $\mathcal{P} = \mathcal{M} \ \overline{\otimes} \ \mathcal{R}(\mathcal{L}^2(G))$ ,  $\beta = \sigma \ \overline{\otimes} \ \mathrm{Ad}(\rho)$  and  $\delta = \iota_{\mathcal{M}} \ \overline{\otimes} \ \delta_G$ ; recall that  $\hat{\sigma} = \delta | \mathcal{N}$ .

For each  $X \in \mathscr{P}$  we consider the element  $\Psi(X) \in \mathscr{P} \otimes \mathscr{L}^{\infty}(G)$  defined by the function  $g \mapsto \beta_{\varepsilon}(X)$ , that is,

(2) 
$$\langle \Psi(X), \varphi \otimes k \rangle = \int \varphi(\beta_{\mathbf{g}}(X)) k(g) dg \qquad (\varphi \in \mathscr{P}_{*}, k \in \mathscr{L}^{1}(G)).$$

It is easy to check that  $\Psi: \mathscr{P} \ni X \mapsto \Psi(X) \in \mathscr{P} \ \overline{\otimes} \ \mathscr{B}(\mathscr{L}^2(G))$  is a unital injective normal \*-homomorphism. We define another unital injective normal \*-homomorphism  $\Phi: \mathscr{P} \to \mathscr{P} \ \overline{\otimes} \ \mathscr{B}(\mathscr{L}^2(G))$  by

(3) 
$$\Phi(X) = (1_{\mathscr{M}} \overline{\otimes} W_G^*) \Psi(X) (1_{\mathscr{M}} \overline{\otimes} W_G) \qquad (X \in \mathscr{P}).$$

If  $X \in \mathcal{N} \subset \mathcal{P}^{\beta}$  (19.3.(5)), then from (2) it follows that  $\Psi(X) = X \otimes 1_G$  and from (3) and 18.7.(10) we obtain

(4) 
$$\Phi(X) = \delta(X) = \hat{\sigma}(X) \quad (X \in \mathcal{N}).$$

Since  $\hat{\sigma}(\mathcal{N}) \subset \mathcal{R}(\mathcal{N}, \hat{\sigma})\hat{\sigma}$  (19.4.(1)) and  $\mathcal{N} \subset \mathcal{P}^{\beta}$  (19.3.(5)), it follows that

(5) 
$$\hat{\hat{\sigma}}_{g}(\Phi(X)) = \Phi(X) = \Phi(\beta_{g}(X)) \qquad (X \in \mathcal{N}, g \in G).$$

On the other hand, if  $f \in \mathcal{L}^{\infty}(G)$ , then it is easy to check that  $\Psi(1_{\mathcal{M}} \overline{\otimes} f)$  is the element  $F \in \mathcal{M} \overline{\otimes} \mathcal{L}^{\infty}(G_s) \overline{\otimes} \mathcal{L}^{\infty}(G_t) \subset \mathcal{P} \overline{\otimes} \mathcal{B}(\mathcal{L}^2(G_t))$  defined by the function  $F: G \times G \ni (s, t) \mapsto f(st) \cdot 1_{\mathcal{M}} \in \mathcal{M}$ . For every  $\xi \in \mathcal{L}^2(G \times G, \mathcal{H})$  we have

$$((1_{\mathscr{M}} \overline{\otimes} W_G^*) F(1_{\mathscr{M}} \overline{\otimes} W_G) \xi) (s, t) = (F(1_{\mathscr{M}} \overline{\otimes} W_G) \xi) (s, s^{-1}t)$$

$$= F(s, s^{-1}t) \left( \left( \mathbf{1}_{\mathscr{M}} \boxtimes W_G \right) \xi \right) \left( s, s^{-1}t \right) = f(t) \xi(s, t) = \left( \left( \mathbf{1}_{\mathscr{M}} \boxtimes \mathbf{1}_G \boxtimes f \right) \xi \right) \left( s, t \right)$$

hence

(6) 
$$\Phi(1_{\mathscr{R}} \overline{\otimes} f) = 1_{\mathscr{P}} \overline{\otimes} f \qquad (f \in \mathscr{L}^{\infty}(G)).$$

Using 19.4.(2) we obtain

(7) 
$$\hat{\sigma}_{\mathbf{g}}(\Phi(1_{\mathcal{A}} \otimes f)) = 1_{\mathbf{p}} \otimes (\operatorname{Ad}(p(g))(f)) = \Phi(\beta_{\mathbf{g}}(1_{\mathcal{A}} \otimes f))$$
  $(f \in \mathcal{L}^{\infty}(G), g \in G).$ 

Thus, using Lemma 19.2.(2), the definition of  $\mathcal{R}(\mathcal{N}, \hat{\sigma})$  (19.1.(4)) and (4) and (6), we get

$$\Phi(P) = \Phi(\mathcal{R}\{\mathcal{N}, 1_{\mathscr{M}} \ \overline{\otimes} \ \mathscr{L}^{\infty}(G)\}) = \mathcal{R}\{\widehat{\sigma}(\mathcal{N}), 1_{\mathscr{P}} \overline{\otimes} \ \mathscr{L}^{\infty}(G)\} = \mathcal{R}(\mathcal{N}, \widehat{\sigma}),$$

and from (5) and (7) it follows that  $\hat{\hat{\sigma}}_g \circ \Phi = \Phi \circ \beta_g$ .

The above Theorem is usually called "the Takesaki duality theorem".

19.6. In this Section we summarize the essential facts concerning crossed products by continuous group actions.

Thus, let  $\sigma: G \to Aut(\mathcal{M})$  be a continuous action of G on the  $W^*$ -algebra  $\mathcal{M}$  and  $\mathcal{N} = \mathcal{R}(\mathcal{M}, \sigma)$ . Using the \*-isomorphism  $\pi_{\sigma}: \mathcal{M} \to \pi_{\sigma}(\mathcal{M}) \subset \mathcal{N}$  we shall identify  $\mathcal{M}$  with a unital  $W^*$ -subalgebra of  $\mathcal{N}$ , hence  $\mathcal{M} \subset \mathcal{N}$ .

There exist an s-continuous unitary representation

(1) 
$$G\ni g\mapsto u(g)=1\ \overline{\otimes}\ \lambda(g)\in\mathcal{N}$$

and a dual action of  $\hat{G}$  on  $\mathcal{N}$  (19.3)

$$\delta = \hat{\sigma} \colon \mathscr{N} \to \mathscr{N} \ \overline{\otimes} \ \mathfrak{L}(G),$$

such that (19.3.(2))

(3) 
$$\delta(u(g)) = u(g) \ \overline{\otimes} \ \lambda(g) \qquad (g \in G),$$

which characterize the W\*-subalgebra  $\mathcal{M}$  of  $\mathcal{N}$  (Proposition 19.3)

$$\mathscr{M}=\mathscr{N}^{\delta},$$

and the continuous action  $\sigma$  of G on  $\mathcal{M}$  (19.1.(2)),

(5) 
$$\sigma_{\mathbf{g}}(x) = u(g)xu(g)^* \qquad (x \in \mathcal{M}, g \in G).$$

In particular,

(6) 
$$\mathscr{N} = \mathscr{R}\{\mathscr{N}^{\delta}, u(G)\}.$$

If G is commutative, then the dual action is a continuous action of the dual group  $\hat{G}$ 

(2') 
$$\theta = \hat{\sigma} : \hat{G} \to Aut(\mathcal{N})$$

such that (19.3.(4))

(3') 
$$\theta_{\gamma}(u(g)) = \overline{\langle g, \gamma \rangle} u(g) \qquad (g \in G, \ \gamma \in \hat{G})$$

$$\mathcal{M} = \mathcal{N}^{\theta}$$

(5') 
$$\mathcal{N} = \mathcal{R}\{\mathcal{N}^{\theta}, u(G)\}.$$

We shall show that, conversely, the existence of a unitary representation (1) and an action (2) (resp. (2')) which satisfy the commutation relations (3) (resp. (3')) implies the fact that  $\mathcal{N}$  is a crossed product  $\mathcal{R}(\mathcal{M}, \sigma)$ , where  $\mathcal{M}$  and  $\sigma$  are determined by (4) (resp. (4')) and (5), in particular the generation relation (6) (resp. (6')) holds.

To this end we need some preliminary results which are of independent interest and will also be used in other situations.

**19.7. Proposition.** Let  $\mathcal N$  be a  $\mathcal W^*$ -algebra with the property that there exist an s-continuous unitary representation  $u: G \to \mathcal N$  and an action  $\delta: \mathcal N \to \mathcal N \ \overline{\otimes} \ \mathfrak L(G)$  of  $\hat{\mathbf G}$  on  $\mathcal N$  such that

(1) 
$$\delta(u(g)) = u(g) \ \overline{\otimes} \ \lambda(g) \qquad (g \in G).$$

Then the faithful normal operator valued weight  $P_{\delta}: \mathcal{N}^+ \to \overline{(\mathcal{N}^{\delta})}^+$ ,

(2) 
$$P_{\delta}(x) = E_{\delta}^{m} G(\delta(x)) \qquad (x \in \mathcal{N}^{+})$$

is semifinite and

$$(3) P_{\delta}(u(g)xu(g)^*) = \Delta_G(g) u(g)P_{\delta}(x)u(g)^* (x \in \mathcal{N}^+, g \in G).$$

For every  $k \in \mathcal{K}(G)$  with u(k) > 0 we have

$$(4) P_{\delta}(u(k)) = k(e) \cdot 1_{G}.$$

For all  $h, k \in \mathcal{K}(G)$  we have  $u(h), u(k) \in \mathfrak{N}_{P_a}$  and

(5) 
$$P_{\delta}(u(h)^*u(k)) = (h^* * k) (e) \cdot 1_{G}.$$

**Proof.** Recall (18.17.(12)) that  $\omega_G \circ j_G = \omega_G$ . Thus, using the definition (2) (or 18.19.(1)) of  $P_{\mathfrak{d}}$ , (1) and 18.17.(8), for  $k \in \mathcal{K}(G)$  with u(k) > 0 and  $\psi \in \mathcal{N}_{\mathfrak{d}}^+$  we have

$$\langle P_{\delta}(u(k)), \psi \rangle = \langle \delta(u(k)), \psi \otimes \omega_{G} \rangle = \left\langle \delta\left(\int k(g) \, u(g) \, \mathrm{d}g\right), \psi \otimes \omega_{G} \right\rangle$$

$$= \left\langle \int k(g) \, (u(g) \otimes \lambda(g)) \, \mathrm{d}g, \psi \otimes \omega_{G} \right\rangle = \left\langle \int k(g) \, \psi(u(g)) \, \lambda(g) \, \mathrm{d}g, \omega_{G} \right\rangle$$

$$= \left\langle \lambda(k(\cdot) \, \psi(u(\cdot))), \omega_{G} \right\rangle = k(e) \, \psi(u(e)) = \left\langle k(e) \cdot 1_{\mathcal{F}}, \psi \right\rangle,$$

which proves (4).

For every  $k \in \mathcal{K}(G)$  we have  $k^* * k \in \mathcal{K}(G)$  and  $u(k^* * k) = u(k)^* u(k) > 0$ , hence we infer from (4) that  $u(k) \in \mathfrak{N}_{P_{\delta}}$  and  $P_{\delta}(u(k)^*u(k)) = (k^* * k) (e) \cdot 1_{\mathscr{K}}$ . Thus (5) follows on applying the polarization relation.

If  $\{k_i\}$  is a net in  $\mathcal{K}(G)$  such that  $u(k_i) \stackrel{s}{\to} 1_{\mathcal{X}}$ , then for every  $x \in \mathcal{N}$  we have  $xu(k_i) \in \mathfrak{N}_{P_{\delta}}$  and  $xu(k_i) \stackrel{s}{\to} x$ . Hence  $P_{\delta}$  is semifinite.

Finally, using 18.17.(13), we obtain for  $x \in \mathcal{N}^+$ ,  $g \in G$  and  $\psi \in \mathcal{N}^+_*$ 

$$\langle P_{\delta}(u(g)xu(g)^{*}), \psi \rangle = \langle \delta(u(g)xu(g)^{*}), \psi \otimes \omega_{G} \rangle$$

$$= \langle (u(g) \otimes \lambda(g)) \delta(x) (u(g) \otimes \lambda(g))^{*}, \psi \otimes \omega_{G} \rangle$$

$$= \langle \delta(x), (\psi \cdot \operatorname{Ad}(u(g))) \otimes (\omega_{G} \cdot \operatorname{Ad}(\lambda(g)))$$

$$= \Delta_{G}(g) \langle \delta(x), (\psi \cdot \operatorname{Ad}(u(g))) \otimes \omega_{G} \rangle$$

$$= \Delta_{G}(g) \langle P_{\delta}(x), \psi \cdot \operatorname{Ad}(u(g)) \rangle$$

$$= \Delta_{G}(g) \langle u(g) P_{\delta}(x) u(g)^{*}, \psi \rangle$$

which proves (3).

If G is commutative, then it is more convenient to state the above Proposition as follows:

Let  $\mathcal N$  be a  $W^*$ -algebra with the property that there exist an s-continuous unitary representation  $u: G \to \mathcal{N}$  and a continuous action  $\theta: \hat{G} \to Aut(\mathcal{N})$  of  $\hat{G}$ on N such that

(1') 
$$\theta_{\gamma}(u(g)) = \langle \overline{g, \gamma} \rangle u(g) \qquad (g \in G, \ \gamma \in \widehat{G}).$$

Then the faithful normal operator valued weight  $P_{\theta} \colon \mathcal{N}^+ \to (\overline{\mathcal{N}}^{\theta})^+$ ,

(2') 
$$P_{\theta}(x) = \int \theta_{\gamma}(x) \, \mathrm{d}\gamma \qquad (x \in \mathcal{N}^{+})$$

is semifinite and

$$(3') P_{\theta}(u(g)xu(g)^{\bullet}) = u(g)P_{\theta}(x)u(g)^{\bullet} (x \in \mathcal{N}^+, g \in G).$$

Also, identities similar to (4) and (5) hold.

In this case, the main part of the proof reduces to an application of the Fourier inversion theorem. Indeed, for any positive definite function  $k \in \mathcal{X}(G)$  and every  $\psi \in \mathcal{N}^+$  we have

$$\langle P_{\theta}(u(k)), \psi \rangle = \left\langle \int \theta \gamma \left( \int k(g) \, u(g) \, \mathrm{d}g \right) \mathrm{d}\gamma, \, \psi \right\rangle$$
$$= \int \langle e, \gamma \rangle \left( \int \overline{\langle g, \gamma \rangle} \, k(g) \, \psi(u(g)) \, \mathrm{d}g \right) \mathrm{d}\gamma = k(e) \, \psi(u(e)) = \langle k(e) \cdot 1_{\mathscr{K}}, \psi \rangle.$$

**19.8.** In particular, for every continuous action  $\sigma: G \to Aut(\mathcal{M})$ , the dual action  $\hat{\sigma}: \mathcal{R}(\mathcal{M}, \sigma) \to \mathcal{R}(\mathcal{M}, \sigma) \ \overline{\otimes} \ \mathfrak{L}(G)$  defines an n.s.f. operator valued weight

$$P_{\hat{\sigma}} \colon \mathcal{R}(\mathcal{M}, \sigma)^+ \to \overline{\pi_{\sigma}(\mathcal{M})^+}.$$

Thus, if  $\varphi$  is an n.s.f. weight on  $\mathcal{M}$ , then, according to Proposition 11.6,

$$\hat{\varphi} = \varphi \, \circ \pi_{\sigma}^{-1} \circ P_{\hat{\sigma}}$$

is an n.s.f. weight on  $\mathcal{R}(\mathcal{M}, \sigma)$ , called the dual weight of  $\varphi$ .

The Theorem in this Section will characterize the dual weight of a given  $\varphi$  and also the set of all dual weights on  $\mathcal{R}(\mathcal{M}, \sigma)$ . In order to obtain such characterizations, it is necessary to know the values of  $\hat{\varphi}$  on a wide class of elements of  $\mathcal{R}(\mathcal{M}, \sigma)$ . For this reason we begin by defining and studying this class. As usual, we put  $u(g) = 1_{\mathcal{M}} \otimes \lambda(g)$   $(g \in G)$ .

Every compactly supported w-continuous function  $f: G \to \mathcal{M}$  defines an element

$$T_f^{\sigma} = \int \pi_{\sigma}(f(g)) \, u(g) \, \mathrm{d}g \in \mathcal{R}\{\pi_{\sigma}(\mathcal{M}), u(G)\} = \mathcal{R}(\mathcal{M}, \sigma).$$

We recall (18.21, 18.22) that the mapping  $f \mapsto T_f^{\sigma}$  is linear and

(1) 
$$(T_f^g)^* = T_{f^*}^g$$
, where  $f^*(g) = \Delta_G(g)^{-1} f(g^{-1})^*$   $(g \in G)^{-1} f(g^{-1})^*$ 

(2) 
$$T_{f_1}^{\sigma}T_{f_2}^{\sigma}=T_{f_1\circ f_2}^{\sigma}$$
, where  $(f_1*f_2)(g)=\int f_1(t)f_2(t^{-1}g)\,\mathrm{d}t$ ,  $(g\in G)$ 

We also recall (18.3) that the action  $\hat{\sigma}$  of  $\hat{G}$  on  $\mathcal{R}(\mathcal{M}, \sigma)$  defines a right  $\mathcal{A}(G)$ -module structure on  $\mathcal{R}(\mathcal{M}, \sigma)$ . In this connection it is easy to check that for every  $k \in \mathcal{A}(G)$  we have

(3) 
$$k \cdot T_f^g = T_{kf}^g, \text{ where } (kf)(g) = k(g)f(g), (g \in G).$$

Finally, if  $f \in \mathcal{K}(G)$  and if we put (fx)(g) = f(g)x,  $(x \in \mathcal{M}, g \in G)$ , then

$$T_{fx}^{\sigma} = \pi_{\sigma}(x) u(f).$$

Consequently,

 $\mathscr{B} = \{T_f^{\sigma}; f: G \to \mathscr{M} \text{ w-continuous with compact support}\}\$ 

is an s\*-dense \*-subalgebra of  $\mathcal{R}(\mathcal{M}, \sigma)$ .

On the other hand, from the definition (19.3) of the dual action  $\hat{\sigma}$  and 18.22.(2) it follows that if  $T_f^{\sigma} \ge 0$  (in particular if f is of the form  $f^* * f$ ), then

$$P_{\hat{\sigma}}(T_f^{\sigma}) = \pi_{\sigma}(f(e)).$$

Theorem. Let  $\sigma: G \to Aut(\mathcal{M})$  be a continuous action of G on the  $W^*$ -algebra  $\mathcal{M}$ . For any n.s. f. weight  $\varphi$  on  $\mathcal{M}$ , the dual weight  $\hat{\varphi} = \varphi \circ \pi_{\sigma}^{-1} \circ P_{\hat{\varphi}}$  is the unique n.s. f. weight on  $\mathcal{R}(\mathcal{M}, \sigma)$  with the properties:

(i) if  $f: G \to \mathcal{M}$  is a compactly supported w-continuous function and  $T_f^o > 0$ , then

$$\hat{\varphi}(T_f^{\sigma}) = \varphi(f(e));$$

(ii) for all  $x \in \mathcal{M}$ ,  $g \in G$ ,  $t \in \mathbb{R}$  we have

(7) 
$$\sigma_t^{\hat{\sigma}}(\pi_{\sigma}(x)) = \pi_{\sigma}(\sigma_t^{\sigma}(x))$$

(8) 
$$\sigma_t^{\widehat{\varphi}}(1_{\mathscr{M}} \overline{\otimes} \lambda(g)) = \Delta_G(g)^{it} (1_{\mathscr{M}} \overline{\otimes} \lambda(g)) \pi_{\sigma}([D(\varphi \circ \sigma_g): D\varphi]_t).$$

Moreover, the dual weights are exactly those weights which are invariant with respect to the dual action, i.e. the mapping  $\varphi \mapsto \varphi$  establishes a bijection between the sets  $\{\varphi; \varphi \text{ n.s.f. weight on } \mathcal{M}\}$  and  $\{\Psi: \Psi(\hat{\sigma}, j_G)\text{-invariant n.s.f. weight on } \mathcal{R}(\mathcal{M}, \sigma)\}$ .

*Proof.* Let  $\varphi$  be an n.s.f. weight on  $\mathcal{M}$ . (6) follows obviously from (5). On the other hand, using Theorem 11.9, we obtain (7) and

$$[D(\varphi \cdot \sigma_{\mathfrak{g}})^{\hat{}}: D\hat{\varphi}]_{t} = \pi_{\mathfrak{g}}([D(\varphi \cdot \sigma_{\mathfrak{g}}): D\varphi]_{t}) \qquad (g \in G, \ t \in \mathbb{R}),$$

so that, by 3.10,

$$\sigma_t^{\widehat{\varphi}}(u(g)) = \sigma_t^{\widehat{\varphi},(\varphi \circ \sigma_g)} (u(g)) \pi_{\sigma}([D(\varphi \circ \sigma_g): D\varphi]_t) \qquad (g \in G, \ t \in \mathbb{R}),$$

and (8) will follow once we show that

(9) 
$$\sigma_t^{\widehat{\varphi},(\varphi-\sigma_g)^{\widehat{\varphi}}}(u(g)) = \Delta_G(g)^{it}u(g) \qquad (g \in G, \ t \in \mathbb{R}).$$

By 3.15.(1), (9) is equivalent to

(10) 
$$(\varphi \circ \sigma_g)^{\hat{}}(X) = \Delta_G(g)^{-1} \hat{\varphi}(u(g) X u(g)^*) \qquad (X \in \mathcal{R}(\mathcal{M}, \sigma)^+, g \in G)$$

and, since  $(\varphi \circ \sigma_g)^{\hat{}}(X) = (\varphi \circ \pi_{\sigma}^{-1})(u(g)P_{\hat{\sigma}}(X)u(g)^*)$ ,  $\Delta_G(g)^{-1}\hat{\varphi}(u(g)Xu(g)^*) = (\varphi \circ \pi_{\sigma}^{-1})(P_{\hat{\sigma}}(u(g)Xu(g)^*))$ , (10) follows using 19.7.(3).

Equations (7) and (8) determine the modular automorphism group of  $\hat{\varphi}$  uniquely and permit an immediate verification of the fact that the  $s^*$ -dense \*-subalgebra  $\mathcal{B}$  of  $\mathcal{R}(\mathcal{M}, \sigma)$  is  $\sigma^{\hat{\varphi}}$ -invariant. On the other hand, (6) determines the values  $\hat{\varphi}(X^*X)$  for  $X \in \mathcal{B}$ . Consequently, according to Theorem 6.2, conditions (i) and (ii) determine the dual weight  $\hat{\varphi}$  uniquely.

We now show that the dual weight  $\hat{\varphi}$  is  $(\hat{\sigma}, j_G)$ -invariant by checking conditions a)—d) from Theorem 18.12. Since  $\hat{\varphi}$  is faithful, condition a) is trivially satisfied. The commutation condition b), i.e.  $\hat{\sigma} \circ \hat{\sigma_f} = (\sigma_f^{\hat{\varphi}} \otimes i_G) \circ \hat{\sigma}$ , follows immediately using equalities (7), (8) and 19.6.(3), 19.6.(4). For  $0 \leq T_f^* \in \mathcal{B}$  and  $k \in \mathcal{A}(G)^+$  we have  $\langle \hat{\sigma}(T_f^*), \hat{\varphi} \otimes k \rangle = \langle k \cdot T_f^*, \hat{\varphi} \rangle = \langle T_{kf}^*, \hat{\varphi} \rangle = k(e) \varphi(f(e)) = \langle 1_G, k \rangle \langle T_f^*, \hat{\varphi} \rangle = \langle T_f^* \otimes 1_G, \hat{\varphi} \otimes k \rangle$ , hence condition c) is satisfied. Consider now  $X = T_f^* \in \mathcal{B}$ ,  $Y = T_f^* \in \mathcal{B}$  and  $k \in \mathcal{A}(G)$ . Using (3) and 18.7.(7) we see that  $k \cdot X \in \mathcal{B}$  is determined by the function  $f_3(g) = k(g) f_1(g)$ , and  $k^0 \cdot Y \in \mathcal{B}$  is determined by the function  $f_4(g) = \overline{k(g)} f_2(g)$ . From (1), (2) and (6) it follows that  $\hat{\varphi}((T_f^*)^*(T_f^*)) = (f^**f)(e)$ ; this equality, together with the usual polarization relation, justifies the following computations:

$$\left\langle (Y^{\bullet} \ \overline{\otimes} \ 1_{G}) \ \hat{\sigma}(X), \hat{\varphi} \ \overline{\otimes} \ k \right\rangle = ((k \cdot X)_{\stackrel{\circ}{\varphi}} | \ Y_{\stackrel{\circ}{\varphi}})_{\stackrel{\circ}{\varphi}} = \hat{\varphi}(Y^{\bullet}(k \cdot X)) = (f_{2}^{\bullet} * f_{3}) \ (e),$$

$$\langle \hat{\sigma}(Y^*) (X \otimes 1_G), \hat{\varphi} \otimes k \circ j_G \rangle = (X_{\hat{\varphi}} | (k^0 \cdot Y)_{\hat{\varphi}})_{\hat{\varphi}} = \hat{\varphi}((k^0 \cdot Y)^*X) = (f_4^* * f_1) (e).$$

Since  $(f_2^* * f_3)(e) = (f_4^* * f_1)(e)$ , condition d) is also satisfied.

Finally, let  $\Psi$  be a  $(\hat{\sigma}, j_G)$ -invariant n.s.f. weight on  $\mathcal{R}(\mathcal{M}, \sigma)$  and  $\varphi$  any n.s.f. weight on  $\mathcal{M}$ . By Corollary 18.13,  $[D\Psi: D\hat{\varphi}]_t \in \mathcal{R}(\mathcal{M}, \sigma)^{\hat{\sigma}} = \pi_{\sigma}(\mathcal{M})$ , hence, by Theorem 5.1, there exists an n.s.f. weight  $\psi$  on  $\mathcal{M}$  such that  $\pi_{\sigma}([D\psi: D\varphi]_t) = [D\Psi: D\hat{\varphi}]_t$   $(t \in \mathbb{R})$ . Then, using Theorem 11.9, we deduce that  $[D\hat{\psi}: D\hat{\varphi}]_t = \pi_{\sigma}([D\psi: D\varphi]_t) = [D\Psi: D\hat{\varphi}]_t$   $(t \in \mathbb{R})$ , and hence, by Corollary 3.6,  $\Psi = \hat{\psi}$ .

If  $\hat{\psi} = \hat{\varphi}$ , then  $\pi_{\sigma}([D\psi : D\varphi]_t) = [D\hat{\psi} : D\hat{\varphi}]_t = 1$   $(t \in \mathbb{R})$ , and hence  $\psi = \varphi$ . The proof of the Theorem is complete.

19.9. The following important Theorem characterizes those  $W^*$ -algebras which are crossed products by a continuous action of G.

Theorem (M. Landstad). Let  $\mathcal N$  be a  $W^*$ -algebra with the property that there exist an s-continuous unitary representation  $u: G \to \mathcal N$  and an action  $\delta: \mathcal N \to \mathcal N \boxtimes \mathfrak L(G)$  of  $\hat{G}$  on  $\mathcal N$  such that

$$\delta(u(g)) = u(g) \ \overline{\otimes} \ \lambda(g) \qquad (g \in G).$$

Then  $\mathcal{N}$  is generated by  $\mathcal{N}^{\delta}$  and u(G),

(1) 
$$\mathscr{N} = \mathscr{R}\{\mathscr{N}^{\delta}, u(G)\},$$

and  $u(g) \mathcal{N}^{\delta} u(g)^* = \mathcal{N}^{\delta}$  for every  $g \in G$ .

Consider the W\*-algebra  $\mathcal{M} = \mathcal{N}^{\delta}$  and the continuous action  $\sigma: G \to Aut(\mathcal{M})$  of G on  $\mathcal{M}$  defined by  $\sigma_{g} = \mathrm{Ad}(u(g)) | \mathcal{M}$ ,  $(g \in G)$ . There exists a \*-isomorphism

$$\Phi: \mathcal{N} \to \mathcal{R}(\mathcal{M}, \sigma)$$

such that

(2) 
$$\Phi(x) = \pi_{\bullet}(x) \qquad (x \in \mathcal{M})$$

$$\Phi(u(g)) = 1_{\mathscr{M}} \otimes \lambda(g) \qquad (g \in G)$$

$$(\Phi \otimes \iota_{G}) \cdot \delta = \hat{\sigma} \cdot \Phi.$$

Briefly,

(5) 
$$(\mathcal{N}, \delta) \approx (\mathcal{R}(\mathcal{M}, \sigma), \hat{\sigma}).$$

If G is abelian, then it is more convenient to state the Theorem as follows:

Let  $\mathcal N$  be a  $W^*$ -algebra with the property that there exist an s-continuous unitary representation  $u\colon G\to \mathcal N$  and a continuous action  $\theta\colon \hat G\to \operatorname{Aut}(\mathcal N)$  of  $\hat G$  on  $\mathcal N$  such that

$$\theta_{\gamma}(u(g)) = \overline{\langle g, \gamma \rangle} u(g) \qquad (g \in G, \ \gamma \in \widehat{G}).$$

Then  $\mathcal{N}$  is generated by  $\mathcal{N}^{\bullet}$  and u(G),

(1') 
$$\mathscr{N} = \mathscr{R}\{\mathscr{N}^{\bullet}, u(G)\},$$

and  $u(g) \mathcal{N}^{\bullet} u(g)^{\bullet} = \mathcal{N}^{\bullet}$  for every  $g \in G$ .

Consider the W\*-algebra  $\mathcal{M} = \mathcal{N}^4$  and the continuous action  $\sigma: G \to Aut(\mathcal{M})$  of G on  $\mathcal{M}$  defined by  $\sigma_g = \mathrm{Ad}(u(g)) | \mathcal{M}$   $(g \in G)$ . There exists a \*-isomorphism

$$\Phi\colon \mathcal{N}\to \mathcal{R}(\mathcal{M},\sigma)$$

such that

$$\Phi(x) = \pi_{\sigma}(x) \qquad (x \in \mathcal{M})$$

$$\phi(u(g)) = 1_{\mathscr{A}} \otimes \lambda(g) \quad (g \in G)$$

$$\phi \cdot \theta_g = \hat{\sigma}_g \cdot \phi \quad (g \in G).$$

Briefly,

(5') 
$$(\mathcal{N}, \theta) \approx (\mathcal{R}(\mathcal{M}, \sigma), \hat{\sigma}).$$

Of course, if G is abelian, then the above two statements are equivalent (see 18.6, 18.8, 18.9).

The proof of the Theorem is contained in Sections 19.10-19.12.

19.10. The main result contained in Theorem 19.9 is the assertion that  $\mathcal{N} = \mathcal{R}\{\mathcal{N}^{\delta}, u(G)\}$ , which will be proved in the next Section. In this Section we assume that  $\mathcal{N} = \mathcal{R}\{\mathcal{N}^{\delta}, u(G)\}$ ; we prove the other assertions in Theorem 19.9. We shall use the notation of the statement of Theorem 19.9 and assume  $\mathcal{N} \subset \mathcal{B}(\mathcal{H})$  realized as a von Neumann algebra.

Assume first that G is abelian. Then, for every  $x \in \mathcal{M} = \mathcal{N}^0$ ,  $g \in G$  and  $\gamma \in \hat{G}$  we have  $\theta_{\gamma}(u(g)xu(g)^*) = \theta_{\gamma}(u(g))\theta_{\gamma}(x)\theta_{\gamma}(u(g^{-1})) = \overline{\langle g, \gamma \rangle} \overline{\langle g^{-1}, \gamma \rangle} u(g)xu(g^{-1}) = u(g)xu(g)^*$ , hence  $u(g)xu(g)^* \in \mathcal{N}^0 = \mathcal{M}$ .

Consider now the general case. For every  $x \in \mathcal{M} = \mathcal{N}^{\delta}$  and  $g \in G$  we have  $\delta(u(g)xu(g)^*) = \delta(u(g)) \delta(x) \delta(u(g)^*) = (u(g) \otimes \lambda(g)) (x \otimes 1_G) (u(g)^* \otimes \lambda(g)^*) = (u(g)xu(g)^*) \otimes 1_G$ , hence  $u(g)xu(g)^* \in \mathcal{N}^{\delta} = \mathcal{M}$ .

Let  $U \in \mathcal{N} \otimes \mathcal{L}^{\infty}(G) \subset \mathcal{B}(\mathcal{L}^2(G,\mathcal{H}))$  be the unitary operator defined by the bounded s-continuous function  $G \ni g \mapsto u(g)$ , that is,  $(U\xi)(g) = u(g)\xi(g)$   $(\xi \in \mathcal{L}^2(G,\mathcal{H}), g \in G)$ . We define a unital injective normal \*-homomorphism

$$\Phi \colon \mathscr{N} \to \mathscr{N} \ \overline{\otimes} \ \mathscr{B}(\mathscr{L}^2(G))$$

by the equality  $\Phi(y) = U^*\delta(y)U$   $(y \in \mathcal{N})$ .

For  $x \in \mathcal{M} = \mathcal{N}^{\delta}$  we have  $\delta(x) = x \otimes 1_{G}$ , hence  $(\Phi(x)\xi)(g) = (U^{*}(x \otimes 1_{G})U\xi)(g) = u(g)^{*}xu(g)\xi(g) = \sigma_{g}^{-1}(x)\xi(g) = (\pi_{\sigma}(x)\xi)(g)$ ; this proves 19.9.(2). For  $s \in G$  we have  $\delta(u(s)) = u(s) \otimes \lambda(s)$ , hence  $(\Phi(u(s))\xi)(g) = (U^{*}(u(s) \otimes \lambda(s))U\xi)(g) = u(g)^{*}u(s)(U\xi)(s^{-1}g) = u(g)^{*}u(s)u(s^{-1}g)\xi(s^{-1}g) = ((1\otimes\lambda(s))\xi)(g)$ ; this proves 19.9.(3).

Thus, since  $\mathcal{N} = \mathcal{R}\{\mathcal{N}^{\delta}, u(G)\}$ , we get  $\Phi(\mathcal{N}) = \Phi(\mathcal{R}\{\mathcal{M}, u(G)\}) = \mathcal{R}\{\pi_{\sigma}(\mathcal{M}), 1 \otimes \mathfrak{L}(G)\} = \mathcal{R}(\mathcal{M}, \sigma)$ .

Finally, 19.9.(4) is obvious when applied to elements  $x \in \mathcal{M}$  or u(g)  $(g \in G)$ , and so remains valid also when applied to an arbitrary element of  $\mathcal{N} = \mathcal{R}\{\mathcal{M}, u(G)\}$ .

Note that in the commutative case this part of the proof is more complicated, as in this case  $\delta$  does not appear explicitly in the statement, but has to be defined, starting from  $\theta$ , as the Fourier-Plancherel transform of the action  $\pi_{\theta} \colon \mathcal{N} \to \mathcal{N} \boxtimes \mathcal{L}^{\infty}(G)$ .

19.11. Assume  $\mathcal{N} \subset \mathcal{B}(\mathcal{H})$  realized as a von Neumann algebra. To show that  $\mathcal{N} = \mathcal{R}\{\mathcal{M}, u(G)\}$  it is necessary and sufficient to prove that  $\delta(\mathcal{N}) \subset \mathcal{R}\{\mathcal{M} \ \overline{\otimes} \ 1_G, \delta(u(G))\} \subset \mathcal{B}(\mathcal{H} \ \overline{\otimes} \ \mathcal{L}^2(G))$  or, equivalently, to prove the reverse inclusion for the commutants, i.e.  $(\mathcal{M} \ \overline{\otimes} \ 1_G)' \cap \delta(u(G))' \subset \delta(\mathcal{N})'$ . Since there exist nets  $\{k_i\} \subset \mathcal{K}(G)$  with  $u(k_i) \stackrel{i}{\to} 1_{\mathcal{K}}$ , it is sufficient to prove that

(1) 
$$(\mathscr{M} \boxtimes 1_G)' \cap \delta(u(G))' \subset \{\delta(u(k)^*xu(k); k \in \mathscr{K}(G), x \in \mathscr{N}^+\}'$$

Before starting the proof of (1) we need some preparation. Consider the n.s.f. operator valued weights

$$E = E^{\omega}_{\mathscr{S}}: (\mathscr{N} \otimes \mathfrak{L}(G))^+ \to \overline{\mathscr{N}}^+, \ P = P_{\delta} = E \circ \delta : \mathscr{N}^+ \to \overline{\mathscr{M}}^+$$

and the unitary operator U on  $\mathscr{H} \ \overline{\otimes} \ \mathscr{L}^2(G) = \mathscr{L}^2(G,\mathscr{H})$  defined by the function  $G\ni g\mapsto u(g), \text{ i.e. } (U\zeta)(g)=u(g)\zeta(g)(\zeta\in\mathscr{L}^2(G,\mathscr{H}),\ g\in G).$ 

We show that for  $X \in \mathcal{N} \otimes \mathfrak{L}(G)$ ,  $h, k \in \mathcal{K}(G)$ ,  $\xi \in \mathcal{H}$ ,  $g \in G$ ,

(2) 
$$E(\delta(u(h))X\delta(u(k)u(g)^*)) u(g) \xi = \Delta_G(g) [\delta(u(h))XU(\xi \otimes k)] (g).$$

Indeed, it is easy to check that  $\delta(u(\mathcal{K}(G))) \subset \mathfrak{N}_E$  (see 19.7.(5)), hence  $\delta(u(h))X\delta(u(k)u(g)^*) \in \mathfrak{M}_E$  and  $E(\delta(u(h))X\delta(u(k)u(g)^*))$  depends w-continuously on X (see Proposition 1.14). Consequently, it is sufficient to check (2) just for Xin a w-dense subset of  $\mathcal{N} \otimes \mathfrak{L}(G)$ . So, we can assume that  $X = x \otimes \lambda(f)$  with  $x \in \mathcal{N}$ and  $f \in \mathcal{K}(G)$ . Then

$$E(\delta(u(h)) (x \otimes \lambda(f)) \delta(u(k) u(g)^{*})) u(g) \xi$$

$$= E(\delta(u(h)) (x \otimes \lambda(f)) \delta(u(k)) (1 \otimes \lambda(g)^{*})) \xi$$

$$= E\left(\left(\int h(s) (u(s) \otimes \lambda(s)) ds\right) \left(x \otimes \int f(r) \lambda(r) dr\right) \left(\int k(t) (u(t) \otimes \lambda(t)) dt\right) (1 \otimes \lambda(g)^{*}) \xi$$

$$= E\left(\left(\int \int h(s) f(r) k(t) (u(s) x u(t) \otimes \lambda(s r t g^{-1})) ds dr dt\right) \xi$$

$$(via \ t \mapsto r^{-1} s^{-1} t g)$$

$$= \Delta_{G}(g) E\left(\left(\int \int h(s) f(r) u(s) x k(r^{-1} s^{-1} t g) u(r^{-1} s^{-1} t g) ds dr\right) \otimes \lambda(t) dt\right) \xi$$

$$(using 18.22.(1))$$

$$= \Delta_{G}(g) \left(\int \int h(s) f(r) u(s) x k(r^{-1} s^{-1} g) u(r^{-1} s^{-1} g) ds dr\right) \xi$$

$$= \Delta_{G}(g) \left(\int h(s) f(r) u(s) x k(r^{-1} s^{-1} g) u(r^{-1} s^{-1} g) ds dr\right)$$

$$= \Delta_{G}(g) \left(\int h(s) f(r) u(s) x k(r^{-1} s^{-1} g) u(r^{-1} s^{-1} g) ds dr\right)$$

$$= \Delta_{G}(g) \left(\int h(s) f(r) u(s) x k(r^{-1} s^{-1} g) u(r^{-1} s^{-1} g) ds dr\right)$$

$$= \Delta_{G}(g) \int h(s) u(s) x \left( \int f(r) \left[ (1_{\mathcal{X}} \otimes \lambda(r)) U(\xi \otimes k) \right] (s^{-1}g) dr \right) ds$$

$$= \Delta_{G}(g) \int h(s) u(s) x \left[ (1_{\mathcal{X}} \otimes \lambda(f)) U(\xi \otimes k) \right] (s^{-1}g) ds$$

$$= \Delta_{G}(g) \int h(s) u(s) \left[ (x \otimes \lambda(f)) U(\xi \otimes k) \right] (s^{-1}g) ds$$

$$= \Delta_{G}(g) \int h(s) \left[ (u(s) \otimes \lambda(s)) (x \otimes \lambda(f)) U(\xi \otimes k) \right] (g) ds$$

$$= \Delta_{G}(g) \left[ \int h(s) \delta(u(s)) (x \otimes \lambda(f)) U(\xi \otimes k) ds \right] (g)$$

$$= \Delta_{G}(g) \left[ \delta(u(h)) (x \otimes \lambda(f)) U(\xi \otimes k) \right] (g).$$

We show that for  $x \in \mathcal{N}$ ,  $h, k \in \mathcal{K}(G)$ ,  $\xi \in \mathcal{H}$ ,  $g \in G$ , we have

(3) 
$$P(u(h)^*xu(k)u(g)^*) u(g) \xi = \Delta_G(g) \left[\delta(u(h)^*x) U(\xi \otimes k)\right](g)$$

(4) 
$$u(g)^*P(u(g)u(h)^*xu(k)) \xi = [\delta(u(h)^*x) \ U(\xi \otimes k)] (g^{-1})$$

Indeed, (3) follows from (2) replacing X by  $\delta(x)$  and h by  $h^*$ , since  $u(h)^* = u(h^*)$  and  $P = E \cdot \delta$ , while (4) follows from (3) using 19.7.(3) and replacing g by  $g^{-1}$ .

For  $f \in \mathcal{K}(G)$  we consider the operator

(5) 
$$R_f = \int d_G(g)^{-1/2} f(g^{-1}) \left(1_{\mathscr{N}} \otimes \rho(g)\right) dg \in (\mathscr{N} \otimes \mathfrak{L}(G))'.$$

For  $\zeta \in \mathcal{L}^2(G, \mathcal{H})$  we have

(6) 
$$(R_f\zeta)(s) = \int f(g^{-1})\zeta(sg) \,\mathrm{d}g \qquad (s \in G).$$

It is easy to check that for  $f, k \in \mathcal{X}(G)$  and  $\xi \in \mathcal{X}$  we have

(7) 
$$R_f U(\xi \boxtimes k) = \delta(u(k)) (\xi \boxtimes f).$$

We now prove inclusion (1). Let  $X \in (\mathcal{M} \otimes 1_G)' \cap \delta(u(G))'$  and  $h, k \in \mathcal{X}(G)$ ,  $x \in \mathcal{N}$ . Consider also  $\xi, \eta \in \mathcal{H}$  and  $\varphi, \psi \in \mathcal{X}(G)$ . Using (3)—(7) we obtain

$$(X\delta(u(h)^*xu(k)) (\xi \otimes \varphi) \mid (\eta \otimes \psi))$$

$$= (X\delta(u(h)^*x)\delta(u(k)) (\xi \otimes \varphi) \mid (\eta \otimes \psi))$$

$$= (X\delta(u(h)^*x)R_{\varphi}U(\xi \otimes k) \mid (\eta \otimes \psi))$$

$$= \int ([R_{\varphi}\delta(u(h)^*x)U(\xi \otimes k)] (s) \mid [X^*(\eta \otimes \psi)] (s)) ds$$

$$= \iint \varphi(g^{-1}) ([\delta(u(h)^*x)U(\xi \otimes k)] (sg) \mid [X^*(\eta \otimes \psi)] (s)) dsdg$$

$$(via \ g \mapsto s^{-1}g)$$

$$= \iint \varphi(g^{-1}s) ([\delta(u(h)^*x)U(\xi \otimes k)] (g) \mid [X^*(\eta \otimes \psi)] (s)) dsdg$$

$$= \iint \Delta_G(g^{-1})\varphi(g^{-1}s)(P(u(h)^*xu(k)u(g)^*)u(g)\xi \mid [X^*(\eta \otimes \psi)] (s)) dsdg$$

$$= \iint \Delta_G(g^{-1}) ([(P(u(h)^*xu(k)u(g)^*)u(g)\otimes\lambda(g)) (\xi \otimes \varphi)](s) \mid [X^*(\eta \otimes \psi)] (s)) dsdg$$

$$= \int \Delta_G(g^{-1}) (\delta(P(u(h)^*xu(k)u(g)^*)u(g)) (\xi \otimes \varphi) \mid X^*(\eta \otimes \psi)) dg$$

$$(since \ X \in \mathcal{M} \otimes 1_G)' \cap \delta(u(G))')$$

$$= \int \Delta_G(g^{-1}) (X(\xi \otimes \varphi) \mid \delta(u(g)^*P(u(g)u(k)^*x^*u(h))) (\eta \otimes \psi)) dg$$

$$= \iint \Delta_G(g^{-1}) ([X(\xi \otimes \varphi)] (s) \mid [(u(g)^*P(u(g)u(k)^*x^*u(h)) \otimes \lambda(g)^*)(\eta \otimes \psi)] (s)) dsdg$$

$$= \iint \Delta_G(g^{-1}) ([X(\xi \otimes \varphi)] (s) \mid [(u(g)^*P(u(g)u(k)^*x^*u(h)) \otimes \lambda(g)^*)(\eta \otimes \psi)] (s)) dsdg$$

$$= \iint \Delta_G(g^{-1}) ([X(\xi \otimes \varphi)] (s) \mid [(u(g)^*P(u(g)u(k)^*x^*u(h)) \otimes \lambda(g)^*)(\eta \otimes \psi)] (s)) dsdg$$

$$= \iint \Delta_G(g^{-1}) ([X(\xi \otimes \varphi)] (s) \mid [(u(g)^*P(u(g)u(k)^*x^*u(h)) \otimes \lambda(g)^*)(\eta \otimes \psi)] (s)) dsdg$$

$$= \iint \Delta_{G}(g^{-1}) \left( [X(\xi \boxtimes \varphi)] (s) \mid \psi(gs) [\delta(u(k)^{*}x^{*}) U(\eta \boxtimes h)] (g^{-1}) \right) dsdg$$

$$\left( \text{via } g \mapsto (sg)^{-1} = g^{-1} s^{-1} \right)$$

$$= \iint \left( [X(\xi \boxtimes \varphi)] (s) \mid \psi(g^{-1}) [\delta(u(k)^{*}x^{*}) U(\eta \boxtimes h)] (sg) \right) dsdg$$

$$= \int \left( [X(\xi \boxtimes \varphi)] (s) \mid [R_{\psi}\delta(u(k)^{*}x^{*}) U(\eta \boxtimes h)] (s) \right) ds$$

$$= (X(\xi \boxtimes \varphi) \mid \delta(u(k)^{*}x^{*}) R_{\psi} U(\eta \boxtimes h))$$

$$= (X(\xi \boxtimes \varphi) \mid \delta(u(k)^{*}x^{*}) \delta(u(h)) (\eta \boxtimes \psi))$$

$$= (\delta(u(h)^{*}xu(k)) X (\xi \boxtimes \varphi) \mid (\eta \boxtimes \psi)),$$

hence  $X\delta(u(h)^*xu(k)) = \delta(u(h)^*xu(k)) X$ .

This completes the proof of Theorem 19.9.

19.12. From the first half of the sequence of equations in the final part of Section 19.11 it follows that for  $x \in \mathcal{N}$  and  $h, k \in \mathcal{K}(G)$  we have  $\delta(u(h)^*xu(k)) = \int \Delta_G(g^{-1})\delta(P(u(h)^*xu(k)u(g)^*)u(g))dg = \delta\left(\int P(u(h)^*xu(k)u(g))u(g)^*dg\right)$ , hence

(1) 
$$u(h)^*xu(k) = \int P(u(h)^*xu(k)u(g))u(g^{-1}) dg.$$

This relation is also sufficient to conclude the proof of Theorem 19.9. Moreover, it contains the main idea of the proof, by showing that for a wide class of elements  $a \in \mathcal{N}$  we have

(2) 
$$a = \int P(au(g)) u(g^{-1}) dg$$

with  $P(au(g)) \in \mathcal{M} = \mathcal{N}^{\delta}$  and  $u(g^{-1}) \in u(G)$ .

If G is abelian, then (1) is easily reduced to the Fourier inversion theorem. Indeed, let  $a = u(h)^* x u(k)$  and consider the function  $f: \hat{G} \to \mathcal{N}$  defined by  $f(\gamma) = \theta_{\gamma}(a)$  ( $\gamma \in \hat{G}$ ). Recall (19.7) that in this case we have  $P = P_{\theta} = \int \theta_{\gamma}(\cdot) d\gamma$ .

Since  $a \in \mathfrak{M}_{P}$  (see 19.7) it follows that the function f is integrable. Then

$$f(\gamma) = \left(\int h^*(s)\theta_{\gamma}(u(s)) \, \mathrm{d}s\right)\theta_{\gamma}(x) \left(\int k(t)\theta_{\gamma}(u(t)) \, \mathrm{d}t\right)$$

$$= \left(\int \overline{\langle st, \gamma \rangle} \, h^*(s)k(t)u(s)\theta_{\gamma}(x)u(t) \, \mathrm{d}s\mathrm{d}t\right)$$

$$(\text{via } t \mapsto s^{-1}t^{-1})$$

$$= \int \langle t, \gamma \rangle \left(\int h^*(s) \, k(s^{-1} \, t^{-1}) \, u(s) \, \theta_{\gamma}(x) \, u(s^{-1} \, t^{-1}) \, \mathrm{d}s\right) \, \mathrm{d}t$$

$$\iint \|h^*(s) \, k(s^{-1} \, t^{-1})u(s)\theta_{\gamma}(x) \, u(s^{-1} \, t^{-1})\| \, \mathrm{d}s \, \mathrm{d}t$$

$$\leqslant \|x\| \iint \|h^*(s) \, k(s^{-1} \, t^{-1}) \, |\, \mathrm{d}s\mathrm{d}t < +\infty$$

hence f is the Fourier transform of an integrable function. Consequently, we can apply the Fourier inversion theorem to get

$$\int P(au(g)) \ u(g^{-1}) \, \mathrm{d}g = \int \left( \int \theta_{\gamma}(a) \theta_{\gamma}(u(g)) \, \mathrm{d}\gamma \right) u(g^{-1}) \, \mathrm{d}g$$

$$= \int \left( \int \overline{\langle g, \gamma \rangle} f(\gamma) \, \mathrm{d}\gamma \right) u(g) u(g^{-1}) \mathrm{d}g = \int \hat{f}(g) \, \mathrm{d}g = f(\varepsilon) = \theta_{\varepsilon}(a) = a$$

where  $\varepsilon \in \hat{G}$  denotes the neutral element of  $\hat{G}$ .

and

19.13. As a first application of Theorem 19.9, we show that in 19.3.(5) we actually have an equality.

Corollary. (M. Takesaki, T. Digernes). Let  $\sigma: G \to Aut(\mathcal{M})$  be a continuous action of G on the W\*-algebra  $\mathcal{M}$ . Then

$$\mathcal{R}(\mathcal{M}, \, \sigma) = (\mathcal{M} \ \overline{\otimes} \, \mathcal{B}(\mathcal{L}^2(G)))^{\sigma \, \overline{\oplus} \, \operatorname{Ad}(\mathfrak{p})}.$$

*Proof.* We shall use the notation  $\mathcal{N} = \mathcal{R}(\mathcal{M}, \sigma)$ ,  $\mathcal{P} = \mathcal{M} \otimes \mathcal{R}(\mathcal{L}^2(G))$ ,  $\beta = \sigma \otimes \operatorname{Ad}(\rho)$ ,  $\delta = \iota_{\mathcal{A}} \otimes \delta_G$  as in Section 19.3 and  $u(g) = 1_{\mathcal{A}} \otimes \lambda(g) \in \mathcal{N} \subset \mathcal{P}^{\beta}(g \in G)$ . As we have seen in Section 19.3,  $g \mapsto u(g)$  is an s-continuous

unitary representation of G in  $\mathcal{P}^{\beta}$  and  $\delta \colon \mathcal{P}^{\beta} \to \mathcal{P}^{\beta} \overline{\otimes} \mathfrak{L}(G)$  is an action of  $\hat{G}$  on  $\mathcal{P}^{\beta}$  such that  $\delta(u(g) = u(g) \overline{\otimes} \lambda(g) \ (g \in G)$ , and  $(\mathcal{P}^{\beta})^{\delta} = \pi_{\sigma}(\mathcal{M})$ . By Theorem 19.9 it follows that  $\mathcal{P}^{\beta} = \mathcal{R}\{\pi_{\sigma}(\mathcal{M}), \ u(G)\} = \mathcal{N}$ .

19.14. The previous Corollary enables us to compute the commutant of the crossed product.

Corollary (M. Takesaki, T. Digernes). Let  $\sigma: G \to Aut(\mathcal{M})$  be a continuous action of G on the von Neumann algebra  $\mathcal{M} \subset \mathcal{B}(\mathcal{H})$  and assume that there exists an so-continuous unitary representation  $v: G \to \mathcal{B}(\mathcal{H})$  such that  $\sigma_g = \mathrm{Ad}(v(g))|\mathcal{M}(g \in G)$ . Then the commutant of the von Neumann algebra  $\mathcal{R}(\mathcal{M}, \sigma) \subset \mathcal{B}(\mathcal{H} \boxtimes \mathcal{L}^2(G))$  is given by

(1) 
$$\mathscr{R}(\mathscr{M}, \sigma)' = \mathscr{R}\{x' \ \overline{\otimes} \ 1_G, \ v(g) \ \overline{\otimes} \ \rho(g); x' \in \mathscr{M}', \ g \in G\}.$$

Proof. By the von Neumann double commutant theorem, (1) is equivalent to

$$\mathcal{R}(\mathcal{M}, \sigma) = \mathcal{R}\{x' \ \overline{\otimes} \ \mathbf{1}_G, \ v(g) \ \overline{\otimes} \ \rho(g); \ x' \in \mathcal{M}', \ g \in G\}',$$

which is an obvious consequence of Corollary 19.13.

The so-continuous unitary representation  $v: G \to \mathcal{A}(\mathcal{H})$  in the statement of the Corollary also defines a continuous action  $\sigma': G \to Aut(\mathcal{M}')$  where  $\sigma'_{g} = \mathrm{Ad}(v(g)) \mid \mathcal{M}' (g \in G)$ . We show that

(2) 
$$\mathfrak{R}(\mathcal{M}, \sigma)'$$
 is spatially isomorphic to  $\mathfrak{R}(\mathcal{M}', \sigma')$ .

To this end, recall that the regular representations  $\lambda$  and  $\rho$  of G are unitarily equivalent. Indeed, the operator  $U \in \mathcal{B}(\mathcal{L}^2(G))$  defined by  $(U\xi)(s) = \Delta_G(s)^{-1/2}\xi(s^{-1})$   $(\xi \in \mathcal{L}^2(G), s \in G)$ , is unitary and for  $g \in G$  we have  $(U\lambda(g)\xi)(s) = \Delta_G(s)^{-1/2}\times \times \xi(g^{-1}s^{-1}) = \Delta_G(g)^{1/2}\Delta_G(sg)^{-1/2}\xi((sg)^{-1}) = (\rho(g)U\xi)(s)$ , i.e.  $U^*\rho(g)U = \lambda(g)$ .

On the other hand, let  $V \in \mathcal{B}(\mathcal{H} \otimes \mathcal{L}^2(G))$  be the unitary operator defined by

the function  $s\mapsto v(s)$ , i.e.  $(V\zeta)(s)=\underline{v}(s)\zeta(s)$   $(\zeta\in\mathcal{L}^2(G,\mathcal{H}),\,s\in G)$ .

Then  $W = (1_{\mathscr{H}} \otimes U) V \in \mathscr{A}(\mathscr{H} \otimes \mathscr{L}^2(G))$  is a unitary operator and, as easily verified, we have

(3) 
$$W^{\bullet}(x' \overline{\otimes} 1_{g})W = \pi_{\sigma'}(x') \qquad (x' \in \mathcal{M}'),$$

(4) 
$$W^{\bullet}(v(g) \boxtimes \rho(g))W = 1_{\mathscr{R}} \boxtimes \lambda(g) \quad (g \in G),$$
 proving (2).

19.15. Landstad's theorem 19.9 enables us to prove a result for actions of  $\hat{G}$  on  $\mathcal{M}$  similar to Proposition 19.3.

Proposition. For every action  $\delta: \mathcal{M} \to \mathcal{M} \ \overline{\otimes} \ \mathfrak{L}(G)$  of  $\hat{G}$  on the  $W^*$ -algebra  $\mathcal{M}$  we have

$$\delta(\mathcal{M}) = \mathcal{R}(\mathcal{M}, \ \delta)^{3}$$

where  $\hat{\delta}$  is the dual action.

*Proof.* Let  $\mathcal{M} \subset \mathcal{B}(\mathcal{H})$  and  $\mathcal{M} \otimes \mathcal{B}(\mathcal{L}^2(G)) \subset \mathcal{B}(\mathcal{L} \otimes \mathcal{L}^2(G))$  be realized as you Neumann algebras. We consider the action (18.7.(12))

$$1_{\mathscr{R}} \overline{\otimes} \mathfrak{I}_{G}^{*} \colon \mathscr{R}(\mathscr{H}) \overline{\otimes} \mathscr{R}(\mathscr{L}^{2}(G)) \to \mathscr{R}(\mathscr{H}) \overline{\otimes} \mathscr{R}(\mathscr{L}^{2}(G)) \overline{\otimes} \mathscr{L}(G)$$

of  $\hat{\mathbf{G}}$  on  $\mathcal{B}(\mathcal{H} \otimes \mathcal{L}^2(G))$  and show that

$$(1_{\mathscr{R}} \otimes \delta_G^*) (\delta(\mathscr{M})') \subset \delta(\mathscr{M})' \otimes \mathfrak{L}(G).$$

Indeed, if  $X \in \delta(\mathcal{M})' \subset \mathcal{B}(\mathcal{H} \overline{\otimes} \mathcal{L}^2(G))$  and  $x \in \mathcal{M}$ , then

$$((\iota_{\mathscr{K}} \overline{\otimes} \delta_G^*)(X))(\delta(x) \overline{\otimes} 1_G)$$

$$= (1_{\mathscr{R}} \boxtimes W_{G}) (X \boxtimes 1_{G}) (1_{\mathscr{R}} \boxtimes W_{G}^{\bullet}) (\delta(x) \boxtimes 1_{G}) (1_{\mathscr{R}} \boxtimes W_{G}) (1_{\mathscr{R}} \boxtimes W_{G}^{\bullet})$$

$$= (1_{\mathscr{R}} \boxtimes W_{G}) (X \boxtimes 1_{G}) ((1_{\mathscr{R}} \boxtimes \delta_{G}) (\delta(x)) (1_{\mathscr{R}} \boxtimes W_{G}^{\bullet})$$

$$= (1_{\mathscr{R}} \boxtimes W_{G}) (X \boxtimes 1_{G}) ((\delta \boxtimes 1_{G}) (\delta(x))) (1_{\mathscr{R}} \boxtimes W_{G}^{\bullet})$$

$$= (1_{\mathscr{R}} \boxtimes W_{G}) ((\delta \boxtimes 1_{G}) (\delta(x))) (X \boxtimes 1_{G}) (1_{\mathscr{R}} \boxtimes W_{G}^{\bullet})$$

$$= (1_{\mathscr{R}} \boxtimes W_{G}) ((1_{\mathscr{R}} \boxtimes \delta_{G}) (\delta(x))) (X \boxtimes 1_{G}) (1_{\mathscr{R}} \boxtimes W_{G}^{\bullet})$$

$$= (1_{\mathscr{R}} \boxtimes W_{G}) ((1_{\mathscr{R}} \boxtimes \delta_{G}) (\delta(x))) (X \boxtimes 1_{G}) (1_{\mathscr{R}} \boxtimes W_{G}^{\bullet})$$

$$= (1_{\mathscr{R}} \boxtimes W_{G}) (1_{\mathscr{R}} \boxtimes W_{G}^{\bullet}) (\delta(x) \boxtimes 1_{G}) (1_{\mathscr{R}} \boxtimes W_{G}) (X \boxtimes 1_{G}) (1_{\mathscr{R}} \boxtimes W_{G}^{\bullet})$$

$$= (1_{\mathscr{R}} \otimes W_G) (1_{\mathscr{R}} \otimes W_G^*) (\delta(x) \otimes 1_G) (1_{\mathscr{R}} \otimes W_G) (X \otimes 1_G) (1_{\mathscr{R}} \otimes W_G^*)$$

$$= (\delta(x) \mathbin{\overline{\otimes}} 1_G) \, ((i_{\mathscr F} \mathbin{\overline{\otimes}} \delta_G^*) \, (X)).$$

Consequently,  $i_{\mathscr{R}} \boxtimes \delta_G^*$  restricts to an action  $\delta' \colon \delta(\mathscr{M})' \to \delta(\mathscr{M})' \boxtimes \mathfrak{L}(G)$  of  $\widehat{G}$  on  $\delta(\mathcal{M})'$ . On the other hand, there exists an s-continuous unitary representation  $\underline{u}': G \ni g \mapsto u'(g) = 1 \times \overline{\otimes} \rho(g) \in \delta(\mathcal{M})'$  and it is easy to check that  $\delta'(u'(g)) = u'(g) \overline{\otimes}$  $\bigotimes \lambda(g)$   $(g \in G)$ . By Theorem 19.9 it follows that

(2) 
$$\delta(\mathcal{M})' = \Re\{(\delta(\mathcal{M})')^{\delta'}, u'(G)\}.$$

Recall (19.4) that the dual action  $\hat{\delta}: G \to Aut(\mathfrak{R}(\mathcal{M}, \delta))$  is  $\hat{\delta} = (i_{\mathcal{M}} \otimes i_{\mathcal{M}})$  $\overline{\otimes}$  Ad(p))| $\mathcal{R}(\mathcal{M}, \delta)$  and that  $\delta(\mathcal{M}) \subset \mathcal{R}(\mathcal{M}, \delta)^2$ . To prove the reverse inclusion we note that

(3) 
$$\mathfrak{R}(\mathcal{M}, \delta)^{\widehat{\delta}} \subset (\mathcal{M} \overline{\otimes} \mathfrak{R}(\mathcal{L}^2(G)))^{-\overline{\bullet} \operatorname{Ad}(p)} = \mathcal{M} \overline{\otimes} \mathfrak{L}(G)$$

and that for every  $X \in \mathcal{R}(\mathcal{M}, \delta)$  we have

$$(i_{\mathscr{A}} \otimes \delta_{g})(X) = (\delta \otimes i_{g})(X).$$

Indeed, for  $X = \delta(x)$   $(x \in \mathcal{M})$ , (4) follows from the fact that  $\delta$  is an action of  $\hat{G}$ on  $\mathcal{M}$  (18.2.(4)), while for  $X = 1_{\mathcal{M}} \overline{\otimes} f$  ( $f \in \mathcal{L}^{\infty}(G)$ ), (4) is immediate (18.7.(9)). Since  $\mathcal{R}(\mathcal{M}, \delta) = \mathcal{R}\{\delta(\mathcal{M}), 1_{\mathcal{M}} \overline{\otimes} \mathcal{L}^{\infty}(G)\}$ , (4) is valid for every  $X \in \mathcal{R}(\mathcal{M}, \delta)$ .

Consider now  $X \in \mathcal{R}(\mathcal{M}, \delta)^{\delta}$ . Then  $X \in \mathcal{M} \otimes \mathfrak{L}(G)$  and X satisfies (4). Since  $X \in \mathcal{M} \otimes \mathfrak{L}(G)$ , it is clear that Xu'(g) = u'(g)X for all  $g \in G$ . Let  $Y \in (\delta(\mathcal{M})')^{\delta'}$ , that is  $Y \in \delta(\mathcal{M})'$  and  $(1_{\mathcal{X}} \otimes W_G) (Y \otimes 1_G) (1_{\mathcal{X}} \otimes W_G^*) = Y \otimes 1_G$ . Then

$$XY \otimes 1_G = (X \otimes 1_G) (Y \otimes 1_G)$$

$$= (1_{\mathscr{H}} \boxtimes W_{G}) (1_{\mathscr{H}} \boxtimes W_{G}^{*}) (X \boxtimes 1_{G}) (1_{\mathscr{H}} \boxtimes W_{G}) (Y \boxtimes 1_{G}) (1_{\mathscr{H}} \boxtimes W_{G}^{*})$$

$$= (1_{\mathscr{H}} \boxtimes W_{G}) ((1_{\mathscr{H}} \boxtimes \delta_{G}) (X)) (Y \boxtimes 1_{G}) (1_{\mathscr{H}} \boxtimes W_{G}^{*})$$

$$= (1_{\mathscr{H}} \boxtimes W_{G}) ((\delta \boxtimes 1_{G}) (X)) (Y \boxtimes 1_{G}) (1_{\mathscr{H}} \boxtimes W_{G}^{*})$$

$$= (1_{\mathscr{H}} \boxtimes W_{G}) (Y \boxtimes 1_{G}) ((\delta \boxtimes 1_{G}) (X)) (1_{\mathscr{H}} \boxtimes W_{G}^{*})$$

$$= (1_{\mathscr{H}} \boxtimes W_{G}) (Y \boxtimes 1_{G}) ((1_{\mathscr{H}} \boxtimes \delta_{G}) (X)) (1_{\mathscr{H}} \boxtimes W_{G}^{*})$$

$$= (1_{\mathscr{H}} \boxtimes W_{G}) (Y \boxtimes 1_{G}) ((1_{\mathscr{H}} \boxtimes \delta_{G}) (X)) (1_{\mathscr{H}} \boxtimes W_{G}^{*})$$

$$= (1_{\mathscr{H}} \boxtimes W_{G}) (Y \boxtimes 1_{G}) (1_{\mathscr{H}} \boxtimes W_{G}^{*}) (X \boxtimes 1_{G}) (1_{\mathscr{H}} \boxtimes W_{G}) (1_{\mathscr{H}} \boxtimes W_{G}^{*})$$

$$= (Y \boxtimes 1_{G}) (X \boxtimes 1_{G}) = YX \boxtimes 1_{G}.$$

$$= (Y \otimes 1_G) (X \otimes 1_G) = YX \otimes 1_G,$$

hence XY = YX. From (2) it follows that  $X \in \delta(\mathcal{M})'' = \delta(\mathcal{M})$ .

For  $X \in \mathcal{M} \otimes \mathfrak{L}(G)$  we have also obtained

$$(5) X \in \delta(\mathcal{M}) \Leftrightarrow (\iota_{\mathcal{M}} \otimes \delta_G)(X) = (\delta \otimes \iota_G)(X).$$

19.16. We now prove a result similar to Proposition 19.7.

**Proposition.** Let  $\sigma: G \to Aut(\mathcal{N})$  be a continuous action of G on the W\*-algebra  $\mathcal{N}$ . If there exists a unital injective normal \*-homomorphism  $\gamma \colon \mathscr{L}^{\infty}(G) \to \mathscr{N}$  such that

(1) 
$$\sigma_{g}(\chi(f)) = \chi(\mathrm{Ad}(\rho(g))(f)) \qquad (f \in \mathcal{L}^{\infty}(G), g \in G)$$

then the faithful normal operator valued weight  $P_a: \mathcal{N}^+ \to \overline{(\mathcal{N}^o)^+}$ ,

(2) 
$$P_{\sigma}(x) = \int \sigma_{g}(x) dg \qquad (x \in \mathcal{N}^{+})$$

is semifinite. For  $f \in \mathcal{L}^{\infty}(G)^+$  we have

(3) 
$$P_{\sigma}(\chi(f)) = \left(\int f(g) \, \mathrm{d}g\right) \cdot 1_{\mathcal{X}}.$$

For  $x \in \mathcal{N}^+$  and  $s \in G$  we have

$$(4) P_{\sigma}(\sigma_{s}(x)) = \Delta_{G}(s)^{-1}P_{\sigma}(x).$$

*Proof.* For  $f \in \mathcal{L}^{\infty}(G)^+$  and  $k \in \mathcal{L}^{\infty}(G)^+ \subset \mathcal{L}^1(G)$  we have

$$\int \langle \operatorname{Ad}(\rho(g))(f), k \rangle dg = \iint f(sg) k(s) dsdg = \left( \int f(g) dg \right) \langle 1_G, k \rangle.$$

Thus, for every  $\psi \in \mathcal{N}_{*}^{+}$  we obtain

$$\int \langle \sigma_{\mathbf{g}}(\chi(f)), \psi \rangle dg = \int \langle \chi(\operatorname{Ad}(\rho(g))(f)), \psi \rangle dg$$

$$= \int \langle \operatorname{Ad}(\rho(g))(f), \psi \cdot \chi \rangle dg = \left( \int f(g) dg \right) \langle 1x, \psi \rangle$$

which proves (3) and the semifiniteness of  $P_{\sigma}$ . (4) has already been proved (18.20.(2)).

19.17. In particular, for every action  $\delta: \mathcal{M} \to \mathcal{M} \otimes \mathfrak{L}(G)$  of  $\hat{G}$  on  $\mathcal{M}$ , the dual action  $\hat{\delta}: G \to Aut(\mathfrak{R}(\mathcal{M}, \delta))$  defines an n.s.f. operator valued weight

$$P_{\wedge} : \mathcal{R}(\mathcal{M}, \sigma)^+ \to \overline{\delta(\mathcal{M})^+}.$$

In this case, the \*-homomorphism  $\chi \colon \mathscr{L}^{\infty}(G) \to \mathscr{R}(\mathscr{M}, \delta)$  is defined by  $\chi(f) = 1_{\mathscr{M}} \overline{\otimes} f$  $(f \in \mathcal{L}^{\infty}(G)).$ 

Thus, if  $\varphi$  is an n.s.f. weight on  $\mathcal{M}$ , then, according to Proposition 11.6,

$$\hat{\varphi} = \varphi \, \cdot \delta^{-1} \, \cdot P_{\hat{\delta}}$$

is an n.s.f. weight on  $\mathcal{R}(\mathcal{M}, \delta)$ , called the dual weight of  $\varphi$ . From Theorem 11.9 it follows that

(1) 
$$\sigma_t^{\hat{\varphi}}(\delta(x)) = \delta(\sigma_t^{\varphi}(x)) \qquad (x \in \mathcal{M}, \ t \in \mathbb{R})$$

$$[D\hat{\psi}\colon D\hat{\varphi}]_t = \delta([D\psi\colon D\varphi]_t) \qquad (t\in\mathbb{R})$$

for any n.s.f. weights  $\varphi$  and  $\psi$  on  $\mathcal{M}$ .

According to 19.16.(4), the dual weights are relatively invariant with respect to the dual action, more precisely,

(3) 
$$\hat{\varphi}(\hat{\delta}_g(X)) = \Delta_G(g)^{-1}\hat{\varphi}(X) \qquad (X \in \mathcal{R}(\mathcal{M}, \delta)^+, g \in G).$$

Conversely, let  $\Psi$  be an n.s.f. weight on  $\mathcal{R}(\mathcal{M}, \delta)$  which is relatively invariant with respect to the dual action. Then it follows that  $[D\Psi: D\hat{\varphi}]_t \in \mathcal{R}(\mathcal{M}, \delta)^{\hat{\delta}} = \delta(\mathcal{M})$   $(t \in \mathbb{R})$ , and by Theorem 5.1 there exists an n.s.f. weight  $\psi$  on  $\mathcal{M}$  such that  $[D\Psi: D\hat{\varphi}]_t = \delta([D\psi: D\varphi]_t) = [D\hat{\psi}: D\hat{\varphi}]_t$   $(t \in \mathbb{R})$ , hence  $\Psi = \hat{\psi}$ . Thus, the dual weights on  $\mathcal{R}(\mathcal{M}, \delta)$  are exactly those which are relatively invariant with respect to the dual action.

If G is commutative, then the notions introduced in Sections 19.16, 19.17 agree with the corresponding notions introduced in Sections 19.7, 19.8.

19.18. Consider again a continuous action  $\sigma:G\to Aut(\mathcal{M})$  of G on  $\mathcal{M}$  and an n.s.f. weight  $\varphi$  on  $\mathcal{M}$ .

On the crossed product  $\mathcal{R}(\mathcal{M}, \sigma)$  we have the dual weight (19.8)  $\hat{\varphi} = \varphi \cdot \pi_{\sigma}^{-1} \cdot P_{\hat{\varphi}}$ . By Theorem 19.5 we have

(1) 
$$(\mathfrak{R}(\mathfrak{R}(\mathcal{M},\sigma),\,\hat{\sigma});\,\hat{\hat{\sigma}})\approx (\mathcal{M}\ \overline{\otimes}\ \mathfrak{R}(\mathcal{L}^2(G));\,\sigma\ \overline{\otimes}\ \mathrm{Ad}(\rho))$$

and hence on  $\mathcal{M} \otimes \mathcal{B}(\mathcal{L}^2(G))$  we obtain the dual weight  $\hat{\varphi}$  of the weight  $\hat{\varphi}$  (19.17)

(2) 
$$\hat{\hat{\varphi}} = \hat{\varphi} \cdot P_{\sigma \bullet Ad(p)} = \varphi \cdot \pi_{\sigma}^{-1} \cdot P_{\hat{\sigma}} \cdot P_{\sigma \bullet Ad(p)}.$$

On the other hand, on  $\mathcal{M} \otimes \mathcal{B}(\mathcal{L}^2(G))$  we can consider the weight  $\varphi \otimes tr$  where tr is the canonical trace on  $\mathcal{B}(\mathcal{L}^2(G))$ .

It is then natural to try to compute the Connes cocycle

$$[D\widehat{\varphi}:D(\varphi \boxtimes tr)]_{t} \qquad (t \in \mathbb{R})$$

that is, to give a more explicit expression of the second dual weight  $\hat{\hat{\varphi}}$  using the \*-isomorphism (1).

To this end, we consider for each  $t \in \mathbb{R}$  the unitary operator  $U_t \in \mathcal{M} \otimes \mathcal{L}^{\infty}(G)$  defined by the function

$$G\ni g\mapsto U_i(g)=[D(\varphi\circ\sigma_g)\colon D\varphi]_i\in\mathscr{M}$$

and recall that the modular function  $\Delta = \Delta_G$  can be also regarded as non-singular positive self-adjoint operator on  $\mathcal{L}^2(G)$ , acting by multiplication.

Theorem. Let  $\sigma: G \to Aut(\mathcal{M})$  be a continuous action of G on the W\*-algebra  $\mathcal{M}$ . For every n.s. f. weight  $\varphi$  on  $\mathcal{M}$  we have

$$[D\widehat{\phi}\colon D(\phi \ \overline{\otimes} \ tr)]_t = (1_{\mathscr{A}} \ \overline{\otimes} \ \Delta_G^{(t)})U_t \qquad (t \in \mathbb{R})$$

The following obvious consequence is particularly useful:

Corollary. Let  $\sigma: G \to \operatorname{Aut}(\mathcal{M})$  be a continuous action of the unimodular locally compact group G on the  $W^*$ -algebra  $\mathcal{M}$ . For every  $\sigma$ -invariant n.s.f. weight  $\varphi$  on  $\mathcal{M}$  we have  $\hat{\varphi} = \varphi \otimes \operatorname{tr}$ .

The proof of the Theorem is contained in Sections 19.19-19.23.

19.19. We first reformulate Theorem 19.18. Since  $\Delta = \Delta_G$  is a non-singular positive self-adjoint operator on  $\mathcal{L}^2(G)$ , we can consider the n.s.f. weight  $tr_d$  on  $\mathcal{R}(\mathcal{L}^2(G))$ given by the Pedersen-Takesaki construction (4.4).

**Lemma.** The mapping  $\mathbb{R} \ni t \mapsto U_{\bullet} \in \mathcal{M} \otimes \mathcal{L}^{\infty}(G) \subset \mathcal{M} \otimes \mathcal{B}(\mathcal{L}^{2}(G))$  is a unitary cocycle with respect to the modular automorphism group of the n.s.f. weight φ⊗tr₄ on  $\mathcal{M} \ \overline{\otimes} \ \mathcal{B}(\mathcal{L}^2(G))$ .

*Proof.* Recall that the unitary operator  $U_i \in \mathcal{M} \otimes \mathcal{L}^{\infty}(G)$  is determined by

$$\langle U_i, \psi \otimes k \rangle = \int \psi(U_i(g))k(g) dg \qquad (\psi \in \mathcal{M}_*, k \in \mathcal{L}^1(G)),$$

where  $U_{\mathfrak{l}}(g) = [D(\varphi \circ \sigma_{\mathfrak{g}}) : D\varphi]_{\mathfrak{l}}(g \in G).$ 

Since, for every  $g \in G$ , the function  $t \mapsto U_t(g)$  is  $s^*$ -continuous, using the Lebesgue dominated convergence theorem we see also that the function  $t\mapsto U_t$  is  $s^*$ -continuous.

Let  $\theta = \varphi \otimes tr_A$ . Then  $\sigma_t^{\theta} = \sigma_t^{\varphi} \otimes \operatorname{Ad}(A^{it})$  and hence

$$\sigma_t^0 \mid \mathscr{M} \ \overline{\otimes} \ \mathscr{L}^{\infty}(G) = \sigma_t^{\phi} \ \overline{\otimes} \ \iota_G \qquad (t \in \mathbb{R}).$$

Let  $s, t \in \mathbb{R}$ . Since  $U_s \in \mathcal{M} \otimes \mathcal{L}^{\infty}(G)$  is defined by the function  $g \mapsto U_s(g)$ , the element  $\sigma_i^0(U_s) \in \mathcal{M} \ \overline{\otimes} \ \mathcal{L}^{\infty}(G)$  is defined by the function  $g \mapsto \sigma_i^0(U_s(g))$  and the element  $U_i \sigma_i^{\sigma_i}(U_s) \in \mathcal{M} \otimes \mathcal{L}^{\infty}(G)$  is defined by the function  $g \mapsto U_i(g) \sigma_i^{\sigma_i}(U_s(g)) =$  $=U_{t+s}(g)$ . Hence  $\tilde{U}_{t+s}=\tilde{U}_t\sigma_t^0(\tilde{U}_s)$ .

Thus, by Theorem 5.1, there exists a unique n.s.f. weight on  $\mathcal{M} \otimes \mathcal{B}(\mathcal{L}^2(G))$ , denoted by  $(\varphi \otimes tr_A)_U$ , such that

$$[D((\varphi \ \overline{\otimes} \ tr_{d})_{\theta}) \colon D(\varphi \ \overline{\otimes} \ tr_{d})]_{t} = U_{t} \qquad (t \in \mathbb{R}).$$

Then

$$[D((\varphi \boxtimes tr_{d})_{U}): D(\varphi \boxtimes tr)]_{t} = (1 \boxtimes \Delta^{it})U_{t} \qquad (t \in \mathbb{R}).$$

Consequently, Theorem 19.18 asserts that

$$\hat{\hat{\varphi}} = (\varphi \ \overline{\otimes} \ tr_{A})_{U}$$

on  $\mathcal{M} \otimes \mathcal{B}(\mathcal{L}^2(G))$ .

The proof of (1) consists in computing the values of the two weights on elements  $X \in (\mathcal{M} \otimes \mathcal{A}(\mathcal{L}^2(G)))^+$  defined by compactly supported s\*-continuous functions  $G \times G \mapsto \mathcal{M}$  (18.21.(2)) and showing that the two weights commute; then (1) will follow from Theorem 6.2.

19.20. Since the values of the weight  $(\varphi \otimes tr_A)_U$  are not directly computable, we shall first express this weight in another form, which makes possible such computations.

Without loss of generality, we may assume  $\mathcal{M} \subset \mathcal{B}(\mathcal{H})$  realized as a von Neumann algebra such that there is an so-continuous unitary representation  $v: G \to \mathcal{B}(\mathcal{H})$  with  $\sigma_g = \operatorname{Ad}(v(g)) \mid \mathcal{M}(g \in G)$ . Then the function  $g \mapsto v(g)$  defines a unitary operator  $V \in \mathcal{M} \otimes \mathcal{L}^{\infty}(G)$  and hence a \*-automorphism  $\mathfrak{S} = \operatorname{Ad}(V)$  of  $\mathcal{M} \otimes \mathcal{L}^{\infty}(G)$  (compare to the proof of Lemma 19.2). It is easy to check that if an element  $X \in \mathcal{M} \otimes \mathcal{L}^{\infty}(G)$  is defined by a bounded w-continuous function  $G \ni g \mapsto \mathcal{K}(g) \in \mathcal{M}$ , then the element  $\mathfrak{S}(X) \in \mathcal{M} \otimes \mathcal{L}^{\infty}(G)$  is defined by the function  $G \ni g \mapsto \mathcal{K}(g) \in \mathcal{K}(g)$ :

(1) 
$$[\mathfrak{S}(X)](g) = \sigma_{\mathfrak{g}}(X(g)) \ (g \in G).$$

Thus, the \*-automorphism  $\mathfrak{S}$  of  $\mathcal{M} \ \overline{\otimes} \ \mathcal{L}^{\infty}(G)$  depends only on the continuous action  $\sigma: G \to Aut(\mathcal{M})$  and we can consider the n.s.f. weight  $(\varphi \ \overline{\otimes} \ \mu) \circ \mathfrak{S}$  on  $\mathcal{M} \ \overline{\otimes} \ \mathcal{L}^{\infty}(G)$ , where  $\mu = \mu_G$ .

On the other hand, the action  $\delta = i_{\mathcal{M}} \otimes \delta_G$  of  $\widehat{\mathbf{G}}$  on  $\mathcal{M} \otimes \mathcal{B}(\mathcal{L}^2(G))$  defines an n.s.f. operator valued weight  $P_{\mathfrak{F}}$  on  $\mathcal{M} \otimes \mathcal{B}(\mathcal{L}^2(G))$  with values in  $(\mathcal{M} \otimes \mathcal{B}(\mathcal{L}^2(G)))^{\delta} = \mathcal{M} \otimes \mathcal{L}^{\infty}(G)$ , and we can consider the n.s.f. weight  $((\varphi \otimes \mu) \circ \mathfrak{S}) \circ P_{\mathfrak{F}}$  on  $\mathcal{M} \otimes \mathcal{B}(\mathcal{L}^2(G))$ .

Lemma. We have

(2) 
$$(\varphi \otimes tr_{d})_{U} = ((\varphi \otimes \mu) \cdot \mathfrak{S}) \cdot P_{s}.$$

*Proof.* From the proof of Lemma 19.19 it also follows that the mapping  $t\mapsto U_t\in\mathcal{M}\ \overline{\otimes}\,\mathcal{L}^\infty(G)$  is a unitary cocycle with respect to the modular automorphism group of the n.s.f. weight  $\varphi\ \overline{\otimes}\,\mu$  on  $\mathcal{M}\ \overline{\otimes}\,\mathcal{L}^\infty(G)$ , hence there exists a unique n.s.f. weight on  $\mathcal{M}\ \overline{\otimes}\,\mathcal{L}^\infty(G)$ , denoted by  $(\varphi\ \overline{\otimes}\,\mu)_U$ , such that  $[D(\varphi\ \overline{\otimes}\,\mu)_U:D(\varphi\ \overline{\otimes}\mu)]_t=U_t$  ( $t\in\ \mathbb{R}$ ). Actually,

$$(\varphi \ \overline{\otimes} \ \mu)_{v} = (\varphi \ \overline{\otimes} \ \mu) \circ \mathfrak{S}.$$

Indeed, for the element  $X \in \mathcal{M} \otimes \mathcal{L}^{\infty}(G)$  defined by the bounded w-continuous function  $G \ni g \mapsto X(g) \in \mathcal{M}$  we have

$$\begin{split} \left[\sigma_{i}^{(\varphi \bullet \mu) \circ \Xi}(X)\right](g) &= \left[\left(\mathfrak{S}^{-1} \circ (\sigma_{i}^{\varphi} \ \overline{\otimes} \ \iota_{G}) \circ \mathfrak{S}\right)(X)\right](g) = (\sigma_{g}^{-1} \circ \sigma_{i}^{\varphi} \circ \sigma_{g})(X(g)) \\ &= \sigma_{i}^{\varphi \circ \sigma_{g}} \left(X(g)\right) = U_{i}(g)\sigma_{i}^{\varphi}(X(g))U_{i}(g)^{\varphi} \\ &= U_{i}(g)\left[\left(\sigma_{i}^{\varphi} \ \overline{\otimes} \ \iota_{G}\right)(X)\right](g)U_{i}(g)^{\varphi} = \left[U_{i}\sigma_{i}^{\varphi \bullet \mu}(X)U^{\varphi}\right](g), \end{split}$$

hence  $\sigma_i^{(\bullet \oplus \mu) \circ g}(X) = U_i \sigma_i^{\bullet \ominus \mu}(X) U_i^{\bullet}$   $(t \in \mathbb{R})$ . Then we similarly verify the corresponding KMS condition and hence (3) follows from the uniqueness part of Theorem 3.1.

Furthermore, since  $P_s$  is an n.s.f.  $(\mathcal{M} \otimes \mathcal{L}^{\infty}(G))$ -valued weight on  $\mathcal{M} \otimes \mathcal{B}(\mathcal{L}^2(G))$  and  $U_t \in \mathcal{M} \otimes \mathcal{L}^{\infty}(G)$   $(t \in \mathbb{R})$ , we infer from (3) and Theorem 11.9.(3) that

$$(4) \qquad (\varphi \otimes \mu)_{U} \circ P_{\delta} = ((\varphi \otimes \mu) \circ P_{\delta})_{U}.$$

On the other hand, the action  $\delta_G$  of  $\hat{\mathbf{G}}$  on  $\mathscr{B}(\mathscr{L}^2(G))$  defines an  $\mathscr{L}^{\infty}(G)$ -valued n.s.f. weight  $P = P_{\delta_G}$  on  $\mathscr{B}(\mathscr{L}^2(G))$ . Since  $\delta = i_{\mathscr{M}} \otimes \delta_G$  we have (18.19.(5))  $P_{\delta} = i_{\mathscr{M}} \otimes P$ . Consequently,

$$(5) \qquad (\varphi \ \overline{\otimes} \ \mu) \circ P_{\mathfrak{g}} = \varphi \ \overline{\otimes} \ (\mu \circ P).$$

Thus, (2) will follow from (3), (4), (5) once we have proved that on  $\mathcal{A}(\mathcal{L}^2(G))$ :

$$tr_{\mathbf{A}} = \mu \cdot \mathbf{P}.$$

To prove (6) we consider an operator  $X \in \mathcal{B}(\mathcal{L}^2(G))^+$  defined as in 18.21.(2) by a compactly supported continuous function  $X: G \times G \ni (s, r) \mapsto X(s, r) \in \mathbb{C}$ . By 18.22 (7), 18.22.(8) we have  $[P(X)](g) = \int \Delta(g)X(g,g) \, \mathrm{d}g \, (g \in G)$ , hence  $(\mu \circ P)(X) = \int \Delta(g)X(g,g) \, \mathrm{d}g$ . On the other hand, the operator  $\Delta X$  is defined similarly by the function  $(\Delta X)(s,r) = \Delta(s)X(s,r)$   $(s,r \in G)$ , and using Mercer's formula ([79], Ch. IX, §8, Ex. 49 (c)) we obtain  $tr_A(X) = \int \Delta(g)X(g,g) \, \mathrm{d}g$ . Therefore, the n.s.f. weights  $\mu \circ P$  and  $tr_A$  are equal on the linear subspace  $\mathcal{B}$  of  $\mathcal{B}(\mathcal{L}^2(G))$  spanned by the elements  $X \in \mathcal{B}(\mathcal{L}^2(G))$  which are defined as above by compactly supported continuous functions  $G \times G \to \mathbb{C}$ . It is clear that  $\mathcal{B}$  is an  $s^*$ -dense \*-subalgebra of  $\mathcal{B}(\mathcal{L}^2(G))$ . Since  $\sigma_I^{tr}\Delta(X) = \Delta^{tr}X\Delta^{-tr}(X \in \mathcal{B}(\mathcal{L}^2(G)), t \in \mathbb{R})$ , it follows that  $\mathcal{B}$  is also  $\sigma_I^{tr}\Delta$ -invariant. Moreover, since  $\Delta^{tr}\in \mathcal{L}^\infty(G)$ , P is an  $\mathcal{L}^\infty(G)$ -valued weight on  $\mathcal{B}(\mathcal{L}^2(G))$  and  $\mathcal{L}^\infty(G)$  is abelian, we have  $P(\Delta^{tr}X\Delta^{-tr}) = \Delta^{tr}P(X)\Delta^{-tr} = P(X)$   $(X \in \mathcal{B}(\mathcal{L}^2(G))^+)$ . Consequently, the weight  $\mu \circ P$  is  $\sigma_I^{tr}\Delta$ -invariant, that is the two weights appearing in (6) commute. Thus, (6) follows from Theorem 6.2.

**19.21.** Lemma. Let  $X \in (\mathcal{M} \otimes \mathcal{B}(\mathcal{L}^2(G)))^+$  be defined as in 18.21.(2) by the compactly supported  $s^*$ -continuous function  $G \times G \ni (s, r) \mapsto X(s, r) \in \mathcal{M}$ . Then

(1) 
$$\hat{\hat{\varphi}}(X) = \int \varphi(\sigma_{\mathbf{g}}(X(g,g))) \, \Delta(g) \, \mathrm{d}g = (\varphi \otimes tr_{\mathbf{d}})_{\mathbf{U}}(X).$$

*Proof.* By 18.21.(3) we have  $P_{\sigma \in Ad(p)}(X) = T_f^{\sigma}$ , where  $f(s) = \int \sigma_{sr}(X(sr, r) \times \Delta(r)) dr$  ( $s \in G$ ). Recall that the dual action  $\hat{\sigma}$  is the restriction of the action

 $i_{\mathcal{M}} \otimes \delta_{G}$ , so that, by 18.19.(4) and 18.22.(2), we have  $P_{\hat{\sigma}}(T_{f}^{\sigma}) = \pi_{\sigma}(f(e)) = \pi_{\sigma}\left(\int \sigma_{r}(X(r,r)) \Delta(r) dr\right)$ . Finally, using the definition 19.18.(2) of  $\hat{\phi}$  and Corollary 2.10, we infer that  $\hat{\phi}(X) = \int \varphi(\sigma_{r}(X(r,r))) \Delta(r) dr$ .

On the other hand, using 19.20.(2) and 18.22.(2), we obtain

$$(\varphi \otimes tr_{\mathbf{A}})_{\mathbf{U}}(X) = (\varphi \otimes \mu) (\mathfrak{S}(P_{\mathbf{A}}(X))) = \int \varphi([\mathfrak{S}(P_{\mathbf{A}}(X))](g)) \, \mathrm{d}g =$$

$$\int \varphi(\sigma_{\mathbf{g}}([P_{\mathbf{A}}(X)](g)) \, \mathrm{d}g = \int \varphi(\sigma_{\mathbf{g}}(A(g)X(g,g))) \, \mathrm{d}g = \int \varphi(\sigma_{\mathbf{g}}(X(g,g))) A(g) \, \mathrm{d}g.$$

19.22. Our next objective is to show that the weights  $(\varphi \otimes tr_{\Delta})_U$  and  $\hat{\varphi}$  commute. We shall put

$$\alpha_{t} = \sigma_{t}^{(\phi \bullet tr_{\Delta})} v \in Aut(\mathcal{M} \otimes \mathcal{B}(\mathcal{L}^{2}(G))) \qquad (t \in \mathbb{R})$$

$$\beta_{z} = \sigma_{z} \otimes Ad(\rho(g)) \in Aut(\mathcal{M} \otimes \mathcal{B}(\mathcal{L}^{2}(G))) \qquad (g \in G)$$

$$\tilde{U}_{t}(g) = \Delta(g)^{t} U_{t}(g) \qquad (t \in \mathbb{R}, g \in G).$$

Lemma. With the above notation we have

(1) 
$$\alpha_{i}|\mathcal{R}(\mathcal{M},\sigma)=\sigma_{i}^{\hat{\sigma}} \quad (t\in\mathbb{R})$$

$$(2) P_{\mathbf{a}} \cdot \alpha_{t} = \alpha_{t} \cdot P_{\mathbf{a}} (t \in \mathbb{R}).$$

. Corollary. The weights  $\hat{\phi}$  and  $(\phi \otimes tr_A)_U$  commute.

Proof of the Corollary. Recall that  $P_{\beta}$  is an  $\mathcal{R}(\mathcal{M}, \sigma)$ -valued n.s.f. weight on  $\mathcal{M} \otimes \mathcal{R}(\mathcal{L}^{2}(G))$  and  $\hat{\varphi} = \hat{\varphi} \cdot P_{\beta}$ . Using (1) and (2) we get  $\hat{\varphi} \cdot \alpha_{t} = \hat{\varphi} \cdot (P_{\beta} \cdot \alpha_{t}) = \hat{\varphi} \cdot \sigma_{t}^{\hat{\varphi}} \cdot P_{\beta} = \hat{\varphi} \cdot P_{\beta} = \hat{\varphi} \cdot (t \in \mathbb{R})$ , hence  $\hat{\varphi}$  is  $\alpha$ -invariant, i.e.  $\hat{\varphi}$  and  $(\varphi \otimes tr_{d})_{U}$  commute.

Proof of the Lemma. Note that

(3) 
$$\alpha_i(X) = \overline{U}_i((\sigma_i^* \otimes \iota_G)(X))\overline{U}_i^* \qquad (X \in \mathcal{M} \ \overline{\otimes} \ \mathcal{B}(\mathcal{L}^2(G)), \ t \in \mathbb{R}).$$

Thus, for  $f \in \mathcal{L}^{\infty}(G)$  and  $t \in \mathbb{R}$  we have

(4) 
$$\alpha_i(1_{\mathscr{A}} \otimes f) = \tilde{U}_i(1_{\mathscr{A}} \otimes f) \tilde{U}_i^* = 1_{\mathscr{A}} \otimes f,$$

since  $\tilde{U}_t \in \mathcal{M} \otimes \mathcal{L}^{\infty}(G)$  and  $\mathcal{L}^{\infty}(G)$  is commutative. Then, for  $x \in \mathcal{M}$ ,  $t \in \mathbb{R}$  and  $g \in G$  we have

$$\begin{split} [\alpha_i(\pi_{\sigma}(x))](g) &= [\tilde{U}_i((\sigma_i^{\sigma} \otimes \iota_G) (\pi_{\sigma}(x))) \tilde{U}_i^{\bullet}](g) \\ &= \tilde{U}_i(g) \, \sigma_i^{\sigma}([\pi_{\sigma}(x)](g)) \tilde{U}_i(g)^{\bullet} \\ &= U_i(g) \, \sigma_i^{\sigma}(\sigma_g^{-1}(x)) \, U_i(g)^{\bullet} \\ &= \sigma_i^{\sigma \circ \sigma_g}(\sigma_g^{-1}(x)) \\ &= \sigma_g^{-1}(\sigma_i^{\sigma}(x)) \\ &= [\pi_{\sigma}(\sigma_i^{\sigma}(x))] \, (g) \\ &= [\hat{\sigma}_i^{\sigma}(\pi_{\sigma}(x))] \, (g), \end{split}$$

by 19.8.(7), hence

(5) 
$$\alpha_i(\pi_{\sigma}(x)) = \sigma_i^{\widehat{\sigma}}(\pi_{\sigma}(x)).$$

Finally, let  $g \in G$ ,  $t \in \mathbb{R}$ . We have

$$(6) \quad \alpha_{i}(1_{\mathscr{M}} \otimes \lambda(g)) = \tilde{U}_{i}(1_{\mathscr{M}} \otimes \lambda(g)) \ \tilde{U}_{i}^{*} = (1_{\mathscr{M}} \otimes \lambda(g)) \ ((\mathrm{Ad}(1_{\mathscr{M}} \otimes \lambda(g)^{*})) \ (\tilde{U}_{i})) \tilde{U}_{i}^{*}.$$

For  $r \in G$  we have

$$[(\mathrm{Ad}(1_{\mathcal{A}} \otimes \lambda(g)^{\bullet}))(\tilde{U_i})) \tilde{U_i^{\bullet}}](r) = \tilde{U_i}(gr)\tilde{U_i}(r)^{\bullet}$$

$$= \Delta(g)^{it}U_i(gr) U_i(r)^{\bullet} = \Delta(g) [\pi_{\sigma}([D(\varphi \circ \sigma_g): D\varphi]_i)](r)$$

The last equality is justified as follows. By Corollary 3.5 we have

$$[D(\varphi \cdot \sigma_{gr}): D(\varphi \cdot \sigma_{r})]_{t}[D(\varphi \cdot \sigma_{r}): D\varphi]_{t} = [D(\varphi \cdot \sigma_{gr}): D\varphi]_{t}$$

that is.

$$U_t(gr)$$
  $U_t(r)^* = [D(\varphi \cdot \sigma_{gr}): D(\varphi \cdot \sigma_r)]_t$ 

and, using Corollary 3.8, we obtain

$$U_{t}(gr) \ U_{t}(r)^{\Phi} = [D((\varphi \cdot \sigma_{g}) \cdot \sigma_{r}) : D(\varphi \cdot \sigma_{r})]_{t}$$

$$= \sigma_{r}^{-1}([D(\varphi \cdot \sigma_{g}) : D\varphi]_{t}) = [\pi_{\sigma}([D(\varphi \cdot \sigma_{g}) : D\varphi]_{t}) (r).$$

From (6) and (7) we infer that

$$\alpha_t(1_{\mathscr{M}} \overline{\otimes} \lambda(g)) = \Delta(g)^{it}(1_{\mathscr{M}} \overline{\otimes} \lambda(g)) \, \pi_{\sigma}([D(\varphi \circ \sigma_{\sigma}): D\varphi]_t)$$

and hence (19.8.(8))

(8) 
$$\alpha_i(1_{\mathscr{M}} \boxtimes \lambda(g)) = \sigma_i^{\widehat{\varphi}}(1_{\mathscr{M}} \boxtimes \lambda(g)).$$

Since  $\mathcal{R}(\mathcal{M}, \sigma) = \mathcal{R}\{\pi_{\sigma}(\mathcal{M}), 1_{\mathcal{M}} \overline{\otimes} \mathfrak{L}(G)\}$ , (1) follows from (5) and (8). Since  $\mathcal{R}(\mathcal{M}, \sigma) \subset (\mathcal{M} \overline{\otimes} \mathcal{B}(\mathcal{L}^2(G)))^{\beta}$ , (5) and (8) also show that

(9) 
$$(\beta_{\sigma} \circ \alpha_{t})(X) = (\alpha_{t} \circ \beta_{\sigma})(X)$$

for  $X \in \mathcal{R}(\mathcal{M}, \sigma)$ , and using (4) we get (9) for  $X \in 1_{\mathcal{M}} \otimes \mathcal{L}^{\infty}(G)$ . Thus, (9) is valid for all  $X \in \mathcal{M} \otimes \mathcal{B}(\mathcal{L}^2(G))$  (see Lemma 19.2.(2)), and, since  $P_{\beta} = \int \beta_{g}(\cdot) \, \mathrm{d}g$  (18.20), this proves (2).

19.23. We shall construct an  $\alpha$ -invariant  $s^*$ -dense \*-subalgebra

$$\mathscr{B} \subset \mathfrak{N}_{(\varphi \overline{\otimes} tr_A)_U} \subset \mathscr{M} \ \overline{\otimes} \, \mathscr{B}(\mathscr{L}^2(G))$$

such that the weights  $(\varphi \otimes tr_d)_U$  and  $\hat{\varphi}$  are equal on  $\mathscr{B}$ .

To this end, we consider the sets

 $\mathfrak{X} = \{X \in \mathcal{M} \ \overline{\otimes} \ \mathcal{R}(\mathcal{L}^2(G)); X \text{ is defined (18.21.(2)) by a compactly supported } s^*\text{-continuous function } G \times G \ni (s, r) \mapsto X(s, r) \in \mathcal{M}\},$ 

 $\mathfrak{S} = \{ S \in \mathcal{B}(\mathcal{L}^2(G)); S \text{ is defined (18.21.(2)) by a compactly supported continuous function } G \times G \ni (s, r) \mapsto S(s, r) \in \mathbb{C} \},$ 

 $\mathfrak{W} = \{W: G \times G \to \mathcal{M}; W \text{ is an } s^*\text{-continuous function with } W(s, r) \text{ unitary for all } s, r \in G\}.$ 

For  $S \in \mathfrak{S}$ ,  $W \in \mathfrak{M}$ ,  $x \in \mathfrak{N}_{\bullet} \subset \mathcal{M}$ , we consider the operator

$$Y = Y(S, W, x) \in \mathfrak{X} \subset \mathscr{M} \otimes \mathscr{B}(\mathscr{L}^2(G))$$

defined by the function

(1) 
$$Y(s, r) = S(s, r) W(s, r) \sigma_r^{-1}(x)$$
  $(s, r \in G)$ .

Then Y\* is defined by the function

$$Y^*(s, r) = Y(r, s)^* = \overline{S(r, s)} \, \sigma_s^{-1}(x^*) \, W(r, s)^* \quad (s, r \in G),$$

and  $X = Y^*Y$  is defined by the function

$$X(s,r) = \int Y^*(s,h)Y(h,r) dh$$

$$= \int \overline{S(h,s)} S(h,r) \sigma_s^{-1}(x^*) W(h,s)^*W(h,r) \sigma_r^{-1}(x) dh \qquad (s,r \in G).$$

In particular,

$$X(g,g) = \left(\int |S(h,g)|^2 dh\right) \sigma_g^{-1}(x^*x) \qquad (g \in G)$$

and, using Lemma 19.21, we get

$$(\varphi \otimes tr_A)_U(X) = \int \varphi(\sigma_g(X(g,g)))\Delta(g)dg = \varphi(x^*x) \iint |S(h,g)|^2 dh dg < +\infty.$$

Consequently,

$$Y(S, W, x) \in \mathfrak{N}_{(\varphi \bullet tr_A)_U}$$

Let  $\mathcal{B}$  be the \*-subalgebra of  $\mathcal{M}$  generated by the elements of form Y(S, W, x) with  $S \in \mathcal{S}$ ,  $W \in \mathfrak{W}$  and  $x \in \mathfrak{R}_{a}$ . Then

$$\mathscr{B} \subset \mathfrak{N}_{(\varphi \overline{\bullet} tr_A)_U}.$$

Also, as  $\mathcal{A} \subset \mathfrak{X}$  we have, by Lemma 19.21,

(2) 
$$\widehat{\widehat{\varphi}}(X^*X) = (\varphi \otimes tr_d)_U(X^*X) \qquad (X \in \mathcal{B}).$$

If  $W_0 \in \mathfrak{M}$  is defined by  $W_0(s, r) = 1_{\mathscr{M}}(s, r \in G)$ , then for every  $S \in \mathfrak{S}$  and every  $x \in \mathfrak{N}_{\bullet}$  we have

$$Y(S, W_0, x) = (1_{\mathscr{M}} \boxtimes S) \pi_{\sigma}(x).$$

Using Lemma 19.2.(2) we infer that the \*-subalgebra  $\mathcal{B}$  is  $s^*$ -dense in  $\mathcal{M}$ .

It remains to be shown that  $\mathcal{B}$  is  $\alpha_i$ -invariant. Let  $S \in \mathcal{G}$ ,  $W \in \mathfrak{W}$ ,  $x \in \mathfrak{N}_{\varphi}$  and let the operator  $Y = Y(S, W, x) \in \mathfrak{X}$  be defined by the function (1). Recall that the \*-automorphism  $\alpha_i$  is determined by 19.22.(3). It follows that the operator  $\alpha_i(Y) \in \mathfrak{X}$  is determined by the function

$$\begin{split} \left[\alpha_{i}(Y)\right](s, r) &= S(s, r) \, \tilde{U}_{i}(s) \, \sigma_{i}^{\varphi}(W(s, r)) \, \sigma_{i}^{\varphi}(\sigma_{r}^{-1}(x)) \, \tilde{U}_{i}(r)^{\varphi} \\ &= S(s, r) \, \tilde{U}_{i}(s) \, \sigma_{i}^{\varphi}(W(s, r)) \, \tilde{U}_{i}(r)^{\varphi} \, \tilde{U}_{i}(r) \, \sigma_{i}^{\varphi}(\sigma_{r}^{-1}(x)) \, \tilde{U}_{i}(r)^{\varphi} \\ &= S(s, r) \, \tilde{U}_{i}(s) \, \sigma_{i}^{\varphi}(W(s, r)) \, \tilde{U}_{i}(r)^{\varphi} \sigma_{r}^{-1} \left(\sigma_{i}^{\varphi}(x)\right). \end{split}$$

Hence

$$\alpha_t(Y(S, W, x)) = Y(S, W_t, \sigma_t^{\phi}(x))$$

where  $W_t \in \mathfrak{W}$  is the function  $W_t(s, r) = \tilde{U}_t(s) \, \sigma_t^{\varphi}(W(s, r)) \, \tilde{U}_t(r)^* \, (s, r \in G)$ . Consequently,  $\mathscr{B}$  is indeed  $\alpha_t$ -invariant.

Thus, the weights  $\hat{\phi}$  and  $(\phi \otimes tr_{\Delta})_U$  commute and satisfy (2), so that they are equal by Theorem 6.2.

The proof of Theorem 19.18 is complete.

19.24. Notes. The crossed product construction for operator algebras appeared already in the work of Murray and von Neumann [164, I] for actions of discrete groups on commutative  $W^*$ -algebras. It was precisely this construction which produced the first concrete examples of factors of types II<sub>1</sub>, II<sub> $\infty$ </sub> and III. Subsequently, the construction was developed, for discrete groups, in [21], [76], [95], [169], [170], [240], [258], [265], etc., and Connes [36] discovered its crucial importance for the structure theory of type III factors. In the general case of locally compact groups, the construction appears in [78] and the first systematic study of it is due to Takesaki [248]. The definition of the crossed product of a  $W^*$ -algebra by the action of a Kac algebra was introduced in [232].

Takesaki [248] proved the most important special cases of the main results presented in this Section (19.5, 19.8, 19.13, 19.14, 19.18), and so extended the duality principle given in [36] to arbitrary W\*-algebras of type III. In the general case, for Theorem 19.5 see [153], [166], [233], for Corollaries 19.13, 19.14 see [70], for Theorem 19.8 see [70], [104], [207], [233], [270]; Theorem 19.18 is proved in [70] for abelian groups and in [233], [228] for arbitrary locally compact groups. The characterization of crossed product W\*-algebras by Theorem 19.9 is due to Landstad [152].

The theory of crossed products of  $W^*$ -algebras by actions of group duals was developed in [153], [166], [233]. The key point in this theory is the saturation property (19.15.(5)) introduced in the general case and proved for amenable groups in [232]; for arbitrary locally compact groups, Proposition 19.15 is due to Landstad [153] (see [233, II], p. 83-85). A different approach, working for arbitrary compact groups, is due to Roberts [194].

For our exposition we have used [104], [152], and [233].

We should mention also the survey article [167] and the following related references concerning extensions of the commutation theorem for crossed products [67], [115], [195], [196], [197], crossed products with cocycles [237], [238], [265], crossed products by actions of Kac algebras [85], [86], [88] and C\*-crossed products [78], [152], [154], [180], [184], [241], [273], [274], [275].

## § 20. Comparison of cocycles

In this Section we develop a comparison theory for cocycles with respect to a given continuous group action on a  $W^*$ -algebra, characterize the square-integrable cocycles as subcocycles of the dominant cocycle and, as an application, study the dominant actions. Moreover, this theory will give rise, via the Connes cocycle theorem (3.1, 5.1), (§ 23) to a corresponding comparison theory for weights on  $W^*$ -algebras.

20.1. Let G be a locally compact group and  $\sigma: G \to Aut(\mathcal{M})$  a continuous action of G on the  $W^*$ -algebra  $\mathcal{M}$ . Recall (5.1) that a  $\sigma$ -cocycle (of degree 1) is an  $s^*$ -continuous function  $a: G \ni s \mapsto a(s) \in \mathcal{M}$  with the properties:

(1) 
$$a(st) = a(s)\sigma_s(a(t))$$
 and  $a(s^{-1}) = \sigma_s^{-1}(a(s)^*)$   $(s, t \in G)$ 

and that the set of all  $\sigma$ -cocycles is denoted by  $Z_{\sigma} = Z_{\sigma}(G; \mathcal{M})$ . We denote by  $e \in G$  the neutral element of G.

**Proposition.** Let  $a \in Z_{\sigma}(G; \mathcal{M})$ . Then the elements  $a(s) \in \mathcal{M}$  are partial isometries

(2) 
$$a(s) a(s)^* = a(e), \quad a(s)^* a(s) = \sigma_s(a(e)) \quad (s \in G)$$

in particular a(e) is a projection and

(3) 
$$a(e) \ a(s) = a(s) = a(s) \sigma_s(a(e)) \qquad (s \in G).$$

The equation

(4) 
$$(a\sigma)_s(x) = a(s) \sigma_s(x) a(s)^* \qquad (x \in \mathcal{M}_{a(e)}, s \in G)$$

defines a continuous action  $_a\sigma\colon G\to Aut\left(\mathcal{M}_{a(e)}\right)$  whose centralizer is denoted by

$$\mathscr{M}^{a} = (\mathscr{M}_{a(e)})^{a^{a}}.$$

For every projection  $p \in \mathcal{M}^a$ , the function

(6) 
$$a^p: G \ni s \mapsto pa(s) \in \mathcal{M}$$

is a  $\sigma$ -cocycle  $a^p \in Z_{\sigma}(G; \mathcal{M})$ .

*Proof.* We have  $a(e) = \sigma_e^{-1}(a(e)^*) = a(e)^*$  and  $a(e) = a(ee) = a(e) \sigma_e(a(e)) = a(e)^2$ , hence a(e) is a projection. Since  $a(s)^* = \sigma_s(a(s^{-1}))$ , we obtain  $a(s) a(s)^* = a(s) \sigma_s(a(s^{-1}) = a(ss^{-1}) = a(e)$  and  $a(s)^* a(s) = \sigma_s(a(s^{-1})) a(s) = \sigma_s(a(s^{-1}) \sigma_{s^{-1}}(a(s))) = \sigma_s(a(s^{-1}s)) = \sigma_s(a(e))$ , thus proving (2) and (3).

Using (1), (2) and (3) it is easy to see that (4) define a continuous action. For instance, for s,  $t \in G$  and x,  $y \in a(e)$ . Ma(e), we have  $(a\sigma)_s(xy) = a(s) \sigma_s(xy) a(s)^* = a(s) \sigma_s(x) \sigma_s(a(e)) \sigma_s(y) a(s)^* = a(s) \sigma_s(x) a(s)^* a(s) \sigma_s(y) a(s)^* = (a\sigma)_s(x) (a\sigma)_s(y)$  and  $(a\sigma)_{s,t}(x) = a(st) \sigma_{s,t}(x) a(st)^* = a(s) \sigma_s(a(t)) \sigma_s(a(t)) \sigma_s(a(t))^* a(s)^* = a(s) \sigma_s(a(t))^* a(s)$ 

Consider now a projection  $p \in \mathcal{M}^a$ . Then  $p \leq a(e)$  and  $a(r) \sigma_r(p) a(r)^* = p$  for all  $r \in G$ , hence  $a^p(st) = p \ a(st) = p \ a(s) \sigma_s(a(t)) = p \ a(s) \sigma_s(p) a(s)^* a(s) \sigma_s(a(t)) = p \ a(s) \sigma_s(pa(e) a(t)) = a^p(s) \sigma_s(a^p(t))$  and, since  $\sigma_r(p) = a(r)^* p \ a(r)$ ,  $a^p(s^{-1}) = p \ a(s^{-1}) = a(e)^* p \ a(s^{-1}) = (a(s^{-1})\sigma_{s^{-1}}(a(s)))^* p \ a(s^{-1}) = \sigma_s^{-1}(a(s)^*) a(s^{-1})^* p \ a(s^{-1}) = \sigma_s^{-1}(a(s)^*) \times \sigma_s^{-1}(p) = \sigma_s^{-1}((p \ a(s))^*) = \sigma_s^{-1}(a^p(s)^*)$ .

If the W\*-algebra  $\mathcal{M}^a$  is properly infinite, then we say that the cocycle  $a \in Z_a(G; \mathcal{M})$  is of infinite multiplicity.

A cocycle of the form  $a^p$  is called a *subcocycle* of a.

**20.2.** Let  $\mathscr{F}_2$  be the type  $I_2$  factor with the system of matrix units  $\{e_{ij}\}_{1 \leq i}$ . We shall identify  $\mathscr{M} \otimes \mathscr{F}_2$  with  $Mat_2(\mathscr{M})$  in the usual way. Let  $\iota: G \to Aut(\mathscr{F}_2)$  be the trivial action and  $\sigma: G \to Aut(\mathscr{M})$  a continuous action. Then  $\sigma \otimes \iota: G \to Aut(\mathscr{M} \otimes \mathscr{F}_2)$  is a continuous action.

Let  $a, b \in Z_{\sigma}(G; \mathcal{M})$ . We define a function  $c = c(a, b): G \to \mathcal{M} \otimes \mathcal{F}_2$  by

(1) 
$$c(s) = a(s) \ \overline{\otimes} \ e_{11} + b(s) \ \overline{\otimes} \ e_{22} = \begin{pmatrix} a(s) & 0 \\ 0 & b(s) \end{pmatrix} \qquad (s \in G)$$

and the set  $\mathcal{I}(a, b)$  by

$$\mathcal{I}(a, b) = \{x \in a(e) \mathcal{M}(a(e); x b(s)) = a(s) \sigma_s(x) \text{ for all } s \in G\}.$$

**Proposition.** Let  $\sigma: G \to Aut(\mathcal{M})$  be a continuous action and  $a, b \in Z_{\sigma}(G; \mathcal{M})$ . Then  $c = c(a, b) \in Z_{\sigma^{-1}}(G; \mathcal{M} \otimes \mathcal{F}_2)$ ,

(2) 
$$a(e) \ \overline{\otimes} \ e_{11} \in (\mathscr{M} \ \overline{\otimes} \ \mathscr{F}_2)^c, \ b(e) \ \overline{\otimes} \ e_{22} \in (\mathscr{M} \ \overline{\otimes} \ \mathscr{F}_2)^c$$

and the following statements are equivalent:

- (i)  $a(e) \otimes e_{11} \prec b(e) \otimes e_{22}$  in the W\*-algebra  $(\mathcal{M} \otimes \mathcal{F}_2)^c$ ;
- (ii) there exists  $u \in \mathcal{M}$  such that  $u^*u = a(e)$ ,  $uu^* \leq b(e)$  and  $u = b(s) \sigma_s(u) a(s)^*$  for all  $s \in G$ ;
- (iii) there exist  $v \in \mathcal{M}$  and a projection  $q \in \mathcal{M}^b$  such that  $a(s) = v^* b^q(s) \sigma_s(v)$  and  $b^q(s) = v a(s) \sigma_s(v^*)$  for all  $s \in G$ .

On the other hand, we have:

$$\mathcal{J}(a,a) = \mathcal{M}^a$$

$$\mathcal{J}(a, b)^* = \mathcal{J}(b, a)$$

(6) 
$$x \in \mathcal{J}(a, b)$$
 with polar decomposition  $x = v |x| \Rightarrow |x| \in \mathcal{J}(b, b), v \in \mathcal{J}(a, b)$ 

(7) 
$$(\mathscr{M} \otimes \mathscr{F}_{2})^{c} = \begin{pmatrix} \mathscr{I}(a, a) & \mathscr{I}(a, b) \\ \mathscr{I}(b, a) & \mathscr{I}(b, b) \end{pmatrix}$$

(8) 
$$[(\mathcal{M} \otimes \mathcal{F}_2)^c]_{\sigma(e) \otimes e_{11}} = \mathcal{M}^a, \quad [(\mathcal{M} \otimes \mathcal{F}_2)^c]_{b(e) \otimes e_{12}} = \mathcal{M}^b$$

(9) 
$$f(a,b) = \{0\} \Leftrightarrow z(a(e) \otimes e_{11}) \perp z(b(e) \otimes e_{22}) in (\mathcal{M} \otimes \mathcal{F}_2)^c$$

$$(10) \ \mathsf{z}(a(e) \ \overline{\otimes} \ e_{11}) = \mathsf{z}(b(e) \ \overline{\otimes} \ e_{22}) = \mathsf{z} \in (\mathscr{M} \ \overline{\otimes} \ \mathscr{F}_2)^c \Rightarrow \mathsf{z} = a(e) \ \overline{\otimes} \ e_{11} + b(e) \ \overline{\otimes} \ e_{22}.$$

Proof. By definition (1) it is clear that  $c \in Z_{\sigma \overline{\otimes} \iota}(G; \mathcal{M})$  and  $a(e) \overline{\otimes} e_{11} \leq c(e)$ . For  $s \in G$  we have  $c(s) [(\sigma \overline{\otimes} \iota)_s(a(e) \overline{\otimes} e_{11})] c(s)^* = \begin{pmatrix} a(s) & 0 \\ 0 & b(s) \end{pmatrix} \begin{pmatrix} \sigma_s(a(e)) & 0 \\ 0 & 0 \end{pmatrix} \times \begin{pmatrix} a(s^*) & 0 \\ 0 & b(s)^* \end{pmatrix} = \begin{pmatrix} (a(s)\sigma_s(a(e))a(s)^* & 0 \\ 0 & 0 \end{pmatrix} = \begin{pmatrix} a(e) & 0 \\ 0 & 0 \end{pmatrix} = a(e) \overline{\otimes} e_{11}, \text{ which proves (2)}.$ 

We now prove the equivalence of statements (i), (ii), (iii).

(i)  $\Leftrightarrow$  (ii). Let  $x \in \mathcal{M} \ \overline{\otimes} \ \mathcal{F}_2$  with  $x^*x = a(e) \ \overline{\otimes} \ e_{11}$ ,  $xx^* \leqslant b(e) \ \overline{\otimes} \ e_{22}$ . If  $x = [x_{ij}]$  with  $x_{ij} \in \mathcal{M}$ , then it follows that  $x_{11}^*x_{11} + x_{21}^*x_{21} = a(e)$ ,  $x_{12} = x_{22} = 0$  and  $x_{11} = 0$ ,  $x_{21}x_{21}^* \leqslant b(e)$ , that is  $x = \begin{pmatrix} 0 & 0 \\ u & 0 \end{pmatrix}$  with  $u \in \mathcal{M}$ ,  $u^*u = a(e)$ ,  $uu^* \leqslant b(e)$ . Hence  $x \in c(e)$  ( $\mathcal{M} \ \overline{\otimes} \ \mathcal{F}_2$ )c(e). If we require that  $x \in (\mathcal{M} \ \overline{\otimes} \ \mathcal{F}_2)^c$ , i.e.  $c(s)[(\sigma \ \overline{\otimes} \ \overline{\otimes} \ l)_s(x)]c(s)^* = x$ , then it also follows that  $u = b(s)\sigma_s(u)a(s)^*$  ( $s \in G$ ). Thus, (i)  $\Rightarrow$  (ii) and, similarly, (ii)  $\Rightarrow$  (i).

(ii)  $\Rightarrow$  (iii). Let v = u and  $q = uu^* \leq b(e)$ . We have  $q = uu^* = b(s)\sigma_s(u)a(s)^*a(s)\sigma_s(u^*)b(s^*) = b(s)\sigma_s(ua(e)u^*)b(s)^* = b(s)\sigma_s(q)b(s)^*$ , hence  $q \in \mathcal{M}^b$  and then, successively,  $u(s) = b(s)\sigma_s(u)a(s)^*a(s) = b(s)\sigma_s(u)\sigma_s(a(e)) = b(s)\sigma_s(u)$ ,  $u^*b(s)\sigma_s(u) = u^*u(s) = a(e)a(s) = a(s)$ ,  $a(s) = u^*uu^*b(s)\sigma_s(u) = v^*q(s)\sigma_s(u)$ ,  $u^*b(s)\sigma_s(u) = u^*u(s) = u(s)\sigma_s(u)$ ,  $u^*b(s)\sigma_s(u) = u(s)\sigma_s(u)$ ,  $u^*b(s) = u(s)\sigma_s(u)\sigma_s(u)$ ,  $u^*b(s) = u(s)\sigma_s(u)\sigma_s(u)$ ,  $u^*b(s) = u(s)\sigma_s(u)\sigma_s(u)$ ,  $u^*b(s) = u(s)\sigma_s(u)\sigma_s(u)$ .

(iii)  $\Rightarrow$  (ii). Let  $u = q \ va \ (e)$ . Then  $s(u^*u) \le a(e)$ ,  $s(uu^*) \le q$  and  $a(s) = u^*b^q(s) \sigma_s(u)$ ,  $b^q(s) = u \ a(s) \sigma_s(u^*)$  ( $s \in G$ ). Then  $a(e) = u^*b^q(e) \ u = u^*u \ a(e) \ u^*u = (u^*u)^2$ , hence  $u^*u = a(e)$ . Also,  $q = b^q(e) = u \ a(e) \ u^* = uu^*quu^* = (uu^*)^2$ , hence  $uu^* = q \le b(e)$ . Finally, we obtain  $b^q(s) \sigma_s(u) = u \ a(s) \sigma_s(u^*u) = u \ a(s)$  and  $u = u \ a(e) = u \ a(s) \ a(s)^* = b^q(s) \ \sigma_s(u) \ a(s)^* = b(e) \ q \ b(s) \ \sigma_s(u) \ a(s)^* = b(e) \ q \ b(e) \ \sigma_s(u) \ a(e)^* = b(e) \ d(e) \ d(e)$ 

Assertions (3), (4), (5), (7) and (8) can be checked without any difficulty.

If  $x \in \mathcal{J}(a, b)$ , then, by (3), (4), (5), we have  $|x|^2 = x^*x \in \mathcal{J}(b, b) = \mathcal{M}^b$  and hence  $|x| \in \mathcal{M}^b$ . Since  $x \in a(e)\mathcal{M}b(e)$  and x = v|x| is the polar decomposition of x, it follows that  $v \in a(e)\mathcal{M}b(e)$  and  $vb(s)\sigma_s(|x|) = v|x|b(s) = xb(s) = a(s)\sigma_s(x) = a(s)\sigma_s(v)\sigma_s(|x|)$ . Thus, with  $\mathcal{M} \subset \mathcal{B}(\mathcal{H})$  realized as a von Neumann algebra, we have  $vb(s)\xi = a(s)\sigma_s(v)\xi$  for all  $\xi \in \overline{\sigma_s(|x|)\mathcal{H}}$ . If  $\xi \perp \sigma_s(|x|)\mathcal{H}$  and  $\eta \in \mathcal{H}$ , then  $(vb(s)\xi|\eta) = (b(s)\xi|v^*\eta) = 0$  (as  $v^*\mathcal{H} = |x|\mathcal{H}$ ) and, for every  $\zeta \in \mathcal{H}$ , we have  $(b(s)\xi|x|\zeta) = (\xi|b(s)^*|x|\zeta) = (\xi|\sigma_s(|x|)b(s)^*\zeta) = 0$ . Consequently,  $vb(s) = a(s)\sigma_s(v)$ , that is  $v \in \mathcal{J}(a, b)$ , thus proving (6).

If there exists  $0 \neq x \in \mathcal{I}(a, b)$ , then

$$\begin{pmatrix} a(e) & x \\ x^* & b(e) \end{pmatrix} \in (\mathcal{M} \otimes \mathcal{F}_2)^c \text{ and } \begin{pmatrix} a(e) & 0 \\ 0 & 0 \end{pmatrix} \begin{pmatrix} a(e) & x \\ x^* & b(e) \end{pmatrix} \begin{pmatrix} 0 & 0 \\ 0 & b(e) \end{pmatrix} = \begin{pmatrix} 0 & x \\ 0 & 0 \end{pmatrix} \neq 0$$

that is,  $(a(e) \boxtimes e_{11})$   $(\mathcal{M} \boxtimes \mathcal{F}_2)^c(b(e) \boxtimes e_{22}) \neq 0$  and consequently ([L], 4.5) the central supports of  $a(e) \boxtimes e_{11}$  and  $b(e) \boxtimes e_{22}$  in  $(\mathcal{M} \boxtimes \mathcal{F}_2)^c$  ere not mutually orthogonal. Conversely, if there exists  $0 \neq x = [x_{ij}] \in (\mathcal{M} \boxtimes \mathcal{F}_2)^c$  with  $(a(e) \boxtimes e_{11}) x(b(e) \boxtimes e_{22}) \neq 0$ , then  $0 \neq a(e) x_{12} b(e) \in \mathcal{I}(a, b)$ . We have thus proved (9).

Finally, if  $a(e) \otimes e_{11}$  and  $b(e) \otimes e_{22}$  have the same central support z in  $(\mathcal{M} \otimes \mathcal{F}_2)^c$ , then  $z > a(e) \otimes e_{11} + b(e) \otimes e_{22} = c(e)$ . Since c(e) is the unit element in  $(\mathcal{M} \otimes \mathcal{F}_2)^c$ , it follows that z = c(e), which proves (10).

The cocycle c = c(a, b) is called the balanced cocycle associated with the cocycles a and b.

If the equivalent conditions (i), (ii), (iii) are satisfied, then we say that the cocycle  $a \in Z_{\sigma}$  is dominated by the cocycle  $b \in Z_{\sigma}$  and write  $a \lesssim b$ .

If  $a(e) \otimes e_{11} \sim b(e) \otimes e_{22}$  in  $(\mathcal{M} \otimes \mathcal{F}_2)^c$  or, equivalently, if q = b(e) in (iii), then we say that a and b are equivalent and write a = b. Note that  $a \leq b$  if and only if a is equivalent to a cocycle of the form  $b^q$  with  $q \in Proj(\mathcal{M}^b)$ .

From ([L], 4.7) it follows that

(11) 
$$a = b \Leftrightarrow a \leq b \text{ and } b \leq a.$$

From (8) it follows that

(12) 
$$a = b \Rightarrow the W^*-algebras \mathcal{M}^a \text{ and } \mathcal{M}^b \text{ are } *-isomorphic.$$

If  $\mathcal{I}(a, b) = \{0\}$ , then we say that the cocycles  $a, b \in Z_{\sigma}$  are disjoint and write  $a \mid b$ .

If  $a(e) \boxtimes e_{11}$  and  $b(e) \boxtimes e_{22}$  have the same central support in  $(\mathcal{M} \boxtimes \mathcal{F}_2)^c$ , then we say that a and b are quasi-equivalent and write  $a \sim b$ . Clearly,  $a = b \Rightarrow a \sim b$ . On the other hand.

if  $\mathcal{M}$  is countably decomposable and the cocycles  $a, b \in Z_{\sigma}(G; \mathcal{M})$  are of infinite multiplicity, then

$$a = b \Leftrightarrow a \sim b$$
.

Indeed, by assumption and assertion (8), the projections  $a(e) \otimes e_{11}$  and  $b(e) \otimes e_{22}$  are properly infinite in the countably decomposable  $W^*$ -algebra  $(\mathcal{M} \otimes \mathcal{F}_2)^c$  and hence ([L], 4.13) they are equivalent if and only if they have same central support.

Note that

if  $a, b \in Z_{\sigma}(G; \mathcal{M})$  and a = b, then the continuous actions  ${}_{\sigma} : G \to Aut(\mathcal{M}_{a(e)})$ ,  ${}_{b}\sigma : G \to Aut(\mathcal{M}_{b(e)})$  are inner conjugate, i.e. there exists  $u \in \mathcal{M}$  with  $u^*u = a(e)$ ,  $uu^* = b(e)$  and

$$({}_{a}\sigma)_{s}(x) = u^{*}[({}_{b}\sigma)_{s}(uxu^{*})]u \qquad (x \in a(e)\mathcal{M}a(e)).$$

Indeed, if a = b, then, by the above Proposition, there exists  $u \in \mathcal{M}$  with  $u^*u = a(e)$ ,  $uu^* = b(e)$  and  $a(s) = u^*b(s)\sigma_s(u)$  ( $s \in G$ ). Consequently, for every  $x \in a(e)\mathcal{M}a(e)$  we have  $({}_a\sigma)_s(x) = a(s)\sigma_s(x)a(s)^* = u^*b(s)\sigma_s(u)\sigma_s(x)\sigma_s(u^*)b(s)^*u = u^*[({}_s\sigma)_s(uxu^*)]u$ .

20.3. Let  $\sigma: G \to Aut(\mathcal{M})$  be a continuous action of G on  $\mathcal{M}$ . A cocycle  $a \in Z_{\sigma}(G; \mathcal{M})$  with  $a(e) = 1_{\mathcal{M}}$  is called a *unitary cocycle*. In this case all the elements a(s)  $(s \in G)$ , belong to the unitary group  $U(\mathcal{M})$  of  $\mathcal{M}$ . We shall denote by  $Z_{\sigma}(G; U(\mathcal{M}))$  the set of all unitary  $\sigma$ -cocycles.

The function 1:  $G \to \mathcal{M}$  defined by  $1(s) = 1_{\mathcal{M}}$   $(s \in G)$ , is a unitary cocycle,

 $1 \in Z_{\sigma}(G; U(\mathcal{M}))$ . Clearly,  $1\sigma = \sigma$  and  $\mathcal{M}^1 = \mathcal{M}^{\sigma}$ .

A cocycle  $a \in Z_{\sigma}(G, \mathcal{M})$  is called *trivial* if a = 1. In this case a is a unitary cocycle and there exists a unitary element  $v \in \mathcal{M}$  such that  $a(s) = v^*\sigma_s(v)$ ,  $(s \in G)$ .

Note that

for all  $u \in Z_{\sigma}(G; U(\mathcal{M}))$  and  $b \in Z_{(u^{\sigma})}(G; \mathcal{M})$  the equation a(s) = b(s) u(s)(1)  $(s \in G)$  defines an element  $a \in Z_{\sigma}(G; \mathcal{M})$  whose centralizer is equal to the centralizer of  $b \in Z_{(u,\sigma)}(G; \mathcal{M})$ .

Indeed, for s,  $t \in G$  we have  $a(st) = b(st) u(st) = b(s) u(s) \sigma_s(b(t)) u(s)^* u(s) \sigma_s(u(t)) = b(s) u(s) \sigma_s(b(t) u(t)) = a(s) \sigma_s(a(t))$  and  $a(s^{-1}) = b(s^{-1}) u(s^{-1}) = \sigma_s^{-1}(u(s)^* b(s)^* \times u(s)) \sigma_s^{-1}(u(s)^*) = \sigma_s^{-1}(u(s)^* b(s)^*) = \sigma_s^{-1}(a(s)^*)$ , hence  $a \in Z_{\sigma}(G; \mathcal{M})$  and, clearly,  $\sigma = b(u\sigma)$ .

On the other, hand, we now show that

(2) if  $\mathcal{M}$  is properly infinite, then there is a unitary cocycle  $u \in Z_{\sigma}(G; \mathcal{M})$  of infinite multiplicity.

Indeed, since  $\mathcal{M}$  is properly infinite, there is a family of partial isometries  $\{u_n\}_{n\in I} \subset \mathcal{M}$  with  $u_n u_m^* = 0$  for  $n \neq m$ ,  $u_n u_n^* = 1$  and  $\sum_n u_n^* u_n = 1$ . We define

$$u(s) = \sum_{n} u_{n}^{*} \sigma_{s}(u_{n}) \qquad (s \in G).$$

Then  $u(s) \in U(\mathcal{M})$  since  $u(s) u(s)^* = (\sum_n u_n^* \sigma_s(u_n)) (\sum_m \sigma_s(u_m^*) u_m) = \sum_n u_n^* u_n = 1$  and  $u(s)^* u(s) = (\sum_m \sigma_s(u_m^*) u_m) (\sum_n u_n^* \sigma_s(u_n)) = \sigma_s(\sum_n u_n^* u_n) = 1$ . Also,  $u \in Z_\sigma(G; U(\mathcal{M}))$  since  $u(s) \sigma_s(u(t)) = (\sum_n u_n^* \sigma_s(u_n)) (\sum_m \sigma_s(u_m^*) \sigma_{si}(u_m)) = \sum_n u_n^* \sigma_{si}(u_n) = u(st)$ . Moreover, we have  $u_i^* u_j \in \mathcal{M}^u$  since  $u(s) \sigma_s(u_i^* u_j) u(s)^* = u_i^* \sigma_s(u_i) \sigma_s(u_i^* u_j) \sigma_s(u_j^*) u_j = u_i^* u_j$  and hence  $\mathcal{M}^u$  is properly infinite.

Finally, we note that if  $\iota: G \to Aut(\mathcal{M})$  is the trivial action then, clearly

(3) 
$$Z_{s}(G; U(\mathcal{M})) = \{u: G \to \mathcal{M} \text{ s-continuous unitary representations}\}.$$

20.4. Let  $\sigma: G \to Aut(\mathcal{M})$  and  $\tau: G \to Aut(\mathcal{N})$  be continuous actions of G on the  $W^*$ -algebras  $\mathcal{M}$  and  $\mathcal{N}$ , respectively. If  $a \in Z_{\sigma}(G; \mathcal{M})$  and  $b \in Z(G; \mathcal{N})$ , then the equation

$$(a \otimes b)(s) = a(s) \otimes b(s) \qquad (s \in G)$$

defines a cocycle  $a \otimes b \in Z_{a \otimes t}(G; \mathcal{M} \otimes \mathcal{N})$ , called the tensor product of a and b.

Next we take  $\mathcal{M}$  countably decomposable,  $\mathcal{N} = \mathcal{F}_{\infty} =$  the countably decomposable type  $I_{\infty}$  factor and  $\tau = \iota =$  the trivial action. Then, for  $a, b \in Z_{\sigma}(G; \mathcal{M})$  we have

$$a \sim b \Leftrightarrow a \ \overline{\otimes} \ 1 \ \overline{\sim} \ b \ \overline{\otimes} \ 1.$$

Indeed, if  $c \in Z_{\sigma \overline{\bullet}_1}(G; \mathcal{M} \overline{\otimes} \mathcal{F}_2)$  is the balanced cocycle associated with a and b, then  $c \overline{\otimes} 1 \in Z_{\sigma \overline{\bullet}_1 \overline{\bullet}_2}(G; \mathcal{M} \overline{\otimes} \mathcal{F}_{\infty} \overline{\otimes} \mathcal{F}_2)$  is the balanced cocycle associated with  $a \overline{\otimes} 1$  and  $b \overline{\otimes} 1$ ,  $(\mathcal{M} \overline{\otimes} \mathcal{F}_{\infty} \overline{\otimes} \mathcal{F}_2)^{c \overline{\bullet}_1} = (\mathcal{M} \overline{\otimes} \mathcal{F}_2)^c \overline{\otimes} \mathcal{F}_{\infty}$  and  $(a \overline{\otimes} 1)(e) \overline{\otimes} \overline{\otimes} e_{11} = (a(e) \overline{\otimes} e_{11}) \overline{\otimes} 1$ ,  $(b \overline{\otimes} 1)(e) \overline{\otimes} e_{22} = (b(e) \overline{\otimes} e_{22}) \overline{\otimes} 1$ . In general, if p is a projection of the  $\mathcal{W}^*$ -algebra  $\mathcal{P}$ , then the central support  $z(p \overline{\otimes} 1)$  of  $p \overline{\otimes} 1$  in  $\mathcal{P} \overline{\otimes} \mathcal{F}_{\infty}$  is  $z(p) \overline{\otimes} 1$ , where z(p) is the central support of p in  $\mathcal{P}$ . If  $\mathcal{P}$  is countably decomposable, then for  $p, q \in \mathcal{P}$  we have  $p \overline{\otimes} 1 \sim q \otimes 1 \Leftrightarrow z(p \overline{\otimes} 1) = z(q \overline{\otimes} 1) \Leftrightarrow z(p) = z(q)$ , since  $\mathcal{P} \otimes \mathcal{F}_{\infty}$  is properly infinite and countably decomposable ([L], 4.13). In view of the definitions of Section 20.2, these considerations prove (1).

Now, let  $\rho$  be the right regular representation of G regarded as a cocycle  $\rho \in Z_i(G; \mathcal{B}(\mathcal{L}^2(G)))$ . For every  $u \in Z_{\sigma}(G, U(\mathcal{M}))$  we have

(2) 
$$u \ \overline{\otimes} \ \rho = 1 \ \overline{\otimes} \ \rho \ \text{in} \ Z_{\sigma \overline{\otimes} \iota}(G; \mathcal{M} \ \overline{\otimes} \ \mathcal{B}(\mathcal{L}^2(G))).$$

Indeed, let  $\mathcal{M} \subset \mathcal{B}(\mathcal{H})$  and  $\mathcal{M} \ \overline{\otimes} \ \mathcal{B}(\mathcal{L}^2(G)) \subset \mathcal{B}(\mathcal{L}^2(G;\mathcal{H}))$  be realized as von Neumann algebras. Consider the unitary operator  $U \in \mathcal{M} \ \overline{\otimes} \ \mathcal{L}^{\infty}(G)$  defined by the function  $s \mapsto u(s^{-1})$ , i.e.  $(U\xi)(s) = u(s^{-1}) \ \xi(s) \ (\xi \in \mathcal{L}^2(G,\mathcal{H}), \ s \in G)$ . For  $s, t \in G$  and  $\xi \in \mathcal{L}^2(G,\mathcal{H})$  we have

$$[U(1 \boxtimes \rho)(t)\xi](s) = u(s^{-1})[(1 \boxtimes \rho(t))\xi](s) = \Delta(t)^{1/2}u(s^{-1})\xi(st)$$

and, since 
$$[((\sigma \otimes \iota)_t U)\xi](r) = [((\sigma_t \otimes \iota)U)\xi](r) = \sigma_t(u(r^{-1}))\xi(r)$$
,

$$[((u(t) \boxtimes \rho(t))((\sigma_t \boxtimes t)U)\xi](s) = \Delta(t)^{1/2} u(t) [((\sigma_t \boxtimes t) U) \xi](st)$$

$$= \Delta(t)^{1/2} u(t) \, \sigma_t(u((st)^{-1})) \, \xi(st) = \Delta(t)^{1/2} u(t) \, \sigma_t(\sigma_{tt}^{-1}(u(st)^*)) \, \xi(st)$$

$$= \Delta(t)^{1/2} u(t) \sigma_s^{-1}((u(s) \sigma_s(u(t)))^*) \xi(st)$$

$$= \Delta(t)^{1/2} u(t) u(t)^* \sigma_s^{-1}(u(s)^*) \xi(st) = \Delta(t)^{1/2} u(s^{-1}) \xi(st),$$

we have  $U(1 \boxtimes \rho)(t) = ((u \boxtimes \rho)(t)) ((\sigma_t \boxtimes \iota)U) \ (t \in G)$  proving (2).

Since, by Corollary 19.13, we have  $\mathfrak{A}(\mathcal{M}, \sigma) = (\mathcal{M} \otimes \mathfrak{A}(\mathcal{L}^2(G))^{\sigma \circ Ad\Phi}) =$ =  $(\mathcal{M} \otimes \mathfrak{A}(\mathcal{L}^2(G)))^{1 \circ \rho}$ , and since the regular representations  $\lambda$  and  $\rho$  are equivalent, i.e.  $\lambda = \rho$ , it follows from (2) that for every unitary cocycle  $u \in Z_{\sigma}(G; U(\mathcal{M}))$  we have

(3) 
$$\mathscr{R}(\mathcal{M}, \sigma) \approx (\mathcal{M} \ \overline{\otimes} \ \mathscr{R}(\mathscr{L}^2(G)))^{n \circ \rho} \approx (\mathcal{M} \ \overline{\otimes} \ \mathscr{R}(\mathscr{L}^2(G)))^{n \circ \lambda}.$$

**20.5.** Let  $\sigma: G \to Aut(\mathcal{M})$  be a continuous action of G on  $\mathcal{M}$ . A unitary cocycle  $a \in Z_{\sigma}(G; U(\mathcal{M}))$  is called a dominant cocycle if it is of infinite multiplicity and

(1) 
$$a \otimes 1 = a \otimes \rho \text{ in } Z_{a \otimes i}(G; \mathcal{M} \otimes \mathcal{B}(\mathcal{L}^2(G))).$$

According to statement 20.4.(2), (1) is equivalent to the condition

(2) 
$$a \boxtimes 1 = 1 \boxtimes \rho \text{ in } Z_{\alpha \boxtimes 1}(G; \mathcal{M} \boxtimes \mathcal{B}(\mathcal{L}^2(G)))$$

this implies that the \*-automorphisms  $({}_{a}\sigma) \ \overline{\otimes} \ \iota$  and  $\sigma \ \overline{\otimes} \ Ad(\rho)$  of  $\mathcal{M} \otimes \mathcal{B}(\mathcal{L}^2(G))$  are inner conjugate (10.2.(14)). If the locally compact group G is separable, then

(3) 
$$a \in Z_{\sigma}(G; U(\mathcal{M}))$$
 dominant cocycle  $\Rightarrow \mathcal{M}^a \approx \mathcal{R}(\mathcal{M}, \sigma)$ .

Indeed, according to (1) and 20.4.(3), we have  $\mathcal{R}(\mathcal{M}, \sigma) \approx (\mathcal{M} \otimes \mathcal{R}(\mathcal{L}^2(G)))^{a \cdot \bar{\bullet} \rho} \approx$  $\approx (\mathcal{M} \ \overline{\otimes} \ \mathcal{B}(\mathcal{L}^2(G)))^{a \ \overline{\otimes} \ 1} \approx \mathcal{M}^a \ \overline{\otimes} \ \mathcal{B}(\mathcal{L}^2(G))$ . Since G is separable, there exists  $n \in \mathbb{N} \cup \{\infty\}$  such that  $\mathscr{B}(\mathscr{L}^2(G)) \approx \mathscr{F}_n$  = the countably decomposable type  $I_n$  factor and, since  $\mathscr{M}^a$  is properly infinite, we conclude that  $\mathscr{M}^a \mathbin{\overline{\otimes}} \mathscr{F}_n \approx \mathscr{M}^a$ .

Clearly, the existence of a dominant cocycle  $a \in Z_o(G; \mathscr{M})$  implies that  $\mathscr{M}$ 

is properly infinite.

Theorem. Let  $\sigma: G \to Aut(\mathcal{M})$  be a continuous action of the separable locally compact group G on the properly infinite W\*-algebra M. Then there exists a dominant cocycle  $a \in Z_a(G; U(\mathcal{M}))$ .

If, moreover, M is countably decomposable, then any two dominant cocycles  $a, b \in Z_a(G; \mathcal{M})$  are equivalent, i.e. a = b.

*Proof.* We first prove the uniqueness assertion. If  $a, b \in Z_{\sigma}(G, U(\mathcal{M}))$  are dominant, then  $a \boxtimes 1 = 1 \boxtimes \rho = b \boxtimes 1$ . Since  $\mathcal{M}$  and  $\mathcal{B}(\mathcal{L}^2(G))$  are countably decomposable, we infer, using 20.4.(1), that  $a \sim b$  and, since a and b are of infinite multiplicity, it follows by 20.2.(13) that a = b.

We now prove the existence assertion. By 20.3.(2) there exists a unitary cocycle  $u \in Z_{\sigma}(G; U(\mathcal{M}))$  of infinite multiplicity, i.e. the centralizer  $\mathcal{M}^{(u^{\sigma})}$  is properly infinite. If there exists a dominant cocycle  $b \in Z_{(u^{\sigma})}(G; U(\mathcal{M}))$ , then by 20.3.(1), the equation a(s) = b(s)u(s)  $(s \in G)$ , defines a cocycle  $a \in Z_a(G; \mathcal{M})$  of infinite multiplicity. Since  $b \in Z_{(a)}(G; \mathcal{U}(\mathcal{M}))$  is dominant, we have  $b \otimes \rho = b \otimes 1$  in  $Z_{(a \otimes a)}(G; \mathcal{M} \otimes a)$  $\overline{\otimes} \, \mathcal{B}(\mathcal{L}^2(G))$ , i.e. there exists  $V \in U(\mathcal{M} \, \overline{\otimes} \, \mathcal{B}(\mathcal{L}^2(G)))$  such that  $V = (b(s) \, \overline{\otimes} \, \mathcal{B}(\mathcal{L}^2(G)))$  $\stackrel{\frown}{\otimes}$  1)  $(u(s) \stackrel{\frown}{\otimes}$  1)  $((\sigma_s \stackrel{\frown}{\otimes} \iota)(V))$   $(u(s)^* \stackrel{\frown}{\otimes}$  1)  $(b(s)^* \stackrel{\frown}{\otimes} \rho(s)^*)$  or  $V = (a(s) \stackrel{\frown}{\otimes}$  1)  $((\sigma_s \stackrel{\frown}{\otimes} \iota)(V))$   $(a(s)^* \stackrel{\frown}{\otimes} \rho(s)^*)$   $(s \in G)$ , and this means that  $a \stackrel{\frown}{\otimes} \rho \approx a \stackrel{\frown}{\otimes}$  1 in  $Z_{\bullet \bullet \iota}(G; \mathscr{M} \otimes \mathscr{B}(\mathscr{L}^2(G)));$  hence  $a \in Z_{\bullet}(G; \mathscr{M})$  is dominant.

Thus, in order to prove the existence of a dominant cocycle  $a \in Z_{\mathfrak{o}}(G; U(\mathcal{M}))$ , we may assume that the centralizer  $\mathcal{M}^{\sigma}$  is properly infinite. Then, according to Corollary 9.16, we have  $(\mathcal{M}, \sigma) \approx (\mathcal{M} \boxtimes \mathcal{F}_n \boxtimes \mathcal{F}_{\infty}, \sigma \boxtimes \iota \boxtimes \iota)$  for all  $n \in \mathbb{N} \cup \{\infty\}$ . Since G is separable, there exists  $n \in \mathbb{N} \cup \{\infty\}$  with  $\mathscr{B}(\mathscr{L}^2(G)) \approx \mathscr{F}_n$ , so that  $(\mathscr{M}, \sigma) \approx (\mathscr{M} \otimes \mathscr{B}(\mathscr{L}^2(G)) \otimes \mathscr{F}_{\infty}, \ \sigma \otimes \iota \otimes \iota$ . Using the identification we can define a cocycle  $a \in Z_{\sigma}(G; U(\mathscr{M})), \ a \approx 1 \otimes \rho \otimes 1$ . Then  $\mathscr{M}^a \approx \mathscr{M}^\sigma \otimes \mathfrak{L}(G) \otimes \mathscr{F}_{\infty}$ , hence a is of infinite multiplicity. On the other hand, according to 20.4.(2), we have  $\rho \otimes \rho \approx 1 \otimes \rho$ , hence  $a \otimes \rho \approx a \otimes 1$ , i.e. a is a dominant cocycle.

**20.6.** Recall (18.19, 18.20) that a continuous action  $\sigma: G \to Aut(\mathcal{M})$  is called integrable if the faithful normal operator valued weight  $P_{\sigma} = \int \sigma_s(\cdot) ds : \mathcal{M}^+ \to (\overline{\mathcal{M}}^{\sigma})^+$  is semifinite. A cocycle  $a \in Z_{\sigma}(G; \mathcal{M})$  is called *square-integrable* if the continuous action  ${}_{\sigma}\sigma: G \to Aut(\mathcal{M}_{\sigma(\sigma)})$  is integrable. From Section 20.2 it follows that

(1) if  $a, b \in Z_a(G; \mathcal{M})$ ,  $b \lesssim a$  and a is square-integrable, then b is also square-integrable.

It follows from Proposition 19.16 that the action  $Ad(\rho): G \to Aut(\mathcal{B}(\mathcal{L}^2(G)))$  is integrable, and hence that

(2) the cocycle  $\rho \in Z_1(G; \mathcal{B}(\mathcal{L}^2(G)))$  is square-integrable.

It is easy to check that if  $\sigma: G \to Aut(\mathcal{M})$  is an integrable action and  $\tau: G \to Aut(\mathcal{N})$  is an arbitrary continuous action, then the tensor product action  $\sigma \otimes \tau: G \to Aut(\mathcal{M} \otimes \mathcal{N})$  is integrable.

Conversely, if  $\sigma: G \to Aut(\mathcal{M})$  is a continuous action,  $\iota: G \to Aut(\mathcal{N})$  is the trivial action and the action  $\sigma \boxtimes \iota$  is integrable, then also the action  $\sigma$  is integrable. Indeed, for  $X \in (\mathcal{M} \boxtimes \mathcal{N})^+$ ,  $\psi \in \mathcal{N}_+^+$ ,  $\varphi \in \mathcal{M}_+^+$  and  $s \in G$ , we have  $\langle \sigma_s(E_{\mathcal{M}}^*(X)), \varphi \rangle = \langle E_{\mathcal{M}}^*(X), \varphi \circ \sigma_s \rangle = \langle X, (\varphi \circ \sigma_s) \boxtimes \psi \rangle = \langle (\sigma_s \boxtimes \iota)(X), \varphi \boxtimes \psi \rangle = \langle E_{\mathcal{M}}^*((\sigma_s \boxtimes \iota)(X)), \varphi \rangle$ , hence  $P_{\sigma}(E_{\mathcal{M}}^*(X)) = E_{\mathcal{M}}^*(P_{\sigma \odot \iota}^{\odot \iota}(X))$ . Thus, if  $X_{\iota} \in (\mathcal{M} \boxtimes \mathcal{N})^+$ ,  $\|P_{\sigma \odot \iota}(X_{\iota})\| < + \infty$ ,  $X_{\iota} \to 1$  and  $\psi_{\iota} \in \mathcal{N}_+^+$ ,  $s(\psi_{\iota}) \to 1$ , then  $E_{\mathcal{M}}^*(X_{\iota}) \in \mathcal{M}^+$ ,  $\|P_{\sigma}(E_{\mathcal{M}}^*(X_{\iota}))\| < + \infty$  and  $E_{\mathcal{M}}^*(X_{\iota}) \to 1$ , i.e.  $P_{\sigma}$  is semifinite.

We now show that

(3) every dominant cocycle  $a \in Z_{\sigma}(G; U(\mathcal{M}))$  is square-integrable.

Indeed, by 20.5.(2) we have  $a \boxtimes 1 = 1 \boxtimes \rho$  and, by (2),  $\rho$  is square-integrable, hence (3) follows from (1) using the above remarks.

If the group G is compact, then every cocycle  $a \in Z_{\sigma}(G; \mathcal{M})$  is square-integrable. In the general case the following result holds:

Theorem. Let  $\sigma: G \to Aut(\mathcal{M})$  be a continuous action of the separable locally compact group G on the countably decomposable properly infinite  $W^*$ -algebra  $\mathcal{M}$ . Let  $a \in Z_{\mathfrak{o}}(G; U(\mathcal{M}))$  be a dominant cocycle. Then a cocycle  $b \in Z_{\mathfrak{o}}(G; \mathcal{M})$  is square-integrable if and only if  $b \lesssim a$ .

If  $b \lesssim a$ , then b is square-integrable, as follows from the previous considerations. The converse will be proved in Section 20.9 using the preliminary results given in Sections 20.7—20.8.

In particular, consider the trivial action  $\iota: G \to Aut(\mathfrak{A}(\mathcal{H}))$ , where  $\mathcal{H}$  is any Hilbert space. In this case a cocycle  $a \in Z_{\sigma}(G; \mathcal{A}(\mathcal{H}))$  is just an so-continuous unitary representation  $a: G \to \mathcal{B}(\mathcal{H})$  of G on a closed linear subspace  $\mathcal{H}$  of  $\mathcal{H}$ . The cocycle  $a \in Z_{\iota}(G; \mathcal{B}(\mathcal{H}))$  is square-integrable in the sense defined above if and only if the so-continuous unitary representation  $a: G \to \mathcal{B}(\mathcal{H})$  is square-integrable in the usual sense, that is  $\int |(a(s)\xi|\xi)|^2 ds < +\infty$  for a dense subset of vectors  $\xi \in \mathcal{H}$ . If  $\mathcal{H}$  is separable and infinite dimensional, then the dominant cocycle is a multiple  $\rho \otimes 1$  of the right regular representation  $\rho$ . It is well known that an irreducible representation of G is square-integrable if and only if it is equivalent to a subrepresentation of the regular representation. Thus, the above Theorem is also an extension of this result.

20.7. With the assumptions of Theorem 20.6, the next result improves 20.3.(2): Lemma. For every cocycle  $b \in Z_{\sigma}(G; \mathcal{M})$  there exists a unitary cocycle of infinite multiplicity  $\check{b} \in Z_{\sigma}(G; \mathcal{U}(\mathcal{M}))$  such that  $b \lesssim \check{b}$ . If b is square-integrable, then  $\check{b}$  can also be chosen square-integrable.

*Proof.* Let  $p = \mathbf{z}(b(e))$  be the central support of the projection  $b(e) \in \mathcal{M}$ . Then, for every  $s \in G$  we have  $p = \mathbf{z}(b(s)b(s)^*) = \mathbf{z}(b(s)^*b(s)) = \mathbf{z}(\sigma_s(b(e))) = \sigma_s(p)$ , hence  $p \in \mathcal{M}^{\sigma}$ . Consequently,  $(\mathcal{M}, \sigma) \approx (\mathcal{M}p, \sigma) \oplus (\mathcal{M}(1-p), \sigma)$ . By Theorem 20.5, there exists a dominant cocycle in  $Z_{\sigma}(G; \mathcal{M}(1-p))$  which is square-integrable (20.6.(3)).

Thus, to prove the Lemma, we may assume that z(b(e) = 1. In this case, there exists a sequence of partial isometries  $\{u_n\} \subset \mathcal{M}$  such that  $u_n^* u_m = 0$  for  $n \neq m$ ,  $u_n^* u_n = b(e)$  and  $\sum_{n=1}^{\infty} u_n u_n^* = 1$ . We define

$$\check{b}(s) = \sum_{n} u_n b(s) \sigma_s(u_n^*); \quad s \in G.$$

Then  $\check{b}(s) \in U(\mathcal{M})$ , since  $\check{b}(e) = \sum_{n} u_{n}b(e)u_{n}^{*} = \sum_{n} u_{n}u_{n}^{*} = 1$ ,  $\check{b} \in Z_{\sigma}(G; U(\mathcal{M}))$ , since  $\check{b}(s)\sigma_{s}(\check{b}(t)) = \sum_{n,m} u_{n}b(s)\sigma_{s}(u_{n}^{*})\sigma_{s}(u_{m})\sigma_{s}(b(t))\sigma_{s}(u_{m}^{*}) = \sum_{n} u_{n}b(s)\sigma_{s}(b(e))\sigma_{s}(b(t))\sigma_{s}(u_{n}^{*}) = \sum_{n} u_{n}b(s)\sigma_{s}(u_{n}^{*}) = \check{b}(st)$ ,  $u_{i}u_{j}^{*} \in \mathcal{M}^{\check{b}}$ , as  $\check{b}(s)\sigma_{s}(u_{i}u_{j}^{*})b(s) = u_{i}b(s)\sigma_{s}(u_{i}^{*})\sigma_{s}(u_{i}u_{j}^{*}) \times \sigma_{s}(u_{i})b(s)^{*}u_{j}^{*} = u_{i}b(s)\sigma_{s}(b(e))b(s)^{*}u_{j}^{*} = u_{i}b(e)u_{j}^{*} = u_{i}u_{j}^{*}$ , so that  $\check{b}$  is of infinite multiplicity,  $q_{k} = u_{k}u_{k}^{*} \in \mathcal{M}^{\check{b}}$ , and finally  $b = \check{b}^{q_{k}} \lesssim \check{b}$ , as  $\check{b}^{q_{k}}(s) = q_{k}\check{b}(s) = u_{i}b(s)\sigma_{s}(u_{k}^{*})$ .

If b is square-integrable, then there exists a net  $\{x_{\lambda}\}$  in b(e)  $\mathcal{M}b(e)$ ,  $x_{\lambda} \stackrel{s}{\to} b(e)$  with  $||P_{(b^0)}(x_{\lambda})|| < +\infty$ . Let  $x_{\lambda,n} = u_n x_{\lambda} u_n^{\bullet}$ . Then  $\check{b}(s) \sigma_s(x_{\lambda,n}) \check{b}(s)^{\bullet} = u_n b(s) \times 1$ 

 $\times \sigma_s(x_{\lambda})b(s)^*u_n^*$ , hence  $\|P_{(\widecheck{b}^{\sigma})}(x_{\lambda,n})\| < +\infty$  and  $x_{\lambda,n} \stackrel{s}{\to} u_n b(e)u_n^* = u_n u_n^*$ . Since  $\sum_n u_n u_n^* = 1$ , it follows that  $\widecheck{b}$  is square-integrable.

20.8. We continue with the assumptions of Theorem 20.6. The next Lemma contains the main technical part of the proof of this Theorem.

**Lemma.** If the cocycle  $b \in Z_{\sigma}(G; \mathcal{M})$  is square-integrable, then, with respect to the pair  $(\mathcal{M} \otimes \mathcal{B}(\mathcal{L}^2(G)), \sigma \otimes \iota)$  we have

$$b(e) \ \overline{\otimes} \ 1 = \bigvee \{s(X^*X); \ X \in \mathcal{J}(b \ \overline{\otimes} \ \rho, b \ \overline{\otimes} \ 1)\}.$$

Proof. Indeed, let  $P = \bigvee \{s(X^*X); X \in \mathcal{I}(b \otimes \rho, b \otimes 1)\}$ . Since for any  $X \in \mathcal{I}(b \otimes \rho, b \otimes 1)$  we have  $X^*X \in \mathcal{I}(b \otimes 1, b \otimes 1)$  (see 20.2.(4), (5)) so that  $s(X^*X) \in (\mathcal{M} \otimes \mathcal{B}(\mathcal{L}^2(G)))^{b \otimes 1} = \mathcal{M}^b \otimes \mathcal{B}(\mathcal{L}^2(G))$ , it follows that  $P \in \mathcal{M}^b \otimes \mathcal{B}(\mathcal{L}^2(G))$ . On the other hand, for each  $U \in U(\mathcal{M}^b \otimes \mathcal{B}(\mathcal{L}^2(G)))$  we have  $\mathcal{I}(b \otimes \rho, b \otimes 1) \cup \mathcal{I}(b \otimes \rho, b \otimes 1) = \mathcal{I}(b \otimes \rho, b \otimes 1) \Rightarrow \mathcal{I}(b \otimes$ 

(1) 
$$0 \neq q \in \mathscr{Z}(\mathcal{M}^b) \Rightarrow \mathscr{I}(b \ \overline{\otimes} \ \rho, b \ \overline{\otimes} \ 1) \ (q \ \overline{\otimes} \ 1) \neq 0.$$

Let  $0 \neq q \in \mathcal{Z}(\mathcal{M}^b)$ . Since b is square-integrable, there exists  $x \in \mathcal{M}$  such that  $x = xq \neq 0$  and  $\|P_{(b^0)}(x^*x)\| < +\infty$ . Let  $f \in \mathcal{K}(G)$ ,  $f \neq 0$ . Consider  $\mathcal{M} \subset \mathcal{B}(\mathcal{H})$  and  $\mathcal{M} \ \overline{\otimes} \ \mathcal{B}(\mathcal{L}^2(G)) \subset \mathcal{B}(\mathcal{L}^2(G,\mathcal{H}))$  realized as von Neumann algebras. For  $\xi \in \mathcal{L}^2(G,\mathcal{H})$  and  $s \in G$  we define

(2) 
$$[X\xi](s) = \Delta(s)^{-1/2} {}_b \sigma_s^{-1}(x) \int f(t) \, \xi(t) \, \mathrm{d}t.$$

Then

$$||X\xi||_{2}^{2} = \int ||\Delta(s)^{-1/2} {}_{b}\sigma_{s}^{-1}(x) \int f(t) \, \xi(t) \, dt \, ||^{2} \, ds$$

$$\leq \int ||\Delta(s)^{-1/2} f(t) {}_{b}\sigma_{s}^{-1}(x) \, \xi(t) ||^{2} \, dt \, ds$$

$$= \int ||f(t)||^{2} \left( \int \Delta(s)^{-1} ||_{b}\sigma_{s}^{-1}(x) \, \xi(t) ||^{2} \, ds \right) \, dt$$

$$= \int ||f(t)||^{2} \left( \int ||_{b}\sigma_{s}(x) \, \xi(t) ||^{2} \, ds \right) \, dt$$

$$= \int ||f(t)||^{2} \left( \int (|_{b}\sigma_{s}(x^{*}x) \, \xi(t) || \, \xi(t) \right) \, ds \right) \, dt$$

$$= \int ||f(t)||^{2} \, ||P_{(b\sigma)}(x^{*}x)^{1/2} \xi(t) ||^{2} \, dt$$

$$\leq ||P_{(b\sigma)}(x^{*}x) || \, ||f||_{\infty} ||\xi||_{2},$$

so that (2) defines an element  $X \in \mathcal{B}(\mathcal{L}^2(G, \mathcal{H}))$ . Using the von Neumann double commutant theorem, it is easy to check that  $X \in \mathcal{M} \otimes \mathcal{B}(\mathcal{L}^2(G))$ . Then,

$$[X(q \boxtimes 1) \xi](s) = \Delta(s)^{-1/2} {}_b \sigma_s^{-1}(x) \int f(t) [(q \boxtimes 1) \xi](t) dt$$

$$= \Delta(s)^{-1/2} {}_b \sigma_s^{-1}(x) q \int f(t) \xi(t) dt = \Delta(s)^{-1/2} {}_b \sigma_s^{-1}(xq) \int f(t) \xi(t) dt$$

$$= \Delta(s)^{-1/2} {}_b \sigma_s^{-1}(x) \int f(t) \xi(t) dt = [X \xi](s),$$

and  $X(q \otimes 1) = X \neq 0$ . Finally, we show that  $X \in \mathcal{I}(b \otimes \rho, b \otimes 1)$ . We have

$$[X(b(r) \otimes 1)\xi](s) = \Delta(s)^{-1/2}{}_{b}\sigma_{s}(x) \int f(t)b(r)\xi(t) dt$$
$$= \Delta(s)^{-1/2}{}_{b}\sigma_{s}(x)b(r) \int f(t)\xi(t) dt$$

and

$$\begin{aligned} [(b(r) \otimes \rho(r)) &((\sigma_r \otimes \iota)(X))\xi](s) = \Delta(r)^{1/2}b(r) [((\sigma_r \otimes \iota)(X))\xi](sr) \\ &= \Delta(r)^{1/2}b(r) \Delta(sr)^{-1/2}\sigma_r(b\sigma_{sr}^{-1}(x)) \int f(t)\xi(t) dt \\ &= \Delta(s)^{-1/2}b(r)\sigma_r(b\sigma_{sr}^{-1}(x))b(r)^*b(r) \int f(t)\xi(t) dt \\ &= \Delta(s)^{-1/2}b\sigma_r(b\sigma_{sr}^{-1}(x))b(r) \int f(t)\xi(t) dt \\ &= \Delta(s)^{-1/2}b\sigma_r(b\sigma_{sr}^{-1}(x))b(r) \int f(t)\xi(t) dt \end{aligned}$$

This proves (1) and also the Lemma.

20.9. Proof of Theorem 20.6. Let  $b \in Z_{\sigma}(G; \mathcal{M})$  be square-integrable. To show that  $b \lesssim a$ , where  $a \in Z_{\sigma}(G; U(\mathcal{M}))$  is the dominant cocycle, we may assume, by Lemma 20.7, that b is unitary and of infinite multiplicity. Consider  $b \otimes \rho$ ,  $b \otimes 1 \in Z_{\sigma \circ \bullet}(G; U(\mathcal{M} \otimes \mathcal{B}(\mathcal{L}^2(G))))$  and the balanced cocycle  $c = c(b \otimes \rho, b \otimes 1) \in Z_{\sigma \circ \bullet \circ}(G; U(\mathcal{M} \otimes \mathcal{B}(\mathcal{L}^2(G)) \otimes \mathcal{F}_2))$ .

By Lemma 20.8 it follows that in the  $W^*$ -algebra  $(\mathcal{M} \otimes \mathcal{B}(\mathcal{L}^2(G)) \otimes \mathcal{F}_2)^c$  we have

$$(1) z(1 \overline{\otimes} 1 \overline{\otimes} e_{11}) \geqslant z(1 \overline{\otimes} 1 \overline{\otimes} e_{22}).$$

Indeed, we have

$$(\mathscr{M} \ \overline{\otimes} \ \mathscr{B}(\mathscr{L}^{2}(G)) \ \overline{\otimes} \ \mathscr{F}_{2})^{c} = \begin{pmatrix} \mathscr{I}(b \ \overline{\otimes} \ \rho, b \ \overline{\otimes} \ \rho) & \mathscr{I}(b \ \overline{\otimes} \ \rho, b \ \overline{\otimes} \ 1) \\ \mathscr{I}(b \ \overline{\otimes} \ 1, b \ \overline{\otimes} \ \rho) & \mathscr{I}(l \ \overline{\otimes} \ 1, b \ \overline{\otimes} \ 1) \end{pmatrix}$$

and

$$\begin{pmatrix} 0 & 0 \\ x^* & 0 \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} \begin{pmatrix} 0 & x \\ 0 & 0 \end{pmatrix} = \begin{pmatrix} 0 & 0 \\ 0 & x^*x \end{pmatrix};$$

Lemma 20.8 shows that the support of  $x^*x$  converges to 1 when x runs over  $\mathcal{I}(b \boxtimes \rho, b \boxtimes 1)$  [Recall that for any projection f in a  $W^*$ -algebra  $\mathcal{N}$  we have  $z(f) = V \{s(y^*fy); y \in \mathcal{N}\}$ ].

On the other hand, the projection

(2) 
$$1 \otimes 1 \otimes e_{11}$$
 is properly infinite in  $(\mathcal{M} \otimes \mathcal{B}(\mathcal{L}^2(G)) \otimes \mathcal{F}_2)^c$ ,

since the corresponding reduced algebra is \*-isomorphic to  $\mathcal{M}^b$  which, by assumption, is properly infinite.

The assumptions of Theorem 20.6 also insure that all the  $W^*$ -algebras involved in our argument are countably decomposable. Therefore, we infer from (1) and (2) that  $1 \otimes 1 \otimes e_{22} \prec 1 \otimes 1 \otimes e_{11}$  in  $(\mathcal{M} \otimes \mathcal{B}(\mathcal{L}^2(G)) \otimes \mathcal{F}_2)^c$ , that is,  $b \otimes 1 \leq b \otimes \rho$ . Thus, by 20.4.(2) and 20.5.(2), we have  $b \otimes 1 \leq b \otimes \rho \approx 1 \otimes \rho \approx a \otimes 1$ . Since a and b are both of infinite multiplicity, we infer using 20.4.(1) and 20.2.(13) that  $b \leq a$ .

**20.10.** Corollary. Let  $\sigma: G \to Aut(\mathcal{M})$  be an integrable continuous action of the separable locally compact group G on the countably decomposable properly infinite  $W^*$ -algebra  $\mathcal{M}$ . Then the centralizer  $\mathcal{M}^{\sigma}$  is a reduced algebra of the crossed product  $\mathcal{R}(\mathcal{M}, \sigma)$ .

**Proof.** By assumption, the trivial cocycle,  $1 \in Z_{\sigma}(G; U(\mathcal{M}))$  is square-integrable and hence, by Theorem 20.6,  $1 \leq a$ , where  $a \in Z_{\sigma}(G; U(\mathcal{M}))$  denotes the dominant cocycle. Thus, there exists  $u \in \mathcal{M}$  with  $u^*u = 1$ ,  $uu^* = p \in \mathcal{M}^a$  and  $u^*a(s)\sigma_s(u) = 1$  for all  $s \in G$ . Then:  $x \in \mathcal{M}^{\sigma} \Leftrightarrow \sigma_s(x) = x$  for all  $s \in G \Leftrightarrow a(s)\sigma_s(u)\sigma_s(x)\sigma_s(u^*)a(s)^* = uxu^*$  for all  $s \in G \Leftrightarrow a\sigma_s(uxu^*) = uxu^*$  for all  $s \in G \Leftrightarrow uxu^* \in \mathcal{M}^a$ , hence  $\mathcal{M}^{\sigma} \approx p\mathcal{M}^a p$ . Since, by 20.5.(4),  $\mathcal{M}^{\sigma} \approx \mathcal{R}(\mathcal{M}, \sigma)$ , this proves the Corollary.

- **20.11.** Proposition. Let  $\sigma: G \to Aut(\mathcal{M})$  be a continuous action of the separable locally compact group G on the  $W^*$ -algebra  $\mathcal{M}$ . We assume that the centralizer  $\mathcal{M}^*$  is properly infinite. Then the following statements are equivalent:
  - (i) the trivial cocycle  $1 \in Z_{\bullet}(G; U(\mathcal{M}))$  is a dominant cocycle;

(ii) the actions  $\sigma \otimes \operatorname{Ad}(\rho)$  and  $\sigma \otimes \iota$  are inner conjugate, i.e. there exists  $U \in U(\mathcal{M} \otimes \mathcal{B}(\mathcal{L}^2(G)))$  such that

$$(\sigma \ \overline{\otimes} \ \mathrm{Ad}(\rho))_s = \mathrm{Ad}(U) \circ (\sigma \ \overline{\otimes} \ \iota)_s \circ \mathrm{Ad}(U^*) \qquad (s \in G);$$

(iii) the actions  $\sigma \ \overline{\otimes} \ \mathrm{Ad}(\rho)$  and  $\sigma \ \overline{\otimes} \ \iota$  are conjugate, i.e. there exists  $\Phi \in \mathrm{Aut}(\mathcal{M} \ \overline{\otimes} \ \mathcal{R}(\mathcal{L}^2(G)))$  such that

$$(\sigma \ \overline{\otimes} \ \mathrm{Ad}(\rho))_s = \Phi \circ (\sigma \ \overline{\otimes} \ \iota)_s \circ \Phi^{-1} \qquad (s \in G).$$

**Proof.** (i)  $\Rightarrow$  (ii). By assumption we have  $1 \boxtimes \rho = 1 \boxtimes 1$  in  $Z_{\sigma \ominus \iota}(G; \mathcal{M} \boxtimes \mathcal{B}(\mathcal{L}^2(G)))$ , i.e. there exists  $U \in U(\mathcal{M} \boxtimes \mathcal{B}(\mathcal{L}^2(G)))$  such that  $1 \boxtimes \rho(s) = U(\sigma_{\iota} \boxtimes \iota)(U^*)$  ( $s \in G$ ). Then, for every  $X \in \mathcal{M} \boxtimes \mathcal{B}(\mathcal{L}^2(G))$  and  $s \in G$  we have

$$(\sigma_s \otimes \operatorname{Ad}(\rho(s))(X) = (1 \otimes \rho(s))((\sigma_s \otimes \iota)(X))(1 \otimes \rho(s)^*) =$$

$$= U((\sigma_s \otimes \iota)(U^*XU))U^*.$$

(ii) ⇒ (iii). Obvious.

(iii)  $\Rightarrow$  (i). Since  $\mathcal{M}^{\sigma}$  is properly infinite and G is separable, we have by Corollary 9.16  $(\mathcal{M}, \sigma) \approx (\mathcal{M} \boxtimes \mathcal{B}(\mathcal{L}^2(G)), \sigma \boxtimes 1)$ . Using assumption (iii) we obtain  $(\mathcal{M}, \sigma) \approx (\mathcal{M} \boxtimes \mathcal{B}(\mathcal{L}^2(G)), \sigma \boxtimes \mathcal{A}d(\rho))$ . Consequently, we have to show that  $1 \boxtimes 1 \boxtimes \rho \approx 1 \boxtimes 1 \boxtimes 1$  in  $Z_{\sigma \widecheck{\bullet} Ad(\rho) \widecheck{\bullet} \widecheck{\bullet}}(G; \mathcal{M} \boxtimes \mathcal{B}(\mathcal{L}^2(G)) \boxtimes \mathcal{B}(\mathcal{L}^2(G)))$  or, equivalently, that  $1 \boxtimes \rho \boxtimes \rho \approx 1 \boxtimes \rho \boxtimes 1$  in  $Z_{\sigma \widecheck{\bullet} \widecheck{\bullet} \widecheck{\bullet}}(G; \mathcal{M} \boxtimes \mathcal{B}(\mathcal{L}^2(G)) \boxtimes \mathcal{B}(\mathcal{L}^2(G)) \boxtimes \mathcal{B}(\mathcal{L}^2(G))$ , which results from 20.4.(2).

The continuous action  $\sigma: G \to Aut(\mathcal{M})$  will be called *dominant* if the centralizer  $\mathcal{M}^{\sigma}$  is properly infinite and the actions  $\sigma \otimes Ad(\rho)$  and  $\sigma \otimes \iota$  are inner conjugate. In this case we have

(1) 
$$(\mathcal{M}, \sigma) \approx (\mathcal{M} \otimes \mathcal{B}(\mathcal{L}^2(G)), \sigma \otimes \mathrm{Ad}(\rho)).$$

The previous Proposition gives us equivalent conditions for the action  $\sigma$  to be dominant when G is separable, but the implications (i)  $\Rightarrow$  (ii)  $\Rightarrow$  (iii) are valid in general, without the assumption of separability on G.

20.12. In this Section we show that the dominant actions are actually dual actions on crossed products. Although such a result is valid in general, we restrict ourselves here to the abelian case, where a more detailed analysis is possible.

Proposition. Let  $\sigma: G \to Aut(\mathcal{M})$  be a continuous action of the separable locally compact abelian group G on the  $W^*$ -algebra  $\mathcal{M}$ . We assume that the centralizer  $\mathcal{M}^*$  is properly infinite. Then the following statements are equivalent:

(i) σ is a dominant action:

(ii) there exists an s-continuous unitary representation  $u: \hat{G} \to U(\mathcal{M})$  such that  $\sigma_{\mathfrak{g}}(u(\gamma)) = \overline{\langle g, \gamma \rangle} u(\gamma)$  for all  $g \in G$ ,  $\gamma \in \hat{G}$ ;

(ii') there exists a Borel function  $u: \hat{G} \to U(\mathcal{M})$  such that  $\sigma_g(u(\gamma)) = \overline{\langle g, \gamma \rangle} \ u(\gamma)$  for all  $g \in G$ ,  $\gamma \in \hat{G}$ ;

(iii) there exists a continuous action  $\theta: \hat{G} \to Aut(\mathcal{M}^{\sigma})$  such that  $(\mathcal{M}, \sigma) \approx (\mathcal{R}(\mathcal{M}^{\sigma}, \theta), \hat{\theta})$ .

If the predual  $\mathcal{M}_*$  is separable, then another equivalent statement is:

(ii") for every  $\gamma \in \hat{G}$  there exists  $u(\gamma) \in U(\mathcal{M})$  such that  $\sigma_g(u(\gamma)) = \overline{\langle g, \gamma \rangle} u(\gamma)$  for all  $g \in G$ .

*Proof.* (i)  $\Rightarrow$  (ii). Since the action  $\sigma$  is dominant, we may assume (20.11.(1)) that  $(\mathcal{M}, \sigma) = (\mathcal{M} \otimes \mathcal{B}(\mathcal{L}^2(G)), \sigma \otimes \mathrm{Ad}(\rho))$ . In this case, denoting by  $m(\gamma)$  the multiplication operator by the character  $\gamma \in \hat{G}$  and defining  $u(\gamma) = 1 \otimes m(\gamma)^*$   $(\gamma \in \hat{G})$ , we obtain statement (ii).

It is clear that (ii)  $\Rightarrow$  (ii')  $\Rightarrow$  (ii'').

We show that (ii")  $\Rightarrow$  (ii') if  $\mathcal{M}_*$  is separable. Indeed,  $\hat{G} \times U(\mathcal{M})$  is then a polish space and the projection map  $\hat{G} \times U(\mathcal{M}) \rightarrow \hat{G}$  is continuous. The set  $E = \{(\gamma, u) \in \hat{G} \times U(\mathcal{M}); \sigma_g(u) = \overline{\langle g, \gamma \rangle} u \text{ for all } g \in G\} \subset \hat{G} \times U(\mathcal{M}) \text{ is closed and, by (ii"), its projection on } \hat{G} \text{ covers the whole of } \hat{G}.$  It follows that there exists a Borel section  $u: \hat{G} \rightarrow U(\mathcal{M})$  with  $(\gamma, u(\gamma)) \in E$ .

(ii')  $\Rightarrow$  (i). The Borel function  $u: \hat{G} \to U(\mathcal{M})$  defines a unitary element  $U \in \mathcal{M} \otimes \mathcal{L}^{\infty}(\hat{G})$ , uniquely determined, such that  $\langle U, \varphi \otimes k \rangle = \int \langle u(\gamma), \varphi \rangle k(\gamma) \, d\gamma$ 

for all  $\varphi \in \mathcal{M}_{\bullet}$ ,  $k \in \mathcal{L}^1(G)$ . Then the element  $(\sigma_{\bullet} \overline{\otimes} i)$   $(U) \in \mathcal{M} \overline{\otimes} \mathcal{L}^{\infty}(\widehat{G})$  is defined by the function  $\gamma \mapsto \sigma_{g}(u(\gamma))$ , hence  $U^*((\sigma_{g} \overline{\otimes} i) (U))$  is defined by the function  $\gamma \mapsto u(\gamma)^*\sigma_{g}(u(\gamma)) = \overline{\langle g, \gamma \rangle}$ . Thus, considering the element  $g \in G$  as a character on  $\widehat{G}$ , we have  $U^*((\sigma_{g} \overline{\otimes} i) (U)) = 1 \overline{\otimes} m(g)^* \in \mathcal{M} \overline{\otimes} \mathcal{L}^{\infty}(\widehat{G})$   $(g \in G)$ , or, identifying  $\mathcal{L}^{\infty}(\widehat{G})$  with  $\mathcal{L}(G) = \mathcal{R}(G)$  by the Fourier-Plancherel isomorphism,  $U^*((\sigma_{g} \overline{\otimes} i) (U)) = 1 \overline{\otimes} p(g) \in \mathcal{M} \overline{\otimes} \mathcal{L}^{2}(G))$   $(g \in G)$ . It follows that the cocycle  $1 \in Z_{\sigma}(G; U(\mathcal{M}))$  is dominant, hence  $\sigma$  is a dominant action.

(ii) => (iii). This follows from Landstad's theorem (19.9).

Moreover, it follows from Landstad's theorem that the action  $\theta$  is defined by the representation u as follows:

(1) 
$$\theta_{\gamma} = \operatorname{Ad}(u(\gamma)) | \mathscr{M}^{\sigma} \qquad (\gamma \in \widehat{G}).$$

Note that the equivalences (i)  $\Leftrightarrow$  (ii)  $\Leftrightarrow$  (iii) are valid without the separability assumption on G.

Using the Takesaki duality theorem (19.5), we deduce from (iii) that if  $\sigma$  is a dominant action, then

(2) 
$$\mathcal{M}^{\sigma} \approx \mathcal{M}^{\sigma} \ \mathfrak{B}(\mathcal{L}^{2}(G)) \approx \mathfrak{R}(\mathcal{M}, \sigma).$$

20.13. The definition (19.1) of crossed products is invariant under \*-isomorphisms. More precisely, if  $\sigma: G \to Aut(\mathcal{M})$ ,  $\tau: G \to Aut(\mathcal{N})$  are continuous actions of the

locally compact group G on the  $W^*$ -algebras  $\mathcal{M}, \mathcal{N}$  and there exists a \*-isomorphism  $\Phi: \mathcal{M} \to \mathcal{N}$  such that  $\Phi \circ \sigma_g = \tau_g \circ \Phi$   $(g \in G)$ , then the mapping  $\Psi = \Phi \overline{\otimes} \iota : \mathcal{M} \overline{\otimes} \mathcal{B}(\mathcal{L}^2(G)) \to \mathcal{N} \overline{\otimes} \mathcal{B}(\mathcal{L}^2(G))$  is a \*-isomorphism and it is easy to check that  $\Psi(\pi_g(x)) = \pi_r(\Phi(x))$   $(x \in \mathcal{M})$ , and that  $\Psi(1 \overline{\otimes} \lambda(g)) = 1 \overline{\otimes} \lambda(g)$   $(g \in G)$ , hence  $\Psi(\mathcal{R}(\mathcal{M}, \sigma)) = \mathcal{R}(\mathcal{N}, \tau)$ . Moreover, since the restriction of  $\Psi$  to  $1_{\mathcal{M}} \overline{\otimes} \mathcal{B}(\mathcal{L}^2(G))$  is the identity mapping, it follows that  $\Psi$  intertwines the dual actions, i.e.  $(\Psi \overline{\otimes} \iota) \circ \hat{\sigma} = \hat{\tau} \circ \Psi$ . We abbreviate these remarks by writing:

(1) 
$$(\mathcal{M}, \sigma) \approx (\mathcal{N}, \tau) \Rightarrow (\mathcal{R}(\mathcal{M}, \sigma), \pi_{\sigma}, \lambda, \hat{\sigma}) \approx (\mathcal{R}(\mathcal{N}, \tau), \pi_{\tau}, \lambda, \hat{\tau})$$

In particular, if  $\sigma: G \to Aut(\mathcal{M})$  is a continuous action of G on  $\mathcal{M}$ , then every \*-automorphism  $\Phi \in Aut(\mathcal{M})$  with  $\Phi \circ \sigma_g = \sigma_g \circ \Phi (g \in G)$  "extends" to a \*-automorphism  $\Psi \in Aut(\mathcal{R}(\mathcal{M}, \sigma))$ , uniquely determined, such that

(2) 
$$\Psi(\pi_{\sigma}(x) (1 \overline{\otimes} \lambda(g)) = \pi_{\tau}(\Phi(x)) (1 \overline{\otimes} \lambda(g)) \qquad (x \in \mathcal{M}, g \in G).$$

On the other hand, suppose that  $\sigma$  and  $\tau$  are both actions of G on the same von Neumann algebra  $\mathcal{M} \subset \mathcal{B}(\mathcal{H})$  and  $\sigma \sim \tau$ , that is (15.11) there exists a unitary cocycle  $u \in Z_{\sigma}(G; U(\mathcal{M}))$  such that  $\tau = {}_{u}\sigma$ . Consider the unitary operator  $U \in \mathcal{M} \otimes \overline{\otimes} \mathcal{L}^{\infty}(G)$  defined by the function  $G \ni s \mapsto u(s^{-1})$ , that is  $(U\xi)(s) = u(s^{-1}) \xi(s)$   $(\xi \in \mathcal{L}^{2}(G, \mathcal{H}), s \in G)$ . It is easy to check that

$$U\pi_{\sigma}(x) U^* = \pi_{\mathfrak{r}}(X) \qquad (x \in \mathscr{M})$$

(3) 
$$U(1 \overline{\otimes} \lambda(g)) U^* = \pi_t(u(g)^*) (1 \overline{\otimes} \lambda(g)) \qquad (g \in G)$$

Consequently, Ad(U) establishes a (spatial) \*-isomorphism between  $\mathcal{R}(\mathcal{M}, \sigma)$  and  $\mathcal{R}(\mathcal{M}, \tau)$ , sending  $\pi_{\sigma}(x)$  into  $\pi_{\tau}(x)$  for all  $x \in \mathcal{M}$ . Moreover, since  $U \in \mathcal{M} \otimes \mathcal{L}^{\infty}(G)$  and  $\mathcal{L}^{\infty}(G)$  is commutative, Ad(U) intertwines the dual actions  $\hat{\sigma}$  and  $\hat{\tau}$ .

More generally, we shall write  $(\mathcal{M}, \sigma) \sim (\mathcal{N}, \tau)$  if there exists  $u \in Z_{\sigma}(G; U(\mathcal{M}))$  such that  $(\mathcal{M}, \sigma) \approx (\mathcal{N}, \tau)$ . Combining the above two remarks, we conclude that

(4) 
$$(\mathcal{M}, \sigma) \sim (\mathcal{N}, \tau) \Rightarrow (\mathcal{R}(\mathcal{M}, \sigma), \pi_{\sigma}, \hat{\sigma}) \approx (\mathcal{R}(\mathcal{N}, \tau), \pi_{\sigma}, \hat{\tau}).$$

Furthermore, if  $\varphi$ ,  $\psi$  are n.s.f. weights on  $\mathcal{M}$ ,  $\mathcal{N}$  respectively and if  $(\mathcal{M}, \sigma, \varphi) \approx (\mathcal{N}, \tau, \psi)$ , then  $(\mathcal{R}(\mathcal{M}, \sigma), \hat{\varphi}) \approx (\mathcal{R}(\mathcal{N}, \tau), \hat{\psi})$  by the same \*-isomorphism  $\Psi$  as in (1). Also, if  $\sigma \sim {}_{\parallel}\sigma = \tau$  are both actions of G on  $\mathcal{M}$  and  $\varphi$  is an n.s.f. weight on  $\mathcal{M}$ , then the \*-isomorphism Ad(U) appearing in (3) transports the dual weight  $\hat{\varphi}$  on  $\mathcal{R}(\mathcal{M}, \sigma)$  into the dual weight  $\hat{\varphi}$  on  $\mathcal{R}(\mathcal{M}, \tau)$ . Therefore,

(5) 
$$(\mathcal{M}, \sigma, \varphi) \sim (\mathcal{N}, \tau, \psi) \Rightarrow (\mathcal{R}(\mathcal{M}, \sigma), \pi_{\sigma}, \hat{\sigma}, \hat{\varphi}) \approx (\mathcal{R}(\mathcal{N}, \tau), \pi_{\sigma}, \hat{\tau}, \hat{\psi}).$$

**20.14.** If  $\mathcal{M}$  is a properly infinite  $W^*$ -algebra, then for any continuous action  $\sigma: G \to Aut(\mathcal{M})$  of a locally compact group G on  $\mathcal{M}$  we have

$$(\mathcal{M},\sigma) \sim (\mathcal{M} \ \overline{\otimes} \ \mathcal{F}, \sigma \ \overline{\otimes} \ \iota)$$

where  $\mathcal{F}$  is any countably decomposable type I factor.

Indeed, by 20.3.(2), there exists a unitary cocycle  $u \in Z_{\sigma}(G; U(\mathcal{M}))$  of infinite multiplicity. Then the continuous action  $\tau = {}_{u}\sigma$  has a properly infinite centralizer  $\mathcal{M}^{\tau}$  so that, using Corollary 9.16, we get  $(\mathcal{M}, \sigma) \sim (\mathcal{M}, \tau) \approx (\mathcal{M} \otimes \mathcal{F}, \tau \otimes \iota) \sim (\mathcal{M} \otimes \mathcal{F}, \sigma \otimes \iota)$ .

20.15. Notes. The results in this Section are due to Connes and Takesaki [61]. The s\*-continuity requirement in the definition of a σ-cocycle (20.1) can be replaced, without modifying this notion, by some measurability condition (see [36], proof of 1.2.5, 1.2.10)

For our exposition we have used [61].

## §21. Abelian groups

In this Section we undertake a more detailed study of crossed products by actions of abelian groups, which includes the connection between the Connes invariant and the dual action on the centre of the crossed product, as well as a certain "Galois correspondence" between subgroups and invariant subalgebras of the crossed product.

**21.1.** Theorem (A. Connes, M. Takesaki). Let  $\sigma: G \to Aut(\mathcal{M})$  be a continuous action of the locally compact abelian group G on the  $W^*$ -algebra  $\mathcal{M}$ . Then the Connes invariant  $\Gamma(\sigma)$  is the kernel of the restriction of the dual action  $\hat{\sigma}$  to the centre of the crossed product  $\mathcal{R}(\mathcal{M}, \sigma)$ :

$$\Gamma(\sigma) = Ker(\hat{\sigma} : \hat{G} \to Aut(\mathcal{Z}(\mathcal{R}(\mathcal{M}, \sigma))).$$

The proof will be given in Section 21.4, using some auxiliary results of independent interest which are presented in Sections 21.2 and 21.3.

**21.2.** Lemma. Let  $\sigma: G \to Aut(\mathcal{Z})$  be a continuous action of the locally compact group G on the commutative  $W^*$ -algebra  $\mathcal{Z}$ . If  $g_0 \in G$  and  $\sigma_{g_0} \neq 1$ , then there exist a neighborhood V of  $g_0$  and a projection  $0 \neq p \in \mathcal{Z}$  such that  $p\sigma_g(p) = 0$  for all  $g \in V$ .

**Proof.** Let  $\mathscr{A} = \{x \in \mathscr{Z}; G \ni g \mapsto \sigma_g(x) \in \mathscr{Z} \text{ is norm-continuous}\}$ . Then  $\mathscr{A}$  is an s-dense  $\sigma$ -invariant  $C^*$ -subalgebra of  $\mathscr{Z}$ ; indeed, if  $\{f_i\} \subset \mathscr{L}^1(G)$  is an approximate unit of the Banach algebra  $\mathscr{L}^1(G)$  with  $supp f_i$  compact sets, then for every  $x \in \mathscr{Z}$  we have  $\mathscr{A} \ni \sigma_{f_i}(x) \xrightarrow{\circ} x$ . Consequently,  $\sigma_{g_i}|\mathscr{A} \neq i$ .

Let  $\mathscr{A} \cong \mathscr{C}(\Omega)$  where  $\Omega$  is the Gelfand spectrum of  $\mathscr{A}$ . For each  $g \in G$  there exists a unique homeomorphism  $T_g \colon \Omega \to \Omega$  such that  $[\sigma_g(x)](\omega) = x(T_g\omega)(x \in \mathscr{A}, \omega \in \Omega)$ . For each  $x \in \mathscr{A}$  the function  $G \times \Omega \ni (g, \omega) \mapsto [\sigma_g(x)](\omega) = x(T_g(\omega)) \in \mathbb{C}$ 

is continuous in both variables, hence the function  $G \times \Omega \ni (g, \omega) \mapsto T_{\varepsilon}(\omega) \in \Omega$  is also continuous in both variables. Since  $T_{\varepsilon_0} \neq i$ , there exists  $\omega_0 \in \Omega$  with  $T_{\varepsilon_0}(\omega_0) \neq \omega_0$ . Therefore, there exist a neighborhood V of  $g_0$  and a neighborhood D of  $\omega_0$  such that  $T_{\varepsilon}\omega \neq \omega$  for all  $g \in V$  and  $\omega \in D$ .

Let  $x \in \mathcal{A}$ ,  $0 \neq x > 0$  with  $supp x \subset D$ . Then  $x\sigma_{\mathbf{z}}(x) = 0$  for  $g \in V$ . There exist  $\varepsilon > 0$  and a spectral projection p of x with  $xp > \varepsilon p$ . Then  $0 \le p\sigma_{\mathbf{z}}(p) \le \varepsilon^{-2}x\sigma_{\mathbf{z}}(x) = 0$ , hence  $p\sigma_{\mathbf{z}}(p) = 0$ , for  $g \in V$ .

21.3. Let  $\sigma: G \to Aut(\mathcal{M})$  be a continuous action of the locally compact abelian group G on the  $W^*$ -algebra  $\mathcal{M}$ . Consider the faithful normal operator valued weight  $P_{\sigma}: \mathcal{M}^+ \ni x \mapsto \int \sigma_{\varepsilon}(x) \, \mathrm{d}g \in (\overline{\mathcal{M}}^{\sigma})^+$ .

For  $x \in \mathfrak{M}_{P_{\sigma}}$  and  $\gamma \in \hat{G}$  we define

(1) 
$$\hat{x}(\gamma) = \int \overline{\langle g, \gamma \rangle} \, \sigma_{g}(x) \, \mathrm{d}g \in \mathcal{M}.$$

Then

$$\hat{x}(\gamma) \in \mathcal{M}(\sigma; \{\gamma\})$$

since for every  $s \in G$  we have  $\sigma_s(\hat{x}(\gamma)) = \int \overline{\langle g, \gamma \rangle} \, \sigma_{ss}(x) \, \mathrm{d}g = \int \overline{\langle s^{-1}g, \gamma \rangle} \, \sigma_s(x) \, \mathrm{d}g =$ =  $\langle s, \gamma \rangle \, \hat{x}(\gamma)$ . According to the uniqueness theorem for the Fourier transform, it follows that for  $x \in \mathfrak{M}_{P_G}$  we have

(3) 
$$\hat{x}(\gamma) = 0 \text{ for all } \gamma \in \hat{G} \Leftrightarrow x = 0.$$

Recall that for  $f \in \mathcal{L}^1(G)$  we defined the Fourier transform  $\hat{f}$  by  $\hat{f}(\gamma) = \int \langle g, \gamma \rangle f(g) \, \mathrm{d}g$ ,  $(\gamma \in \hat{G})$ , and that the Haar measures on G and  $\hat{G}$  are chosen so that the Fourier inversion theorem holds.

**Lemma.** For every  $x \in \mathfrak{M}_{P_{\sigma}}$  and every  $f \in \mathcal{L}^{1}(G)$  we have  $\sigma_{f}(x) \in \mathfrak{M}_{P_{\sigma}}$  and

(4) 
$$\sigma_f(x)^{\hat{}}(\gamma) = \hat{f}(\gamma) \hat{x}(\gamma) \qquad (\gamma \in \hat{G})$$

and moreover, if  $\hat{f} \in \mathcal{L}^1(\hat{G})$ , then

(5) 
$$\sigma_f(x) = \int \langle g, \gamma \rangle \, \sigma_f(x)^{\hat{}}(\gamma) \, \mathrm{d}\gamma.$$

*Proof.* We may assume x > 0 and f > 0. Then  $\sigma_f(x) > 0$  and, using the Fubini—Tonelli theorem, we obtain

$$P_{\sigma}(\sigma_{f}(x)) = \int \sigma_{g}(\sigma_{f}(x)) \, \mathrm{d}g = \int \sigma_{g} \left( \int f(s) \sigma_{s}(x) \, \mathrm{d}s \right) \, \mathrm{d}g$$

$$= \int \int f(s) \sigma_{g,s}(x) \, \mathrm{d}s \, \mathrm{d}g = \int f(s) \left( \int \sigma_{g,s}(x) \, \mathrm{d}g \right) \, \mathrm{d}s$$

$$= \left( \int f(s) \, \mathrm{d}s \right) \left( \int \sigma_{g}(x) \, \mathrm{d}g \right) = \|f\|_{1} P_{\sigma}(x) \in \mathcal{M}^{+},$$

hence  $\sigma_f(x) \in \mathfrak{M}_{P_{\sigma}}$ . Then, for  $\gamma \in \hat{G}$  we have

$$\sigma_{f}(x)^{\wedge}(\gamma) = \int \overline{\langle g, \gamma \rangle} \, \sigma_{g}(\sigma_{f}(x)) \, \mathrm{d}g = \iint \overline{\langle g, \gamma \rangle} \, f(s) \sigma_{gs}(x) \, \mathrm{d}s \, \mathrm{d}g$$

$$= \int \langle s, \gamma \rangle \, f(s) \left( \int \overline{\langle gs, \gamma \rangle} \sigma_{gs}(x) \, \mathrm{d}g \right) \, \mathrm{d}s$$

$$= \left( \int \langle s, \gamma \rangle \, f(s) \, \mathrm{d}s \right) \left( \int \overline{\langle g, \gamma \rangle} \, \sigma_{g}(x) \, \mathrm{d}g \right) = \hat{f}(\gamma) \hat{x}(\gamma).$$

Finally, if  $\hat{f} \in \mathcal{L}^1(\hat{G})$ , (5) follows by the Fourier inversion theorem. Corollary. If the action  $\sigma: G \to Aut(\mathcal{M})$  is integrable, then

(6) 
$$\mathcal{M} = \mathcal{R}\{\mathcal{M}(\sigma; \{\gamma\}); \ \gamma \in \hat{G}\}$$

and, for every open set  $V \subset \hat{G}$ , we have

(7) 
$$\mathcal{M}(\sigma; V) \neq 0 \Leftrightarrow \text{there exists } \gamma \in V \text{ such that } \mathcal{M}(\sigma; \{\gamma\}) \neq \{0\}.$$

**Proof.** Let  $\{f_i\}$  be a norm-bounded approximate unit of the Banach algebra  $\mathcal{L}^1(G)$  with  $supp f_i$  compact sets. For each  $x \in \mathfrak{M}_{P_\sigma}$  we have  $\sigma_{f_i}(x) \xrightarrow{\sim} x$  and for each  $\sigma_{f_i}(x)$  (5) holds. Since the action  $\sigma$  is integrable, i.e.  $\mathfrak{M}_{P_\sigma}$  is w-dense in  $\mathscr{M}$  (6) follows using (2) and (5).

We now prove assertion (7). The implication ( $\Leftarrow$ ) is obvious. Conversely, assume that  $\mathcal{M}(\sigma; \{\gamma\}) = \{0\}$  for every  $\gamma \in V$ . By (2) it follows that  $\hat{x}(\gamma) = 0$  for

all  $x \in \mathfrak{M}_{P_{\sigma}}$  and  $\gamma \in V$ . Furthermore, using (4) it follows that if  $x \in \mathfrak{M}_{P_{\sigma}}$  and  $f \in \mathcal{L}^{1}(G)$ , supp  $\hat{f} \subset V$ , then  $\sigma_{f}(x)^{\hat{}}(\gamma) = 0$  for all  $\gamma \in \hat{G}$ , hence  $\sigma_{f}(x) = 0$ . Since  $\mathfrak{M}_{P_{\sigma}}$  is w-dense in  $\mathscr{M}$ , it follows that  $\sigma_{f}(x) = 0$  for all  $x \in \mathscr{M}$  and all  $f \in \mathcal{L}^{1}(G)$  with supp  $\hat{f} \subset V$ , hence (14.3.(7))  $\mathscr{M}(\sigma; V) = \{0\}$ .

Note that if the action  $\sigma$  is integrable, then

(8) 
$$x = \int \langle g, \gamma \rangle \hat{x}(\gamma) \, \mathrm{d}\gamma$$

for x in a w-dense \*-subalgebra of  $\mathcal{M}$ .

**21.4.** Proof of Theorem 21.1. Consider the actions  $\sigma \otimes \iota$  and  $\sigma \otimes \mathrm{Ad}(\rho)$  of G on  $\mathscr{M} \otimes \mathscr{B}(\mathscr{L}^2(G))$ . By 16.16.(2) and 16.3 we have

(1) 
$$\Gamma(\sigma) = \Gamma(\sigma \boxtimes \iota) = \Gamma(\sigma \boxtimes \operatorname{Ad}(\rho)).$$

On the other hand, putting  $\mathcal{B} = \mathcal{B}(\mathcal{L}^2(G))$ , we have (19.13, 19.3)

$$\mathscr{R}(\mathscr{M}, \sigma) = (\mathscr{M} \ \overline{\otimes} \ \mathscr{B})^{\sigma \bullet \mathsf{AdP}}, \ \hat{\sigma}_{\gamma} = \mathsf{Ad}(1 \ \overline{\otimes} \ \mathsf{m}(\gamma)^{\bullet}),$$

$$\mathscr{R}(\mathscr{M} \ \overline{\otimes} \ \mathscr{B}, \sigma \ \overline{\otimes} \ \mathrm{Ad} \ \rho) = (\mathscr{M} \ \overline{\otimes} \mathscr{B} \ \overline{\otimes} \mathscr{B})^{\sigma \overline{\bullet} \mathrm{Ad} \rho \overline{\bullet} \mathrm{Ad} \rho}, \ (\sigma \overline{\otimes} \mathrm{Ad} \ \rho)^{\wedge}_{\gamma} = \mathrm{Ad}(1 \ \overline{\otimes} 1 \ \overline{\otimes} \mathrm{m}(\gamma)^{\bullet})$$

so that

$$\mathscr{Z}(\mathscr{R}(\mathscr{M},\sigma)) \approx \mathscr{Z}((\mathscr{M} \ \overline{\otimes} \ \mathscr{B} \ \overline{\otimes} \ \mathscr{B})^{\sigma \bar{\bullet} \, \mathsf{Ad} \rho \bar{\bullet} \, \iota}), \ \hat{\sigma}_{\gamma} \approx \, \mathsf{Ad}(1 \ \overline{\otimes} \, \mathsf{m}(\gamma)^{\bullet} \ \overline{\otimes} \, 1),$$

$$\mathscr{Z}(\mathscr{R}(\mathscr{M} \overline{\otimes} \mathscr{B}, \sigma \overline{\otimes} \operatorname{Ad} \rho)) \approx \mathscr{Z}((\mathscr{M} \overline{\otimes} \mathscr{B} \overline{\otimes} \mathscr{B})^{\sigma \overline{\circ} \operatorname{Ad} \rho}),$$

$$(\sigma \otimes Ad\rho)^{\wedge} \approx Ad(1 \otimes m(\gamma)^* \otimes 1),$$

the operator  $1 \boxtimes W \in \mathcal{M} \boxtimes \mathcal{B} \boxtimes \mathcal{B}$ , where  $(W\xi)(s,t) = \xi(s,ts)$ , has the properties  $W^*(\rho(g) \boxtimes 1) W = \rho(g) \boxtimes \rho(g)$ ,  $W^*(m(\gamma) \boxtimes 1) W = m(\gamma) \boxtimes 1$ , and hence implements a \*-isomorphism

(2) 
$$(\mathcal{Z}(\mathcal{R}(\mathcal{M}, \sigma)), \hat{\sigma}) \approx (\mathcal{Z}(\mathcal{R}(\mathcal{M} \otimes \mathcal{R}(\mathcal{L}^2(G)), (\sigma \otimes Ad\rho)^{\wedge}).$$

From (1) and (2) it follows that in proving Theorem 21.1 we may replace  $(\mathcal{M}, \sigma)$  by  $(\mathcal{M} \otimes \mathcal{B}(\mathcal{L}^2(G)), \sigma \otimes \operatorname{Ad} \rho)$ . Similarly, we see that we may replace  $(\mathcal{M}, \sigma)$  by  $(\mathcal{M} \otimes \mathcal{B}(\mathcal{L}^2(G)) \otimes \mathcal{F}, \sigma \otimes \operatorname{Ad} \rho \otimes \iota)$ , where  $\mathcal{F}$  is any infinite factor. In this case,  $\sigma \otimes \operatorname{Ad} \rho \otimes \iota$ , is a dominant action (20.12).

Consequently, in proving the Theorem we shall assume, as we may, that  $\sigma: G \to Aut(\mathcal{M})$  is a dominant action. Then, by Proposition 20.12, there exists a continuous action  $\theta: \hat{G} \to Aut(\mathcal{M}^{\sigma})$  such that  $(\mathcal{M}, \sigma) \approx (\mathcal{R}(\mathcal{M}^{\sigma}, \theta), \hat{\theta})$ , and there exists an s-continuous unitary representation  $u: \hat{G} \to U(\mathcal{M})$  such that

(3) 
$$\sigma_{\mathbf{g}}(u(\gamma)) = \overline{\langle g, \gamma \rangle} u(\gamma) \text{ and } \theta_{\gamma} = \operatorname{Ad}(u(\gamma)) | \mathcal{M}^{\sigma} \qquad (g \in G, \gamma \in \hat{G}).$$

Thus, we have the identifications

(4) 
$$(\mathcal{R}(\mathcal{M}, \sigma), \hat{\sigma}) \approx (\mathcal{R}(\mathcal{R}(\mathcal{M}^{\sigma}, \theta), \hat{\theta}), \hat{\theta}) \approx (\mathcal{M}^{\sigma} \otimes \mathcal{R}(\mathcal{L}^{2}(G)), \theta \otimes \mathrm{Adp}),$$

the last \*-isomorphism being given by the Takesaki duality theorem (19.5). It follows that

$$(\mathcal{Z}(\mathcal{R}(\mathcal{M},\sigma)), \hat{\sigma}) \approx (\mathcal{Z}(\mathcal{M}^o \ \overline{\otimes} \ \mathcal{R}(\mathcal{L}^2(G))), \ \theta \ \overline{\otimes} \ \mathrm{Adp}) \approx (\mathcal{Z}(\mathcal{M}^o), \theta).$$

Thus all we have to prove is that

(5) 
$$\Gamma(\sigma) = Ker(\theta: \hat{G} \to Aut(\mathcal{Z}(\mathcal{M}^{\sigma}))).$$

Let  $e \in \mathcal{Z}(\mathcal{M}^{\sigma})$  be a non-zero projection. From (3) it follows that  $u(\gamma) \in \mathcal{M}(\sigma; \{\gamma\}) \cap U(\mathcal{M})$ , hence  $\mathcal{M}(\sigma; \{\gamma\}) = \mathcal{M}^{\sigma}u(\gamma)$ . Therefore,  $\mathcal{M}_{e}(\sigma^{e}; \{\gamma\}) = \mathcal{M}(\sigma; \{\gamma\}) \cap \mathcal{M}_{e} = e\mathcal{M}(\sigma; \{\gamma\}) e = e\mathcal{M}^{\sigma}u(\gamma) e = e\mathcal{M}^{\sigma}u(\gamma) e = e\mathcal{M}^{\sigma}u(\gamma) = e\mathcal{M}^{\sigma}\theta_{\gamma}(e) u(\gamma) = e\mathcal{M}^{\sigma}\theta_{\gamma}(e) \mathcal{M}^{\sigma}u(\gamma)$ , so that

(6) 
$$\mathcal{M}_{e}(\sigma^{e}; \{\gamma\}) = \{0\} \Leftrightarrow e\theta_{\gamma}(e) = 0.$$

Thus,  $\gamma \in Ker(\theta: \hat{G} \to Aut(\mathcal{Z}(\mathcal{M}^o)) \Rightarrow \theta_{\gamma}(e) = e$  for all  $e \in \mathcal{Z}(\mathcal{M}^o) \Rightarrow e\theta_{\gamma}(e) \neq 0$  for all  $0 \neq e \in \mathcal{Z}(\mathcal{M}^o) \Rightarrow \mathcal{M}_e(\sigma^e; \{\gamma\}) \neq \{0\}$  for all projections  $0 \neq e \in \mathcal{Z}(\mathcal{M}^o) \Rightarrow \gamma \in Sp \sigma^e$  for all projections  $0 \neq e \in \mathcal{Z}(\mathcal{M}^o) \Rightarrow \gamma \in \Gamma(\sigma)$ . Conversely, using Lemmas 21.2, 21.3 and denoting by  $V(\gamma)$  the family of open neighbourhoods of  $\gamma \in \hat{G}$ , we get:  $\gamma \notin Ker(\theta: \hat{G} \to Aut(\mathcal{Z}(\mathcal{M}^o))) \Rightarrow$  there exist  $V \in V(\gamma)$  and  $0 \neq e \in Proj(\mathcal{Z}(\mathcal{M}^o))$  such that  $c\theta_{\rho}(e) = 0$  for all  $\beta \in V \Rightarrow$  there exist  $V \in V(\gamma)$  and  $0 \neq e \in Proj(\mathcal{Z}(\mathcal{M}^o))$  such that  $\mathcal{M}_e(\sigma^e; \{\beta\}) = \{0\}$  for all  $\beta \in V \Rightarrow$  there exist  $V \in V(\gamma)$  and  $0 \neq e \in Proj(\mathcal{Z}(\mathcal{M}^o))$  such that  $\mathcal{M}_e(\sigma^e; V) = \{0\} \Rightarrow$  there exist  $0 = e \in Proj(\mathcal{Z}(\mathcal{M}^o))$  such that  $\gamma \notin Sp \sigma^e \Rightarrow \gamma \notin \Gamma(\sigma)$ .

The proof of Theorem 21.1 is complete.

Note that in the above proof the notion of a dominant action appears only in order to simplify the exposition, so that the reference to Section 20.12 is not necessary. Indeed, all facts obtained using this reference follow obviously for  $(\mathcal{M} \otimes \mathcal{A}(\mathcal{L}^2(G)), \sigma \otimes \mathrm{Ad}(\rho))$  from the Takesaki duality theorem. Moreover, since (in contrast to Proposition 20.12) the Takesaki duality theorem does not require

restrictive conditions on  $\mathcal{M}$  or G, the statement of Theorem 21.1 is also free of any such conditions.

21.5. Let  $\sigma: G \to Aut(\mathcal{M})$  be a continuous action of the locally compact abelian group G on the  $W^*$ -algebra  $\mathcal{M}$  and let  $\hat{\sigma}: G \to Aut(\mathcal{R}(\mathcal{M}, \sigma))$  be the dual action. Recall (19.3.(9)) that

(1) 
$$\mathfrak{R}(\mathcal{M},\sigma)^{\hat{\sigma}}=\pi_{\sigma}(\mathcal{M}).$$

With the help of Landstad's theorem (19.9), this result can be extended as follows. Consider a closed subgroup H of G and its "orthogonal" subgroup

$$H^{\perp} = \{ \gamma \in \hat{G}; \langle h, \gamma \rangle = 1 \text{ for all } h \in H \}.$$

It is well known ([118], [199], [227]) that the dual group  $\hat{H}$  can be canonically identified with the quotient group  $\hat{G}/H^{\perp}$ ; namely, denoting by  $\tilde{\gamma} \in \hat{G}/H^{\perp}$  the image of  $\gamma \in \hat{G}$ , we have  $\langle h, \tilde{\gamma} \rangle = \langle h, \gamma \rangle$   $(h \in H, \gamma \in \hat{G})$ .

Proposition. In the above situation we have

(2) 
$$\mathscr{R}(\mathscr{M}, \sigma)^{\widehat{\sigma}|H^{\perp}} = \mathscr{R}\{\pi_{\sigma}(\mathscr{M}), 1 \otimes \lambda(H)\} \approx \mathscr{R}(\mathscr{M}, \sigma|H).$$

Proof. Let  $\mathcal{N} = \mathcal{R}(\mathcal{M}, \sigma)^{\widehat{\sigma}_{!}H^{\perp}}$ . We have an s-continuous unitary representation  $u: H \ni h \mapsto u(h) = 1 \otimes \lambda(h) \in \mathcal{N}$  and a continuous action  $\theta: \hat{H} \ni \tilde{\gamma} \mapsto \theta_{\hat{\gamma}} = \widehat{\sigma}_{\gamma} | \mathcal{N} \in Aut(\mathcal{N})$  such that  $\theta_{\hat{\gamma}}(u(h)) = \overline{\langle h, \tilde{\gamma} \rangle} u(h)$  for all  $h \in H$ ,  $\tilde{\gamma} \in \hat{H}$ . From (1) it follows that  $\mathcal{N}^{\theta} = \pi_{\sigma}(\mathcal{M})$  and by 19.1.(2) we have  $\pi_{\sigma}(\sigma_{h}(x)) = u(h)\pi_{\sigma}(x)u(h)^{*}$   $(x \in \mathcal{M}, h \in H)$ . Thus, the Proposition follows using Landstad's theorem.

Clearly, (1) follows from (2) by taking as H the trivial subgroup, consisting of the neutral element of G.

Recall that  $\mathfrak{L}(G) = \mathfrak{R}(\mathcal{M}, \sigma)$ , where  $\mathcal{M} = \mathbb{C} \cdot 1_G$  and  $\sigma$  is the trivial action of G, while the dual action  $\hat{\sigma}$  is implemented by the multiplication operators defined by the characters:  $\hat{\sigma}_{\gamma}(x) = m(\gamma)^* x m(\gamma)$   $(x \in \mathfrak{L}(G), \gamma \in \hat{G})$ . Thus, from the above Proposition it follows that for any closed subgroup H of G we have

(3) 
$$\{x \in \mathfrak{L}(G); \ x\mathbf{m}(\gamma) = \mathbf{m}(\gamma) \ x, \ (\forall) \ \gamma \in H^{\perp} \} = \mathscr{R}\{\lambda(h); h \in H\} \approx \mathfrak{L}(H).$$

21.6. An important problem concerning crossed products is to obtain information about the centre of a crossed product.

Let  $\sigma: G \to Aut(\mathcal{M})$  be a continuous action of the locally compact abelian group G on the  $W^{\bullet}$ -algebra  $\mathcal{M}$ .

We show that

(1) 
$$\mathscr{Z}(\mathscr{R}(\mathscr{M},\sigma)) \subset \mathscr{Z}(\mathscr{M}^{\sigma}) \ \overline{\otimes} \ \mathscr{R}\{\lambda(g); \ g \in \Gamma(\sigma)^{\perp}\}.$$

Indeed, let  $X \in \mathcal{Z}(\mathcal{R}(\mathcal{M}, \sigma))$ . Since X commutes with  $1 \ \overline{\otimes} \ \lambda(g) \ (g \in G)$ , it follows that  $X \in \mathcal{M} \ \overline{\otimes} \ \mathfrak{L}(G)$ . Since  $X \in \mathcal{R}(\mathcal{M}, \sigma) = (\mathcal{M} \ \overline{\otimes} \ \mathcal{R}(\mathcal{L}^2(G)))^{\sigma \bullet \mathsf{AdP}}$  (see 19.13), it follows that  $X \in \mathcal{M}^{\sigma} \ \overline{\otimes} \ \mathfrak{L}(G)$ . If  $x \in \mathcal{M}^{\sigma}$ , then X commutes with  $\pi_{\sigma}(x) = x \ \overline{\otimes} \ 1$ , hence  $X \in \mathcal{Z}(\mathcal{M}^{\sigma}) \ \overline{\otimes} \ \mathfrak{L}(G)$ . By Theorem 21.1, for every  $\gamma \in \Gamma(\sigma)$  we have  $\hat{\sigma}_{\gamma}(X) = X$ , i.e.

$$(1 \ \overline{\otimes} \ \mathbf{m}(\gamma)) \ X = X(1 \ \overline{\otimes} \ \mathbf{m}(\gamma)) \qquad (\gamma \in \Gamma(\sigma)).$$

Let  $\varphi \in \mathcal{M}_{\bullet}$  and  $a = E_G^{\bullet}(X)$ . Since  $X \in \mathcal{M} \otimes \mathfrak{L}(G)$ , it follows from the previous identity that  $a \in \mathfrak{L}(G)$  and  $m(\gamma)$  a = a  $m(\gamma)$  for all  $\gamma \in \Gamma(\sigma)$ . Using 21.5.(3) we further deduce that  $E_G^{\bullet}(X) = a \in \mathcal{R}\{\lambda(g); g \in \Gamma(\sigma)^{\perp}\}$ . Since  $\varphi \in \mathcal{M}_{\bullet}$  was arbitrary, we conclude that  $X \in \mathcal{L}(\mathcal{M}^{\circ}) \otimes \mathcal{R}\{\lambda(g); g \in \Gamma(\sigma)^{\perp}\}$ .

On the other hand, we have

(2) 
$$\mathscr{Z}(\mathscr{M})^{\sigma} \otimes 1_{G} \subset \mathscr{Z}(\mathscr{R}(\mathscr{M}, \sigma)).$$

We now show that

(3) 
$$\Gamma(\sigma) = \hat{G} \Leftrightarrow \mathcal{Z}(\mathcal{R}(\mathcal{M}, \sigma)) = \mathcal{Z}(\mathcal{M})^{\sigma} \otimes 1_{G}.$$

Indeed, the implication  $(\Leftarrow)$  follows immediately from Theorem 21.1, as  $\hat{\sigma}_{\gamma} = \text{Ad}(1 \otimes \text{m}(\gamma)^*)(\gamma \in \hat{G})$ . Conversely, if  $\Gamma(\sigma) = \hat{G}$ , then from (1) it follows that  $\mathcal{Z}(\mathcal{R}(\mathcal{M}, \sigma)) \subset \mathcal{Z}(\mathcal{M}^{\sigma}) \otimes 1$ . Thus, if  $X \in \mathcal{Z}(\mathcal{R}(\mathcal{M}, \sigma))$ , there exists  $z \in \mathcal{M}^{\sigma}$  such that  $X = z \otimes 1 = \pi_{\sigma}(z)$ , and for every  $x \in \mathcal{M}$  we have  $\pi_{\sigma}(zx) = X\pi_{\sigma}(x) = \pi_{\sigma}(x)X = \pi_{\sigma}(xz)$ , that is,  $z \in \mathcal{Z}(\mathcal{M}) \cap \mathcal{M}^{\sigma} = \mathcal{Z}(\mathcal{M})^{\sigma}$ . Therefore,  $\mathcal{Z}(\mathcal{R}(\mathcal{M}, \sigma)) \subset \mathcal{Z}(\mathcal{M})^{\sigma} \otimes 1$  and the reverse inclusion follows by (2).

From (3) and Theorem 21.1 it follows that

(4) 
$$\mathfrak{R}(\mathcal{M}, \sigma)$$
 is a factor  $\Leftrightarrow \Gamma(\sigma) = \hat{G}$  and  $\mathfrak{Z}(\mathcal{M})^{\sigma} = \mathbb{C} \cdot 1_{\mathcal{M}}$ .

Thus, if the action  $\sigma$  is ergodic on  $\mathcal{Z}(\mathcal{M})$ , for instance if  $\mathcal{M}$  is a factor, or if  $\mathcal{M}^{\sigma}$  is a factor, then

(5) 
$$\mathfrak{R}(\mathcal{M},\sigma)$$
 is a factor  $\Leftrightarrow \Gamma(\sigma) = \hat{G}$ .

In particular, we obtain the following

Corollary. Let  $\sigma: G \to Aut(\mathcal{M})$  be a continuous action of the locally compact abelian group G on the  $W^*$ -algebra  $\mathcal{M}$ . If the centralizer  $\mathcal{M}^{\sigma}$  is a factor and  $\sigma_z \neq \iota$  for every  $g \neq e$  (= the neutral element of G), then  $\mathcal{R}(\mathcal{M}, \sigma)$  is a factor.

**Proof.** Since  $\mathcal{M}^{\sigma}$  is a factor, (5) holds and  $\Gamma(\sigma) = Sp \ \sigma$  (16.1.(3)). Let  $g \in \Gamma(\sigma)^{\perp} = (Sp \ \sigma)^{\perp}$ . By Proposition 14.6, the spectrum of the operator  $\sigma_g \in \mathcal{B}(\mathcal{M})$  is equal to  $\{\langle g, \gamma \rangle; \ \gamma \in Sp \ \sigma\} = \{1\}$  and hence (14.12.(3))  $\sigma_g = 1$ . By hypothesis it follows that g = e. Thus,  $\Gamma(\sigma)^{\perp} = \{e\}$  and  $\Gamma(\sigma) = \Gamma(\sigma)^{\perp \perp} = \hat{G}$ , i.e.  $\mathcal{R}(\mathcal{M}, \sigma)$  is a factor.

As a further application, we give another proof of statement 16.5.(1). Indeed, let  $g \in Int \sigma$  and let  $u(g) \in U(\mathcal{M}^{\sigma})$  be such that  $\sigma_g = Ad(u(g))$ . Then  $u(g) \in U(\mathcal{Z}(\mathcal{M}^{\sigma}))$  and using Corollary 19.13 it is easy to see that

(6) 
$$u(g) \ \overline{\otimes} \ \lambda(g) \in \mathscr{Z}(\mathscr{R}(\mathscr{M}, \sigma)).$$

Consequently, if  $\gamma \in \Gamma(\sigma)$ , then by Theorem 21.1 we have  $u(g) \otimes \lambda(g) = \hat{\sigma} (u(g) \otimes \lambda(g)) = (1 \otimes m(\gamma)^*) (u(g) \otimes \lambda(g)) (1 \otimes m(\gamma)) = \langle g, \gamma \rangle (u(g) \otimes \lambda(g))$ , so that  $\langle g, \gamma \rangle = 1$ . Hence  $g \in \Gamma(\sigma)^{\perp}$ , thus proving the inclusion  $Int \ \sigma \subset \Gamma(\sigma)^{\perp}$ . Recall that if  $\mathscr{M}$  is a factor and  $Sp \ \sigma/\Gamma(\sigma)$  is compact, then, by Theorem 16.5,  $Int \ \sigma = \Gamma(\sigma)^{\perp}$ .

**21.7.** The Connes invariant  $\Gamma(\sigma)$  also gives information about the comparison of  $\sigma$ -cocycles:

Corollary. Let  $\sigma: G \to Aut(\mathcal{M})$  be a continuous action of the separable locally compact abelian group G on the  $W^*$ -algebra  $\mathcal{M}$  with separable predual  $\mathcal{M}_{\bullet}$ . If  $\Gamma(\sigma) = \hat{G}$ , then every square-integrable unitary cocycle of infinite multiplicity  $a \in Z_{\bullet}(G; U(\mathcal{M}))$  is a dominant cocycle.

*Proof.* By Theorems 20.5 and 20.6 there exist a dominant cocycle  $u \in Z_{\sigma}(G; U(\mathcal{M}))$  and a projection  $p \in \mathcal{M}^{u}$  such that  $a = u^{p}$ ; then the actions  $_{\sigma}\sigma$  and  $(_{u}\sigma)^{p}$  are conjugate. Since  $_{u}\sigma$  is a dominant action, proving the Corollary amounts to showing that

(1) if  $\sigma$  is a dominant action with  $\Gamma(\sigma) = \hat{G}$ , then for every properly infinite projection  $p \in \mathcal{M}^{\sigma}$  with  $p \sim 1$  in  $\mathcal{M}$  the action  $\sigma^{p}$  is also dominant.

If  $\sigma$  is a dominant action, then, by Proposition 20.12, there exists a continuous action  $\theta: \hat{G} \to Aut(\mathcal{M}^{\sigma})$  such that  $(\mathcal{M}, \sigma) \approx (\mathcal{R}(\mathcal{M}^{\sigma}, \theta), \hat{\theta})$ . According to the Takesaki duality theorem (19.5), it follows that  $(\mathcal{Z}(\mathcal{R}(\mathcal{M}, \sigma)), \hat{\sigma}) = (\mathcal{Z}(\mathcal{M}^{\sigma}), \theta)$ . By Theorem 21.1, the assumption  $\Gamma(\sigma) = \hat{G}$  means that  $\theta$  acts identically on  $\mathcal{Z}(\mathcal{M}^{\sigma})$ .

Then, for each  $\gamma \in \hat{G}$ , the properly infinite projections p and  $\theta_{\gamma}(p)$  of  $\mathcal{M}^{\sigma}$  have the same central support in  $\mathcal{M}^{\sigma}$ , and  $\mathcal{M}^{\sigma}$  is countably decomposable, since  $\mathcal{M}_{\bullet}$  is separable. Consequently, for every  $\gamma \in \hat{G}$  we have  $p \sim \theta_{\gamma}(p)$  in  $\mathcal{M}^{\sigma}$ , i.e. there exists  $w(\gamma) \in \mathcal{M}^{\sigma}$  such that  $w(\gamma)^*w(\gamma) = \theta_{\gamma}(p)$ ,  $w(\gamma)w(\gamma)^* = p$ .

On the other hand, since the action  $\sigma$  is dominant, it also follows from Proposition 20.12 that there exists an s-continuous unitary representation  $u: \hat{G} \to \mathcal{M}$  such that  $\sigma_{\mathbf{g}}(u(\gamma)) = \overline{\langle g, \gamma \rangle} u(\gamma)$  and  $\theta_{\gamma} = \operatorname{Ad}(u(\gamma)) | \mathcal{M}^{\sigma}$  for all  $g \in G$ ,  $\gamma \in \hat{G}$ .

Let  $v(\gamma) = w(\gamma) u(\gamma) p \in p\mathcal{M}p$ ,  $(\gamma \in \hat{G})$ . Then  $v(\gamma) \in U(p\mathcal{M}p)$  as  $v(\gamma)^*v(\gamma) = pu(\gamma)^*w(\gamma)^*w(\gamma)u(\gamma) p = pu(\gamma)^*\theta_{\gamma}(p)u(\gamma) p = p\theta_{\gamma}^{-1}(\theta_{\gamma}(p)) p = p$  and  $v(\gamma)v(\gamma)^* = w(\gamma)u(\gamma)pu(\gamma)^*w(\gamma)^* = w(\gamma)\theta_{\gamma}(p)w(\gamma)^* = w(\gamma)w(\gamma)^* = p$ . Also,  $\sigma_g^p(v(\gamma)) = \sigma_g(w(\gamma))\sigma_g(u(\gamma))\sigma_g(p) = w(\gamma)\langle g, \gamma \rangle u(\gamma) p = \langle g, \gamma \rangle v(\gamma)$ . Since  $\mathcal{M}_{*}$  is separable, it follows from Proposition 20.12 that  $\sigma^p$  is dominant.

Thus, under the conditions of the Corollary, all square-integrable unitary cocycles of infinite multiplicity are equivalent.

21.8. Proposition 21.5 allows us to establish a certain "Galois correspondence" between the closed subgroups of G and the  $\hat{\sigma}$ -invariant unital  $W^*$ -subalgebras of  $\mathcal{R}(\mathcal{M}, \sigma)$  which contain  $\pi_{\sigma}(\mathcal{M})$ , in the case when  $\mathcal{M}$  is a factor.

**Theorem.** Let  $\sigma: G \to Aut(\mathcal{M})$  be a continuous action of the locally compact abelian group G on the factor  $\mathcal{M}$ . If  $\mathcal{N}$  is a  $\hat{\sigma}$ -invariant unital  $W^*$ -subalgebra of  $\mathcal{R}(\mathcal{M}, \sigma)$  containing  $\pi_{\sigma}(\mathcal{M})$ , then there exists a closed subgroup H of G, uniquely determined, such that

(1) 
$$\mathcal{N} = \mathcal{R}(\mathcal{M}, \sigma)^{\widehat{\sigma}|H^{1}} = \mathcal{R}\{\pi_{\sigma}(\mathcal{M}), 1 \ \overline{\otimes} \ \lambda(H)\} \approx \mathcal{R}(\mathcal{M}, \sigma|H)$$
 namely,

(2) 
$$H = \{ \gamma \in \widehat{G}; \ \widehat{\sigma}_{\gamma} | \mathcal{N} = i \}^{\perp} = \{ g \in G; \ 1 \ \overline{\otimes} \ \lambda(g) \in \mathcal{N} \}.$$

Thus, the mappings  $H \mapsto \mathcal{N}$  and  $\mathcal{N} \to H$  defined by (1) and (2) are reciprocal order-preserving bijections between the closed subgroups H of G and the  $\widehat{\sigma}$ -invariant unital  $W^*$ -subalgebras  $\mathcal{N} \supset \pi_{\sigma}(\mathcal{M})$  of  $\mathfrak{R}(\mathcal{M}, \sigma)$ .

Proof. Let  $H = \{ \gamma \in \hat{G}; \ \hat{\sigma}_{\gamma} | \mathcal{N} = i \}^{\perp}$ . It is clear that  $\mathcal{N} \subset \mathcal{R}(\mathcal{M}, \sigma)^{\hat{\sigma}|H^{\perp}}$  and, by Proposition 21.5, we have  $\mathcal{R}(\mathcal{M}, \sigma)^{\hat{\sigma}|H^{\perp}} \approx \mathcal{R}(\mathcal{M}, \sigma|H)$  so that, identifying  $\mathcal{R}(\mathcal{M}, \sigma)^{\hat{\sigma}|H^{\perp}}$  with  $\mathcal{R}(\mathcal{M}, \sigma|H)$  via this \*-isomorphism, the subalgebra  $\mathcal{N} \subset \mathcal{R}(\mathcal{M}, \sigma|H)$  satisfies the conditions  $\mathcal{N} \supset \pi_{\sigma|H}(\mathcal{M})$ ,  $(\sigma|H)_{\mathcal{X}}(\mathcal{N}) = \mathcal{N}$   $(\mathcal{X} \in \hat{H})$ , and the subgroup  $\{\mathcal{X} \in \hat{H}; (\sigma|H)_{\mathcal{X}}^{\wedge}|\mathcal{N} = i\}$  reduces to the neutral element of  $\hat{H}$ .

Thus, it is sufficient to prove the Theorem in the case when  $\hat{\sigma}_{\gamma}|_{\mathscr{N}} \neq i$  for all  $\gamma \in \hat{G}$ ,  $\gamma \neq \varepsilon$ , where  $\varepsilon$  stands for the neutral element of G.

In this case we identify  $\mathcal{M}$  with  $\pi_{\sigma}(\mathcal{M})$  and put  $\mathcal{R} = \mathcal{R}(\mathcal{M}, \sigma)$ , so that  $\mathcal{M} \subset \mathcal{N} \subset \mathcal{R}$ . We have  $\mathcal{R}^{\widehat{\sigma}} = \mathcal{N}^{\widehat{\sigma}} = \mathcal{M}$  which, by assumption, is a factor. From Corollary 21.6 it follows that  $\tilde{\mathcal{N}} = \mathcal{R}(\mathcal{N}, \hat{\sigma})$  is a factor. Putting  $\tilde{\mathcal{R}} = \mathcal{R}(\mathcal{R}, \hat{\sigma})$  and  $\tilde{\mathcal{M}} = \mathcal{R}(\mathcal{M}, \hat{\sigma})$ , we have  $\tilde{\mathcal{M}} \subset \tilde{\mathcal{N}} \subset \tilde{\mathcal{R}}$ . If we can show that  $\tilde{\mathcal{N}} = \tilde{\mathcal{R}}$ , then it will follow that  $\mathcal{N} = \tilde{\mathcal{N}}^{\widehat{\sigma}} = \tilde{\mathcal{R}}^{\widehat{\sigma}} = \mathcal{R} = \mathcal{R}(\mathcal{M}, \sigma)$ , thus proving the Theorem.

We have  $\tilde{\mathcal{R}} = \mathcal{R}(\mathcal{R}(\mathcal{M}, \sigma), \hat{\sigma})$  and hence, by the Takesaki duality theorem (19.5), there exists a \*-isomorphism  $\tilde{\mathcal{R}} \approx \mathcal{M} \otimes \mathcal{R}(\mathcal{L}^2(G))$  such that  $(\mathcal{R}(\mathcal{M}, \sigma), \hat{\sigma}) \approx$ 

 $\approx ((\mathcal{M} \ \overline{\otimes} \ \mathcal{B}(\mathcal{L}^2(G)))^{\sigma \bullet} A^{dp}, \ i \ \overline{\otimes} \ Ad(m)). \ \text{The image of} \ \mathcal{M} \equiv \pi_{\sigma}(\mathcal{M}) = \mathcal{R}(\mathcal{M}, \sigma)^{\bullet}$  by this \*-isomorphism is just  $\pi_{\sigma}(\mathcal{M}) \subset \mathcal{M} \ \overline{\otimes} \ \mathcal{B}(\mathcal{L}^2(G))$  and hence the image of  $\tilde{\mathcal{M}} = \mathcal{R}(\mathcal{M}, \hat{\sigma})$  is (19.2.(1))  $\tilde{\mathcal{M}} \approx \mathcal{R}\{\pi_{\sigma}(\mathcal{M}), \ 1 \ \overline{\otimes} \ m(\hat{G})) = \mathcal{M} \ \overline{\otimes} \ \mathcal{L}^{\infty}(G). \ \text{Therefore,}$  we have  $\mathcal{M} \ \overline{\otimes} \ \mathcal{L}^{\infty}(G) \subset \tilde{\mathcal{N}} \subset \mathcal{M} \ \overline{\otimes} \ \mathcal{B}(\mathcal{L}^2(G))$  or, passing to the commutants,  $\mathcal{M}' \ \overline{\otimes} \ 1_G \subset \tilde{\mathcal{N}}' \subset \mathcal{M}' \ \overline{\otimes} \mathcal{L}^{\infty}(G), \ \text{where} \ \tilde{\mathcal{N}}' \ \text{is a factor. By Corollary 10.18 it follows}$  that  $\tilde{\mathcal{N}}' = \mathcal{M}' \ \overline{\otimes} \ 1_G, \ \text{that is} \ \tilde{\mathcal{N}} = \mathcal{M} \ \overline{\otimes} \ \mathcal{B}(\mathcal{L}^2(G)) = \tilde{\mathcal{M}}.$ 

We have thus proved, in the general case, that (1) holds with  $H = \{ \gamma \in \widehat{G}; \widehat{\sigma}_{\gamma} | \mathcal{N} = i \}^{\perp}$ . It is then immediate that  $1 \otimes \lambda(h) \in \mathcal{N}$  for every  $h \in H$ . Conversely, let  $g \in G$  be such that  $1 \otimes \lambda(g) \in \mathcal{N}$ . Then, for  $\gamma \in H^{\perp}$  we have  $1 \otimes \lambda(g) = \widehat{\sigma}_{\gamma}(1 \otimes \lambda(g)) = \overline{\langle g, \gamma \rangle}$  (1  $\otimes \lambda(g)$ ), hence  $\langle g, \gamma \rangle = 1$ . Thus,  $g \in H^{\perp \perp} = H$ , which proves (2).

- **21.9.** Corollary. Let  $\sigma: G \to Aut(\mathcal{M})$  be a continuous action of the commutative locally compact group G on the  $W^*$ -algebra  $\mathcal{M}$ . If
  - a) the action  $\sigma$  is dominant and  $\mathcal{M}^{\sigma}$  is a factor, or if
- b) the action  $\sigma$  is integrable,  $\Gamma(\sigma) = \hat{G}$  and  $\mathcal{M}$  is a factor, then the mappings  $H \mapsto \mathcal{N}_H = \mathcal{M}^{\sigma|H}$  and  $\mathcal{N} \mapsto H_{\mathcal{N}} = \{g \in G; \sigma_g | \mathcal{N} = 1\}$  are reciprocal order-reversing bijections between the closed subgroups H of G and the  $\sigma$ -invariant unital  $W^*$ -subalgebras  $\mathcal{N} \supset \mathcal{M}^{\sigma}$  of  $\mathcal{M}$ .

*Proof.* a) If the action  $\sigma$  is dominant, then (20.12) there exists a continuous action  $\theta: \hat{G} \to Aut(\mathcal{M})$  such that  $(\mathcal{M}, \sigma) \approx (\mathcal{R}(\mathcal{M}^{\sigma}, \theta), \hat{\theta})$ . Since  $\mathcal{M}^{\sigma}$  is assumed to be a factor, the Corollary follows immediately from Theorem 21.8.

b) Let  $\mathcal{F}$  be the countably decomposable infinite factor of type I. It is easy to see that if  $(\mathcal{M}, \sigma)$  satisfies condition b), then  $(\mathcal{M} \boxtimes \mathcal{F}, \sigma \boxtimes \iota)$  also satisfies condition b) and that if the conclusion of the Corollary is true for  $(\mathcal{M} \boxtimes \mathcal{F}, \sigma \boxtimes \iota)$ ,

it remains true for  $(\mathcal{M}, \sigma)$ .

Consequently, we may assume that the centralizer  $\mathcal{M}^{\sigma}$  is properly infinite. Then, by assumption b) and Corollary 21.7 it follows that  $\sigma$  is a dominant action. Moreover,  $\mathcal{M}^{\sigma} \approx \mathcal{M}^{\sigma} \otimes \mathcal{B}(\mathcal{L}^{2}(G)) \approx \mathcal{R}(\mathcal{M}, \sigma)$  (see 20.12.(2)) is a factor because  $\Gamma(\sigma) = \hat{G}$  and  $\mathcal{M}$  is a factor (see 21.6.(5)). We can thus restrict attention to case a), which has been already considered.

**21.10.** Let G be a locally compact abelian group and  $H \subset G$  a closed subgroup For  $g \in G$  we shall denote by  $\tilde{g} \in G/H$  its image under the canonical quotient mapping. It is known ([199], [227]) that the Haar measures on G, H and G/H can be chosen so that

(1) 
$$\int_{G} f(g) dg = \int_{G/H} \left( \int_{H} f(gh) dh \right) d\tilde{g} (f \in \mathcal{L}^{1}(G)).$$

More precisely, for every  $f \in \mathcal{L}^1(G)$  there exists a negligible set  $\Omega \subset G/H$  such that for every  $g \in G$  with  $\tilde{g} \in \Omega$ , the function  $H \ni h \mapsto f(gh)$  belongs to  $\mathcal{L}^1(H)$ , the function  $G/H \ni \tilde{g} \mapsto \int f(gh) \, \mathrm{d}h$  belongs to  $\mathcal{L}^1(G/H)$ , and (1) holds.

If  $\sigma: G \to Aut(\mathcal{M})$  is a continuous action of G on the  $W^*$ -algebra  $\mathcal{M}$ , then we can also define a continuous action  $\tilde{\sigma}: G/H \to Aut(\mathcal{M}^{\sigma|H})$  by

(2) 
$$\tilde{\sigma}_{\tilde{s}} = \sigma_{\tilde{s}} | \mathcal{M}^{\sigma|H} \qquad (g \in G).$$

Using (1) it is easy to check that if  $x \in \mathcal{M}^+$  and if  $||P_{\sigma}(x)|| < +\infty$ , then  $P_{\sigma|H}(x) \in (\overline{\mathcal{M}^{\sigma|H}})^+$ ,  $||P_{\sigma|H}(x)|| < +\infty$  and

$$P_{\overline{\sigma}}(P_{\sigma|H}(x)) = P_{\sigma}(x).$$

It follows that if the action  $\sigma$  is integrable, then the actions  $\sigma|H$  and  $\tilde{\sigma}$  are also integrable.

**21.11.** As an application of Corollary 21.9, consider the factor  $\mathcal{M} = \mathcal{B}(\mathcal{L}^2(G))$  and the continuous action  $\sigma: G \times \hat{G} \to Aut(\mathcal{M})$  defined by

$$\sigma_{g,\gamma}(x) = \lambda(g) m(\gamma)^* x m(\gamma) \lambda(g)^* \qquad (x \in \mathcal{M}, g \in G, \gamma \in \hat{G}).$$

Since  $\mathscr{B}(\mathscr{L}^2(G)) = \mathscr{R}\{\mathfrak{L}(G), \mathscr{L}^{\infty}(G)\} = \mathscr{R}\{\lambda(G), \mathbf{m}(\hat{G})\}$ , we have

$$\mathscr{M}^{\sigma} = \mathbb{C} \cdot 1_{G}.$$

Consequently,  $\Gamma(\sigma) = Sp \sigma$ , and it is easy to see that

(2) 
$$\Gamma(\sigma) = (G \times \hat{G})^{\hat{}} = \hat{G} \times G.$$

Finally, the action  $\sigma$  is integrable. Indeed, for  $\xi, \eta \in \mathcal{L}^2(G)$  the operator  $\xi \otimes \tilde{\eta} \in \mathcal{A}(\mathcal{L}^2(G))$  (see 4.23) belongs to  $\mathfrak{M}_{P_-}$  and

(3) 
$$P_{\bullet}(\xi \otimes \bar{\eta}) = (\xi | \eta) \cdot 1_{G}.$$

By Corollary 21.9 it follows that there exists a decreasing bijection between the  $\sigma$ -invariant von Neumann subalgebras of  $\mathscr{B}(\mathscr{L}^2(G))$  and the closed subgroups of  $G \times \hat{G}$ .

Let  $H \subset G \times \hat{G}$  be a closed subgroup. Then  $H^{\perp} = \{(\gamma, g) \in \hat{G} \times G; \langle h, \gamma \rangle \langle g, \chi \rangle = 1$ , for all  $(h, \chi) \in H$ . Using the commutation relations 18.9.(1), for  $g, h \in G$  and  $\gamma, \chi \in \hat{G}$  we obtain

(4) 
$$\sigma_{(\lambda, \chi)}(\lambda(g)m(\gamma)) = \overline{\langle h, \gamma \rangle} \, \overline{\langle g, \chi \rangle} \, \lambda(g)m(\gamma),$$

so that

(5) 
$$\lambda(g)\mathbf{m}(\gamma) \in \mathscr{M}^{a|H} \Leftrightarrow (\gamma, g) \in H^{\perp}.$$

The action  $\sigma$  of  $G \times \hat{G}$  on  $\mathcal{M}$  also defines a natural action  $\tilde{\sigma}$  of  $(G \times \hat{G})/H$  on  $\mathcal{M}^{\sigma|H}$  whose centralizer is  $(\mathcal{M}^{\sigma|H})^{\tilde{\sigma}} = \mathcal{M}^{\sigma} = \mathbb{C} \cdot 1_G$ . Since the action  $\sigma$  is integrable, so is the action  $\tilde{\sigma}$  (21.10) and, by 21.3.(6), it follows that

(6) 
$$\mathcal{M}^{\sigma|H} = \mathcal{R}\{\mathcal{M}^{\sigma|H}(\tilde{\sigma}; \{(\gamma, g)\}); \quad (\gamma, g) \in H^{\perp}\}.$$

Let  $(\gamma, g) \in H^1$  and  $x \in \mathcal{M}^{\sigma|H}(\tilde{\sigma}; \{(\gamma, g)\})$ . We infer from (4) that  $\lambda(g)m(\gamma) \in \mathcal{M}^{\sigma|H}(\tilde{\sigma}; \{(\gamma^{-1}, g^{-1})\})$ , hence  $x\lambda(g)m(\gamma) \in (\mathcal{M}^{\sigma|H})^{\tilde{\sigma}} = \mathbb{C} \cdot 1_G$ . Thus, there exists  $\mu \in \mathbb{C}$  with  $x = \mu(\lambda(g)m(\gamma))^*$ . We have proved that

(7) 
$$\mathcal{M}^{\sigma|H}(\tilde{\sigma}; \{(\gamma, g)\}) = \mathbf{C} \cdot (\lambda(g)m(\gamma))^*.$$

Using (5), (6) and (7), we obtain

(8) 
$$\mathscr{M}^{\sigma|H} = \mathscr{R}\{\lambda(g)\mathbf{m}(\gamma); \ (\gamma,g) \in H^{\perp}\}.$$

Equation (8) makes explicit the correspondence between the  $\sigma$ -invariant von Neumann subalgebras of  $\mathcal{B}(\mathcal{L}^2(G))$  and the closed subgroups of  $G \times \hat{G}$ .

On the other hand, by the definition of  $\sigma$  it is clear that

(9) 
$$\mathcal{M}^{\sigma|H} = \{\lambda(h)\mathbf{m}(X); (h, X) \in H\}',$$

so that we obtain the following commutation result:

**Proposition.** Let G be a locally compact abelian group and  $H \subset G \times \hat{G}$  a closed subgroup. Then

(10) 
$$\mathscr{R}\{\lambda(h)\mathsf{m}(X); \ (h, X) \in H\}' = \mathscr{R}\{\lambda(g)\mathsf{m}(\gamma); \ (\gamma, g) \in H^{\perp}\}.$$

In particular, let  $H_1$ ,  $H_2$  be two closed subgroups of G and  $H = H_1 \times H_2^{\perp}$ . In this case, (10) becomes

(11) 
$$\mathscr{R}\{\lambda(H_1), \, \mathbf{m}(H_2^1)\}' = \mathscr{R}\{\lambda(H_2), \, \mathbf{m}(H_1^1)\}.$$

Note that this contains in particular the commutation relations  $\mathcal{L}^{\infty}(G)' = \mathcal{L}^{\infty}(G)$  (for  $H_1 = H_2 = \{e\}$ ),  $\mathfrak{L}(G)' = \mathfrak{L}(G)$  ( $= \mathfrak{R}(G)$ ) (for  $H_1 = H_2 = G$ ) and  $\mathfrak{R}(\mathcal{L}^2(G)) = \mathfrak{R}\{\mathfrak{L}(G), \mathcal{L}^{\infty}(G)\}$  (for  $H_1 = \{e\}, H_2 = G$ ).

For every closed subgroup  $K \subset G$ , the set  $\mathbf{m}(K^{\perp}) \subset \mathcal{B}(\mathcal{L}^{2}(G))$  generates the von Neumann algebra consisting of operators of multiplication by those functions  $f \in \mathcal{L}^{\infty}(G)$  which are constant on the equivalence classes of G with respect to K; with an abuse of notation, we shall denote this von Neumann algebra by  $\mathcal{L}^{\infty}(G/K)$ . Then (11) can be written as follows:

(12) 
$$\mathscr{R}\{\lambda(H_1), \mathscr{L}^{\infty}(G/H_2)\}' = \mathscr{R}\{\lambda(H_2), \mathscr{L}^{\infty}(G/H_1)\}.$$

In this form, the result can be extended to arbitrary locally compact groups (see [243]).

21.12. Finally, we mention without proof the following useful result:

**Proposition.** Let  $\mathcal{M}$  be any  $W^*$ -algebra. Then every unitary cocycle  $u \in Z_{\iota \buildrel \buildrel Ad}(\mathbb{R}; U(\mathcal{M} \begin{align*} \buildrel \buildre$ 

Note that if  $\mathcal{M} = \mathbb{C}$ , the Proposition follows easily using the Stone-von Neumann uniqueness theorem (see [158], [159]) for the irreducible representation of the Heisenberg commutation relations 18.9.(1), in the case  $G = \mathbb{R}$ . Indeed, consider more generally a locally compact abelian group G and  $u \in \mathbb{Z}_{Ad\lambda}(G; \mathcal{L}^{\infty}(G))$ . Then, both  $\{\lambda(t), m(\gamma); t \in G, \gamma \in \hat{G}\}$  and  $\{u(t)\lambda(t), m(\gamma); t \in G, \gamma \in \hat{G}\}$  are irreducible representation of the Heisenberg commutation relations on  $\mathcal{L}^2(G)$ ; hence ([158], [159]) there exists a unitary operator  $v \in \mathcal{B}(\mathcal{L}^2(G))$  such that  $v\lambda(t)v^* = u(t)\lambda(t)$   $(t \in G)$ , and  $vm(\gamma)v^* = m(\gamma)$   $(\gamma \in \hat{G})$ . It follows that  $v \in U(\mathcal{L}^{\infty}(G))$  and  $u(t) = v[Ad(\lambda(t))]$   $(v^*)$   $(t \in G)$ .

For the general case we refer to [163].

21.13. Notes. The main results in this Section (21.1, 21.8, 21.11) are due to Connes and Takesaki [61]. As mentioned in Section 21.6, Theorem 21.1 is an important tool for getting information about the centre of the crossed product; this result replaces in the general case the previous result (Theorem 16.5) of Connes [36]. The Galois correspondence type results originated in the works of Dye [80] and Nakamura and Takeda [169], [170]. Theorem 21.8 completes the result of Proposition 21.5 which is due to Takesaki [248]. Proposition 21.11 is an extension (in the commutative case) of the previous results on the same line obtained by Takesaki [243] as a generalization of the Heisenberg commutation relation. Similar results concerning Galois correspondence and commutation relations in non-commutative settings are contained in Sections 22.5, 22.9 and in [243]. Further information is given in the survey article by Nakagami and Takesaki [167]. For our exposition we have used [61] and [248].

## § 22. Discrete groups

In this Section we study crossed products by actions of discrete groups. The results obtained in this case are more precise, and their proofs simpler, than in the general case. Moreover, the first concrete examples of factors appeared as crossed products by discrete groups.

**22.1.** Let G be a discrete group. Consider the Haar measure on G which assigns to each point of G the mass 1. Recall that all discrete groups are unimodular.

For  $s, t \in G$  we denote by  $\delta_t^s$  the Kronecker symbol, equal to 1 if s = t and equal to 0 if  $s \neq t$ . The Dirac functions  $\delta_t(s) = \delta_t^s$  ( $s, t \in G$ ) form an orthonormal basis  $\{\delta_t; t \in G\}$  of the Hilbert space  $\ell^2(G)$ , and we have  $(\xi | \delta_t) = \xi(t)$  ( $\xi \in \ell^2(G)$ ,  $t \in G$ ).

For any von Neumann algebra  $\mathscr{M} \subset \mathscr{B}(\mathscr{X})$  we obtain an identification  $\mathscr{M} \otimes \mathscr{B}(\ell^2(G)) \ni X \mapsto [X_{s,t}] \in Mal_G(\mathscr{M})$  via the matrix representation given by

$$X_{s_t} , \xi = [X(\xi \otimes \delta_t)](s)$$
  $(\xi \in \mathcal{H}, s, t \in G).$ 

In particular (if  $\mathcal{M} = \mathbb{C}$ ), for  $X \in \mathcal{B}(\ell^2(G))$  we have

$$X_{s,t} = [X\delta_t](s) = (X\delta_t|\delta_s) \qquad (s,t \in G).$$

Let  $\sigma: G \to Aut(\mathcal{M})$  be an action of G on  $\mathcal{M}$ . Recall that, by definition,  $\mathcal{R}(\mathcal{M}, \sigma) = \mathcal{R}\{\pi_{\sigma}(\mathcal{M}), 1_{\mathcal{M}} \overline{\otimes} \lambda(G)\}$ . Thus, if  $a: G \ni g \mapsto a(g) \in \mathcal{M}$  is a function such that the family  $\{\pi_{\sigma}(a(g)) (1 \overline{\otimes} \lambda(g))\}_{g \in G}$  is w-summable, we obtain an element (compare with 18.21.(1))

$$T_a^{\sigma} = \sum_{g \in G} \pi_{\sigma}(a(g)) (1 \otimes \lambda(g)) \in \mathcal{R}(\mathcal{M}, \sigma).$$

In order to simplify the exposition, we shall in the sequel no longer mention explicitly the w-summability of the family defining  $T_a^{\sigma}$ ; however, this condition will always be satisfied, either by assumption or construction.

It is easy to check that for every  $X \in \mathcal{M} \otimes \mathcal{B}(\ell^2(G))$  we have

$$[(\sigma_g \otimes \operatorname{Ad}(\rho(g)))(X)]_{s,t} = \sigma_g(X_{sg,tg}) \qquad (s,t,g \in G)$$

hence

$$(\mathscr{M} \mathbin{\overline{\otimes}} \mathscr{B}(\ell^2(G)))^{\sigma \mathbin{\overline{\otimes}} \operatorname{Ad}} \mathfrak{p} = \{ X \in \mathscr{M} \mathbin{\overline{\otimes}} \mathscr{B}(\ell^2(G)); \ \sigma_{\mathbf{g}}(X_{\mathbf{zg},t\mathbf{g}}) = X_{\mathbf{s},t} \ (s,t,g \in G) \}.$$

Theorem. Let  $\sigma: G \to Aut(\mathcal{M})$  be an action of the discrete group G on the  $W^*$ -algebra  $\mathcal{M}$ . Then

(1) 
$$\mathscr{R}(\mathscr{M}, \sigma) = \{T_a^{\sigma}; a: G \to \mathscr{M}\} = (\mathscr{M} \otimes \mathscr{B}(\ell^2(G)))^{\sigma \otimes \operatorname{Ad} \rho}.$$

More precisely, for  $X \in \mathcal{M} \otimes \mathcal{B}(\ell^2(G))$  we have

(2) 
$$X \in \mathcal{R}(\mathcal{M}, \sigma) \Leftrightarrow \sigma_{\mathbf{g}}(X_{s\mathbf{g},t\mathbf{g}}) = X_{s,t} \text{ for all } s, t, g \in G$$

and in this case there exists a unique function  $a: G \to \mathcal{M}$  such that  $X = T_a^{\sigma}$ , namely

(3) 
$$X = T_a^{\sigma} \Leftrightarrow a(g) = \sigma_g(X_{g,c}) \text{ for all } g \in G,$$

(4) 
$$X = T^{\sigma} \Leftrightarrow X_{\bullet, \bullet} = \sigma_{\bullet}^{-1}(a(st^{-1})) \text{ for all } s, t \in G.$$

In particular,

$$[\pi_{\sigma}(x)]_{s,t} = \delta_t^s \sigma_s^{-1}(x) \qquad (x \in \mathcal{M}, \ s, t \in G)$$

(6) 
$$[1 \ \overline{\otimes} \ \lambda(g)]_{s,t} = \delta_{\mathfrak{g}t}^{s} \quad (s,t,g \in G).$$

*Proof.* Consider  $\mathcal{M} \subset \mathcal{B}(\mathcal{H})$  realized as a von Neumann algebra. For  $x \in \mathcal{M}$ ,  $g \in G$ ,  $\xi \in \mathcal{H}$  and s,  $t \in G$  we have

$$[\pi_{\sigma}(x)]_{s,t}\xi = [\pi_{\sigma}(x) (\xi \ \overline{\otimes} \ \delta_t)](s) = \sigma_s^{-1}(x) [\xi \ \overline{\otimes} \ \delta_t](s) = \delta_t^s \sigma_s^{-1}(x) \xi$$

$$[1 \ \overline{\otimes} \ \lambda(g)]_{s,t} \xi = [(1 \ \overline{\otimes} \ \lambda(g)) \ (\xi \ \overline{\otimes} \ \delta_t)] \ (s) = [\xi \ \overline{\otimes} \ \delta_t] (g^{-1}s) = \delta_t^{g^{-1}} {}^s \xi = \delta_{gt}^t \ \xi,$$

proving (5) and (6). Then

$$[\pi_{\sigma}(x) (1 \boxtimes \lambda(g))]_{s,t} = \sum_{r} [\pi_{\sigma}(x)]_{s,r} [1 \boxtimes \lambda(g)]_{r,t}$$
$$= \sum_{r} \delta_{r}^{s} \delta_{gt}^{r} \sigma_{s}^{-1}(x) = \delta_{gt}^{s} \sigma_{s}^{-1}(x).$$

Thus, if  $X=T_a$  for some function  $a: G \to \mathcal{M}$ , then  $X_{s,t} = \sum_g \delta_{gt}^s \sigma_s^{-1}(a(g)) = \sigma_s^{-1}(a(st^{-1}))$   $(s, t \in G)$ . In particular,  $X_{g,e} = \sigma_g^{-1}(a(g))$ , hence  $a(g) = \sigma_g(X_{g,e})$ ; and  $\sigma_g(X_{sg,tg}) = \sigma_g(\sigma_{sg}^{-1}(a(st^{-1}))) = \sigma_s^{-1}(a(st^{-1})) = X_{s,t}(g, s, t \in G)$ , so that

$$\{T_a^\sigma;\ a\colon G\to \mathcal{M}\}\subset \mathcal{R}(\mathcal{M},\ \sigma)\subset (\mathcal{M}\ \overline{\otimes}\ \mathcal{B}(\ell^2(G)))^{\sigma\overline{\otimes}} \mathrm{Ad}\ \mathrm{p}.$$

Conversely, let  $X \in \mathcal{M} \ \overline{\otimes} \, \mathcal{B}(\ell^2(G))$  be such that  $\sigma_g(X_{sg,tg}) = X_{s,t}$  for all  $s,t,g \in G$ . Then we define a function  $a: G \to \mathcal{M}$  by putting  $a(g) = \sigma_g(X_{g,e}) \ (g \in G)$ , and we have  $\sigma_s^{-1}(a(st^{-1})) = \sigma_s^{-1}((\sigma_{st^{-1}}(X_{st^{-1},e})) = \sigma_{t^{-1}}(X_{st^{-1},tt^{-1}}) = X_{s,t}(s,t \in G)$ , hence  $X = T_a^{\sigma}$ .

The equality of the extreme terms in (1) has been proved also in the general case (19.13), but the possibility of writing every element  $X \in \mathcal{R}(\mathcal{M}, \sigma)$  in the form  $X = T^{\sigma}_{\sigma}$  occurs only in the case of discrete groups.

Note that if  $a(g) = \delta_g^e 1_{\mathcal{M}} (g \in G)$ , then  $T_a = 1 \in \mathcal{R}(\mathcal{M}, \sigma)$ .

22.2. Let  $\sigma: G \to \operatorname{Aut}(\mathcal{M})$  be an action of the discrete group G on the  $W^*$ -algebra  $\mathcal{M}$  and let  $\hat{\sigma}: \mathcal{R}(\mathcal{M}, \sigma) \to \mathcal{R}(\mathcal{M}, \sigma) \ \overline{\otimes} \ \mathcal{L}(G)$  be the dual action (19.3). Note that in the discrete case the identity  $\mathcal{R}(\mathcal{M}, \sigma)^{\hat{\sigma}} = \pi_{\sigma}(\mathcal{M})$  (19.3.(9)) has a simple proof based on Theorem 22.1.

The dual action  $\hat{\sigma}$  defines (19.7, 19.8) an n.s.f. operator valued weight  $P_{\hat{\sigma}}: \mathcal{R}(\mathcal{M}, \sigma)^+ \to \overline{\pi_{\sigma}(\mathcal{M})}^+$  such that for every finitely supported function  $a: G \to \mathcal{M}$  with  $T_{\sigma}^{\sigma} > 0$  we have

(1) 
$$P_{\hat{\sigma}}(T_a^{\sigma}) = \pi_{\sigma}(a(e)).$$

In particular, we have  $P_{\sigma}(1) = 1$ , so that  $P_{\sigma}$  is a faithful normal conditional expectation of the crossed product  $\mathfrak{R}(\mathcal{M}, \sigma)$  onto the image  $\pi_{\sigma}(\mathcal{M})$  of  $\mathcal{M}$ , and (1) can be extended by continuity to any function  $a: G \to \mathcal{M}$  defining an element of  $\mathfrak{R}(\mathcal{M}, \sigma)$ . Thus using 22.1.(3), we get

(2) 
$$P_{\hat{\sigma}}(X) = \pi_{\sigma}(X_{\sigma,\sigma}) \qquad (X \in \mathcal{R}(\mathcal{M},\sigma)).$$

Actually, it is easy to check directly that (1) or (2) define a faithful normal conditional expectation of  $\mathcal{R}(\mathcal{M}, \sigma)$  onto  $\pi_{\sigma}(\mathcal{M})$  and that (19.2.(3))

$$(3) P_{\widehat{\sigma}}((1 \boxtimes \lambda(g))X(1 \boxtimes \lambda(g))^{\bullet}) = (1 \boxtimes \lambda(g)) P_{\widehat{\sigma}}(X) (1 \boxtimes \lambda(g))^{\bullet}$$

for every  $X \in \mathcal{R}(\mathcal{M}, \sigma)$  and  $g \in G$ . Moreover, from (1) and (2) it follows that

$$(4) P_{\hat{\sigma}}(1 \overline{\otimes} \lambda(g)) = 0 (e \neq g \in G)$$

$$(5) P_{2}(\pi_{\sigma}(x)) = \pi_{\sigma}(x) (x \in \mathcal{M})$$

and for every element  $X \in \mathcal{R}(\mathcal{M}, \sigma)$  we have (compare with 19.12.(2))

$$(6) \quad X = \sum_{g} P_{\widehat{\sigma}}(X(1 \ \overline{\otimes} \lambda(g^{-1}))) \ (1 \ \overline{\otimes} \lambda(g)) = \sum_{g} P_{\widehat{\sigma}}(X(1 \ \overline{\otimes} \lambda(g))) (1 \ \overline{\otimes} \lambda(g^{-1})).$$

The next Proposition gives us a characterization of crossed products by discrete groups which is different from Landstad's theorem.

**Proposition.** Let  $\sigma: G \to Aut(\mathcal{M})$  be an action of the discrete group G on the countably decomposable  $W^*$ -algebra  $\mathcal{M}$ . Let  $\mathcal{N}$  be a  $W^*$ -algebra with the property that there exist:

- an injective unital normal \*-homomorphism  $\pi: \mathcal{M} \to \mathcal{N}$ ,
- a faithful normal conditional expectation  $P: \mathcal{N} \to \pi(\mathcal{M})$ ,
- a unitary representation  $u: G \to \mathcal{N}$ .

such that

- a)  $\mathcal{N} = \mathcal{R}\{\pi(\mathcal{M}), u(G)\},\$
- b)  $\pi(\sigma_g(x)) = u(g)\pi(x)u(g)^*$  for all  $x \in \mathcal{M}$  and  $g \in G$ ,
- c) P(u(g)) = 0 for all  $e \neq g \in G$ .

Then there exists a \*-isomorphism  $\Phi: \mathcal{N} \to \mathcal{R}(\mathcal{M}, \sigma)$  such that

(1) 
$$\Phi(\pi(x)) = \pi_{\sigma}(x) \qquad (x \in \mathcal{M})$$

(2) 
$$\Phi(u(g)) = 1 \ \overline{\otimes} \ \lambda(g) \qquad (g \in G)$$

(3) 
$$\Phi(P(X)) = P_{\hat{\sigma}}(\Phi(X)) \qquad (X \in \mathcal{N}).$$

**Proof.** Since  $\mathcal{M}$  is countably decomposable, there exists a faithful normal state  $\varphi \in \mathcal{M}_{*}$ . Then  $\psi = \varphi \circ \pi^{-1} \circ P$  is a faithful normal state on  $\mathcal{N}$ . Let  $\pi_{*} \colon \mathcal{N} \to \mathcal{B}(\mathcal{H}_{*})$  be the GNS representation associated with  $\psi$ , with cyclic vector  $\xi_{*} \in \mathcal{H}_{*}$ . For  $x, y \in \mathcal{M}$  and  $s, t \in G$  we have

$$(\pi_{\psi}(\pi(x)u(s))\xi_{\psi} \mid \pi_{\psi}(\pi(y)u(t))\xi_{\psi}) = (\pi_{\psi}(u(t)^{*}\pi(y^{*}x)u(s))\xi_{\psi} \mid \xi_{\psi})$$

$$= (\pi_{\psi}(u(t^{-1}s)\pi(\sigma_{s}^{-1}(y^{*}x))\xi_{\psi} \mid \xi_{\psi}) = \psi(u(t^{-1}s)\pi(\sigma_{s}^{-1}(y^{*}x)))$$

$$= (\sigma \cdot \pi^{-1}) (P(u(t^{-1}s))\pi(\sigma_{s}^{-1}(y^{*}x))) = \delta_{s}^{*} \varphi(\sigma_{s}^{-1}(y^{*}x)).$$

Consider now  $(\mathcal{N}_1, \pi_1, P_1, u_1) = (\mathcal{N}, \pi, P, u)$  and  $(\mathcal{N}_2, \pi_2, P_2, u_2) = (R(\mathcal{M}, \sigma), \pi_{\sigma}, P_{\widehat{\sigma}}, 1 \otimes \lambda)$  and the corresponding states  $\psi_1$  and  $\psi_2$ . The previous computation shows that

$$\left\| \sum_{j=1}^{n} \pi_{\psi_{1}}(\pi_{1}(x_{j})u_{1}(s_{j}))\xi_{\psi_{1}} \right\|^{2} = \left\| \sum_{j=1}^{n} \pi_{\psi_{1}}(\pi_{2}(x_{j})u_{2}(s_{j}))\xi_{\psi_{2}} \right\|^{2}$$

for every  $x_1, \ldots, x_n \in \mathcal{M}$  and every  $s_1, \ldots, s_n \in G$ . Since  $\mathcal{N}_k = \mathcal{R}\{\pi_k(\mathcal{M}), u_k(G)\}$  and  $\overline{\pi_{\psi_k}(\mathcal{N}_k)\xi_{\psi_k}} = \mathcal{H}_{\psi_k}$ , it follows that there exists a unitary operator  $V: \mathcal{H}_{\psi_1} \to \mathcal{H}_{\psi_1}$  such that

$$V\pi_{\psi_1}(\pi_1(x)u_1(s))\xi_{\psi_1}=\pi_{\psi_2}(\pi_2(x)u_2(s))\xi_{\psi_2} \qquad (x\in\mathcal{M},\ s\in G).$$

It is then easy to check (on vectors of the form  $\pi_{\psi_2}(\pi_2(y)u_2(t))\xi_{\psi_2}$  with  $y \in \mathcal{M}$ ,  $t \in G$ ) that

$$V\pi_{\psi_1}(\pi_1(x))V^* = \pi_{\psi_1}(\pi_2(x)) \qquad (x \in \mathcal{M})$$

$$V\pi_{\psi_1}(u_1(g))V^* = \pi_{\psi_2}(u_2(g)) \qquad (g \in G).$$

Thus the mapping  $\Phi: \mathcal{N} = \mathcal{N}_1 \ni X \mapsto \pi_{\psi_2}^{-1}(V\pi_{\psi_1}(X)V^*) \in \mathcal{N}_2 = \mathcal{R}(\mathcal{M}, \sigma)$  is the required \*-isomorphism.

Note that if in Proposition 22.2 the action  $\sigma$  is assumed to be properly outer, then condition c) can be omitted from the statement, since it is automatically satisfied.

Indeed, from b) it follows that  $\pi(\sigma_g(x))u(g) = u(g)\pi(x)$ ; hence  $\pi(\sigma_g(x))P(u(g)) = P(u(g))\pi(x)$ , so that either g = e, or P(u(g)) = 0, since the action  $\sigma$  is properly outer (see 17.6 and 17.4.(2)).

**22.3.** Let  $\sigma: G \to Aut(\mathcal{M})$  be an action of the discrete group G on the  $W^*$ -algebra  $\mathcal{M}$ . By the last remark of Section 17.4,  $\sigma$  is properly outer if and only if

$$a \in \mathcal{M}, g \in G, xa = a\sigma_g(x)$$
 for all  $x \in \mathcal{M} \Leftrightarrow g = e$  or  $a = 0$ .

We shall say that  $\sigma$  acts freely on  $\mathcal{Z}(\mathcal{M})$  if each  $\sigma_g$  ( $e \neq g \in G$ ), acts freely on  $\mathcal{Z}(\mathcal{M})$  (17.5). By Proposition 17.5,  $\sigma$  acts freely on  $\mathcal{Z}(\mathcal{M})$  if and only if

$$a \in \mathcal{M}, g \in G, za = a\sigma_g(z)$$
 for all  $z \in \mathcal{Z}(\mathcal{M}) \Leftrightarrow g = e$  or  $a = 0$ .

Theorem ("Relative Commutant Theorem"). Let  $\sigma: G \to Aut(\mathcal{M})$  be an action of the discrete group G on the W\*-algebra  $\mathcal{M}$ . Then

(1) 
$$\sigma$$
 is properly outer  $\Leftrightarrow \pi_{\bullet}(\mathcal{M}') \cap \mathcal{R}(\mathcal{M}, \sigma) = \pi_{\bullet}(\mathcal{Z}(\mathcal{M})),$ 

(2) 
$$\sigma$$
 acts freely on  $\mathcal{Z}(\mathcal{M}) \Leftrightarrow \pi_{\sigma}(\mathcal{Z}(\mathcal{M}))' \cap \mathcal{R}(\mathcal{M}, \sigma) = \pi_{\sigma}(\mathcal{M})$ .

Proof. Let  $X = \sum_{g} \pi_{\sigma}(a(g)) \ (1 \ \overline{\otimes} \ \lambda(g)) \in \mathcal{R}(\mathcal{M}, \sigma)$  and  $x \in \mathcal{M}$ . Then  $\pi_{\sigma}(x)X = \sum_{g} \pi_{\sigma}(xa(g)) \ (1 \ \overline{\otimes} \ \lambda(g))$  and  $X\pi_{\sigma}(x) = \sum_{g} \pi_{\sigma}(a(g)\sigma_{g}(x)) \ (1 \ \overline{\otimes} \ \lambda(g))$  so that

(3) 
$$X \in \pi_{\sigma}(\mathcal{M})' \Leftrightarrow xa(g) = a(g)\sigma_{g}(x)$$
 for all  $x \in \mathcal{M}$ ,  $g \in G$ ,

(4) 
$$X \in \pi_{\sigma}(\mathcal{Z}(\mathcal{M}))' \Leftrightarrow za(g) = a(g)\sigma_{g}(z) \text{ for all } z \in \mathcal{Z}(\mathcal{M}), g \in G,$$

from which (1) and (2) follow immediately.

Note that if  $\sigma$  acts freely on  $\mathscr{Z}(\mathcal{M})$ , then both (1) and (2) hold, so that

(5) 
$$(\pi_{\sigma}(\mathcal{M})' \cap \mathcal{R}(\mathcal{M}, \sigma))' \cap \mathcal{R}(\mathcal{M}, \sigma) = \pi_{\sigma}(\mathcal{M}),$$

(6) 
$$(\pi_{\sigma}(\mathcal{Z}(\mathcal{M}))' \cap \mathcal{R}(\mathcal{M}, \sigma))' \cap \mathcal{R}(\mathcal{M}, \sigma) = \pi_{\sigma}(\mathcal{Z}(\mathcal{M})).$$

22.4. Consider a  $W^*$ -algebra  $\mathcal N$  and a unital  $W^*$ -subalgebra  $\mathcal M$  of  $\mathcal N$  such that  $\mathcal M' \cap \mathcal N \subset \mathcal M$  and such that there exists a normal conditional expectation  $P: \mathcal N \to \mathcal M$ . By Proposition 10.17, P is uniquely determined and faithful and for the normalizer  $\mathcal N(P)$  of P we have  $\mathcal N(P) = \{v \in U(\mathcal N); P \circ \mathrm{Ad}(v) = \mathrm{Ad}(v) \circ P\} = \{v \in U(\mathcal N); v \mathcal M v^* = \mathcal M\}$ .

Lemma. For every  $v \in \mathcal{N}(P)$  we have  $vP(v^*) = P(v)v^* = P(v)P(v^*) = P(v^*)P(v) = v^*P(v) = P(v^*)v = p(Ad(v) | \mathcal{M})$  (see 17.2).

Proof. Indeed, we have  $vP(v^*)v^* = P(vv^*v^*) = P(v^*)$ , hence  $vP(v^*) = P(v^*)v$ . Similarly,  $P(v)v^* = v^*P(v)$ . For  $x \in \mathcal{M}$  we have  $vxv^* \in \mathcal{M}$ , hence  $P(v)x = P(vx) = P(vx)v = vxv^*P(v)$ , so that  $v^*P(v) \in \mathcal{M}' \cap \mathcal{N} \subset \mathcal{M}$ . Therefore,  $v^*P(v) = P(v^*P(v)) = P(v^*)P(v)$ . Replacing v here by  $v^*$  we get  $vP(v^*) = P(v)P(v^*)$ . Finally, applying P to the identity  $P(v)v^* = v^*P(v)$ , we obtain  $P(v)P(v^*) = P(v^*)P(v)$ . Thus, the first six terms appearing in the statement are all equal to some element  $p \in \mathcal{M}' \cap \mathcal{N} = \mathcal{Z}(\mathcal{M})$ , which is a projection since  $p^* = (P(v)v^*)^* = vP(v^*) = p$  and  $p^* = v^*P(v)vP(v^*) = P(v^*vv)P(v^*) = P(v)P(v^*) = p$ .

On the other hand, we have  $[Ad(v)](p) = vpv^* = vv^*P(v)v^* = vP(v^*) = p$ . Since  $P(v)P(v)^* = p = P(v)^*P(v)$ , P(v) is a unitary element in  $\mathcal{M}p$  and for every  $x \in \mathcal{M}p$  we have  $[Ad(v)](x) = vxv^* = vpxpv^* = vv^*P(v)xP(v^*)vv^* = P(v)xP(v)^*$ . Thus,  $p \leq p(Ad(v)|\mathcal{M})$ . Let  $q = p(Ad(v)|\mathcal{M}) - p$  and consider a unitary element u in  $\mathcal{M}q$  such that  $[Ad(v)](x) = uxu^*$  for all  $x \in \mathcal{M}q$ . Then  $v^*u \in \mathcal{N}$ ,  $u^*vv^*u = u^*u = q$ ,  $v^*uu^*v = v^*qv = q$  and  $v^*uxu^*v = x$  for all  $x \in \mathcal{M}q$ . Since  $q \in \mathcal{Z}(\mathcal{M})$ , it follows that  $v^*u \in \mathcal{M}' \cap \mathcal{N} = \mathcal{Z}(\mathcal{M})$ , so that there exists a unitary element  $z \in \mathcal{Z}(\mathcal{M}q)$  such that u = vz; have  $q = uu^* = P(u)P(u^*) = P(v)zz^*P(v^*) = qP(v)P(v^*) = qp = 0$ .

Recall (17.3) that [G] denotes the full group associated with a group  $G \subset Aut(\mathcal{M})$ .

**Proposition.** Let  $\mathcal{N}$  be a  $W^*$ -algebra and  $\mathcal{M} \subset \mathcal{N}$  a unital  $W^*$ -subalgebra such that  $\mathcal{M}' \cap \mathcal{N} \subset \mathcal{M}$  and such that there exists a normal conditional expectation  $P: \mathcal{N} \to \mathcal{M}$ . Let  $\mathcal{G} \subset \mathcal{N}(P)$  be a subgroup such that  $\mathcal{N} = \mathcal{R}\{\mathcal{M}, \mathcal{G}\}$ . Then  $\{\operatorname{Ad}(v) \mid \mathcal{M}; v \in \mathcal{N}(P)\} = \{\operatorname{Ad}(w) \mid \mathcal{M}; w \in \mathcal{G}\}$ .

*Proof.* Let  $v \in \mathfrak{N}(P)$ . From the previous Lemma it follows that

$$p(\mathrm{Ad}(w^*v) \mid \mathscr{M}) = \mathsf{s}(P(w^*v)) \qquad (w \in \mathscr{G}).$$

Thus, in order to prove that  $Ad(v) \mid \mathcal{M} \in [Ad(\mathcal{G}) \mid \mathcal{M}]$ , it is sufficient to show that for every non-zero projection  $q \in \mathcal{Z}(\mathcal{M})$  there exists  $w \in G$  such that  $qP(w^*v) \neq 0$ . Let  $q \in \mathcal{Z}(\mathcal{M})$  be a non-zero projection. Then  $0 \neq q = qP(v^*v)$ . Since  $\mathcal{N} = \mathcal{R}\{\mathcal{M}, \mathcal{G}\}$ , there exist  $a \in \mathcal{M}$ ,  $w \in \mathcal{G}$  such that  $0 \neq qP(aw^*v) = qaP(w^*v)$ , hence  $qP(w^*v) \neq 0$ .

Conversely, let  $\sigma \in [\operatorname{Ad}(\mathfrak{S}) \mid \mathcal{M}] \subset \operatorname{Aut}(\mathcal{M})$ . By Section 17.3, there exists a family  $\{(q_i, u_i, w_i)\}_{i \in I}$  where  $q_i \in \mathcal{Z}(\mathcal{M})$  are projections,  $\sum_i q_i = 1$ ,  $u_i \in \mathcal{M}$ ,  $u_i^*u_i = u_i u_i^* = q_i$ ,  $w_i \in \mathfrak{S}$ ,  $\sigma(q_i) = w_i q_i w_i^*$  and  $\sigma(x) = \sum_i w_i u_i x u_i^* w_i^*$  for all  $x \in \mathcal{M}$ . Then  $v = \sum_i w_i u_i \in U(\mathcal{N})$  and  $\sigma = \operatorname{Ad}(v) \mid \mathcal{M}$ , hence  $v \in \mathcal{M}(P)$ .

Thus, in the setting of the Proposition, for every  $v \in \mathcal{S}(P)$  there exists a family  $\{(q_i, u_i, w_i)\}_{i \in I}$ , where the  $q_i \in \mathcal{Z}(\mathcal{M})$  are projections,  $\sum_i q_i = 1, u_i \in \mathcal{M}, u_i^* u_i = u_i u_i^* = q_i, w_i \in \mathcal{G}, vq_i v^* = w_i q_i w_i^*$  and  $vxv^* = \sum_i w_i u_i x u_i^* w_i^*$  for all  $x \in \mathcal{M}$ . If  $x \in \mathcal{M}q_i$ , then  $vxv^* = w_i u_i x u_i^* w_i^*$ , and  $v^* w_i u_i \in (\mathcal{M}q_i)' \cap \mathcal{N}$ . It follows that  $v^* w_i u_i \in \mathcal{Z}(\mathcal{M})q_i$  so that, multiplying  $u_i$  by some unitary element of  $\mathcal{Z}(\mathcal{M})q_i$  if necessary, we may assume that  $v^* w_i u_i = q_i$ . Since  $\sum_i q_i = 1$ , it follows that

(1) 
$$v = \sum_{i} w_i u_i \text{ with } w_i \in G, u_i \in U(\mathcal{Z}(\mathcal{M})q_i), \sum_{i} q_i = 1, vq_i = w_i u_i.$$

In particular, let  $\sigma: G \to Aut(\mathcal{M})$  be a properly outer action of the discrete group G on the  $\mathcal{W}^*$ -algebra  $\mathcal{M}$ . Then the conclusion of the previous Proposition is valid for  $\mathcal{N} = \mathcal{R}(\mathcal{M}, \sigma)$ ,  $\mathcal{M} = \pi_{\sigma}(\mathcal{M}) \subset \mathcal{N}$ ,  $P = P_{\sigma}$ ,  $G = \{1 \boxtimes \lambda(g); g \in G\} \subset \mathcal{N}(P_{\sigma})$  (see 22.2, 22.3), and can be formulated as follows:  $[\sigma(G)] = \{\alpha \in Aut(\mathcal{M}); \alpha \in \mathcal{R}\}$  extends to an inner \*-automorphism of  $\mathcal{R}(\mathcal{M}, \sigma)$ .

22.5. The next result concerns the structure of certain "intermediate subalgebras" of the crossed product (compare with Theorem 21.8).

**Proposition.** Let  $\sigma: G \to \operatorname{Aut}(\mathcal{M})$  be a (properly) outer action of the discrete group G on the factor  $\mathcal{M}$ . If  $\mathcal{N}$  is a unital  $W^*$ -subalgebra of  $\Re(\mathcal{M}, \sigma)$  containing  $\pi_{\sigma}(\mathcal{M})$  and there exists a normal conditional expectation  $P_{x}: \Re(\mathcal{M}, \sigma) \to \mathcal{N}$ , then

(1) 
$$H = \{g \in G; \ 1 \ \overline{\otimes} \ \lambda(g) \in \mathcal{N}\}$$

is a subgroup of G,

(2) 
$$\mathcal{N} = \mathcal{R}\{\pi_{\sigma}(\mathcal{M}), \ 1 \ \overline{\otimes} \ \lambda(H)\} \approx \mathcal{R}(\mathcal{M}, \ \sigma \mid H)$$

(3) 
$$P_{\mathcal{X}}(1 \otimes \lambda(g)) = 0 \text{ for all } g \in G \setminus H$$

*Proof.* We put  $u(g) = 1 \otimes \lambda(g)$  and identify  $\mathcal{M} \equiv \pi_{\mathfrak{o}}(\mathcal{M}) \subset \mathcal{N}$ .

We first prove (3). For  $g \in G$  and  $x \in \mathcal{M}$  we have  $xP_{\mathcal{N}}(u(g)) = P_{\mathcal{N}}(xu(g)) = P_{\mathcal{N}}(u(g)u(g)^*xu(g)) = P_{\mathcal{N}}(u(g))u(g)^*xu(g)$ , hence  $P_{\mathcal{N}}(u(g))u(g)^* \in \mathcal{M}' \cap \mathcal{R}(\mathcal{M}, \sigma)$ . Since  $\sigma$  is properly outer, it follows that  $P_{\mathcal{N}}(u(g))u(g)^* \in \mathcal{Z}(\mathcal{M})$  (22.3). Since  $\mathcal{M}$  is a factor, we deduce also that  $P_{\mathcal{N}}(u(g)) = \lambda u(g)$  for some  $\lambda \in \mathbb{C}$ . If  $\lambda \neq 0$ , then  $u(g) \in \mathcal{N}$ , that is  $g \in \mathcal{H}$ . Thus,  $P_{\mathcal{N}}(u(g)) = 0$  for all  $g \in G \setminus \mathcal{H}$ .

Consider now  $X \in \mathcal{N} \subset \mathcal{R}(\mathcal{M}, \sigma)$ ,  $X = \sum_{g} a(g)u(g)$  (22.1). Then, using (3), we get  $X = P_{\mathcal{N}}(X) = \sum_{g} a(g)P_{\mathcal{N}}(u(g)) = \sum_{g} a(g)u(g) \in \mathcal{R}\{\mathcal{M}, u(H)\}$ , proving (2).

22.6. We now study the centre of the crossed product.

**Theorem.** Let  $\sigma: G \to Aut(\mathcal{M})$  be an action of the discrete group G on the  $W^*$ -algebra  $\mathcal{M}$  and let  $X = \sum_{g} \pi_{\sigma}(a(g))$   $(1 \otimes \lambda(g)) \in \mathcal{R}(\mathcal{M}, \sigma)$  an arbitrary element of the crossed product  $(a(g) \in \mathcal{M})$  for all  $g \in G$ . Then we have  $X \in \mathcal{Z}(\mathcal{R}(\mathcal{M}, \sigma))$  if and only if the following conditions are satisfied:

(1) 
$$a(g)\sigma_g(x) = xa(g) \quad (x \in \mathcal{M}, g \in G)$$

(2) 
$$\sigma_s(a(g)) = a(sgs^{-1}) \quad (s, g \in G).$$

In this case

(3) 
$$a(e) \in \mathcal{Z}(\mathcal{M})^{\sigma}$$
.

*Proof.* By 22.3.(3), (1) means that  $X \in \pi_{\sigma}(\mathcal{M})'$ . On the other hand, it is easy to check that (2) is equivalent to the statement that X commutes with all  $1 \otimes \lambda(s)$   $(s \in G)$ . Finally, (3) follows immediately from (1) and (2), with g = e.

Corollary 1. If the action  $\sigma: G \to Aut(\mathcal{M})$  of the discrete group G on the  $W^*$ -algebra  $\mathcal{M}$  is properly outer and its restriction to  $\mathcal{Z}(\mathcal{M})$  is ergodic, then the crossed product  $\mathcal{A}(\mathcal{M}, \sigma)$  is a factor.

In particular, if  $\mathcal{M}$  is a factor, then the ergodicity condition  $\mathcal{L}(\mathcal{M})^{\sigma} = \mathbb{C} \cdot 1_{\mathcal{M}}$  is automatically satisfied.

Also, if G is commutative, we can also deduce the discrete case of Corollary 21.6 from the above Theorem. Indeed, from (2) it follows that  $a(g) \in \mathcal{M}^{\sigma}$  for all  $g \in G$  and using (1) we deduce also that  $a(g) \in \mathcal{Z}(\mathcal{M}^{\sigma})$ . Thus, if  $\mathcal{M}^{\sigma}$  is a factor, then a(g) are all scalars. Furthermore, if  $a(g) \neq 0$ , then it follows from (1) that  $\sigma_g = \iota$ . Consequently, if  $\sigma_g \neq \iota$  for all  $g \neq e$ , then a(g) = 0 for all  $g \neq e$ .

If  $\mathcal{M} = \mathbb{C}$  and  $\sigma: G \to Aut(\mathcal{M})$  is the trivial action, then  $\mathcal{R}(\mathcal{M}, \sigma) = \mathfrak{L}(G)$ . In this case an element  $X = \sum_{g} \alpha(g)\lambda(g) \in \mathfrak{L}(G)$  belongs to  $\mathcal{L}(\mathfrak{L}(G))$  if and only if  $\alpha(g) = \alpha(sgs^{-1})$  for all  $s, g \in G$ . However, the function  $\alpha: G \to \mathbb{C}$  is not arbitrary, as  $X \in \mathcal{B}(\ell^2(G))$ . Thus,  $X\delta_g \in \ell^2(G)$ , that is,

(4) 
$$\sum_{g} |\alpha(g)|^2 = \sum_{g} |(X\delta_e)(g)| < +\infty.$$

The discrete group G will be called an *ICC-group* if for every  $g \in G$ ,  $g \neq e$ , the conjugacy class  $\{sgs^{-1}; s \in G\}$  is an infinite set. The above discussion shows

**Corollary 2.** Let G be a discrete group. Then  $\mathfrak{L}(G)$  is a factor if and only if G is an ICC-group, or  $G = \{e\}$ .

It is clear that the free group  $F_k$  with k generators  $(2 \le k \le \infty)$  is an *ICC*-group and hence that  $\mathfrak{L}(F_k)$  is a factor.

Also, it is easy to check that the group  $S(\infty)$  of all bijections  $\gamma: \mathbb{N} \to \mathbb{N}$  with the property that  $\gamma(n) = n$  for sufficiently large  $n \in \mathbb{N}$ , is also an *ICC*-group, so that  $\mathfrak{L}(S(\infty))$  is a factor.

In order to extend the result of Corollary 2, let us now consider an arbitrary action  $\sigma: G \to Aut(\mathcal{M})$  of the discrete group G on the von Neumann algebra  $\mathcal{M} \subset \mathcal{B}(\mathcal{H})$ . Let  $X = \sum_{\sigma} \pi_{\sigma}(a(g))$   $(1 \boxtimes \lambda(g)) \in \mathcal{R}(\mathcal{M}, \sigma) \subset \mathcal{B}(\ell^{2}(G, \mathcal{H}))$  and  $\xi \in \mathcal{H}$ .

We have  $[X(\xi \otimes \delta_e)](g) = X_{g,e}\xi = \sigma_g^{-1}(a(g))\xi$ , hence

$$\sum_{\mathbf{g}} \|\sigma_{\mathbf{g}}^{-1}(a(\mathbf{g}))\xi\|^2 = \|X(\xi \ \overline{\otimes} \ \delta_{\epsilon})\|^2 < +\infty.$$

Furthermore, assuming that  $\|\sigma_t(x)\xi\| = \|x\xi\|$   $(x \in \mathcal{M}, t \in G)$ , the above inequality becomes

$$\sum_{g} \|a(g)\,\xi\|^2 < +\infty,$$

and if  $X \in \mathcal{Z}(\mathcal{R}(\mathcal{M}, \sigma))$ , then (2) shows that

$$||a(g) \xi|| = ||a(sgs^{-1}) \xi||$$
  $(s, g \in G).$ 

If G is an ICC-group, then we conclude that

$$X \in \mathcal{Z}(\mathcal{R}(\mathcal{M}, \sigma)) \Rightarrow a(g) \xi = 0 \text{ for all } e \neq g \in G.$$

If, moreover,  $\xi \in \mathcal{H}$  is a separating vector for  $\mathcal{M} \subset \mathcal{B}(\mathcal{H})$ , this means that

$$X \in \mathcal{Z}(\mathcal{R}(\mathcal{M}, \sigma)) \Rightarrow a(g) = 0 \text{ for all } e \neq g \in G.$$

Finally, if  $\sigma$  is ergodic on  $\mathcal{Z}(\mathcal{M})$ , then we infer from (3) that  $a(e) \in \mathbb{C} \cdot 1_{\mathcal{M}}$ .

We have thus proved that if G is an ICC-group acting ergodically on  $\mathcal{Z}(\mathcal{M})$  and if there exists a separating vector  $\xi \in \mathcal{H}$  for  $\mathcal{M}$  such that  $\|\sigma_i(x)\xi\| = \|x\xi\|$   $(x \in \mathcal{M}, t \in G)$ , then  $\mathcal{R}(\mathcal{M}, \sigma)$  is a factor. Using the GNS-representation, this result can be formulated as follows:

Corollary 3. Let  $\sigma: G \to Aut(\mathcal{M})$  be an action of the ICC-group G on the W\*-algebra  $\mathcal{M}$  whose restriction to  $\mathcal{L}(\mathcal{M})$  is ergodic and such that there exists a  $\sigma$ -invariant faithful normal state on  $\mathcal{M}$ . Then  $\mathcal{R}(\mathcal{M}, \sigma)$  is a factor.

22.7. We now study the type of the crossed product. Let  $\sigma:G \to Aut(\mathcal{M})$  be an action of the discrete group G on the  $W^*$ -algebra  $\mathcal{M}$ . We put  $u(g) = 1 \otimes \lambda(g)$   $(g \in G)$ , and we identify  $\mathcal{M} \equiv \pi_{\sigma}(\mathcal{M}) \subset \mathcal{R}(\mathcal{M}, \sigma)$ . Thus, an arbitrary element of  $\mathcal{R}(\mathcal{M}, \sigma)$  is of the form  $X = \sum_{g} a(g)u(g)$  with  $a(g) \in \mathcal{M}$   $(g \in G)$ , and the conditional expectation  $P = P_{\alpha}$  of  $\mathcal{R}(\mathcal{M}, \sigma)$  onto  $\mathcal{M}$  is defined by P(X) = a(e).

**Theorem 1.** The crossed product  $\mathcal{R}(\mathcal{M}, \sigma)$  is a finite  $W^*$ -algebra if and only if there exists a separating family of  $\sigma$ -invariant finite normal traces on  $\mathcal{M}$ .

*Proof.* Assume that  $\mathcal{R}(\mathcal{M}, \sigma)$  is finite. Let  $a \in \mathcal{M}^+$ ,  $a \neq 0$ . There exists a finite normal trace  $\mu$  on  $\mathcal{R}(\mathcal{M}, \sigma)$  with  $\mu(a) \neq 0$ . Then  $\tau = \mu \mid \mathcal{M}$  is a finite normal trace on  $\mathcal{M}$  and  $\tau(a) \neq 0$ . For  $x \in \mathcal{M}$ ,  $g \in G$  we have  $\tau(\sigma_{\mathbf{z}}(x)) = \mu(\sigma_{\mathbf{z}}(x)) = \mu(u(g)xu(g)^*) = \mu(x) = \tau(x)$ , hence  $\tau$  is  $\sigma$ -invariant.

Conversely, let  $\tau$  be a  $\sigma$ -invariant finite normal trace on  $\mathcal{M}$ . Then  $\mu = \tau \cdot P$  is a positive normal linear form on  $\mathcal{R}(\mathcal{M}, \sigma)$  with  $s(\mu) = s(\tau)$ . For  $X = \sum_{s} a(g)u(g) \in \mathcal{R}(\mathcal{M}, \sigma)$  we have  $X^*X = \sum_{s} (\sum_{s} \sigma_s^{-1}(a(s)^*a(sg)))u(g)$ ,  $XX^* = \sum_{s} (\sum_{s} a(gs) \times \sigma_s(a(s)^*))u(g)$ , so that  $P(X^*X) = \sum_{s} \sigma_s^{-1}(a(s)^*a(s))$ ,  $P(XX^*) = \sum_{s} a(s)a(s)^*$  and hence  $\mu(X^*X) = \sum_{s} \tau(\sigma_s^{-1}(a(s)^*a(s))) = \sum_{s} \tau(a(s)^*(a(s))) = \sum_{s} \tau(a(s)a(s)^*) = \mu(XX^*)$ , i.e.  $\mu$  is a trace.

Thus, if G is a discrete ICC-group, then  $\mathfrak{L}(G)$  is a finite infinite-dimensional factor, that is a factor of type II<sub>1</sub>.

Theorem 2. If there exists a  $\sigma$ -invariant n.s.f. trace on  $\mathcal{M}$ , then the crossed product  $\mathcal{A}(\mathcal{M}, \sigma)$  is semifinite.

Conversely, if the crossed product  $\mathcal{R}(\mathcal{M}, \sigma)$  is semifinite and  $\sigma$  acts freely on  $\mathcal{Z}(\mathcal{M})$ , then there exists a  $\sigma$ -invariant n.s. f. trace on  $\mathcal{M}$ .

**Proof.** The first assertion is proved with the same arguments as in Theorem 1. If  $\mathcal{R}(\mathcal{M}, \sigma)$  is semifinite, then there exists an n.s.f. trace  $\mu$  on  $\mathcal{R}(\mathcal{M}, \sigma)$ . As in the proof of Theorem 1 one can show that  $\tau = \mu \mid \mathcal{M}$  is a  $\sigma$ -invariant faithful normal trace on  $\mathcal{M}$ . Since  $\mu$  is semifinite, there exists a net  $\{x_i\} \subset \mathcal{R}(\mathcal{M}, \sigma)$  such that  $\mu(x_i^*x_i) < +\infty$  and  $x_i \xrightarrow{\omega} 1$ . Then  $a_i = P(x_i) \in \mathcal{M}$  and  $a_i \xrightarrow{\omega} P(1) = 1$ . Thus, in order to prove that  $\tau$  is semifinite, it is sufficient to show that

$$x \in \mathcal{R}(\mathcal{M}, \sigma), \ \mu(x^*x) < +\infty \Rightarrow \mu(P(x)^*P(x)) < +\infty.$$

By Proposition 10,14, there exists  $a \in \overline{co}^*\{uxu^*; u \in U(\mathcal{Z}(\mathcal{M}))\} \cap \mathcal{Z}(\mathcal{M})'$ . Since  $\sigma$  acts freely on  $\mathcal{Z}(\mathcal{M})$ , it follows by Theorem 22.3.(2) that  $a \in \mathcal{M}$ , hence P(a) = a. For  $u \in U(\mathcal{Z}(\mathcal{M}))$  we have  $P(uxu^*) = uP(x)u^* = P(x)$ , hence a = P(a) = P(x). Using Proposition 10.14 again, we conclude that  $\mu(a^*a) < +\infty$ , i.e.  $\mu(P(x)^*P(x)) < +\infty$ .

22.8. In this Section we consider crossed products of abelian  $W^*$ -algebras by actions

of countable discrete groups and some concrete examples.

Let  $\Omega$  be a locally compact Hausdorff topological space with a countable basis of open sets and  $\mu$  a sigma-finite positive Borel measure on  $\Omega$ . Consider the Hilbert space  $\mathcal{H} = \mathcal{L}^2(\Omega, \mu)$  and the maximal abelian von Neumann algebra  $\mathcal{M} = \mathcal{L}^{\infty}(\Omega, \mu) \subset \mathcal{B}(\mathcal{H})$ .

Let G be a countable discrete group of homeomorphisms of  $\Omega$ ; for each  $g \in G$  we shall denote by  $T_g: \Omega \to \Omega$  the corresponding homeomorphism of  $\Omega$ . We shall assume that the measure  $\mu$  is G-quasi-invariant, i.e. for every  $g \in G$  the measures  $\mu$  and  $\mu \circ T_g$  are equivalent in the sense of absolute continuity. In this case it is clear that the formula  $\sigma_g(x) = x \circ T_{g^{-1}}$   $(x \in \mathcal{M}, g \in G)$  defines an action  $\sigma: G \to Aut(\mathcal{M})$  of G on  $\mathcal{M}$ .

We shall say that G acts (almost) freely on  $(\Omega, \mu)$  if for every  $g \in G$ ,  $g \neq e$ , we have  $\mu(\{\omega \in \Omega; T_g \omega = \omega\}) = 0$ . In this case,  $\sigma$  also acts freely on  $\mathcal{M}$ . Indeed, let  $g \in G$ ,  $g \neq e$ , and let  $E \subset \Omega$  be a  $\mu$ -measurable set with  $\mu(E) > 0$ ; we have to show that there exists a  $\mu$ -measurable set  $F \subset E$  with  $\mu(F) > 0$  such that  $F \cap T_g F = \emptyset$  (see 17.5). Since G acts freely on  $(\Omega, \mu)$ , we may assume that  $T_g \omega \neq \omega$  for all  $\omega \in \Omega$ . Then, for every  $\omega \in E$  there exists a compact neighbourhood  $V(\omega)$  of  $\omega$  such that  $V(\omega) \cap T_g V(\omega) = \emptyset$ . We have  $W(\omega) = V(\omega) \cap E \subset E$  and  $W(\omega) \cap T_g W(\omega) = \emptyset$ . Since  $\Omega$  has a countable basis of open sets and  $\mu(E) > 0$ , there exists  $\omega_0 \in E$  with  $\mu(W(\omega_0)) > 0$  and we can take  $F = W(\omega_0)$ .

We shall say that G acts ergodically on  $(\Omega, \mu)$  if the only  $\mu$ -measurable sets  $E \subset \Omega$  such that  $\mu((E \cup T_g E) \setminus (E \cap T_g E)) = 0$  for all  $g \in G$  satisfy either  $\mu(E) = 0$  or  $\mu(\Omega \setminus E) = 0$ . In this case it is easy to check that also  $\sigma$  is ergodic, i.e.  $\mathcal{M}^{\sigma} = \mathbb{C} \cdot 1_g$ .

Thus, according to Corollary 1/22.6, if G acts freely and ergodically on  $(\Omega, \mu)$ , then  $\mathcal{R}(\mathcal{M}, \sigma)$  is a factor. In the sequel we assume that these conditions are satisfied.

We shall say that G is  $\mu$ -measurable if there exists a sigma-finite positive Borel measure  $\nu$  on  $\Omega$ , equivalent to  $\mu$  and G-invariant, i.e.  $\nu \circ T_g = \nu$  ( $g \in G$ ). Since G acts ergodically on  $(\Omega, \mu)$ , the measure  $\nu$  with the previous properties is unique up to a multiplicative scalar factor. The measure  $\nu$  defines a  $\sigma$ -invariant n.s.f. trace  $\tau$ 

on  $\mathcal{M}$  by  $\tau(x) = \int x \, dv \, (x \in \mathcal{M}^+)$ , and any  $\sigma$ -invariant n.s.f. trace  $\tau$  on  $\mathcal{M}$  is of

this form; clearly, the trace  $\tau$  is finite if and only if the measure  $\nu$  is finite. Since G acts freely on  $(\Omega, \mu)$ , it follows according to Theorem 2/22.7 that the factor  $\mathcal{R}(\mathcal{M}, \sigma)$  is semifinite if and only if G is  $\mu$ -measurable; moreover, according to Theorem 1/22.7, the factor  $\mathcal{R}(\mathcal{M}, \sigma)$  is finite if and only if the measure  $\nu$  is finite.

Thus, if G acts freely and ergodically on  $(\Omega, \mu)$  and is not  $\mu$ -measurable, then  $\mathfrak{R}(\mathcal{M}, \sigma)$  is a type III factor.

In order to give a concrete example of a type III factor with a separable predual, we first notice the following simple fact: if the subgroup  $G_0 = \{g \in G; \mu \cdot T_g = \mu\} \subset G$ 

acts ergodically on  $(\Omega, \mu)$  and  $G_0 \neq G$ , then G is not  $\mu$ -measurable. Indeed, assume to the contrary, i.e. there exists a G-invariant sigma-finite positive Bore measure  $\nu$  on  $\Omega$ , equivalent to  $\mu$ , and consider the Radon-Nikodym derivative  $f = d\mu/d\nu$ . Since for  $g \in G_0$  we have  $f \circ T_g = d(\mu \circ T_g)/d\nu = d\mu/d\nu = f$  and since  $G_0$  acts ergodically on  $(\Omega, \mu)$ , it follows that the function f is constant and hence the measure  $\mu$  is G-invariant, contradicting  $G_0 \neq G$ .

Consider now  $\Omega=\mathbb{R}$ ,  $\mu=$  the Lebesgue measure on  $\mathbb{R}$  and the countable group G of homeomorphisms of  $\mathbb{R}$  consisting of the transformations  $T(\alpha, \beta): \mathbb{R}\ni \omega\mapsto \alpha\omega+\beta$ , where  $\alpha$ ,  $\beta$  are rational numbers and  $\alpha>0$ . Then the measure  $\mu$  is G-quasi-invariant, G acts freely and  $G_0=\{g\in G;\ \mu\circ T_g=\mu\}=\{T(\alpha,\ \beta);\ \alpha=1\}\ (\neq G)$  acts ergodically, hence G is not  $\mu$ -measurable. Thus, the crossed product  $\mathscr{R}(\mathcal{M}, \sigma)$  is in this case a concrete example of a type III factor with separable predual.

If the group G acts freely and ergodically on  $(\Omega, \mu)$ , is  $\mu$ -measurable, and if the G-invariant measure  $\nu$  equivalent to  $\mu$  is diffuse, i.e.  $\nu(\{\omega\}) = 0$  for every  $\omega \in \Omega$ , then the factor  $\mathcal{R}(\mathcal{M}, \sigma)$  is of type II. Indeed, in this case there exists a decreasing sequence  $\{E_n\}_{n\geq 1}$  of  $\mu$ -measurable sets in  $\Omega$  with  $\nu(E_n) > \nu(E_{n+1})$ , (n > 1), and  $\lim \nu(E_n) = 0$ . Let  $\tau$  be the  $\sigma$ -invariant n.s.f. trace on  $\mathcal{M}$  defined by  $\nu$  and let  $\tau$  be the corresponding n.s.f. trace on  $\mathcal{R}(\mathcal{M}, \sigma)$ . Then  $e_n = \chi_{E_n} \in \mathcal{M} \subset \mathcal{R}(\mathcal{M}, \sigma)$  is a decreasing sequence of non-zero projections in  $\mathcal{R}(\mathcal{M}, \sigma)$  and  $\tilde{\tau}(e_n) = \nu(E_n) \to 0$ , and so the factor  $\mathcal{R}(\mathcal{M}, \sigma)$  is of type II.

For instance, consider  $\Omega = \{\omega \in \mathbb{C}; |\omega| = 1\}$  the one-dimensional torus, let  $\mu =$  the Haar measure on  $\Omega$  with  $\mu(\Omega) = 1$  and let G be any dense countable infinite subgroup of  $\Omega$  which acts on  $\Omega$  by translation,  $T_g \omega = g \omega$  ( $g \in G$ ,  $\omega \in \Omega$ ) (e.g.  $G = \{\exp(2\pi i t); t \in \mathbb{R} \setminus \mathbb{Q}\}$  or  $G = \{\exp(2\pi i t_0); n \in \mathbb{Z}\}$  with  $t_0 \in \mathbb{R}$  a fixed irrational number). Then the Haar measure  $\mu$  is finite, diffuse and G-invariant, and G acts freely on  $(\Omega, \mu)$ . We shall show that G acts ergodically on  $(\Omega, \mu)$ , so that  $\Re(\mathcal{M}, \sigma)$  is in this case a type II<sub>1</sub> factor. Indeed, let  $E \subset \Omega$  be a  $\mu$ -measurable set such that  $\mu((E \cup T_g E) \setminus (E \cap T_g E)) = 0$  for all  $g \in G$ . Since the functions  $\varphi_n(z) = z^n$  ( $n \in \mathbb{Z}$ ) form an orthonormal basis in  $\mathcal{L}^2(\Omega, \mu)$ , the characteristic function  $\chi_E \in \mathcal{L}^2(\Omega, \mu)$  can be written  $\chi_E(\omega) = \sum_{n \in \mathbb{Z}} \lambda_n \omega^n$  with  $\sum_{n \in \mathbb{Z}} |\lambda_n|^2 < +\infty$ . By assumption, we have  $\chi_E \cdot T_g = \chi_E$  in  $\mathcal{L}^2(\Omega, \mu)$  for every  $g \in G$ . Since  $(\chi_E \cdot T_g)(\omega) = \sum_n \lambda_n g^n \omega^n$ , it follows that  $\lambda_n = \lambda_n g^n$  for every  $g \in G$ . Since G is dense in G, we infer that G for all G of the ceither G of G or G or G is dense in G.

Similarly, if  $\Omega = \mathbb{R}$ ,  $\mu =$  the Lebesgue measure on  $\mathbb{R}$  and G is a dense countable infinite subgroup of  $\Omega$  which acts on  $\Omega$  by translation (e.g.  $G = \mathbb{Q}$ ), then the corresponding crossed product  $\mathcal{R}(\mathcal{M}, \sigma)$  is a type  $II_{\infty}$  factor.

22.9. Using Corollary 10.6 and Theorem 1/22.7, we obtain from Proposition 22.5 the following "Galois correspondence" (compare with Theorem 21.8):

Corollary. Let  $\sigma: G \to Aut$  (M) be a (properly) outer action of the discrete group G on the factor M. We assume that there exists a  $\sigma$ -invariant faithful normal finite trace

on  $\mathcal{M}$ . Then the mappings  $\mathcal{N} \to H$  and  $H \to \mathcal{N}$  defined by

$$H = \{g \in G; \ 1 \ \overline{\otimes} \ \lambda(g) \in \mathcal{N}\}, \qquad \mathcal{N} = \mathcal{R}\{\pi_{\sigma}(\mathcal{M}), \ 1 \ \overline{\otimes} \ \lambda(H)\}$$

are reciprocal increasing bijections between the subgroups H of G and the unital W\*-subalgebras  $\mathcal{N} \supset \pi_{\sigma}(\mathcal{M})$  of the crossed product  $\mathfrak{R}(\mathcal{M}, \sigma)$ .

If  $\mathcal M$  is no longer assumed to be a factor, the corresponding result cannot be expressed in terms of subgroups of G, but can be expressed in terms of full subgroups of the full group  $[\sigma(G)]$ .

More precisely, let  $\sigma: G \to Aut(\mathcal{M})$  be a properly outer action of the discrete group G on the W\*-algebra  $\mathcal{M}$ . We assume that there exists a  $\sigma$ -invariant faithful normal finite trace  $\tau$  on  $\mathcal{M}$ . Let  $P_{\hat{\sigma}}$  be the faithful normal conditional expectation of the crossed product  $\mathcal{R}(\mathcal{M}, \sigma)$  onto  $\pi_{\sigma}(\mathcal{M}) = \mathcal{M}$ . By Proposition 22.4, Theorem 22.3. (1) and Proposition 10.17, the full group  $[\sigma(G)] \subset Aut(\mathcal{M})$  consists of all \*-automorphisms of the form  $\operatorname{Ad}(v)|\mathcal{M}$  with  $v\in \mathfrak{N}(P_{\hat{\sigma}})=\{v\in U(\mathfrak{R}(\mathcal{M},\sigma));\ v\mathcal{M}v^*=\mathcal{M}\}.$ If  $\mathcal{N} \supset \pi_{\sigma}(\mathcal{M})$  is a unital  $W^*$ -subalgebra of  $\mathcal{R}(\mathcal{M}, \sigma)$ , then  $H_{\mathcal{N}} = \{ \mathrm{Ad}(v) \mid \mathcal{M}; \}$  $v \in \mathcal{S}(P_{\hat{\sigma}}) \cap \mathcal{N}$  is a full subgroup of  $[\sigma(G)]$  and  $\mathcal{N} = \mathcal{R}\{v \in \dot{U}(\mathcal{R}(\mathcal{M}, \sigma));$  $Ad(v)|\mathcal{M} \in H_{\mathcal{N}}$ . Conversely, if H is a full subgroup of  $[\sigma(G)]$ , then  $\mathcal{N}_{H} =$  $= \mathcal{B}\{v \in U(\mathcal{R}(\mathcal{M}, \sigma)); \operatorname{Ad}(v) \mid \mathcal{M} \in H\}$  is a unital  $W^*$ -subalgebra of  $\mathcal{R}(\mathcal{M}, \sigma)$  containing  $\pi_{\sigma}(\mathcal{M})$  and  $H = \{ Ad(v) \mid \mathcal{M}; v \in \mathfrak{N}(P_{\widehat{\sigma}}) \cap \mathcal{N}_H \}$ . Hence the mappings  $\mathcal{N} \mapsto H_{\mathcal{N}}$  and  $H \mapsto \mathcal{N}_H$  are reciprocal increasing bijections between the full subgroups H of  $[\sigma(G)]$ and the unital  $W^*$ -subalgebras  $\mathcal{N} \supset \pi_{\sigma}(\mathcal{M})$  of  $\mathcal{R}(\mathcal{M}, \sigma)$ .

For the full proofs of these results we refer to [108], [116].

**22.10.** Let T and G be discrete groups and let  $\sigma: T \to Aut(G)$  be a group homomorphism. The product set  $G \times T$  endowed with the operation  $(g, t)(g', t') = (g\sigma_t(g'), tt')$  $(g, g' \in G, t, t' \in T)$  is a group, denoted by  $G \times_{\sigma} T$ , called the semidirect product of G by the action  $\sigma$  of T, with neutral element  $(e, 1) \in G \times_{\sigma} T$  (where  $e \in G$  and  $1 \in T$  are the corresponding neutral elements of G and T) and inverse given by  $(g, t)^{-1} = (\sigma_t^{-1}(g^{-1}), t^{-1}) \ (g \in G, t \in T)$ . We have a split short exact sequence

$$\{e\} \rightarrow G \xrightarrow{a} G \times_{\sigma} T \xrightarrow{\beta} T \rightarrow \{1\}$$

where  $\alpha(g) = (g, 1)$ ,  $\beta(g, t) = t$ ,  $\gamma(t) = (e, t)$   $(g \in G, t \in T)$ ;  $\alpha(G)$  is a normal subgroup of  $G \times_{\sigma} T$ ,  $\gamma(T)$  is a subgroup of  $G \times_{\sigma} T$ ,  $G \times_{\sigma} T$  is generated by  $\alpha(G) \cup \gamma(T)$  and  $(G \times_{\sigma} T)/\alpha(G)$  is isomorphic to  $\gamma(T)$ .

The von Neumann algebra  $\mathfrak{L}(G \times_{\sigma} T) \subset \mathfrak{B}(\ell^2(G \times_{\sigma} T))$  is generated by the lest translation operators  $\lambda(g, t) \in \mathcal{B}(\ell^2(G \times_{\sigma} T))$   $(g \in G, t \in T)$ .

On the other hand, the von Neumann algebra  $\mathfrak{L}(G) \subset \mathfrak{A}(\ell_2(G))$  is generated by the left translation operators  $\lambda(g) \in \mathcal{B}(\ell^2(G))$   $(g \in G)$ , and the action  $\sigma: T \to Aut(G)$  extends to an action  $\sigma: T \to Aut(\mathfrak{L}(G))$  defined by

$$\sigma_{t}(\sum_{g} \alpha(g)\lambda(g)) = \sum_{g} \alpha(g)\lambda(\sigma_{t}(g)) = \sum_{g} \alpha(\sigma_{t}^{-1}(g))\lambda(g) \qquad (t \in T);$$

so we can consider the crossed product von Neumann algebra  $\mathcal{A}(\mathfrak{L}(G), \sigma) \subset \mathcal{B}(\ell^2(T, \ell^2(G)))$  generated by the operators  $\pi_{\sigma}(\lambda(g)), (g \in G)$  and  $1 \overline{\otimes} \lambda(t)$   $(t \in T)$ , acting on  $\ell^2(T, \ell^2(G))$ .

It is easy to check that the equation

$$[U\xi](g,t) = [\xi(t)](\sigma_t^{-1}(g)) \qquad (\xi \in \ell^2(T,\ell^2(G)), g \in G, t \in T)$$

define a unitary operator  $U: \ell^2(T, \ell^2(G)) \to \ell^2(G \times_{\sigma} T)$  such that

(1) 
$$U^{-1}\lambda(g,1) U = \pi_{\sigma}(\lambda(g)) \qquad (g \in G),$$

(2) 
$$U^{-1}\lambda(e,t) U = 1 \otimes \lambda(t) \qquad (t \in T).$$

Indeed, for  $\xi \in \ell^2(T, \ell^2(G))$ ,  $h \in G$  and  $s \in T$  we have

$$[(U^{-1}\lambda(g,1)U\xi)(s)](h) = [\lambda(g,1)U\xi](\sigma_s(h),s)$$

$$= [U\xi]((g,1)^{-1}(\sigma_s(h),s)) = [U\xi]((g^{-1},1)(\sigma_s(h),s))$$

$$= [U\xi](g^{-1}\sigma_s(h),s) = [\xi(s)](\sigma_s^{-1}(g^{-1}\sigma_s(h)))$$

$$= [\xi(s)](\sigma_s^{-1}(g)^{-1}h) = [\lambda(\sigma_s^{-1}(g))\xi(s)](h)$$

$$= [\sigma_s^{-1}(\lambda(g))\xi(s)](h) = [(\pi_\sigma(\lambda(g)\xi)(s)](h),$$

$$[(U^{-1}\lambda(e,t)U\xi)(s)](h) = [\lambda(e,t)U\xi](\sigma_s(h),s)$$

$$= [U\xi]((e,t)^{-1}(\sigma_s(h),s)) = [U\xi]((e,z^{-1})(\sigma_s(h),s))$$

$$= [U\xi]((\sigma_{t^{-1}s}(h),t^{-1}s)) = [\xi(t^{-1}s)](\sigma_{t^{-1}s}^{-1}(\sigma_{t^{-1}s}(h)))$$

$$= [\xi(t^{-1}s)](h) = [((1 \otimes \lambda(t))\xi)(s)](h).$$

Consequently,

(3) 
$$U^{-1}\mathfrak{L}(G \times_{\sigma} T)U = \mathfrak{R}(\mathfrak{L}(G), \sigma)$$

and hence we have proved the following

Proposition. Let G, T be discrete groups and  $\sigma: T \to Aut(G)$  a group homomorphism. Then the von Neumann algebras  $\mathfrak{L}(G \times_{\sigma} T)$  and  $\mathfrak{R}(\mathfrak{L}(G), \sigma)$  are spatially isomorphic.

22.11. In particular, let us consider the case  $G = F_{\infty}$ , the free group on a countable infinity of generators  $\{x_n\}_{n \in \mathbb{Z}} \subset F_{\infty}$ ,  $T = \mathbb{Z}$ ,  $m \in \mathbb{N}$  and  $m\sigma \in Aut(F_{\infty})$  the unique automorphism of  $F_{\infty}$  such that  $m\sigma(x_n) = x_{n+m}$   $(n \in \mathbb{Z})$ . We obtain a group homomorphism, also denoted by  $m\sigma \colon \mathbb{Z} \to Aut(F_{\infty})$ , by putting  $m\sigma_k = (m\sigma)^k$   $(k \in \mathbb{Z})$ .

**Proposition.** The semidirect product  $F_{\infty} \times_{m^{\alpha}} \mathbb{Z}$  is isomorphic to the free group  $F_{m+1}$  on m+1 generators.

*Proof.* We shall put  $\sigma = {}_{m}\sigma$ . The neutral element of  $F_{\infty} \times_{\sigma} \mathbb{Z}$  is (e, 0) and for  $(y, i), (z, j) \in F_{\infty} \times_{\sigma} \mathbb{Z}$  we have  $(y, i) (z, j) = (y\sigma^{i}(z), i + j), (y, i)^{-1} = (\sigma^{-i}(y^{-1}), -i)$ . Let  $y_{0}, y_{1}, \ldots, y_{m}$  be the generators of the free group  $F_{m+1}$ .

We define a homomorphism  $\varphi: F_{m+1} \to F_{\infty} \times_{\sigma} \mathbb{Z}$  by putting  $\varphi(y_k) = (x_k, 1)$  for  $k = 0, 1, \ldots, m-1$  and  $\varphi(y_m) = (e, 1)$ . Since  $(e, 1)^{-1} = (e, -1), (x_k, 1) \times (e, -1) = (x_k, 0)$  and  $(e, 1)(x_k, 0) = (x_{k+m}, 1)$ , it follows that  $\varphi$  is surjective.

Consider also the homomorphism  $\psi_1\colon F_\infty\to F_{m+1}$  defined by  $\psi_1(x_n)=y_m^py_ky_m^{p-1}(n,p,k\in\mathbb{Z},n=mp+k,0\leqslant k< m)$ , and the homomorphism  $\psi_2\colon\mathbb{Z}\to F_{m+1}$  defined by  $\psi_2(i)=y_m^l(i\in\mathbb{Z})$ , and define a mapping  $\psi\colon F_\infty\times_\sigma\mathbb{Z}\to F_{m+1}$  by  $\psi(y,i)=\psi_1(y)\psi_2(i)$  ( $y\in F_\infty,i\in\mathbb{Z}$ ). We show that  $\psi$  is a group homomorphism. For (y,i) and (z,j) in  $F_\infty\times_\sigma\mathbb{Z}$  we have  $\psi((y,i)(z,j))=y_1(y)\psi_1(\sigma^l(z))\psi_2(i)\psi_2(j)$  and  $\psi(y,i)\psi(z,j)=\psi_1(y)\psi_2(i)\psi_1(z)\psi_2(j)$ , and so it is sufficient to show that  $\psi_1(\sigma^l(z))\psi_2(i)=\psi_2(i)\psi_1(z)$ , i.e.  $\psi_1(\sigma^l(z))=y_m^l\psi_1(z)y_m^{-l}$ . Moreover, we may assume that  $z=x_n$ . In this case writing n=mp+k with  $p,k\in\mathbb{Z}$ ,  $0\leqslant k< m$ , we have  $\psi_1(\sigma^l(x_n))=\psi_1(x_{n+ml})=\psi_1(x_{m(l+p)+k})=y_m^{l+p}y_ky_m^{l-p-1}=y_m^l(y_m^py_ky_m^{-p-1})y_m^l=y_m^l\psi_1(x_n)y_m^{-l}$ .

Finally, we show that  $\psi \circ \varphi =$  the identity mapping, so that  $\varphi$  is the required isomorphism. For  $k = 0, 1, \ldots, m-1$  we have  $(\psi \circ \varphi)(y_k) = \psi(x_k, 1) = \psi_1(x_k)\psi_2(1) = y_k y_m^{-1} y_m = y_k$  and for k = m we have  $(\psi \circ \varphi)(y_m) = \psi(e, 1) = \psi_1(e) \psi_2(1) = ey_m = y_m$ .

Using Proposition 22.10, we deduce that

Corollary.  $\mathcal{R}(\mathfrak{L}(F_{\infty}), \mathfrak{m}\sigma)$  is \*-isomorphic to  $\mathfrak{L}(F_{m+1})$ .

One can also show ([188]) that for every  $m, n \ge 2$  there exists  $\sigma \in Aut(\mathfrak{L}(F_{m(n-1)+1}))$  such that the \*-automorphisms  $\sigma, \sigma^2, \ldots, \sigma^{m-1}$  are all outer,  $\sigma^m = i$  and  $\mathfrak{R}(\mathfrak{L}(F_{m(n-1)+1}), \sigma)$  is \*-isomorphic to  $\mathfrak{L}(F_n)$ . Let us mention that it is not yet known whether the factors  $\mathfrak{L}(F_2)$ ,  $\mathfrak{L}(F_3)$ , ... are \*-isomorphic or not.

**22.12.** In this Section we give some examples of outer \*-automorphisms of the  $W^*$ -algebras of the form  $\mathfrak{L}(G)$ .

Recall that every automorphism  $\sigma$  of the discrete group G has an extension to a \*-automorphism  $\sigma$  of  $\mathfrak{L}(G)$  such that

$$\sigma(\sum_{g} \alpha(g)\lambda(g)) = \sum_{g} \alpha(g)\lambda(\sigma(g)) = \sum_{g} \alpha(\sigma^{-1}(g))\lambda(g), \ (\alpha(g) \in \mathbb{C}, \ g \in G).$$

**Proposition.** Let  $\sigma$  be an automorphism of the discrete group G. For the corresponding \*-automorphism  $\sigma \in \operatorname{Aut}(\mathfrak{L}(G))$  we have:

- (i)  $\sigma$  is properly outer if and only if for every  $g \in G$  the set  $\{\sigma(s)gs^{-1}; s \in G\}$  is infinite;
- (ii)  $\sigma$  is ergodic if and only if for every  $g \in G$ ,  $g \neq e$ , the set  $\{\sigma^n(g); n \in \mathbb{Z}\}$  is infinite.

*Proof.* Assume that for every  $g \in G$  the set  $\{\sigma(s)gs^{-1}; s \in G\}$  is infinite. Let  $a = \sum_{g} \alpha(g)\lambda(g) \in \mathfrak{L}(G)$  be such that  $a\lambda(s) = \sigma(\lambda(s))a = \lambda(\sigma(s))a$ , i.e.,  $a = \lambda(\sigma(s^{-1}))a\lambda(s)$  for every  $s \in G$ . Since  $\lambda(\sigma(s^{-1}))a\lambda(s) = \sum_{g} \alpha(\sigma(s)gs^{-1})\lambda(g)$ , it follows that  $\alpha(g) = \alpha(\sigma(s)gs^{-1})$  for  $s, g \in G$ . Since  $\sum_{g} |\alpha(g)|^2 < +\infty$  (22.6.(4)), using the assumption we conclude  $\alpha(g) = 0$  for all  $g \in G$ , i.e. a = 0. Hence  $\sigma$  is properly outer.

Conversely, assume that  $\sigma$  is properly outer and that there exists  $g \in G$  such that the set  $S = \{\sigma(s)gs^{-1}; s \in G\}$  is finite. Then  $a = \sum_{s \in S} \lambda(s) \in \mathfrak{L}(G)$  and  $a = \lambda(\sigma(s))a\lambda(s^{-1})$ , i.e.  $\sigma(\lambda(s))a = a\lambda(s)$  for each  $s \in G$ , hence  $\sigma(x) = ax$  for all  $x \in \mathfrak{L}(G)$ , which implies that a = 0, a contradiction.

We have proved assertion (i); (ii) can be proved similarly.

**Corollary 1.** If G is a discrete ICC-group and  $\sigma$  is an outer automorphism of G, then the corresponding \*-automorphism of the factor  $\mathfrak{L}(G)$  is also outer.

**Proof.** Assume that  $\sigma \in Aut(\mathfrak{L}(G))$  is inner, that is  $\sigma = Ad(a)$  with  $a = \sum_{g} \alpha(g)\lambda(g) \in U(\mathfrak{L}(G))$ ,  $\sum_{g} |\alpha(g)|^2 < +\infty$ . If  $g \in G$  and  $\alpha(g) \neq 0$ , then, as in the proof of the Proposition, one shows that the set  $\{\sigma(s) g s^{-1}; s \in G\}$  is finite. Since  $\sigma$  is an outer automorphism of G, we infer that there exist  $g_1, g_2 \in G, g_1 \neq g_2$ , such that the sets  $S_1 = \{\sigma(s)g_1s^{-1}; s \in G\}$  and  $S_2 = \{\sigma(s)g_2s^{-1}; s \in G\}$  be finite. Then the set  $\{sg_1^{-1}g_2s^{-1}; s \in G\} \subset S_1^{-1}S_2$  is finite, hence  $g_1 = g_2$ , since G is an *ICC*-group. This contradiction proves that  $\sigma$  is an outer \*-automorphism of  $\mathfrak{L}(G)$ .

Let us mention that there exist countable discrete *ICC*-groups without outer \*-automorphisms, for instance ([132]) the semidirect product  $G = \mathbb{Q} \times_{\sigma} \mathbb{Q}^{+}$ , where  $\mathbb{Q}$  is the additive group of rational numbers,  $\mathbb{Q}^{+}$  is the multiplicative group of non-zero rational numbers and  $\sigma: \mathbb{Q}^{+} \to Aut(\mathbb{Q})$  is defined by  $\sigma(r)(q) = rq, (r \in \mathbb{Q}^{+}, q \in \mathbb{Q})$ .

By the above results, we get the following examples of outer \*-automorphisms:

Corollary 2. Let  $F_2$  be the free group on two generators and  $\sigma$  the automorphism of  $F_2$  intertwining the two generators. Then  $\sigma \in Aut(\mathfrak{L}(F_2))$  is outer.

Corollary 3. Let  $S(\infty)$  be the group of finite permutations of  $\mathbb{Z}$ , let  $\pi$  be an infinite permutation of  $\mathbb{Z}$  and let  $\sigma$  be the automorphism of  $S(\infty)$  defined by  $\sigma(s) = \pi \cdot s \cdot \pi^{-1}$   $(s \in S(\infty))$ . Then  $\sigma \in Aut$   $(\mathfrak{L}(S(\infty)))$  is outer.

With the same methods one can show that every countable discrete group can be represented as a group of outer \*-automorphisms of the II<sub>1</sub> factor  $\mathcal{L}(S(\infty))$  ([239], [132]). Also, there exist factors of types II<sub> $\infty$ </sub> and III enjoying the same property ([200], [132]).

22.13. We now describe another type of outer \*-automorphisms of factors of the form  $\mathfrak{L}(G)$ .

Let G be a discrete ICC-group and  $\gamma$  a character of G, i.e. a group homomorphism of G into the one-dimensional torus  $\{\omega \in \mathbb{C}; |\omega| = 1\}; \text{ clearly, } \overline{\gamma(g)} = \gamma(g^{-1}) = \gamma(g)^{-1} (g \in G), \gamma \text{ being in } \ell^{\infty}(G) \text{ defines a multiplication operator } \mathbf{m}(\gamma) \in \mathcal{B}(\ell^{2}(G));$ 

we have  $m(\gamma)\mathfrak{L}(G)m(\gamma)^* = \mathfrak{L}(G)$ . Consequently, we obtain a \*-automorphism  $\sigma_{\gamma} = \mathrm{Ad}(m(\gamma)) \mid \mathfrak{L}(G) \in Aut(\mathfrak{L}(G))$ , uniquely determined, such that  $\sigma_{\gamma}(\lambda(g)) = \gamma(g)\lambda(g)$   $(g \in G)$ .

**Proposition.** Let G be a discrete ICC-group and  $\gamma \neq 1$  a non-trivial character of G. Then the \*-automorphism  $\sigma_{\gamma} \in Aut(\mathfrak{L}(G))$  is outer.

Proof. Assume to the contrary, so that there exists  $v = \sum_{g} \alpha(g)\lambda(g) \in U(\mathfrak{L}(G))$  with  $\sigma_{\gamma} = \operatorname{Ad}(v)$ . For every  $s \in G$  we have  $\gamma(s)\lambda(s) = \sigma_{\gamma}(\lambda(s)) = v\lambda(s)v^* = \sum_{g,h} \alpha(g) \overline{\alpha(h)} \lambda(gsh^{-1}) = \sum_{i} (\sum_{g} \alpha(g) \overline{\alpha(t^{-1}gs)})\lambda(t)$ . Let  $\xi_{s} \in \ell^{2}(G)$  be defined by  $\xi_{s}(g) = \alpha(s^{-1}gs)(s, g \in G)$ . The previous computation shows that  $(\xi_{e} \mid \xi_{s}) = \sum_{g} \alpha(g)\overline{\alpha(s^{-1}gs)} = |\gamma(s)| = 1$  and  $||\xi_{s}|| = ||\xi_{e}|| = 1$ , so that the vectors  $\xi_{s}$  and  $\xi_{e}$  are proportional, in particular  $|\alpha(s^{-1}gs)| = |\alpha(g)| (s, g \in G)$ . Since  $\gamma \neq 1$ , we have  $\sigma_{\gamma} \neq i$ , and there is  $g_{0} \in G$ ,  $g_{0} \neq e$ , with  $\alpha(g_{0}) \neq 0$ . Since G is an G-group, it follows that  $\sum_{g} |\alpha(g)|^{2} = +\infty$ , a contradiction.

In particular, if  $F_{\infty}$  is the free group on a countable infinity of generators  $\{x_n\}_{n>1} \subset F_{\infty}$  and  $G = \{1, \gamma_1, \gamma_2, \ldots\}$  is an ordered countable infinite subgroup of the one-dimensional torus, then there exists a unique \*-automorphism  $\sigma_G \in Aut(\mathfrak{L}(F_{\infty}))$  such that  $\sigma_G(\lambda(x_n)) = \gamma_n \lambda(x_n)$  (n > 1).

One can prove that if G and H are two ordered countable infinite subgroups of the one-dimensional torus, then the \*-automorphisms  $\sigma_G$ ,  $\sigma_H \in Aut(\mathfrak{L}(F_\infty))$  are outer conjugate if and only if G = H ([188]). Thus  $Aut(\mathfrak{L}(F_\infty))$  has a continuous infinity of outer conjugacy classes; moreover, there is no "good" classification of these classes ([188]).

On the other hand, the set of outer conjugacy classes of Aut ( $\mathfrak{L}(S(\infty))$ ) is countable and completely described by certain simple invariants introduced by Connes ([41], [42]).

22.14. In this Section we examine, in a slightly more general framework, some interesting consequences of the relative commutant theorem (22.3.(1)).

**Proposition.** Let  $\sigma: G \to Aut(\mathcal{M})$  be an integrable continuous action of the locally compact unimodular group G on the countably decomposable  $W^*$ -algebra  $\mathcal{M}$ . If  $\pi_{\sigma}(\mathcal{M})' \cap \mathcal{M}(\mathcal{M}, \sigma) = \pi_{\sigma}(\mathcal{Z}(\mathcal{M}))$ , then  $(\mathcal{M}^{\sigma})' \cap \mathcal{M} = \mathcal{Z}(\mathcal{M})$ , in particular  $\mathcal{Z}(\mathcal{M}^{\sigma}) = \mathcal{Z}(\mathcal{M}^{\sigma})$ .

Proof. Since  $\mathcal{M}$  is countably decomposable, we may assume  $\mathcal{M} \subset \mathcal{B}(\mathcal{H})$  realized as a von Neumann algebra with a cyclic and separating vector  $\xi_0 \in \mathcal{H}$ . Since  $\sigma$  is integrable and G is unimodular, the formula  $\varphi(x) = \int (\sigma_g(x)\xi_0|\xi_0)\mathrm{d}g \ (x \in \mathcal{M}^+)$ , defines a  $\sigma$ -invariant n.s.f. weight  $\varphi$  on  $\mathcal{M}$ . By Corollary 2.24, there exists an so-continuous unitary representation  $u: G \to \mathcal{B}(\mathcal{H}_{\varphi})$  such that  $\pi_{\varphi}(\sigma_g(x)) = u(g)\pi_{\varphi}(x)u(g)^*$   $(x \in \mathcal{M}, g \in G)$ , and moreover, by Section 2.25, we have  $u(g)a_{\varphi} = (\sigma_g(a))_{\varphi}$  and  $u(g)J_{\varphi} = J_{\varphi}u(g) \ (g \in G, a \in \mathfrak{R}_{\varphi})$ .

Since  $\int \|\sigma_t^{-1}(a)\xi_0\|^2 dt = \varphi(a^*a) = \|a_{\varphi}\|^2 \ (a \in \mathfrak{N}_{\varphi})$ , there exists an isometric

linear operator  $U: \mathcal{H}_{\varphi} \to \mathcal{L}^2(G, \mathcal{H})$ , uniquely determined, such that  $[Ua_{\varphi}](t) = \sigma_t^{-1}(a)\xi_{\varphi}$  for all  $a \in \mathfrak{N}_{\varphi}$ ,  $t \in G$ . Recall that the crossed product  $\mathcal{R}(\mathcal{M}, \sigma) \subset \mathcal{R}(\mathcal{L}^2(G, \mathcal{H}))$  is the von Neumann algebra generated by the operators  $\pi_{\varphi}(x)$   $(x \in \mathcal{M})$  and  $1 \otimes \lambda(g)$   $(g \in G)$ . It is easy to check that  $U\pi_{\varphi}(x) = \pi_{\varphi}(x)U$ ,  $(x \in \mathcal{M})$ , and  $Uu(g) = (1 \otimes \lambda(g))U$   $(g \in G)$ , so that the mapping  $\Phi: \mathcal{R}(\mathcal{M}, \sigma) \ni X \mapsto U^*XU \in \mathcal{R}\{\pi_{\varphi}(\mathcal{M}), u(G)\}$  is a surjective normal \*-homomorphism. Let Q be the unique central projection in  $\mathcal{R}(\mathcal{M}, \sigma)$  such that  $Ker \Phi = (1 - Q)\mathcal{R}(\mathcal{M}, \sigma)$ .

It is clear that  $\pi_{\sigma}(\mathcal{M}^{\sigma}) = \pi_{\sigma}(\mathcal{M}) \cap u(G)'$  and hence that

$$J_{\mathbf{o}}\pi_{\mathbf{o}}((\mathcal{M}^{\sigma})'\cap\mathcal{M})J_{\mathbf{o}}=\mathcal{R}\left\{\pi_{\mathbf{o}}(\mathcal{M}),\ u(G)\right\}\ \cap\ \pi_{\mathbf{o}}(\mathcal{M})'.$$

Thus, if  $z \in (\mathcal{M}^{\sigma})' \cap \mathcal{M}$ , there exists  $X = XQ \in \mathcal{R}(\mathcal{M}, \sigma)$  such that  $\Phi(X) = J_{\sigma}\pi_{\sigma}(z)J_{\sigma} \in \pi_{\sigma}(\mathcal{M})' = \Phi(\pi_{\sigma}(\mathcal{M}))'$ . It follows that  $X \in \pi_{\sigma}(\mathcal{M})' \cap \mathcal{R}(\mathcal{M}, \sigma) = \pi_{\sigma}(\mathcal{Z}(\mathcal{M}))$  and therefore  $\pi_{\sigma}(z) = J_{\varphi}\Phi(X)J_{\varphi} \in J_{\varphi}\Phi(\pi_{\sigma}(\mathcal{Z}(\mathcal{M})))J_{\varphi} = J_{\varphi}\pi_{\varphi}(\mathcal{Z}(\mathcal{M}))J_{\varphi} = \pi_{\varphi}(\mathcal{Z}(\mathcal{M}))$ , i.e.  $z \in \mathcal{Z}(\mathcal{M})$ .

In particular,

$$\mathscr{Z}(\mathscr{M}^{\sigma}) = \mathscr{Z}(\mathscr{M})^{\sigma}$$

for any properly outer action  $\sigma: G \to Aut(\mathcal{M})$  of a finite group G on the countably decomposable  $W^*$ -algebra  $\mathcal{M}$ . This is an extension of 16.17.(3).

22.15. As an application, we prove the following extension of Corollary 16.17.

Corollary. Let  $\sigma: G \to Aut(\mathcal{M})$  be a properly outer action of the finite group G on the countably decomposable  $W^*$ -algebra  $\mathcal{M}$ .

Then, for  $e, f \in Proj(\mathcal{M}^{\circ})$  we have

(1) 
$$e \sim f \text{ in } \mathcal{M} \Leftrightarrow e \sim f \text{ in } \mathcal{M}^{\sigma}$$

and every unitary cocycle  $u \in Z_{\sigma}(G; U(\mathcal{M}))$  is trivial, i.e. there exists  $v \in U(\mathcal{M})$  such that  $u(g) = v\sigma_{\sigma}(v^*)$   $(g \in G)$ .

*Proof.* (1) follows by the same arguments as in the proof of Corollary 16.17, using Corollary 17.24 and 22.14.(1).

Consider now any two unitary cocycles  $a, b \in Z_{\sigma}(G; U(\mathcal{M}))$ , the action  $\sigma \otimes t$  of G on the  $W^*$ -algebra  $\mathcal{M} \otimes F_2$  and the balanced cocycle  $c = c(a, b) \in Z_{\sigma \bullet_1}(G; U(\mathcal{M} \otimes F_2))$  (see 20.2). According to 17.2.(1) and 17.6.(1), the action  $c(\sigma \otimes t)$  of G on  $\mathcal{M} \otimes F_2$  is also properly outer. On the other hand, the projections  $1 \otimes e_{11}$ ,  $1 \otimes e_{22} \in (\mathcal{M} \otimes F_2)^c$  are equivalent in  $\mathcal{M} \otimes \mathcal{F}_2$ . Hence, using (1) we conclude that  $1 \otimes e_{11} \sim 1 \otimes e_{22}$  in  $(\mathcal{M} \otimes F_2)^c$ , i.e.  $a \approx b$ .

Thus, if  $\tau: G \to Aut(\mathcal{N})$  is another action satisfying the assumptions of the previous Corollary and if  $(\mathcal{M}, \sigma) \sim (\mathcal{N}, \tau)$ , then  $(\mathcal{M}, \sigma) \approx (\mathcal{N}, \tau)$ .

If, moreover,  $\mathcal{M}$  is properly infinite, there exists a projection  $p \in \mathcal{M}$  such that the  $\sigma_{\mathbf{z}}(p)$   $(g \in G)$  are mutually orthogonal and  $\sum_{\mathbf{z} \in G} \sigma_{\mathbf{z}}(p) = 1$ . Indeed, by the previous remark and 20.14.(1) we have in this case  $(\mathcal{M}, \sigma) \approx (\mathcal{M} \otimes \mathcal{B}(\ell^2(G)), \sigma \otimes \mathrm{Ad}(p))$ , and the stated property is obvious for the action  $\mathrm{Ad}(p)$ ;  $G \to \mathrm{Aut}(\mathcal{B}(\ell^2(G)))$ .

22.16. Notes. For the classical results concerning crossed products by discrete groups (22.1, 22.3, 22.6, 22.7, 22.8, 22.10) we refer to [21], [76], [167], [169], [170], [204], [258], [265]. The results of Sections 22.2, 22.4 appeared in [36], [108]. The material of Section 22.12 is from [76] and [132]. Proposition 22.11 is due to Phillips [188], Proposition 22.13 is due to Paschke [182] and the Galois correspondence type results 22.5, 22.9 are due to Haga and Takeda [108]. Extensions of the relative commutant theorem (22.3.(2)) and of the results concerning the type of the crossed products (22.7) have been obtained by Sauvageot [207]. A deep commutative non-discrete relative commutant theorem, due to Connes and Takesaki [61], will be given in Section 23.19. A detailed analysis of several relative commutant theorems in general situations, including Proposition 22.14, is due to Paschke [183] (see also [167]). Corollary 22.15 is due to Connes and Takesaki [61].

For our exposition we have used [21], [36], [61], [76], [132], [167], [182], [183], [188], and [204].

Important examples of factors arising by the "group measure space construction" (22.8) are the Pukánszky factors  $\mathscr{D}_{\lambda}$  (0 <  $\lambda$  < 1) ([191]; [204], p. 192; [361, p. 207) which we now describe. Let  $F_2$  be the free group on two generators and let  $\Omega = \prod_{g \in F_2} \Omega_g$ , where  $\Omega_g = \{0, 1\}$  for each  $g \in F_2$ . Let p > 0, q > 0 be such that p + q = 1 and  $p = \lambda q$ . For each  $g \in F_2$  we denote by  $\mu_g$  the measure on  $\Omega_g$  defined by  $\mu_g(\{0\}) = p$ ,  $\mu_g(\{1\}) = q$  and then consider the product measure  $\mu = \bigotimes_{g \in F_2} \mu_g$  on  $\Omega$ . Each  $g_0 \in F_2$  defines a shift transformation  $T_{g_0} : \{\omega_g\}_{g \in F_2} \mapsto \{\omega_{g}\}_{g \in F_2} = 0$  on  $\Omega$  and also a transformation  $S_{g_0} : \{\omega_g\}_{g \in F_2} \mapsto \{\omega_g\}_{g \in F_2} = 0$  on  $\Omega$  such that  $\omega_g' = 1 - \omega_{g_0}$  and  $\omega_g' = \omega_g$  for  $g \neq g_0$ . Let G be the transformation group on  $\Omega$  generated by the transformations  $T_g$  and  $S_g$  ( $g \in F_2$ ). Then  $\mu$  is G-quasi-invariant and G acts freely and ergodically on  $\Omega$  (cf. loc. cit.), so that the corresponding crossed product  $W^*$ -algebra is a factor denoted by  $\mathscr{D}_1$ . Note also that the Powers factors  $\mathscr{B}_{\lambda}$  (A.17) can be obtained by a similar group measure space construction.

In order to obtain factors by the group measure space construction, one has to assume that the action of the transformation group is free and ergodic. Krieger ([143-149]) showed how to modify this construction in order to obtain factors even if the action is not free (as long as it is still ergodic). Several variants, extensions and concrete examples of Krieger's construction have appeared in [100], [173], [206], [230], [231].

Zimmer [267], [268] proved that the factors arising by the classical group measure space construction, or the Krieger construction, are approximately finite dimensional if and only if the action of the group is amenable, as defined in [266] (see also [69]). For instance, the Pukánszky factors are not approximately finite dimensional. Recently, Connes, Feldman, and Weiss [57] proved that amenable actions of discrete groups are necessarily singly generated.

From the works of Dye [80] and Krieger [141], [142], [150] it follows that the \*-isomorphism class of a factor arising by the group measure space construction depends only on the equivalence relation defined by the orbits of the group. A detailed construction and analysis of the von Neumann algebras associated with an ergodic equivalence relation is given by Feldman and Moore [92].

A factor w ich is the crossed product of an abelian W\*-algebra by a single \*-automorphism is called a Krieger factor (cf. [46]). There are several abstract characterizations of Krieger factors due to Connes [45], [46]. Two Krieger factors are \*-isomorphic if and only if the corresponding transformations are weakly equivalent, i.e. if and only if they define isomorphic equivalence relations [150]).

All Krieger factors are approximately finite dimensional. On the other hand, every Araki—Woods factor (A.17) can be obtained as a Krieger factor ([144], [55]). Connes ([36]) proved that the class of Krieger factors (and hence the class of approximately finite dimensional factors) is strictly larger than the class of Araki—Woods factors; a simpler proof of this result has been obtained recently by Connes and Woods [63].

Solving an old open problem, Connes [39], [40] constructed examples of factors of types  $II_1$  and III which are not \*-antiisomorphic to themselves. In particular, not every factor arises as the  $\mathfrak{L}(G)$  of some ICC-group G.

#### Chapter V

### Continuous decompositions

## §23. Dominant weights and continuous decompositions

Let  $\mathcal{M}$  be a properly infinite  $W^*$ -algebra and denote by  $W_n(\mathcal{M})$  (resp.  $W_{ns}(\mathcal{M})$ ); resp.  $W_{nsf}(\mathcal{M})$ ) the set of all normal (resp. normal semifinite; resp. n.s.f.) weights on  $\mathcal{M}$ . In Sections 23.1—23.3 we consider a fixed weight  $\omega \in W_{nsf}(\mathcal{M})$  and, using the canonical bijection  $W_{ns}(\mathcal{M}) \ni \varphi \mapsto [D\varphi: D\omega] \in Z_{\sigma^{\omega}}(\mathbb{R}; \mathcal{M})$  given by Theorems 3.1 and 5.1, we apply the results obtained in Section 20 in order to get a comparison theory for weights. In particular, we define the notion of a dominant weight on  $\mathcal{M}$ , this yields the continuous decomposition  $(\mathcal{N}, \theta, \tau)$  of  $\mathcal{M}$ , the properties of which are analysed further. The main feature of the continuous decomposition is that it reduces the study of type III  $W^*$ -algebras to the study of actions of  $\mathbb{R}$  on semifinite  $W^*$ -algebras, modulo (outer) conjugation.

23.1. Recall (2.21) that if  $\varphi \in W_{ns}(\mathcal{M})$  and  $u \in \mathcal{M}$  is a partial isometry with  $uu^* \in \mathcal{M}^{\varphi}$ , then the formula  $\varphi_u(x) = \varphi(uxu^*)$   $(x \in \mathcal{M}^+)$  defines a weight  $\varphi_u \in W_{ns}(\mathcal{M})$  with  $s(\varphi_u) = u^*u$ ; moreover, if  $u^*u \in \mathcal{M}^{\varphi}$ , then  $\varphi_u = \varphi_{u^*u} \Leftrightarrow u \in \mathcal{M}^{\varphi}$ . Consider a fixed weight  $\omega \in W_{nsf}(\mathcal{M})$ .

Proposition. Let  $\varphi$ ,  $\psi \in W_{ns}(\mathcal{M})$  and  $u \in \mathcal{M}$  with  $u^*u = s(\psi)$  and  $uu^* \in \mathcal{M}^*$ . Consider the  $W^*$ -algebra  $\mathcal{P} = \mathcal{M} \otimes \mathcal{F}_2$  and the balanced weight  $0 = \theta(\varphi, \psi) \in W_{ns}(\mathcal{P})$ . The following statements are equivalent:

(i) 
$$\psi = \varphi_u$$
;

(ii) 
$$\begin{pmatrix} 0 & u \\ 0 & 0 \end{pmatrix} \in \mathscr{P}^{\theta};$$

(iii)  $[D\psi: D\omega]_t = u^*[D\varphi; D\omega]_t \sigma_t^{\omega}(u)$  for all  $t \in \mathbb{R}$ .

Proof. (i) 
$$\Leftrightarrow$$
 (ii). We have  $s(\theta) = \begin{pmatrix} s(\phi) & 0 \\ 0 & s(\psi) \end{pmatrix}$ , hence  $U = \begin{pmatrix} 0 & u \\ 0 & 0 \end{pmatrix} \in s(\theta) \mathcal{P}s(\theta)$ , since  $F = UU^* = \begin{pmatrix} uu^* & 0 \\ 0 & 0 \end{pmatrix}$  and  $E = U^*U = \begin{pmatrix} 0 & 0 \\ 0 & s(\psi) \end{pmatrix}$ ; it also follows that  $E, F \in \mathcal{P}^0$ . By Proposition 2.21 we have  $U \in \mathcal{P}^0$  if and only if  $\theta_U = \theta_E$ . For  $X = \begin{pmatrix} x_{11} & x_{12} \\ x_{21} & x_{22} \end{pmatrix} \in \mathcal{P}^+$ 

we have 
$$UXU^* = \begin{pmatrix} ux_{22}u^* & 0 \\ 0 & 0 \end{pmatrix}$$
 and  $EXE = \begin{pmatrix} 0 & 0 \\ 0 & s(\psi)x_{22}s(\psi) \end{pmatrix}$ , hence  $\theta_U = \theta_E$  if and only if  $\psi = \varphi_H$ .

(ii)  $\Leftrightarrow$  (iii). Consider now the  $W^*$ -algebra  $Q = \mathcal{M} \otimes \mathcal{F}_3$  and the weight  $\tau \in W_{ns}(Q)$  defined by  $\tau([x_{ij}]) = \varphi(x_{11}) + \psi(x_{22}) + \omega(x_{33})$ ,  $([x_{ij}] \in Q^+)$ . Then, by Proposition 3.3, we have

$$\sigma_{t}^{\mathsf{T}} \begin{pmatrix} 0 & 0 & \mathsf{s}(\varphi) \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} = \begin{pmatrix} 0 & 0 & [D\varphi : D\omega]_{t} \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}; \quad \sigma_{t}^{\mathsf{T}} \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & \mathsf{s}(\psi) \\ 0 & 0 & 0 \end{pmatrix} = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & [D\psi : D\omega]_{t} \\ 0 & 0 & 0 \end{pmatrix}$$

$$\sigma_{t}^{\mathsf{T}} \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & \sigma_{t}^{\omega}(u) \end{pmatrix}; \quad \sigma_{t}^{\mathsf{T}} \begin{pmatrix} 0 & u & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} = \begin{pmatrix} \sigma_{t}^{\theta} \begin{pmatrix} 0 & u \\ 0 & 0 \end{pmatrix} & 0 \\ 0 & 0 & 0 \end{pmatrix}$$

so that

$$\begin{pmatrix}
\sigma_{i}^{0}\begin{pmatrix}0 & u\\0 & 0\end{pmatrix} & 0\\0 & 0 & 0
\end{pmatrix} = \sigma_{i}^{T}\begin{pmatrix}0 & 0 & s(\varphi)\\0 & 0 & 0\\0 & 0 & 0\end{pmatrix}\begin{pmatrix}0 & 0 & 0\\0 & 0 & 0\\0 & 0 & u\end{pmatrix}\begin{pmatrix}0 & 0 & 0\\0 & 0 & s(\psi)\\0 & 0 & 0\end{pmatrix}\right)$$

$$= \begin{pmatrix}0 & [D\varphi: D\omega]_{i}\sigma_{i}^{\omega}(u)[D\psi: D\omega]_{i}^{*} & 0\\0 & 0 & 0\\0 & 0 & 0\end{pmatrix},$$

hence  $U \in \mathcal{P}^0$  if and only if  $[D\psi : D\omega]_t = u^*[D\varphi : D\omega]_t \sigma_t^{\omega}(u)$  for every  $t \in \mathbb{R}$ .

If 
$$a = [D\varphi : D\omega], b = [D\psi : D\omega] \in Z_{\sigma^{\omega}}(\mathbb{R}, \mathscr{M})$$
 and  $c = \begin{pmatrix} a & 0 \\ 0 & b \end{pmatrix} \in Z_{\sigma^{\omega} \overline{\phi}}(\mathbb{R}, \mathscr{P}),$ 

then we have  $\mathcal{M}^a = \mathcal{M}^{\varphi}$ ,  $\mathcal{M}^b = \mathcal{M}^{\varphi}$  and  $\mathcal{P}^c = \mathcal{P}^{\theta}$ , so that the equivalence (ii)  $\Leftrightarrow$  (iii) also follows from Proposition 20.2.

By the previous Proposition we have that for  $\varphi, \psi \in W_{ns}(\mathcal{M})$  the following conditions are equivalent:

- (i) there exists  $u \in \mathcal{M}$  with  $u^*u = s(\psi)$ ,  $uu^* \in \mathcal{M}^{\phi}$  and  $\psi = \varphi_u$ ;
- (ii) there exists  $u \in \mathcal{M}$  with  $u^*u = s(\psi)$  and  $\begin{pmatrix} 0 & u \\ 0 & 0 \end{pmatrix} \in \mathcal{P}^0$ ;
- (iii)  $[D\psi: D\omega] \lesssim [D\varphi: D\omega]$  in  $Z_{\sigma\omega}(\mathbb{R}; \mathcal{M})$ .

If these conditions are satisfied we write  $\psi \lesssim \varphi$  and we say that the weight  $\psi$  is dominated by the weight  $\varphi$ . If in condition (i) we have also  $uu^* = s(\varphi)$  or, equivalently, in condition (iii) we have  $[D\psi:D\omega] = [D\varphi:D\omega]$ , then we write  $\psi = \varphi$  and say that the weights  $\psi$  and  $\varphi$  are equivalent. For the relations " $\lesssim$ " and " $\approx$ " we can thus apply all the results obtained in Section 20. In particular, if  $\psi \lesssim \varphi$  and  $\varphi \lesssim \psi$ , then  $\psi = \varphi$ .

**23.2.** Proposition. Let  $\varphi \in W_{ns}(\mathcal{M})$  and  $u, v \in \mathcal{M}$  be partial isometries such that  $uu^*$ ,  $vv^* \in \mathcal{M}^{\varphi}$ . Then  $\varphi_u \lesssim \varphi_v$  if and only if  $uu^* \prec vv^*$  in  $\mathcal{M}^{\varphi}$ .

*Proof.* Put  $e = uu^*$ ,  $f = vv^*$ . We have  $u \in \mathcal{M}^{\phi_e}$ ,  $uu^* = e = s(\phi_e)$ ,  $u^*u = s(\phi_u)$  and  $\phi_u = (\phi_e)_u$ , hence  $\phi_u = \phi_e$  and, similarly,  $\phi_v = \phi_f$ . Thus, we have to prove that  $\phi_e \lesssim \phi_f$  if and only if  $e \prec f$  in  $\mathcal{M}^{\phi}$ .

If  $e \prec f$  in  $\mathcal{M}^{\varphi}$ , there exists  $w \in \mathcal{M}^{\varphi}$  with  $w^*w = e$  and  $ww^* = f$ ; hence  $\varphi_e = f$ 

 $= \varphi_w \lesssim \varphi_f$ , by Proposition 2.21.

Conversely, if  $\varphi_e \lesssim \varphi_f$ , then there exists  $w \in \mathcal{M}$  with  $ww^* \in \mathcal{M}^{\bullet f}$ ,  $ww^* \leqslant f$ ,  $w^*w = e$  and  $\varphi_e = (\varphi_f)_w = \varphi_w$ . By Proposition 2.21, this implies that  $w \in \mathcal{M}^{\bullet}$ , and so  $e \prec f$  in  $\mathcal{M}^{\bullet}$ .

23.3. Recall that a weight  $\varphi \in W_{ns}(\mathcal{M})$  is said to be of infinite multiplicity if its centralizer  $\mathcal{M}^{\varphi}$  is properly infinite. Since  $\mathcal{M}^{\varphi} = \mathcal{M}^{\{D\varphi: D\omega\}}$ , the weight  $\varphi$  is of infinite multiplicity if and only if the cocycle  $[D\varphi: D\omega] \in Z_{\sigma}\omega(\mathbb{R}; \mathcal{M})$  is of infinite multiplicity.

**Proposition.** Let  $\mathcal{M}$  be a  $W^*$ -algebra with separable predual and  $\varphi \in W_{nef}(\mathcal{M})$  a weight of infinite multiplicity. Then the following statements are equivalent:

(i)  $\varphi \approx \lambda \varphi$  for every  $\lambda > 0$ ;

(ii)  $\sigma^{\varphi} : \mathbb{R} \to Aut(\mathcal{M})$  is a dominant action;

(iii)  $[D\varphi: D\omega] \in Z_{\sigma\omega}(\mathbb{R}; U(\mathcal{M}))$  is a dominant cocycle.

*Proof.* (i)  $\Leftrightarrow$  (ii). We have  $\varphi \approx \lambda \varphi$  for each  $\lambda > 0$  if and only if for every  $s \in \mathbb{R}$  there exists  $u(s) \in U(\mathcal{M})$  with  $e^s \varphi = \varphi_{u(s)}$ , that is (3.6) if and only if for every  $s \in \mathbb{R}$  there exists  $u(s) \in U(\mathcal{M})$  with  $[D(e^s \varphi): D\varphi]_t = [D(\varphi_{u(s)}): D\varphi]_t$   $(t \in \mathbb{R})$ , or (3.7) if and only if for every  $s \in \mathbb{R}$  there exists  $u(s) \in U(\mathcal{M})$  with  $\sigma_t^{\varphi}(u(s)) = e^{ist}u(s)$   $(t \in \mathbb{R})$ . By Proposition 20.12, this last condition means that the action  $\sigma^{\varphi}: \mathbb{R} \to Aut(\mathcal{M})$  is dominant.

(ii)  $\Leftrightarrow$  (iii). The action  $\sigma^{\varphi}$  is dominant if and only if the trivial cocycle  $1 \in Z_{\sigma^{\varphi}}(\mathbb{R}; U(\mathcal{M}))$  is dominant (20.11) and, since  $\sigma^{\varphi} = \bigcup_{\{D\varphi:D\omega\}} \sigma^{\omega}$ , this is equivalent to the fact that the cocycle  $[D\varphi:D\omega] \in Z_{\sigma^{\omega}}(\mathbb{R}; U(\mathcal{M}))$  is dominant (see the proof

of Theorem 20.5).

If the predual  $\mathcal{M}_{*}$  is not assumed to be separable, then the previous equivalence still holds if we replace condition (i) by the condition that there exists an s-conti-

nuous function  $\lambda \mapsto u(\lambda) \in U(\mathcal{M})$  such that  $\lambda \varphi = \varphi_{u(\lambda)}$ .

A weight  $\varphi \in W_{nsf}(\mathcal{M})$  of infinite multiplicity is called a *dominant weight* if  $\sigma^{\varphi} \colon \mathbb{R} \to Aut(\mathcal{M})$  is a dominant action. We shall see later (23.16) that if  $\mathcal{M}$  is a type III  $W^{\varphi}$ -algebra with separable predual, then any weight  $\varphi \in W_{nsf}(\mathcal{M})$  such that  $\varphi = \lambda \varphi$  for every  $\lambda > 0$  is automatically of infinite multiplicity and hence dominant.

23.4. A weight  $\varphi \in W_{ns}(\mathcal{M})$  is called *integrable* if the action  $\sigma^{\bullet} \colon \mathbb{R} \to Aut(\mathcal{M}_{n(\bullet)})$  is integrable, i.e. (20.6) if the cocycle  $[D\varphi \colon D\omega_0] \in Z_{\sigma^{\bullet o}}(\mathbb{R}; \mathcal{M})$  is square integrable, where  $\omega_0$  is any fixed n.s.f. weight on  $\mathcal{M}$ . By Theorem 20.5 and 20.6 we have the following result:

**Theorem.** Let  $\mathcal{M}$  be a properly infinite  $W^*$ -algebra. Then there exists a dominant weight  $\varphi \in W_{nsf}(\mathcal{M})$ . If, moreover,  $\mathcal{M}$  is countably decomposable, then all dominant weights on  $\mathcal{M}$  are equivalent and a weight  $\psi \in W_{ns}(\mathcal{M})$  is integrable if and only if  $\psi \lesssim \varphi$ .

There exists also a simpler and more natural proof of the existence of a dominant weight, which we present below.

By Stone's theorem, there exists a unique nonsingular positive self-adjoint operator A on the Hilbert space  $\mathcal{L}^2(\mathbb{R})$  such that  $A^{it} = \rho(t)$   $(t \in \mathbb{R})$ , where we denote by  $\rho$  the regular representation of  $\mathbb{R}$  on  $\mathcal{L}^2(\mathbb{R})$ . Then the n.s.f. weight  $\omega = tr_A$  on  $\mathcal{F} = \mathcal{B}(\mathcal{L}^2(\mathbb{R}))$  has the following property:

$$\lambda\omega \approx \omega \quad (\lambda > 0).$$

Indeed, let  $\mathbf{m}(s) \in \mathcal{B}(\mathcal{L}^2(\mathbb{R}))$  be the unitary operator defined by  $[\mathbf{m}(s)\xi](t) = e^{-ist}\xi(t)$   $(\xi \in \mathcal{L}^2(\mathbb{R}), s, t \in \mathbb{R})$ . We have  $[D\omega_{\mathbf{m}(s)}: D \ tr]_t = [D\omega_{\mathbf{m}(s)}: D\omega]_t [D\omega: D \ tr]_t = \mathbf{m}(s)^* \sigma_t^{\omega}(\mathbf{m}(s))\rho(t) = \mathbf{m}(-s)\rho(t) \mathbf{m}(s)\rho(-t)\rho(t) = e^{-ist}\rho(t) = [D(e^{-s}\omega): D\omega]_t \times [D\omega: D \ tr]_t = [D(e^{-s}\omega): D \ tr]_t$ , hence  $e^{-s}\omega = \omega_{\mathbf{m}(s)} \approx \omega$ , for all  $s \in \mathbb{R}$ .

Consider now any n.s.f. weight  $\varphi$  on  $\mathscr{M}$  of infinite multiplicity. Then  $\varphi \otimes \omega$  is a dominant weight on  $\mathscr{M} \otimes \mathscr{F}$  since  $\mathscr{M}^{\varphi \otimes \omega} \supset \mathscr{M}^{\varphi} \otimes 1$  is properly infinite and  $\lambda(\varphi \otimes \omega) = \varphi \otimes (\lambda(\omega) = \varphi \otimes \omega)$  for every  $\lambda > 0$ . Since  $\mathscr{M}$  is properly infinite, we have  $\mathscr{M} \otimes \mathscr{M} \otimes \mathscr{F}$  and hence we can say that  $\varphi \otimes \omega$  is a dominant weight on  $\mathscr{M}$ .

If  $\mathcal{M}$  is countably decomposable, then the uniqueness modulo equivalence of the dominant weight shows that for any two n.s.f. weights  $\varphi, \psi$  on  $\mathcal{M}$  there exists a unitary operator  $U \in U(\mathcal{M} \boxtimes \mathcal{F})$  such that  $\psi \boxtimes \omega = (\varphi \boxtimes \omega) \circ \mathrm{Ad}(U)$ .

Also, since  $\sigma^{\varphi \otimes \omega} = \sigma^{\varphi} \otimes \sigma^{\omega} = \sigma^{\varphi} \otimes \operatorname{Ad}(p)$ , it follows that the centralizer of the dominant weight  $\varphi \otimes \omega$  on  $\mathscr{M} \otimes \mathscr{B}(\mathscr{L}^2(\mathbb{R}))$  is equal to the crossed product  $\mathscr{R}(\mathscr{M}, \sigma^{\varphi})$  (see Corollary 19.13). Therefore, for any dominant weight  $\varphi$  on  $\mathscr{M}$  the centralizer  $\mathscr{M}^{\varphi}$  is \*-isomorphic to the crossed product  $\mathscr{R}(\mathscr{M}, \sigma^{\varphi})$  (see also 20.5.(3)).

On the other hand, consider also the unique n.s.f. weight  $\omega'$  on  $\mathcal{F} = \mathcal{B}(\mathcal{L}^2(\mathbb{R}))$  such that  $[D\omega': Dtr]_s = \mathbf{m}(s)$  ( $s \in \mathbb{R}$ ). Since  $\mathbf{m}(s)\rho(t) = e^{ist}\rho(t)\mathbf{m}(s)$ , it follows that the modular automorphism groups  $\sigma_t^\omega = \mathrm{Ad}(\rho(t))$  and  $\sigma_s^\omega' = \mathrm{Ad}(\mathbf{m}(s))$  commute, hence  $\sigma_t^{\varphi \otimes \omega}$  and  $\sigma_s^{\varphi \otimes \omega'}$  also commute. However, the weights  $\varphi \otimes \omega$  and  $\varphi \otimes \omega'$  do not commute. Indeed, if they did commute, then  $[D(\varphi \otimes \omega'): D(\varphi \otimes \omega)] = 1 \otimes [D\omega': D\omega]$  would be a one-parameter group of unitary operators (see Theorem 4.10); however  $[D\omega': D\omega]_t = [D\omega': Dtr]_t [Dtr: D\omega]_t = \mathbf{m}(t)\rho(-t)$  is not a one-parameter group of unitary operators (see also 4.15).

It follows that if  $\varphi$  is a dominant weight on the countably decomposable  $W^*$ -algebra  $\mathcal{M}$ , then there exists an n.s.f. weight  $\psi$  on  $\mathcal{M}$  which anticommutes with  $\varphi$ , i.e.  $\psi$  does not commute with  $\varphi$ , but the corresponding modular automorphism groups commute,  $\sigma_i^*\sigma_i^* = \sigma_i^*\sigma_i^*$  (s,  $t \in \mathbb{R}$ ). We shall see later (23.16, 23.17) that this property characterizes the dominant weights on countably decomposable type III factors.

23.5. Consider again the arguments given in the last part of Section 12.4. Let  $\varphi$  be any n.s.f. weight on the  $W^*$ -algebra  $\mathcal{M}$ . On the crossed product  $\mathcal{R}(\mathcal{M}, \sigma^{\varphi})$  we have a dual weight  $\hat{\varphi}$  (19.8) and a dual action  $\alpha = (\sigma^{\varphi})^{\wedge}$  (19.3). Namely, the dual action  $\alpha$  is defined by  $\alpha_s = \operatorname{Ad}(1 \boxtimes \operatorname{m}(s)) | \mathcal{R}(\mathcal{M}, \sigma^{\varphi})$  ( $s \in \mathbb{R}$ ), and the modular automorphism group associated with the dual weight  $\hat{\varphi}$  is characterized by

 $\sigma_{i}^{\widehat{\varphi}}(\pi_{\sigma^{\varphi}}(x)) = \pi_{\sigma^{\varphi}}(\sigma_{i}^{\varphi}(x)) = [\operatorname{Ad}(1 \boxtimes \lambda(t))](\pi_{\sigma^{\varphi}}(x)) \ (x \in \mathcal{M}), \quad \text{and} \quad \sigma_{i}^{\widehat{\varphi}}(1 \boxtimes \lambda(t)) = 1 \boxtimes \lambda(t) = [\operatorname{Ad}(1 \boxtimes \lambda(t))](1 \boxtimes \lambda(t)) \ (t \in \mathbb{R}), \quad \text{so that} \quad \sigma_{i}^{\widehat{\varphi}} = \operatorname{Ad}(1 \boxtimes \lambda(t)) \mid \mathcal{R}(\mathcal{M}, \sigma^{\varphi}) = 1 \boxtimes \lambda(t) = 1 \boxtimes$ 

$$\mu \circ \alpha_s = e^{-s}\mu \qquad (s \in \mathbb{R}).$$

Thus, the crossed product  $\mathcal{R}(\mathcal{M}, \sigma^{\bullet})$  is a semifinite  $W^*$ -algebra and there exists an n.s.f. trace  $\mu$  on  $\mathcal{R}(\mathcal{M}, \sigma^{\bullet})$  which satisfies (1), where  $\alpha = (\sigma^{\bullet})^{\wedge}$  is the dual action.

23.6. Theorem (A. Connes, M. Takesaki). Let  $\mathcal{M}$  be a properly infinite  $W^{\bullet}$ -algebra and  $\varphi$  a dominant weight on  $\mathcal{M}$ . Then the centralizer  $\mathcal{M}^{\bullet}$  is a semifinite  $W^{\bullet}$ -algebra and there exist a continuous action  $\theta: \mathbb{R} \to \operatorname{Aut}(\mathcal{M}^{\bullet})$  and an n.s. f trace  $\tau$  on  $\mathcal{M}^{\bullet}$  such that  $(\mathcal{M}, \sigma^{\bullet}) \approx (\mathcal{R}(\mathcal{M}^{\bullet}, \theta), \hat{\theta})$  and  $\tau \circ \theta_{s} = e^{-s}\tau$   $(s \in \mathbb{R})$ .

*Proof.* Since  $\varphi$  is a dominant weight, we infer by Proposition 20.12 that there exists a continuous action  $\theta'': \mathbb{R} \to Aut(\mathcal{M}^{\bullet})$  such that

(1) 
$$(\mathcal{M}, \sigma^{\bullet}) \approx (\mathcal{R}(\mathcal{M}^{\bullet}, \theta''), \hat{\theta''}).$$

By the Takesaki duality theorem (19.5) we have

(2) 
$$(\mathcal{R}(\mathcal{M}, \sigma^{\bullet}), (\sigma^{\bullet})^{\wedge}) \approx (\mathcal{M}^{\bullet} \otimes \mathcal{R}(\mathcal{L}^{2}(\mathbb{R})), \ \theta'' \otimes \mathrm{Ad}(\rho)).$$

Since  $\mathcal{M}^{\bullet}$  is properly infinite, by Corollary 9.16 and the result in Section 20.14 we deduce that there exists a continuous action  $\theta' \colon \mathbb{R} \to Aut(\mathcal{M}^{\bullet})$ ,  $\theta' \sim \theta''$ , whose centralizer  $(\mathcal{M}^{\bullet})^{\theta'}$  is properly infinite. Then, using Corollary 9.16 again we get  $(\mathcal{M}^{\bullet}, \theta') \approx (\mathcal{M}^{\bullet} \otimes \mathcal{B}(\mathcal{L}^{2}(\mathbb{R})), \theta' \otimes \iota)$ . Since  $\theta' \otimes \iota \sim \theta'' \otimes \iota \sim \theta'' \otimes Ad(\rho)$ , it follows that there exists a continuous action  $\theta \colon \mathbb{R} \to Aut(\mathcal{M}^{\bullet}), \theta \sim \theta' \sim \theta''$ , such that

(3) 
$$(\mathcal{M}^{\bullet}, \theta) \approx (\mathcal{M}^{\bullet} \otimes \mathcal{B}(\mathcal{L}^{2}(\mathbb{R})), \ \theta^{"} \otimes \operatorname{Ad}(\rho) )$$

From (2) and (3) it follows that

(4) 
$$(\mathcal{R}(\mathcal{M}, \sigma^{\bullet}), (\sigma^{\bullet})^{\wedge}) \approx (\mathcal{M}^{\bullet}, 0)$$

and, since  $\theta = \theta''$ , we infer from (1) and 20.14 that

(5) 
$$(\mathcal{M}, \sigma^{\bullet}) \approx (\mathcal{R}(\mathcal{M}^{\bullet}, \theta), \hat{\theta}).$$

Finally, by (4) and 23.5, there exists an n.s.f. trace  $\tau$  on  $\mathcal{M}^{\varphi}$  such that

(6) 
$$\tau \circ \theta_s = e^{-s}\tau \quad (s \in \mathbb{R}).$$

23.7. Any triple  $(\mathcal{N}, \theta, \tau)$  consisting of a properly infinite semifinite  $W^*$ -algebra  $\mathcal{N}$ , a continuous action  $\theta: \mathbb{R} \to Aut(\mathcal{N})$  and an n.s.f. trace  $\tau$  on  $\mathcal{N}$  such that  $\tau \circ \theta_s = e^{-s\tau}$  ( $s \in \mathbb{R}$ ), is called a *continuous decomposition*.

By Theorem 23.6, every properly infinite  $W^*$ -algebra  $\mathcal{M}$  has a continuous decomposition  $(\mathcal{N}, \theta, \tau)$  such that  $\mathcal{M} = \mathcal{R}(\mathcal{N}, \theta)$ ; in this case,  $(\mathcal{N}, \theta, \tau)$  will be called a continuous decomposition of  $\mathcal{M}$ .

**Proposition.** Let  $(\mathcal{N}, \theta, \tau)$  be any continuous decomposition and let  $\mathcal{M} = \mathcal{R}(\mathcal{N}, \theta)$  with the dual action  $\hat{\theta}$ :  $\mathbb{R} \to \operatorname{Aut}(\mathcal{M})$  and the dual weight  $\varphi = \hat{\tau} \in W_{nsf}(\mathcal{M})$ . Then  $\varphi$  is a dominant weight on  $\mathcal{M}$ ,  $\sigma^{\varphi} = \hat{\theta}$  and  $\mathcal{M}^{\varphi} = \pi_{\varphi}(\mathcal{N})$ .

*Proof.* Indeed, we have  $\sigma_i^{\varphi}(\pi_{\theta}(x)) = \pi_{\theta}(\sigma_i^{\tau}(x)) = \pi_{\theta}(x)$   $(x \in \mathcal{N})$ , and  $\sigma_i^{\varphi}(1 \boxtimes \lambda(s)) = (1 \boxtimes \lambda(s)) \pi_{\theta}([D(\tau \circ \theta_s): D\tau]_t) = e^{-ist}(1 \boxtimes \lambda(s))$   $(s \in \mathbb{R})$ , hence  $\sigma_i^{\varphi} = Ad(1 \boxtimes m(t)) = \hat{\theta}_t$   $(t \in \mathbb{R})$ . Then  $\mathcal{M}^{\varphi} = \mathcal{M}^{\hat{\theta}} = \pi_{\theta}(\mathcal{N})$  (see 19.3.(9)) and the fact that  $\varphi$  is dominant now follows by using Proposition 20.12.

Note also that if  $(\mathcal{N}, \theta, \tau)$  is a continuous decomposition,  $\mathcal{M} = \mathcal{R}(\mathcal{N}, \theta)$  and  $\varphi = \hat{\tau}$ , then  $\mathbb{R} \ni s \mapsto u(s) = 1 \ \overline{\otimes} \ \lambda(s) \in \mathcal{M}$  is an s-continuous unitary representation and, identifying  $\mathcal{N} \equiv \pi_{\theta}(\mathcal{N}) \subset \mathcal{M}$ , we have  $\mathcal{N} = \mathcal{M}^{\varphi}$ ,  $\mathcal{M} = \mathcal{R}\{\mathcal{N}, u(\mathbb{R})\}$ ,  $\theta_s = -\operatorname{Ad}(u(s)) \mid \mathcal{N} \ (s \in \mathbb{R})$ ; also  $\sigma^{\varphi} = \hat{\theta}$  is determined by  $\sigma_i^{\varphi} \mid \mathcal{N} = i$  and  $\sigma_i^{\varphi}(u(s)) = -\operatorname{e}^{-ist}u(s)$  (s,  $i \in \mathbb{R}$ ), hence  $\varphi_{u(s)} = \operatorname{e}^{-i\varphi} \ (s \in \mathbb{R})$ .

23.8. With regard to the uniqueness of the continuous decomposition of a  $W^*$ -algebra, we first prove the following result:

**Proposition.** Let  $(\mathcal{N}_1, \theta_1, \tau_1)$  and  $(\mathcal{N}_2, \theta_2, \tau_2)$  be two continuous decompositions with  $\mathcal{N}_1, \mathcal{N}_2$  countably decomposable  $W^*$ -algebras. Then  $\mathcal{R}(\mathcal{N}_1, \theta_1) \approx \mathcal{R}(\mathcal{N}_2, \theta_2)$  if and only if  $(\mathcal{N}_1, \theta_1) \sim (\mathcal{N}_2, \theta_2)$ .

*Proof.* The "if" part is clear by statement 20.13.(4). Conversely, assume that  $\mathcal{R}(\mathcal{N}_1, \theta_1) = \mathcal{R}(\mathcal{N}_2, \theta_2) = \mathcal{M}$ . By assumption,  $\mathcal{M}$  is countably decomposable, hence the dominant weights (23.7)  $\varphi_1 = \hat{\tau}_1$ ,  $\varphi_2 = \hat{\tau}_2$  on  $\mathcal{M}$  are equivalent, i.e.  $(\mathcal{R}(\mathcal{N}_1, \theta_1), \varphi_1) \approx (\mathcal{R}(\mathcal{N}_2, \theta_2), \varphi_2)$ . Since  $\sigma^{\varphi_1} = \hat{\theta}_1$ ,  $\sigma^{\varphi_2} = \hat{\theta}_2$  (23.7), we infer that  $(\mathcal{R}(\mathcal{N}_1, \theta_1), \hat{\theta}_1) \approx (\mathcal{R}(\mathcal{N}_2, \theta_2), \hat{\theta}_2)$ . Using the Takesaki duality theorem, we also obtain  $(\mathcal{N}_1 \boxtimes \mathcal{R}(\mathcal{R}(\mathcal{N}_2, \theta_2), \theta_2)) = (\mathcal{N}_2 \boxtimes \mathcal{R}(\mathcal{L}^2(\mathbb{R})), \theta_1 \boxtimes \mathcal{R}(\mathcal{L}^2(\mathbb{R})) = (\mathcal{N}_2 \boxtimes \mathcal{R}(\mathcal{L}^2(\mathbb{R})), \theta_2 \boxtimes \mathcal{R}(\mathcal{L}^2(\mathbb{R}))$ . On the other hand, for each j = 1, 2, there exists a continuous action  $\theta_j' : \mathbb{R} \to \mathcal{A}ut(\mathcal{N}_j), \theta_j' \to \theta_j$ , such that  $(\mathcal{N}_j \boxtimes \mathcal{R}(\mathcal{L}^2(\mathbb{R})), \theta_j \boxtimes \mathcal{R}(\mathcal{L}^2(\mathbb{R})) = (\mathcal{N}_2, \theta_2)$ .

Actually, we shall see (23.12) that  $\mathcal{R}(\mathcal{N}_1, \theta_1) \approx \mathcal{R}(\mathcal{N}_2, \theta_2)$  if and only if  $(\mathcal{N}_1, \theta_1) \approx (\mathcal{N}_2, \theta_2)$ . So far as the determination of the trace  $\tau$  in a continuous decomposition  $(\mathcal{N}, \theta, \tau)$  is concerned we recall (4.11) that any other n.s.f. trace on  $\mathcal{N}$  is of the form  $\tau_A$  with A a nonsingular positive self-adjoint operator affiliated to  $\mathcal{Z}(\mathcal{N})$ ; it is easy to check that  $\tau_A$  satisfies the same condition as  $\tau$  with respect to  $(\mathcal{N}, \theta)$  if and only if A is affiliated to  $\mathcal{Z}(\mathcal{N})^0$ .

23.9. In this Section we give some auxiliary results, which, in particular, will enable us to complete the previous uniqueness result.

**Proposition.** Let  $\mathcal{N}$  be a semifinite  $W^*$ -algebra,  $0 \in Aut(\mathcal{N})$  and  $\tau$  an n.s.f. trace on  $\mathcal{N}$  such that  $\tau \circ 0 \leq \lambda \tau$  for some  $\lambda$  such that  $0 < \lambda < 1$ . Then the action  $\mathbb{Z} \ni n \mapsto \mathcal{N} \cap \mathcal{N}$  is integrable, there exists an s-continuous unitary representation  $u: \mathbb{U} \to \mathcal{U}(\mathcal{N})$  such that  $\mathcal{N}(u(\omega)) = \omega^n u(\omega)$  ( $\omega \in \mathbb{U}$ ,  $n \in \mathbb{Z}$ ), and there exists a projection  $e \in \mathcal{N}$  such that  $\sum_{n \in \mathbb{Z}} \mathcal{N}(e) = 1$ .

*Proof.* The family  $\{f \in Proj(\mathcal{N}); \theta^n(f) \ (n \in \mathbb{Z}) \ \text{are mutually orthogonal} \}$  is inductively ordered and hence, by Zorn's lemma, it has a maximal element which we denote by  $e \in \mathcal{N}$ . Let  $e_0 = \sum_{n \in \mathbb{Z}} \theta^n(e)$ . If  $f_0 = 1 - e_0 \neq 0$ , there exists a pro-

jection  $p \in \mathcal{N}$ ,  $0 \neq p \leqslant f_0$ , with  $\tau(p) < +\infty$ . Putting  $q = \bigvee_{n=0}^{\infty} \theta^n(p)$  we have

 $\tau(q) \leqslant \sum_{n=0}^{\infty} \tau(\theta^n(p)) \leqslant \sum_{n=0}^{\infty} \lambda^n \tau(p) = (1-\lambda)^{-1} \tau(p) < +\infty$  and  $\theta(q) \leqslant q$ ,  $\tau(\theta(q)) \leqslant \beta \downarrow \tau(q) < \tau(q)$ ; hence  $f = q - \theta(q) \neq 0$ . However the existence of the projection e + f contradicts the maximality of e, since  $(e + f)(\theta^n(e) + \theta^n(f)) = e\theta^n(e) + f\theta^n(e) + e\theta^n(f) + f\theta^n(f) = 0$ ; indeed, we have  $e\theta^n(e) = 0$  by the choice of e, then  $f\theta^n(e) = 0 = e\theta^n(f)$  since  $f \leqslant 1 - e_0$  and finally  $f\theta^n(f) = 0$  as  $f = q - \theta(q)$ . Hence  $e_0 = 1$ .

We have  $\sum_{n \in \mathbb{Z}} \theta^n(a) \in \mathcal{N}^+$  for any finite partial sum a of the series  $\sum_{n \in \mathbb{Z}} \theta^n(e)$ . Since these finite partial sums converge to  $e_0 = 1$  with respect to the s-topology, it follows that the action  $n \mapsto \theta^n$  is indeed integrable.

Recall that  $\mathbb{T} = \{\omega \in \mathbb{C}; |\omega| = 1\}$  is the dual group of  $\mathbb{Z}$ . By defining  $u(\omega) = \sum_{m \in \mathbb{Z}} \omega^{-m} \theta^m(e) \in U(\mathcal{N})$  ( $\omega \in \mathbb{T}$ ), we obtain an s-continuous unitary representation  $u: \mathbb{T} \to U(\mathcal{N})$  and it is easy to check that  $\theta^n(u(\omega)) = \omega^n u(\omega)$  ( $\omega \in \mathbb{T}$ ,  $n \in \mathbb{Z}$ ).

Using Landstad's theorem (19.9) and Proposition 20.12, we deduce

Corollary. Let  $\mathcal{N}$  be a semifinite  $W^*$ -algebra,  $\theta \in Aut(\mathcal{N})$  and  $\tau$  an n.s. f. trace on  $\mathcal{N}$  such that  $\tau \circ \theta \leq \lambda \tau$  for some  $\lambda$  such that  $0 < \lambda < 1$ . Then there exists a continuous action  $\sigma \colon \overline{\mathbb{U}} \to Aut(\mathcal{N}^{\bullet})$  such that  $(\mathcal{N}, \theta) \approx (\mathcal{R}(\mathcal{N}^{\bullet}, \sigma), \hat{\sigma})$ .

In particular, if  $\mathcal{N}^{\theta}$  is properly infinite, then  $\theta \colon \mathbb{Z} \ni n \mapsto \theta^{n} \in Aut(\mathcal{N})$  is a dominant action.

23.10. For continuous decompositions we obtain the following similar result:

Proposition. Let  $\mathcal{N}$  be a semifinite  $W^*$ -algebra,  $\theta \colon \mathbb{R} \to Aut(\mathcal{N})$  a continuous action and  $\tau$  an n.s. f. trace on  $\mathcal{M}$  such that  $\tau \circ \theta_s = e^{-t}\tau$   $(s \in \mathbb{R})$ . Then  $\theta$  is an integrable action, there exists a  $\theta$ -invariant n.s. f. weight  $\varphi$  on  $\mathcal{N}$  and there exists an s-continuous unitary representation  $v \colon \mathbb{R} \to U(\mathcal{N})$  such that  $\theta_s(v(t)) = e^{iut}v(t)$   $(s, t \in \mathbb{R})$ .

*Proof.* The \*-automorphism  $\theta_1 \in Aut(\mathcal{N})$  satisfies  $\tau \circ \theta_1 = e^{-1}\tau$ . By Proposition 23.9 it follows that  $n \mapsto \theta_1^n = \theta_n$  is an integrable action of  $\mathbb{Z}$  on  $\mathcal{N}$ . If  $a \in \mathcal{N}^+$ 

is  $\theta_1$ -integrable, i.e.  $\sum_{n \in \mathbb{Z}} \theta_n(a) \in \mathcal{N}^+$ , then

$$\int_{-\infty}^{+\infty} \theta_{t}(a) dt = \sum_{n} \int_{n}^{n+1} \theta_{t}(a) dt = \sum_{n} \theta_{n} \left( \int_{0}^{1} \theta_{t}(a) dt \right) = \int_{0}^{1} \theta_{t} \left( \sum_{n} \theta_{n}(a) \right) dt \in \mathcal{N}^{+}.$$

Hence  $\theta$  is an integrable action.

Thus, there exists a  $\theta$ -invariant n.s.f. operator valued weight  $P_{\theta}: \mathcal{N}^+ \to \overline{(\mathcal{N}^{\theta})}^+$  (see 18.19, 20.6). If  $\psi$  is any n.s.f. weight on  $\mathcal{N}^{\theta}$ , then  $\varphi = \psi \circ P_{\theta}$  is a  $\theta$ -invariant n.s.f. weight on  $\mathcal{N}$ .

Let A be the unique nonsingular positive self-adjoint operator affiliated to  $\mathcal{N}$  such that  $\varphi = \tau_A$ . Since  $\tau \circ \theta_s = \mathrm{e}^{-s}\tau$  and  $\varphi \circ \theta_s = \varphi$ , it follows that  $\theta_s(A) = \mathrm{e}^{-s}A$  ( $s \in \mathbb{R}$ ). Then  $\mathbb{R} \ni t \mapsto v(t) = A^{-it} \in \mathcal{N}$  is an s-continuous unitary representation and  $\theta_s(v(t)) = \mathrm{e}^{\mathrm{i}st}v(t)$  ( $s, t \in \mathbb{R}$ ).

Using Landstad's theorem (19.9) and Proposition 20.12, we deduce

Corollary. Let  $\mathcal{N}$  be a semifinite  $W^*$ -algebra,  $\theta \colon \mathbb{R} \to Aut(\mathcal{N})$  a continuous action and  $\tau$  an n.s. f. trace on  $\mathcal{M}$  such that  $\tau \circ \theta_{\mathfrak{s}} = e^{-s}\tau$   $(s \in \mathbb{R})$ . Then there exists a continuous action  $\sigma \colon \mathbb{R} \to Aut(\mathcal{N}^0)$  such that  $(\mathcal{N}, \theta) \approx (\mathcal{R}(\mathcal{N}^0, \sigma), \hat{\sigma})$ .

In particular, if  $\mathcal{N}^{\theta}$  is properly infinite, then  $\theta: \mathbb{R} \to Aut(\mathcal{N})$  is a dominant action.

**23.11.** Let  $(\mathcal{N}, \theta, \tau)$  be a continuous decomposition. In this Section we study the type of the crossed product  $W^*$ -algebra  $\mathcal{R}(\mathcal{N}, \theta)$ . We shall use the notation, conventions and results of Section 23.7.

**Proposition 1.** We have  $\mathcal{Z}(\mathcal{R}(\mathcal{N}, \theta)) = \mathcal{Z}(\mathcal{N})^{\theta}$ . In particular,  $\mathcal{R}(\mathcal{N}, \theta)$  is a factor if and only if  $\theta$  acts ergodically on  $\mathcal{Z}(\mathcal{N})$ .

**Proof.** The inclusion  $\mathcal{Z}(\mathcal{N})^{\theta} \subset \mathcal{Z}(\mathcal{R}(\mathcal{N}, \theta))$  is obvious (21.6.(2)). Conversely, if  $z \in \mathcal{Z}(\mathcal{M})$ , then  $z \in \mathcal{M}^{\varphi} = \mathcal{N}$ , z commutes with each element of  $\mathcal{N}$ , i.e.  $z \in \mathcal{Z}(\mathcal{N})$ , and z commutes with u(s)  $(s \in \mathbb{R})$ , hence  $z \in \mathcal{Z}(\mathcal{N})^{\theta}$ .

Proposition 2. The following statements are equivalent:

- (i)  $\mathfrak{R}(\mathcal{N}, \theta)$  is semifinite;
- (ii) No is semifinite;
- (iii)  $(\mathcal{N}, \theta) \approx (\mathcal{N}^{\theta} \otimes \mathcal{L}^{\infty}(\mathbb{R}), \iota \otimes \mathrm{Ad}(\lambda));$
- (iv) there exists an s-continuous unitary representation  $\mathbb{R}\ni t\mapsto v(t)\in \mathcal{Z}(\mathcal{N})$  such that  $\theta_s(v(t))=\mathrm{e}^{\mathrm{i} t}v(t)$  (s,  $t\in\mathbb{R}$ ).

*Proof.* (i)  $\Leftrightarrow$  (ii). Indeed, using Corollary 23.10 and the Takesaki duality theorem (19.5), we get  $\mathcal{R}(\mathcal{N}, \theta) \approx \mathcal{N}^0 \otimes \mathcal{R}(\mathcal{L}^2(\mathbb{R}))$ .

- (iii) ⇔ (iv). This follows immediately using Landstad's theorem (19.9).
- (i)  $\Rightarrow$  (iv). If  $\mathcal{M}$  is semifinite, then the modular automorphism group  $\sigma^{\bullet}$  is inner, i.e. there exists an s-continuous unitary representation  $\mathbb{R}\ni t\mapsto v(t)\in\mathcal{M}$ , such that  $\sigma^{\bullet}_t = \mathrm{Ad}(v(t))$   $(t\in\mathbb{R})$ . Then  $v(t)\in\mathcal{M}^{\bullet}=\mathcal{N}$  and for  $x\in\mathcal{N}=\mathcal{M}^{\bullet}$  we

have  $v(t) x v(t)^* = \sigma_t^{\varphi}(x) = x$ , hence  $v(t) \in \mathcal{Z}(\mathcal{N})$   $(t \in \mathbb{R})$ . Then  $e^{-ist}u(s) = \sigma_t^{\varphi}(u(s)) = v(t)u(s)v(t)^*$ , hence  $\theta_s(v(t)) = u(s)v(t)u(s)^* = e^{ist}v(t)$   $(s, t \in \mathbb{R})$ .

(iv)  $\Rightarrow$  (i). If condition (iv) is satisfied, then for  $x \in \mathcal{N} = \mathcal{M}^{\varphi}$  we have  $\sigma_t^{\varphi}(x) = x = v(t) x v(t)^{\varphi}$ , and for  $s \in \mathbb{R}$  we have  $\sigma_t^{\varphi}(u(s)) = e^{-iut}u(s) = v(t)u(s)v(t)^{\varphi}$ , hence  $\sigma_t^{\varphi} = \mathrm{Ad}(v(t))$  ( $t \in \mathbb{R}$ ), since  $\mathcal{M} = \mathcal{R}\{\mathcal{N}, u(\mathbb{R})\}$ . Thus,  $\sigma^{\varphi}$  is inner and  $\mathcal{M}$  is semifinite. Actually, it is also clear that (iii)  $\Rightarrow$  (i).

Since for every projection  $p \in \mathcal{Z}(\mathcal{M}) = \mathcal{Z}(\mathcal{N})^{\theta}$  the triple  $(\mathcal{N}p, \theta | \mathcal{N}p, \tau | \mathcal{N}p)$  is also a continuous decomposition and  $\mathcal{M}p = \mathcal{R}(\mathcal{N}p, \theta | \mathcal{N}p)$ , we get the following

Corollary. The following statements are equivalent:

- (i)  $\Re(\mathcal{N}, \theta)$  is of type III;
- (ii) for every non-zero projection  $p \in \mathcal{Z}(\mathcal{N})^0$ ,  $\mathcal{N}^0 p$  is not semifinite;
- (iii) for every non-zero projection  $p \in \mathcal{Z}(\mathcal{N})^{\theta}$ ,  $(\mathcal{N}p, \theta | \mathcal{N}p) \approx (\mathcal{N}^{\theta}p \ \overline{\otimes} \mathcal{L}^{\infty}(\mathbb{R})$ ,  $\otimes \mathrm{Ad}(\lambda)$ ;
- (iv) for every non-zero projection  $p \in \mathcal{Z}(\mathcal{N})^0$ , there is no s-continuous unitary representation  $v: \mathbb{R} \to \mathcal{Z}(\mathcal{N})p$  such that  $\theta_s(v(t)) = e^{i t t} v(t)$   $(s, t \in \mathbb{R})$ .

Proposition 3. If  $\mathcal{R}(\mathcal{N}, \theta)$  is of type III, then  $\mathcal{N}$  is of type II $_{\infty}$ .

*Proof.* Assume that  $\mathcal{R}(\mathcal{N}, \theta)$  is of type III, but  $\mathcal{N}$  is not of type II $_{\infty}$ . In accordance with Proposition 1, we can then assume that  $\mathcal{N}$  is homogeneous of type I, hence  $\mathcal{N} = \mathcal{Z} \boxtimes F$ , where  $\mathcal{Z} \approx \mathcal{Z}(\mathcal{N})$  and  $\mathcal{F}$  is an infinite dimensional factor of type I.

Any n.s.f. trace on  $\mathcal N$  is then of the form  $(\mu \ \overline{\otimes} \ tr)_A$  with  $\mu$  an n.s.f. trace on  $\mathcal Z$ , tr the canonical trace on  $\mathcal F$  and A a nonsingular positive self-adjoint operator affiliated to  $\mathcal Z(\mathcal N) = \mathcal Z \ \overline{\otimes} \ 1_F$ . Hence  $\tau = \mu \ \overline{\otimes} \ tr$  for some n.s.f. trace  $\mu$  on  $\mathcal Z$ .

We identify  $\mathcal{Z}$  with  $\mathcal{Z}(\mathcal{N}) = \mathcal{Z} \ \overline{\otimes} \ 1_{s'}$  and consider  $\alpha_t = (\theta_t | \mathcal{Z}) \ \overline{\otimes} \ t \in Aut(\mathcal{N})$  ( $t \in \mathbb{R}$ ). Then  $\alpha_{-t} \circ \theta_t \in Aut(\mathcal{N})$  acts identically on  $\mathcal{Z}(\mathcal{N})$  and hence ([L], 8.11) is inner, i.e. there exists  $w(t) \in U(\mathcal{N})$  such that  $\alpha_{-t}(\theta_t(x)) = w(t)xw(t)^*$  for every  $x \in \mathcal{N}$ . Consequently, we have  $\theta_t(x) = \alpha_t(w(t))\alpha_t(x)\alpha_t(w(t))^*$  ( $x \in \mathcal{N}$ ,  $t \in \mathbb{R}$ ).

Consider now  $a \in \mathcal{F} \subset \mathcal{N}$ , a > 0, with  $tr(a) < +\infty$ . For  $z \in \mathcal{Z} \subset \mathcal{N}$  and  $t \in \mathbb{R}$  we have  $e^{-t}\mu(z)tr(b) = e^{-t}\tau(zb) = (\tau \circ \theta_t)(zb) = \tau(\alpha_t(w(t))\alpha_t(zb)\alpha_t(w(t))^*) = \tau(\alpha_t(zb)) = \tau(\theta_t(z)b) = \mu(\theta_t(z))tr(b)$ . This shows that  $\mu \circ \theta_t = e^{-t}\mu$ .

Using the equivalence (i)  $\Leftrightarrow$  (iv) in Proposition 2 and the same arguments as in the proof of Proposition 23.10, we can now conclude that  $\mathcal{R}(\mathcal{N}, \theta)$  is semifinite, a contradiction.

23.12. Theorem. Let  $(\mathcal{N}, \theta, \tau)$  be a continuous decomposition with  $\mathcal{N}$  a countably decomposable  $W^*$ -algebra. Then every unitary cocycle  $u \in Z_0(\mathbb{R}; U(\mathcal{N}))$  is trivial, i.e. there exists  $v \in U(\mathcal{N})$  such that  $u(t) = v^*\theta_t(v)$   $(t \in \mathbb{R})$ .

*Proof.* By Corollary 23.10 there exists a continuous action  $\sigma: \mathbb{R} \to Aut(\mathcal{N}^0)$  such that  $(\mathcal{N}, \theta) \approx (\mathcal{R}(\mathcal{N}^0, \sigma), \hat{\sigma})$ . We may assume that  $(\mathcal{N}, \theta) = \mathcal{R}(\mathcal{N}^0, \sigma), \hat{\sigma}$ .

Let  $p \in \mathcal{Z}(\mathcal{N}^0)$  be the unique projection such that  $\mathcal{N}^0 p$  is semifinite and  $\mathcal{N}^0(1-p)$  is purely infinite. Then  $\sigma_i(p)=p$   $(t \in \mathbb{R})$ , hence  $p \in \mathcal{Z}(\mathcal{R}(\mathcal{N}^0,\sigma))=$  $=\mathcal{Z}(\mathcal{N})$ . It is now easy to see that we can divide the proof into two cases, assuming first that  $\mathcal{N}^0$  is semifinite and then that  $\mathcal{N}^0$  is purely infinite.

If  $\mathcal{N}^{\theta}$  is semifinite, then, by Proposition 2/23.11, we have  $(\mathcal{N}, \theta) \approx (\mathcal{N} \otimes \mathbb{Z}^{\infty}(\mathbb{R}), i \otimes \operatorname{Ad}(\lambda))$  and the required conclusion follows from Proposition 21.12.

If  $\mathcal{N}^{\theta}$  is purely infinite, then, by Corollary 23.10,  $\theta$  is a dominant action, hence the trivial cocycle  $1 \in Z_{\theta}(\mathbb{R}; U(\mathcal{N}))$  is a dominant cocycle. Let  $u \in Z_{\theta}(\mathbb{R}; U(\mathcal{N}))$  and  $\theta' = u\theta$ . Then for  $x \in \mathcal{N}^+$  and  $s \in \mathbb{R}$  we have  $\tau(\theta'_s(x)) = \tau(u(s)\theta_s(x)u(s)^*) = \tau(\theta_s(x)) = e^{-s}\tau(x)$ , hence also  $(\mathcal{N}, \theta', \tau)$  is a continuous decomposition. Since  $\theta' \sim \theta$ , using Corollary 23.10 and the Takesaki duality theorem (19.5) we get  $\mathcal{N}^{\theta'} \boxtimes \mathcal{B}(\mathcal{L}^2(\mathbb{R})) \approx \mathcal{R}(\mathcal{N}, \theta') \approx \mathcal{R}(\mathcal{N}, \theta) \approx \mathcal{N}^{\theta} \boxtimes \mathcal{B}(\mathcal{L}^2(\mathbb{R}))$ , so that  $\mathcal{N}^{\theta'}$  is also purely infinite. It follows that the cocycle  $1 \in Z_{\theta'}(\mathbb{R}; U(\mathcal{N}))$  is dominant, hence the cocycle  $u \in Z_{\theta}(\mathbb{R}; U(\mathcal{N}))$  is dominant. By Theorem 20.5 we conclude that u = 1, i.e. there exists  $v \in U(\mathcal{N})$  such that  $u(t) = v * \theta_t(v)$   $(t \in \mathbb{R})$ .

Using the previous Theorem and Proposition 23.8 we obtain:

Corollary. Let  $(\mathcal{N}_1, \theta_1, \tau_1)$  and  $(\mathcal{N}_2, \theta_2, \tau_2)$  be two continuous decompositions with  $\mathcal{N}_1, \mathcal{N}_2$  countably decomposable  $W^*$ -algebras. Then  $\mathcal{R}(\mathcal{N}_1, \theta_1) \approx \mathcal{R}(\mathcal{N}_2, \theta_2)$  if and only if  $(\mathcal{N}_1, \theta_1) \approx (\mathcal{N}_2, \theta_2)$ .

23.13. In this Section we give a discrete variant of Theorem 23.12. For its proof we require the following result (compare with 23.9).

Lemma. Let 0 be a \*-automorphism of the abelian W\*-algebra  $\mathcal{Z}$  such that there exists a nonsingular positive self-adjoint operator A affiliated to  $\mathcal{Z}$  with  $\theta(A) \leq \lambda A$  for some  $0 < \lambda < 1$ . Then there exists a projection  $e \in \mathcal{Z}$  such that  $\sum_{n \in \mathbb{Z}} \theta^n(e) = 1$  and there exists an s-continuous unitary representation  $u: \mathbb{T} \to U(\mathcal{Z})$  such that  $\theta^n(u(\omega)) = \omega^n u(\omega)$  ( $\omega \in \mathbb{T}$ ,  $n \in \mathbb{Z}$ ).

**Proof.** In order to prove the first assertion it is sufficient to show that every  $\theta$ -invariant non-zero projection  $p \in \mathcal{Z}$  majorizes a non-zero projection  $e \in \mathcal{Z}$  with the property that  $\theta'(e)\theta'(e) = 0$  for all  $i, j \in \mathbb{Z}$ ,  $i \neq j$ . Without loss of generality, we may assume that p = 1.

There exists  $n \in \mathbb{Z}$  such that the spectral projection e of A corresponding to the interval determined by  $\lambda^n$  and  $\lambda^{n+1}$  is non-zero. If n > 0, then  $e = \chi_{(\lambda^{n+1}, \lambda^n)}(A) \neq \emptyset$  and for every k > 1 we have  $\theta^{-k}(A) > \lambda^{-k}A$ , so that  $\theta^{-k}(e) = \chi_{(\lambda^{n+1}, \lambda^n)}(\theta^{-k}(A)) \leq \chi_{(0, \lambda^n)}(\theta^{-k}(A)) \leq \chi_{(0, \lambda^n)}(\theta^{-k}(A)) \leq \chi_{(0, \lambda^n)}(\lambda^{-k}A) = \chi_{(0, \lambda^{n+k})}(A)$ , and hence  $e\theta^{-k}(e) = 0$ , as  $\lambda^{n+k} \leq \lambda^{n+1}$ ; therefore  $\theta^i(e)\theta^j(e) = 0$  for every  $i, j \in \mathbb{Z}$ ,  $i \neq j$ . If n < 0, a similar argument leads to the same conclusion.

The other assertion in the statement follows now as in the proof of Proposition 23.9.

Theorem. Let  $\mathcal N$  be a countably decomposable semifinite  $W^*$ -algebra,  $0 \in Aut(\mathcal N)$  and  $\tau$  an n.s. f. trace on  $\mathcal N$  such that  $\tau \circ 0 \leq \lambda \tau$  for some  $0 < \lambda < 1$ . Then for every  $u \in U(\mathcal N)$  there exists  $v \in U(\mathcal N)$  such that  $u = v^*\theta(v)$ .

**Proof.** By Corollary 23.9, there exists a continuous action  $\sigma: \mathbb{T} \to Aut(\mathcal{N}^{\bullet})$  such that  $(\mathcal{N}, \theta) \approx (\mathcal{R}(\mathcal{N}^{\bullet}, \sigma), \hat{\sigma})$ . As in the proof of Theorem 23.12, we can consider

separately the cases when  $\mathcal{N}^0$  is semifinite and purely infinite.

Assume that  $\mathcal{N}^0$  is semifinite and let  $\mu_0$  be an n.s.f. trace on  $\mathcal{N}^0$ . Since  $\overline{\mathbf{U}}$  is a compact group, the faithful normal operator valued weight  $P_{\sigma} : (\mathcal{N}^0)^+ \to \overline{((\mathcal{N}^0)^{\sigma})}^+$  is finite and  $\sigma$ -invariant, hence  $\mu = \mu_0 \circ P_{\sigma}$  is a  $\sigma$ -invariant n.s.f. trace on  $\mathcal{N}^0$ . The dual weight  $\varphi = \hat{\mu}$  on  $\mathcal{N} = \mathcal{R}(\mathcal{N}^0, \sigma)$  is invariant under the dual action  $\theta = \hat{\sigma}$ . Since  $\mu$  is a  $\sigma$ -invariant trace, we see using Theorem 19.8 that  $\varphi = \hat{\mu}$  is a  $\theta$ -invariant n.s.f. trace on  $\mathcal{N}$ . Therefore, there exists a nonsingular positive selfadjoint operator A affiliated to  $\mathcal{Z}(\mathcal{N})$  such that  $\varphi = \tau_A$ . Since  $\varphi \circ \theta = \varphi$  and  $\tau \circ \theta \leq \lambda \theta$ , it follows that  $\theta(A) \leq \lambda A$ . By the above Lemma there exists an s-continuous unitary representation  $u : \overline{\mathbb{U}} \to \mathcal{Z}(\mathcal{N})$  such that  $\theta^n(u(\omega)) = \omega^n u(\omega)$  for all  $\omega \in \overline{\mathbb{U}}$ ,  $n \in \overline{\mathbb{Z}}$ . Using Landstad's theorem (19.9) we infer that  $(\mathcal{N}, \theta) \approx (\mathcal{N}^0 \otimes \ell^\infty(\overline{\mathbb{Z}}), 1 \otimes Ad(\lambda))$ . Consider now a unitary element  $u \in \mathcal{N}$ ; since  $\mathcal{N} \equiv \mathcal{N}^0 \otimes \ell^\infty(\overline{\mathbb{Z}}), 1 \otimes Ad(\lambda)$ . Using a sequence  $\{u_n\}_{n\in \overline{\mathbb{Z}}}$  of unitary elements in  $\mathcal{N}^0$ . We define a unitary element  $v = \{v_n\}_{n\in \overline{\mathbb{Z}}}$  in  $\mathcal{N}$  by putting  $v_0 = 1$ ,  $v_{n+1} = v_n u_n$  for n > 1 and  $v_n = v_{n+1} u_n^*$  for n < 0. Then  $\theta(v) = \{w_n\}_{n\in \overline{\mathbb{Z}}}$  where  $w_n = v_{n+1}$   $(n \in \overline{\mathbb{Z}})$ , hence  $v^*\theta(v) = \{v_n^* v_{n+1}\}_{n\in \overline{\mathbb{Z}}} = \{u_n\}_{n\in \overline{\mathbb{Z}}} = u$ .

If  $\mathcal{N}^0$  is purely infinite, then, using Corollary 23.9 and the correspondence between  $\theta$ -cocycles and unitary elements  $u \in U(\mathcal{N})$  (see 16.15.(2)), the proof is

similar to the corresponding part of the proof of Theorem 23.12.

The above proof also shows that  $\mathcal{N}^{\theta}$  is finite if and only if N is finite.

23.14. A continuous action  $\theta: G \to Aut(\mathcal{N})$  of a locally compact group G on the  $IV^*$ -algebra  $\mathcal{N}$  will be called *stable* if every unitary cocycle  $u \in Z_0(G; U(\mathcal{M}))$  is trivial, i.e. if there exists  $v \in U(\mathcal{N})$  such that  $u(t) = v^*\theta_t(v)$  ( $t \in G$ ); in this case, Ad(v) establishes a \*-isomorphism  $(\mathcal{N}, \theta) \approx (\mathcal{N}, u\theta)$  so that the actions  $\theta$  and  $u\theta$  are inner conjugate.

In particular, a \*-automorphism  $\theta \in Aut(\mathcal{N})$  will be called *stable* if the action  $\theta \colon \mathbb{Z} \in n \mapsto \theta^n \in Aut(\mathcal{N})$  is stable. This means that for every  $u \in U(\mathcal{N})$  there exists  $v \in U(\mathcal{N})$  with  $v^*\theta(v) = u$ ; in this case, Ad(v) establishes a \*-isomorphism  $(\mathcal{N}, \theta) \approx (\mathcal{N}, Ad(u) \circ \theta)$ , hence the \*-automorphisms  $\theta$  and  $Ad(u) \circ \theta$  are inner conjugate.

Notable examples of stable actions are given in Theorems 23.12, 23.13, Proposition 21.12 and Corollary 22.14. Another important example is given in the next Corollary.

Corollary. Let  $\mathcal N$  be a type  $\Pi_\infty$  factor,  $\tau$  an n.s. f. trace on  $\mathcal N$  and  $\theta \in \operatorname{Aut}(\mathcal N)$ . Then  $\theta$  is stable if and only if  $\tau \circ \theta \neq \tau$ .

*Proof.* If  $\tau \circ \theta \neq \tau$ , then  $\tau \circ \theta = \lambda \tau$  for some  $\lambda \neq 1$  and, replacing  $\theta$  by  $\theta^{-1}$  if necessary, we may assume that  $0 < \lambda < 1$ . By Theorem 23.13 it follows that  $\theta$  is stable.

Assume now that  $\tau \circ \theta = \tau$ . There exists a projection  $e \in \mathcal{N}$  with  $0 \neq \tau(e) < +\infty$ . Then e is finite and, since  $\tau(\theta(e)) = \tau(e)$ , we have  $\theta(e) \sim e$ . Thus, there exists a unitary operator  $u \in U(\mathcal{N})$  such that  $\theta(e) = ueu^*$ . Then  $(Ad(u) \circ \theta)(e) = e$  and hence the positive normal form  $\tau(e \cdot)$  on  $\mathcal{N}$  is  $(Ad(u) \circ \theta)$ -invariant. It follows that  $Ad(u) \circ \theta$  is not integrable. On the other hand, the existence of a dominant

cocycle shows that there exists  $u' \in U(\mathcal{N})$  such that  $Ad(u') \circ \theta$  is integrable. It is then obvious that  $Ad(u) \circ \theta$  and  $Ad(u') \circ \theta$  are not conjugate, hence  $\theta$  is not stable.

**23.15.** Let  $\mathcal{M}$  be a properly infinite  $W^*$ -algebra and  $\varphi$  a normal semifinite weight on  $\mathcal{M}$ . There exists a sequence  $\{w_n\} \subset \mathcal{M}$  of isometries such that  $\sum w_n w_n^* = 1$ .

Then  $u_n = (w_n s(\varphi))^*$  is a partial isometry with  $u_n u_n^* = s(\varphi)$  and the projections  $u_n^* u_n$  are mutually orthogonal. We define  $\varphi_n = \varphi_{u_n}$ . Then  $\varphi_n = \varphi$  and  $\varphi_n$  have mutually orthogonal supports. Thus, we can consider the normal semifinite weight  $\sum_n \varphi_n$  on  $\mathcal{M}$ ; we have

$$(\mathcal{M},\;\sum_n \varphi_n) \approx (\mathcal{M} \; \overline{\otimes} \; \mathcal{F}_\infty, \, \varphi \; \overline{\otimes} \; tr).$$

Indeed, if  $\{e_{ij}\}$  is a system of matrix units for  $\mathscr{F}_{\infty}$ , then the equation  $\pi(x) = \sum_{i,j} w_i^* x w_j \boxtimes e_{ij} \ (x \in \mathscr{M})$ , defines a \*-isomorphism  $\pi: (\mathscr{M}, \sum_{n} \varphi_n) \to (\mathscr{M} \boxtimes \mathscr{F}_{\infty}, \varphi \boxtimes tr)$ .

The weight  $\check{\varphi} = \sum_{n} \varphi_n \in W_{ns}(\mathcal{M})$  is of infinite multiplicity,  $\varphi \lesssim \check{\varphi}$  and  $(\mathcal{M}, \check{\varphi}) \approx (\mathcal{M} \otimes \mathcal{F}_{\infty}, \varphi \otimes tr)$ . If  $\varphi$  is of infinite multiplicity, then  $(\mathcal{M}, \check{\varphi}) \approx (\mathcal{M}, \varphi)$  by

Corollary 9.18. By construction, if  $\varphi, \psi \in W_{ns}(\mathcal{M})$  and  $\varphi = \psi$ , then  $\check{\varphi} = \check{\psi}$ .

Similarly, for every sequence  $\{\psi_n\} \subset W_n(\mathcal{M})$  we can construct a sequence  $\{\varphi_n\} \subset W_n(\mathcal{M})$  with  $s(\varphi_n)$  mutually orthogonal and  $\varphi_n \subset \psi_n$  such that the equivalence class of the weight  $\sum \varphi_n$  depends only on the equivalence classes of the

weights  $\psi_n$ . This equivalence class, or some representative of it, will be denoted by  $\sum_{n=1}^{\infty} \psi_n$ .

23.16. In the next Theorem we consider some properties related to the dominance property of a weight. Let  $\omega$  be the unique n.s.f. weight on  $\mathscr{F}_{\infty} = \mathscr{B}(\mathscr{L}^2(\mathbb{R}))$  such that  $[D\omega: Dtr]_t = \rho(t)$   $(t \in \mathbb{R})$ .

**Theorem.** Let  $\mathcal{M}$  be a properly infinite  $W^*$ -algebra with separable predual and  $\varphi$  an n.s.f. weight on  $\mathcal{M}$ . Consider the statements:

- (i) φ is a dominant weight;
- (ii) there exists an n.s.f. weight  $\psi$  on  $\mathcal M$  of infinite multiplicity such that  $(\mathcal M,\varphi)\approx (\mathcal M \ \overline{\otimes} \mathcal F_\infty,\psi \ \overline{\otimes} \omega);$
- (iii)  $\varphi$  is the dual weight of the trace appearing in some continuous decomposition of  $\mathcal{M}$ ;
  - (iv) there exists an n.s.f. weight ψ on M which anticommutes with φ;
  - (v)  $\varphi \approx \lambda \varphi$  for every  $\lambda > 0$ .

Then,  $(v) \Leftarrow (i) \Leftrightarrow (ii) \Leftrightarrow (iii) \Rightarrow (iv)$ . If  $\mathcal M$  is a factor, then  $(iv) \Rightarrow (v)$ . If  $\mathcal M$  is of type III, then  $(i) \Leftrightarrow (v)$ . Therefore, for factors of type III with separable preduals, (i) - (v) are equivalent.

**Proof.** The equivalence (i)  $\Leftrightarrow$  (ii) follows from Section 23.4 and the equivalence (i)  $\Leftrightarrow$  (iii) from Sections 23.6 and 23.7; the implication (i)  $\Rightarrow$  (v) is obvious and the implication (i)  $\Rightarrow$  (iv) has been proved in Section 23.4.

Assume now that  $\mathcal{M}$  is a factor and that there exists an n.s.f. weight  $\psi$  on  $\mathcal{M}$  which anticommutes with  $\varphi$ . Let  $u(t) = [D\psi: D\varphi]_t$   $(t \in \mathbb{R})$ . Then, for  $x \in \mathcal{M}$  and  $s, t \in \mathbb{R}$ , we have  $u(t)\sigma_{s+t}^{\varphi}(x)u(t)^* = u(t)\sigma_t^{\varphi}(\sigma_s^{\varphi}(x))u(t)^* = \sigma_t^{\varphi}(\sigma_s^{\varphi}(x)) = \sigma_s^{\varphi}(\sigma_s^{\varphi}(x)) = \sigma_s^{\varphi}(u(t))\sigma_s^{\varphi}(x)u(t)^* = \sigma_s^{\varphi}(u(t))\sigma_s^{\varphi}(x)u(t)^* = \sigma_s^{\varphi}(u(t))u(t)^* \in \mathcal{Z}(\mathcal{M}) = \mathbb{C}.1_{\mathcal{M}}.$  Thus, there exists a continuous function  $f: \mathbb{R} \times \mathbb{R} \to \mathbb{T}$  such that  $\sigma_s^{\varphi}(u(t)) = f(s,t)u(t)$   $(s, t \in \mathbb{R})$ . Since  $\sigma_{s_1+s_2}^{\varphi} = \sigma_{s_1}^{\varphi}\sigma_{s_2}^{\varphi}$ , we have  $f(s_1+s_2,t) = f(s_1,t)f(s_2,t)$  and, since  $u(t_1+t_2) = u(t_1)\sigma_{s_1}^{\varphi}(u(t_2))$ , we have  $f(s,t_1+t_2) = f(s,t_1)f(s,t_2)$ . It follows that there exists  $t \in \mathbb{R}$  such that  $f(s,t) = e^{ir_1t}$   $(s,t \in \mathbb{R})$ . Since  $\varphi$  does not commute with  $\psi$ , there exists  $t \in \mathbb{R}$  with  $u(t) \notin \mathcal{M}^{\varphi}$ , hence  $t \neq 0$ . We have  $\sigma_s^{\varphi}(u(t)) = (e^{rt})^{ts}u(t)$   $(s,t \in \mathbb{R})$ , and  $\{e^{rt}: t \in \mathbb{R}\} = \{\lambda \in \mathbb{R}: \lambda > 0\}$ . Hence for every  $\lambda > 0$  there exists  $t \in \mathbb{R}$  such that  $\sigma_s^{\varphi}(u(\lambda)) = \lambda^{ts}u(\lambda)$   $(s \in \mathbb{R})$ , so that  $\varphi \approx \varphi_{u(\lambda)} = \lambda \varphi$ . Thus,  $(t) \Rightarrow (t)$  whenever  $\mathcal{M}$  is a factor.

Finally, assume that  $\mathcal{M}$  is a type III  $W^*$ -algebra and  $\varphi \approx \lambda \varphi$  for every  $\lambda > 0$ . Then the weight  $\check{\varphi}$  (23.15) is of infinite multiplicity and  $\check{\varphi} \approx \lambda \check{\varphi}$  for every  $\lambda > 0$ , hence  $\check{\varphi}$  is dominant (23.3). Since  $\varphi \lesssim \check{\varphi}$ ,  $\varphi$  is integrable.

Let  $\psi$  be any dominant weight on  $\mathcal{M}$  and  $(\mathcal{M}^{\psi}, \theta, \tau)$  the corresponding continuous decomposition of  $\mathcal{M}$  (23.6). Since  $\varphi$  is integrable we have  $\varphi \lesssim \psi$ , so that we may assume  $\varphi = \psi_f$  for some projection  $f \in \mathcal{M}^{\psi}$ . We shall show that the projection f is properly infinite in  $\mathcal{M}^{\psi}$ , i.e.  $\tau(fp) = +\infty$  for every non-zero projection  $p \in \mathcal{L}(\mathcal{M}^{\psi})$ .

Recall (23.7) that there exists an s-continuous unitary representation  $u: \mathbb{R} \to U(\mathcal{M})$  such that  $\theta_s = \operatorname{Ad}(u(s))|_{\mathcal{M}^{\Psi}}$  and  $\psi_{u(s)} = e^{-s}\psi$  ( $s \in \mathbb{R}$ ). Thus,  $e^{-s}\varphi = e^{-s}\psi_f = (e^{-s}\psi)_f = (\psi_{u(s)})_f = \psi_{u(s)f}$  ( $s \in \mathbb{R}$ ). Since  $\varphi = e^{-s}\varphi$ , it follows that  $\psi_f = \psi_{u(s)f}$ , hence  $f \sim u(s)fu(s)^*$  in  $\mathcal{M}^{\Psi}(23.2)$ , i.e.  $\theta_s(f) \sim f$  in  $\mathcal{M}^{\Psi}$  ( $s \in \mathbb{R}$ ). Thus, for every projection  $q \in \mathcal{Z}(\mathcal{M}^{\Psi})$  we have  $\tau(f\theta_s(q)) = \tau(\theta_s(fq)) = e^{-s}\tau(fq)$  and therefore  $\tau(f\theta_s(z)) = e^{-s}\tau(fz)$  for all  $z \in \mathcal{Z}(\mathcal{M}^{\Psi})^+$  and all  $s \in \mathbb{R}$ . Let p be the least upper bound of the projections  $q \in \mathcal{Z}(\mathcal{M}^{\Psi})$  with  $\tau(fq) < +\infty$ . The above arguments show that  $\theta_s(p) = p$  for all  $s \in \mathbb{R}$  and that  $\tau(fp)$  is an n.s.f. trace on  $\mathcal{Z}(\mathcal{M}^{\Psi})p$ . Using Proposition 1/23.11, we obtain  $p \in \mathcal{Z}(\mathcal{M}^{\Psi})^0 = \mathcal{Z}(\mathcal{M})$ . On the other hand, using the results of Section 23.10 applied to the triple  $(\mathcal{Z}(\mathcal{M}^{\Psi})p, \theta) = \mathcal{Z}(\mathcal{M}^{\Psi})p$ ,  $\tau(fp)$  and the equivalence (i)  $\Leftrightarrow$  (iv) in Proposition 23.11, we conclude that  $\mathcal{M}p$  is semifinite. Since  $\mathcal{M}$  is of type III, it follows that p = 0.

23.17. During the proof of Theorem 23.16 we have also shown that if  $\varphi$  and  $\psi$  are two anticommuting n.s.f. weights on the factor  $\mathcal{M}$ , then there exists a unique real number  $r = r(\varphi, \psi) \in \mathbb{R}$ ,  $r \neq 0$ , such that

(1) 
$$\sigma_{i}^{\varphi}([D\psi:D\varphi]_{i}) = e^{irst}[D\psi:D\varphi]_{t} \quad (s, t \in \mathbb{R}).$$

If the above identity holds with r = 0, then  $\varphi$  and  $\psi$  commute.

The next Theorem shows that the number  $r(\varphi, \psi)$  completely determines the equivalence class of the pair  $(\varphi, \psi)$ .

**Theorem.** Let  $\mathcal{M}$  be a factor with separable predual. Let  $(\varphi, \psi)$  and  $(\varphi', \psi')$  be two anticommuting pairs of dominant weights on  $\mathcal{M}$ . Then  $r(\varphi, \psi) = r(\varphi', \psi')$  if and only if there exists  $u \in U(\mathcal{M})$  such that  $\varphi' = \varphi_u$  and  $\psi' = \psi_u$ .

*Proof.* Assume that  $\varphi' = \varphi_u$  and  $\psi' = \psi_u$  with  $u \in U(\mathcal{M})$ . Then  $[D\varphi' : D\varphi]_t = u * \sigma_t^{\varphi}(u)$  and  $[D\psi' : D\psi]_t = u * \sigma_t^{\psi}(u)$ , hence  $[D\psi' : D\varphi']_t = u * [D\psi : D\varphi]_t u$  and  $\sigma_t^{\varphi}(u) = u * \sigma_t^{\varphi}(u) u$  and  $u \in \mathcal{M}$ ,  $u \in \mathcal{M}$ ,  $u \in \mathcal{M}$ . It follows that  $u \in \mathcal{M}$  that  $u \in \mathcal{M}$  is the follows that  $u \in \mathcal{M}$  and  $u \in \mathcal{M}$  is the follows that  $u \in \mathcal{M}$  is the follows that u

Conversely, assume that  $r(\varphi, \psi) = r(\varphi', \psi')$ . By the uniqueness modulo equivalence of the dominant weight it follows that there exists  $u \in U(\mathcal{M})$  with  $\varphi' = \varphi_u$ . We have  $r(\varphi_u, \psi') = r(\varphi, \psi) = r(\varphi_u, \psi_u)$ . Thus, we are led to showing that if  $\varphi, \psi, \psi'$  are three dominant weights on  $\mathcal{M}$  such that  $(\varphi, \psi)$  and  $(\varphi, \psi')$  both anticommute and  $r(\varphi, \psi) = r(\varphi, \psi') = r$ , then there exists  $u \in U(\mathcal{M}^{\varphi})$  such that  $\psi' = \psi_u$ .

Let  $(\mathcal{N}, \emptyset, \tau)$  be a continuous decomposition of  $\mathcal{M}$  and let  $u: \mathbb{R} \to U(\mathcal{M})$  be the s-continuous unitary representation of  $\mathbb{R}$  on  $\mathcal{M}$  such that  $\theta_s = \mathrm{Ad}(u(s))|\mathcal{N}$ . We may assume that  $\varphi = \hat{\tau}$  (see 23.6, 23.7). Put  $v(t) = [D\psi: D\varphi]_t$  and  $v'(t) = [D\psi: D\varphi]_t$  ( $t \in \mathbb{R}$ ). We have  $\sigma_s^{\varphi}(v(t)) = \mathrm{e}^{\mathrm{i} r s t} v(t)$  and  $\sigma_s^{\varphi}(v'(t)) = \mathrm{e}^{\mathrm{i} r s t} v'(t)$ , hence  $v(s+t) = \mathrm{e}^{\mathrm{i} r s t} v(s) v(t)$  and  $v'(s+t) = \mathrm{e}^{\mathrm{i} r s t} v'(s) v'(t)$  ( $s, t \in \mathbb{R}$ ). Continuous functions  $a: \mathbb{R} \to U(\mathcal{M})$ ,  $a': \mathbb{R} \to U(\mathcal{M})$  are defined by  $a(s) = \mathrm{e}^{-\mathrm{i} r s^2/2} v(s) u(rs)^*$ ,  $a'(s) = \mathrm{e}^{-\mathrm{i} r s^2/2} v'(s) u(rs)^*$ ,  $(s \in \mathbb{R})$ ; we have  $a(s+t) = a(s) \theta_{rs}(a(t))$ ,  $a'(s+t) = a'(s) \theta_{rs}(a'(t))$  ( $s, t \in \mathbb{R}$ ). Using Theorem 23.12 we can find an element  $u \in U(\mathcal{N})$  such that  $a(s) = ua'(s)\theta_{rs}(u^*)$  ( $s \in \mathbb{R}$ ). It follows that for every  $s \in \mathbb{R}$  we have  $v(s) = \mathrm{e}^{\mathrm{i} r s^2/2} a(s) u(rs) = \mathrm{e}^{\mathrm{i} r s^2/2} ua'(s)\theta_{rs}(u^*) u(rs) = \mathrm{e}^{\mathrm{i} r s^2/2} ua'(s)u(rs)u^* u(rs)^* u(rs) = uv'(s)u^*$ , that is  $\psi' = \psi_u$  with  $u \in \mathcal{N} = \mathcal{M}^{\varphi}$ .

Note that if  $\mathcal{M}$  is a type III factor, then the dominance condition imposed on the weights in the statement of the Theorem follows automatically from the anticommutation condition, by Theorem 23.16.

23.18. The next result asserts the possibility of approximation by integrable weights in the sense of the metric d introduced in Section 6.10.

**Theorem.** Let  $\varphi$  be an n.s.f. weight of infinite multiplicity on the W\*-algebra  $\mathcal{M}$ . For every  $\varepsilon > 0$  there exists an integrable n.s.f. weight  $\psi$  of infinite multiplicity, commuting with  $\varphi$ , such that  $d(\varphi, \psi) \leq \varepsilon$ .

Proof. By assumption,  $\mathcal{M}^{\bullet}$  is properly infinite, so that there exists an infinite type I  $\mathcal{W}^{\bullet}$ -subfactor  $\mathcal{F} \subset \mathcal{B}(\mathcal{L}^2(\mathbb{R}))$  of  $\mathcal{M}^{\bullet}$ . On the other hand, there exists an operator  $a \in \mathcal{F}$  with absolutely continuous spectrum such that  $1 - \varepsilon \leqslant a \leqslant 1 + \varepsilon$  and  $\{a\}' \cap \mathcal{F}$  is properly infinite. Since a has absolutely continuous spectrum and since the quasi-equivalence class of an so-continuous unitary representation of  $\mathbb{R}$  is completely determined by the equivalence class of its associated spectral measure, it follows that the unitary representation  $\mathbb{R} \ni t \mapsto a^{it} \in \mathcal{B}(\mathcal{L}^2(\mathbb{R}))$  is quasi-equivalent to a subrepresentation of the regular representation  $\rho$  of  $\mathbb{R}$ , so that the weight  $tr_a$  is quasi-equivalent to a subweight of the dominant weight on  $\mathcal{B}(\mathcal{L}^2(\mathbb{R}))$  and hence it is integrable. Thus, there exists an increasing sequence  $\{e_n\} \subset \mathcal{F} \subset \mathcal{M}^{\bullet} \subset \mathcal{M}$  of projections such that  $e_n \uparrow 1$  and  $\int a^{it}e_na^{-it} dt < + \infty$ . Then  $\psi = \varphi_a$  is an n.s.f. weight on  $\mathcal{M}$  with  $[D\psi: D\varphi]_1 = a^{it}$  and  $\sigma_1^{\psi} = \operatorname{Ad}(a^{it}) \cdot \sigma_1^{\psi}$   $(t \in \mathbb{R})$ , so that

 $\int \sigma_t^{\psi}(e_n) \, \mathrm{d}t = \int a^{\mathrm{i}t} e_n a^{-\mathrm{i}t} \, \mathrm{d}t < + \infty \text{ and } \psi \text{ is integrable. Moreover, } \psi \text{ is of infinite multiplicity, since } \{a\}' \cap F \subset \mathcal{M}^{\psi}. \text{ Finally, as } 1 - \varepsilon \leqslant a \leqslant 1 + \varepsilon, \text{ it follows that } d(\varphi, \psi) \leqslant \varepsilon.$ 

23.19. Theorem (Relative Commutant Theorem). Let  $\mathcal{M}$  be a properly infinite  $W^*$ -algebra with separable predual. For every n.s.f. weight  $\varphi$  on  $\mathcal{M}$  we have

(1) 
$$\mathscr{R}(\mathscr{M}, \sigma^{\bullet})' \cap (\mathscr{M} \ \overline{\otimes} \ \mathscr{R}(\mathscr{L}^{2}(\mathbb{R}))) = \mathscr{L}(\mathscr{R}(\mathscr{M}, \sigma^{\bullet})).$$

For every integrable n.s.f. weight  $\varphi$  on  $\mathcal{M}$  we have

(2) 
$$(\mathscr{M}^{\varphi})' \cap \mathscr{M} = \mathscr{Z}(\mathscr{M}^{\varphi}).$$

*Proof.* Since any integrable n.s.f. weight is equivalent to a subweight of the dominant weight (23.4), in proving (2) we may assume that  $\varphi$  is a dominant weight.

Let  $\omega$  be the unique n.s.f. weight on  $\mathfrak{A}(\mathcal{L}^2(\mathbb{R}))$  such that  $[D\omega: D\ tr]_t = \rho(t)$   $(t \in \mathbb{R})$ . Then, for any n.s.f. weight  $\varphi$  on  $\mathcal{M}$ ,  $\varphi \otimes \omega$  is a dominant weight on  $\mathcal{M} \otimes \mathcal{A}(\mathcal{L}^2(\mathbb{R})) \approx \mathcal{M}$ , and  $(\mathcal{M} \otimes \mathcal{A}(\mathcal{L}^2(\mathbb{R})))^{\varphi \otimes \omega} = (\mathcal{M} \otimes \mathcal{A}(\mathcal{L}^2(\mathbb{R})))^{\varphi \otimes \omega} = \mathcal{A}(\mathcal{M}, \sigma^{\varphi})$  by Corollary 19.13. Since any two dominant weights are equivalent (23.4), we see that, in order to prove the entire Theorem, it is sufficient to prove (1) just for a particular choice of  $\varphi$ .

Thus, let  $\varphi$  be a faithful normal state on  $\mathscr{M}$  and  $\sigma = \sigma^{\varphi}$  be its modular automorphism group. We may assume  $\mathscr{M} \subset \mathscr{B}(\mathscr{H})$  realized as a von Neumann algebra with a cyclic and separating vector  $\xi_0 \in \mathscr{H}$  such that  $\varphi = \omega_{\xi_0} | \mathscr{M}$ .

Since  $\mathcal{R}(\mathcal{M}, \sigma) = \mathcal{R}\{\pi_{\sigma}(\mathcal{M}), 1_{\mathcal{M}} \boxtimes \mathfrak{L}(\mathbb{R})\}$ , we have  $\mathcal{R}(\mathcal{M}, \sigma)' \cap (\mathcal{M} \boxtimes \mathcal{R}(\mathcal{L}^2(\mathbb{R}))' = \pi_{\sigma}(\mathcal{M})' \cap (\mathcal{M} \boxtimes \mathfrak{L}(\mathbb{R})') = \pi_{\sigma}(\mathcal{M})' \cap (\mathcal{M} \boxtimes \mathfrak{L}(\mathbb{R}))$  (see 18.4.(14)). Also (see 21.6.(1)))  $\mathcal{L}(\mathcal{R}(\mathcal{M}, \sigma)) \subset \mathcal{M}^{\sigma} \boxtimes \mathfrak{L}(\mathbb{R}) \subset \mathcal{R}(\mathcal{M}, \sigma)$ . Therefore, in order to prove (1), we have to show that

(3) 
$$\pi_{\bullet}(\mathcal{M})' \cap (\mathcal{M} \boxtimes \mathfrak{L}(\mathbb{R})) \subset \mathcal{M}^{\bullet} \boxtimes \mathfrak{L}(\mathbb{R}).$$

Let  $F: \mathcal{L}^2(\mathbb{R}) \to \mathcal{L}^2(\mathbb{R})$  be the unitary operator defined by the Fourier—Plancherel transform (18.8), i.e.

$$[F\xi](t) = \int e^{-ist} \xi(s) ds \qquad (\xi \in \mathcal{L}^2(\mathbb{R}), \ t \in \mathbb{R}),$$
$$[F^*\xi](s) = \int e^{ist} \xi(t) dt \qquad (\xi \in \mathcal{L}^2(\mathbb{R}), \ s \in \mathbb{R}),$$

where the Haar measures ds on  $\mathbb{R}$  and dt on  $\hat{\mathbb{R}} \equiv \mathbb{R}$  are chosen so that the Fourier inversion formula holds. Also, denote by  $\Phi$  the \*-automorphism of  $\mathscr{A}(\mathcal{L}^2(\mathbb{R}))$ 

implemented by F, i.e.  $\Phi(x) = FxF^*$   $(x \in \mathcal{B}(\mathcal{L}^2(\mathbb{R})))$ , and put  $\widetilde{F} = 1_{\mathcal{M}} \otimes F$ ,  $\widetilde{\Phi} = 1_{\mathcal{M}} \otimes \Phi$ . Then (3) becomes (see Proposition 18.8):

(4) 
$$\pi_{\sigma}(\mathcal{M})' \cap \widetilde{\Phi}^{-1}(\mathcal{M} \otimes \mathcal{L}^{\infty}(\mathbb{R})) = \widetilde{\Phi}^{-1}(\mathcal{M}^{\varphi} \otimes \mathcal{L}^{\infty}(\mathbb{R})).$$

Since the predual of  $\mathcal{M}$  is separable,  $\mathcal{M} \otimes \mathcal{L}^{\infty}(\mathbb{R})$  can be identified with the  $W^*$ -algebra  $\mathcal{L}^{\infty}(\mathbb{R}, \mathcal{M})$  of all essentially norm-bounded w-measurable functions  $a(\cdot) \colon \mathbb{R} \to \mathcal{M}$ ; namely, the element  $a \in \mathcal{M} \otimes \mathcal{L}^{\infty}(\mathbb{R})$  corresponding to the function  $a(\cdot) \in \mathcal{L}^{\infty}(\mathbb{R}, \mathcal{M})$  acts on  $\mathcal{H} \otimes \mathcal{L}^{2}(\mathbb{R}) = \mathcal{L}^{2}(\mathbb{R}, \mathcal{H})$  as follows (see [204], 3.2.2):

$$[a\xi](t) = a(t)\xi(t)$$
  $(\xi \in \mathcal{L}^2(\mathbb{R}, \mathcal{H}), t \in \mathbb{R}).$ 

Let  $\mathscr{C}(\mathbb{R}, \mathscr{M})$  be the \*-subalgebra of  $\mathscr{L}^{\infty}(\mathbb{R}, \mathscr{M})$  consisting of all norm-bounded w-continuous functions  $\mathbb{R} \to \mathscr{M}$ . We show that  $\pi_{\sigma}(\mathscr{M})' \cap \widetilde{\Phi}^{-1}(\mathscr{C}(\mathbb{R}, \mathscr{M}))$  is w-dense in  $\pi_{\sigma}(\mathscr{M})' \cap \widetilde{\Phi}^{-1}(\mathscr{L}^{\infty}(\mathbb{R}, \mathscr{M}))$ . To this end, consider the continuous action  $\theta$ :  $: \mathbb{R} \to Aut(\mathscr{M} \otimes \mathscr{B}(\mathscr{L}^2(\mathbb{R})))$  defined by  $\theta_s = \mathrm{Ad}(1_{\mathscr{M}} \otimes \mathrm{m}(s)^*)$ , where  $[\mathrm{m}(s)\xi](t) = \mathrm{e}^{-\mathrm{i}st}\xi(t)$  ( $s, t \in \mathbb{R}, \xi \in \mathscr{L}^2(\mathbb{R})$ ). Recall (19.3) that the dual action  $\widehat{\sigma}: \mathbb{R} \to Aut(\mathscr{M}(\mathscr{M}, \sigma))$  is defined by  $\widehat{\sigma}_s = \theta_s|\mathscr{B}(\mathscr{M}, \sigma)$  so that (19.3.(3))  $\theta_s(\pi_{\sigma}(\mathscr{M})) = \pi_{\sigma}(\mathscr{M})$  and hence  $\theta_s(\pi_{\sigma}(\mathscr{M})') = \pi_{\sigma}(\mathscr{M})'$  ( $s \in \mathbb{R}$ ). Now let  $a \in \mathscr{L}^{\infty}(\mathbb{R}, \mathscr{M})$  be such that  $\widetilde{\Phi}^{-1}(a) \in \pi_{\sigma}(\mathscr{M})'$ . A simple computation shows that  $\pi_{\sigma}(\mathscr{M})' \ni \theta_s(\widetilde{\Phi}^{-1}(a)) = \widetilde{\Phi}^{-1}(a_s)$ , where  $a_s(t) = a(t+s)$  ( $s, t \in \mathbb{R}$ ). Then, for any continuous function  $f: \mathbb{R} \to \mathbb{C}$  with compact support, it follows that  $\pi_{\sigma}(\mathscr{M})' \ni \theta_f(\widetilde{\Phi}^{-1}(a)) = \widetilde{\Phi}^{-1}(a_f)$ , where  $a_f(t) = \int f(s)a(t+s) \, \mathrm{d}s = \int f(s-t)a(s) \, \mathrm{d}s$  ( $t \in \mathbb{R}$ ). It is easy to see that  $a_f \in \mathscr{C}(\mathbb{R}, \mathscr{M})$ , hence  $\widetilde{\Phi}^{-1}(a_f) \in \pi_{\sigma}(\mathscr{M})' \cap \widetilde{\Phi}^{-1}(\mathscr{C}(\mathbb{R}, \mathscr{M}))$ . On the other hand, if  $\{f_i\}$  is a normbounded approximate unit of  $\mathscr{L}^1(\mathbb{R})$ , then (15.1.(2))  $\widetilde{\Phi}^{-1}(a_f) = \theta_f(\widetilde{\Phi}^{-1}(a)) \xrightarrow{\omega} \widetilde{\Phi}^{-1}(a)$ . Consequently, in order to prove (4), it is sufficient to show that

$$\pi_{\sigma}(\mathcal{M})'\cap\widetilde{\Phi}^{-1}(\mathcal{C}(\mathbb{R},\mathcal{M}))\subset\widetilde{\Phi}^{-1}(\mathcal{C}(\mathbb{R},\mathcal{M}^{\phi}))$$

or, equivalently, that

$$\widetilde{\Phi}(\pi_{\sigma}(\mathcal{M})') \cap \mathscr{C}(\mathbb{R}, \mathcal{M}) \subset \mathscr{C}(\mathbb{R}, \mathcal{M}^{\bullet}).$$

Let  $a \in \mathcal{C}(\mathbb{R}, \mathcal{M})$ ,  $x \in \mathcal{M}$  and  $\eta$ ,  $\zeta \in \mathcal{D}(\mathbb{R})$ , where  $\mathcal{D}(\mathbb{R})$  stands for the space of all complex valued  $C^{\infty}$ -functions with compact support on  $\mathbb{R}$ . Then, as easily verified,

$$(\widetilde{F}\pi_{\sigma}(x)\widetilde{F}^{\bullet}(\xi_{0}\otimes\eta)|\ a^{\bullet}(\xi_{0}\otimes\zeta)) = \left[\left(\int\int e^{\mathrm{i}t(r-s)}\eta(r)\overline{\zeta(s)}\varphi(a(s)\sigma_{-t}(x))\ \mathrm{d}r\mathrm{d}s\right)\mathrm{d}t\right]$$

where for r and s the order of integration is irrelevant. Therefore, if a commutes with  $\widetilde{F}\pi_*(x)\widetilde{F}^*$ , we obtain

$$\overline{\int \left( \iint e^{it(r-s)}\eta(r)\zeta(s)\varphi(a(s)\,\sigma_{-t}(x)) \,drds \right) \,dt}$$

$$= \overline{(\widetilde{F}\pi_{\sigma}(x)\widetilde{F}^{*}(\zeta_{0}\otimes\eta)|a^{*}(\xi_{0}\otimes\overline{\zeta}))} = (\widetilde{F}\widetilde{\pi}_{\sigma}(x^{*})\widetilde{F}^{*}(\xi_{0}\otimes\overline{\zeta})|a(\xi_{0}\otimes\eta))$$

$$= \overline{\int \left( \iint e^{it(s-r)}\overline{\eta(r)}\overline{\zeta(s)}\varphi(a^{*}(r)\sigma_{-t}(x^{*})) \,drds \right) \,dt}$$

$$= \overline{\int \left( \iint e^{it(r-s)}\eta(r)\zeta(s)\varphi(\sigma_{-t}(x)a(r)) \,drds \right) \,dt}.$$

Since  $\sigma = \sigma^{\varphi}$  satisfies the KMS-condition (2.12), for each  $r \in \mathbb{R}$  there exists a function  $G(\cdot, r)$  defined, continuous and bounded on the strip  $\{\alpha \in \mathbb{C}; 0 \leq \text{Im } \alpha \leq 1\}$ , analytic in the interior of the strip and such that  $G(t, r) = \varphi(\sigma_{-t}(x)a(r))$ ,  $G(t + i, r) = \varphi(a(r)\sigma_{-t}(x))$  for all  $t \in \mathbb{R}$ . Then,

$$\int \left( \iint e^{it(r-s)} \eta(r) \zeta(s) \varphi(\sigma_{-t}(x) a(r)) \, dr ds \right) dt$$

$$= \int \left( \iint e^{it(r-s)} \eta(r) \zeta(s) G(t, r) \, dr ds \right) dt$$

$$= \int \left( \int e^{itr} \eta(r) [F\zeta](t) G(t, r) \, dr \right) dt$$

$$= \int \left( \int e^{itr} [F\zeta](t) G(t, r) \, dt \right) \eta(r) \, dr = \cdot /.$$

by Fubini's theorem. Since the function  $\alpha \mapsto e^{i\omega}[F\zeta](\alpha)G(\alpha, r)$  is analytic on the strip  $\{\alpha \in \mathbb{C}; 0 < \text{Im } \alpha < 1\}$  and decays exponentially along horizontal lines, using the theorems of Cauchy and Fubini we can continue the above computation as follows:

$$\begin{aligned} \cdot/. &= \int \left( \int e^{i(t+i)r} [F\zeta](t+i)G(t+i,r) dt \right) \eta(r) dr \\ &= \int \left( \int e^{i(t+i)r} \eta(r) [F\zeta](t+i)G(t+i,r) dr \right) dt \\ &= \int \left( \iint e^{i(t+i)(r-s)} \eta(r)\zeta(s)G(t+i,r) dr ds \right) dt \\ &= \int \left( \iint e^{i(t+i)(r-s)} \eta(r)\zeta(s) \varphi(a(r)\sigma_{-t}(x)) dr ds \right) dt. \end{aligned}$$

Thus, if a commutes with  $\widetilde{F}\pi_a(x)$   $\widetilde{F}^*$ , then

$$H: \mathbb{R} \times \mathbb{R} \ni (s, t) \mapsto \varphi(a(s) \sigma_{-t}(x)) \in \mathbb{C}$$

is a bounded continuous function such that for every  $\eta$ ,  $\zeta \in \mathcal{D}(\mathbb{R})$  we have

(6) 
$$\int \left( \iint e^{it(r-s)} \eta(r) \zeta(s) H(s, t) dr ds \right) dt$$
$$= \int \left( \iint e^{i(t+i)(r-s)} \eta(r) \zeta(s) H(r, t) dr ds \right) dt.$$

With these conditions, we shall show in the last part of the proof that

(7) 
$$H(s,t) = H(s,0) \quad (s,t \in \mathbb{R}).$$

In our case, this means that  $\varphi(a(s)\sigma_{-t}(x)) = \varphi(a(s)x)$  and hence that  $\varphi(\sigma_t(a(s))) = \varphi(a(s)x)$   $(s, t \in \mathbb{R})$ .

If  $a \in \widetilde{\Phi}(\pi_{\sigma}(\mathcal{M})') \cap \mathscr{C}(\mathbb{R}, \mathcal{M})$ , then it follows that  $\sigma_{t}(a(s)) = a(s)$   $(s, t \in \mathbb{R})$ , i.e.  $a \in \mathscr{C}(\mathbb{R}, \mathcal{M}^{\sigma})$ , which proves the Theorem.

Suppose now that a bounded continuous function  $H: \mathbb{R}^2 \to \mathbb{C}$  satisfies (6) for all  $\eta, \zeta \in \mathcal{D}(\mathbb{R})$ . Then

$$\int \left( \int \int e^{it(r-s)} \xi(r,s) H(s,t) dr ds \right) dt$$

$$= \int \left( \int \int e^{i(t+t)(r-s)} \xi(r,s) H(r,t) dr ds \right) dt$$

for all  $\xi \in \mathcal{D}(\mathbb{R}^2)$ , since both sides of this equation define distributions on  $\mathcal{D}(\mathbb{R}^2)$  which, by (3), agree on  $\mathcal{D}(\mathbb{R}) \otimes \mathcal{D}(\mathbb{R})$ . Equivalently, this means that

$$\int \left( \int \int e^{itr} \xi(s+r,s) H(s,t) \, dr ds \right) dt$$

$$= \int \left( \int \int e^{itr} e^{-r} \xi(s+r,s) H(s+r,t) \, dr ds \right) dt$$

or, since the functions  $(r, s) \mapsto \xi(s + r, s)$  exhaust all of  $\mathcal{D}(\mathbb{R}^2)$ ,

for all  $\xi \in \mathcal{D}(\mathbb{R}^2)$ .

The expression

$$\langle \xi, \widetilde{H} \rangle = \int \left( \iint e^{itr} \xi(r, s) H(s, t) dr ds \right) dt \qquad (\xi \in \mathcal{D}(\mathbb{R}^2))$$

defines a distribution on  $\mathcal{D}(\mathbb{R}^2)$ . Being the partial Fourier transform of the bounded continuous function H, the distribution  $\widetilde{H}$  is tempered and hence extends by continuity to the Schwartz space  $\mathcal{S}(\mathbb{R}^2)$ . On the other hand, consider the linear operator T defined on  $\mathcal{D}(\mathbb{R}^2)$  by

$$[T\xi](r,s) = e^{-r}\xi(r,s-r) \qquad (\xi \in \mathcal{D}(\mathbb{R}^2)).$$

Then (8) means that

(9) 
$$\langle (I-T)\xi, \widetilde{H}\rangle = 0 \quad (\xi \in \mathcal{D}(\mathbb{R}^2)).$$

For a given  $\xi \in \mathcal{D}(\mathbb{R}^2)$  with supp  $\xi \cap (\{0\} \times \mathbb{R}) = \emptyset$ , we define a sequence  $\{\xi_n\} \subset \mathcal{D}(\mathbb{R}^2)$  by

$$\xi_{n}(r,s) = \begin{cases} \sum_{k=0}^{n} e^{-kr} \xi(r,s-kr) & \text{if } r > 0 \\ \\ -\sum_{k=0}^{n} e^{(k+1)r} \xi(r,s+(k+1)r) & \text{if } r \leq 0. \end{cases}$$

Then

$$[(I-T)\,\xi_n]\,(r,s) = \xi(r,s) - \mathrm{e}^{-(n+1)r}\xi(r,s-(n+1)\,r) \qquad (r\geqslant 0)$$

$$[(I-T)\,\xi_n]\,(r,s) = \xi(r,s) - e^{-(n+1)r}\xi(r,s+(n+1)\,r) \qquad (r\leqslant 0)$$

and it follows that  $\lim_{n}(I-T)\xi_{n}=\xi$  in the topology of the Schwartz space  $\mathscr{S}(\mathbb{R}^{2})$ , so that  $\langle \xi, \widehat{H} \rangle = \lim_{n} \langle (I-T)\xi_{n}, \widetilde{H} \rangle = 0$ . This means that  $\sup \widetilde{H} \subset \{0\} \times \mathbb{R}$ .

Consequently, for every  $\eta \in \mathcal{D}(\mathbb{R})$  with supp  $\eta \not \ni 0$  we get

$$0 = \int \left( \int e^{itr} \eta(r) H(s, t) dr \right) dt = \int [F\eta](-t) H(s, t) dt.$$

Thus, for each fixed  $s \in \mathbb{R}$ , the partial Fourier transform of H is a distribution with support equal to {0} and is therefore a finite linear combination of derivatives of the Dirac delta function, which in turn implies that  $H(s, \cdot)$  is a polynomial. Since H is bounded, it follows that  $H(s, \cdot)$  is a constant function, which proves (7).

23.20. Notes. The material of this Section is due to Connes and Takesaki [36], [61], [248]. They give ([61]) an explicit construction of the continuous decomposition of a factor of type III arising from the group measure space construction. Also, there are concrete descriptions of the integrable and dominant weights and the continuous decomposition of von Neumann algebras associated with foliations ([50], [51]).

For our exposition we have used [36], [61], [248], and [249].

#### § 24. The flow of weights

In this Section we introduce the (smooth) flow of weights on countably decomposable properly infinite  $W^*$ -algebras and we prove a "spectral multiplicity theorem" for integrable weights.

**24.1.** Let  $\mathcal{M}$  be a countably decomposable properly infinite  $W^*$ -algebras,  $\omega \in W_{nsf}(\mathcal{M})$ a dominant weight on  $\mathcal{M}$  and  $(\mathcal{N}, \theta, \tau)$  the corresponding continuous decomposition of  $\mathcal{M}$ , i.e.  $\mathcal{N} = \mathcal{M}^{\omega}$ ,  $\theta \colon \mathbb{R} \to Aut(\mathcal{M}^{\omega})$  is a continuous action and  $\tau$  is an n.s.f. trace on  $\mathcal{M}^{\omega}$  with  $\tau \circ \theta_s = e^{-s} \tau$ ,  $(s \in \mathbb{R})$ , such that

(1) 
$$(\mathcal{M}, \sigma^{\omega}, \omega) \approx (\mathcal{R}(\mathcal{M}^{\omega}, \theta), \hat{\theta}, \hat{\tau}).$$

Recall (23.7) that there exists an s-continuous unitary representation  $u: \mathbb{R} \to U(\mathcal{M})$ such that  $\theta_s = \operatorname{Ad}(u(s)) | \mathcal{M}^{\omega}$  and  $\omega_{u(s)} = e^{-s}\omega$ , i.e.  $\sigma_t^{\omega}(u(s)) = e^{-ist}u(s)$  (s,  $t \in \mathbb{R}$ ). By Corollary 23.12, the pair  $(\mathcal{Z}(\mathcal{M}^{\omega}), \theta | \mathcal{Z}(\mathcal{M}^{\omega}))$  is uniquely determined modulo

\*-isomorphisms and will be called the smooth flow of weights on M.

Sometimes it is more convenient to regard the continuous action of the additive group  $\mathbb{R}$  on  $\mathscr{Z}(\mathscr{M}^{\bullet})$  as a continuous action  $F = F^{\mathscr{M}}$  of the multiplicative group  $\mathbb{R}_{+}^{+} = \{\lambda \in \mathbb{R}; \lambda > 0\}$  defined by

(2) 
$$F_{\lambda} = F_{\lambda}^{\mathcal{A}} = \theta_{-1n(\lambda)} | \mathscr{Z}(\mathscr{M}^{\omega}) \qquad (\lambda \in \mathbb{R}^{+}_{\bullet}).$$

Thus, the pair  $(\mathcal{Z}(\mathcal{M}^{\bullet}), F: \mathbb{R}^+_{\bullet} \to Aut(\mathcal{Z}(\mathcal{M}^{\bullet}))$  is the smooth flow of weights on  $\mathcal{M}$ . The justification of this terminology will follow from the contents of this Section, which we briefly present below.

Let  $W_{lat}(\mathcal{M})$  be the set of all integrable normal semifinite weights on  $\mathcal{M}$  and  $W_{int}^{\infty}(\mathcal{M})$  the subset of  $W_{int}(\mathcal{M})$  consisting of weights of infinite multiplicity.

For every  $\varphi \in W_{ns}(\mathcal{M})$  one defines a canonical surjective mapping

$$c_{\sigma} : W_{ns}(\mathcal{M}) \ni \psi \mapsto c_{\sigma}(\psi) \in Proj(\mathcal{Z}(\mathcal{M}^{\sigma}))$$

whose properties are studied in Sections 24.2 and 24.3.

In particular, the mapping

$$c_{\omega} \colon W_{int}(\mathcal{M}) \ni \varphi \mapsto c_{\omega}(\varphi) \in \operatorname{Proj}(\mathcal{Z}(\mathcal{M}^{\omega}))$$

has the properties  $c_{\omega}(\lambda \varphi) = F_{\lambda}(c_{\omega}(\varphi)), \quad \psi \lesssim \varphi \Rightarrow c_{\omega}(\psi) \leqslant c_{\omega}(\varphi) \Rightarrow \check{\psi} \lesssim \check{\varphi},$   $c_{\omega}\left(\sum_{n}^{\Theta} \varphi_{n}\right) = \bigvee_{n} c_{\omega}(\varphi_{n}) \text{ for all } \varphi, \ \psi, \ \varphi_{n} \in W_{ln}(\mathcal{M}), \ \lambda > 0. \text{ Thus, } W_{ln}^{\infty}(\mathcal{M})/\mathbb{Z} \text{ can be identified with } Proj(\mathcal{Z}(\mathcal{M}^{\omega})) \text{ in such a way that the smooth flow of weights } F_{\lambda} \text{ corresponds to the mapping } \varphi \mapsto \lambda \varphi \quad (\lambda > 0).$ 

For each  $\varphi \in W_{lat}(\mathcal{M})$  there exists a \*-isomorphism

$$p_{\alpha} \colon \mathscr{Z}(\mathscr{M}^{\varphi}) \to \mathscr{Z}(\mathscr{M}^{\omega}) \; c_{\omega}(\varphi),$$

uniquely determined, such that  $p_{\varphi}(c_{\varphi}(\psi)) = c_{\omega}(\psi)c_{\omega}(\varphi)$  for all  $\psi \in W_{ns}(\mathcal{M})$ . Also, there exist an n.s.f. operator valued weight  $P_{\varphi} : \mathcal{M}_{s(\varphi)}^+ \to \overline{(\mathcal{M}^{\varphi})}^+$  and a unique n.s.f. trace  $\tau_{\varphi}$  on  $\mathcal{M}^{\varphi}$  such that  $\varphi|_{\mathcal{M}_{s(\varphi)}^+}^+ = \tau_{\varphi} \cdot P_{\varphi}$ . In particular,  $\tau_{\lambda\varphi} = \lambda \tau_{\varphi}$  ( $\lambda > 0$ ).

Finally, there exists a unique mapping

$$\nu \colon W_{int}(\mathcal{M}) \ni \varphi \, \mapsto \, \nu_{\varphi} \in W_n(\mathcal{Z}(\mathcal{M}^{\omega}))$$

such that  $v_{\phi}(c_{\omega}(\psi)) = \tau_{\phi}(c_{\phi}(\psi))$   $(\psi \in W_{int}(\mathcal{M}))$ . For all  $\varphi$ ,  $\psi$ ,  $\varphi_1, \ldots, \varphi_m \in W_{int}(\mathcal{M})$  and  $\lambda > 0$  we have  $v_{\lambda \varphi} = \lambda v_{\varphi} \circ F_{\lambda}^{-1}$ ,  $\psi \leqslant \varphi \Leftrightarrow v_{\psi} \leqslant v_{\varphi}$ ,  $v_{\bigoplus} = \sum_{n} v_{\varphi_n}$  and, if

 $\mathcal{M}$  is of type III, then the mapping  $\nu$  is also surjective. One thus obtains a "spectral multiplicity" theory for integrable weights.

**24.2.** Let  $\varphi$ ,  $\psi \in W_{ns}(\mathcal{M})$  and  $\theta = \theta$   $(\varphi, \psi)$  be the balanced weight on  $\mathscr{P} = \mathscr{M} \otimes F^2$  with  $s(\theta) = \begin{pmatrix} s(\varphi) & 0 \\ 0 & s(\psi) \end{pmatrix}$ . We define a projection  $c_{\varphi}(\psi) \in \mathscr{Z}(\mathcal{M}^{\varphi})$  by

$$\mathbf{z}_{\neq 0} \begin{pmatrix} \begin{pmatrix} 0 & 0 \\ 0 & \mathbf{s}(\psi) \end{pmatrix} \end{pmatrix} \begin{pmatrix} \mathbf{s}(\varphi) & 0 \\ 0 & 0 \end{pmatrix} = \begin{pmatrix} c_{\varphi}(\psi) & 0 \\ 0 & 0 \end{pmatrix}.$$

It is easy to see that the left hand side necessarily has the form of the right hand side and that  $c_{\varphi}(\psi) \in \mathcal{Z}(\mathcal{M}^{\varphi})$ . The next Proposition contains some computation rules for  $c_{\varphi}(\psi)$ .

**Proposition.** Let  $\varphi$ ,  $\varphi_n$ ,  $\psi$ ,  $\psi_n$ ,  $\psi' \in W_{ns}(\mathcal{M})$ ,  $\lambda > 0$ ,  $\sigma \in Aut(\mathcal{M})$ , and let  $w \in \mathcal{M}$  be a partial isometry with  $ww^* \in \mathcal{M}^{\varphi}$ . Then

$$c_{\varphi}(\varphi_{w}) = \mathbf{z}_{\mu\varphi}(ww^{*})$$

$$c_{\alpha_{m}}(\psi) = w^* c_{\alpha}(\psi) w$$

$$c_{1m}(\psi) = c_m(\lambda^{-1}\psi)$$

$$c_{\boldsymbol{\omega} \circ \boldsymbol{\sigma}}(\psi \circ \sigma) = \sigma^{-1}(c_{\boldsymbol{\omega}}(\psi))$$

$$(5) \psi' \lesssim \psi \Rightarrow c_{\varphi}(\psi') \leqslant c_{\varphi}(\psi)$$

$$c_{\bigoplus \atop \sum_{n} \varphi_{n}}(\psi) = \sum_{n} c_{\varphi_{n}}(\psi)$$

(7) 
$$c_{\varphi} \left( \sum_{n}^{\oplus} \psi_{n} \right) = \bigvee_{n} c_{\varphi} (\psi_{n})$$

(8) 
$$c_{\varphi}(\check{\psi}) = c_{\varphi}(\psi).$$

*Proof.* (1) Let  $\theta = \theta(\varphi, \varphi_w)$ . We have  $s(\varphi_w) = w^*w$  and  $\begin{pmatrix} 0 & w \\ 0 & 0 \end{pmatrix} \in \mathscr{P}^0$  by

Proposition 23.1, hence the projections  $\begin{pmatrix} 0 & 0 \\ 0 & s(\varphi_w) \end{pmatrix}$  and  $\begin{pmatrix} ww^* & 0 \\ 0 & 0 \end{pmatrix}$  are equivalent in  $\mathscr{P}^0$  and therefore have the same central support in  $\mathscr{P}^0$ . Since  $s(\varphi)$  is the unit element in  $\mathscr{M}^{\bullet}$ , (1) follows from the definition of  $c_{\bullet}(\varphi_w)$ .

(2) Let 
$$\theta = \theta(\varphi, \psi)$$
,  $\theta' = (\varphi_w, \psi)$  and  $W = \begin{pmatrix} w & 0 \\ 0 & 1 \end{pmatrix} \in \mathscr{P}$ . Then  $WW^* \in \mathscr{P}^0$ 

and  $\theta' = \theta_W$ , hence the mapping  $\mathscr{P}^{\theta'} \ni X \mapsto WXW^* \in \mathscr{P}^{\theta WW^*} \subset \mathscr{P}^{\theta}$  is a \*-isomorphism. Since  $s(\varphi_w) = w^*w$  and  $s(\varphi) w = w$ , we have

$$\begin{pmatrix} c_{\varphi_{w}}(\psi) & 0 \\ 0 & 0 \end{pmatrix} = \mathbf{z}_{g\theta} \cdot \begin{pmatrix} 0 & 0 \\ 0 & \mathbf{s}(\psi) \end{pmatrix} \begin{pmatrix} \mathbf{s}(\varphi_{w}) & 0 \\ 0 & 0 \end{pmatrix}$$

$$= W^{*} \mathbf{z}_{g\theta} \begin{pmatrix} W \begin{pmatrix} 0 & 0 \\ 0 & \mathbf{s}(\psi) \end{pmatrix} W^{*} \end{pmatrix} W \begin{pmatrix} w^{*}w & 0 \\ 0 & 0 \end{pmatrix}$$

$$= \begin{pmatrix} w^{*} & 0 \\ 0 & 1 \end{pmatrix} \mathbf{z}_{g\theta} \begin{pmatrix} \begin{pmatrix} 0 & 0 \\ 0 & \mathbf{s}(\psi) \end{pmatrix} \end{pmatrix} \begin{pmatrix} w & 0 \\ 0 & 0 \end{pmatrix}$$

$$= \begin{pmatrix} w^{*} & 0 \\ 0 & 1 \end{pmatrix} \mathbf{z}_{g\theta} \begin{pmatrix} \begin{pmatrix} 0 & 0 \\ 0 & \mathbf{s}(\psi) \end{pmatrix} \end{pmatrix} \begin{pmatrix} \mathbf{s}(\varphi) & 0 \\ 0 & 0 \end{pmatrix} \begin{pmatrix} w & 0 \\ 0 & 0 \end{pmatrix}$$

$$= \begin{pmatrix} w^{*} & 0 \\ 0 & 1 \end{pmatrix} \begin{pmatrix} c_{\varphi}(\psi) & 0 \\ 0 & 0 \end{pmatrix} \begin{pmatrix} w & 0 \\ 0 & 0 \end{pmatrix} = \begin{pmatrix} w^{*}c_{\varphi}(\psi)w & 0 \\ 0 & 0 \end{pmatrix},$$

which proves (2).

(3) Let  $\theta = \theta(\lambda \varphi, \psi)$  and  $\theta' = \theta(\varphi, \lambda^{-1}\psi)$ . We have  $s(\lambda \varphi) = s(\varphi)$ ,  $s(\lambda^{-1}\psi) = s(\psi)$  and  $\theta = \lambda \theta'$ , hence  $\mathscr{P}^{\theta} = \mathscr{P}^{\theta'}$  and (3) now follows easily using the definition of  $c_{\bullet}(\psi)$ .

(4) Let  $\theta = \theta (\varphi, \psi)$ ,  $\theta' = \theta (\varphi \circ \sigma, \psi \circ \sigma)$ . We have  $s(\varphi \circ \sigma) = \sigma^{-1}(s(\varphi))$ ,  $s(\psi \circ \sigma) = \sigma^{-1}(s(\psi))$  and  $\theta' = \theta \circ (\sigma \otimes \iota)$ , hence  $\mathcal{P}^{\theta'} = (\sigma \otimes \iota)^{-1}(\mathcal{P}^{\theta})$  and (4) follows

again by definition.

(5) Let  $\psi' = \psi_v$  with a partial isometry  $v \in \mathcal{M}$ ,  $vv^* \in \mathcal{M}^v$ . Let  $\theta = \theta$   $(\varphi, \psi)$ ,  $\theta' = \theta(\varphi, \psi')$ . For  $V = \begin{pmatrix} 1 & 0 \\ 0 & v \end{pmatrix} \in \mathcal{P}$  we have  $VV^* \in \mathcal{P}^0$  and  $\theta' = \theta_V$ , hence the mapping  $\mathcal{P}^{\theta'} \ni X \mapsto VXV^* \in \mathcal{P}^{\theta_{VV}} \subset \mathcal{P}^0$  is a \*-isomorphism. Since  $s(\psi') = v^*v$  and  $vv^* \leq s(\psi)$ , we have

$$\begin{pmatrix} c_{\varphi}(\psi') & 0 \\ 0 & 0 \end{pmatrix} = \mathbf{z}_{\mathscr{P}^0} \cdot \begin{pmatrix} 0 & 0 \\ 0 & v^* v \end{pmatrix} \cdot \begin{pmatrix} \mathbf{s}(\varphi) & 0 \\ 0 & 0 \end{pmatrix}$$

$$= V^* \, \mathbf{z}_{\mathscr{P}^0} \begin{pmatrix} V \begin{pmatrix} 0 & 0 \\ 0 & v^* v \end{pmatrix} V^* \end{pmatrix} V \begin{pmatrix} \mathbf{s}(\varphi) & 0 \\ 0 & 0 \end{pmatrix}$$

$$= \begin{pmatrix} 1 & 0 \\ 0 & v^* \end{pmatrix} \mathbf{z}_{\mathscr{P}^0} \begin{pmatrix} \begin{pmatrix} 0 & 0 \\ 0 & vv^* \end{pmatrix} \end{pmatrix} \begin{pmatrix} \mathbf{s}(\varphi) & 0 \\ 0 & 0 \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 0 & v \end{pmatrix}$$

$$\leq \begin{pmatrix} 1 & 0 \\ 0 & v^* \end{pmatrix} \mathbf{z}_{\mathscr{P}^0} \begin{pmatrix} \begin{pmatrix} 0 & 0 \\ 0 & \mathbf{s}(\psi) \end{pmatrix} \end{pmatrix} \begin{pmatrix} \mathbf{s}(\varphi) & 0 \\ 0 & 0 \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 0 & v \end{pmatrix}$$

$$= \begin{pmatrix} 1 & 0 \\ 0 & v^* \end{pmatrix} \begin{pmatrix} c_{\varphi}(\psi) & 0 \\ 0 & 0 \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 0 & v \end{pmatrix} = \begin{pmatrix} c_{\varphi}(\psi) & 0 \\ 0 & 0 \end{pmatrix},$$

proving (5).

(6) Assume that the projections  $e_n = s(\varphi_n)$   $(n \in \mathbb{N})$ , are mutually orthogonal and  $\varphi = \sum_n \varphi_n$ . Then  $e_n \in \mathcal{M}^{\varphi}$ ,  $\varphi_n = \varphi_{e_n}$  and  $\sum_n e_n = s(\varphi)$ . Using (2) we obtain  $\sum_n c_{\varphi_n}(\psi) = \sum_n e_n c_{\varphi}(\psi)e_n = (\sum_n e_n) c_{\varphi}(\psi) = c_{\varphi}(\psi)$ .

(7) Assume that the projections  $e_n = s(\psi_n)$   $(n \in \mathbb{N})$  are mutually orthogonal and that  $\psi = \sum_{n} \psi_n$ , so that  $e_n \in \mathcal{M}^{\psi}$ ,  $\psi_n = \psi_{e_n}$  and  $\sum_{n} e_n = s(\psi)$ . Let  $\theta_n = \theta(\varphi, \psi_n)$ ,

 $\theta = \theta(\varphi, \psi)$ . Then  $\theta_n = \theta_{E_n}$ , where  $E_n = \begin{pmatrix} 1 & 0 \\ 0 & e_n \end{pmatrix} = s(\theta_n)$ , and  $\theta = \sum_n \theta_n$ . It is clear

that  $\begin{pmatrix} 0 & 0 \\ 0 & e_n \end{pmatrix}$  has the same central projection in  $\mathscr{P}^{\theta_n}$  as in  $\mathscr{P}^{\theta}$ . It follows that

$$\begin{pmatrix} c_{\varphi}(\psi) & 0 \\ 0 & 0 \end{pmatrix} = z_{\varphi^0} \begin{pmatrix} \begin{pmatrix} 0 & 0 \\ 0 & s(\psi) \end{pmatrix} \end{pmatrix} \begin{pmatrix} s(\varphi) & 0 \\ 0 & 0 \end{pmatrix} = \bigvee_{n} z_{\varphi^0} \begin{pmatrix} \begin{pmatrix} 0 & 0 \\ 0 & e_n \end{pmatrix} \end{pmatrix} \begin{pmatrix} s(\psi) & 0 \\ 0 & 0 \end{pmatrix}$$

$$= \bigvee_{n} \begin{pmatrix} c_{\varphi}(\psi_n) & 0 \\ 0 & 0 \end{pmatrix} = \begin{pmatrix} \bigvee_{n} c_{\varphi}(\psi_n) & 0 \\ 0 & 0 \end{pmatrix},$$

proving (7).

(8) This follows easily using (5), (7) and the definition (23.15) of the weight  $\check{\varphi}$ . **24.3. Proposition.** For every  $\varphi \in W_{ns}(\mathcal{M})$  the mapping  $\psi \mapsto c_{\varphi}(\psi)$  defines an order isomorphism between the equivalence classes of weights  $\psi \in W_{ns}(\mathcal{M})$ ,  $\psi \lesssim \check{\varphi}$ , of infinite multiplicity, and the projections in  $\mathscr{L}(\mathcal{M}^{\varphi})$ .

Proof. Let  $\check{\varphi} = \sum_{n} \varphi_{n}$  with  $\varphi_{n} = \varphi_{u_{n}} \in W_{ns}(\mathcal{M})$ , where  $u_{n} = (w_{n}s(\varphi))^{*}$  and  $\{w_{n}\}$  is a sequence of isometries in  $\mathcal{M}$  such that  $\sum_{n} w_{n}w_{n}^{*} = 1$  (see 23.15). Using Proposition 24.2, for  $\psi \in W_{ns}(\mathcal{M})$  we obtain  $c_{\check{\varphi}}(\psi) = \sum_{n} c_{\varphi_{n}}(\psi) = \sum_{n} u_{n}^{*} c_{\varphi}(\psi) u_{n} = \sum_{n} w_{n} c_{\varphi}(\psi) w_{n}^{*}$ . Recall (23.15) that the mapping  $\pi \colon \mathcal{M} \ni x \mapsto \sum_{i,j} w_{i}^{*} x w_{j} \boxtimes e_{i,j} \in \mathcal{M} \boxtimes \mathcal{F}$ , where  $\{e_{i,j}\}$  is a system of matrix units of the countably decomposable infinite type I factor  $\mathcal{F}$ , establishes a \*-isomorphism  $\pi \colon (\mathcal{M}, \check{\varphi}) \approx (\mathcal{M} \boxtimes \mathcal{F}, \varphi \boxtimes tr)$ . We have  $\pi(\mathcal{Z}(\mathcal{M}^{\check{\varphi}})) = \mathcal{Z}((\mathcal{M} \boxtimes \mathcal{F})^{\varphi \boxtimes tr}) = \mathcal{Z}(\mathcal{M}^{\varphi}) \boxtimes 1$ , and the previous equation shows that  $\pi(c_{\check{\varphi}}(\psi)) = c_{\varphi}(\psi) \boxtimes 1$ . Thus, we may assume that  $\varphi$  is of infinite multiplicity, i.e.  $\varphi = \check{\varphi}$ .

If  $e \in \mathscr{Z}(\mathscr{M}^{\varphi})$  is a non-zero projection, then  $\varphi_e \lesssim \varphi$  is of infinite multiplicity, since  $\mathscr{M}^{\varphi_e} = \mathscr{M}^{\varphi}e$  and  $\mathscr{M}^{\varphi}$  is properly infinite. Since  $c_{\varphi}(\varphi_e) = ec_{\varphi}(\varphi)e = es(\varphi)e$ , it follows that the mapping  $c_{\varphi}$  is surjective.

Let  $\psi \lesssim \varphi, \psi' \lesssim \varphi$ . There exist partial isometries  $w, w' \in \mathcal{M}$  with  $e = ww^* \in \mathcal{M}^{\varphi}$ ,  $e' = w'w'^* \in \mathcal{M}^{\varphi}$ , such that  $\psi = \varphi_w$ ,  $\psi' = \varphi_{w'}$  and then  $c_{\varphi}(\psi) = \mathbf{z}_{\mathcal{M}^{\varphi}}(e)$ ,  $c_{\varphi}(\psi') = \mathbf{z}_{\mathcal{M}^{\varphi}}(e')$ . If  $\psi$  and  $\psi'$  are both of infinite multiplicity and  $c_{\varphi}(\psi') \leq c_{\varphi}(\psi)$ , then the projections  $e, e' \in \mathcal{M}^{\varphi}$  are properly infinite and  $\mathbf{z}_{\mathcal{M}^{\varphi}}(e') \leq \mathbf{z}_{\mathcal{M}^{\varphi}}(e)$ ; hence  $e' \prec e$  in  $\mathcal{M}^{\varphi}$ , so that  $\psi' \lesssim \psi$  by Proposition 23.2.

**24.4.** Corollary. The mapping  $\varphi \mapsto c_{\omega}(\varphi)$  establishes an order isomorphism between the equivalence classes of integrable weights of infinite multiplicity on  $\mathcal{M}$  and the projections in  $\mathcal{Z}(\mathcal{M}^{\omega})$ ; we have

(1) 
$$c_{\omega}(\lambda \varphi) = F_{\lambda}(c_{\omega}(\varphi)); \ \varphi \in W_{int}(\mathcal{M}), \ \lambda > 0.$$

**Proof.** We use the notation of Section 24.1. The first part of the Corollary follows clearly from Proposition 24.3 and Theorem 23.4. Since  $c_{\omega}(\varphi) = c_{\omega}(\check{\varphi})$ , in order to prove (1) we may assume that  $\varphi \in W_{int}^{\infty}(\mathcal{M})$ . Then there exists a projection  $q \in \mathcal{Z}(\mathcal{M}^{\omega})$  with  $\varphi \approx \omega_q$ . For  $s \in \mathbb{R}$  we have

(2) 
$$e^{-s} \varphi \approx e^{-s} \omega_q = (e^{-s} \omega)_q = \omega_{\mu(s)q} = \omega_{\mu(s)q\nu(s)} *_{\nu(s)} = \omega_{\theta_s(q)\nu(s)} \approx \omega_{\theta_s(q)}$$

hence  $c_{\omega}(\varphi) = q$  and  $c_{\omega}(e^{-s} \varphi) = \theta_{s}(q)$ , i.e.

(3) 
$$c_{\omega}(e^{-s}\varphi) = \theta_{s}(c_{\omega}(\varphi)),$$

proving (1), as  $F_{\lambda} = \theta_{-\ln(\lambda)} (\lambda > 0)$ .

**24.5.** Proposition. For each  $\varphi \in W_{\rm int}(\mathcal{M})$  there exists a unique \*-isomorphism  $p_{\alpha} \colon \mathcal{Z}(\mathcal{M}^{\varphi}) \to \mathcal{Z}(\mathcal{M}^{\omega}) c_{\omega}(\varphi)$  such that

(1) 
$$p_{\varphi}(c_{\varphi}(\psi)) = c_{\omega}(\psi)c_{\omega}(\varphi) \quad (\psi \in W_{ns}(\mathcal{M}).$$

Proof. Since  $\varphi \in W_{ini}(\mathcal{M})$ , we have  $\varphi \lesssim \omega$ , hence there exists a partial isometry  $w \in \mathcal{M}$  with  $ww^* \in \mathcal{M}^{\omega}$  such that  $\varphi = \omega_w$ . We thus obtain a \*-isomorphism  $\mathcal{M}^{\bullet} \ni x \mapsto wxw^* \in (\mathcal{M}^{\omega})_{ww^*}$  and, by restriction, a \*-isomorphism  $\mathcal{Z}(\mathcal{M}^{\bullet}) \ni x \mapsto wxw^* \in \mathcal{Z}(\mathcal{M}^{\omega}) ww^*$ . On the other hand, we have  $c_{\omega}(\varphi) = z_{\mathcal{M}^{\omega}}(ww^*)$ , hence the mapping  $\mathcal{Z}(\mathcal{M}^{\omega}) c_{\omega}(\varphi) \ni z \mapsto zww^* \in \mathcal{Z}(\mathcal{M}^{\omega})ww^*$  is a \*-isomorphism. By composing these two mappings we obtain a \*-isomorphism  $p_{\varphi} \colon \mathcal{Z}(\mathcal{M}^{\varphi}) \to \mathcal{Z}(\mathcal{M}^{\omega})c_{\omega}(\varphi)$ .

If  $e \in \mathcal{Z}(\mathcal{M}^{\phi})$  is a projection, then  $\varphi_e = \omega_{we}$ , hence  $c_{\omega}(\varphi_e) = z_{\mathcal{M}^{\omega}}(wew^{\phi}) \in \mathcal{Z}(\mathcal{M}^{\omega})$  and, clearly,  $c_{\omega}(\varphi_e) \leq c_{\omega}(\varphi)$ . We have  $c_{\omega}(\varphi_e)ww^{\phi} = z_{\mathcal{M}^{\omega}}(wew^{\phi})ww^{\phi} = wew^{\phi}$  (since  $e \in \mathcal{Z}(\mathcal{M}^{\phi})$ ), hence  $wew^{\phi} \in \mathcal{Z}(\mathcal{M}^{\omega})_{ww^{\phi}}$  and therefore  $c_{\omega}(\varphi_e) = p_{\phi}(e)$ .

Assume now that  $e = c_{\varphi}(\psi)$  with  $\psi \in W_{ns}(\mathcal{M})$ . Since  $\varphi = \omega_{w}$ , we have  $e = c_{\omega_{w}}(\psi) = w^*c_{\omega}(\psi)w$ , hence  $p_{\varphi}(e)ww^* = ww^*c_{\omega}(\varphi)ww^* = c_{\omega}(\psi)ww^* = c_{\omega}(\psi)c_{\omega}(\varphi)ww^*$ , and therefore  $p_{\varphi}(c_{\varphi}(\psi)) = c_{\omega}(\psi)c_{\omega}(\varphi)$ .

The uniqueness of  $p_{\phi}$  follows obviously from the surjectivity of  $c_{\phi}$ .

24.6. Let  $\varphi \in W_{lnr}(\mathcal{M})$ . Then the continuous action  $\sigma^{\varphi} : \mathbb{R} \to Aut(\mathcal{M}_{\varphi(\varphi)})$  is integrable, so that it defines a  $\sigma^{\varphi}$ -invariant n.s.f. operator valued weight  $P_{\varphi} : \mathcal{M}_{\varphi(\varphi)}^{\varphi} \to \overline{(\mathcal{M}^{\varphi})^{+}}$ .

Proposition. For each  $\varphi \in W_{int}(\mathcal{M})$  there exists a unique n.s.f. trace  $\tau_{\varphi}$  on  $\mathcal{M}^{\varphi}$  such that

$$\varphi|_{\mathscr{M}_{s(\varphi)}^+}^+ = \tau_{\varphi} \circ P_{\varphi}.$$

*Proof.* If  $\varphi = \omega$ , we can take  $\tau_{\omega} = \tau$  from the continuous decomposition  $(\mathcal{M}^{\omega}, \theta, \tau)$  of  $\mathcal{M}$  constructed in Theorem 23.6 (see also Theorem 23.16).

If  $\varphi$  is just integrable, then there exists a projection  $e \in \mathcal{M}^{\omega}$  such that  $\varphi = \omega_e$ , and we may assume that  $\varphi = \omega_e$ . Then  $s(\varphi) = e$ ,  $\mathcal{M}^{\varphi} = e\mathcal{M}^{\omega}e$ ,  $\sigma_i^{\varphi} = \sigma_i^{\omega}|e\mathcal{M}e$ ,  $c_{\omega}(\varphi) = z_{\mathcal{M}^{\omega}}(e)$  and the \*-isomorphism  $p_{\varphi} : \mathcal{Z}(e\mathcal{M}^{\omega}e) \to \mathcal{Z}(\mathcal{M}^{\omega})z_{\mathcal{M}^{\omega}}(e)$  is the inverse of the mapping  $z \mapsto ze$ . The operator valued weight  $P_{\varphi} : (e\mathcal{M}e)^+ \to \overline{(e\mathcal{M}^{\omega}e)}^+ \subset \overline{(\mathcal{M}^{\omega})}^+$  is defined by  $P_{\varphi}(exe) = \int \sigma_i^{\varphi}(exe) dt = e \left(\int \sigma_i^{\omega}(x) dt\right) e = e P_{\omega}(x)e = P_{\omega}(exe) (x \in \mathcal{M}^+)$ , hence  $P_{\varphi} = P_{\omega}|e\mathcal{M}^+e$ . Then

$$\tau_{\phi} = \tau_{\omega} | e \mathcal{M}^{\omega} e$$

is an n.s.f. trace on  $\mathcal{M}^{\varphi} = e\mathcal{M}^{\omega}e$  and for every  $x \in e\mathcal{M}^{+}e$  we have  $(\tau_{\varphi} \circ P_{\varphi})(x) = \tau_{\omega}(P_{\omega}(x)) = \omega(x) = \varphi(x)$ .

The uniqueness of  $\tau_{\phi}$  is obvious. Note that

(3)  $\tau_{\lambda \varphi} = \lambda \tau_{\varphi} \quad (\lambda > 0).$ 

24.7. We now prove the "spectral multiplicity theorem" for integrable weights.

**Theorem.** For every  $\varphi \in W_{int}(\mathcal{M})$  there exists a unique normal weight  $v_{\varphi}$  on  $\mathcal{Z}(\mathcal{M}^{\omega})$  such that

(1) 
$$v_{\varphi}(c_{\omega}(\psi)) = \tau_{\varphi}(c_{\varphi}(\psi)) \quad (\psi \in W_{int}(\mathcal{M})).$$

The mapping  $\varphi \mapsto v_{\varphi}$  establishes a bijective correspondence between the equivalence classes of weights  $\varphi \in W_{int}(\mathcal{M})$  and a certain set of normal weights  $v_{\varphi}$  on  $\mathcal{Z}(\mathcal{M}^{\varpi})$ ; for  $\varphi$ ,  $\psi$ ,  $\varphi_1$ , ...,  $\varphi_m \in W_{int}(\mathcal{M})$  and  $\lambda > 0$  we have

$$v_{\lambda \varphi} = \lambda v_{\varphi} \circ F_{\lambda}^{-1}$$

$$\psi \lesssim \varphi \Leftrightarrow \nu_{\psi} \leqslant \nu_{\varphi}.$$

$$v_{\bigoplus_{\sum_{n} \varphi_{n}}} = \sum_{n} v_{\varphi_{n}}.$$

If  $\mathcal{M}$  is of type III, then every normal weight on  $\mathcal{Z}(\mathcal{M}^{\omega})$  is of the form  $v_{\varphi}$  for some  $\varphi \in W_{ini}(\mathcal{M})$ , hence the mapping  $\varphi \mapsto v_{\varphi}$  establishes an order isomorphism  $v \colon W_{ini}(\mathcal{M}) | \varpi \to W_n(\mathcal{Z}(\mathcal{M}^{\omega}))$ .

*Proof.* Using Propositions 24.5, 24.6, we define  $v_{\sigma}$  by

(5) 
$$v_{\varphi}(z) = \tau_{\varphi}(p_{\varphi}^{-1}(zc_{\omega}(\varphi))) \qquad (z \in \mathcal{Z}(\mathcal{M}^{\omega})^{+})$$

and then, for  $\psi \in W_{in}(\mathcal{M})$  we have  $v_{\varphi}(c_{\omega}(\psi)) = \tau_{\varphi}(p_{\varphi}^{-1}(c_{\omega}(\psi)c_{\omega}(\varphi))) = \tau_{\varphi}(p_{\varphi}^{-1}(p_{\varphi}(c_{\omega}(\psi)))) = \tau_{\varphi}(c_{\omega}(\psi))$ , which proves the existence of  $v_{\varphi}$ . The uniqueness of  $v_{\varphi}$  is obvious.

If  $\varphi = \varphi'$ , then  $(\mathcal{M}^{\varphi}, c_{\varphi}, \tau_{\varphi}) \approx (\mathcal{M}^{\varphi'}, c_{\varphi'}, \tau_{\varphi'})$ , hence  $v_{\varphi} = v_{\varphi'}$ . Thus, in proving (3) and (4), we can consider just weights of the form  $\varphi = \omega_e$  with  $e \in Proj(\mathcal{M}^{\varphi})$ . In this case, it follows from (5) and 24.6.(2) that

(6) 
$$v_{\varphi}(z) = \tau_{\omega}(ze) \ (z \in \mathcal{Z}(\mathcal{M}^{\omega})^{+}).$$

Note that  $v_{\omega} = \tau_{\omega} | \mathcal{Z}(\mathcal{M}^{\omega})^{+} = +\infty$ , as  $\mathcal{M}^{\omega}$  is properly infinite, i.e. it has no non-zero finite central projection.

Let  $e, f \in Proj(\mathcal{M}^{\infty})$  and  $\varphi = \omega_{e}, \psi = \omega_{f}$ . If  $v_{\psi} \leq v_{\varphi}$ , then, using (6), we obtain  $\tau_{\omega}(zf) \leq \tau_{\omega}(ze)$  for all  $z \in \mathcal{Z}(\mathcal{M}^{\alpha})^{+}$ . By ([L], E.7.13) we infer that  $f \prec e$  in  $\mathcal{M}^{\infty}$  and, by Proposition 23.2, we deduce  $\psi = \omega_{f} \leq \omega_{e} = \varphi$ . Conversely, if  $\psi \leq \varphi$ , then  $f \prec e$  in  $\mathcal{M}^{\omega}$ , hence  $\tau_{\omega}(zf) \leq \tau_{\omega}(ze)$  for every  $z \in \mathcal{Z}(\mathcal{M}^{\omega})^{+}$ , so that  $v_{\psi} \leq v_{\varphi}$ . We have thus proved (3).

If e and f are orthogonal, then  $\varphi + \psi = \omega_{e+f}$ , hence  $v_{\varphi+\psi}(z) = \tau_{\omega}(z(e+f)) = \tau_{\omega}(ze) + \tau_{\omega}(zf) = v_{\varphi}(z) + v_{\psi}(z)$  for  $z \in \mathcal{Z}(\mathcal{M}^{\omega})^{+}$ , and  $v_{\varphi+\psi} = v_{\varphi} + v_{\psi}$  which proves (4).

Using 24.2.(3) and 24.6.(3) we obtain  $v_{\lambda\varphi}(c_{\omega}(\psi)) = \tau_{\lambda\varphi}(c_{\lambda\varphi}(\psi)) = \lambda v_{\varphi}(c_{\omega}(\lambda^{-1}\psi)) = \lambda v_{\varphi}(c_{\omega}(\lambda^{-1}\psi)) = \lambda v_{\varphi}(F_{\lambda}^{-1}(c_{\omega}(\psi)))$  and this proves equality (2).

If  $\mathcal{M}$  is of type III, then, by Proposition 3/23.11,  $\mathcal{M}^{\infty}$  is of type II<sub>\infty</sub> and so, by Corollary 12.14, every normal weight on  $\mathcal{Z}(\mathcal{M}^{\infty})$  is of the form  $\tau_{\omega}(e \cdot) = v_{\omega_{e}}$  for some projection  $e \in \mathcal{M}^{\infty}$ ; this proves the last assertion of the Theorem.

24.8. If the  $W^*$ -algebra  $\mathcal{M}$  is semifinite, then, by Proposition 2/23.11, the continuous decomposition  $(\mathcal{N}, \theta)$  of  $\mathcal{M}$  is \*-isomorphic to  $(\mathcal{N}^0 \otimes \mathcal{L}^{\infty}(\mathbb{R}), \iota \otimes \mathrm{Ad}(\lambda))$ ; hence the smooth flow of weights on  $\mathcal{M}$  is the pair  $(\mathcal{Z}(\mathcal{N}^0) \otimes \mathcal{L}^{\infty}(\mathbb{R}), \iota \otimes \mathrm{Ad}(\lambda))$ , or  $(\mathcal{Z}(\mathcal{N}^0) \otimes \mathcal{L}^{\infty}(\mathbb{R}^+_+), \iota \otimes \mathrm{Ad}(\lambda))$ . If we represent the abelian  $W^*$ -algebra  $\mathcal{Z}(\mathcal{N}^0)$  in the form  $\mathcal{L}^{\infty}(\Omega)$  (see [76]; [204]; [236], 9.37), then the smooth flow of weights on  $\mathcal{M}$  becomes a continuous action  $F: \mathbb{R}^+_+ \to \operatorname{Aut}(\mathcal{L}^{\infty}(\Omega \times \mathbb{R}^+_+), \operatorname{defined})$  by the point transformations  $F_{\lambda}(\xi, \mu) = (\xi, \lambda^{-1}\mu)$   $(\xi \in \Omega; \lambda, \mu \in \mathbb{R}^+_+)$ .

In particular, if  $\mathcal{M}$  is a semifinite factor, then  $\mathcal{N}^0$  is a factor (by Proposition 1/23.11), hence the smooth fillow of weights is the continuous action  $F: \mathbb{R}^+_+ \to Aut(\mathcal{L}^\infty(\mathbb{R}^+_+))$  defined by  $F_\lambda(\mu) = \lambda^{-1}\mu$ ,  $(\lambda, \mu \in \mathbb{R}^+_+)$ .

By Proposition 1/23.11,  $\mathcal{M}$  is a factor if and only if the smooth flow of weights on  $\mathcal{M}$  is ergodic. For type III factors there are descriptions of the smooth flow of weights based on their "discrete decomposition" (see 30.8, 30.10).

24.9. The smooth flow of weights is just the continuous part of a more general, but rather artificial, object called the global flow of weights on  $\mathcal{M}$ , which we describe below.

There exists a pair  $(Q_M, q_M)$  consisting of an abelian  $W^*$ -algebra  $Q_M$  and a mapping  $q_M$  of  $W_{ns}(\mathcal{M})$  onto the set of countably decomposable projections of  $Q_M$ , such that for all  $\varphi$ ,  $\psi$ ,  $\varphi_n \in W_{ns}(\mathcal{M})$  we have

$$q_{\mathcal{A}}(\varphi) = q_{\mathcal{A}}(\check{\varphi})$$

(2) 
$$q_{\mathcal{A}}(\psi) \leqslant q_{\mathcal{A}}(\varphi) \Leftrightarrow \check{\psi} \lesssim \check{\varphi}$$

(3) 
$$q_{\mathcal{A}}\left(\sum_{n}^{\Theta}\varphi_{n}\right) = \bigvee_{n} \left(q_{\mathcal{A}}(\varphi_{n});\right)$$

and there exist \*-isomorphisms

(4) 
$$q_{\sigma} \colon \mathscr{Z}(\mathscr{M}^{\phi}) \to Q_{\mathscr{A}} q_{\mathscr{A}}(\phi) \qquad (\phi \in W_{ns}(\mathscr{M})),$$

uniquely determined, such that

(5) 
$$q_o(c) = q_{\mathcal{A}}(\varphi_e) \quad (c \in Proj(\mathcal{Z}(\mathcal{M}^{\bullet}))).$$

Indeed, let  $\{e_{\varphi,\psi}\}_{\varphi,\psi\in W_{ns}(\mathcal{M})}$  be a system of matrix units in  $\mathscr{B}(\ell^2(W_{ns}(\mathcal{M})))$  put  $\mathscr{R}=\mathscr{M}\ \overline{\otimes}\ \mathscr{B}(\ell^2(W_{ns}(\mathcal{M})))$  and consider the n.s.f. weight  $\Phi$  on  $\mathscr{R}$  defined by  $\Phi(\sum x_{\varphi,\psi}\ \overline{\otimes}\ e_{\varphi,\psi})=\sum_{\varphi}\varphi(x_{\varphi,\varphi})$ . Then the conditions of the above statement are satisfied with  $Q_{\mathscr{M}}=\mathscr{Z}(\mathscr{R}^{\Phi})$  and  $q_{\mathscr{M}}(\varphi)=\mathbf{z}_{\mathscr{R}}\phi(\mathbf{s}(\varphi)\ \overline{\otimes}\ e_{\varphi,\varphi})=\sum_{\varphi}c_{\psi}(\varphi)\ \overline{\otimes}\ e_{\psi,\psi}$   $(\varphi\in W_{ns}(\mathscr{M}))$ . The verification is similar to that in the case of the smooth flow of weights.

The global flow of weights on  $\mathcal{M}$  is an action  $\mathcal{S}^{\mathcal{M}}: \mathbb{R}^+_* \to Aut(Q_{\mathcal{M}})$ , uniquely determined, such that  $\mathcal{S}^{\mathcal{M}}(q_{\mathcal{M}}(\varphi)) = q_{\mathcal{M}}(\lambda \varphi) \ (\varphi \in W_{ns}(\mathcal{M}), \lambda \in \mathbb{R}^+_*)$ .

**Proposition.** The weight  $\varphi \in W_{ns}(\mathcal{M})$  is integrable if and only if the mapping

(6) 
$$\mathbb{R}_{*}^{+}\ni\lambda\to\mathcal{F}_{\lambda}^{\mathcal{M}}(q_{\mathcal{M}}(\varphi))\in Q_{\mathcal{M}}$$

is s-continuous.

*Proof.* Assume that  $\varphi$  is integrable. Since  $\check{\varphi}$  is integrable and  $q_{\mathscr{L}}(\check{\varphi}) = q_{\mathscr{M}}(\varphi)$ , we may assume that  $\varphi$  is of infinite multiplicity. In this case, there exists by Corollary 24.4, a unique projection  $e \in \mathscr{L}(\mathscr{M}^{\omega})$  such that  $\varphi = \omega_e$ . Using (5) and 24.4.(2) we get

(7) 
$$\mathcal{S}_{\lambda}^{\mathcal{M}}(q_{\mathcal{A}}(\varphi)) = q_{\omega}(F_{\lambda}(e)) \qquad (\lambda \in \mathbb{R}_{+}^{+}),$$

so that the mapping (6) is s-continuous.

Conversely, assume that the mapping (6) is s-continuous. Let  $e = q_{\mathcal{M}}(\varphi) \in Q_{\mathcal{M}}$  and  $f = \bigvee_{\lambda > 0} \mathcal{S}_{\lambda}^{\mathcal{M}}(e) \in Q_{\mathcal{M}}$ . By assumption, if  $\{\lambda_n\}$  is a sequence of non-zero positive rational numbers converging to  $\lambda > 0$ , then  $\mathcal{S}_{\lambda_n}^{\mathcal{M}}(e) \stackrel{s}{\to} \mathcal{S}_{\lambda}^{\mathcal{M}}(e)$ . It follows that f is a countably decomposable projection. Since f is clearly  $\mathcal{S}^{\mathcal{M}}$ -invariant, we infer that  $f = q_{\mathcal{M}}(\omega)$ , where  $\omega$  is the fixed dominant weight on  $\mathcal{M}$ . Finally, since  $q_{\mathcal{M}}(\varphi) = e \leqslant f = q_{\mathcal{M}}(\omega)$ , it follows that  $\varphi \lesssim \check{\varphi} \lesssim \omega$ , and so  $\varphi$  is indeed integrable.

**Corollary.** The projection  $q_{\mathcal{M}}(\omega) \in Q_{\mathcal{M}}$  is the largest countably decomposable projection  $q \in Q_{\mathcal{M}}$  such that the mapping  $\mathbb{R}^+_* \ni \lambda \mapsto \mathcal{F}^{\mathcal{M}}_{\lambda}(q) \in Q_{\mathcal{M}}$  is s-continuous and  $(Q_{\mathcal{M}}q_{\mathcal{M}}(\omega), \mathcal{F}^{\mathcal{M}}|Q_{\mathcal{M}}q_{\mathcal{M}}(\omega)) \approx (\mathcal{Z}(\mathcal{M}^{\omega}), \mathcal{F}^{\mathcal{M}}).$ 

More precisely, by (7) we have

(8) 
$$S_{\lambda}^{\mathcal{A}}(q_{\mathcal{A}}(\varphi)) = q_{\omega}(F_{\lambda}^{\mathcal{A}}(c_{\omega}(\varphi))) \qquad (\varphi \in W_{int}(\mathcal{A}), \ \lambda \in \mathbb{R}_{+}^{+})$$
 so that 
$$q_{\omega} \colon (\mathcal{Z}(\mathcal{A}^{\omega}), \ F^{\mathcal{A}}) \approx (Q_{\mathcal{A}}q_{\mathcal{A}}(\omega), \ S^{\mathcal{A}}|Q_{\mathcal{A}}q_{\mathcal{A}}(\omega)).$$

In what follows, we shall always understand by the flow of weights on  $\mathcal{M}$  the smooth flow of weights on  $\mathcal{M}$ .

24.10. Notes. The material of this Section is due to Connes and Takesaki [61]. For type III factors arising from the group measure space construction, they computed ([61]) the smooth flow of weights using Mackey's procedure based on the theory of virtual groups ([160], [192]). For further results, and connections with the theory of measure groupoids, we refer to [54], [109], [110], [192], [205], [208]. The flow of weights has a natural interpretation for von Neumann algebras associated with foliations ([50], [51]). Recently, Connes and Woods [64] obtained a characterization of Araki—Woods factors in terms of the flow of weights. Previously, another characterization of Araki—Woods factors had been obtained by Stormer [224].

For our exposition we have used [61].

### § 25. The fundamental homomorphism

In this Section we introduce the fundamental homomorphism  $mod: Aut(\mathcal{M}) \rightarrow Aut(F^{\mathcal{M}})$ , prove its continuity and relate it to a classical invariant, called the fundamental group.

25.1. Let  $\mathcal{M}$  be a countably decomposable properly infinite  $W^*$ -algebra with (smooth) flow of weights  $(\mathcal{P}_{\mathcal{M}}, F^{\mathcal{M}})$ . Abstractly,  $\mathcal{P}_{\mathcal{M}}$  represents the equivalence classes of integrable normal semifinite weights of infinite multiplicity on  $\mathcal{M}$  and the action of  $F_{\mathcal{M}}^{\mathcal{M}}$  is multiplication by  $\lambda > 0$ , i.e.  $Proj(\mathcal{P}_{\mathcal{M}}) = W_{lm}^{\infty}(\mathcal{M}) | \approx$ ,  $F_{\mathcal{M}}^{\mathcal{M}} \varphi = \lambda \varphi$ . Concretely, one considers a dominant weight  $\omega$  on  $\mathcal{M}$  and the corresponding continuous decomposition  $(\mathcal{M}^{\omega}, \theta, \tau)$ , and then (24.1)  $\mathcal{P}_{\mathcal{M}} = \mathcal{Z}(\mathcal{M}^{\omega})$ ,  $F_{\mathcal{M}}^{\mathcal{M}} = \theta_{-\ln(\lambda)} | \mathcal{Z}(\mathcal{M}^{\omega})$   $(\lambda > 0)$ .

Consider the groups  $Aut(\mathcal{M})$  and  $Aut(F^{\mathcal{M}}) = \{ \mathfrak{S} \in Aut(\mathcal{P}_{\mathcal{M}}); \mathfrak{S} \circ F_X^{\mathcal{M}} = F_X^{\mathcal{M}} \circ \mathfrak{S} \}$ 

for all  $\lambda > 0$   $\subset Aut(\mathcal{P}_{\mathcal{A}})$  endowed with the u-topology (2.23).

Let  $\sigma \in Aut(\mathcal{M})$ . Then  $\omega \circ \sigma^{-1}$  is also a dominant weight on  $\mathcal{M}$ . By Proposition 24.5 there exists a \*-isomorphism

$$p_{\sigma} \colon \mathscr{Z}(\mathscr{M}^{\bullet \bullet \circ \sigma^{-1}}) \to \mathscr{Z}(\mathscr{M}^{\bullet}),$$

uniquely determined, such that

(2) 
$$p_{\sigma}(c_{\infty \circ \sigma^{-1}}(\varphi)) = c_{\infty}(\varphi) \qquad (\varphi \in W_{int}(\mathcal{M})).$$

On the other hand, we have  $\mathcal{M}^{\bullet \cdot \sigma^{-1}} = \sigma(\mathcal{M}^{\bullet})$ , and we obtain a \*-isomorphism  $\sigma \colon \mathcal{Z}(\mathcal{M}^{\bullet}) \to \mathcal{Z}(\mathcal{M}^{\bullet \cdot \sigma^{-1}})$ . We thus obtain a \*-automorphism

(3) 
$$mod(\sigma) = p_{\sigma} \circ \sigma \colon \mathscr{Z}(\mathscr{M}^{\omega}) \to \mathscr{Z}(\mathscr{M}^{\omega}),$$

uniquely determined, such that (see 24.2.(4))

(4) 
$$(mod(\sigma))(c_{\omega}(\varphi)) = c_{\omega}(\varphi \circ \sigma^{-1}) \qquad (\varphi \in W_{in}(\mathcal{M})).$$

Since for every  $\varphi \in W_{ln}(\mathcal{M})$  and  $\lambda > 0$  we have  $[mod(\sigma)](F_{\lambda}^{\mathcal{A}}(c_{\omega}(\varphi))) = [mod(\sigma)](c_{\omega}(\lambda\varphi)) = c_{\omega}(\lambda\varphi \circ \sigma^{-1}) = F_{\lambda}^{\mathcal{A}}(c_{\omega}(\varphi \circ \sigma^{-1})) = F_{\lambda}^{\mathcal{A}}([mod(\sigma)](c_{\omega}(\varphi)))$ , it follows that  $mod(\sigma) \in Aut(F^{\mathcal{A}})$ .

We thus obtain a group homomorphism  $mod: Aut(\mathcal{M}) \to Aut(F^{\mathcal{M}})$ , called the fundamental homomorphism associated with  $\mathcal{M}$ .

Note that for every  $\sigma \in Aut(\mathcal{M})$  the weight  $\omega \circ \sigma^{-1}$  is also dominant, hence  $\omega \circ \sigma^{-1} = \omega$ , and we have

(5) 
$$u \in U(\mathcal{M}), \ \omega \circ \sigma^{-1} = \omega \circ \mathrm{Ad}(u) \Rightarrow mod(\sigma) = (\mathrm{Ad}(u) \circ \sigma) | \mathcal{Z}(\mathcal{M}^{\infty}).$$

Indeed, using 23.2.(2) and 23.2.(4), for  $\varphi \in W_{int}(\mathcal{M})$  we obtain  $(\mathrm{Ad}(u) \circ \sigma) (c_{\omega}(\varphi)) = uc_{\omega \circ \sigma^{-1}}(\varphi \circ \sigma^{-1})u^* = c_{\omega}(\varphi \circ \sigma^{-1})$ . In particular,

(6) 
$$\omega \circ \sigma = \omega \Leftrightarrow mod(\sigma) = \sigma | \mathscr{Z}(\mathscr{M}^{\omega}),$$

(7) 
$$mod(\sigma) = \iota \Leftrightarrow \begin{bmatrix} there \ exists \ u \in U(\mathcal{M}) \ such \ that \\ \omega \circ \mathrm{Ad}(u) \circ \sigma = \omega, \ (\mathrm{Ad}(u) \circ \sigma) | \mathcal{Z}(\mathcal{M}^{\omega}) = \iota. \end{bmatrix}$$

Thus,  $mod(\sigma) = 1$  for all  $\sigma \in Int(\mathcal{M})$ . Since  $Int(\mathcal{M})$  is a normal subgroup of  $Aut(\mathcal{M})$ , it follows that the fundamental homomorphism can be factored to a homomorphism

$$mod: Out(\mathcal{M}) = Aut(\mathcal{M})/Int(\mathcal{M}) \rightarrow Aut(F^{\mathcal{M}}).$$

Note also that from (4), 24.2.(4) and 24.5.(1) we obtain

(8) 
$$p_{\varphi \circ \sigma} = mod(\sigma^{-1}) \circ p_{\varphi} \circ \sigma \qquad (\varphi \in W_{int}(\mathcal{M}), \ \sigma \in Aut(\mathcal{M})).$$
25.2 Theorem 7.

**25.2.** Theorem. For every properly infinite  $W^*$ -algebra  $\mathcal{M}$  with a separable predual, the fundamental homomorphism mod:  $Aut(\mathcal{M}) \to Aut(F^*)$  is u-continuous.

The proof will be given in Section 25.5, after some preparation. Since  $mod(\sigma) = i$  for every  $\sigma \in \overline{Int(\mathcal{M})}$ , we infer that

Corollary. If  $\mathcal{M}$  is a properly infinite  $W^*$ -algebra with separable predual, then  $mod(\sigma) = 1$  for every  $\sigma \in \overline{Int(\mathcal{M})} \subset Aut(\mathcal{M})$ .

25.3. Let  $\mathcal{M}$  be a  $W^*$ -algebra with separable predual. Then (2.23)  $U(\mathcal{M})$  is a polish group, that is, there exists a bounded complete metric d which defines the two sided uniform structure associated with the topology on  $U(\mathcal{M})$ .

Let  $\sigma: G \to Aut(\mathcal{M})$  be a continuous action of the separable locally compact group G on  $\mathcal{M}$ . There exists an increasing sequence  $\{K_n\}$  of compact subsets of G with  $G = \bigcup_n K_n$ . Then the set  $\mathcal{C}(G, U(\mathcal{M}))$  of all continuous functions  $G \to U(\mathcal{M})$ , endowed with the topology of uniform convergence on compact subsets, is also a polish topological space, since the equation

$$\delta(u, v) = \sum_{n} 2^{-n} \sup_{g \in K_n} d(u(g), v(g)) \qquad (u, v \in \mathscr{C}(G, U(\mathscr{M}))$$

defines a complete metric  $\delta$  on  $\mathscr{C}(G, U(\mathscr{M}))$  compatible with the topology.

Since the set of all unitary  $\sigma$ -cocycles  $Z_{\sigma}(G; U(\mathcal{M}))$  is closed in  $\mathscr{C}(G, U(\mathcal{M}))$  it follows that  $Z_{\sigma}(G; U(\mathcal{M}))$  endowed with the topology of uniform convergence on compact subsets is a polish topological space.

We show that the mapping  $\partial = \partial_{\sigma} \colon U(\mathcal{M}) \to Z_{\sigma}(G; U(\mathcal{M}))$  defined by  $(\partial u)(g) = u^*\sigma_g(u)$   $(u \in U(\mathcal{M}), g \in G)$  is continuous. Indeed, let  $u_n \to u$  in  $U(\mathcal{M})$ , let  $K \subset G$  be a compact set and  $\mathcal{L} \subset \mathcal{M}_*$  a  $\sigma(\mathcal{M}_*, \mathcal{M})$ -compact set. Then (13.5) the set  $K\mathcal{L} = \{\varphi \circ \sigma_g; \varphi \in \mathcal{L}, g \in G\} \subset \mathcal{M}_*$  is  $\sigma(\mathcal{M}_*, \mathcal{M})$ -compact. Since  $u_n \to u$  with respect to the Mackey topology  $\tau_w$ , we have  $\varphi(\sigma_g(u_n)) \to \varphi(\sigma_g(u))$  uniformly with respect to  $g \in K$  and  $\varphi \in \mathcal{L}$ . Since  $\mathcal{L}$  was arbitrary, it follows that  $\sigma_g(u_n) \to \sigma_g(u)$  in  $U(\mathcal{M})$ , uniformly for  $g \in K$ , hence  $(\partial u_n)(g) = u_n^*\sigma_g(u_n) \to u^*\sigma_g(u) = (\partial u)(g)$  uniformly for  $g \in K$ . Consequently,  $\partial u_n \to \partial u$  in  $Z_{\sigma}(G; U(\mathcal{M}))$ .

Since for  $u, v \in U(\mathcal{M})$  we have  $\partial u = \partial v \Leftrightarrow uv^* \in U(\mathcal{M}^{\sigma})$  and since  $U(\mathcal{M}^{\sigma})$  is a closed subgroup of  $U(\mathcal{M})$ , it follows that the homogeneous space  $U(\mathcal{M})/U(\mathcal{M}^{\sigma})$  with the quotient topology is a polish space and that  $\partial$  can be factored to an injective mapping  $\partial_{\sigma} : U(\mathcal{M})/U(\mathcal{M}^{\sigma}) \to Z_{\sigma}(G; U(\mathcal{M}))$ . According to classical results in the theory of Borel spaces, it follows that  $\partial_{\sigma}(U(\mathcal{M}))$  is a Borel set in  $Z_{\sigma}(G; U(\mathcal{M}))$  and  $\partial_{\sigma} : U(\mathcal{M})/U(\mathcal{M}^{\sigma}) \to \partial_{\sigma}(U(\mathcal{M}))$  is a Borel isomorphism.

**25.4.** Let  $\mathcal{M}$  be a  $W^*$ -algebra with separable predual and  $\omega$  a fixed n.s.f. weight on  $\mathcal{M}$ . By Theorem 5.1, the mapping

(1) 
$$D_{\omega} \colon W_{nsf}(\mathcal{M}) \ni \varphi \mapsto [D\varphi \colon D\omega] \in Z_{\infty}(\mathbb{R}; U(\mathcal{M}))$$

is a bijection. We shall consider on  $W_{nsf}(\mathcal{M})$  the unique topology such that  $D_{\omega}$  be a homeomorphism.

The topology introduced on  $W_{asf}(\mathcal{M})$  does not depend on the fixed n.s.f. weight  $\omega$  on  $\mathcal{M}$ , as can be easily seen using Corollary 3.5.

We show that the mapping

(2) 
$$Aut(\mathcal{M}) \ni \sigma \mapsto \omega \circ \sigma^{-1} \in W_{nsf}(\mathcal{M})$$

is continuous. Indeed, let f be a faithful normal state on  $\mathcal{M}$  and  $\sigma_n \to \sigma$  in  $Aut(\mathcal{M})$ . Since  $||f \circ \sigma_n^{-1} - f \circ \sigma^{-1}|| \to 0$ , by Proposition 7.18 it follows that  $[D(f \circ \sigma_n^{-1}): D(f \circ \sigma^{-1})]_t \to 1$  uniformly on compact subsets. Also,  $\sigma_n([D\omega: Df]_t) \to \sigma([D\omega: Df]_t)$  uniformly on compact subsets (see the last remark in Section 2.23). Therefore,  $[D(\omega \circ \sigma_n^{-1}): D(\omega \circ \sigma^{-1})]_t = \sigma_n([D\omega: Df]_t)[D(f \circ \sigma_n^{-1}): D(f \circ \sigma^{-1})]_t \sigma([Df: D\omega]_t)$  converges to 1 uniformly on compact subsets, i.e.  $\omega \circ \sigma_n^{-1} \to \omega \circ \sigma^{-1}$  in  $W_{nsf}(\mathcal{M})$ .

Clearly,  $W_{nef}(\mathcal{M})$  is a polish space. Consider now

(3) 
$$W(\omega) = \{ \varphi \in W_{asf}(\mathcal{M}); \ \varphi \approx \omega \}.$$

We show that  $W(\omega)$  is a Borel set in  $W_{nsf}(\mathcal{M})$  and that there exists a Borel mapping

(4) 
$$u: W(\omega) \ni \varphi \mapsto u(\varphi) \in U(\mathcal{M})$$

such that

(5) 
$$\varphi = \omega \circ \mathrm{Ad}(u(\varphi)) \qquad (\varphi \in W(\omega)).$$

Indeed, if we identify  $W_{nsf}(\mathcal{M})$  with  $Z_{\sigma\omega}(\mathbb{R}; U(\mathcal{M}))$  by the mapping  $D_{\omega}$ , then the range of the mapping  $\partial$  considered in Section [25.3 is just the set  $W(\omega)$  which is, therefore, a Borel set. Moreover, from Section 25.3 it follows that the mapping  $\underline{\partial}: U(\mathcal{M})/U(\mathcal{M}^{\omega}) \to W(\omega)$  is a Borel isomorphism, so that the existence of the mapping u is a consequence of the classical result concerning the existence of a Borel section for the canonical mapping  $U(\mathcal{M}) \to U(\mathcal{M})/U(\mathcal{M}^{\omega})$ .

25.5. Proof of Theorem 25.2. Let  $\omega$  be a dominant weight on  $\mathcal{M}$ . The mapping

(1) 
$$m: Aut(\mathcal{M}) \ni \sigma \mapsto Ad(u(\omega \circ \sigma^{-1})) \circ \sigma \in Aut(\mathcal{M})$$

is a Borel mapping since the mapping  $Aut(\mathcal{M}) \ni \sigma \mapsto \omega \circ \sigma^{-1} \in W(\omega)$  is continuous (25.4.(2)), the mapping  $W(\omega) \ni \varphi \mapsto u(\varphi) \in U(\mathcal{M})$  is a Borel mapping (25.4.(4)) and the mapping  $U(\mathcal{M}) \ni u \mapsto \mathrm{Ad}(u) \in Aut(\mathcal{M})$  is continuous (2.23). Also, by 25.1.(5) we have

(2) 
$$mod(\sigma) = m(\sigma)|\mathcal{Z}(\mathcal{M}^{\omega}) \quad (\sigma \in Aut(\mathcal{M})).$$

It follows that mod is a Borel homomorphism between the polish groups  $Aut(\mathcal{M})$  and  $Aut(F^{\mathcal{M}})$ , and so is continuous.

25.6. Let  $\mathscr{M}$  be an infinite semifinite factor with separable predual. We recall (24.8) that  $(\mathscr{P}_{\mathscr{M}}, F^{\mathscr{M}}) \approx (\mathscr{L}^{\infty}(\mathbb{R}^+_{\bullet}), \operatorname{Ad}(\lambda))$ . It is then easy to check that the mapping  $\mathbb{R}^+_{\bullet} \ni \lambda \mapsto F_{\lambda}^{\mathscr{M}} \in \operatorname{Aut}(F^{\mathscr{M}})$  is a surjective group isomorphism.

Let  $\tau$  be an n.s.f. trace on  $\mathcal{M}$ ,  $\sigma \in Aut(\mathcal{M})$  and  $\lambda \in \mathbb{R}^+$ . Then

(1) 
$$mod(\sigma) = F_{\lambda}^{\mathcal{A}} \Leftrightarrow \tau \circ \sigma^{-1} = \lambda \tau.$$

Indeed, let  $\tau \circ \sigma^{-1} = \lambda \tau$  and  $mod(\sigma) = F_{\mu}^{\mathcal{M}}$  for some  $\lambda$ ,  $\mu \in \mathbb{R}_{+}^{+}$ . Then we have  $\varphi \circ \sigma^{-1} = \mu \varphi$  for every  $\varphi \in W_{int}^{\infty}(\mathcal{M})$ . Let  $\varepsilon > 0$ . By Section 23.18, there exists  $a \in \mathcal{M}^{+}$  with  $1 - \varepsilon \leqslant a \leqslant 1 + \varepsilon$  such that  $\varphi = \tau_{a} \in W_{int}^{\infty}(\mathcal{M})$ . Then, there exists  $u \in U(\mathcal{M})$  such that  $\mu \varphi = \varphi \circ \sigma^{-1} \circ \mathrm{Ad}(u)$ . Thus, for  $x \in \mathcal{M}^{+}$  we obtain  $\mu \tau_{a}(x) = \mu \varphi(x) = \varphi(\sigma^{-1}(uxu^{*})) = (\tau \circ \sigma^{-1})(\sigma(a)^{1/2}uxu^{*}\sigma(a)^{1/2}) = \lambda \tau(uu^{*}\sigma(a)^{1/2}uxu^{*}\sigma(a)^{1/2}uu^{*}) = \lambda \tau_{ua^{*}(a)u}(x)$ , hence  $\mu a = \lambda u^{*}\sigma(a)u$ . It follows that  $(1 - \varepsilon)\lambda \leqslant (1 + \varepsilon)\mu$  and  $(1 - \varepsilon)\mu \leqslant (1 + \varepsilon)\lambda$ . Since  $\varepsilon > 0$  was arbitrary, we conclude that  $\mu = \lambda$ .

Clearly, the above result is interesting only for type  $II_{\infty}$  factors since if  $\mathcal{M}$  is a type  $I_{\infty}$  factor,  $Aut(\mathcal{M}) = Int(\mathcal{M})$ , and  $mod(\sigma) = \iota$ ,  $\tau \circ \sigma = \tau$  for all  $\sigma \in Aut(\mathcal{M})$ . 25.7. Consider now a type  $II_{\iota}$  factor  $\mathcal{N}$  and let  $\nu$  be the unique faithful finite normal

trace on  $\mathcal{N}$  with v(1) = 1. Consider also the type  $II_{\infty}$  factor  $\mathcal{M} = \mathcal{N} \otimes \mathcal{F}_{\infty}$  and the

n.s.f. trace  $\tau = v \otimes tr$  on  $\mathcal{M}$ , where tr is the canonical trace on the countably decomposable type  $I_{\infty}$  factor  $\mathcal{F}_{\infty}$ .

Let  $0 < \lambda \le 1$  be fixed. If e and  $e_1$  are projections in  $\mathcal{N}$  with  $v(e) = v(e_1) = \lambda$ , then  $e \sim e_1$  in  $\mathcal{N}$  and so the reduced algebras  $e\mathcal{N}e$  and  $e_1\mathcal{N}e_1$  are \*-isomorphic. Thus, the isomorphism class of  $e\mathcal{N}e$  does not depend on the projection  $e \in \mathcal{N}$  with  $v(e) = \lambda$  and will be denoted by  $\mathcal{N}^{\lambda}$ . We show that

(1) 
$$\mathcal{N}^{\lambda} \approx \mathcal{N} \Leftrightarrow \text{there exists } \sigma \in Aut(\mathcal{M}) \text{ with } \tau \circ \sigma = \lambda \sigma.$$

Indeed, let  $e \in \mathcal{N}$  be a projection with  $v(e) = \lambda$  and let  $\pi: \mathcal{N} \to e\mathcal{N}e \subset \mathcal{N}$  be a \*-isomorphism. Since  $e \otimes 1_{\mathcal{F}}$  and  $1_{\mathcal{N}} \otimes 1_{\mathcal{F}} = 1_{\mathcal{M}}$  are properly infinite projections in the countably decomposable factor  $\mathcal{M}$ , we have  $e \otimes 1_{\mathcal{F}} \sim 1_{\mathcal{M}}$ , hence there exists  $w \in \mathcal{M}$  such that  $w^*w = e \otimes 1_{\mathcal{F}}$  and  $ww^* = 1_{\mathcal{M}}$ . Then the mapping  $x \mapsto wxw^*$  defines a \*-isomorphism  $\rho: e\mathcal{N}e \otimes \mathcal{F}_{\infty} \to \mathcal{N} \otimes \mathcal{F}_{\infty}$ . We thus obtain a \*-automorphism  $\sigma = \rho \circ (\pi \otimes i) \in Aut(\mathcal{M})$ . If  $f \in \mathcal{F}_{\infty}$  is any minimal projection, then  $\tau(1_{\mathcal{N}} \otimes f) = 1$  and  $(\tau \circ \sigma)(1_{\mathcal{N}} \otimes f) = \tau(w(e \otimes f)w^*) = \tau(e \otimes f) = v(e) = \lambda$ , hence  $\tau \circ \sigma = \lambda \tau$ .

Conversely, let  $\sigma \in Aut(\mathcal{M})$  with  $\tau \circ \sigma = \lambda \tau$ , let  $e \in \mathcal{N}$  be a projection with  $v(e) = \lambda$  and let  $f \in \mathcal{F}_{\infty}$  be any minimal projection. Then  $\tau(\sigma(1_{\mathscr{K}} \boxtimes f)) = \lambda \tau(1_{\mathscr{K}} \boxtimes f) = \tau(e \boxtimes f)$ , hence  $e \boxtimes f \sim \sigma(1_{\mathscr{K}} \boxtimes f)$  in  $\mathscr{M}$ . It follows that  $e\mathcal{N}e \approx (e \boxtimes f)(\mathcal{N} \boxtimes \mathcal{F}_{\infty})(e \boxtimes f) \approx \tau(1_{\mathscr{K}} \boxtimes f)(\mathcal{N} \boxtimes \mathcal{F}_{\infty})\sigma(1_{\mathscr{K}} \boxtimes f) \approx \pi(1_{\mathscr{K}} \boxtimes f)(\mathcal{N} \boxtimes \mathcal{F}_{\infty})\sigma(1_{\mathscr{K}} \boxtimes f) \approx \pi(1_{\mathscr{K}} \boxtimes f)(\mathcal{N} \boxtimes \mathcal{F}_{\infty})(1_{\mathscr{K}} \boxtimes f) \approx \mathcal{N}$ , and so  $\mathcal{N}^{\lambda} \approx \mathcal{N}$ .

For  $\lambda > 1$  we shall write  $\mathcal{N}^{\lambda} \approx \mathcal{N}$  if  $\mathcal{N}^{1/\lambda} \approx \mathcal{N}$ . The assertion (1) extends obviously to every  $\lambda > 0$ . It follows that the set

$$G(\mathcal{N}) = \{\lambda > 0; (\mathcal{N}^{\lambda} \approx \mathcal{N})\}$$

is a subgroup of  $\mathbb{R}_{+}^{+}$ , called the fundamental group of the type II<sub>1</sub> factor  $\mathscr{N}$ . For the type II<sub> $\infty$ </sub> factor  $\mathscr{M}$  we shall define the fundamental group  $G(\mathscr{M})$  by

$$G(\mathcal{M}) = \{\lambda > 0; \text{ there exists } \sigma \in Aut(\mathcal{M}) \text{ with } \tau \circ \sigma = \lambda \tau\}$$

where  $\tau$  is any n.s.f. trace on  $\mathcal{M}$ .

From the considerations of this Section and Section 25.6 it follows that the fundamental homomorphism, more precisely its range, is an extension of the fundamental group to properly infinite factors. For type III factors, the fundamental homomorphism will be studied in more detail later (see 30.9, 30.10).

25.8. Notes. The material of this Section is due to Connes and Takesaki [61]. The fundamental group of a type II<sub>1</sub> factor is a classical invariant introduced by Murray and von Neumann [164, IV].

For our exposition we have used [61] and [164, IV].

# §26. The extension of the modular automorphism group

In this Section we extend the modular automorphism groups  $\{\sigma_r^{\varphi}\}_{r\in\mathbb{R}}$  to families  $\{\sigma_c^{\varphi}\}_c$  indexed by unitary  $F^{\mathscr{M}}$ -cocycles; similar extensions are given for Connes cocycles.

**26.1.** Let  $\mathcal{M}$  be a countably decomposable properly infinite  $W^*$ -algebra and  $(\mathcal{P}_{\mathcal{M}}, F^{\mathcal{M}})$  the flow of weights on  $\mathcal{M}$ .

Consider the set  $Z(F^{\mathcal{M}}) = Z_{F^{\mathcal{M}}}(\mathbb{R}_{+}^{+}; U(\mathcal{P}_{\mathcal{M}}))$  of all unitary  $F^{\mathcal{M}}$ -cocycles, the mapping  $\partial: U(\mathcal{P}_{\mathcal{M}}) \to Z(F^{\mathcal{M}})$  defined by  $(\partial v)(\lambda) = v^*F_{\lambda}^{\mathcal{M}}(v)$   $(v \in U(\mathcal{P}_{\mathcal{M}}), \lambda \in \mathbb{R}_{+}^{+})$ , and put  $B(F^{\mathcal{M}}) = \partial(U(\mathcal{P}_{\mathcal{M}}))$ . It is easy to check that, with respect to pointwise multiplications,  $Z(F^{\mathcal{M}})$  is an abelian group and  $B(F^{\mathcal{M}})$  is a subgroup of  $Z(F^{\mathcal{M}})$ , so that we can consider the quotient group  $H(F^{\mathcal{M}}) = Z(F^{\mathcal{M}})/B(F^{\mathcal{M}})$ .

We define an injective group homomorphism  $\mathbb{R}\ni t\mapsto \overline{t}\in Z(F^{-k})$  by  $\overline{t}(\lambda)=\lambda^{-it}$ ,  $(t\in\mathbb{R},\ \lambda\in\mathbb{R}^+_{\pm 1})$ .

Let  $\omega$  be a dominant weight on  $\mathcal{M}$  and  $(\mathcal{N}, \theta, \tau)$  a continuous decomposition of  $\mathcal{M}$  with  $\mathcal{N} = \mathcal{M}^{\omega}$  and  $(\mathcal{M}, \sigma^{\omega}, \omega) \approx (\mathcal{R}(\mathcal{N}, \theta), \hat{\theta}, \hat{\tau})$ . The \*-isomorphism  $\mathcal{M} \approx \mathcal{R}(\mathcal{M}^{\omega}, \theta)$  shows that there exists an s-continuous unitary representation  $u: \mathbb{R} \to U(\mathcal{M})$  such that  $\mathcal{M} = \mathcal{R}\{\mathcal{M}^{\omega}, u(\mathbb{R})\}$ ,  $\theta_s = \operatorname{Ad}(u(s))|\mathcal{M}^{\omega}$  and  $\omega \circ \operatorname{Ad}(u(s)) = e^{-s}\omega$ , i.e.  $\sigma_t^{\omega}(u(s)) = e^{-ist}u(s)$  (s,  $t \in \mathbb{R}$ ) (see 23.7).

We shall identify  $\mathbb{R}$  with  $\mathbb{R}_{+}^{+}$  via the mapping  $s\mapsto e^{-s}$ . Then the flow of weights is the pair  $(\mathcal{Z}(\mathcal{M}^{\omega}), \ \theta | \mathcal{Z}(\mathcal{M}^{\omega}))$ ,  $Z(F^{\mathcal{M}})$  consists of all continuous functions  $c \colon \mathbb{R} \to U(\mathcal{Z}(\mathcal{M}^{\omega}))$  with the property  $c(s+t) = c(s)\theta_{s}(c(t))$   $(s, t \in \mathbb{R})$ , for  $v \in U(\mathcal{Z}(\mathcal{M}^{\omega}))$  we have  $(\partial v)(s) = v^*\theta_{s}(v)$   $(s \in \mathbb{R})$ , and for  $t \in \mathbb{R}$  we have  $\overline{t}(s) = e^{-ist}$   $(s \in \mathbb{R})$ .

**26.2.** Assume that the  $W^*$ -algebra  $\mathcal{M}$  is realized as a von Neumann algebra  $\mathcal{M} \subset \mathcal{B}(\mathcal{H})$ . Note that  $\mathcal{M} \approx \mathcal{B}(\mathcal{M}^{\circ}, \theta) \subset \mathcal{B}(\mathcal{L}^2(\mathbb{R}, \mathcal{H}))$  is also a realization of  $\mathcal{M}$  as a von Neumann algebra.

Let  $c \in Z(F^{-n})$ . We define a unitary operator  $U_c \in \mathscr{Z}(\mathscr{M}^{\omega}) \ \overline{\otimes} \ \mathscr{L}^{\infty}(\mathbb{R}) \subset \mathscr{B}(\mathscr{L}^2(\mathbb{R}, \mathscr{H}))$  by

$$(U_c\,\xi)\,(s)=\theta_s^{-1}(c(s))\xi(s)=c(-\,s)^{-1}\xi(s)\ (\xi\in\mathscr{L}^2(\mathbb{R}\,,\mathscr{H}),\ s\in\mathbb{R}).$$

For  $a \in \mathcal{M}^{\omega}$  we have  $\pi_{\theta}(a) \in \mathcal{M}^{\omega} \boxtimes \mathcal{L}^{\infty}(\mathbb{R})$ , hence  $U_{c}\pi_{\theta}(a)U_{c}^{*} = \pi_{\theta}(a)$ . For  $u(s) \equiv 1 \boxtimes \lambda(s)$   $(s \in \mathbb{R})$ , we have  $(U_{c}(1 \boxtimes \lambda(s)) U_{c}^{*}\xi)(r) = c(-r)^{-1}((1 \boxtimes \lambda(s)) U_{c}^{*}\xi)(r) = c(-r)^{-1}(U_{c}^{*}\xi)(r-s) = c(-r)^{-1}c(s-r) \xi(r-s) = c(-r)^{-1}c(s) \xi(r-s) = \theta_{r}^{-1}(c(s)) ((1 \boxtimes \lambda(s)) \xi)(r) = (\pi_{\theta}(c(s)) (1 \boxtimes \lambda(s)) \xi)(r) (\xi \in \mathcal{L}^{2}(\mathbb{R}, \mathcal{H}), r \in \mathbb{R})$ . It follows that  $U_{c}$  determines a unique \*-automorphism

(1) 
$$\sigma_c^{\omega} = \operatorname{Ad}(U_c) \mid \mathscr{M} \in \operatorname{Aut}(\mathscr{M})$$

unique with the properties

(2) 
$$\sigma_c^{\omega}(a) = a \qquad (a \in \mathcal{M}^{\omega})$$

(3) 
$$\sigma_c^{\omega}(u(s)) = c(s)u(s) \qquad (s \in \mathbb{R}).$$

If  $c_1, c_2 \in Z(F^{\mathcal{M}})$ , then the unitary operators  $U_{c_1}, U_{c_2} \in \mathcal{Z}(\mathcal{M}^{\omega}) \otimes \mathcal{L}^{\infty}(\mathbb{R})$  commute and  $U_{c_1}U_{c_2} = U_{c_1c_2}$ , so that

(4) 
$$\sigma_{c_1c_1}^{\omega} = \sigma_{c_1}^{\omega}\sigma_{c_1}^{\omega}.$$

Hence the mapping  $Z(F^{\mathcal{M}}) \ni c \mapsto \sigma_c^m \in Aut(\mathcal{M})$  is a group homomorphism.

For  $t \in \mathbb{R}$ , the \*-automorphism  $\sigma_i^{\omega} \in Aut(\mathcal{M})$  is uniquely determined by  $\sigma_i^{\omega}(a) = a \ (a \in \mathcal{M}^{\omega})$  and  $\sigma_i^{\omega}(u(s)) = e^{-i\pi}u(s) \ (s \in \mathbb{R})$ , hence

(5) 
$$\sigma_{\tilde{t}}^{\infty} = \sigma_{t}^{\infty} \quad (t \in \mathbb{R}).$$

Since  $\omega$  is the dual weight corresponding to the trace  $\tau$ , we have  $\omega = \tau \cdot P_{\omega}$  where  $P_{\omega} : \mathcal{M}^+ \to (\overline{\mathcal{M}^{\omega}})^+$  is the n.s.f. operator valued weight defined by  $P_{\omega}(x) = \int \sigma_t^{\omega}(x) \, dt \ (x \in \mathcal{M}^+)$ . Since  $\sigma_c^{\omega}$  commutes with  $\sigma_t^{\omega}$   $(t \in \mathbb{R})$ , and  $\sigma_c^{\omega} | \mathcal{M}^{\omega} = t$ , it follows that  $P_{\omega} \cdot \sigma_c^{\omega} = \sigma_c^{\omega} \cdot P_{\omega} = P_{\omega}$  and hence

(6) 
$$\omega \cdot \sigma_c^{\omega} = \omega \qquad (c \in Z(F^{\mathcal{M}})).$$

If  $x \in \mathcal{M}(\sigma^{\omega}, \{s\})$ , i.e.  $x \in \mathcal{M}$  and  $\sigma_t^{\omega}(x) = e^{-ixt}x$   $(t \in \mathbb{R})$ , then  $u(s)^*x \in \mathcal{M}^{\omega}$ , so that  $u(s)^*x = \sigma_c^{\omega}(u(s)^*x) = u(s)^*c(s)^*x$ . Therefore,

(7) 
$$x \in \mathcal{M}(\sigma^{\omega}, \{s\}) \Rightarrow \sigma_{c}^{\omega}(x) = c(s)x \text{ for all } c \in Z(F^{\mathcal{M}}).$$

This condition also determines uniquely the \*-automorphism  $\sigma_c^{\infty}$ .

Let  $\alpha \in Aut(\mathcal{M})$  be such that  $\omega \cdot \alpha = \omega$  and let  $c \in Z(F^{\mathcal{M}})$ . Then  $\alpha(\mathcal{Z}(\mathcal{M}^{\omega})) = \mathcal{Z}(\mathcal{M}^{\omega})$  and the function  $\alpha(c) : \mathbb{R} \ni s \mapsto \alpha(c(s)) \in \mathcal{Z}(\mathcal{M}^{\omega})$  defines a unique element  $\alpha(c) \in Z(F^{\mathcal{M}})$ . If  $x \in \mathcal{M}(\sigma^{\omega}, \{s\})$ , then  $\alpha(x) \in \mathcal{M}(\sigma^{\omega}, \{s\})$  and so  $\sigma^{\omega}_{a(c)}(\alpha(x)) = \alpha(c(s))\alpha(x) = \alpha(c(s)x) = \alpha(\sigma^{\omega}_{c}(x))$ . Consequently,

(8) 
$$\alpha \in Aut(\mathcal{M}), \ \omega \cdot \alpha = \omega \Rightarrow \alpha \cdot \sigma_c^{\omega} = \sigma_{\alpha(c)}^{\omega} \cdot \alpha \ \text{for all } c \in Z(F^{\mathcal{M}}).$$

We show that for  $c \in Z(F^{\mathcal{A}})$  we have

(9) 
$$\sigma_c^m \in Int(\mathcal{M}) \Leftrightarrow c \in B(F^{\mathcal{M}}).$$

Indeed, if  $c \in B(F^{\mathcal{M}})$ , then there exists a unitary element  $v \in \mathcal{Z}(\mathcal{M}^{\omega})$  such that  $c(s) = v^*\theta_s(v)$   $(s \in \mathbb{R})$ . We have  $[\mathrm{Ad}(v^*)](a) = a$  for  $a \in \mathcal{M}^{\omega}$  and  $[\mathrm{Ad}(v^*)](u(s)) = v^*u(s)vu(s)^*u(s) = v^*\theta_s(v)u(s) = c(s)u(s)$  for  $s \in \mathbb{R}$ , hence  $\sigma_c^{\omega} = \mathrm{Ad}(v^*) \in Int(\mathcal{M})$ . Conversely, let  $\sigma_c^{\omega} = \mathrm{Ad}(v^*)$  for some  $v \in U(\mathcal{M})$ . Using (2) we infer that  $v \in (\mathcal{M}^{\omega})' \cap \mathcal{M}$ ; hence  $v \in \mathcal{Z}(\mathcal{M}^{\omega})$  by the relative commutant theorem (23.19) and from (3) it follows that  $c = \partial v \in B(F^{\mathcal{M}})$ .

Thus, the mapping  $c\mapsto \sigma_c^{\omega}$  can be factored to a group homomorphism

(10) 
$$\delta_{\omega} \colon H(F^{\mathcal{M}}) \to Out(\mathcal{M}),$$

where  $\delta_{\omega}(c/B(F^{\mathcal{M}})) = \sigma_{c}^{\omega}/Int(\mathcal{M})$   $(c \in Z(F^{\mathcal{M}}))$ . We shall see later that the mapping  $\delta_{\omega}$  does not depend on the choice of the dominant weight  $\omega$  on  $\mathcal{M}$ .

Finally, we notice the following important result

(11) 
$$\{\sigma_c^{\omega}; \ c \in Z(F^{\mathcal{M}})\} = \{\sigma \in Aut(\mathcal{M}); \ \sigma | \mathcal{M}^{\omega} = i\}.$$

Indeed, the inclusion " $\subset$ " follows from (2). Conversely, let  $\sigma \in Aut(\mathcal{M})$  be such that  $\sigma | \mathcal{M}^{\omega} = 1$ , and define  $c(s) = \sigma(u(s)) u(s)^* \in U(\mathcal{M})$   $(s \in \mathbb{R})$ . For  $x \in \mathcal{M}^{\omega}$  and  $s \in \mathbb{R}$  we have  $c(s)xc(s)^* = \sigma(u(s))u(s)^*xu(s)\sigma(u(s)^*) = \sigma(u(s))\theta_s^{-1}(x)\sigma(u(s)^*) = \sigma(u(s)\theta_s^{-1}(x)u(s)^*) = \sigma(\theta_s(\theta_s^{-1}(x))) = \sigma(x) = x$ , hence  $c(s) \in (\mathcal{M}^{\omega})' \cap \mathcal{M} = \mathcal{L}(\mathcal{M}^{\omega})$  by the relative commutant theorem (23.19). For  $s, t \in \mathbb{R}$  we have  $c(s+t) = \sigma(u(s+t))u(s+t)^* = \sigma(u(s))\sigma(u(t))u(t)^*u(s)^* = \sigma(u(s))u(s)^*u(s)\sigma(u(t))u(t)^*u(s)^* = \sigma(u(s))u(s)^*\theta_s(\sigma(u(t))u(t)^*) = c(s)\theta_s(c(t))$ , hence  $c \in \mathcal{L}(F^{\mathcal{M}})$ . Since  $\sigma(u(s)) = c(s)u(s)^*$   $(s \in \mathbb{R})$ , and  $\sigma(a) = a$   $(a \in \mathcal{M}^{\omega})$ , it follows that  $\sigma = \sigma_c^{\omega}$ .

26.3. We now extend the previous construction to integrable n.s.f. weights on  $\mathcal{M}$ . In formulating the uniqueness condition we shall use the notation  $c_{\omega}(\varphi)$  and  $p_{\varphi}$  of Sections 24.2, 24.6.

**Theorem.** Let  $\mathcal{M}$  be a properly infinite  $W^*$ -algebra with separable predual and  $\omega$  a dominant weight on  $\mathcal{M}$ .

Let  $\varphi$  be an integrable n.s.f. weight on  $\mathcal{M}$ . For every  $c \in Z(F^{\mathcal{M}})$  there exists a unique \*-automorphism  $\sigma_c^{\varphi} \in Aut(\mathcal{M})$  such that

The mapping  $Z(F^{\mathcal{M}}) \ni c \mapsto \sigma_c^{\varphi} \in Aut(\mathcal{M})$  is a group homomorphism and

$$\varphi \circ \sigma_c^{\varphi} = \varphi$$

$$\sigma_i^{\varphi} = \sigma_i^{\varphi}.$$

Let  $\varphi$ ,  $\psi$  be integrable n.s.f. weights on  $\mathcal{M}$  and  $\theta(\varphi, \psi)$  the corresponding balanced weight on  $Mat_2(\mathcal{M})$ . For every  $c \in Z(F_{-}^{\mathcal{M}})$  there exists a unique unitary element  $[D\psi: D\varphi]_c \in \mathcal{M}$  such that

(4) 
$$\sigma_c^{0(\varphi, \psi)} \left( \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix} \right) = \begin{pmatrix} 0 & 0 \\ |D\psi: D\varphi|_{L} & 0 \end{pmatrix}$$

and we have

(5) 
$$\sigma_c^{\psi} = \operatorname{Ad}([D\psi : D\varphi]_c) \circ \sigma_c^{\psi}$$

(6) 
$$[D\psi: D\varphi]_{c_1c_2} = [D\psi: D\varphi]_{c_1} \sigma_{c_1}^{\Psi}([D\psi: D\varphi]_{c_2})$$

$$[D\psi:D\varphi]_{\overline{r}}=[D\psi:D\varphi]_{t}.$$

Proof. Since  $\varphi$  is integrable, we have  $\varphi \lesssim \omega$  and, as  $\varphi$  is faithful, there exists  $v \in \mathcal{M}$  such that  $v^*v = s(\varphi) = 1$ ,  $e = vv^* \in \mathcal{M}^{\omega}$  and  $\varphi = \omega_v$ . The mapping  $\Phi_v : \mathcal{M} \ni \exists x \to vxv^* \in e\mathcal{M}e$  is a \*-isomorphism such that  $\omega_v \circ \Phi_v = \varphi$ . It follows that  $(\sigma_l^{\omega} \mid e\mathcal{M}e) \circ \Phi_v = \Phi_v \circ \sigma_l^{\omega}$  ( $l \in \mathbb{R}$ ), so that  $\Phi_v(\mathcal{M}^{\varphi}) = e\mathcal{M}^{\omega}e$  and  $\Phi_v(\mathcal{Z}(\mathcal{M}^{\varphi})) = \mathcal{Z}(\mathcal{M}^{\omega})e$ . Also, we have  $c_{\omega}(\varphi) = \mathbf{z}_{\mathcal{M}^{\omega}}(e) = c_{\omega}(\omega_e)$  (see 24.2) and  $\Phi_v \circ p_{\varphi}^{-1} = p_{\omega}^{-1} : \mathcal{Z}(\mathcal{M}^{\omega})c_{\omega}(\varphi) \to \mathcal{Z}(\mathcal{M}^{\omega})e$  is just the mapping  $z \to ze$ .

We define  $\sigma_c^{\varphi} = \Phi_v^{-1} \circ (\sigma_c^{\varpi} | e\mathcal{M}e) \circ \Phi_v$ , i.e.  $\sigma_c^{\varphi}(x) = v^{\varphi} \sigma_c^{\varpi}(vxv^{\varphi})v$   $(x \in \mathcal{M})$ .

If  $x \in \mathcal{M}(\sigma^{\varphi}, \{s\})$ , then  $vxv^* = \Phi_c(x) \in \mathcal{M}(\sigma^{\omega}, \{s\})$ e and  $\sigma_c^{\varphi}(x) = v^*\sigma_c^{\omega}(vxv^*)v = v^*c(s)vxv^*v = v^*c(s)c_{\omega}(\varphi)evx = [\Phi_v^{-1}(\Phi_v \circ p_v^{-1})(c(s)c_{\omega}(\varphi))] x = p_v^{-1}(c(s)c_{\omega}(\varphi))x$ , thus proving (1). Since  $\varphi$  is integrable, it follows by Corollary 21.3 that  $\mathcal{M}$  is generated by the union  $\bigcup \mathcal{M}(\sigma^{\varphi}; \{s\})$ , hence condition (1) completely determines the \*-automorphism  $\sigma_c^{\varphi}$ .

The fact that the mapping  $c \to \sigma_c^{\bullet}$  is a group homomorphism, and equations (2) and (3), now follow easily from the previous considerations and from the similar

properties of  $\omega$ , already proved in Section 26.2.

If the weights  $\varphi$  and  $\psi$  are integrable, then, as easily verified, also the weight  $\theta(\varphi, \psi)$  on  $\overline{\mathscr{M}} = \mathscr{M} \otimes \mathscr{F}_2$  is also integrable. Furthermore,  $\overline{\omega} = \omega \otimes tr$  is a dominant weight on  $\overline{\mathscr{M}}$ ,  $\overline{\mathscr{M}} = \mathscr{M} \otimes \mathscr{F}_2$  and  $(\mathscr{M} \circ \mathscr{F}_2, \theta \otimes t, \tau \otimes tr)$  is a continuous decomposition of  $\overline{\mathscr{M}}$ . It follows that the flow of weights on  $\overline{\mathscr{M}}$  is isomorphic to the flow of weights on  $\mathscr{M}$ . Using the uniqueness assertion based on (1), for  $x \in \mathscr{M}$  we obtain

$$\sigma_{c}^{\theta(\varphi,\,\psi)}\left(\begin{pmatrix}x&0\\0&0\end{pmatrix}\right) = \begin{pmatrix}\sigma_{c}^{\varphi}(x)&0\\0&0\end{pmatrix}, \,\,\sigma_{c}^{\theta(\varphi,\,\psi)}\left(\begin{pmatrix}0&0\\0&x\end{pmatrix}\right) = \begin{pmatrix}0&0\\0&\sigma_{c}^{\psi}(x)\end{pmatrix}.$$

Equations (4), (5) and (6) can now be proved with the same arguments as in the proof of Theorem 3.1, while (7) follows immediately from (3) and (4).

Besides the previous basic properties, we also have the following computation rules for the extensions  $\sigma_c^{\varphi}$  and  $[D\psi: D\varphi]_c$ :

(8) 
$$\sigma_c^{\varphi \circ \alpha} = \alpha^{-1} \circ \sigma_{\lfloor mod(\alpha) \rfloor(c)}^{\varphi} \circ \alpha$$

$$[D(\psi \circ \alpha): D(\varphi \circ \alpha)]_{c} = \alpha^{-1}([D\psi: D\varphi]_{[mod(\bullet)](c)})$$

$$[D\psi_{\bullet}:D\varphi]_{c}=u^{*}[D\psi:D\varphi]_{c}\sigma_{c}^{r}(u)$$

$$[D\psi:D\varphi]_c=[D\varphi:D\psi]_c^{\bullet}$$

$$[D\chi: D\varphi]_c = [D\chi: D\psi]_c [D\psi: D\varphi]_c$$

where  $\varphi$ ,  $\psi$ ,  $\chi$  are integrable n.s.f. weights on  $\mathcal{M}$ ,  $\alpha \in Aut(\mathcal{M})$ ,  $u \in U(\mathcal{M})$  and  $c \in Z(F^{\mathcal{M}})$ . (8) follows using (1) and 25.1.(8), (9) follows from (4) and (8), and (10), (11), (12) are easily checked using the definitions and the special case  $c = \bar{t}$  considered in Section 3.

It is possible (see [61], IV.2.2) to show that 26.2. (11) can also be extended to integrable n.s.f. weights  $\varphi$  on  $\mathcal{M}$ :

(13) 
$$\{\sigma_c^{\varphi}; c \in Z(F^{\mathcal{M}})\} = \{\sigma \in Aut(\mathcal{M}); \sigma \mid \mathcal{M}^{\varphi} = \iota\}.$$

Note that the condition  $s(\varphi) = 1$  was necessary only in order to obtain  $\sigma_c^{\varphi}$  as a \*-automorphism of  $\mathcal{M}$  itself. Without assuming this condition we can still define, by the same method,  $\sigma_c^{\varphi} \in Aut(\mathcal{M}_{\mathbb{F}(\varphi)})$ .

**26.4.** From 26.3.(5) and 26.2.(9) it follows that for every integrable n.s.f. weight  $\varphi$  on  $\mathcal{M}$  and  $c \in Z(F^{\mathcal{M}})$  we have

(1) 
$$\sigma_c^{\varphi} \in Int(\mathcal{M}) \to c \in B(F^{\mathcal{M}}).$$

Thus, the mapping  $c/B(F^{\mathcal{M}}) \to \sigma_c^{\varphi}/Int(\mathcal{M})$   $(c \in Z(F^{\mathcal{M}}))$  defines an injective group homomorphism

$$\delta_{\mathcal{M}}: H(F^{\mathcal{M}}) \to Out(\mathcal{M})$$

which, by 26.3.(5), does not depend on the integrable n.s.f. weight  $\varphi$  on  $\mathcal{M}$ . In particular,  $\delta_{\mathcal{M}} = \delta_{\omega}$  (26.2.(10)).

Since  $\omega \circ \sigma_c^{\omega} = \omega$  and hence  $mod(\sigma_c^{\omega}) = \sigma_c^{\omega} | \mathcal{Z}(\mathcal{M}^{\omega})$  (see 25.1.(6)), it follows that the composition of  $\delta_{\mathcal{M}}$  with  $mod: Out(\mathcal{M}) \to Aut(F^{\mathcal{M}})$  is the trivial homomorphism:

(2) 
$$mod(\delta_{\mathcal{M}}(c)) = \iota(c \in H(F^{\mathcal{M}})).$$

Note that  $\delta_{\mathcal{M}}(H(F^{\mathcal{M}}))$  is a normal subgroup of  $Out(\mathcal{M})$ , in fact for every  $c \in H(F^{\mathcal{M}})$  and  $\alpha \in Aut(\mathcal{M})$  we have

(3) 
$$\alpha \, \delta_{\mathcal{A}}(c) \, \alpha^{-1} = \delta_{\mathcal{A}}([mod(\alpha)](c)).$$

Indeed,  $\omega \circ \alpha$  is still a dominant weight, so that there exists  $v \in U(\mathcal{M})$  with  $\omega \circ \alpha \circ \mathrm{Ad}(v) = \omega$  and we may assume that  $\omega \circ \alpha = \omega$ ; in this case, using 26.2.(8) and 25.1.(6), we obtain  $\alpha \circ \sigma_{\mathbf{c}}^{\omega} \circ \sigma^{-1} = \sigma_{Imod(\pi))(c)}^{\omega}$ .

As an application, in the next Theorem we compute the group  $Out(\mathcal{M})$  in terms of the continuous decomposition  $(\mathcal{N}, \theta, \tau)$  of  $\mathcal{M}$ . To this end, we consider the subgroups  $Aut_{\theta, \tau}(\mathcal{N}) = \{\beta \in Aut(\mathcal{N}); \ \tau \circ \beta = \tau, \ \beta \circ \theta_s = \theta_s \circ \beta \text{ for all } s \in \mathbb{R}\} \subset Aut(\mathcal{N}) \text{ and } Out_{\theta, \tau}(\mathcal{N}) = \{\beta | Int(\mathcal{N}); \beta \in Aut_{\theta, \tau}(\mathcal{N})\} \subset Out(\mathcal{N}).$ 

**Theorem.** Let  $\mathcal{M}$  be a countably decomposable properly infinite  $W^*$ -algebra and  $(\mathcal{N}, \theta, \tau)$  a continuous decomposition of  $\mathcal{M}$ . There exists a homomorphism  $\bar{\gamma}$  of  $Out(\mathcal{M})$  onto  $Out_{\theta, \tau}(\mathcal{N})$  such that the following sequence is exact:

$$(4) \qquad \{1\} \to \mathcal{H}(F^{\mathcal{M}}) \xrightarrow{\delta_{\mathcal{M}}} Out(\mathcal{M}) \xrightarrow{\overline{\gamma}} Out_{\theta_{\tau,\overline{\gamma}}}(\mathcal{N}) \to \{1\}.$$

*Proof.* We shall use the notation introduced in Section 26.1, in particular  $\mathcal{N} = \mathcal{M}^{\bullet}$ . Let  $Aut_{\omega}(\mathcal{M}) = \{\sigma \in Aut(\mathcal{M}); \ \omega \circ \sigma = \omega\}$ . For every  $\sigma \in Aut(\mathcal{M}), \ \omega \circ \sigma$ 

is also a dominant weight and so there exists  $u \in U(\mathcal{M})$  such that  $\omega \circ \sigma \circ Ad(u) = \omega$ . It follows that  $Out(\mathcal{M}) = Aut_{\omega}(\mathcal{M})/Int(\mathcal{M})$ . If  $\sigma \in Aut_{\omega}(\mathcal{M})$ , then  $\sigma(\mathcal{M}^{\bullet}) = \mathcal{M}^{\bullet}$ , so that we can define a homomorphism  $\gamma: Aut_{\infty}(\mathcal{M}) \to Out(\mathcal{N})$  by putting

(5) 
$$\gamma(\sigma) = (\sigma \mid \mathcal{N})/Int (\mathcal{N}) \quad (\sigma \in Aut_{\omega}(\mathcal{M}).$$

Since  $Aut_m(\mathcal{M}) \cap Int(\mathcal{M}) = \{Ad(u); u \in U(\mathcal{M}^{\bullet})\}$ , it follows that  $\gamma$  can be factored

to a homomorphism  $\bar{\gamma}$ : Out  $(\mathcal{M}) \to Out(\mathcal{N})$ .

If  $\sigma = \sigma_c^{\omega}$  with  $c \in Z(F^{\mathcal{M}})$ , then  $\sigma \mid \mathcal{N} = \iota$  (26.2.(2)), and  $\gamma(\sigma) = \iota$ . Conversely,  $\sigma \in Aut_{\infty}(\mathcal{M})$  and  $\gamma(\sigma) = i$ , i.e.  $\sigma \mid \mathcal{N} = Ad(v^*)$  with  $v \in U(\mathcal{N})$ , then  $(\sigma \circ Ad(v)) | \mathcal{N} = \iota$  and so there exists  $c \in Z(F^{\mathcal{M}})$  such that  $\sigma \circ Ad(v) = \sigma_c^{\mathcal{M}}$  (26.2.(11)). Thus, Ker  $(\bar{y}) = Range(\delta_{\mu})$ .

Let  $\sigma \in Aut_{\omega}(\mathcal{M})$  and  $\beta = \sigma \mid \mathcal{N} \in Aut(\mathcal{N})$ . For  $s \in \mathbb{R}$  we have  $\sigma_{\ell}^{\infty}(\sigma(u(s))) =$  $=\sigma(\sigma_{-ist}^{\omega}(u(s)))=\mathrm{e}^{-\mathrm{i}st}\sigma(u(s)),\quad \text{hence}\quad a(s)=\sigma(u(s))u(s)^*\in U(\mathcal{N})\ (s\in\mathbb{R}).\quad \text{As}\quad \text{in}$ the proof of 26.2.(11) one sees that the function  $s \rightarrow a(s)$  defines a cocycle  $a \in Z_b(\mathbb{R}; U(\mathcal{N}))$ . By Theorem 23.12, there exists  $v \in U(\mathcal{N})$  such that a(s) = $=v^*\theta_s(v)$   $(s\in\mathbb{R})$ . It follows that  $\sigma(u(s))=v^*\theta_s(v)u(s)$ , or  $(\sigma\circ\mathrm{Ad}(v))(u(s))=u(s)$ , i.e.  $\beta \circ \mathrm{Ad}(v) = (\sigma \circ \mathrm{Ad}(v)) \mid \mathcal{N}$  commutes with  $\theta_s$   $(s \in \mathbb{R})$ . Let  $P_{\omega} : \mathcal{M}^+ \to \overline{\mathcal{N}}^+$ be the n.s.f. operator valued weight associated with  $\omega$  (24.6). Then  $\omega = \tau \circ P_{\omega}$  and, as  $\omega \circ \sigma = \omega$ , we get  $(\tau \circ \beta) \circ P_{\omega} = \tau \circ (\sigma \mid \mathcal{N}) \circ P_{\omega} = \tau \circ P_{\omega} \circ \sigma = \omega \circ \sigma = \omega = \tau \circ P_{\omega}$ , hence  $\tau \circ \beta = \tau$  and  $\tau \circ (\beta \circ \operatorname{Ad}(v)) = \tau$ . Thus, Range  $(\bar{\gamma}) \subset \operatorname{Out}_{\theta, \tau}(\mathcal{N})$ .

Finally, let  $\beta \in Aut_{\theta, \tau}(\mathcal{N})$ . Since  $(\mathcal{M}, \sigma^{\omega}, \omega) \approx (\mathcal{R}(\mathcal{N}, \theta), \hat{\theta}, \hat{\tau})$ , it follows that there exists  $\sigma \in Aut_m(\mathcal{M})$  with  $\sigma \mid \mathcal{N} = \beta$  (20.13.(2)). Thus,  $Out_{\bullet, \bullet}(\mathcal{N}) \subset Range(\bar{\gamma})$ .

If  $\mathcal{N}$  has separable predual, then the subgroup  $Out_{\bullet, \bullet}(\mathcal{N})$  also has the following description:

(6) 
$$Out_{\theta,\tau}(\mathcal{N}) = \{ \beta \in Out(\mathcal{N}); \ \tau \circ \beta = \tau, \ o_{\mathcal{N}}(\theta_s)\beta = \beta o_{\mathcal{N}}(\theta_s) \ (s \in \mathbb{R}) \}$$

where  $o_{x}: Aut(\mathcal{N}) \to Out(\mathcal{N})$  is the canonical quotient mapping.

Instead of a complete proof, which involves some non-trivial technical compli-

cations (see [61], IV. 3.2), we give just a sketch of the proof here.

By familiar arguments it follows from the theory of standard Borel spaces that there exists a Borel mapping  $v: Int(\mathcal{N}) \to U(\mathcal{N})$  such that  $\alpha = Ad(v(\alpha))$  for

every  $\alpha \in Int(\mathcal{N})$ .

If  $\beta \in Aut(\mathcal{N})$  and  $o_{\mathcal{N}}(\beta)$  commutes with  $o_{\mathcal{N}}(\theta_s)$ , then  $\beta \circ \theta_s \circ \beta^{-1} \circ \theta_s^{-1} \in Int(\mathcal{N})$ and  $b(s) = v(\beta \circ \theta_s \circ \beta^{-1} \circ \theta_s^{-1}) \in U(\mathcal{N})$   $(s \in \mathbb{R})$ . Then  $Ad(b(s)) \circ \theta_s = \beta \circ \theta_s \circ \beta^{-1}$ , so that  $Ad(b(s)\theta_s(b(t))) = Ad(b(s+t))$  and so  $c(s,t) = b(s)^*b(s+t)\theta_s(b(t)^*) \in$  $\in \mathcal{Z}(\mathcal{N})$  (s,  $t \in \mathbb{R}$ ). By direct computation one checks that c(r, s)c(r + s, t) = $=\theta_r(c(s,t))c(r,s+t)$ ,  $(r,s,t\in\mathbb{R})$ , and by cohomological arguments it follows that there exists a Borel function  $d: \mathbb{R} \to U(\mathcal{Z}(\mathcal{N}))$  such that c(s, t) = $=d(s)^*d(s+t)\theta_s(d(t)^*)$  for almost all s,  $t \in \mathbb{R}$ . Then  $bd: \mathbb{R} \to U(\mathcal{N})$  is a Borel function which, modified on a negligible set, gives rise to a cocycle  $a \in Z_{\bullet}(\mathbb{R}; U(\mathcal{N}))$ such that  $Ad(a(s)) \circ \theta_s = \beta \circ \theta_s \circ \beta^{-1} (s \in \mathbb{R})$ . Using Theorem 23.12 we infer that  $a(s) = w^*\theta_s(w)$   $(s \in \mathbb{R})$  for some  $w \in U(\mathcal{N})$ . Then  $Ad(w) \circ \beta$  commutes with  $\theta_s$  ( $s \in \mathbb{R}$ ). We thus obtain the inclusion " $\Rightarrow$ " in (6), the other inclusion being obvious.

**26.5.** In order to explain the nature of the extensions  $\sigma_c^{\varphi}$  and  $[D\psi:D\varphi]_c$  defined in Section 26.3, we shall consider the case of an infinite semifinite factor  $\mathcal{M}$  with separable predual. Let  $\mu$  be an n.s.f. trace on  $\mathcal{M}$ .

By Theorem 4.10, every n.s.f. weight  $\varphi$  on  $\mathcal{M}$  is of the form  $\varphi = \mu_A$  for some nonsingular positive self-adjoint operator A affiliated to  $\mathcal{M}$ . In particular, for the dominant weight  $\omega$  on  $\mathcal{M}$ , there exists a nonsingular positive self-adjoint operator D affiliated to  $\mathcal{M}$  such that  $\omega = \mu_D$ . The weight  $\varphi = \mu_A$  is integrable if and only if A is unitarily equivalent to the restriction of D to some invariant subspace (see 23.4).

The structure of the operator D can be better analysed if we consider the \*-isomorphism  $(\mathcal{M}, \mu) \approx (\mathcal{M} \otimes \mathcal{F}, \mu \otimes tr)$  (see 9.18) where  $\mathcal{F} = \mathcal{B}(\mathcal{L}^2(\mathbb{R}_*^+))$ . Let  $D_0$  be the operator defined in  $\mathcal{L}^2(\mathbb{R}_*^+)$  by  $(D_0\xi)(\lambda) = \lambda\xi(\lambda)$   $(\xi \in Dom(D_0) \subset \mathcal{L}^2(\mathbb{R}_*^+), \lambda \in \mathbb{R}_*^+)$ . As in Section 23.4, it is easy to see that  $\mu \otimes tr_{D_0}$  is a dominant weight, so that the operator  $D = 1 \otimes D_0$  has absolutely continuous spectrum.

Thus,  $\varphi = \mu_A$  is integrable if and only if A has absolutely continuous spectrum. In this case the functional calculus associated with A can be extended to functions  $f \in \mathcal{L}^{\infty}(\mathbb{R}^+_*)$ . Indeed, this fact is obvious for  $D_0$ :  $(f(D_0)\xi)(\lambda) = f(\lambda)\xi(\lambda)$  ( $\xi \in Dom(f(D_0)) \subset \mathcal{L}^2(\mathbb{R}^+_*)$ ,  $\lambda \in \mathbb{R}^+_*$ ). Note that the commutant of  $D_0$  in  $\mathscr{F}$  is just the set  $\{f(D_0); f \in \mathcal{L}^{\infty}(\mathbb{R}^+_*)\}$ .

The centralizer  $\mathcal{M}^{\varphi}$  of  $\varphi = \mu_A$  is the commutant of A in  $\mathcal{M}$ . Consequently,

(1) 
$$\mathscr{Z}(\mathscr{M}^{\varphi}) = \{ f(A); f \in \mathscr{L}^{\infty}(\mathbb{R}^{+}_{\bullet}) \}.$$

By the construction (24.5) of the \*-isomorphism  $p_{\varphi}: \mathscr{Z}(\mathscr{M}^{\varphi}) \to \mathscr{Z}(\mathscr{M}^{\omega})c_{\omega}(\varphi)$  it follows that

(2) 
$$p_{\varphi}(f(A)) = f(D)c_{\omega}(\varphi) \qquad (f \in \mathcal{L}^{\infty}(\mathbb{R}^{+}_{*})).$$

Recall (24.8) that the flow of weights on  $\mathcal{M}$  is the pair consisting of  $\mathcal{Z}(\mathcal{M}^{\omega})$ , identified with  $\mathcal{L}^{\infty}(\mathbb{R}^{+}_{+})$  via the mapping

$$\mathscr{L}^{\infty}(\mathbb{R}^{+}_{*})\ni f\mapsto f(D)\in \mathscr{Z}(\mathscr{M}^{\omega}),$$

and the continuous action  $F^{\mathcal{A}}$  of  $\mathbb{R}^+_*$  on  $\mathscr{L}^{\infty}(\mathbb{R}^+_*)$  defined by

$$(4) (f_{\lambda}^{\alpha}f)(\alpha) = f(\lambda^{-1}\alpha) (f \in \mathcal{L}^{\infty}(\mathbb{R}^{+}_{*}), \lambda, \alpha \in \mathbb{R}^{+}_{*}).$$

As mentioned in Section 21.12, every unitary cocycle  $c \in Z(F^{\mathcal{M}})$  is trivial, i.e. there exists a unitary element  $f \in \mathcal{L}^{\infty}(\mathbb{R}^+_+)$ , uniquely determined up to a scalar multiple, such that  $c(\lambda) = fF_{\mathcal{L}}^{\mathcal{M}}(f^*)$   $(\lambda \in \mathbb{R}^+_+)$ .

If  $\varphi = \mu_A$ ,  $\psi = \mu_B$  are integrable n.s.f. weights on  $\mathcal{M}$  and  $c \in Z(F^{\mathcal{M}})$  is defined by  $c(\lambda) = fF_{\lambda}^{\mathcal{M}}(f^*)$  ( $\lambda \in \mathbb{R}_+^*$ ), then

(5) 
$$\sigma_c^{\bullet} = \operatorname{Ad}(f(A))$$

$$[D\psi: D\varphi]_c = f(B)f(A)^*.$$

Indeed, let  $\lambda > 0$  and let  $w \in \mathcal{M}(\sigma^{\phi}, \{-\ln(\lambda)\})$  be a partial isometry. Then  $w^{\phi}w$  and  $ww^{\phi}$  belong to  $\mathcal{M}^{\phi}$  so that they commute with A. We have

 $A^{it}wA^{-it} = \sigma_t^{\phi}(w) = \lambda^{it}w$ , hence  $w^{\phi}A^{it}w = w^{\phi}w(\lambda A)^{it} = w^{\phi}w(\lambda A)^{it}w^{\phi}w$   $(t \in \mathbb{R})$ . For  $h \in \mathcal{L}^{\infty}(\mathbb{R}^+_+)$  it follows that  $w^{\phi}h(A)w = w^{\phi}wh(\lambda A)w^{\phi}w = w^{\phi}wh(\lambda A)$ , whence

(7) 
$$h(A)w = wh(\lambda A).$$

Using (7), (4), (2), (3) and 26.3.(1), we get  $[Ad(f(A))](w) = f(A)wf(A)^* = wf(\lambda A)f(A)^* = f(A)f(\lambda^{-1}A)^*w = [c(\lambda)](A)w = p_{\phi}^{-1}([c(\lambda)](D)c_{\omega}(\phi))w = p_{\phi}^{-1}(c(\lambda)c_{\omega}(\phi))w = f(A)wf(A)^*$  $=\sigma_c^{\bullet}(w)$ . Thus, the \*-automorphisms  $\sigma_c^{\bullet}$  and Ad(f(A)) coincide on every partial isometry  $w \in \mathcal{M}(\sigma^{\varphi}, \{-\ln(\lambda)\})$ . Since both these \*-automorphisms act identically on Mo, it follows that they are equal, which proves (5).

The balanced weight  $\theta(\varphi, \psi)$  is obtained from the trace  $\theta(\mu, \mu)$  with the helpof the operator  $\begin{pmatrix} A & 0 \\ 0 & B \end{pmatrix}$  so that, using (5) and 26.3.(4), we obtain

$$\begin{pmatrix} 0 & 0 \\ [D\psi:D\varphi]_c & 0 \end{pmatrix} = \sigma_c^{\theta(\varphi,\psi)} \begin{pmatrix} \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix} \end{pmatrix} =$$

$$=\begin{pmatrix}f(A) & 0\\0 & f(B)\end{pmatrix}\begin{pmatrix}0 & 0\\1 & 0\end{pmatrix}\begin{pmatrix}f(A)^* & 0\\0 & f(B)^*\end{pmatrix}=\begin{pmatrix}0 & 0\\f(B)f(A)^* & 0\end{pmatrix}$$

so that (6) is also proved.

Thus, the extension of the modular automorphism group to a family of \*-automorphism indexed by  $Z(F^{A})$  is actually a generalization of the usual functional calculus.

26.6. Proposition. Let M be a properly infinite W\*-algebra with separable predual and let  $c \in Z(F^{\mathcal{M}})$ . Let  $\varphi$  be an integrable n.s.f. weight on  $\mathcal{M}$  and A be a nonsingular positive self-adjoint operator affiliated to  $\mathcal{M}^{\bullet}$  such that the weight  $\phi_{A}$  is integrable. Then  $c(A) = [D\varphi_A: D\varphi]_e$  belongs to the centre of  $\{A\}' \cap \mathcal{M}^{\varphi}$ .

*Proof.* Let  $\psi = \theta(\varphi, \varphi_A)$  be the balanced weight on  $\mathscr{P} = Mat_2(\mathscr{M})$  and let  $u = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix} \in \mathcal{P}$ . Then (26.3.(4))  $\begin{pmatrix} 0 & 0 \\ c(A) & 0 \end{pmatrix} = \sigma_c^{\psi}(u)$ . We have  $\sigma_i^{\psi}(u^{\bullet})u \in \mathcal{P}^{\psi}$ , so that  $\sigma_t^{\psi}(u^*)u = \sigma_c^{\psi}(\sigma_t^{\psi}(u^*)u) = \sigma_t^{\psi}(\sigma_c^{\psi}(u^*))\sigma_c^{\psi}(u)$  and hence  $\begin{pmatrix} c(A) & 0 \\ 0 & 0 \end{pmatrix} = u^*\sigma_c^{\psi}(u) \in \mathscr{P}^{\psi}$ ,

i.e.  $c(A) \in \mathcal{M}^{\bullet}$ . For every  $x \in \{A\}' \cap \mathcal{M}^{\bullet} \subset \mathcal{M}^{\bullet} \cap \mathcal{M}^{\bullet}_{A}$  we have  $x = \sigma_{c}^{\bullet} A(x) =$  $=c(A)\sigma_c^{\bullet}(x)c(A)^{\bullet}=c(A)xc(A)^{\bullet} \text{ and therefore } c(A)\in (\{A\}'\cap \mathcal{M}^{\bullet})'\cap \mathcal{M}^{\bullet}=\mathcal{Z}(\{A\}'\cap \mathcal{M}^{\bullet}).$ 

Using Theorem 26.3.(6) we infer that

(1) 
$$(c_1c_2)(A) = c_1(A)c_2(A) \qquad (c_1, c_2 \in Z(F^{\mathcal{A}})).$$

26.7. Notes. The material of this Section is due to Connes and Takesaki [61]. In our exposition we have defined the extended modular automorphisms and the extended Connes cocycles only for integrable weights. In fact, it is possible to define these objects for arbitrary n.s.f. weights. by restricting the class of cocycles  $c \in Z(F^{d})$  to those which are twice continuously differentiable in norm ([61], IV.2.6). For factors arising by the group measure space construction the extended. modular automorphisms are explicitly computed in [61].

## Discrete decompositions

## §27. The Connes invariant $T(\mathcal{M})$

In this Section we introduce the invariant  $T(\mathcal{M})$  as the group of inner periods of modular automorphism groups on  $\mathcal{M}$ .

**27.1.** Let  $\mathcal{M}$  be a  $\mathcal{W}^*$ -algebra and  $\mathfrak{o}_{\mathcal{M}}$ :  $Aut(\mathcal{M}) \to Out(\mathcal{M})$  the canonical quotient mapping. Recall (3.2) that the modular homomorphism  $\delta_{\mathcal{M}}$ :  $\mathbb{R} \to Out(\mathcal{M})$  defined by  $\delta_{\mathcal{M}}(t) = \mathfrak{o}_{\mathcal{M}}(\sigma_t^{\varphi})$  ( $t \in \mathbb{R}$ ) does not depend on the choice of the n.s.f. weight  $\varphi$  on  $\mathcal{M}$  and that  $\delta_{\mathcal{M}}(\mathbb{R})$  is contained in the centre of the group  $Out(\mathcal{M})$ . Therefore the kernel of the modular homomorphism is a subgroup of the additive group  $\mathbb{R}$  and an algebraic invariant of  $\mathcal{M}$ , which we denote by

$$T(\mathcal{M}) = \{t \in \mathbb{R}; \ \delta_{\mathcal{M}}(t) = i\}.$$

Theorem. Let  $\mathcal{M}$  be a  $W^*$ -algebra and  $t \in \mathbb{R}$ . The following statements are equivalent: (i)  $t \in T(\mathcal{M})$ :

(ii) for every  $\varphi \in W_{nsf}(\mathcal{M})$  we have  $\sigma_i^{\varphi} \in Int(\mathcal{M})$ ;

(iii) for every  $\varphi \in W_{nsf}(\mathcal{M})$  there exists a unitary element  $u \in \mathcal{Z}(\mathcal{M}^{\bullet})$  such that  $\sigma_t^{\bullet} = \operatorname{Ad}(u)$ ;

(iv) for every  $\varphi \in W_{nsf}(\mathcal{M})$  there exists a (strictly semifinite)  $\psi \in W_{nsf}(\mathcal{M})$  commuting with  $\varphi$  such that  $\sigma_i^{\psi} = \iota$ ;

(v) there exists  $\varphi \in W_{nsf}(\mathcal{M})$  such that  $\sigma_i^{\varphi} \in Int(\mathcal{M})$ .

If  $\mathcal{M}$  is countably decomposable and  $t \in T(\mathcal{M})$ , then

(vi) there exists a faithful normal state  $\varphi$  on  $\mathcal{M}$  with  $\sigma_i^{\varphi} = 1$ .

*Proof.* It is clear that  $(vi) \Rightarrow (iv) \Rightarrow (v) \Rightarrow (i) \Rightarrow (ii)$ .

(ii)  $\Rightarrow$  (iii). If  $\sigma_t^{\varphi} = \operatorname{Ad}(u)$  with  $u \in U(\mathcal{M})$ , then  $\varphi(uxu^*) = \varphi(\sigma_t^{\varphi}(x)) = \varphi(x)$  for  $x \in \mathcal{M}^+$ , whence  $u \in \mathcal{M}^{\varphi}$ . For  $x \in \mathcal{M}^{\varphi}$  we have  $uxu^* = \sigma_t^{\varphi}(x) = x$ , so  $u \in \mathcal{Z}(\mathcal{M}^{\varphi})$ .

(iii)  $\Rightarrow$  (iv). By assumption, we have  $\sigma_i^{\varphi} = \operatorname{Ad}(u)$  for some unitary  $u \in \mathcal{Z}(\mathcal{M}^{\varphi})$ . There exists a nonsingular positive self-adjoint operator A affiliated to  $\mathcal{Z}(\mathcal{M}^{\varphi})$  such that  $A^{-it}=u$ . Then the n.s.f. weight  $\psi=\varphi_A$  commutes with  $\varphi$  and  $\sigma_i^{\psi}=\operatorname{Ad}(A^{it}) \circ \sigma_i^{\varphi}=i$ . On the other hand, we recall (10.9) that if  $\sigma_i^{\psi}=i$ , then the weight  $\psi$  is strictly semifinite.

(iii)  $\Rightarrow$  (vi). Assume that  $\mathcal{M}$  is countably decomposable. By (iii) there exists an n.ss.f. weight  $\psi$  on  $\mathcal{M}$  such that  $\sigma_i^{\psi} = \iota$ . Since  $\psi$  is strictly semifinite and  $\mathcal{M}$  is countably decomposable, it follows by Theorem 10.9 that there exists a sequence

 $\{\psi_n\}_{n\geq 1}\subset \mathcal{M}_+^+$  with supports  $s(\psi_n)\in \mathcal{M}^v$ , mutually orthogonal, such that  $\psi=\sum_n\psi_n$ . Then  $\varphi=\sum_n(2^n\|\psi_n\|)^{-1}\psi_n$  is a faithful normal state with  $\sigma_i^{\varphi}=i$ .

**27.2.** Proposition. Let  $\mathcal{M}$  be a  $W^*$ -algebra. If  $\mathcal{M}$  is semifinite, then  $T(\mathcal{M}) = \mathbb{R}$ . If  $T(\mathcal{M}) = \mathbb{R}$  and  $\mathcal{M}$  has separable predual, then  $\mathcal{M}$  is semifinite.

Proof. If  $\mu$  is an n.s.f. trace on  $\mathcal{M}$ ,  $\sigma_t^{\mu} = t$  for all  $t \in \mathbb{R}$ , and  $T(\mathcal{M}) = \mathbb{R}$ . Conversely, if  $T(\mathcal{M}) = \mathbb{R}$  and  $\varphi$  is any n.s.f. weight on  $\mathcal{M}$ , then  $\sigma_t^{\varphi} \in Int(\mathcal{M})$  for all  $t \in \mathbb{R}$ . If  $\mathcal{M}$  has separable predual, then, by Theorem 15.16, there exists an s-continuous unitary representation  $\mathbb{R} \ni t \to u(t) \in \mathcal{M}$  such that  $\sigma_t^{\varphi} = \mathrm{Ad}(u(t))$  ( $t \in \mathbb{R}$ ). Also, there exists a nonsingular positive self-adjoint operator A affiliated to  $\mathcal{M}^{\varphi}$  such that  $u(t) = A^{-tt}$  and hence  $\sigma_t^{\varphi_A} = t$  for all  $t \in \mathbb{R}$ , i.e.  $\mu = \varphi_A$  is an n.s.f. trace on  $\mathcal{M}$ .

Thus, for any non-semifinite  $W^*$ -algebra  $\mathcal{M}$  with separable predual the centre of the group Out ( $\mathcal{M}$ ) is non-trivial.

27.3. Proposition. For any two W\*-algebras  $\mathcal{M}, \mathcal{N}$  we have

$$T(\mathscr{M} \otimes \mathscr{N}) = T(\mathscr{M}) \cap T(\mathscr{N}),$$

$$(2) T(\mathcal{M} \oplus \mathcal{N}) = T(\mathcal{M}) \cap T(\mathcal{N}),$$

if  $e \in \mathcal{M}$  is a projection with central support equal to 1,

$$(3) T(\mathcal{M}_e) = T(\mathcal{M}),$$

and if  $\mathcal{M} \subset \mathcal{B}(\mathcal{H})$  is realized as a von Neumann algebra

$$(4) T(\mathcal{M}') = T(\mathcal{M}).$$

Proof. Let  $\varphi$  and  $\psi$  be n.s.f. weights on  $\mathcal{M}$  and  $\mathcal{N}$ , respectively. Then  $\varphi \otimes \psi$  is an n.s.f. weight on  $\mathcal{M} \otimes \mathcal{N}$  and, by Proposition 17.6.(3),  $\sigma_i^{\bullet \oplus \psi} = \sigma_i^{\bullet} \otimes \sigma_i^{\psi} \in Int(\mathcal{M} \otimes \mathcal{N})$  if and only if  $\sigma_i^{\bullet} \in Int(\mathcal{M})$  and  $\sigma_i^{\psi} \in Int(\mathcal{N})$ , which proves (1). Also,  $\varphi \oplus \psi$  is an n.s.f. weight on  $\mathcal{M} \oplus \mathcal{N}$  and  $\sigma_i^{\varphi \oplus \psi} = \sigma_i^{\varphi} \oplus \sigma_i^{\psi} \in Int(\mathcal{M} \oplus \mathcal{N})$  if and only if  $\sigma_i^{\varphi} \in Int(\mathcal{M})$  and  $\sigma_i^{\psi} \in Int(\mathcal{N})$ , from which (2) follows.

In view of (2), to prove (3) we may consider separately the cases e finite and e properly infinite. If e is finite, then  $\mathcal{M}_e$  and  $\mathcal{M}$  are both semifinite, whence  $T(\mathcal{M}_e) = \mathbb{R} = T(\mathcal{M})$ . If e is properly infinite and  $\mathcal{M}$  is countably decomposable, then  $e \sim 1$  in  $\mathcal{M}$ , whence  $\mathcal{M}_e \approx \mathcal{M}$  and  $T(\mathcal{M}_e) = T(\mathcal{M})$ . If  $\mathcal{M}$  is not countably decomposable, then we can decompose  $\mathcal{M}$  into a direct sum of uniform  $W^*$ -algebras (see [L], 8.4) and, using (2), (1), Proposition 27.2 and the previous remarks, we conclude again that  $T(\mathcal{M}_e) = T(\mathcal{M})$ .

Equation (4) is obvious if  $\mathcal{M} \subset \mathcal{B}(\mathcal{H})$  is in standard form, since then  $\mathcal{M}'$  is \*-antiisomorphic to  $\mathcal{M}$ . In general,  $\mathcal{M}$  is \*-isomorphic to some standard form of itself via an amplification and a faithful induction ([L], E.8.8). These operations change the commutant  $\mathcal{M}'$  either into  $\mathcal{M}' \boxtimes \mathcal{B}(\mathcal{K})$  (for some Hilbert space  $\mathcal{K}$ )

or into  $\mathcal{M}'_{e'}$  (for some projection  $e' \in \mathcal{M}'$  with central support equal to 1) without modifying  $T(\mathcal{M}')$ .

27.4. In this Section we compute the invariant  $T(\mathcal{M})$  for crossed products by properly outer actions of discrete groups. More generally, let M be a W\*-algebra,  $\mathcal{N} \subset \mathcal{M}$  a semifinite unital  $W^*$ -subalgebra with  $\mathscr{Z}(\mathcal{N}) = \mathcal{N}' \cap \mathcal{M}, \ P: \mathcal{M} \to \mathcal{N}'$ a faithful normal conditional expectation of  $\mathcal{M}$  onto  $\mathcal{N}$  and  $\mathcal{G} \subset \mathcal{R}(P)$  a subgroup of the normalizer  $\mathfrak{N}(P)$  of P such that  $\mathcal{M} = \mathfrak{R}\{\mathcal{N}, \mathcal{G}\}$  (see 10.17).

By Theorem 4.10, for every n.s.f. trace  $\tau$  on  $\mathcal{M}$  and  $u \in \mathcal{N}(P)$  there exists a unique nonsingular positive self-adjoint operator  $A_{\tau,u}$  affiliated to  $\mathscr{Z}(\mathscr{N})$  such that

$$\tau(u.\ u^*) = \tau_{A_{\tau,u^*}}$$

Note that

(2) 
$$\sigma_t^{\tau \circ P}(u) = u A_{\tau,u}^{it} \qquad (u \in \mathcal{R}(P), \ t \in \mathbb{R}).$$

Indeed, using Corollary 3.7, Theorem 11.9 and Corollary 4.8, we get

$$u^*\sigma_t^{\tau \circ P}(u) = [D(\tau \circ P)_u : D(\tau \circ P)]_t = [D(\tau(u \cdot u^*) \circ P) : D(\tau \circ P)]_t$$
$$= [D(\tau(u \cdot u^*)) : D\tau]_t = [D(\tau_{A_{\tau,u}}) : D\tau]_t = A_{\tau,u}^{it}.$$

Theorem. In the previous setting, the following statements concerning  $t \in \mathbb{R}$  are eauivalent:

- (i)  $t \in T(\mathcal{M})$ ;
- (ii) for every n.s.f. trace  $\tau$  on  $\mathcal N$  there exists a unitary element  $v \in \mathcal Z(\mathcal N)$ such that  $A_{\tau,u}^{it} = u^*vuv^*$  for all  $u \in \mathcal{G}$ ;
  - (iii) there exists an n.s.f. trace  $\tau$  on  $\mathcal N$  such that  $A_{\tau,u}^{it}=1$  for all  $u\in\mathcal G$ .

Proof. (i)  $\Rightarrow$  (ii). Since  $t \in T(\mathcal{M})$ , given the weight  $\varphi = \tau \circ P$  on  $\mathcal{M}$  there exists  $v \in U(\mathcal{M})$  such that  $\sigma_i^{\varphi} = \operatorname{Ad}(v)$ . For  $y \in \mathcal{N}$  we have (11.9)  $vyv^* = \sigma_i^{\varphi}(y) = \sigma_i^{\varphi}(y) = y$ , hence  $v \in \mathcal{N}' \cap \mathcal{M} = \mathcal{Z}(\mathcal{N})$ . By (2), for  $u \in \mathcal{G}$  we have  $vuv^* = \sigma_i^{\varphi}(u) = uA_{\tau,w}^{l_1}$ , hence  $A_{\tau,w}^{l_2} = v^*vuv^*$ .

(ii)  $\Rightarrow$  (iii). Let  $\mu$  be any n.s.f. trace on  $\mathcal N$  and let  $v \in \mathcal Z(\mathcal N)$  be such that  $u^*vuv^* = A^{it}_{\mu,u} = [D(\mu(u \cdot u^*)): D\mu]_t$  for all  $u \in \mathcal{G}$ . There exists an invertible element  $b \in \mathcal{Z}(\mathcal{N})^+$  such that  $b^{ij} = v^*$ . Then  $\tau = \mu_b$  is an n.s.f. trace on  $\mathcal{N}$  with  $[D\tau: D\mu]_t =$  $=b^{it}=v^*, \text{ so that for } u\in\mathcal{C} \text{ we obtain } (3.8) \quad [D(\tau(u\cdot u^*)):D(\mu(u\cdot u^*))]_t=\\ =u^*[D\tau:D\mu]_t u=u^*v^*u \text{ and } A^{it}_{\tau,u}=[D(\tau(u\cdot u^*)):D\tau]_t=(u^*v^*u)(u^*vuv^*)v=1.\\ \text{(iii)}\Rightarrow\text{(i). Let } \varphi=\tau\circ P \text{ with } \tau \text{ as in (iii). For } y\in\mathcal{N} \text{ we have } \sigma_t^{\varphi}(y)=\\ \frac{1}{2}\left(\frac{1}\left(\frac{1}{2}\left(\frac{1}{2}\left(\frac{1}{2}\left(\frac{1}{2}\left(\frac{1}{2}\left(\frac{1}{2}\left(\frac{1}{2}\left(\frac{1}{2}\left(\frac{1}{2}\left(\frac{1}{2}\left(\frac{1}{2}\left(\frac{1}{2}\left(\frac{1}{2}\left(\frac{1}\left(\frac{1}{2}\left(\frac{1}\left(\frac{1}\left(\frac{1}{2}\left(\frac{1}$ 

 $=\sigma_i^r(y)=y$  and for  $u\in\mathcal{G}$  we have  $\sigma_i^{\varphi}(u)=uA_{i,u}^{ir}=u$ , whence  $\sigma_i^{\varphi}=i$  since  $\mathcal{M} = \mathcal{R}\{\mathcal{N}, \mathcal{G}\}.$ 

Proposition. In the above setting, consider the following statements concerning  $t \in \mathbb{R}$  and  $\psi \in W_{nsf}(\mathcal{N})$ :

- (i)  $t \in T(\mathcal{M})$ ;
- (ii)  $\sigma_i^{\mathsf{v}} \in [\{\mathrm{Ad}(w) | \mathcal{N}; w \in \mathcal{G}\}];$
- (iii) there exists  $u \in \mathcal{G}$  such that  $\sigma_{i}^{w} = \operatorname{Ad}(u)|_{\mathcal{N}}$ .

Then (i)  $\Rightarrow$  (ii) and, if  $\mathcal{G}$  is abelian and  $\psi \circ \mathrm{Ad}(w) = \psi$  for all  $w \in \mathcal{G}$ , (iii)  $\Rightarrow$  (i).

*Proof.* Assume that  $t \in T(\mathcal{M})$  and consider the n.s.f. weight  $\varphi = \psi \circ P$  on  $\mathcal{M}$ . Then there exists  $u \in U(\mathcal{M})$  such that  $\sigma_i^{\varphi} = \mathrm{Ad}(u)$ . For  $y \in \mathcal{N}$  we have  $uyu^{\varphi} = \sigma_i^{\varphi}(y) = \sigma_i^{\varphi}(y) \in \mathcal{N}$ , hence  $y \in \mathcal{R}(P)$  and  $\sigma_i^{\varphi} = \sigma_i^{\varphi}|_{\mathcal{N}} = \mathrm{Ad}(u)|_{\mathcal{N}} \in [\{\mathrm{Ad}(w)|_{\mathcal{N}}\}]$ 

 $w \in \mathcal{G}$ ], by Proposition 22.4.

Assume now that  $\mathcal{G}$  is abelian, that  $\psi \circ \operatorname{Ad}(w) = \psi$  for all  $w \in \mathcal{G}$  and  $\sigma_v^w = \operatorname{Ad}(u)|_{\mathcal{N}}$  for some  $u \in \mathcal{G}$ . Let  $\varphi = \psi \circ P \in W_{n_0}(\mathcal{M})$ . For every  $w \in \mathcal{G}$  we have (3.7, 11.9)  $w^*\sigma_i^{\varphi}(w) = [D(\varphi \circ \operatorname{Ad}(w)): D\varphi]_i = [D(\psi \circ \operatorname{Ad}(w)): D\psi]_i = 1$ , hence  $\sigma_i^{\varphi}(w) = w = uwu^* = [\operatorname{Ad}(u)](w)$  since  $\mathcal{G}$  is abelian. For  $y \in \mathcal{N}$  we have  $\sigma_i^{\varphi}(y) = \sigma_i^{\varphi}(y) = [\operatorname{Ad}(u)](y)$ . Since  $\mathcal{M} = \mathcal{R}\{\mathcal{N}, \mathcal{G}\}$ , it follows that  $\sigma_i^{\varphi} = \operatorname{Ad}(u)$ , so that  $t \in T(\mathcal{M})$ .

27.5. The previous results are valid if, for instance,  $\mathcal{M} = \mathcal{R}(\mathcal{N}, \sigma)$  is the crossed product of the semifinite  $W^*$ -algebra  $\mathcal{N}$  by a properly outer action  $\sigma: G \to Aut(\mathcal{N})$  of the discrete group G, since then  $\mathcal{M}$  is generated by  $\mathcal{N} \equiv \pi_{\sigma}(\mathcal{N}) \subset \mathcal{M}$  and  $G = \{1 \otimes \lambda(g); g \in G\}$ ,  $\mathcal{N}' \cap \mathcal{M} = \mathcal{Z}(\mathcal{N})$  (see 22.3), and  $P_{\mathfrak{L}} : \mathcal{M} \to \mathcal{N}$  is a faithful

normal conditional expectation such that  $\mathcal{G} \subset \mathcal{S}(P_{\widehat{\sigma}})$  (see 22.2.(3)).

In particular, let  $G\ni g\mapsto T_g$  be an action of the discrete countable group G as homeomorphisms of the locally compact Hausdorff topological space  $\Omega$  with a countable basis of open sets and let  $\mu$  be a G-quasi-invariant sigma-finite positive Borel measure on  $\Omega$ ; we assume that G acts freely on  $(\Omega, \mu)$  (see 22.8). We then obtain a free action  $\sigma: G \to Aut(\mathcal{N})$  of G on the abelian  $W^*$ -algebra  $\mathcal{N} = \mathcal{L}^{\infty}(\Omega, \mu)$  and a corresponding crossed product  $W^*$ -algebra  $\mathcal{M} = \mathcal{R}(\mathcal{N}, \sigma)$  (see 22.8). For any n.s.f. trace  $\tau$  on  $\mathcal{N}$  there is a Borel measure v on  $\Omega$ , equivalent to  $\mu$ , such that  $\tau(x) = \int x dv$ ,  $(x \in \mathcal{N}^+)$ . For every  $g \in G$  there exists a unique nonsingular positive self-adjoint operator  $A_{\tau,g}$  in  $\mathcal{H} = \mathcal{L}^2(\Omega, \mu)$ , affiliated to  $\mathcal{N} = \mathcal{L}^{\infty}(\Omega, \mu)$ , such that  $\tau \circ \sigma_g = \tau_{A_{\tau,g}}$ . Since for  $x \in \mathcal{L}^{\infty}(\Omega, \mu)^+$  and  $g \in G$  we have  $\tau(\sigma_g(x)) = \int x(T_{g^{-1}}(\omega)) dv(\omega) = \int x(\omega) d(v \circ T_g)(\omega) = \int x(\omega) [d(v \circ T_g)/dv)](\omega) dv(\omega)$ , it follows that  $A_{\tau,g}$  is the multiplication operator defined by the Radon-Nikodym derivative  $A_{\tau,g} = d(v \circ T_g)/dv$ . Note that a function  $f: \Omega \to \mathbb{R}^+$  has the property  $f^u = 1$  if and only if  $f(\omega) \in \{e^{2n\pi t/t}; n \in \mathbb{Z}\}$ . We thus infer from Theorem 27.4 the following

Corollary. In the previous setting, a real number t belongs to  $T(\mathcal{M})$  if and only if there exists a positive measure v on  $\Omega$ , equivalent to  $\mu$ , such that  $[d(v \circ T_{\theta})/dv](\omega) \in \{e^{2n\pi/t}; n \in \mathbb{Z}\}$  for all  $g \in G$ ,  $\omega \in \Omega$ .

27.6. Proposition. Let  $\mathcal{M}$  be a countably decomposable  $W^*$ -algebra,  $\varphi$  a faithful normal state on  $\mathcal{M}$  and  $t \in \mathbb{R}$ . Then  $t \in T(\mathcal{M})$  if and only if there exists a unitary element  $u \in \mathcal{M}$  such that

$$\Delta_{\phi}^{i} = \pi_{\phi}(u)J_{\phi}\pi_{\phi}(u)J_{\phi}.$$

*Proof.* If  $t \in T(\mathcal{M})$  there exists  $u \in U(\mathcal{M}^{\varphi})$  such that  $\sigma_t^{\varphi} = \mathrm{Ad}(u)$  and for  $x \in \mathcal{M}$  we have  $\Delta_{\varphi}^{i_t} \pi_{\varphi}(x) \xi_{\varphi} = \Delta_{\varphi}^{i_t} \pi_{\varphi}(x) \Delta_{\varphi}^{-i_t} \xi_{\varphi} = \pi_{\varphi}(\sigma_t^{\varphi}(x)) \xi_{\varphi} = \pi_{\varphi}(u) \pi_{\varphi}(x) \pi_{\varphi}(u)^{\varphi} \xi_{\varphi} = \pi_{\varphi}(u) \pi_{\varphi}(x) S_{\varphi} \pi_{\varphi}(u) \xi_{\varphi} = \pi_{\varphi}(u) \pi_{\varphi}(x) J_{\varphi} \pi_{\varphi}(u) \xi_{\varphi} = \pi_{\varphi}(u) \pi_{\varphi}(x) J_{\varphi}(u) \xi_{\varphi}(u) \xi_{\varphi}(u)$ 

 $=\pi_{\varphi}(u)\pi_{\varphi}(x)J_{\varphi}\pi_{\varphi}(u)J_{\varphi}\xi_{\varphi}=\pi_{\varphi}(u)J_{\varphi}\pi_{\varphi}(u)J_{\varphi}\pi_{\varphi}(x)\xi_{\varphi}, \text{ since } u\in\mathcal{M}^{\varphi} \text{ and } J_{\varphi}\pi_{\varphi}(u)J_{\varphi}\in \pi_{\varphi}(\mathcal{M})'; \text{ this proves (1).}$ 

Conversely, if there exists  $u \in U(\mathcal{M}^{\varphi})$  satisfying (1), then for every  $x \in \mathcal{M}$  we have  $\pi_{\varphi}(\sigma_{t}^{\varphi}(x))\xi_{\varphi} = \Delta_{\varphi}^{i}\pi_{\varphi}(x)\xi_{\varphi} = \pi_{\varphi}(u)J_{\varphi}\pi_{\varphi}(u)J_{\varphi}\pi_{\varphi}(x)\xi_{\varphi} = \dots = \pi_{\varphi}(uxu^{*})\xi_{\varphi}$ , hence  $\sigma_{t}^{\varphi} = \mathrm{Ad}(u)$  and  $t \in T(\mathcal{M})$ .

If  $\mathcal M$  has separable predual, the  $U(\mathcal M^\varphi)$  and  $U(\mathcal B(\mathcal H_\varphi))$  are polish topological groups and the mappings  $t\mapsto \Delta_\varphi^{it}$  and  $u\mapsto \pi_\varphi(u)J_\varphi\pi_\varphi(u)J_\varphi$  are continuous. Consequently,

(2) if  $\mathcal{M}$  has separable predual, then  $T(\mathcal{M})$  is an analytic subgroup of  $\mathbb{R}$ .

In particular,  $T(\mathcal{M})$  is in this case Lebesgue measurable. If  $T(\mathcal{M})$  has positive measure, then  $T(\mathcal{M})$  is open and hence  $T(\mathcal{M}) = \mathbb{R}$ . Therefore,

(3) if  $\mathcal{M}$  is a type III  $W^*$ -algebra with separable predual, then  $T(\mathcal{M})$  has zero Lebesgue measure.

On the other hand, we mention that every subgroup of  $\mathbb{R}$  is of the form  $T(\mathcal{M})$  for some countably decomposable factor  $\mathcal{M}$  ([36], 1.5.8).

27.7. A  $W^*$ -algebra  $\mathcal{M}$  is called *normal* if for every unital  $W^*$ -subalgebra  $\mathcal{N} \subset \mathcal{M}$  the condition  $\mathcal{N} = (\mathcal{N}' \cap \mathcal{M})' \cap \mathcal{M}$  holds. By the von Neumann double commutant theorem ([L], 3.2), any type I factor is normal. As an application of the invariant  $T(\mathcal{M})$ , we prove the following result.

Proposition. No type III factor with separable predual is normal.

Proof. Let  $\mathcal{M} \subset \mathcal{B}(\mathcal{H})$  be a type III factor acting on the separable Hilbert space  $\mathcal{H}$ . Then  $\mathcal{N} = \mathcal{M}' \cap \mathcal{B}(\mathcal{H})$  is a type III factor in standard form (see [L], 8.13) and  $\mathcal{M} \approx \mathcal{N}' \otimes \mathcal{F}$ , where  $\mathcal{F}$  is the countably decomposable infinite type I factor. Since  $T(\mathcal{N})$  has zero Lebesgue measure (27.6.(3)), there is a  $t \in \mathbb{R}$  such that  $nt \notin T(\mathcal{N})$  for every  $n \in \mathbb{Z}$ . Let  $\psi$  be an n.s.f. weight on  $\mathcal{N}$  and  $\sigma = \sigma_t^{\gamma} \in Aut(\mathcal{N})$ . Then, for every  $n \in \mathbb{Z}$ ,  $\sigma^n$  is an outer \*-automorphism of  $\mathcal{N}$ . On the other hand, as  $\mathcal{N}$  is in standard form, there is  $v \in U(\mathcal{B}(\mathcal{H}))$  such that  $\sigma = Ad(v)$ . Let  $\mathcal{P} = \mathcal{R}\{\mathcal{N} \otimes 1, v \otimes \rho(1)\} \subset \mathcal{B}(\mathcal{H} \otimes l^2(\mathbb{Z}))$ , where  $\rho$  is the right regular representation of  $\mathbb{Z}$ , and  $Q = \mathcal{P}' \subset (\mathcal{N} \otimes l)' = \mathcal{N}' \otimes \mathcal{F} = \mathcal{M}$ . We have  $\mathcal{N} \otimes 1 \neq \mathcal{P}$  since  $v \otimes \rho(1) \notin \mathcal{N} \otimes 1$ , hence  $Q \neq \mathcal{M}$ . On the other hand, we have  $Q' \cap \mathcal{M} = \mathcal{P}'' \cap (\mathcal{N} \otimes l)' = \mathcal{P} \cap (\mathcal{N} \otimes l)' = \mathcal{C} \cdot 1$ ; the last equality follows as in the relative commutant theorem (22.3.(1)), since the action  $n \mapsto \sigma^n$  of  $\mathbb{Z}$  on  $\mathcal{N}$  is properly outer (see also 19.14.(1)). Thus,  $(Q' \cap \mathcal{M})' \cap \mathcal{M} = \mathcal{M} \neq Q$ .

It is a classical result ([93] that no type II factor is normal. Thus the only normal factors with separable predual are those of type I.

27.8. Notes. The invariant  $T(\mathcal{M})$  was introduced by Connes [36], who obtained the results presented in this Section. Moreover, Connes ([36], 1.3.7) computed the invariant  $T(\mathcal{M})$  for infinite tensor product factors, and obtained an explicit expression of  $T(\mathcal{M})$  in terms of the eigenvalue list for Araki—Woods factors ([36], 1.3.9). In particular, for the Powers factors  $\mathcal{B}_1$  ( $0 < \lambda < 1$ ) we have  $T(\mathcal{B}_{\lambda}) = \{nt; n \in \mathbb{Z}\}$  where  $t = -2\pi/\ln(\lambda)$ , from which it follows that  $\{\mathcal{B}_{\lambda}\}_{0 < \lambda < 1}$  is a continuous family of approximately finite dimensional (or injective) non-isomorphic type III factors ([190]). Since  $T(\mathcal{B}_{\lambda} \otimes \mathcal{L}(F_2)) = T(\mathcal{B}_{\lambda})$ , we see that  $\{\mathcal{B}_{\lambda} \otimes \mathcal{L}(F_2)\}_{0 < \lambda < 1}$  is a continuous family of non-injective non-isomorphic type III factors (see also [204]). For an arbitrary factor  $\mathcal{M}$ , Araki and Woods [9] introduced the invariant  $\rho(\mathcal{M})$  as the set of all  $\lambda \in [0, 1]$  such that  $\mathcal{M} \otimes \mathcal{B}_{\lambda} \approx \mathcal{B}_{\lambda}$ . For  $\lambda \in (0, 1)$  and  $t = -2\pi/\ln(\lambda)$ , Connes ([36], 3.6.2) proved that  $\lambda \in \rho(\mathcal{M}) \Rightarrow t \in T(\mathcal{M})$  for any factor  $\mathcal{M}$  and that  $\lambda \in \rho(\mathcal{M}) \Rightarrow t \in T(\mathcal{M})$  whenever  $\mathcal{M}$  is an Araki—Woods factor; note that  $T(\mathcal{L}(F_2)) = \mathbb{R}$  but  $\rho(\mathfrak{L}(F_2)) = \mathcal{O}$  ([36], 3.6.5). For every subgroup  $\mathbb{C}$  of  $\mathbb{R}$  there exists a countably decomposable factor  $\mathcal{M}$  such that  $T(\mathcal{M}) = \mathbb{C}$ ; moreover, there exists a countably decomposable factor  $\mathcal{M}$  of type III such that  $T(\mathcal{M}) = \mathbb{C}$  (compare with 27.2 and 27.6.(3)); if the group  $\mathbb{C}$  is countable, then there exists a factor  $\mathcal{M}$  with separable predual such that  $T(\mathcal{M}) = \mathbb{C}$  ([36], 1.5.8). For our exposition we have used [36].

## §28. The Connes invariant $S(\mathcal{M})$

In this Section we introduce the invariant  $S(\mathcal{M})$  as the intersection of the spectra of all modular operators.

28.1. We shall identify the dual group  $\hat{\mathbb{R}}$  of the additive group  $\mathbb{R}$  with the multiplicative group  $\mathbb{R}^+_+$  so that

$$\langle t, \lambda \rangle = \lambda^{it} \qquad (t \in \mathbb{R}, \ \lambda \in \mathbb{R}^+_*).$$

Then the Fourier transform of a function  $f \in \mathcal{L}^1(\mathbb{R})$  is given by

$$\hat{f}(\lambda) = \int_{-\infty}^{+\infty} f(t) \, \lambda^{it} \, \mathrm{d}t \qquad (\lambda \in \mathbb{R}_{+}^{+})$$

and for any positive nonsingular self-adjoint operator A we have

(1) 
$$\hat{f}(\Delta) = \int_{-\infty}^{+\infty} f(t) \Delta^{it} dt;$$

recall that if  $\sigma: \mathbb{R} \to Aut(\mathcal{M})$  is a continuous action of  $\mathbb{R}$  on the  $W^*$ -algebra  $\mathcal{M}$ , then

(2) 
$$\sigma_f(x) = \int_{-\infty}^{+\infty} f(t) \, \sigma_i(x) \, \mathrm{d}t \qquad (x \in \mathcal{M}).$$

Note that for every n.s.f. weight  $\varphi$  on  $\mathcal{M}$  we have

(3) 
$$a \in \mathfrak{N}_{\varphi}, f \in \mathcal{L}^{1}(\mathbb{R}) \Rightarrow \sigma_{f}^{\varphi}(a) \in \mathfrak{N}_{\varphi}, (\sigma_{f}^{\varphi}(a))_{\varphi} = \hat{f}(\Lambda_{\varphi}) a_{\varphi}.$$

For the proof we may assume that  $\int_{-\infty}^{+\infty} f(t) dt = 1$ . Then  $\sigma_t^{\varphi}(a)$  belongs to the w-closed convex hull of the set  $\{\sigma_t^{\varphi}(a); t \in \mathbb{R}\}$ , which is contained in the w-closed convex

convex hull of the set  $\{\sigma_i^{\varphi}(a); t \in \mathbb{R}\}$ , which is contained in the w-closed convex set  $\{x \in \mathcal{M}; \varphi(x^*x) \leq \varphi(a^*a)\}$  (see 5.9), whence  $\sigma_f^{\varphi}(a) \in \mathfrak{N}_{\varphi}$ . Then, for every  $b \in \mathfrak{N}_{\varphi}$  we have

$$\begin{split} ((\sigma_f^{\varphi}(a))_{\varphi}, \ b_{\varphi})_{\varphi} &= \varphi(b^*\sigma_f^{\varphi}(a)) = \int_{-\infty}^{+\infty} f(t) \ \varphi(b^*\sigma_f^{\varphi}(a)) \ \mathrm{d}t \\ &= \int_{-\infty}^{+\infty} f(t) \ (\Delta_{\varphi}^{\mathrm{i}t} a_{\varphi} | b_{\varphi})_{\varphi} \ \mathrm{d}t = (\hat{f}(\Delta_{\varphi}) \ a_{\varphi} | \ b_{\varphi})_{\varphi}, \end{split}$$

and  $(\sigma_i^{\varphi}(a))_{\varphi} = \hat{f}(\Delta_{\varphi})a_{\varphi}$ .

Proposition. For every  $\varphi \in W_{nsf}(\mathcal{M})$  we have

$$Sp \ \sigma^{\varphi} = Sp(\Delta_{\varphi}) \cap \mathbb{R}_{*}^{+}.$$

Proof. Using (3), for every  $f \in \mathcal{L}^1(\mathbb{R})$  we have  $\sigma_f^g = 0 \Leftrightarrow \sigma_f^g(a) = 0$  for all  $a \in \mathfrak{R}_{\sigma} \Leftrightarrow \hat{f}(\Delta_{\sigma}) a_{\sigma} = 0$  for all  $a \in \mathfrak{R}_{\sigma} \Leftrightarrow \hat{f}(\Delta_{\sigma}) = 0 \Leftrightarrow \hat{f} \mid Sp(\Delta_{\sigma}) \cap \mathbb{R}_{+}^+ = 0$ . Thus, if  $\lambda \in Sp(\Delta_{\sigma}) \cap \mathbb{R}_{+}^+$ , then  $\hat{f}(\lambda) = 0$  for every  $f \in \mathcal{L}^1(\mathbb{R})$  with  $\sigma_f^g = 0$ , i.e.  $\lambda \in Sp \sigma^g$ . Conversely, if  $\lambda \in \mathbb{R}_{+}^+$  but  $\lambda$  does not belong to the closed subset  $Sp(\Delta_{\sigma}) \cap \mathbb{R}_{+}^+$  of  $\mathbb{R}_{+}^+$ , then there exists a function  $f \in \mathcal{L}^1(\mathbb{R})$  such that  $\hat{f} \mid Sp(\Delta_{\sigma}) \cap \mathbb{R}_{+}^+ = 0$ , i.e.  $\sigma_f^g = 0$ , but  $\hat{f}(\lambda) \neq 0$ , and so  $\lambda \notin Sp \sigma^g$ .

In particular, it follows that  $1 \in \operatorname{Sp}(\Delta_{\varphi})$  for each  $\varphi \in W_{nsf}(\mathcal{M})$ ; if  $\varphi$  is finite, this is obvious since  $\Delta_{\varphi} \xi_{\varphi} = \xi_{\varphi}$ .

Note that  $Sp(\Delta_{\varphi})$  is symmetrical about 1 since  $\Delta_{\varphi} = J_{\varphi} \Delta_{\varphi}^{-1} J_{\varphi}$ .

28.2. In what follows we restrict our considerations to the case of factors. For each factor *M*, the set

$$S(\mathcal{M}) = \bigcap \{ Sp(\Delta_{\varphi}); \ \varphi \in W_{\mathrm{nsf}}(\mathcal{M}) \}$$

is a closed subset of  $\mathbb{R}_{\bullet}^+ = [0, +\infty)$  and an algebraic invariant of  $\mathcal{M}$ .

**Proposition.** A factor  $\mathcal{M}$  is semifinite if and only if  $0 \notin S(\mathcal{M})$ ; in this case,  $S(\mathcal{M}) = \{1\}$ .

A factor  $\mathcal M$  is finite if and only if there exists a faithful normal state  $\varphi$  on  $\mathcal M$  such that  $0 \notin Sp(\Delta_{\varphi})$ .

Proof. If  $\mathcal{M}$  is semifinite and  $\tau$  is an n.s.f. trace on  $\mathcal{M}$ ,  $\Delta_{\tau} = 1$ ,  $Sp(\Delta_{\tau}) = \{1\}$  and  $S(\mathcal{M}) = \{1\}$ . Conversely, assume that  $0 \notin S(\mathcal{M})$ , so that there is a  $\varphi \in W_{n,f}(\mathcal{M})$  such that  $0 \notin Sp(\Delta_{\varphi})$ . Then there is  $\lambda > 1$  such that  $Sp(\Delta_{\varphi}) \subset [\lambda^{-1}, \lambda]$ . Since  $\pi_{\varphi}(\sigma_{\tau}^{\varphi}(x)) = \Delta_{\varphi}^{it}\pi_{\varphi}(x) \Delta_{\varphi}^{-it}$   $(x \in \mathcal{M}, t \in \mathbb{R})$ , it follows in accordance with Theorem 15.8 that there exists an s-continuous unitary representation  $\mathbb{R} \ni t \mapsto u(t) \in \mathcal{M}$  such that  $\sigma_{\tau}^{\varphi} = Ad(u(t))$   $(t \in \mathbb{R})$ , thus  $\mathcal{M}$  is semifinite by Proposition 27.2.

Assume now that there exists a faithful normal state  $\varphi$  on  $\mathscr{M}$  such that  $\Delta_{\varphi}$  is bounded. We show that the \*-operation is s-continuous on the closed unit ball of  $\mathscr{M}$ , so that  $\mathscr{M}$  is finite ([L], 7.23). If  $\{x_i\} \subset \mathscr{M}$  is a net such that  $\|x_i\| \leq 1$  and  $x_i \xrightarrow{s} x \in \mathscr{M}$ , then  $\varphi((x_i - x)(x_i - x)^*) = \|(x_i^*)_{\varphi} - x_{\varphi}^*\|_{\varphi}^2 = \|J_{\varphi}\Delta_{\varphi}^{1/2}(x_i)_{\varphi} - J_{\varphi}\Delta_{\varphi}^{1/2}(x_i)_{\varphi} - \|J_{\varphi}\Delta_{\varphi}^{1/2}(x_i)_{\varphi} - \|J_{\varphi}\Delta_{\varphi}$ 

28.3. Theorem. Let  $\mathcal{M}$  be a factor. For every  $\varphi \in W_{nsf}(\mathcal{M})$  we have

$$S(\mathcal{M}) \cap \mathbb{R}^+_* = \Gamma(\sigma^{\phi})$$

(2) 
$$S(\mathcal{M}) \cap \mathbb{R}^+_* = \bigcap \{ Sp(\Delta_{\varphi_*}); 0 \neq e \in Proj(\mathcal{M}^{\varphi}) \}$$

(3) 
$$S(\mathcal{M}) \cap \mathbb{R}^+_* = \bigcap \{ Sp(\Delta_{\varphi_*}); \ 0 \neq e \in Proj(\mathcal{Z}(\mathcal{M}^{\varphi})) \}$$

thus  $S(\mathcal{M}) \cap \mathbb{R}^+$  is a closed subgroup of  $\mathbb{R}^+$  and

$$(S(\mathcal{M}) \cap \mathbb{R}^+_\bullet) \cdot Sp(\Delta_\bullet) \subset Sp(\Delta_\bullet).$$

Also, we have

(5) 
$$S(\mathcal{M}) = \bigcap \{ Sp(\Delta_{\phi}); \ \psi \in W_{asf}(\mathcal{M}) \ strictly \ semifinite \}.$$

If M is countably decomposable, then the identity

(6) 
$$S(\mathcal{M}) = \bigcap \{ Sp(\Delta_{\bullet}); \ \psi \ faithful \ normal \ state \ on \ \mathcal{M} \}$$

holds, except when  $\mathcal{M}$  is both infinite and semifinite; in this case  $S(\mathcal{M}) = \{1\}$ , while the right hand side of (6) is equal to  $\{0, 1\}$ .

**Proof.** By Theorem 16.6,  $\Gamma(\sigma^{\bullet})$  is the intersection of the spectra  $Sp \sigma$  of all continuous actions  $\sigma: \mathbb{R} \to Aut(\mathcal{M})$  with  $\sigma \sim \sigma^{\bullet}$ . By Theorems 3.1 and 5.1, we have  $\sigma \sim \sigma^{\bullet}$  if and only if  $\sigma = \sigma^{\bullet}$  for some  $\psi \in W_{nsf}(\mathcal{M})$ . Thus, (1) follows from the definition of  $S(\mathcal{M})$  and Proposition 28.1. The fact that  $S(\mathcal{M}) \cap \mathbb{R}^+_{\bullet}$  is a closed subgroup of  $\mathbb{R}^+_{\bullet}$  and the proof of (4) follow from (1) and Proposition 16.1. Since, for every  $0 \neq e \in Proj(\mathcal{M}^{\bullet})$ ,  $\sigma^{\bullet \bullet}$  is just the restriction of  $\sigma^{\bullet}$  to  $e\mathcal{M}e$ , (2) and (3) follow from the definition of  $\Gamma(\sigma^{\bullet})$  (16.1).

We now prove (5). The semifinite case being trivial, we shall assume that  $\mathcal{M}$  is of type III. There exists a strictly semifinite  $\varphi \in W_{nsf}(\mathcal{M})$ . For every  $0 \neq e \in Proj(\mathcal{M}^{\varphi})$ ,  $\psi = \varphi_e \overline{\otimes} tr$  is a strictly semifinite normal faithful weight on  $\mathcal{M}_e \overline{\otimes} \mathcal{F}_{\infty} \approx \mathcal{M}$  and  $Sp(\Delta_{\psi}) = Sp(\Delta_{\varphi_e} \overline{\otimes} 1) = Sp(\Delta_{\varphi_e})$ . Hence (5) follows from (2). Finally, assuming  $\mathcal{M}$  countably decomposable, we prove (6). If  $\mathcal{M}$  is of

Finally, assuming  $\mathcal{M}$  countably decomposable, we prove (6). If  $\mathcal{M}$  is of type III,  $\varphi$  is a faithful normal state on  $\mathcal{M}$  and  $0 \neq e \in Proj(\mathcal{M}^{\varphi})$ , then  $\varphi_e$  is a faithful normal state on  $\mathcal{M}_e \approx \mathcal{M}_e$ , so that there exists a faithful normal state  $\psi$  on  $\mathcal{M}$  with  $Sp(\Delta_{\psi}) = Sp(\Delta_{\varphi_e})$  and we can apply (2). If  $\mathcal{M}$  is finite, then, by Proposition 28.2, both sides of (6) are reduced to {1}. If  $\mathcal{M}$  is semifinite and infinite, then, again by Proposition 28.2,  $S(\mathcal{M}) = \{1\}$ , while the right hand side of (6) contains the set  $\{0, 1\}$ . Let  $\lambda > 0$ ,  $\lambda \neq 1$ , and  $t \in \mathbb{R} = T(\mathcal{M})$  with  $\lambda^{it} \neq 1$ . By Theorem 27.1. (vi), there exists a faithful normal state  $\varphi$  on  $\mathcal{M}$  with  $\sigma_i^{\varphi} = \iota$ , i.e.  $\Delta_{\varphi}^{i\varphi} \in \mathcal{Z}(\mathcal{M}) = \mathbb{C} \cdot 1$ , whence  $\lambda \notin Sp(\Delta_{\varphi})$  and  $\lambda \notin S(\mathcal{M})$ .

From (3) it follows that for  $\varphi \in W_{nsf}(\mathcal{M})$  we have

(7) 
$$\mathcal{M}^{\phi} \text{ is a factor} \Rightarrow S(\mathcal{M}) = Sp(\Delta_{\phi}).$$

Also, using (1) and Proposition 16.10, we see that

(8) 
$$S(\mathcal{M}) = \{0, 1\} \Rightarrow \mathcal{Z}(\mathcal{M}^{\bullet})$$
 has no minimal projections.

28.4. Proposition. Let  $\mathcal{M}$  be a factor. If  $\mathcal{N}$  is a semifinite factor,

(1) 
$$S(\mathscr{M} \otimes \mathscr{N}) = S(\mathscr{M});$$

if  $e \in \mathcal{M}$  is a non-zero projection,

$$S(\mathscr{M}_{\epsilon}) = S(\mathscr{M});$$

and if  $\mathcal{M} \subset \mathcal{B}(\mathcal{H})$  is realized as a von Neumann algebra,

$$S(\mathcal{M}') = S(\mathcal{M}).$$

**Proof.** (1) If  $\varphi$  is an n.s.f. weight on  $\mathcal{M}$  and  $\tau$  is an n.s.f. trace on  $\mathcal{N}$ , then  $\varphi \otimes \tau$  is an n.s.f. weight on  $\mathcal{M} \otimes \mathcal{N}$  and  $\mathcal{Z}((\mathcal{M} \otimes \mathcal{N})^{\varphi \circ \tau}) = \mathcal{Z}(\mathcal{M}^{\varphi}) \otimes 1$ ; thus (1) follows from 28.3.(3) and 10.21.(4).

(2) It is clear that  $\mathcal{M}_e$  is semifinite if and only if  $\mathcal{M}$  is semifinite, and so (2) follows from 28.3.(1) using 16.2.(3).

(3) Using (1) and (2), the proof is similar to the proof of 27.3.(4).

28.5. In this Section we compute the invariant  $S(\mathcal{M})$  for crossed products by properly outer actions of discrete groups. More generally, let  $\mathcal{M}$  be a  $W^*$ -algebra,  $\mathcal{N} \subset \mathcal{M}$  a semifinite unital  $W^*$ -subalgebra with  $\mathcal{L}(\mathcal{N}) = \mathcal{N}' \cap \mathcal{M}$ ,  $P: \mathcal{M} \to \mathcal{N}$  a faithful normal conditional expectation of  $\mathcal{M}$  onto  $\mathcal{N}$  and  $\mathcal{G} \subset \mathcal{M}(P)$  a subgroup of the normalizer  $\mathcal{M}(P)$  of P such that  $\mathcal{M} = \mathcal{R}\{\mathcal{N}, \mathcal{G}\}$ . Let  $\tau$  be a fixed n.s.f. trace

on  $\mathcal{M}$ . For every  $u \in \mathcal{M}(P)$  there exists a unique positive nonsingular self-adjoint operator  $A_u$  affiliated to  $\mathcal{Z}(\mathcal{N})$  such that  $\tau(u, u^*) = \tau_{A_u}$ .

Theorem. In the above setting if  $\lambda > 0$  we have  $\lambda \in S(\mathcal{M})$  if and only if for  $\varepsilon > 0$  and e a non-zero projection in  $\mathcal{Z}(\mathcal{N})$  there exist a non-zero projection  $p \in \mathcal{Z}(\mathcal{N})$  and  $u \in \mathcal{Q}$  such that  $p \leq e$ ,  $upu^* \leq e$  and  $Sp(A_up|\mathcal{N}p) \subset (\lambda - \varepsilon, \lambda + \varepsilon)$ .

Proof. Let  $\varphi = \tau \circ P \in W_{nsf}(\mathcal{M})$ .

We shall first prove that for every non-zero projection  $e \in \mathcal{Z}(\mathcal{N})$  and closed set  $F \subset \mathbb{R}^+_+$  we have

(1) 
$$\bigvee \{ \mathbf{r}(x); \ x \in \mathcal{M}(\sigma^{\bullet}; F) \cap e\mathcal{M}e \} = \bigvee \{ e(u^{\bullet}eu)\chi_F(A_u); \ u \in \mathcal{G} \}.$$

Indeed, let  $d = \bigvee \{e(u^*eu)\chi_F(A_u); u \in \mathcal{C}\} \in \mathcal{Z}(\mathcal{N})$ . For  $f \in \mathcal{L}^1(\mathbb{R})$  and  $u \in \mathcal{C}$  we have  $\sigma_f^{\sigma}(eu\chi_F(A_u)e) = e\sigma_f^{\sigma}(u)\chi_F(A_u)e$  since both e and  $\chi_F(A_u)$  belong to  $\mathcal{N} \subset \mathcal{M}^{\sigma}$ . Then, using 27.4.(2), we obtain  $\sigma_f^{\sigma}(u) = \int f(t)\sigma_i^{\sigma}(u) dt = \int f(t)uA_u^{it} dt = u \int f(t)A_u^{it} dt = u \int f(t)A_u^{it} dt = u \int f(t)A_u^{it} dt = u \int f(A_u)e$ . Thus, if  $f \in \mathcal{L}^1(\mathbb{R})$  and  $\hat{f}$  vanishes on a neighbourhood of f,  $\sigma_f^{\sigma}(eu\chi_F(A_u)e) = 0$ , i.e.  $eu\chi_F(A_u)e \in \mathcal{M}(\sigma^{\sigma}; F) \cap e\mathcal{M}e$ . Since  $\mathbf{r}(eu\chi_F(A_u)e) = \mathbf{r}(eu\chi_F(A_u)e) = \mathbf{r}(eu\chi_F(A_u)e) = e(u^*eu)\chi_F(A_u)e$ , it follows that

$$d \leqslant \mathsf{V}\{\mathsf{r}(x);\ x \in \mathscr{M}(\sigma^{\bullet};F) \cap e\mathscr{M}e\}.$$

It remains to be shown that for every  $x \in \mathcal{M}(\sigma^{\phi}; F) \cap e\mathcal{M}e$  we have xd = x. Let  $f \in \mathcal{L}^{1}(\mathbb{R})$  be such that  $\hat{f}$  vanishes on a neighbourhood of F and let  $u \in \mathcal{G}$ . We have  $P(u^{\bullet}\sigma_{f}^{\phi}(x)) = P(u^{\bullet}\int_{i}^{f}(t)\sigma_{i}^{\phi}(x) \, dt) = \int_{i}^{f}(t)P(u^{\bullet}\sigma_{i}^{\phi}(x)) \, dt = \int_{i}^{f}(t)P(\sigma_{i}^{\phi}(\sigma_{-i}^{\phi}(u^{\bullet})x)) \, dt = \int_{i}^{f}(t)P(\sigma_{-i}^{\phi}(u^{\bullet})x) \, dt = \int_{i}^{f}(t)P($ 

Note that  $\mathcal{N} \subset \mathcal{M}^{\bullet}$  and  $\mathcal{Z}(\mathcal{M}^{\bullet}) \subset \mathcal{N}' \cap \mathcal{M} = \mathcal{Z}(\mathcal{N})$ . By Theorem 28.3 and Proposition 28.1, it follows that

(2) 
$$S(\mathcal{M}) \cap \mathbb{R}_{+}^{+} = \bigcap \{ Sp \ \sigma^{\varphi_{e}}; \ 0 \neq e \in Proj(\mathcal{Z}(\mathcal{N})) \}.$$
Consider now  $0 \neq \lambda \in S(\mathcal{M}), \ \varepsilon > 0, \ 0 \neq e \in Proj(\mathcal{Z}(\mathcal{N})) \text{ and } F = \left[\lambda - \frac{\varepsilon}{2}, \lambda + \frac{\varepsilon}{2}\right].$  From (2) we infer that  $\lambda \in Sp \ \sigma^{\varphi_{e}}$ , hence  $\bigvee \{ \mathbf{r}(x); x \in \mathcal{M}(\sigma^{\varphi}; F) \cap e\mathcal{M}e \} \neq 0$ 

and from (1) it follows that there exists  $u \in \mathcal{G}$  such that  $p = e(u^*eu)\chi_F(A_u) \neq 0$ . Then  $p \in \mathcal{Z}(\mathcal{N})$ ,  $p \leq e$ ,  $upu^* \leq e$  and  $Sp(A_up|\mathcal{N}p) \subset F \subset (\lambda - \varepsilon, \lambda + \varepsilon)$ .

Conversely, let  $0 \neq e \in Proj(\mathcal{Z}(\mathcal{N}))$ ,  $\varepsilon > 0$  and  $F = [\lambda - \varepsilon, \lambda + \varepsilon]$ . By assumption, we can find  $0 \neq p \in Proj(\mathcal{Z}(\mathcal{N}))$ ,  $p \leq e$ , and  $u \in \mathcal{G}$  with  $upu^* \leq e$  and  $Sp(A_up|\mathcal{N}p) \subset F$ ; thus  $V\{e(u^*eu)\chi_F(A_u); u \in \mathcal{G}\} \neq 0$ . From (1) it follows that  $\mathcal{M}(\sigma^{\varphi}; F) \cap e\mathcal{M}e \neq 0$ , hence  $\lambda \in Sp\sigma^{\varphi_e}$ . Using (2) we conclude that  $\lambda \in S(\mathcal{M})$ .

**28.6.** For instance, Theorem 28.5 holds if  $\mathcal{M} = \mathcal{R}(\mathcal{N}, \sigma)$  is the crossed product of a semifinite  $W^*$ -algebra  $\mathcal{N}$  by a properly outer action  $\sigma: G \to Aut(\mathcal{N})$  of a discrete group G which is ergodic on  $\mathcal{Z}(\mathcal{N})$  (see 27.5 and Corollary 1/22.6).

In particular, let  $G \ni g \to T_g$  be a free ergodic action of G on the measure space  $(\Omega, \mu)$  with  $\mu$  a G-quasi-invariant measure (see 22.8, 27.5). We then obtain a free ergodic action  $\sigma: G \to Aut(\mathcal{N})$  of G on the abelian  $W^*$ -algebra  $\mathcal{N} = \mathcal{L}^{\infty}(\Omega, \mu)$  and the crossed product  $\mathcal{M} = \mathcal{R}(\mathcal{N}, \sigma)$  is a factor.

For the dynamical system  $(\Omega, \mu, G)$  one defines (cf. [9], [144]) an invariant called "ratio set" as being the set r(G) of all  $\lambda \ge 0$  with the following property: for every  $\varepsilon > 0$  and every  $\mu$ -measurable set  $A \subset \Omega$  with  $\mu(A) > 0$ , there exist a  $\mu$ -measurable set  $B \subset A$  with  $\mu(B) > 0$  and  $g \in G$  such that  $T_g(B) \subset A$  and

$$|[d(\mu \circ T_a)/d\mu](\omega) - \lambda| < \varepsilon \text{ for all } \omega \in B.$$

It is easy to see that  $0 \notin r(G)$  if and only if there exists a G-invariant sigmafinite positive measure v on  $\Omega$  which is equivalent to  $\mu$  (see [144]), that is, if and only if the crossed product  $\mathcal{M} = \mathcal{R}(\mathcal{N}, \sigma)$  is semifinite (22.8).

If  $\tau$  is the n.s.f. trace on  $\mathcal N$  defined by the measure  $\mu$ , then the unique positive nonsingular self-adjoint operator  $A_g$  affiliated to  $\mathcal N$  such that  $\tau \circ \sigma_g = \tau_{A_g}$  is the multiplication operator defined by the function  $A_g = \mathrm{d}(\mu \circ T_g)/\mathrm{d}\mu$   $(g \in G)$  (see 27.5).

Thus, we infer from Theorem 28.5 the following

Corollary. In the previous situation we have  $S(\mathcal{M}) = r(G)$ .

28.7. In this Section we give a spatial characterization of the invariant  $S(\mathcal{M})$ .

Let  $\Delta$  be a positive self-adjoint operator in the Hilbert space  $\mathcal{H}$  and  $D \subset Dom(\Delta)$  a vector subspace such that  $\Delta = \overline{\Delta \mid D}$ . For  $\lambda \in \mathbb{C}$  it is easy to check that

(1) 
$$\lambda \in Sp(\Delta) \Leftrightarrow there \ exist \ \xi_n \in D, \ \|\xi_n\| = 1, \ \|(\lambda - D)\xi_n\| \to 0,$$

(2) 
$$\lambda \notin Sp(\Delta) \Rightarrow \|(\lambda - \Delta)^{-1}\| = \operatorname{dist}(\lambda, Sp(\Delta))^{-1};$$

for  $\varepsilon > 0$ , it follows that

(3) dist
$$(\lambda, Sp(\Delta)) < \varepsilon \Leftrightarrow \text{there exists } \xi \in D, ||\xi|| > 1, ||(\lambda - \Delta)\xi|| < \varepsilon.$$

**Lemma.** Let  $\mathcal{M} \subset \mathcal{B}(\mathcal{H})$  be a von Neumann algebra with a cyclic and separating vector  $\xi \in \mathcal{H}$ ,  $\lambda \geq 0$  and  $\varepsilon > 0$ . Consider the statements:

$$A(\varepsilon)$$
: dist  $(\lambda^{1/2}, Sp(\Delta_{\xi}^{1/2})) < \varepsilon$ 

B(\varepsilon): there exist 
$$x \in \mathcal{M}$$
 and  $x' \in \mathcal{M}'$  such that  $\|\lambda^{1/2}x\xi - x'\xi\| < \varepsilon$ ,  $\|x^*\xi - \lambda^{1/2}x'^*\xi\| < \varepsilon$ ,  $\|x\xi\| > 1$ .

Then  $A(\varepsilon) \Rightarrow B(\varepsilon) \Rightarrow A(2\varepsilon)$ .

Proof. Put  $\Delta = \Delta_{\xi}$ ,  $J = J_{\xi}$ . Since  $\Delta^{1/2} = \overline{\Delta^{1/2}} | \mathcal{M}\xi$ , from statement  $A(\varepsilon)$  it follows that there is an  $x \in \mathcal{M}$  such that  $||x\xi|| > 1$  and  $||(\lambda^{1/2} - \Delta^{1/2}) x\xi|| < \varepsilon$ . Then  $x' = Jx^*J \in \mathcal{M}'$  and  $||\lambda^{1/2}x\xi - x'\xi|| = ||\lambda^{1/2}x\xi - Jx^*\xi|| = ||\lambda^{1/2}x\xi - \Delta^{1/2}x\xi|| < \varepsilon$ ,  $||x^*\xi - \lambda^{1/2}x'^*\xi|| = ||J\Delta^{1/2}x\xi - \lambda^{1/2}Jx\xi|| = ||\Delta^{1/2}x\xi - \lambda^{1/2}x\xi|| < \varepsilon$ . Hence  $A(\varepsilon) \Rightarrow B(\varepsilon)$ .

Conversely, assume that  $B(\varepsilon)$  holds and consider  $\eta = x\xi$ ,  $\zeta = Jx'^*\xi = \Delta^{-1/2}x'\xi$ . Then  $\eta$ ,  $\zeta \in Dom(\Delta^{1/2})$ ,  $\|\eta\| > 1$  and  $\|\lambda^{1/2}\eta - \Delta^{1/2}\zeta\| < \varepsilon$ ,  $\|\Delta^{1/2}\eta - \lambda^{1/2}\zeta\| < \varepsilon$ . Since  $\|\Delta^{1/2}(\lambda^{1/2} + \Delta^{1/2})^{-1}\| \le 1$ ,  $\|\lambda^{1/2}(\lambda^{1/2} + \Delta^{1/2})^{-1}\| \le 1$ , it follows that  $\|\lambda(\lambda^{1/2} + \Delta^{1/2})^{-1}\eta - \lambda^{1/2}\Delta^{1/2}(\lambda^{1/2} + \Delta^{1/2})^{-1}\zeta\| < \varepsilon$ ,  $\|\Delta(\lambda^{1/2} + \Delta^{1/2})^{-1}\eta - \lambda^{1/2}\Delta^{1/2}(\lambda^{1/2} + \Delta^{1/2})^{-1}\zeta\| < \varepsilon$ , hence  $\|(\lambda - \Delta)(\lambda^{1/2} + \Delta^{1/2})^{-1}\eta\| < 2\varepsilon$ . Thus,  $\|\eta\| > 1$  and  $\|(\lambda^{1/2} - \Delta^{1/2})\eta\| < 2\varepsilon$ , i. e.  $\operatorname{dist}(\lambda^{1/2}, Sp(\Delta^{1/2})) < 2\varepsilon$ . Therefore,  $B(\varepsilon) \Rightarrow A(2\varepsilon)$ .

**Theorem.** Let  $\mathcal{M} \subset \mathcal{B}(\mathcal{H})$  be a factor and let  $\lambda > 0$ . Then  $\lambda \in S(\mathcal{M})$  if and only if for every  $0 \neq \xi \in \mathcal{H}$  and  $\varepsilon > 0$  there exist  $x \in \mathcal{M}$  and  $x' \in \mathcal{M}'$  such that

(4) 
$$||x\xi|| > 1, \ ||x\xi - x'\xi|| < \varepsilon, \ ||x^*\xi - \lambda x'^*\xi|| < \varepsilon.$$

*Proof.* Assume that  $\lambda \in S(\mathcal{M})$ . Let  $\varepsilon > 0$ ,  $0 \neq \xi \in \mathcal{H}$  and  $e = p_{\xi} \in \mathcal{M}$ ,  $e' = p'_{\xi} \in \mathcal{M}'$  be the cyclic projections onto  $\overline{\mathcal{M}'\xi}$ ,  $\overline{\mathcal{M}\xi}$ , respectively. Then  $e'_{\varepsilon} = ee'$  is a projection in  $\mathcal{M}'_{\varepsilon} \subset \mathcal{B}(e\mathcal{H}')$  with central support equal to the identity of  $\mathcal{M}'_{\varepsilon}$ , so that the canonical induction

$$I: \mathcal{M}_o \to \mathcal{N} = \mathcal{M}_{ee'} \subset \mathcal{B}(ee'\mathcal{K})$$

is a \*-isomorphism and  $\xi \in ee'\mathcal{H}$  is a cyclic and separating vector for  $\mathcal{N}$ . Let  $\Delta$  be the modular operator associated with the factor  $\mathcal{N} \subset \mathcal{B}(ee'\mathcal{H})$  and the vector  $\xi \in ee'\mathcal{H}$ . By 28.4.(2) we have  $\lambda \in S(\mathcal{M}) = S(\mathcal{M}_e) = S(\mathcal{N})$ , hence  $\lambda \in Sp(\Delta)$ .

If  $\lambda=0$ , the previous Lemma shows that there exists  $y\in\mathcal{N}$  such that  $||y\xi||>1$  and  $||y^*\xi||<\varepsilon$ . Since  $y\xi\in ee'\mathcal{H}\subset\overline{\mathcal{M}'}\xi$ , there exists  $x'\in\mathcal{M}'$  such that  $||y\xi-x'\xi||<\varepsilon$ . Then, with  $x=I^{-1}(y)$  we have  $x\xi=y\xi$ ,  $x^*\xi=y^*\xi$ . The pair (x,x') satisfies (4).

If  $\lambda \neq 0$ , the previous Lemma shows that there exist  $y \in \mathcal{N}$ ,  $y' \in \mathcal{N}'$  such that  $||y\xi|| > 1$ ,  $||y\xi - \lambda^{-1/2}y'\xi|| < \varepsilon$  and  $||y^*\xi - \lambda^{1/2}x'^*\xi|| < \varepsilon$ . Then there exist  $x \in \mathcal{M}$ ,  $x' \in \mathcal{M}'$  such that  $x\xi = y\xi$ ,  $x^*\xi = y^*\xi$ ,  $\lambda^{1/2}x'\xi = y'\xi$ ,  $\lambda^{1/2}x'^*\xi = y'^*\xi$  and the pair (x, x') satisfies (4).

Conversely, assume that the condition in the statement is satisfied. If  $\mathcal{M}$  contains a finite projection, then it also contains a cyclic finite projection. Otherwise, every projection is purely infinite. Consequently, there exists a cyclic projection  $0 \neq e \in \mathcal{M}$  which is not simultaneously infinite and semifinite. Thus, it follows from 28.4.(2) and 28.3.(6) that we have to prove that  $\lambda \in Sp(\Delta_{\varphi})$  for every faithful normal state  $\varphi$  on  $\mathcal{M}_{e}$ .

Let  $\varphi$  be any faithful normal state on  $\mathcal{M}_e$ . Since e is cyclic, there exists  $\xi \in \mathcal{H}$  such that  $p_{\xi} = e$  and  $\varphi = \omega_{\xi} | e\mathcal{M}e$ . Put  $e' = p'_{\xi} \in \mathcal{M}'$ ,  $\mathcal{N} = \mathcal{M}_{ee'}$ ,  $\mathcal{N}' = \mathcal{M}'_{ee'}$  and denote by  $I: \mathcal{M}_e \to \mathcal{N}$ ,  $I': \mathcal{M}'_{e'} \to \mathcal{N}'$  the canonical inductions. Note that  $\xi \in ee'\mathcal{H}$  is a cyclic and separating vector for  $\mathcal{N}$ .

By assumption, there exist  $x \in \mathcal{M}$ ,  $x' \in \mathcal{M}'$  satisfying (4). Let  $x_1 = exe \in \mathcal{M}_e$ ,  $x_1' = e'x'e' \in \mathcal{M}'_e$ . We have  $||x_1\xi|| \ge ||ex'\xi|| - ||ex\xi - ex'\xi|| \ge ||x'\xi|| - ||x\xi - x'\xi|| \ge ||x\xi|| - 2||x\xi - x'\xi|| \ge 1 - 2\varepsilon$ ,  $||x_1\xi - x_1'\xi|| = ||ee'(x\xi - x'\xi)|| < \varepsilon$ ,  $||x_1^*\xi - \lambda x_1'^*\xi|| = ||ee'(x^*\xi - \lambda x'^*\xi)|| < \varepsilon$ . It follows that  $y = (1 - 2\varepsilon)^{-1}I(x_1) \in \mathcal{N}$ ,  $y' = \lambda^{1/2}(1 - 2\varepsilon)^{-1}I'(x_1') \in \mathcal{N}'$  and  $||y\xi|| > 1$ ,  $||\lambda^{1/2}y\xi - y'\xi|| < \lambda^{1/2}(1 - 2\varepsilon)^{-1}\varepsilon$ ,  $||y^*\xi - \lambda^{1/2}y'^*\xi|| < (1 - 2\varepsilon)^{-1}\varepsilon$ . As  $\varepsilon > 0$  was arbitrary, using the previous Lemma we conclude that  $\lambda \in Sp(\Delta_e)$ .

**28.8.** We shall say that the  $W^*$ -algebra  $\mathcal{M}$  has property  $L_{\lambda}$   $(0 \le \lambda \le 1/2)$  if for every faithful normal state  $\varphi$  on  $\mathcal{M}$  and  $\varepsilon > 0$  there exists a partial isometry  $u \in \mathcal{M}$  such that  $u^2 = 0$ ,  $uu^* + u^*u = 1$  and  $\|\lambda \varphi(u \cdot) - (1 - \lambda) \varphi(\cdot u)\| \le \varepsilon$ . Clearly, property  $L_{\lambda}$  is an algebraic invariant of  $\mathcal{M}$ .

As an application of the spatial characterization of  $S(\mathcal{M})$ , we shall prove the following result.

**Proposition.** If  $\mathcal{M}$  is a factor with property  $L_{\lambda}$ , then  $\lambda/(1-\lambda) \in S(\mathcal{M})(0 < \lambda < 1/2)$ .

For the proof, we need the following

Lemma. Let  $\mathcal{M} \subset \mathcal{B}(\mathcal{H})$  be a factor in standard form, let  $\varepsilon > 0$  and let  $\xi_1, \xi_2 \in \mathcal{H}$  with  $\|(\omega_{\xi_1} - \omega_{\xi_2})\| \mathcal{M}\| < \varepsilon$ . Then there exists a unitary element  $u' \in \mathcal{M}'$  such that  $\|\dot{u}'\xi_1 - \xi_2\|^2 < 4\varepsilon$ .

**Proof.** Assume first that  $\omega_{\xi_1} = \omega_{\xi_1}$  on  $\mathcal{M}$ . Then there exists a partial isometry  $w' \in \mathcal{M}'$  such that  $w'x\xi_1 = x\xi_2(x \in \mathcal{M})$  and  $w'([\mathcal{M}\xi_1]^{\perp}) = 0$ , whence  $w'^*w' = p'_{\xi_1}$ ,  $w'w'^* = p'_{\xi_1}$ . Using the comparison theorem in  $\mathcal{M}'$  ([L], 4.6). it follows, for instance, that  $1 - p'_{\xi_1} < 1 - p'_{\xi_1}$ , and there exists a partial isometry  $v' \in \mathcal{M}'$  such that  $v'^*v' = 1 - p'_{\xi_1}$ ,  $v'v'^* \le 1 - p'_{\xi_1}$ . Then  $w' + v' \in \mathcal{M}'$  is an isometry with  $(w' + v') \xi_1 = \xi_2$ . Since every isometry is the s-limit of a sequence of unitary elements (see [77], Lemma 2), it follows that there exists  $u' \in \mathcal{U}(\mathcal{M}')$  with  $||u'\xi_1 - \xi_2||^2 < \varepsilon$ .

In the general case, consider  $\varphi_1 = \omega_{\xi_1}$ ,  $\varphi_2 = \omega_{\xi_2}$  with  $\|\varphi_1 - \varphi_2\| < \varepsilon$  and  $\psi = \varphi_1 + (\varphi_1 - \varphi_2)^- = \varphi_2 + (\varphi_1 - \varphi_2)^+$ . Since  $\mathscr{M}$  is in standard form, there exists  $\eta \in \mathscr{H}$  such that  $\psi = \omega_{\mathfrak{q}}$  ([L], 10.25) and since  $\varphi_1 \leq \psi$ , there exists  $a' \in \mathscr{M}'$ ,

 $0 \le a' \le 1$  such that  $\varphi_1 = \omega_{a'\eta}$ . Then  $||a'\eta - \eta||^2 = ((1 - a')^2\eta|\eta) \le ((1 - a') \times (1 + a')\eta|\eta) = ||\eta||^2 - ||a'\eta||^2 = (\psi - \varphi_1)(1) = ||\psi - \varphi_1|| \le ||\varphi_1 - \varphi_2|| < \varepsilon$ , and there exists  $\eta_1 \in \mathscr{H}$  with  $\varphi_1 = \omega_{\eta_1}$  and  $||\eta_1 - \eta||^2 < \varepsilon$ . By the first part of the proof it follows that there is a  $u'_1 \in U(\mathscr{M}')$  with  $||u'_1\xi_1 - \eta||^2 < 2\varepsilon$ . Similarly, there is a  $u'_2 \in U(\mathscr{M}')$  with  $||u'_2\xi_2 - \eta||^2 < 2\varepsilon$ . Hence  $u' = (u'_2)^{-1}u'_1 \in U(\mathscr{M}')$  and  $||u'\xi_1 - \xi_2||^2 < 4\varepsilon$ .

Proof of the Proposition. We may assume that  $\mathcal{M} \subset \mathcal{B}(\mathcal{H})$  is in standard form. Let  $\mathcal{H}_1$  be a 4-dimensional Hilbert space with orthonormal basis  $\{\varepsilon_{ij}\}_{1 \leq i,j \leq 2}$ , let  $\mathcal{F}$  be the type  $I_2$  factor generated in  $\mathcal{B}(\mathcal{H}_1)$  by the system of matrix units  $\{e_{ii}\}_{1 \leq i,j \leq 2}$  where  $e_{ij}\varepsilon_{kl} = \delta_{jk}\varepsilon_{il}$ , and let  $\mathcal{F}'$  be the type  $I_2$  factor generated in  $\mathcal{B}(\mathcal{H}_1)$  by the system of matrix units  $\{e'_{ij}\}_{1 \leq i,j \leq 2}$  where  $e'_{ij}\varepsilon_{kl} = \delta_{il}\varepsilon_{kj}$  and  $\eta_1 = \lambda^{1/2}\varepsilon_{11} + (1 - \lambda)^{1/2}\varepsilon_{22} \in \mathcal{H}_1$ . Then  $\mathcal{F}'$  is the commutant of  $\mathcal{F}$  and  $\eta_1$  is a cyclic and separating vector for  $\mathcal{F}$ .

Consider now  $\xi \in \mathcal{H}$ ,  $\|\xi\| = 1$ ,  $\varphi = \omega_{\xi} | \mathcal{M}$  and  $0 < \varepsilon < \lambda/2$ . By assumption, there is a partial isometry  $u \in \mathcal{M}$  such that  $u^2 = 0$ ,  $uu^* + u^*u = 1$  and

$$|\lambda \varphi(ux) - (1 - \lambda)\varphi(xu)| \leq \varepsilon ||x|| \qquad (x \in \mathcal{M})$$

$$(2) |\lambda \varphi(xu^*) - (1-\lambda)\varphi(u^*x)| \leq \varepsilon ||x|| (x \in \mathscr{M}).$$

Let  $e = u^*u \in \mathcal{M}$ . Then  $1 - e = uu^*$  and from (1) with  $x = u^*$  we deduce that  $|\lambda \varphi(1 - e) - (1 - \lambda)\varphi(e)| \le \varepsilon$ ,  $|\lambda - \varphi(e)| \le \varepsilon$  and, as  $\varepsilon < \lambda/2$ , we get

$$\varphi(e) < \lambda/2.$$

On the other hand, since  $(u^*)^2 = 0$ , from (2) with  $x = uyuu^*$  we deduce that  $|(1-\lambda)\varphi(ey(1-e))| \le \varepsilon ||y||$  and, as  $\lambda < 1/2$ ,  $1-\lambda > 1/2$ , we obtain  $|\varphi(ey(1-e))| \le \varepsilon ||y||$ ,  $|\varphi((1-e)ye)| \le 2\varepsilon ||y||$ , so that  $|\varphi(y) - \varphi(eye) - \varphi((1-e)y(1-e))| \le \varepsilon ||y|| (y \in \mathcal{M})$ . Using this inequality and also (1) with  $x = eyu^*$  and with  $x = u^*y(1-e)$ , we conclude that

(4) 
$$\|\varphi(\cdot) - \lambda \varphi(e \cdot e) - \lambda \varphi(u \cdot u^*) - (1 - \lambda) \varphi((1 - e) \cdot (1 - e)) - (1 - \lambda) \varphi(u^* \cdot u)\| \le 6\varepsilon.$$

Let  $\mathcal{N}$  be the subalgebra of  $\mathcal{M}$  generated by u and  $u^*$ . Then  $\{E_{11} = e = u^*u, E_{12} = u, E_{21} = u^*, E_{22} = 1 - e = uu^*\}$  is a system of matrix units in  $\mathcal{N}$ , so that  $\mathcal{N}$  is a type  $I_2$  factor. Every element  $x \in \mathcal{M}$  can be uniquely written in the form (9.15.(3))

(5) 
$$x = x_{11}e + x_{12}u + x_{21}u^* + x_{22}(1-e)$$

with  $x_{ij} \in \mathcal{N}' \cap \mathcal{M}$ . Since  $\mathcal{M}$  is a factor, it follows that  $\mathcal{N}' \cap \mathcal{M}$  is also a factor.

Let  $\mathcal{N}_1 = \mathcal{N}$  and  $\pi_1 \colon \mathcal{N}_1 \to \mathcal{F}$  be the \*-isomorphism defined by  $\pi_1(E_{ij}) = e_{ij}$  ( $1 \le i, j \le 2$ ). Let  $\mathcal{N}_2 = \mathcal{N}'$   $\cap$   $\mathcal{M}$  and  $\pi_2 \colon \mathcal{N}_2 \to \mathcal{B}(\mathcal{H}_2)$  be the faithful standard representation of  $\mathcal{N}_2$  associated with  $\varphi \mid \mathcal{N}_2 = \omega_\xi \mid \mathcal{N}_2$  with the cyclic and separating vector  $\eta_2 \in \mathcal{H}_2$ , i.e.  $\varphi(x) = (\pi_2(x)\eta_2 \mid \eta_2) \ (x \in \mathcal{N}_2)$ . Using (5) we define a faithful standard representation  $\pi = \pi_1 \ \overline{\otimes} \ \pi_2 \colon \mathcal{M} \to \mathcal{F} \ \overline{\otimes} \ \pi_2(\mathcal{N}_2) \subset \mathcal{B}(\mathcal{H}_1 \ \overline{\otimes} \ \mathcal{H}_2)$ , i.e.  $\pi(x) = \sum_{i,j} e_{i,j} \ \overline{\otimes} \ \pi_2(x_{i,j}) \ (x \in \mathcal{M})$ . Thus, for  $x \in \mathcal{M}$  we have

(6) 
$$(\pi(x)(\eta_1 \otimes \eta_2)|\eta_1 \otimes \eta_2) = \sum_{ij} (e_{ij}\eta_1|\eta_1)\varphi(x_{ij}) = \lambda\varphi(x_{11}) + (1-\lambda)\varphi(x_{22})$$

Since  $x_{11} = exe + uxu^*$ ,  $x_{22} = (1 - e)x(1 - e) + u^*xu$ , from (6) and (4) it follows that

(7) 
$$\|(\pi(\cdot) (\eta_1 \otimes \eta_2)| \eta_1 \otimes \eta_2) - \varphi(\cdot)\| \leq 6\varepsilon.$$

Since any two standard forms are spatially isomorphic, from the previous Lemma and (7) it follows that there exists a unitary operator  $V: \mathcal{H} \to \mathcal{H}_1 \boxtimes \mathcal{H}_2$  such that  $\pi(x) = VxV^*$   $(x \in \mathcal{M})$  and

(8) 
$$||V^*(\eta_1 \otimes \eta_2) - \xi||^2 < 25\varepsilon.$$

Let  $t = \lambda^{1/2}/(1-\lambda)^{1/2}$  and  $u' = t^{-1}V^*(e'_{12} \otimes 1) \ V \in \mathcal{M}'$ . By (3) we have  $\|u\xi\|^2 = \varphi(u^*u) = \varphi(e) > \lambda/2$ , hence

$$||u\xi|| > \lambda^{1/2}/2.$$

From (8) we obtain  $||u\xi - u'\xi|| \le ||uV^*(\eta_1 \otimes \eta_2) - u'V^*(\eta_1 \otimes \eta_2)|| + 5\varepsilon^{1/2}(||u|| + ||u'||), ||u^*\xi - t^2u'^*\xi|| \le ||u^*V^*(\eta_1 \otimes \eta_2) - t^2u'^*V^*(\eta_1 \otimes \eta_2)|| + 5\varepsilon^{1/2}(||u|| + t^2||u'||).$  Since  $e_{12}\eta_1 = t^{-1}e'_{12}\eta_1$ ,  $e_{21}\eta_1 = te'_{21}\eta_1$  and  $VuV^* = \pi(u) = e_{12} \overline{\otimes} 1$ ,  $Vu'V^* = t^{-1}(e'_{12}\overline{\otimes} 1)$ , it follows that

(10) 
$$||u\xi - u'\xi|| \leq 5\varepsilon^{1/2}(1+t^{-1}), \ ||u^*\xi - t^2u'^*\xi|| \leq 5\varepsilon^{1/2}(1+t).$$

From Theorem 28.7, (9) and (10) we conclude that  $\lambda/(1-\lambda)=t^2\in S(\mathcal{M})$ .

**28.9.** Recall that if  $S \subset \mathbb{R}^+_* \equiv \hat{\mathbb{R}}$  is a closed subgroup, then its annihilator in  $\mathbb{R}$  is the set  $T = \{t \in \mathbb{R}; \ \lambda^{it} = 1 \text{ for all } \lambda \in S\}$ ; T is a closed subgroup of  $\mathbb{R}$  and  $S = \{\lambda \in \mathbb{R}^+_*; \ \lambda^{it} = 1 \text{ for all } t \in T\}$ .

The invariants  $T(\mathcal{M})$  and  $S(\mathcal{M})$  are connected by the following partial duality result.

Theorem. If  $\mathcal{M}$  is a factor and  $S(\mathcal{M}) \neq \{0, 1\}$ , then  $T(\mathcal{M})$  is the annihilator of  $S(\mathcal{M}) \cap \mathbb{R}^+$  in  $\mathbb{R}$ .

Proof. If  $S(\mathcal{M}) = \{1\}$ , then  $\mathcal{M}$  is semifinite (28.2) and hence  $T(\mathcal{M}) = \mathbb{R}(27.2)$ . Let  $\varphi$  be an n.s.f. weight on  $\mathcal{M}$ . If (28.3.(1))  $\Gamma(\sigma^{\varphi}) = S(\mathcal{M}) \cap \mathbb{R}_{+}^{+} \neq \{1\}$  then the quotient group  $\hat{\mathbb{R}}/\Gamma(\sigma^{\varphi})$  is compact and so, by Theorem 16.5, the annihilator of  $S(\mathcal{M}) \cap \mathbb{R}_{+}^{+} = \Gamma(\sigma^{\varphi})$  is  $Int(\sigma^{\varphi}) = T(\mathcal{M})$ .

We mention that for every  $t \in \mathbb{R}$  there exists a factor  $\mathscr{M}$  with separable predual such that  $T(\mathscr{M}) = \{nt; n \in \mathbb{Z}\}$  and  $S(\mathscr{M}) = \{0, 1\}$  (see [36], 3.4.4)

28.10. A general duality result can be obtained by considering the Bohr compactification  $\mathbb{B}$  of  $\mathbb{R}^+_+$  ([199]), i.e.  $\mathbb{B}$  is the dual group of the discrete additive group  $\mathbb{R}$  which we shall denote by  $\mathbb{R}_d$ . The identity mapping  $\iota \colon \mathbb{R}_d \to \mathbb{R}$  is a continuous group isomorphism and the dual mapping  $\beta \colon \mathbb{R}^+_+ \to \mathbb{B}$  is an injective continuous group homomorphism with dense range.

**Proposition.** For any factor  $\mathcal{M}$ , the invariant  $T(\mathcal{M}) \subset \mathbb{R}_4$  is the annihilator of the set

$$\bigcap \{\overline{\beta(Sp(\Delta_{\varphi}) \cap \mathbb{R}_{+}^{+})}; \ \varphi \in W_{nsf}(\mathcal{M})\} \subset \mathbb{B}.$$

*Proof.* Using Propositions 28.1 and 14.9, for every  $\varphi \in W_{asf}(\mathcal{M})$  we have

$$\overline{\beta(Sp(\Delta_{\bullet}) \cap \mathbb{R}^+_{\bullet})} = Sp(\sigma^{\bullet} \circ \iota).$$

Let  $\omega \in W_{nsf}(\mathcal{M})$  be fixed. By Theorem 16.5,  $T(\mathcal{M}) \subset \mathbb{R}_d$  is the annihilator of  $\Gamma(\sigma^w \circ 1) \subseteq \mathbb{B}$ . It is therefore sufficient to show that

(1) 
$$\Gamma(\sigma^{\infty} \circ \iota) = \bigcap \{ Sp(\sigma^{\phi} \circ \iota); \ \phi \in W_{nsf}(\mathcal{M}) \}.$$

For every  $\varphi \in W_{nsf}(\mathcal{M})$  we have  $\sigma^{\varphi} \sim \sigma^{\varpi}$  (3.1), hence  $\sigma^{\varphi} \circ \iota \sim \sigma^{\varpi} \circ \iota$  and therefore  $\Gamma(\sigma^{\varpi} \circ \iota) = \Gamma(\sigma^{\varphi} \circ \iota) \subset Sp(\sigma^{\varphi} \circ \iota)$  (16.3). We have thus proved the inclusion " $\subset$ " in (1).

By the definition of the invariant  $\Gamma$  (see 16.1) we have  $\Gamma(\sigma^{\omega} \circ \iota) = \bigcap \{Sp(\sigma^{\omega} \circ \iota); 0 \neq e \in Proj(\mathcal{M}^{\omega})\}$ . Let  $0 \neq e \in Proj(\mathcal{M}^{\omega})$ . By Theorems 16.6 and 5.1, we can find an n.s.f. weight  $\varphi$  on  $\mathcal{M}$  such that  $Sp \sigma^{\varphi} \subset Sp \sigma^{\omega}$  and by Proposition 14.9 it follows that  $Sp(\sigma^{\varphi} \circ \iota) \subset Sp(\sigma^{\omega} \circ \iota)$ . This proves the inclusion " $\supset$ " in (1).

28.11. Let  $\mathcal{M}$  be a type III factor. Since  $S(\mathcal{M}) \cap \mathbb{R}_{\bullet}^+$  is a closed subgroup of  $\mathbb{R}_{\bullet}^+$  and  $S(\mathcal{M})$  is a closed subset of  $[0, +\infty)$ , we have either  $S(\mathcal{M}) = \{0, 1\}$ , or  $S(\mathcal{M}) = \{0, +\infty\}$ , or  $S(\mathcal{M}) = \{0\} \cup \{\lambda^n; n \in \mathbb{Z}\}$  for some  $0 < \lambda < 1$ .

If  $S(\mathcal{M}) = \{0, 1\}$ , we say that  $\mathcal{M}$  is of type  $III_0$ .

If  $S(\mathcal{M}) = [0, +\infty)$ , we say that  $\mathcal{M}$  is of type III<sub>1</sub>. In this case, we have  $T(\mathcal{M}) = \{0\}$ , by Theorem 28.9.

If  $S(\mathcal{M}) = \{0\} \cup \{\lambda^n; n \in \mathbb{Z}\}$ , we say that  $\mathcal{M}$  is of type III<sub> $\lambda$ </sub>,  $(0 < \lambda < 1)$ . In this case, by Theorem 28.9, we have  $T(\mathcal{M}) = \{2\pi k/\ln(\lambda); k \in \mathbb{Z}\}$ ; note that  $-2\pi/\ln(\lambda)$  is the smallest positive member of  $T(\mathcal{M})$ .

Recall (28.2) that  $\mathcal{M}$  is semifinite if and only if  $0 \notin S(\mathcal{M})$  and that in this case  $S(\mathcal{M}) = \{1\}$ .

In the next Sections we shall study in more detail the type III<sub> $\lambda$ </sub> factors for  $0 \le \lambda < 1$ . For these factors there exists, besides the continuous decomposition (§ 23), a discrete decomposition.

28.12. Notes. The invariant  $S(\mathcal{M})$  was introduced by Connes [36]. Previously, similar (but different) invariants had been considered by Araki and Woods [9], Golodec [96] and Krieger [144]. Actually, the starting point for Connes'work [36] was the confrontation between the classification by Araki and Woods of *ITPFI*-factors and the Tomita-Takesaki modular theory of  $W^*$ -algebras. The group property of the invariant  $S(\mathcal{M})$  and 28.3.(4) was first proved by Connes and van Daele [56] by a different method. The other results presented in this Section are due to Connes [36].

For an arbitrary factor M, Araki and Woods [9] introduced the invariant  $r_{\infty}(M)$  as the set of all  $\lambda \ge 0$  such that  $M \odot \mathcal{R}_{\lambda} \approx M$  and Connes ([36], 3.6.1) proved that  $\lambda \in r_{\infty}(M) \Rightarrow M$  has property  $L_{1/(1+\lambda)} \Rightarrow \lambda \in S(M)$ . If M is an Araki—Woods factor, then  $S(M) = r_{\infty}(M)$  coincides with the "asymptotic ratio set" ([9]) which is expressed only in terms of the eigenvalue list of M ([9]; [36], 3.6.1). Property  $L_{\lambda}$  ( $0 < \lambda < 1/2$ ) was introduced by Powers [190]. Then Araki [6] considered a stronger property, called  $L_{\lambda}$ , and showed that a  $W^*$ -algebra M has this property if and only if  $\lambda/(1-\lambda) \in r_{\infty}(M)$ . Connes ([36], 3.7.9) proved that the Pukánszky factor  $P_{\lambda/(1-\lambda)}$  has property  $L_{\lambda}$  without having property  $L_{\lambda}$ . For some classes of factors, including those with  $\{0, 1\} \neq S(M) \neq [0, +\infty)$ , Connes ([36], 3.7.2, 3.7.7) proved that property  $L_{\lambda}$  is equivalent with  $\lambda/(1-\lambda) \in S(M)$  ( $0 < \lambda < 1/2$ ). Using Corollary 28.6 and the results of Krieger ([145], [146]), Connes ([36], 3.3.5) proved that for every subgroup  $G \neq \{1\}$  of  $R_{+}^{*}$  there exists a factor M with separable predual such that  $S(M) = \{0, 1\}$  and  $S(M \otimes M) = \{0\}$  U.G. In particular, using the duality between  $S(M \otimes M)$  and  $T(M \otimes M) = T(M)$  (28.9), it follows that for every  $t \in R$  there exists a factor M with separable predual such that  $S(M) = \{0, 1\}$  and  $T(M) = \{nt; n \in \mathbb{Z}\}$  ([36], 3.4.4). This shows on the one hand the wealth of the class of factors M with  $S(M) = \{0, 1\}$  (see also [9]) and on the other that the assumptions of Theorems 16.5 and 28.9 are essential.

For our exposition we have used [36].

## §29. Factors of type $III_{\lambda}$ (0 $\leq \lambda < 1$ )

In this Section we give canonical constructions for factors of type III<sub> $\lambda$ </sub> ( $0 \le \lambda < 1$ ) and study some important classes of weights on these factors. All  $W^*$ -algebras which appear will be countably decomposable, either by assumption or construction.

29.1. We first give a construction which leads to factors of type III<sub> $\lambda$ </sub> with  $0 < \lambda < 1$ . Let  $0 < \lambda < 1$  be fixed and let  $t = -2\pi/\ln(\lambda)$ . An n.s.f. weight  $\varphi$  on a factor of type III<sub> $\lambda$ </sub> such that  $\varphi(1) = +\infty$  and  $\sigma_i^{\varphi} = \iota$  will be called a  $\lambda$ -trace. Note that every  $\lambda$ -trace is strictly semifinite.

Proposition. Let  $\mathcal{N}$  be a factor of type  $II_{\infty}$ ,  $\tau$  an n.s. f. trace on  $\mathcal{N}$  and let  $\theta \in Aut(\mathcal{N})$  be such that  $\tau \circ \theta = \lambda \tau$ . Then the action  $\theta \colon \mathbb{Z} \ni n \mapsto \theta^n \in Aut(\mathcal{N})$  is properly outer, the crossed product  $\mathcal{M} = \mathcal{R}(\mathcal{N}, \theta)$  is a factor of type  $III_{\lambda}$  and the dual weight  $\varphi = \hat{\tau}$  is a  $\lambda$ -trace on  $\mathcal{M}$ . Moreover, identifying  $\mathcal{N} \equiv \pi_{\theta}(\mathcal{N}) \subseteq \mathcal{M}$  and putting  $u = 1 \boxtimes \lambda(1) \in \mathcal{M}$ , we have

(1) 
$$\mathcal{N} = \mathcal{M}^{\varphi}, \quad \varphi \mid \mathcal{N} = \tau$$
(2) 
$$u \in \mathcal{M}(\sigma^{\varphi}, \{\lambda\}), \quad \varphi \circ \mathrm{Ad}(u) = \lambda \varphi.$$

*Proof.* Since  $\tau \circ \theta^n = \lambda^n \tau \neq \tau$ , the \*-automorphism  $\theta^n$   $(n \neq 0)$  is properly outer, hence the action  $\theta: \mathbb{Z} \to Aut(\mathcal{N})$  is properly outer. By Corollary 1/22.6 and

Theorem 22.3,  $\mathcal{M}$  is a factor and  $\mathcal{N}' \cap \mathcal{M} = \mathcal{Z}(\mathcal{N})$ .

Let  $P: \mathcal{M} \to \mathcal{N}$  be the faithful normal conditional expectation associated with the crossed product (22.2) and let  $\mathcal{G} = \{1 \otimes \lambda(n); n \in \mathbb{Z}\} = \{u^n; n \in \mathbb{Z}\} \subset \mathcal{R}(P)$ . Since  $\tau(u^n \cdot u^{-n}) = \tau \cdot \theta^n = \lambda^n \tau$ , we infer from Theorem 28.5 that a positive number  $\mu > 0$  belongs to the Connes invariant  $S(\mathcal{M})$  if and only if for each  $\epsilon > 0$  there exists  $n \in \mathbb{Z}$  such that  $Sp(\lambda^{-n} \cdot 1) \subset (\mu - \varepsilon, \mu + \varepsilon)$ , hence  $S(\mathcal{M}) = \{0\} \cup \{\lambda^n; n \in \mathbb{Z}\}$ , i.e. *Il* is a factor of type III<sub>2</sub>.

The dual weight  $\varphi = \hat{\tau} = \tau \circ P$  is an n.s.f. weight on  $\mathcal{M}$  and for every  $x \in \mathcal{M}^+$ have  $(\varphi \circ Ad(u))(x) = \varphi(uxu^*) = \tau(P(uxu^*)) = \tau(uP(x)u^*) = (\tau \circ \theta)(P(x)) =$  $= \lambda \tau(P(x)) = \lambda \varphi(x), \text{ whence } \varphi \circ \mathrm{Ad}(u) = \lambda \varphi. \text{ Then, for } s \in \mathbb{R} \text{ we have}$  $\lambda^{is} = [D(\lambda \varphi): D\varphi]_s = [D(\varphi_u): D\varphi]_s = u^*\sigma_s^{\varphi}(u), \text{ i.e. } \sigma_s^{\varphi}(u) = \lambda^{is}u = \langle s, \lambda \rangle u, \text{ so that}$ 

 $u \in \mathcal{M}(\sigma^{\varphi}, \{\lambda\}).$ 

On the other hand, we have  $\sigma_i^{\varphi}|_{\mathcal{N}} = i$  and  $\sigma_i^{\varphi}(u) = \lambda^{i}u = u$ , hence  $\sigma_i^{\varphi} = i$ , since  $\mathcal{M} = \mathcal{R}\{\mathcal{N}, u\}$ . Also,  $\varphi|\mathcal{N} = (\tau \circ P)|\mathcal{N} = \tau$ , in particular  $\varphi(1) = \tau(1) = +\infty$ , so that  $\varphi$  is a  $\lambda$ -trace.

Finally, since  $\varphi = \tau \circ P$ , from Theorem 11.9 we infer that  $\mathcal{N} \subset \mathcal{M}^{\bullet}$ . The mapping  $E: \mathcal{M} \to \mathcal{M}^{\bullet}$  defined by  $E(x) = t^{-1} \int_{-\infty}^{\infty} \sigma_{x}^{\bullet}(x) \, \mathrm{d}s(x \in \mathcal{M})$  is a faithful normal conditional expectation of  $\mathcal{M}$  onto  $\mathcal{M}^{\varphi}$ , for  $x \in \mathcal{N} \subset \mathcal{M}^{\varphi}$  we have E(x) = xand  $E(u^n) = (t^{-1} \int \lambda^{ins} dt) u^n = 0$  for  $0 \neq n \in \mathbb{Z}$ . Since every element of  $\mathcal{M}$  is of the form  $\sum_{n} a_n u^n$  with  $a_n \in \mathcal{N}$  (see 22.1), it follows that E = P and hence  $\mathcal{M}^{\bullet} = \mathcal{N}$ .

Recall (19.3) that on  $\mathcal{M}$  we also have the dual action  $\hat{\theta}$ :  $\mathbf{U} = \hat{\mathbf{Z}} \to Aut(\mathcal{M})$ . Consider the surjective continuous group homomorphism  $\alpha: \mathbb{R} \ni s \mapsto e^{2\pi i s/t} =$  $= \lambda^{-is} \in \mathbb{T}. \text{ Since } \mathcal{M} = \mathcal{R}\{\mathcal{N}, u\}, \ \hat{\theta}_{e(s)} | \mathcal{N} = i = \sigma_s^{\bullet} | \mathcal{N}, \sigma_s^{\bullet}(u) = \lambda^{is} u \text{ and } (19.3.(4))$  $\hat{\theta}_{g(s)}(u) = \overline{\langle 1, \alpha(s) \rangle} u = \lambda^{is} u$ , it follows that

$$\hat{\theta}_{a(s)} = \sigma_s^{\varphi} \qquad (s \in \mathbb{R}).$$

Thus, the identity E = P established in the above proof is nothing but the equality of  $P_{\theta}$  and P. i.e. just the definition of P (22.2). Also, some other parts of the previous proof are consequences of (3) and of general properties of the dual action.

A triple  $(\mathcal{N}, \theta, \tau)$  consisting of a type  $II_{\infty}$  factor  $\mathcal{N}$ , a \*-automorphism  $\theta \in Aut(\mathcal{N})$  and an n.s.f. trace  $\tau$  on  $\mathcal{N}$  such that  $\tau \circ \theta = \lambda \tau$ , will be called a discrete decomposition of type  $III_{\lambda}$  (0 <  $\lambda$  < 1).

29.2. Before giving a similar construction for factors of type III. we shall study some properties of diffuse abelian W\*-algebras.

An abelian  $W^*$ -algebra is called diffuse if it has no minimal projections.

**Lemma.** Let  $\mathscr Z$  be a diffuse abelian  $W^*$ -algebra and  $\theta \in Aut(\mathscr Z)$  an ergodic \*-automorphism. For each projection  $0 \neq e \in \mathcal{Z}$  there exists a sequence of projections  $\{e_n\}_{n>1} \subset \mathcal{Z}$ , uniquely determined, such that

(1) 
$$e = \sum_{n \ge 1} e_n, \ \theta^n(e_n) \le e \ and \ e\theta^k(e_n) = 0 \ for \ k = 1, \dots, n-1.$$

Moreover, we have

$$e = \sum_{n \geq 1} \theta^n(e_n).$$

*Proof.* Since  $\theta$  is ergodic and  $\mathcal{Z}$  is diffuse, it follows that  $\theta$  is conservative, i.e.

(3) 
$$p \in Proj(\mathcal{Z}), p\theta^n(p) = 0 \text{ for all } n \geqslant 1 \Rightarrow p = 0.$$

Indeed, if  $p\theta^n(p) = 0$  for all  $n \ge 1$ , then  $\theta^j(q) \theta^j(q) = 0$  for all  $i \ne j$  in  $\mathbb{Z}$  and for every projection  $0 \neq q \leqslant p$  in  $\mathcal{Z}$ , hence  $\sum_{k=2}^{\infty} \theta^k(q) = 1$  by the ergodicity of  $\theta$ .

It follows that  $0 \neq q \leq p \Rightarrow q = p$ , i.e. p is a minimal projection, contradicting the fact that 2 is diffuse.

Let  $e_1$  be the largest projection of  $\mathscr Z$  such that  $e_1\leqslant e$  and  $\theta(e_1)\leqslant e$ . Then, if  $e_1, \ldots, e_{n-1}$  have been already chosen, we define  $e_n$  inductively to be the largest projections of  $\mathscr Z$  such that  $e_n \leqslant e - (e_1 + \ldots + e_{n-1}), \ \theta^n(e_n) \leqslant e$ .

By this definition, we have  $\theta^n(e_n) \leq e$ .

Let  $1 \le k < n$ ,  $p = \theta^k(e_n) e$  and  $q = \theta^{-k}(p) = e_n \theta^{-k}(e)$ . We have  $qe_k = 0$ ,  $q \le e - (e_1 + \ldots + e_{k-1})$  and  $\tilde{\theta}^k(q) = p \le e$ , hence q = 0 by the definition of  $e_k$ . Thus,  $\theta^k(e_n) e = \theta^k(q) = 0$ .

Let  $p \le e$  be such that  $pe_n = 0$  for every  $n \ge 1$  and  $q_n = p\theta^{-n}(e)$ . We have  $q_n \le p \le e - (e_1 + \ldots + e_{n-1})$  and  $\theta^n(q_n) = \theta^n(p) e \le e$ , whence  $q_n \le e_n$ . Since  $q_n \le p$ , we have  $q_n \le pe_n = 0$ , so that  $\theta^n(p) e = 0$ . In particular,  $p\theta^n(p) = 0$  for every n > 1, hence p = 0, by (3). Thus,  $e = \sum e_n$ .

To prove the uniqueness assertion, let  $e = \sum_{n \ge 1}^{\infty} f_n$  with  $\theta^n(f_n) \le e$  and  $e\theta^k(f_n) = 0$ 

for  $1 \le k < n$ . Let n > 1 be fixed. For k < n we have  $\theta^k(e_k f_n) \le e$ , hence  $e_k f_n = 0$ . Thus,  $f_n \le e - (e_1 + \ldots + e_{n-1})$  and  $\theta^n(f_n) \le e$ , so  $f_n \le e_n$ . Since  $\sum_{n \ge 1} f_n = e = 0$ .

$$= \sum_{n \ge 1} e_n, \text{ it follows that } f_n = e_n.$$

It remains to prove (2). If n < m, then  $\theta^n(e_n) \theta^m(e_m) = \theta^n(e_n \theta^{m-n}(e_m)) \le \theta^n(e \theta^{m-n}(e_m)) = 0$ , hence  $\sum_{n \ge 1} \theta^n(e_n)$  is a projection  $\le e$ . Consider now a projectiontion  $p \le e$  with  $p\theta^k(e_k) = 0$  for every k > 1. Since  $p \le e$  and  $\theta^n(e_k) e = 0$  for k > nit follows that  $p\theta^{n}(e_{k}) = 0$  for every k > n, hence

(4) 
$$p\theta^{n}(e-(e_{1}+\ldots+e_{n-1}))=0.$$

We show by induction that

(5) 
$$p\theta^n(e) = 0 \text{ for every } n > 1.$$

For n=1 this is just (4). We assume that (5) has already been proved for  $1, \ldots, n-1$  and prove it for n. Let  $1 \le j < n$ . By the induction hypothesis, we have  $p\theta^{n-j}(e) = 0$ , hence  $\theta^{-n}(p) \theta^{-j}(e) = 0$ . As  $\theta^{j}(e_j) \le e$ , we have  $e_j \le \theta^{-j}(e)$ . Thus,  $\theta^{-n}(p) e_j = 0$  and hence  $p\theta^{n}(e_j) = 0$  for every  $j = 1, \ldots, n-1$ . Using (4) we now obtain (5), and from (5) it follows, in particular, that  $p\theta^{n}(p) = 0$  for every n > 1, that is p = 0 by (3).

In particular, for every n > 1 and every non-zero projection  $e \in \mathcal{Z}$  there exists a non-zero projection  $p \in \mathcal{Z}$ ,  $p \le e$ , such that  $p\theta^*(p) = 0$ . Thus, if  $\theta$  is an ergodic \*-automorphism of a diffuse abelian  $W^*$ -algebra  $\mathcal{Z}$ , then the action  $k \mapsto \theta^k$  of  $\mathbb{Z}$  on  $\mathcal{Z}$  is free.

29.3. We now give the construction which leads to factors of type III<sub>0</sub>.

An n.s.f. weight  $\varphi$  on a  $W^*$ -algebra such that 1 is an isolated point in  $Sp(\Delta_{\varphi})$ , i.e. (28.1.(4)) in  $Sp \sigma^{\varphi}$ , will be called a *lacunary weight*. It is easy to see that every lacunary weight is strictly semifinite.

Proposition. Let  $\mathcal{N}$  be a type  $II_{\infty}$   $\mathcal{W}^*$ -algebra with diffuse centre,  $\tau$  an n.s. f. trace on  $\mathcal{N}$ ,  $\theta \in Aut(\mathcal{N})$  a \*-automorphism acting ergodically on  $\mathcal{Z}(\mathcal{N})$  and  $0 < \lambda < 1$  such that  $\tau \circ \theta \leqslant \lambda \tau$ . Then the action  $\theta \colon \mathbb{Z} \ni n \mapsto \theta^n \in Aut(\mathcal{N})$  is free on the centre of  $\mathcal{N}$ , the crossed product  $\mathcal{M} = \mathcal{R}(\mathcal{N}, \theta)$  is a factor of type  $III_{\theta}$  and the dual weight  $\varphi = \hat{\tau}$  is a lacunary weight of infinite multiplicity on  $\mathcal{M}$ . Moreover, identifying  $\mathcal{N}$  and  $\pi_{\theta}(\mathcal{N}) \subset \mathcal{M}$ , putting  $u = 1 \ \otimes \lambda(1) \in \mathcal{M}$  and considering the faithful normal conditional expectation  $P \colon \mathcal{M} \to \mathcal{N}$  associated with the crossed product, we have:

(1) 
$$\mathcal{N} = \mathcal{M}^{\phi}, \quad \varphi | \mathcal{N} = \tau$$

(2) 
$$u \in \mathcal{M}(\sigma^{\phi}; (0, 1))$$

(3) 
$$v \in \mathcal{S}(P) \cap \mathcal{M}(\sigma^{\bullet}; (0, 1)), \mathcal{M} = \mathcal{R}\{\mathcal{N}, v\} \Rightarrow u^{\bullet}v \in \mathcal{M}^{\bullet}$$

(4) 
$$x - P(x) \in \mathcal{M}(\sigma^{\bullet}; \mathbb{R}^{+}_{\bullet} \setminus (\lambda, \lambda^{-1})) \quad (x \in \mathcal{M}).$$

*Proof.* Since  $\mathscr{Z}(\mathcal{N})$  is diffuse and  $\theta$  is ergodic on  $\mathscr{Z}(\mathcal{N})$ , the action  $\theta: \mathbb{Z} \to Aut(\mathcal{N})$  is ergodic and free on  $\mathscr{Z}(\mathcal{N})$  (29.2) and hence (17.5) properly outer on  $\mathcal{N}$ . Using 22.6 and 22.3, we conclude that  $\mathcal{M}$  is a factor and  $\mathscr{Z}(\mathcal{N})' \cap \mathcal{M} = \mathcal{N}$ ,  $\mathcal{N}' \cap \mathcal{M} = \mathscr{Z}(\mathcal{N})$ .

We now compute the invariant  $S(\mathcal{M})$  using Theorem 28.5. Let  $A_n$  be the unique nonsingular positive self-adjoint operator affiliated to  $\mathcal{Z}(\mathcal{N})$  such that

 $\tau \circ \theta^n = \tau_{A_n} \ (n \in \mathbb{Z})$ . Since  $\tau \circ \theta \leq \lambda \tau$ , it follows that

$$(5) n>0 \Rightarrow A_n \leqslant \lambda^n < 1,$$

(6) 
$$n < 0 \Rightarrow A_n > \lambda^n > 1.$$

Let  $\mu \in (0, 1)$ . There exists  $m \ge 1$  such that  $\lambda^m < \mu < 1$ . Since  $\mathcal{Z}(\mathcal{N})$  is diffuse and  $\theta$  is ergodic on  $\mathcal{Z}(\mathcal{N})$ , it follows from Lemma 29.2 that there exists a non-zero projection  $e \in \mathcal{Z}(\mathcal{N})$  such that  $e\theta^n(e) = 0$  for all  $n = 1, \ldots, m$ . Let  $\varepsilon > 0$  be such that  $\lambda^m < \mu - \varepsilon < \mu + \varepsilon < 1$ . Assume that  $\mu \in S(\mathcal{M})$ . Then (28.5) there exist  $n \in \mathbb{Z}$  and a non-zero projection  $p \in \mathcal{Z}(\mathcal{N})$  such that  $p \le e, \theta^n(p) \le e$  and  $Sp(A_n p | \mathcal{N} p) \subset (\mu - \varepsilon, \mu + \varepsilon)$ . If n > 0, then  $A_n \le \lambda^n$ , i.e.  $Sp(A_n p | \mathcal{N} p) \subset [0, \lambda^n]$ , so that  $n \le m$ ; it follows that  $\theta^n(p) \le e\theta^n(e) = 0$ , i.e. p = 0, a contradiction. If  $n \le 0$ , then  $A_n \ge 1$ , hence  $Sp(A_n p | \mathcal{N} p) \subset [1, +\infty)$ , which is again a contradiction, since  $\mu + \varepsilon < 1$ .

As  $S(\mathcal{M}) \cap \mathbb{R}^+_*$  is a closed subgroup of  $\mathbb{R}^+_*$ , it follows that  $S(\mathcal{M}) \subset \{0, 1\}$ . Consequently, in order to show that  $S(\mathcal{M}) = \{0, 1\}$ , it is enough to show that  $\mathcal{M}$  is not semifinite (see 28.2). By Theorem 2/22.7, this amounts to showing that there exist no  $\theta$ -invariant n.s.f. traces on  $\mathcal{N}$ . Otherwise, let  $\mu$  be a  $\theta$ -invariant n.s.f. trace on  $\mathcal{N}$  and let A be the unique positive nonsingular self-adjoint operator affiliated to  $\mathcal{Z}(\mathcal{N})$  such that  $\tau = \mu_A$ . Then  $\mu_{\theta^{-1}(A)} = \tau \circ \theta \leq \lambda \tau = \mu_{\lambda A}$ , hence  $\theta^{-1}(A) \leq \lambda A$  and  $\theta^{-k}(A) \leq \lambda^k A$ ,  $\theta^k(A) \geq \lambda^{-k} A$ , for all k > 0. There exists  $n \in \mathbb{Z}$  such that  $e = \chi_{(\lambda^{n+1}, \lambda^n)}(A) \neq 0$  and for every k > 0 we have

$$\theta^k(e) = \chi_{(\lambda^{n+1}, \lambda^n]}(\theta^k(A)) \leqslant \chi_{(0, \lambda^n]}(\theta^k(A)) \leqslant \chi_{(0, \lambda^n]}(\lambda^{-k}A) = \chi_{(0, \lambda^{n+k}]}(A),$$

hence  $e\theta^k(e) = 0$ . As  $\mathscr{Z}(\mathscr{N})$  is diffuse and  $\theta$  is ergodic on  $\mathscr{Z}(\mathscr{N})$ , it follows that e = 0 (29.2.(3)), a contradiction.

Hence M is a factor of type III<sub>0</sub>.

By the general theory of crossed products we know that  $u \in \mathcal{M}(P)$  and  $\theta^n = \operatorname{Ad}(u^n) | \mathcal{M}(n \in \mathbb{Z})$ . Since  $\varphi = \hat{\tau} = \tau \circ P$ , it follows (see 27.4.(2)) that  $\sigma_f^{\varphi}(u^n) = u^n A_n^{it}$   $(n \in \mathbb{Z}, t \in \mathbb{R})$ . Thus, for  $f \in \mathcal{L}^1(\mathbb{R})$  we obtain  $\sigma_f^{\varphi}(u^n) = \int f(t) \sigma_f^{\varphi}(u^n) dt = u^n \left(\int f(t) A_n^{it} dt\right) = u^n \hat{f}(A_n)$  and hence  $Sp_{\sigma}(u^n) = Sp(A_n) \cap \mathbb{R}^+$ . Using (5) and (6) we infer that

$$\begin{split} n &> 0 \Rightarrow Sp_{\sigma} \phi(u^n) \subset (0,\,\lambda^n] \subset (0,\,\lambda] \subset (0,\,1) \\ n &< 0 \Rightarrow Sp_{\sigma} \phi(u^n) \subset [\lambda^n,\,+\infty) \subset [\lambda^{-1},\,+\infty) \subset (1,\,+\infty). \end{split}$$

In particular, this proves (2).

Since  $\varphi = \tau \circ P$ , we have  $\varphi | \mathcal{N} = \tau$  and  $\mathcal{N} \subset \mathcal{M}^{\varphi} = \mathcal{M}(\sigma^{\varphi}; \{1\})$  (11.9). Let  $a \in \mathcal{N}$  and  $0 \neq n \in \mathbb{Z}$ . Using the previous remarks and 15.3.(1) it follows that  $au^n \in \mathcal{M}(\sigma^{\varphi}; \mathbb{R}^+_+ \setminus (\lambda, \lambda^{-1}))$ . Since  $P(au^n) = aP(u^n) = 0$  (22.2.(4)), we also have  $au^n - P(au^n) \in \mathcal{M}(\sigma^{\varphi}; \mathbb{R}^+_+ \setminus (\lambda, \lambda^{-1}))$ . Since the set  $\{au^n; a \in \mathcal{N}, n \in \mathbb{Z}\}$  is w-total in  $\mathcal{M}$  (22.1), it follows (14.5.1) that  $(Sp \ \sigma^{\varphi}) \cap (\lambda, \lambda^{-1}) = \{1\}$  and  $x - P(x) \in \mathcal{M}(\sigma^{\varphi}; \mathbb{R}^+_+ \setminus (\lambda, \lambda^{-1}))$  for all  $x \in \mathcal{M}$ . If  $x \in \mathcal{M}^{\varphi}$ , then  $x - P(x) \in \mathcal{M}^{\varphi} \cap \mathcal{M}(\sigma^{\varphi}; \mathbb{R}^+_+ \setminus (\lambda, \lambda^{-1})) = \mathcal{M}(\sigma^{\varphi}; \mathcal{O}) = \{0\}$ , hence  $x = P(x) \in \mathcal{N}$ . Thus,  $\mathcal{M}^{\varphi} = \mathcal{N}$  and  $\mathcal{O} \cap \mathcal{M}(\sigma^{\varphi}; \mathbb{R}^+_+ \setminus (\lambda, \lambda^{-1})) = \mathcal{M}(\sigma^{\varphi}; \mathcal{O}) = \{0\}$ , hence  $x = P(x) \in \mathcal{N}$ . Thus,  $\mathcal{M}^{\varphi} = \mathcal{N}$  and  $\mathcal{O} \cap \mathcal{M}(\sigma^{\varphi}; \mathbb{R}^+_+ \setminus (\lambda, \lambda^{-1})) = \mathcal{M}(\sigma^{\varphi}; \mathcal{O}) = \{0\}$ , hence  $x = P(x) \in \mathcal{N}$ . Thus,  $\mathcal{M}^{\varphi} = \mathcal{N}$  and  $\mathcal{O} \cap \mathcal{M}(\sigma^{\varphi}; \mathbb{R}^+_+ \setminus (\lambda, \lambda^{-1})) = \mathcal{M}(\sigma^{\varphi}; \mathbb{R}^+_+ \setminus (\lambda, \lambda^{-1})) = \mathcal{M}(\sigma^{\varphi}; \mathbb{R}^+_+ \setminus (\lambda, \lambda^{-1}))$ 

Therefore,  $\varphi$  is a lacunary weight of infinite multiplicity on  $\mathcal{M}$ . Also, we have proved assertions (1) and (4).

To prove (3) we consider  $u \in \mathcal{R}(P)$  and  $Q = \{v^n; n \in \mathbb{Z}\} \subset \mathcal{R}(P)$ . By assumption,  $\mathcal{M} = \mathcal{R}\{\mathcal{N}, Q\}$ , and so (22.4.(1)) there exist a family of mutually orthogonal projections  $\{q_k\}_{k \in \mathbb{Z}} \subset \mathcal{Z}(\mathcal{N})$  with  $\sum_{k=1}^{\infty} q_k = 1$  and a family  $\{w_k\}_{k \in \mathbb{Z}} \subset \mathcal{N}$  with  $w_k^* w_k = 1$  and a family  $\{w_k\}_{k \in \mathbb{Z}} \subset \mathcal{N}$  with  $w_k^* w_k = 1$  and  $u \in \mathcal{M}(\sigma^0; \{0, 1\})$ , it follows that  $u = \sum_{k=1}^{\infty} v^k w_k$  and  $u \in \mathcal{M}(\sigma^0; \{0, 1\})$ , it follows that  $v^k \in \mathcal{M}(\sigma^0; \{1, +\infty)\}$  for all  $u \in \mathcal{M}(\sigma^0; \{0, 1\})$ ,  $u \in \mathcal{M}(\sigma^0; \{0, 1\})$ ,  $u \in \mathcal{M}(\sigma^0; \{0, 1\})$ ,  $u \in \mathcal{M}(\sigma^0; \{0, 1\})$ , so that  $u \in \mathcal{M}(\sigma^0; \{0, 1\})$ , where  $u \in \mathcal{M}(\sigma^0; \{0, 1\})$  is the  $u \in \mathcal{M}(\sigma^0; \{0, 1\})$ , and  $u \in \mathcal{M}(\sigma^0; \{0, 1\})$  is the  $u \in \mathcal{M}(\sigma^0; \{0, 1\})$ , where  $u \in \mathcal{M}(\sigma^0; \{0, 1\})$  is the  $u \in \mathcal{M}(\sigma^0; \{0,$ 

(7) 
$$u = \sum_{n \ge 1} v^n w_n \text{ and } uq_n = v^n w_n \text{ for all } n > 1.$$

Similarly, there exist a family of mutually orthogonal projections  $\{q'_n\}_{n\geq 1}\subset \mathcal{Z}(\mathcal{N})$  with  $\sum_{n\geq 1}q'_n=1$  and a family  $\{w'_n\}_{n\geq 1}\subset \mathcal{N}$  with  $w'_n{}^*w'_n=w'_nw'_n{}^*=q'_n$  such that

(8) 
$$v = \sum_{n \ge 1} u^n w'_n \text{ and } vq'_n = u^n w'_n \text{ for all } n > 1.$$

Let m > 1 be fixed. We show that

$$(9) P(u^*v^nq'_m) = 0 for all n > 1.$$

Indeed,  $vq'_{m} = u^{m}w'_{m}$ ,  $u^{*}vq'_{m} = u^{m-1}w'_{m}$  and hence  $P(u^{*}vq'_{m}) = P(u^{m-1}w'_{m}) = P(u^{m-1})w'_{m} = 0$ , which proves equality (9) for n = 1. If n > 1, then, by the same argument as above, we can find a family  $\{w'_{j}\}_{j>1} \subset \mathcal{N}$  such that  $v^{n-1} = \sum_{j>1} u_{j}w'_{j}$  and we have  $u^{*}v^{n}q'_{m} = (u^{*}v^{n-1})vq'_{m} = \sum_{j>1} u^{*}u'_{m}w'_{m} = \sum_{j>1} u^{j-1}u''_{m}(u^{-m}w'_{j}u'')w'_{m} = \sum_{j>1} u^{j}a_{j}$  with  $a_{j} \in \mathcal{N}$ , hence

$$P(u^*v^*q'_m) = \sum_{i>m} P(u^i)a_i = 0.$$

From (7) and (9) it follows that  $q'_m = P(u^*uq'_m) = P(u^*(\sum_{n>1} v^n w_n)q'_m) = \sum_{n>1} P(u^*v^n q'_m)w_n = 0$ . Since m>1 was arbitrary, we conclude from (8) that  $v=uw'_1$ , hence  $u^*v=w'_1 \in \mathcal{N}=\mathcal{M}^{\bullet}$ .

A triple  $(\mathcal{N}, \theta, \tau)$  consisting of a type  $II_{\infty}$   $W^*$ -algebra with a diffuse center, a \*-automorphism  $\theta \in Aut(\mathcal{N})$  which acts ergodically on  $\mathcal{Z}(\mathcal{N})$  and an n.s.f. trace  $\tau$  on  $\mathcal{N}$  such that  $\tau \circ \theta \leq \lambda \tau$  for some  $0 < \lambda < 1$ , will be called a discrete decomposition of type  $III_0$ .

29.4. Let  $(\mathcal{N}, \theta, \tau)$  be a discrete decomposition of type III<sub>0</sub> and  $e \in \mathcal{Z}(\mathcal{N})$  a non-zero projection. By Lemma 29.2, there exists a sequence of projections  $\{e_n\}_{n>1} \subset \mathcal{Z}(\mathcal{N})_n$ 

uniquely determined, such that  $e = \sum_{n} e_n$ ,  $\theta^n(e_n) \le e$  and  $e\theta^k(e_n) = 0$  for  $k = 1, \ldots, n-1$ ; moreover, we have  $e = \sum_{n} \theta^n(e_n)$ . Then a \*-automorphism  $\theta_e \in Aut(\mathcal{N}e)$ , is defined by

$$\theta_e(x) = \sum_n \theta^n(xe_n) \qquad (x \in \mathcal{N}e).$$

Also,  $\tau_e = \tau \mid \mathcal{N}e$  is an n.s.f. trace on  $\mathcal{N}e$ .

The triple  $(\mathcal{N}, \theta, \tau)$  determines a crossed product  $W^*$ -algebra  $\mathcal{M} = \mathcal{R}(\mathcal{N}, \theta)$ , a canonical embedding  $\pi = \pi_\theta \colon \mathcal{N} \to \mathcal{M}$ , a conditional expectation  $P \colon \mathcal{M} \to \pi(\mathcal{N})$ , a unitary element  $u = 1 \otimes \lambda(1) \in \mathcal{M}$  and a dual weight  $\varphi = \hat{\tau}$  on  $\mathcal{M}$ . Similarly, the triple  $(\mathcal{N}e, \theta_e, \tau_e)$  determines the objects  $\mathcal{M}_0 = \mathcal{R}(\mathcal{N}e, \theta_e)$ ,  $\pi_0 \colon \mathcal{N}e \to \mathcal{M}_0$ ,  $P_0 \colon \mathcal{M}_0 \to \pi_0(\mathcal{N}e)$ ,  $u_0 \in \mathcal{M}_0$  and  $\varphi_0 = \hat{\tau}_e$ .

**Proposition.** Let  $(\mathcal{N}, \theta, \tau)$  be a discrete decomposition of type  $III_0$  and  $e \in \mathcal{Z}(\mathcal{N})$  a non-zero projection. Then  $(\mathcal{N}e, \theta_e, \tau_e)$  is also a discrete decomposition of type  $III_0$  and there exists a \*-isomorphism

(1) 
$$\Phi: (\mathcal{M}_0, \pi_0, P_0, \varphi_0) \to (\pi(e)\mathcal{M}\pi(e), \pi \mid \mathcal{N}e, P \mid \pi(e)\mathcal{M}\pi(e), \varphi_{\pi(e)})$$
 such that

(2) 
$$\Phi(u_0) = \sum_{n \ge 1} u^n \pi(e_n).$$

Proof. Indeed,  $\mathcal{N}e$  is a type  $II_{\infty}$   $W^*$ -algebra with diffuse center,  $\theta_e \in Aut(\mathcal{N}e)$ ,  $\tau_e$  is an n.s.f. trace on  $\mathcal{N}e$  and, if  $\tau \circ \theta \leqslant \lambda \tau$   $(0 < \lambda < 1)$ ,  $\tau_e \circ \theta_e \leqslant \lambda \tau_e$  since for  $x \in (\mathcal{N}e)^+$  we have  $\tau_e(\theta_e(x)) = \tau(\sum_{n \geq 1} \theta^n(xe_n)) \leqslant \sum_{n \geq 1} \lambda^n \tau(xe_n) \leqslant \lambda \tau_e(x)$ . Also, we show that  $\theta_e$  acts ergodically on  $\mathcal{Z}(\mathcal{N}e) = \mathcal{Z}(\mathcal{N})e$ . Let  $p \in \mathcal{Z}(\mathcal{N})e$  be a projection such that  $\theta_e(p) = p$ , i.e.  $\sum_n \theta^n(pe_n) = \sum_n pe_n$ . For j < m, k < n and  $(j, m) \neq (k, n)$  we have

$$\theta^{j}(e_{m}) \, \theta^{k}(e_{n}) = 0,$$

since, if j < k then  $e^{\theta^{k-j}}(e_n) = 0$  hence  $\theta^j(e_m)\theta^k(e_n) = \theta^j(e_m\theta^{k-j}(e_n)) \le \theta^j(e^{\theta^{k-j}}(e_n)) = 0$  and if j = k then  $m \ne n$  hence  $\theta^j(e_m)\theta^k(e_n) = \theta^k(e_me_n) = 0$ . Consequently, we obtain a projection

$$q = \sum_{n=1}^{\infty} \sum_{k=0}^{n-1} \theta^{k}(pe_{n}) \in \mathscr{Z}(\mathcal{N}).$$

Since  $e_n \le e$  and  $e\theta^k(e_n) = 0$  for  $1 \le k \le n-1$ , it follows that  $eq = \sum_{n=1}^{\infty} pe_n = p$ .

On the other hand,  $\theta(q) = \sum_{n=1}^{\infty} \sum_{k=1}^{n} \theta^{k}(pe_{k})$ , hence  $\theta(q) - q = \sum_{n=1}^{\infty} (\theta^{n}(pe_{k}) - pe_{k}) = 0$ .

Since  $\theta$  is ergodic on  $\mathscr{Z}(\mathcal{N})$ , it follows that either q=0, i.e. p=0, or q=1, i.e. p=e. Hence  $(\mathcal{N}e, \theta_e, \tau_e)$  is a discrete decomposition of type III<sub>0</sub>.

Consider the  $W^*$ -algebra  $\mathcal{N}e$  and  $\theta_e \in Aut(\mathcal{N}e)$ . In order to establish the desired \*-isomorphism, we shall use Proposition 22.2. We have a  $W^*$ -algebra  $\mathcal{M}_1 = \pi(e) \mathcal{M}\pi(e)$ , an injective unital normal \*-homomorphism  $\pi_1 = \pi \mid \mathcal{N}e \colon \mathcal{N}e \to \mathcal{M}_1$ , a faithful normal conditional expectation  $P_1 = P \mid \mathcal{M}_1 \colon \mathcal{M}_1 \to \pi_1(\mathcal{N}e)$  and a unitary element  $u_1 = \sum_{n \geq 1} u^n \pi(e_n) \in \mathcal{M}_1$  such that

(3) 
$$\pi_1(\theta_e(x)) = u_1\pi_1(x)u_1^* \qquad (x \in \mathcal{N}e)$$

$$\mathscr{M}_1 = \mathscr{R}\{\pi_1(\mathscr{N}e), u_1\}.$$

Indeed,  $u_1$  is unitary:

$$u_1 u_1^* = \sum_{n,m} u^n \pi(e_n) \pi(e_m) u^{-m} = \sum_n u^n \pi(e_n) u^{-n} = \sum_n \pi(\theta^n(e_n)) = \pi(\sum_n \theta^n(e_n)) = \pi(e)$$

$$u_1^* u_1 = \sum_{n,m} \pi(e_n) u^{-n} u^m \pi(e_m) = \sum_{n,m} u^{-n} \pi(\theta^n(e_n)) u^m \pi(e_m)$$

$$= \sum_{n,m} u^{-n} u^m \pi(\theta^{n-m}(e_n) e_m) = \sum_n \pi(e_n) = \pi(e),$$

since  $\theta^{n-m}(e_n)e_m = \theta^{-m}(\theta^n(e_n)\theta^m(e_m)) = 0$  for  $n \neq m$ . For  $x \in \mathcal{N}e$  we have

$$u_1\pi_1(x)u_1^* = \sum_{n,m} u^n \pi(e_n)\pi(x)\pi(e_m) u^{-m} = \sum_{n,m} u^n \pi(xe_n e_m)u^{-m}$$
$$= \sum_{n,m} u^n \pi(xe_n)u^{-n} = \pi(\sum_{n} \theta^n(xe_n)) = \pi_1(\theta_e(x)).$$

This proves (3). Let  $\mathcal{M}_2 = \mathcal{R}\{\pi_1(\mathcal{N}e), u_1\}$ . It is clear that  $\mathcal{M}_2 \subset \mathcal{M}_1$  and, since  $\mathcal{M} = \mathcal{R}\{\pi(\mathcal{N}), u\}$  and  $\mathcal{M}_1 = \pi(e)\mathcal{M}\pi(e)$ , we have

$$\mathcal{M}_1 = \mathcal{R}\{\pi(e)\pi(\mathcal{N})\pi(e), \ \pi(e)u^n\pi(e)\} \qquad (n \in \mathbb{Z}).$$

As  $\pi(e)\pi(\mathcal{N})\pi(e) = \pi_1(\mathcal{N}e)$  and  $\pi \circ \theta = \mathrm{Ad}(u) \circ \pi$ , to prove (4) (i.e.  $\mathcal{M}_1 = \mathcal{M}_2$ ) it is sufficient to show that for every projection  $p \in \mathcal{Z}(\mathcal{N})$  we have

$$p \leq e, \ \theta^n(p) \leq e \Rightarrow u^n \pi(p) \in \mathcal{M}_2 \qquad (n > 1).$$

Since  $e\theta^n(pe_k) \le e\theta^n(e_k) = 0$  for k > n, it follows that  $pe_k = 0$  for k > n, and we may assume that

$$p \leqslant e_{k_1}$$
 with  $k_1 \leqslant n$ ;

then  $\theta^{k_1}(p) \le e$ ,  $\theta^{n-k_1}(\theta^{k_1}(p)) \le e$  and, by the same argument, we may assume also that

$$\theta^{k_1}(p) \leqslant e_{k_1}$$
 with  $k_1 + k_2 \leqslant n$ ;

by continuing this procedure inductively, we may assume that

$$\theta^{k_1+k_2}(p) \leqslant e_{k_1} \text{ with } k_1+k_2+k_3 \leqslant n$$

$$\vdots$$

$$\theta^{k_1+k_2+\ldots+k_{j-1}}(p) \leqslant e_{k_j} \text{ with } k_1+k_2+\ldots+k_j=n.$$

In this case, we have

$$u_1\pi_1(p) = \sum_n u^n\pi(e_n)\pi(p) = u_1^{k_1}\pi(p) = \pi(\theta^{k_1}(p))u^{k_1},$$

 $u_1^2\pi_1(p) = u_1u^{k_1}\pi(e_{k_1}) = \sum_n u^n\pi(e_n)\pi(\theta^{k_1}(p))u^{k_1} = u^{k_2}\pi(\theta^{k_1}(p))u^{k_1} = \pi(\theta^{k_1+k_2}(p))u^{k_1+k_2},$  and, by induction,

$$u_1^{\prime}\pi_1(p)=\pi(\theta^n(p))u^n=u^n\pi(p)$$

so that  $u^n\pi(p) = u_1^J\pi_1(p) \in \mathcal{M}_2$ . Thus, (4) is proved.

Since  $(\mathcal{N}e, \theta_e, \tau_e)$  is a discrete decomposition of type III<sub>0</sub>, the action  $\theta_e : \mathbb{Z} \to Aut$   $(\mathcal{N}e)$  is properly outer (29.3). Using Proposition 22.2 and the last remark in Section 22.2, we deduce that there exists a \*-isomorphism

$$\Phi: (\mathcal{M}_0, \, \pi_0, \, P_0, \, u_0) \to (\mathcal{M}_1, \, \pi_1, \, P_1, \, u_1).$$

Consider now  $x \in \mathcal{M}_0^+$  and  $y \in (\mathcal{N}_0)^+$  with  $P_0(x) = \pi_0(y)$ . Then  $\varphi_0(x) = \tau(y)$ . On the other hand,  $P_1(\Phi(x)) = \Phi(P_0(x)) = \Phi(\pi_0(y)) = \pi_1(y)$ , so that  $P(\Phi(x)) = \pi(y)$ , and  $\varphi(\Phi(x)) = \tau(y)$ . Thus,  $\varphi_0 = \varphi_{\pi(e)} \circ \Phi$ .

Since  $\varphi_0 = \varphi_{\pi(e)} \circ \Phi$ , we also have

$$\Phi \circ \sigma_{s}^{\Phi_{s}} = \sigma_{s}^{\Phi} \circ \Phi \qquad (s \in \mathbb{R}).$$

Note that, as the factor  $\mathcal{M}$  is of type III (and countably decomposable), we have, for every non-zero projection  $e \in \mathcal{Z}(\mathcal{N})$ ,  $\mathcal{M} \approx \mathcal{M}_{n(e)}$ . Thus, the discrete type III<sub>0</sub> decompositions  $(\mathcal{N}, \theta, \tau)$  and  $(\mathcal{N}e, \theta_e, \tau_e)$  give rise to \*-isomorphic crossed products which are factors of type III<sub>0</sub>.

In the constructions of factors described in Sections 29.1 and 29.3, the weights dual to the traces appearing in the corresponding discrete decompositions are lacunary. Thus, in order to obtain a discrete decomposition corresponding to any given factor of type III<sub> $\lambda$ </sub> ( $0 \le \lambda < 1$ ), it is first necessary to study the lacunary weights on such factors.

29.5. Consider first a factor  $\mathcal{M}$  of type III<sub> $\lambda$ </sub>, for  $0 < \lambda < 1$ , and put  $t = -2\pi/\ln(\lambda)$ . Then  $t \in T(\mathcal{M})$  (see 28.11) and so (27.1.(vi)) there exists a faithful normal state  $\psi$  on  $\mathcal{M}$  such that  $\sigma_t^{\psi} = \iota$ . Also  $\varphi = \psi \otimes tr$  is an n.s.f. weight on  $\mathcal{M} \approx \mathcal{M} \otimes \mathcal{F}_{\infty}$  with  $\varphi(1) = +\infty$  and  $\sigma_t^{\psi} = \iota$ , i.e. a  $\lambda$ -trace.

On the other hand, since t is the smallest positive number in  $T(\mathcal{M})$  (28.11), for every n.s.f. weight  $\varphi$  on  $\mathcal{M}$  with  $\sigma_t = t$  we have  $\sigma_t^{\varphi} = t$  if and only if there exists  $k \in \mathbb{Z}$  with s = kt; using 16.4.(2), 28.1.(4) and 28.3.(1), we infer that  $Sp\sigma^{\varphi} = \{\lambda^n; n \in \mathbb{Z}\} = \Gamma(\sigma^{\varphi})$  and  $Sp(\Delta_{\varphi}) = S(\mathcal{M})$ , in particular  $Sp(\Delta_{\varphi}) \cap (\lambda, \lambda^{-1}) = \{1\}$ . Hence every n.s.f. weight  $\varphi$  on  $\mathcal{M}$  with  $\sigma_t^{\varphi} = t$  is a lacunary weight and  $\mathcal{M}^{\varphi}$  is a factor (see 16.4.(5)).

Consider now two  $\lambda$ -traces  $\varphi$  and  $\psi$  on  $\mathcal{M}$ . Since  $\sigma_i^{\varphi} = \sigma_i^{\psi} (= i)$  and  $\mathcal{M}$  is a factor, it follows that  $[D\psi: D\varphi]_i = \alpha \cdot 1_{\mathcal{M}}$ . Let  $\mu > 0$  be such that  $\mu^{it} = \alpha$ . Then  $\mathcal{M} \otimes \mathcal{F}_2$  is a factor of type III<sub> $\lambda$ </sub> (28.4) and the balanced weight  $\nu = \theta(\mu\varphi, \psi)$  is a

 $\lambda$ -trace on  $\mathcal{M} \otimes \mathcal{F}_2$ , since

$$\sigma_{t}^{y}\begin{pmatrix} x & 0 \\ 0 & y \end{pmatrix} = \begin{pmatrix} \sigma_{t}^{\varphi}(x) & 0 \\ 0 & \sigma_{t}^{\psi}(y) \end{pmatrix} = \begin{pmatrix} x & 0 \\ 0 & y \end{pmatrix} \qquad (x, y \in \mathcal{M})$$

$$\sigma_{t}^{y}\begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix} = \begin{pmatrix} 0 & 0 \\ [D\psi : D\mu\varphi]_{t} & 0 \end{pmatrix} = \begin{pmatrix} 0 & 0 \\ \alpha\mu^{-it} & 0 \end{pmatrix} = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix};$$

hence  $\sigma_i^v = i$  and  $v(1) = +\infty$ . It follows that  $(\mathcal{M} \otimes \mathcal{F}_2)^v$  is a factor and that the restriction of v to  $(\mathcal{M} \otimes \mathcal{F}_2)$  is an n.s.f. trace on  $\mathcal{M} \otimes \mathcal{F}_2$  (see 10.9). Since  $v\begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} =$ 

$$= v \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix} = + \infty$$
, it follows that the projections  $\begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}$  and  $\begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix}$  are equivalent in  $(\mathcal{M} \ \overline{\otimes} \ \mathcal{F}_2)^r$ . Using Proposition 23.1, we conclude that there exists a unitary element  $v \in \mathcal{M}$  such that  $\psi = \mu \ (\varphi \circ \operatorname{Ad}(v))$ .

We have thus proved the following

Proposition. Let  $\mathcal{M}$  be a factor of type  $\text{III}_{\lambda}$   $(0 < \lambda < 1)$  and  $t = -2\pi/\ln(\lambda)$ . There exists a  $\lambda$ -trace on  $\mathcal{M}$ . For any two  $\lambda$ -traces  $\varphi$ ,  $\psi$  on  $\mathcal{M}$  there exist a unitary element  $v \in \mathcal{M}$  and  $\mu > 0$  such that  $\psi = \mu(\varphi \circ \text{Ad}(v))$ ; in this case  $\mu^{ij} = [D\psi : D\varphi]_i$ .

In particular, for every  $\lambda$ -trace  $\varphi$  on  $\mathcal{M}$  there exists a unitary element  $u \in \mathcal{M}$  such that  $\lambda \varphi = \varphi \circ \mathrm{Ad}(u)$ .

29.6. For type III<sub>0</sub> factors we first prove the existence of lacunary weights of infinite multiplicity.

Proposition. Let  $\mathcal{M}$  be a factor of type III<sub>0</sub>,  $\varphi$  a faithful normal strictly semifinite weight on  $\mathcal{M}$  and  $p \in \mathcal{M}^{\varphi}$  a non-zero projection. There exist a non-zero projection  $e \in \mathcal{M}^{\varphi}$ ,  $e \leq p$ , an element  $a \in \mathcal{M}^{\varphi} \cap e\mathcal{M}e$ , a > 0, which is invertible in  $e\mathcal{M}e$ , and a faithful normal lacunary state  $\psi$  on  $e\mathcal{M}e$  such that  $a \in (e\mathcal{M}e)^{\varphi}$  and  $\varphi_e = \psi(a \cdot)$ .

*Proof.* Since  $\varphi$  is strictly semifinite,  $\varphi \mid \mathcal{M}^{\varphi}$  is an n.s.f. trace on  $\mathcal{M}^{\varphi}$  and we may hence assume that  $\varphi(p) < +\infty$ . We have  $\Gamma(\sigma^{\varphi}p) = \Gamma(\sigma^{\varphi}) = S(\mathcal{M}) \cap \mathbb{R}^+_{\varphi}$  so

that, by Corollary 2/16.2, there exist a non-zero projection  $e \in \mathcal{M}^{\varphi}p = \mathcal{M}^{\varphi} \cap p\mathcal{M}p$  and e > 0 such that

$$(Sp\sigma^{\varphi_{\bullet}}) \cap \exp([-2\varepsilon, -\varepsilon] \cup [\varepsilon, 2\varepsilon]) = \emptyset.$$

Using Proposition 15.12 we obtain an element  $h \in \mathcal{M}^{\varphi} \cap e\mathcal{M}e$ ,  $-\varepsilon/2 \leq h \leq \varepsilon/2$ , such that for the action  $\sigma \colon \mathbb{R} \to Aut(e\mathcal{M}e)$  defined by  $\sigma_s(x) = e^{-ish}\sigma_s^{\varphi_{\varphi}}(x)e^{ish}(x \in e\mathcal{M}e, s \in \mathbb{R})$  we have

$$(Sp \sigma) \cap \exp(-\varepsilon, \varepsilon) = \{1\}.$$

Then the element  $a = e^h \in \mathcal{M}^{\varphi} \cap e\mathcal{M}e$  is positive and invertible in  $e\mathcal{M}e$  and  $\psi = \varphi_e(a^{-1}.)$  is a faithful normal state on  $e\mathcal{M}e$  with  $\sigma^{\psi} = \sigma$ , so that  $\psi$  is lacunary. It is clear that  $a \in (e\mathcal{M}e)^{\psi}$  and  $\varphi_e = \psi(a \cdot)$ .

Corollary. On every factor  $\mathcal M$  of type  $\mathrm{III_0}$  there exists a lacunary weight of infinite multiplicity.

**Proof.** Since every non-zero projection in  $\mathcal{M}$  is equivalent to 1, the previous Proposition shows that there exists a faithful normal lacunary weight  $\psi$  on  $\mathcal{M}$ . Then  $\varphi = \psi \otimes tr$  is a lacunary weight of infinite multiplicity on  $\mathcal{M} \otimes \mathcal{F}_{\infty} \approx \mathcal{M}$ .

**29.7.** Proposition. Let  $\varphi_1$ ,  $\varphi_2$  be lacunary weights of infinite multiplicity on the type  $III_0$  factor  $\mathcal{M}$ . Given  $\varepsilon > 0$  there exist non-zero projections  $e_1 \in \mathcal{Z}(\mathcal{M}^{\varphi_1})$ ,  $e_2 \in \mathcal{Z}(\mathcal{M}^{\varphi_2})$  and a partial isometry  $v \in \mathcal{M}$  with  $v^*v = e_1$ ,  $vv^* = e_2$ , such that the mapping  $x \mapsto vxv^*$  defines a \*-isomorphism

$$\Phi \colon (e_1 \mathcal{M} e_1, \mathcal{M}^{\varphi_1} \cap e_1 \mathcal{M} e_1) \to (e_2 \mathcal{M} e_2, \mathcal{M}^{\varphi_1} \cap e_2 \mathcal{M} e_2)$$

with the property that for every  $x \in e_1 \mathcal{M} e_1$  we have

$$Sp_{\sigma} \varphi_1(\Phi(x)) \subset Sp_{\sigma} \varphi_1(x) \cdot \exp[-\varepsilon, \varepsilon],$$
  
 $Sp_{\sigma} \varphi_1(x) \subset Sp_{\sigma} \varphi_1(\Phi(x)) \cdot \exp[-\varepsilon, \varepsilon].$ 

Proof. Put  $\sigma^{\varphi_1} = \sigma_1$ ,  $\sigma^{\varphi_2} = \sigma_2$  and consider the balanced weight  $\varphi = \theta(\varphi_1, \varphi_2)$  on  $\mathscr{P} = Mat_2(\mathscr{M})$  and the \*-isomorphisms  $I_1: \mathscr{M} \to \mathscr{P}$ ,  $I_2: \mathscr{M} \to \mathscr{P}$  defined by  $I_1(x) = \begin{pmatrix} x & 0 \\ 0 & 0 \end{pmatrix}$ ,  $I_2(x) = \begin{pmatrix} 0 & 0 \\ 0 & x \end{pmatrix}$ ,  $(x \in \mathscr{M})$ . With  $\sigma = \sigma^{\varphi}$ , we have

$$\sigma \circ I_k = I_k \circ \sigma_k \qquad (k = 1,2).$$

Since  $\varphi_1$  and  $\varphi_2$  are of infinite multiplicity, the projections  $\begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}$ ,  $\begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix}$  are properly infinite in  $\mathscr{P}^{\sigma}$ , in particular  $\mathscr{P}^{\sigma}$  is properly infinite. Let

$$p_1 = \mathbf{z}_{\mathscr{I}^{\sigma}}\left(\begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}\right) \in \mathscr{Z}(\mathscr{P}^{\sigma}), \ p_2 = \mathbf{z}_{\mathscr{I}^{\sigma}}\left(\begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix}\right) \in \mathscr{Z}(\mathscr{P}^{\sigma}).$$

We have

$$Sp \sigma^{p_k} = Sp \sigma_k \qquad (k = 1,2),$$

and 1 is an isolated point in  $Sp\sigma^{p_k}$  (k=1,2). By Corollary 15.17, there exists a non-zero partial isometry  $w \in \mathcal{P}$  such that  $p_1 > q_1 = w^*w \in \mathcal{Z}(\mathcal{P}^o)$ ,  $p_2 > q_2 = ww^* \in \mathcal{Z}(\mathcal{P}^o)$  and

$$(Sp_{\sigma}(w))(Sp_{\sigma}(w))^{-1} \subset \exp[-\varepsilon, \varepsilon].$$

We define

$$r_1 = \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} q_1, \quad r_2 = \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix} q_2.$$

Each  $r_k$  is a properly infinite projection in  $\mathscr{P}^{\sigma}$  and  $z_{\mathscr{P}^{\sigma}}(r_k) = q_k p_k = q_k$ ; hence  $r_k \sim q_k$  in  $\mathscr{P}^{\sigma}$ , so that there exists a partial isometry  $w_k \in \mathscr{P}^{\sigma}$  with  $w_k^* w_k = r_k$  and  $w_k w_k^* = q_k$  (k = 1, 2). Then  $u = w_2^* w w_1 \in \mathscr{P}$ ,  $u^* u = r_1$ ,  $u u^* = r_2$  and, since  $w_1, w_2 \in \mathscr{P}^{\sigma}$ ,

$$(Sp_{\sigma}(u))(Sp_{\sigma}(u))^{-1} \subset \exp[-\varepsilon, \varepsilon].$$

On the other hand, it is easy to see that  $r_k \in I_k(\mathcal{Z}(\mathcal{M}^{\sigma_k}))$ , so that there exists  $e_k \in \mathcal{Z}(\mathcal{M}^{\sigma_k})$  such that  $r_k = I_k(e_k)$  (k = 1, 2). Since  $u^*u = r_1$ ,  $uu^* = r_2$ , it follows that u is of the form  $u = \begin{pmatrix} 0 & 0 \\ 0 & v \end{pmatrix}$  with  $v \in \mathcal{M}$  and  $v^*v = e_1$ ,  $vv^* = e_2$ . For  $x \in e_1 \mathcal{M} e_1$  we have  $Sp_{\sigma_1}(x) = Sp_{\sigma}(I_1(x))$  and  $Sp_{\sigma_2}(vxv^*) = Sp_{\sigma}(I_2(vxv^*)) = Sp_{\sigma}\left(\begin{pmatrix} 0 & 0 \\ 0 & vxv^* \end{pmatrix}\right) = Sp_{\sigma}\left(\begin{pmatrix} 0 & 0 \\ 0 & vxv^* \end{pmatrix}\right) = Sp_{\sigma}\left(\begin{pmatrix} 0 & 0 \\ v & 0 \end{pmatrix}\begin{pmatrix} x & 0 \\ 0 & 0 \end{pmatrix}\begin{pmatrix} 0 & v^* \\ 0 & 0 \end{pmatrix}\right) = Sp_{\sigma}(uI_1(x)u^*)$ . The assertions of the Proposition are now easily verified.

29.8. Proposition. Let  $\varphi$  be a faithful normal lacunary weight on the W\*-algebra  $\mathcal{M}$ . Then every maximal abelian \*-subalgebra  $\mathcal{A}$  of  $\mathcal{M}^{\varphi}$  is maximal abelian in  $\mathcal{M}$ .

*Proof.* Put  $\sigma = \sigma^{\bullet}$ . By assumption, there exists  $\varepsilon > 0$  such that  $(Sp \ \sigma) \cap \exp[-\varepsilon, \ \varepsilon] = \{1\}$ . Since  $\mathscr{A} \subset \mathscr{M}^{\sigma}$ ,  $\mathscr{A}' \cap \mathscr{M}$  is a  $\sigma$ -invariant vector subspace of  $\mathscr{M}$ .

Assume that there exists  $x \in \mathcal{A}' \cap \mathcal{M}$ ,  $x \notin \mathcal{M}^{\bullet}$ . In view of Lemma 15.1, we

may further assume that

$$(Sp_{\sigma}(x))(Sp_{\sigma}(x))^{-1} \subset \exp[-\varepsilon, \varepsilon].$$

If  $1 \in Sp_{\sigma}(x)$ , then  $Sp_{\sigma}(x) \subset (Sp\sigma) \cap \exp[-\varepsilon, \varepsilon] = \{1\}$ , and  $x \in \mathcal{M}^{\bullet}$ , which is not possible. Consequently,  $1 \notin Sp_{\sigma}(x)$ . Since  $Sp_{\sigma}(x) \cap \exp[-\varepsilon, \varepsilon] \subset (Sp\sigma) \cap \exp[-\varepsilon, \varepsilon] = \{1\}$ , it follows that we have either  $Sp_{\sigma}(x) \subset \exp(\varepsilon, +\infty)$ , or  $Sp_{\sigma}(x) \subset \exp(-\infty, -\varepsilon)$ . By replacing if necessary x by  $x^{\bullet}$ , we may assume that

$$Sp_{\sigma}(x) \subset \exp(-\infty, -\varepsilon).$$

Let x=u|x| be the polar decomposition of x, with  $u^*u=\mathbf{r}(x)$ ,  $uu^*=\mathbf{l}(x)$ . Since  $(Sp_{\sigma}(x))(Sp_{\sigma}(x))^{-1}\cap (Sp\ \sigma)=\{1\}$ , using Proposition 15.17.(2) we get  $Sp_{\sigma}(u)=Sp_{\sigma}(x)\subset \exp{(-\infty,-\varepsilon)},\ u\in \mathscr{A}'\cap \mathscr{M}$  and  $u^*u,\ uu^*\in \mathscr{A}'\cap \mathscr{M}^{\varphi}=\mathscr{A}$ . It follows that  $(u^*u)u=u(u^*u)=u$  and  $u(uu^*)=(uu^*)u=u$ , so that  $u^*u=u^*uuu^*=uu^*$ . Since  $Sp_{\sigma}(u)$  is a compact subset of  $\exp{(-\infty,-\varepsilon)}$ , there is a function  $f\in \mathscr{L}^1(\mathbb{R})$  such that  $u=\sigma_f(u),\ 0\leqslant \hat{f}\leqslant 1$  and  $supp\ \hat{f}\subset \exp{(-\infty,-\varepsilon)}$ , there is a function  $f\in \mathscr{L}^1(\mathbb{R})$  such that  $u=\sigma_f(u),\ 0\leqslant \hat{f}\leqslant 1$  and (28.1.(3))  $\Delta^{1/2}_{\sigma}(\hat{f}(\alpha_p)u_{\varphi}=\Delta^{1/2}_{\varphi}(\sigma_f(u))_{\varphi}=\Delta^{1/2}_{\varphi}(\sigma_f(u))_{\varphi}=\Delta^{1/2}_{\varphi}(\sigma_f(u))_{\varphi}$ , whence  $\varphi(uu^*)=\|(u^*)_{\varphi}\|_{\varphi}=\|\Delta^{1/2}_{\varphi}u_{\varphi}\|_{\varphi}\leqslant \exp{(-\varepsilon/4)}\|u_{\varphi}\|_{\varphi}=\exp{(-\varepsilon/4)}\ \varphi(u^*u)$ , contradicting  $u^*u=uu^*$ .

Note that if  $\{e_i\}$  is a family of projections of the  $W^*$ -algebra  $\mathcal{M}$  with  $\sum_i e_i = 1$  and if, for each i,  $\mathcal{A}_i$  is a maximal abelian \*-subalgebra in  $e_i\mathcal{M}e_i$ , then  $\mathcal{A} = (\sum_i \mathcal{A}_i)^w$  is a maximal abelian \*-subalgebra in  $\mathcal{M}$ . Indeed, if  $x \in \mathcal{A}' \cap \mathcal{M}$ , then x commutes with each  $e_i$ , so that  $x = \sum_i e_i x e_i$  and  $e_i x e_i \in \mathcal{A}'_i \cap e_i \mathcal{M}e_i = \mathcal{A}_i$ .

**29.9.** Theorem. Let  $\varphi$  be a faithful normal strictly semifinite weight on the factor  $\mathcal{M}$  of type III,  $(0 \leq \lambda < 1)$ . There exists a maximal abelian \*-subalgebra of  $\mathcal{M}$  contained in  $\mathcal{M}^{\varphi}$ . In particular,  $(\mathcal{M}^{\varphi})' \cap \mathcal{M} = \mathcal{Z}(\mathcal{M}^{\varphi})$ .

Proof. Consider first the case  $\lambda > 0$  and put  $t = -2\pi/\ln(\lambda)$ . Since  $\varphi$  is strictly semifinite, there exists a family of mutually orthogonal non-zero projections  $\{e_i\} \subset \mathcal{M}^{\varphi}$  with  $\varphi(e_i) < +\infty$  and  $\sum_i e_i = 1$ . For each i,  $\varphi_i = \varphi_{e_i}$  is a faithful normal positive form on the  $W^*$ -algebra  $\mathcal{M}_i = e_i \mathcal{M} e_i$  and  $e_i \sim 1$  in  $\mathcal{M}$ , so that  $\mathcal{M}_i \approx \mathcal{M}$  and  $T(\mathcal{M}_i) = T(\mathcal{M}) \ni t$ . By Theorem 27.1 we see that there exists a positive element  $a_i \in \mathcal{M}_i^{\varphi_i} = \mathcal{M}^{\varphi_i} \cap \mathcal{M}_i$ , invertible in  $\mathcal{M}_i$ , such that for  $\psi_i = \varphi_i(a_i)$  we have  $\sigma_i^{\psi_i} = i$ . Thus,  $\psi_i$  is a lacunary faithful normal positive form on  $\mathcal{M}_i$ . Let  $\mathcal{M}_i$  be any maximal abelian \*-subalgebra of  $\mathcal{M}_i^{\varphi_i}$  containing  $a_i$ . Then  $\mathcal{M}_i$  is maximal abelian in  $\mathcal{M}_i$ , by Proposition 29.8, and for every  $x \in \mathcal{M}_i$  we have  $xa_i^{\psi_i} = a_i^{\psi_i}x$  and  $\sigma_i^{\psi_i}(x) = x$ , hence  $x \in \mathcal{M}_i^{\varphi_i} \subset \mathcal{M}^{\varphi_i}$ . Using the last remark in Section 29.8, we conclude that  $\mathcal{M}_i = (\sum_i \mathcal{M}_i)^{\psi_i} \subset \mathcal{M}^{\varphi_i}$  is a maximal abelian \*-subalgebra of  $\mathcal{M}_i$ .

If  $\lambda = 0$ , the desired conclusion can be obtained by the same arguments, using Proposition 29.6.

Finally, if  $\mathscr{A}$  is a maximal abelian \*-subalgebra of  $\mathscr{M}$  contained in  $\mathscr{M}^{\bullet}$  and  $x \in (\mathscr{M}^{\bullet})' \cap \mathscr{M}$ , then  $x \in \mathscr{A}' \cap \mathscr{M} = \mathscr{A} \subset \mathscr{M}^{\bullet}$ , hence  $(\mathscr{M}^{\bullet})' \cap \mathscr{M} = \mathscr{L}(\mathscr{M}^{\bullet})$ .

**29.10.** Corollary. For every faithful normal strictly semifinite weight  $\varphi$  on a factor  $\mathcal{M}$  of type  $III_{\lambda}$   $(0 \leq \lambda < 1)$  there exists a unique faithful normal conditional expectation  $P_{\varphi}: \mathcal{M} \to \mathcal{M}^{\varphi}$ .

Proof. This follows from Theorem 29.9, Corollary 10.9 and Proposition 10.17.

**29.11. Corollary.** Let  $\varphi$ ,  $\psi$  be n.s. f. weights on the factor  $\mathcal{M}$  of type  $\mathrm{III}_{\lambda}$   $(0 \leq \lambda < 1)$ . If  $\varphi$  is strictly semifinite, then we have  $\mathcal{M}^{\varphi} \subset \mathcal{M}^{\varphi}$  if and only if there exists a nonsingular positive self-adjoint operator A affiliated to  $\mathcal{Z}(\mathcal{M}^{\varphi})$ , such that  $\psi = \varphi_{\lambda}$ .

Proof. Let  $u_s = [D\psi : D\varphi]_s$   $(s \in \mathbb{R})$ . If  $\mathcal{M}^{\varphi} \subset \mathcal{M}^{\psi}$ , then  $x \in \mathcal{M}^{\varphi} \Rightarrow x \in \mathcal{M}^{\psi} \Rightarrow x = \sigma_s^{\psi}(x) = u_s \sigma_s^{\varphi}(x) u_s^* = u_s x u_s^*$ , and  $u_s \in (\mathcal{M}^{\varphi})' \cap \mathcal{M} = \mathcal{Z}(\mathcal{M}^{\varphi})$   $(s \in \mathbb{R})$ , by Theorem 29.9, i.e.  $\psi = \varphi_A$  with A affiliated to  $\mathcal{Z}(\mathcal{M}^{\varphi})$ . Conversely, if this condition holds, then  $u_s \in \mathcal{Z}(\mathcal{M}^{\varphi})$   $(s \in \mathbb{R})$ , and hence  $x \in \mathcal{M}^{\varphi} \Rightarrow \sigma_s^{\psi}(x) = u_s \sigma_s^{\varphi}(x) u_s^{\varphi} = u_s x u_s^* = x$   $(s \in \mathbb{R}) \Rightarrow x \in \mathcal{M}^{\psi}$ .

- **29.12.** Corollary. Let  $\varphi$  be a faithful normal strictly semifinite weight on a factor  $\mathcal{M}$  of type III<sub> $\lambda$ </sub>  $(0 < \lambda < 1)$ , and let  $t = -2\pi/\ln(\lambda)$ . The following statements are equivalent:
  - (i)  $\sigma_i^{\varphi} = i$ ;
  - (ii)  $Sp(\Delta_{\sigma}) = S(\mathcal{M})$ , i.e.  $Sp \sigma^{\varphi} = \Gamma(\sigma^{\varphi})$ ;
  - (iii) Mo is a factor;
  - (iv)  $(\mathcal{M}^{\varphi})' \cap \mathcal{M} = \mathbb{C} \cdot 1_{\mathcal{M}};$
  - (v) every n.s.f. weight  $\psi$  on  $\mathcal{M}$  with  $\mathcal{M}^{\phi} \subset \mathcal{M}^{\psi}$  is proportional to  $\phi$ ;
- (vi)  $\mathcal{M}^{\varphi}$  is maximal among the semifinite unital  $W^*$ -subalgebras  $\mathcal{N} \subset \mathcal{M}$  with the property that there exists a faithful normal conditional expectation  $P: \mathcal{M} \to \mathcal{N}$ .
- *Proof.* The implication (i)  $\Rightarrow$  (ii) has already been proved in Section 29.5, the equivalence (ii)  $\Leftrightarrow$  (iii) follows from 16.4.(5), the equivalence (iii)  $\Leftrightarrow$  (iv) follows from Theorem 29.9 and the implication (iv)  $\Rightarrow$  (v) follows easily from Theorem 29.9 and Corollary 29.11.
- $(v)\Rightarrow (vi)$ . Let  $\mathcal{M}^{\varphi}\subset \mathcal{N}$  with  $\mathcal{N}\subset \mathcal{M}$  a semifinite unital  $W^*$ -subalgebra, let  $P:\mathcal{M}\to \mathcal{N}$  be a faithful normal conditional expectation and let  $\tau$  be an n.s.f. trace on  $\mathcal{N}$ . Then  $\psi=\tau\circ P$  is an n.s.f. weight on  $\mathcal{M}$  with  $\mathcal{M}^{\varphi}\subset \mathcal{N}\subset \mathcal{M}^{\varphi}$ , so that  $\psi$  is proportional to  $\varphi$  and therefore  $\mathcal{M}^{\varphi}=\mathcal{N}=\mathcal{M}^{\varphi}$ .
- $(vi) \Rightarrow (i)$ . Since  $t \in T(\mathcal{M})$ , there exists a nonsingular positive self-adjoint operator A affiliated to  $\mathcal{Z}(\mathcal{M}^{\varphi})$  such that  $\psi = \varphi_A$  satisfies  $\sigma_i^{\psi} = \iota$ . Then the weight  $\varphi$  is strictly semifinite, i.e. there exists a faithful normal conditional expectation  $P: \mathcal{M} \to \mathcal{M}^{\psi}$  and  $\mathcal{M}^{\psi}$  is semifinite (10.9). By Corollary 29.11 we know that  $\mathcal{M}^{\varphi} \subset \mathcal{M}^{\psi}$ ; assumption (vi) implies that  $\mathcal{M}^{\varphi} = \mathcal{M}^{\psi}$ . Since the weight  $\psi$  satisfies condition (i), it also satisfies condition (v), so that  $\varphi$  is proportional to  $\psi$  and  $\sigma_i^{\varphi} = \sigma_i^{\psi} = \iota$ .
- 29.13. Notes. The constructions and properties of factors of types III<sub>1</sub>  $(0 \le \lambda < 1)$  given in this Section are due to Connes [36] (see also [276], [277],  $(\lambda > 0)$ ). An important feature of factors concerns the existence of almost periodic states. A faithful normal state  $\varphi$  on a  $W^*$ -algebra  $\mathcal{M}$  is called almost periodic if the modular operator  $\Delta_{\varphi}$  is diagonalizable, i.e. if the set of eigenvalues of  $\Delta_{\varphi}$  is total in  $\mathcal{K}_{\varphi}([36], 3.7.1; \sec{[35]}, [45]$  for equivalent definitions). Clearly, any  $\lambda$ -trace on a type III<sub>1</sub> factor is (almost) periodic. Using results of Krieger [144], Connes ([36], 5.3.8; [45], 1.5) proved the existence of almost periodic faithful normal states on every factor of type III<sub>1</sub>. Connes [45] also introduced the notion of a full factors of type III<sub>1</sub> with faithful normal almost periodic states as well as full factors of type III<sub>1</sub> with no almost periodic state or weight. Moreover, Connes [45] exhibited a non-smooth uncountable family of type III<sub>1</sub> factors with separable preduals. While every factor of type III<sub>1</sub> with  $0 \le \lambda < 1$  arises from an essentially unique discrete decomposition (see § 30), Connes [45] showed that there exist factors of type III<sub>1</sub> with separable preduals which are isomorphic to no crossed product of a semifinite von Neumann algebra by a discrete abelian group.

Recently, Connes [50] has shown that every type of factor can be obtained as von Neumann algebras associated with a foliation.

For our exposition we have used [36].

## §30. The discrete decomposition of factors of type $III_{\lambda}$ $(0 \le \lambda < 1)$

In this Section we show that every factor of type III<sub> $\lambda$ </sub> ( $0 \le \lambda < 1$ ) has a certain type of discrete decomposition which is essentially unique; we relate the discrete to the continuous decomposition. As in the preceding Section, all  $W^*$ -algebras are countably decomposable, either by assumption or construction.

**30.1.** Theorem. Let  $0 < \lambda < 1$  and  $\mathcal{M}$  be a factor of type III<sub> $\lambda$ </sub>. There exists a discrete decomposition  $(\mathcal{N}, \theta, \tau)$  of type III<sub> $\lambda$ </sub> such that  $\mathcal{M} \approx \mathcal{R}(\mathcal{N}, \theta)$ . If  $(\mathcal{N}_1, \theta_1, \tau_1)$  and  $(\mathcal{N}_2, \theta_2, \tau_2)$  are two discrete decompositions of type III<sub> $\lambda$ </sub> such that  $\mathcal{R}(\mathcal{N}_1, \theta_1) \approx \mathcal{R}(\mathcal{N}_2, \theta_2)$ , then  $(\mathcal{N}_1, \theta_1) \approx (\mathcal{N}_2, \theta_2)$ .

*Proof.* Let  $t = -2\pi/\ln(\lambda)$ . By Proposition 29.5, there exist a  $\lambda$ -trace  $\varphi$  on  $\mathcal{M}$  and a unitary element  $u \in \mathcal{M}$  such that  $\lambda \varphi = \varphi \circ \mathrm{Ad}(u)$ . Let  $\mathcal{N} = \mathcal{M}^{\varphi}$  and let  $P = P_{\varphi} \colon \mathcal{M} \to \mathcal{N}$  be the faithful normal conditional expectation defined by

$$\varphi\colon P_{\varphi}(x)=t^{-1}\int_{0}^{t}\sigma_{s}^{\varphi}(x)\,\mathrm{d}s\qquad(x\in\mathscr{M}).$$

Since  $\lambda \varphi = \varphi \circ \operatorname{Ad}(u)$ , we have (3.7)  $u^* \sigma_s^{\varphi}(u) = [D(\varphi \circ \operatorname{Ad}(u)) : D\varphi]_s = \lambda^{is}$ , whence  $\sigma_s^{\varphi}(u) = \lambda^{is} u \, (s \in \mathbb{R})$ , i.e.  $u \in \mathcal{M}(\sigma^{\varphi}; \{\lambda\})$  and  $u^n \in \mathcal{M}(\sigma^{\varphi}; \{\lambda^n\})$  for all  $n \in \mathbb{Z}$ . Since  $\sigma_s^{\varphi} = \iota$ , it follows by Corollary 29.12 that  $Sp \, \sigma^{\varphi} = \{\lambda^n; n \in \mathbb{Z}\}$ , so that there exists an open covering  $\{V_n\}_{n \in \mathbb{Z}}$  of  $\mathbb{R}_+^+$  such that  $V_n \cap (Sp \, \sigma^{\varphi}) = \{\lambda^n\}$  for each  $n \in \mathbb{Z}$ . Using Proposition 14.3.(4) we infer that  $\mathcal{M} = \mathcal{R}\{\bigcup_{n \in \mathbb{Z}} \mathcal{M}(\sigma^{\varphi}; \{\lambda^n\})\}$ . Let

 $x \in \mathcal{M}(\sigma^{\varphi}; \{\lambda^n\})$ . As  $u^{-n} \in \mathcal{M}(\sigma^{\varphi}; \{\lambda^{-n}\})$ , it follows that  $xu^{-n} \in \mathcal{M}^{\varphi} = \mathcal{N}$  (15.3.(2)). Thus  $\mathcal{M}(\sigma^{\varphi}; \{\lambda^n\}) = u^n \mathcal{N}$  and  $\mathcal{M} = \mathcal{R}\{\mathcal{N}, u\}$ . Also, for every  $n \neq 0$  we have

$$P(u^n) = \left(t^{-1} \int_0^t \lambda^{i_{ns}} \, \mathrm{d}s\right) u^n = 0. \text{ Finally, since } u \in \mathcal{M}(\sigma^{\varphi}; \{\lambda\}) \text{ and } u^* \in \mathcal{M}\left(\sigma^{\varphi}; \{\lambda^{-1}\}\right),$$

for  $x \in \mathcal{N} = \mathcal{M}^{\varphi}$  we have  $uxu^* \in \mathcal{M}^{\varphi} = \mathcal{N}$  (15.3.(2)). We thus obtain a \*-automorphism  $\theta = \mathrm{Ad}(u)|\mathcal{N} \in Aut(\mathcal{N})$  and conclude, using Proposition 22.2, that  $\mathcal{M} \approx \mathcal{R}(\mathcal{N}, \theta)$ .

By Corollary 29.12,  $\mathcal{N}=\mathcal{M}^{\varphi}$  is a factor. Since  $\varphi$  is strictly semifinite, its restriction  $\tau=\varphi|\mathcal{N}$  is an n.s.f. trace on  $\mathcal{N}$  with  $\tau(1)=\varphi(1)=+\infty$  and  $\tau \cdot \theta=(\varphi \cdot \operatorname{Ad}(u))|\mathcal{N}=\lambda\varphi|\mathcal{N}=\lambda\tau$ . Thus,  $\mathcal{N}$  is a factor of type  $\Pi_{\infty}$  and  $(\mathcal{N},\,\theta,\,\tau)$  is a discrete decomposition of type  $\operatorname{III}_{\lambda}$ .

Consider now two discrete decompositions  $(\mathcal{N}_1, \theta_1, \tau_1)$ ,  $(\mathcal{N}_2, \theta_2, \tau_2)$  of type III<sub> $\lambda$ </sub> with  $\mathcal{R}(\mathcal{N}_1, \theta_1) = \mathcal{R}(\mathcal{N}_2, \theta_2) = \mathcal{M}$ ; let  $u_1, u_2$  be the unitary elements corresponding to  $1 \otimes \lambda(1)$  in the two crossed products and, identifying  $\mathcal{N}_1$  and  $\mathcal{N}_2$  with the corresponding  $W^*$ -subalgebras of  $\mathcal{M}$ , denote by  $P_1: \mathcal{M} \to \mathcal{N}_1$ ,  $P_2: \mathcal{M} \to \mathcal{N}_2$  the canonical faithful normal conditional expectations. We know (29.1) that the dual weights  $\varphi_1 = \tau_1 \circ P_1$ ,  $\varphi_2 = \tau_2 \circ P_2$  are  $\lambda$ -traces on  $\mathcal{M}$  and  $\mathcal{N}_1 = \mathcal{M}^{\varphi_1}, \mathcal{N}_2 = \mathcal{M}^{\varphi_2}$ . Using Proposition 29.5 and modifying, if necessary, the trace  $\tau_2$ , we obtain a unitary element  $u \in \mathcal{M}$  such that  $\varphi_2 = \varphi_1 \circ \mathrm{Ad}(u)$  and, in particular,  $\mathcal{N}_2 = [\mathrm{Ad}(u)](\mathcal{N}_1)$ .

Since  $\lambda \varphi_1 = \varphi_1 \circ \operatorname{Ad}(u_1)$ ,  $\lambda \varphi_2 = \varphi_2 \circ \operatorname{Ad}(v_2)$  (see 29.5), it follows that  $\lambda \varphi_2 = \varphi_2 \circ \operatorname{Ad}(u^*u_1u)$ . Thus,  $u_2$  and  $u^*u_1u$  belong to the spectral subspace  $\mathscr{M}(\sigma^{\varphi_2}; \{\lambda\})$  and hence  $v = uu_1^*u^*u_2 \in \mathscr{M}^{\varphi_2} = \mathscr{N}_2$ . Since  $\theta_1 = \operatorname{Ad}(u_1)|\mathscr{N}_1$  and  $\theta_2 = \operatorname{Ad}(u_2)|\mathscr{N}_2$ , it follows that  $[(\operatorname{Ad}(u))\theta_1^{-1}(\operatorname{Ad}(u))^{-1}]\theta_2 = \operatorname{Ad}(v) \in \operatorname{Int}(\mathscr{N}_2)$ . Finally, using Theorem 23.13, we conclude that  $(\mathscr{N}_1, \theta_1) \approx (\mathscr{N}_2, \theta_2)$ .

The discrete decomposition  $(\mathcal{N}, \theta, \tau)$  of type III<sub> $\lambda$ </sub> such that  $\mathcal{R}(\mathcal{N}, \theta) \approx \mathcal{M}$  will be called the discrete decomposition of the type III<sub> $\lambda$ </sub> factor  $\mathcal{M}$   $(0 < \lambda < 1)$ . The previous proof and Proposition 29.1 show that the  $\lambda$ -traces on  $\mathcal{M}$  are the weights dual to the traces appearing in the discrete decomposition of  $\mathcal{M}$ .

The previous Theorem can also be proved, using Landstad's theorem and the Takesaki duality theorem as in the proof of Theorem 23.6, by factorizing the action  $\sigma^{\varphi} \colon \mathbb{R} \to Aut(\mathcal{M})$  with  $\sigma_{i}^{\varphi} = i$  to get an action  $\sigma \colon \mathbb{T} \to Aut(\mathcal{M})$ .

30.2. For a factor  $\mathcal{M}$  of type III<sub>0</sub>, it is natural to start with a lacunary weight  $\varphi$  of infinite multiplicity on  $\mathcal{M}$  and to take  $\mathcal{N} = \mathcal{M}^{\varphi}$ ,  $\tau = \varphi \mid \mathcal{N}$ , but the procedure for finding a unitary element  $u \in \mathcal{M}$  which implements a convenient \*-automorphism  $\theta = \mathrm{Ad}(u) \mid \mathcal{N}$  is considerably more complicated. We consider separately this part of the proof in the following.

Lemma. Let  $\sigma: \mathbb{R} \to Aut(\mathcal{M})$  be a continuous action of  $\mathbb{R}$  on the factor  $\mathcal{M}$ . Assume that  $\mathcal{M}^{\sigma}$  is properly infinite, that 1 is an isolated point in  $Sp \ \sigma \subset \mathbb{R}^+_*$  and that  $\sigma$  is not outer conjugate with the trivial action. Then there exists a unitary element  $u \in \mathcal{M}$  such that  $\mathcal{M} = \mathcal{R}\{\mathcal{M}^{\sigma}, u\}$ ,  $u\mathcal{M}^{\sigma}u^* = \mathcal{M}^{\sigma}$  and  $Sp_{\sigma}(u) \subset (1, +\infty)$ .

*Proof.* For the proof it is more convenient to use the natural identification of the dual group  $\hat{\mathbb{R}}$  of  $\mathbb{R}$  with  $\mathbb{R}$  itself, rather than  $\mathbb{R}_{+}^{+}$ , as in the statement. Thus, by assumption, there exists  $\varepsilon > 0$  such that  $(Sp \sigma) \cap [-\varepsilon, \varepsilon] = \{0\}$ .

Let  $^{\circ}$  be the set of all partial isometries  $v \in \mathcal{M}$  with the properties

$$v^*v\in\mathcal{Z}(\mathcal{M}^\sigma),\quad vv^*\in\mathcal{Z}(\mathcal{M}^\sigma),\quad v\mathcal{M}^\sigma v^*\subset\mathcal{M}^\sigma,\quad v^*\mathcal{M}^\sigma v\subset\mathcal{M}^\sigma.$$

It is easy to check that

$$v_1, v_2 \in \mathfrak{P} \Rightarrow v_1 v_2 \in \mathfrak{P},$$

$$v_1, v_2 \in \P, \quad v_1^*v_1 \perp v_2^*v_2, \quad v_1v_1^* \perp v_2^*v_2 \Rightarrow v_1 + v_2 \in \P.$$

Putting  $e = v^*v$ ,  $e' = vv^*$ ,  $e_1 = v_1^*v_1$ ,  $e'_1 = v_1v_1^*$ ,  $e_2 = v_2^*v_2$ ,  $e'_2 = v_2v_2^*$ , we have

$$v = v_1 v_2 \Rightarrow e = v_2^* e_1 v_2, \quad e' = v_1 e_2' v_1^*$$

$$v = v_1 + v_2 \Rightarrow e = e_1 + e_2, \quad e' = e'_1 + e'_2$$

and we shall write

$$v_1 \prec v_2 \Leftrightarrow e_1 \leqslant e_2 \text{ and } v_1 = v_2 e_1;$$

in this case we also have  $v_1 = e'_1 v_2$ . Note that

$$v_1 \prec v_2 \Rightarrow v_1^* \prec v_2^*, \quad vv_1 \prec vv_2, \quad v_1v \prec v_2v.$$

We shall consider the sets

$$\mathfrak{P}_0 = \{ v \in \mathfrak{P}; Sp_{\sigma}(v) \subset (\varepsilon, +\infty), Sp_{\sigma}(v) - Sp_{\sigma}(v) \subset [-\varepsilon/2, \varepsilon/2] \}$$

$$\mathfrak{P}_1 = \{ v \in \mathfrak{P}_0; v_1, v_2 \in \mathfrak{P}_0, v_1v_2 < v \Rightarrow v_1v_2 = 0 \}$$

and establish five properties for them.

(I) For each  $0 \neq v \in \mathcal{P}_0$  there exist  $v_1, \ldots, v_p \in \mathcal{P}_1$  such that  $0 \neq v_1 \ldots v_p \prec v$ . We prove this property by induction over n, assuming at step n that  $Sp_{\sigma}(v) \subset (0, n\varepsilon)$ .

For n=1 we have  $\{v\in \mathfrak{P}_0;\ Sp_{\sigma}(v)\subset (0,\,\varepsilon)\}=\emptyset$ . Suppose that the property holds for all elements of  $\mathfrak{P}_0$  with spectrum contained in  $(0,\,n\varepsilon)$ . Let  $0\neq v\in \mathfrak{P}_0$  be such that  $Sp_{\sigma}(v)\subset (0,\,(n+1)\varepsilon)$ . If  $v\in \mathfrak{P}_1$ , then the property is satisfied with p=1 and  $v_1=v$ , so that we may assume that  $v\notin \mathfrak{P}_1$ . Then there exist  $w_1,\,w_2\in \mathfrak{P}_0$  with  $w_1w_2\neq v$  but  $w_1w_2\neq 0$ . Let  $e=w_1^*w_1$  and  $w_3=ew_2$ . We have  $w_3\in \mathfrak{P}_0$  since  $e\in \mathcal{M}^{\sigma}$  and  $w_1w_2=w_1ew_2=w_1w_3,\,w_3w_3^*=ew_2w_2^*e\in e=w_1^*w_1,\,w_3=w_1^*w_1w_2=w_1^*fv$ , where  $f=(w_1w_2)(w_1w_2)^*$ . Since  $f\in \mathcal{M}^{\sigma}$  and  $w_1\in \mathfrak{P}_0$ , we have  $Sp_{\sigma}(w_3)\subset Sp_{\sigma}(v)-Sp_{\sigma}(w_1)\subset (0,\,(n+1)\varepsilon)-(\varepsilon,+\infty)\subset (0,\,n\varepsilon)$ . Since  $w_3\in \mathfrak{P}_0$  and  $Sp_{\sigma}(w_3)\subset (0,\,n\varepsilon)$ , by the induction hypothesis there exist  $v_1,\,\ldots,\,v_p\in \mathfrak{P}_1$  such that  $0\neq v_1\ldots v_p < w_3$ . We have  $\mathcal{Z}(\mathcal{M}^{\sigma})\ni f'=v_1\ldots v_pv_p^*\ldots v_1^*\leqslant e$  and  $0\neq w_1(v_1\ldots v_p)\prec w_1w_3=w_1w_2\prec v$ , i.e.  $w_1f'v_1\ldots v_p=f''v$  with  $f''\in \mathcal{Z}(\mathcal{M}^{\sigma}),\,f'''\leqslant vv^*$ , hence  $w_4=w_1f'=f''v(v_1\ldots v_p)^*$ . Since  $f''\in \mathcal{M}^{\sigma}$  and  $v_1,\,\ldots,\,v_p\in \mathfrak{P}_0$ , we have  $Sp_{\sigma}(w_4)\subset Sp_{\sigma}(v)-\sum_{j=1}^{p}Sp_{\sigma}(v_j)\subset (0,\,n\varepsilon)$  and since  $f'\in \mathcal{M}^{\sigma}$  and  $w_1\in \mathfrak{P}_0$ , we have  $w_4\in \mathfrak{P}_0$ . Again by the induction hypothesis, we can find  $v_{p+1},\,\ldots,\,v_{p+q}\in \mathfrak{P}_1$  such that

 $0 \neq v_{p+1} \dots v_{p+q} \prec w_4 = w_1 f'. \text{ Since } \mathbf{r}(v_{p+1} \dots v_{p+q}) \leqslant f' = \mathbf{l}(v_1 \dots v_p), \text{ we have } 0 \neq (v_{p+1} \dots v_{p+q})(v_1 \dots v_p) \prec w_1 w_3 = w_1 w_2 \prec v.$ (II) If  $u \in {}^{\circ} P$ ,  $Sp_{\sigma}(u) \subset (\varepsilon, +\infty)$  and  $Sp_{\sigma}(u) - Sp_{\sigma}(u) \subset [-\varepsilon, \varepsilon]$ , then there

exists  $0 \neq w \in {}^{\circ}\!\!P_{0}$  such that  $w \prec u$ . By Lemma 15.1, there exists  $h \in \mathcal{L}^{1}(\mathbb{R})$  with supp  $\hat{h} = \sup_{\sigma} \hat{h} \subset [-\varepsilon/2, \varepsilon/2]$  such that  $(\sigma_{h}(u))^{*}u \neq 0$ . By Proposition 15.17, there exist  $v \in {}^{\circ}\!\!P$  and  $a \in \mathcal{M}^{\sigma}$  such that  $va = \sigma_{h}(u)$  and  $Sp_{\sigma}(v) \subset Sp_{\sigma}(\sigma_{h}(u))$ . Since  $a^{*}v^{*}u = (\sigma_{h}(u))^{*}u \neq 0$ , we have  $v^{*}u \neq 0$  and, since  $Sp_{\sigma}(v) \subset (\sup_{\sigma} \hat{h}) \cap Sp_{\sigma}(u)$ , it follows that  $v \in {}^{\circ}\!\!P_{0}$ . Then,  $Sp_{\sigma}(v^{*}u) \subset (Sp_{\sigma}(u) - Sp_{\sigma}(u)) \cap (Sp_{\sigma}) \subset [-\varepsilon, \varepsilon] \cap (Sp_{\sigma}) = \{0\}$ , hence  $v^{*}u \in \mathcal{M}^{\sigma}$ . Let  $v = vv^{*}u$ . We have  $v \neq 0$  since  $v^{*}w = v^{*}u \neq 0$ , then  $v \in {}^{\circ}\!\!P_{0}$  since  $v \in {}^{\circ}\!\!P_{0}$ ,  $v^{*}u \in \mathcal{M}^{\sigma}$  and  $v \in {}^{\circ}\!\!P_{0}$ , and finally  $v \prec u$  since  $vv^{*} \in \mathcal{L}(\mathcal{M}^{\sigma})$ .

(III) If  $v_1, v_2 \in \mathcal{P}_1$ , then  $v_1^* v_2, v_1 v_2^* \in \mathcal{M}^{\sigma}$ .

We show that  $v_1^*v_2 \in \mathcal{M}^{\sigma}$ . We have  $Sp_{\sigma}(v_1^*v_2) - Sp_{\sigma}(v_1^*v_2) \subset Sp_{\sigma}(v_2) - Sp_{\sigma}(v_1) + Sp_{\sigma}(v_1) - Sp_{\sigma}(v_2) \subset [-\varepsilon/2, \varepsilon/2] + [-\varepsilon/2, \varepsilon/2] = [-\varepsilon, \varepsilon]$ . Since  $(Sp\ \sigma) \cap [-\varepsilon, \varepsilon] = \{0\}$ , it follows that either  $Sp_{\sigma}(v_1^*v_2) = \{0\}$ , i.e.  $v_1^*v_2 \in \mathcal{M}^{\sigma}$ , or  $Sp_{\sigma}(v_1^*v_2) \subset (\varepsilon, +\infty)$ , or  $Sp(v_2^*v_1) \subset (\varepsilon, +\infty)$ . Thus, we have to show that the inclusion  $Sp(v_1^*v_2) \subset (\varepsilon, +\infty)$  leads to a contradiction.

Consider  $u = v_1^* v_2 \in \mathfrak{P}$  with  $Sp_{\sigma}(u) \subset (\varepsilon, +\infty)$  and  $Sp_{\sigma}(u) - Sp_{\sigma}(u) \subset [-\varepsilon, \varepsilon]$ . By property (II), there exists  $0 \neq w \in \mathfrak{P}_0$  with  $w \prec u$ . We have  $v_1 w \prec v_1 u = v_1 v_1^* v_2 \prec v_2$  and  $ww^* \leq uu^* \leq v_1^* v_1$ , hence  $v_1 w \neq 0$ , contradicting  $v_2 \in \mathfrak{P}_1$ .

Similarly, in order to show that  $u = v_1 v_2^* \in \mathcal{M}^{\sigma}$ , it is sufficient to show that the inclusion  $Sp_a(u) \subset (\varepsilon, +\infty)$  leads to a contradiction. As above, we can find using property (II)  $0 \neq w \in \mathcal{P}_0$ ,  $w \prec u$ , and we have  $wv_2 \prec uv_2 = v_1v_2^{\bullet}v_2 \prec v_1$  and  $w^*w \leq u^*u \leq v_2v_2^*$ , so that  $wv_2 \neq 0$ , contradicting  $v_1 \in \mathfrak{P}_1$ 

(IV)  $\mathcal{M} = \mathcal{R}\{\mathcal{M}^{\sigma}, \mathfrak{P}_1\}.$ 

Let  $x \in \mathcal{M}$ . In order to show that  $x \in \mathcal{R}\{\mathcal{M}^{\sigma}, \mathfrak{P}_1\}$ , we may assume that  $Sp_{\sigma}(x) - Sp_{\sigma}(x) \subset [-\epsilon/2, \epsilon/2]$  (see Lemma 15.1). Since, by assumption,  $(Sp \ \sigma) \cap [-\varepsilon, \ \varepsilon] = \{0\}$ , we may assume further that  $Sp_{\sigma}(x) \subset (\varepsilon, +\infty)$ . Using Proposition 15.17, we can write x = va with  $v \in {}^{\circ}\!\! V_0$   $a \in \mathcal{M}^{\circ}$ . Thus it remains to be shown that  $\mathfrak{P}_0 \subset \mathfrak{R}\{\mathcal{M}^o, V_1\}$ .

Let  $v \in \mathfrak{R}_0$  and  $e = v^*v$ . From property (I) it follows that there exists a projection  $f \in \mathcal{Z}(\mathcal{M}^{\sigma})$ ,  $0 \neq f \leq e$  with  $vf \in \mathcal{R}(\{\mathcal{M}^{\sigma}, \mathcal{P}_1\})$ . By a standard maximality

argument we infer that  $v = ve \in \mathcal{R}\{\mathcal{M}^{\sigma}, \P_1\}$ .

(V) If  $\{v_j\} \subset \mathfrak{P}_1$  is a maximal family with the property that  $v_j v_k^* = v_j^* v_k = 0$  $(k \neq j)$  then  $\sum_{i} v_j$  is unitary.

Let  $e_j = v_j^* v_j$ ,  $e_j' = v_j v_j^*$ ,  $e = \sum_i e_j$ ,  $e' = \sum_j e_j'$ ; note that  $e_j$ ,  $e_j'$ , e,  $e' \in \mathcal{Z}(\mathcal{M}^{\sigma})$ .

Assume that  $1 - e \neq 0$ . Using the assumption and Theorem 16.6, we see that the action  $\sigma^{1-e}$  is not trivial, so that there exists  $y \in (1-e)\mathcal{M}(1-e)$  with  $Sp_e(y) \neq \{0\}$ . Since  $(Sp \sigma) \cap [-\varepsilon, \varepsilon] = \{0\}$ , we infer using Lemma 15.1 that there exists  $x \in (1-e) \mathcal{M}(1-e)$  with  $Sp_{\sigma}(x) \subset (\varepsilon, +\infty)$  and  $Sp_{\sigma}(x) - Sp_{\sigma}(x) \subset [-\varepsilon/2, \varepsilon/2]$ . Furthermore, using Proposition 15.17, we deduce that there exists  $0 \neq v \in \mathcal{R}_0$ with  $v^*v \le 1 - e$ ,  $vv^* \le 1 - e$  and, finally, using property (1), we find an element  $0 \neq w \in \mathcal{P}_1$  with  $w^*w \leq 1 - e$ . It is clear that  $v_j w^* = 0$  for all j. By property (III) it follows that  $w^*v_j \in \mathcal{M}^{\sigma}$ ; then  $\mathbf{r}(w^*v_j) \leq e_j$ ,  $\mathbf{l}(w^*v_j) \leq 1 - e \leq 1 - e_j$ and, since  $e_j \in \mathcal{Z}(\mathcal{M}^{\sigma})$ , we deduce that  $w^*v_j = 0$ . The existence of w contradicts the maximality of the family  $\{v_i\}$ . Hence e=1.

Assuming that  $1 - e' \neq 0$ , we obtain similarly an element  $0 \neq w \in \mathfrak{R}_1$  with  $ww^* \le 1 - e'$ ; we deduce that  $v_j^*w = 0 = wv_j^*$  for all j, which contradicts the maxi-

mality of the family  $\{v_j\}$ . Hence e'=1.

We are now in a position to finish the proof of the Lemma. Let  $\{v_j\} \subset \mathfrak{R}_1$ be a maximal family with the property that  $v_j v_k^* = v_j^* v_k = 0$ .  $(j \neq k)$ , and let  $u = \sum_{i} v_{j}$ . By (V) we know that  $u \in \mathcal{M}$  is unitary. Since  $v_{j} \in \mathcal{P}$ , we have  $u \cdot \mathcal{M}^{\sigma} u^{\bullet} = \mathcal{M}^{\sigma}$ . Since  $Sp_{\sigma}(v_j) \subset [\varepsilon, +\infty)$ , it follows that  $Sp_{\sigma}(u) \subset [\varepsilon, +\infty) \subset (0, +\infty)$ . By (III), for every  $v \in \mathfrak{I}_1$  we have  $v^*v_j \in \mathcal{M}^{\sigma}$ , hence  $v^*u \in \mathcal{M}^{\sigma}$ , i.e.  $v \in u\mathcal{M}^{\sigma}$  and by (IV) this implies that  $\mathcal{M} = \mathcal{R}\{\mathcal{M}^{\sigma}, u\}$ .

Note that  $u^* \in \mathcal{M}$  is unitary,  $\mathcal{M} = \mathcal{R}\{\mathcal{M}^{\sigma}, u\}, u^* \mathcal{M}^{\sigma} u = \mathcal{M}^{\sigma} \text{ and } Sp_{\sigma}(u^*) \subset (0, 1).$ 

30.3. Theorem. Let M be a factor of type IIIo. There exists a discrete decomposition  $(\mathcal{N}, \theta, \tau)$  of type III<sub>0</sub> such that  $\mathcal{M} \approx \mathcal{R}(\mathcal{N}, \theta)$ . If  $(\mathcal{N}_1, \theta_1, \tau_1)$  and  $(\mathcal{N}_2, \theta_2, \tau_2)$  are two discrete decompositions of type III. such that  $\mathcal{R}(\mathcal{N}_1, \theta_1) \approx \mathcal{R}(\mathcal{N}_2, \theta_2)$ , there exist nonzero projections  $e_1 \in \mathcal{Z}(\mathcal{N}_1)$  and  $e_2 \in \mathcal{Z}(\mathcal{N}_2)$  such  $(\mathcal{N}_1e_1, \theta_{1e_1}) \approx (\mathcal{N}_2e_2, \theta_{2e_3})$ .

*Proof.* By Corollary 29.6, there exists a lacunary weight  $\varphi$  on  $\mathcal{M}$  of infinite multiplicity. Since  $\mathcal{M}$  is of type III, the action  $\sigma = \sigma^{\sigma} : \mathbb{R} \to Aut(\mathcal{M})$  is not outer conjugate to the trivial action. By Lemma 30.2, there exists a unitary element  $u \in \mathcal{M}$  such that  $u \mathcal{M}^{\varphi} u^* = \mathcal{M}^{\varphi}$ ,  $\mathcal{M} = \mathcal{R}\{\mathcal{M}^{\varphi}, u\}$  and  $Sp_{\sigma}(u) \subset (0,1)$ . We define  $\mathcal{N} = \mathcal{M}^{\varphi}$ ,  $\theta = \mathrm{Ad}(u) \mid \mathcal{N}$  and  $\tau = \varphi \mid \mathcal{N}$ .

Since  $\varphi$  is strictly semifinite, there exists a faithful normal conditional expectation  $P: \mathcal{M} \to \mathcal{N}$ ,  $\tau$  is an n.s.f. trace on  $\mathcal{N}$  and  $\varphi = \tau \circ P$ . Let A be the unique nonsingular positive self-adjoint operator affiliated to  $\mathcal{L}(\mathcal{N})$  such that  $\tau \circ \theta = \tau_A$ . Then, by 27.4.(2), we have  $\sigma_s^{\varphi}(u) = uA^{is}$  ( $s \in \mathbb{R}$ ), and for every  $f \in \mathcal{L}^1(\mathbb{R})$  we obtain (27.1.(1))  $\sigma_s^{\varphi}(u) = u\hat{f}(A)$ . It follows that  $Sp(A) = Sp_{\sigma}(u) \subset (0,1)$ , so that there is  $0 < \lambda < 1$ , such that  $A \leq \lambda$ , and  $\tau \circ \theta \leq \lambda \tau$ .

It follows that the action  $\theta \colon \mathbb{Z} \to Aut(\mathcal{N})$  is properly outer, i.e.  $p(\theta^n) = 0$  for every  $n \neq 0$ . Indeed, let n > 0 and  $e \in \mathcal{Z}(\mathcal{N})$  be a projection such that  $\theta^n(e) = e$  and  $\theta^n \mid e \mathcal{N} e \in Int(e \mathcal{N} e)$ . Then, for every  $x \in (e \mathcal{N} e)^+$  we have  $\tau(x) = \tau(\theta^n(x)) \leq \delta^n \tau(x)$ , which is not possible, since  $\delta < 1$  and  $\delta = 0$  is an n.s.f. trace on  $\delta = 0$ .

Using Proposition 22.2 and the last remark in Section 22.2, we conclude that  $\mathcal{M} \approx \mathcal{R}(\mathcal{N}, \theta)$ .

The  $W^*$ -algebra  $\mathcal{N} = \mathcal{M}^{\varphi}$  is semifinite and properly infinite, since  $\varphi$  is strictly semifinite and of infinite multiplicity.

The \*-automorphism  $\theta$  acts ergodically on  $\mathcal{Z}(\mathcal{N})$  since, if  $z \in \mathcal{Z}(\mathcal{N})$  and uz = zu, then  $z \in \mathcal{Z}(\mathcal{R}\{\mathcal{N}, u\}) = \mathcal{Z}(\mathcal{M})$ , and  $\mathcal{M}$  is a factor.

The centre  $\mathscr{L}(\mathscr{N})$  of  $\mathscr{N}$  is diffuse since otherwise, by Proposition 16.10 and Theorem 5.1, we could find an n.s.f. weight  $\psi$  on  $\mathscr{M}$  with  $Sp \ \sigma^{\psi} = \Gamma(\sigma^{\psi}) = \Gamma(\sigma^{\varphi}) = \{1\}$  (as  $\mathscr{M}$  is of type III<sub>0</sub>), i.e.  $\sigma^{\psi}$  would be the trivial action, which contradicts the fact that  $\mathscr{M}$  is of type III.

We now prove that  $\mathcal{N}$  is of type  $II_{\infty}$ . Since the splitting of  $\mathcal{N}$  into types  $I_{\infty}$  and  $II_{\infty}$  is given by a  $\theta$ -invariant projection in  $\mathcal{Z}(\mathcal{N})$  and since  $\theta$  acts ergodically on  $\mathcal{Z}(\mathcal{N})$ , it is sufficient to assume that  $\mathcal{N}$  is of type  $I_{\infty}$  and reach a contradiction. If  $\mathcal{N}$  is of type  $I_{\infty}$ , then  $\mathcal{N} = \mathcal{Z}(\mathcal{N}) \ \overline{\otimes} \ \mathcal{F}_{\infty}$  where, as usually,  $\mathcal{F}_{\infty}$  stands for the countably decomposable type  $I_{\infty}$  factor. Consider the \*-automorphism  $\theta' \in Aut(\mathcal{N})$  defined by  $\theta' = (\theta \mid \mathcal{Z}(\mathcal{N})) \ \overline{\otimes} \ i$ . Then  $\theta^{-1}\theta'$  acts identically on  $\mathcal{Z}(\mathcal{N})$  and hence is inner ([L], 8.11), i.e.  $\theta' = \theta \cdot Ad(v)$  for some  $v \in U(\mathcal{N})$ . It follows that  $\tau \cdot \theta' \leq \lambda \tau$  and  $\mathcal{M} \approx \mathcal{R}(\mathcal{N}, \theta')$ . By Corollary 23.9, there exists a continuous action  $\alpha$ :  $\Pi \to Aut(\mathcal{N}^{\theta'})$  such that  $(\mathcal{N}, \theta') \approx (\mathcal{R}(\mathcal{N}^{\theta'}, \alpha), \hat{\alpha})$  and, by the Takesaki duality theorem (19.5) we infer that  $\mathcal{M} \approx \mathcal{R}(\mathcal{N}, \theta') \approx \mathcal{N}^{\theta'} \ \overline{\otimes} \ \mathcal{F}_{\infty} = \mathcal{Z}(\mathcal{N})^{\theta} \ \overline{\otimes} \ \mathcal{F}_{\infty} \otimes \mathcal{F}_{\infty} \approx \mathcal{F}_{\infty}$ , which is impossible, since  $\mathcal{M}$  is of type III.

Thus,  $(\mathcal{N}, \theta, \tau)$  is a discrete decomposition of type III<sub>0</sub>.

Consider now two discrete decompositions of type III<sub>0</sub>  $(\mathcal{N}_1, \theta_1, \tau_1)$  and  $(\mathcal{N}_2, \theta_2, \tau_2)$  with  $\mathcal{R}(\mathcal{N}_1, \theta_1) = \mathcal{R}(\mathcal{N}_2, \theta_2) = \mathcal{M}$  and, identifying  $\mathcal{N}_1$  and  $\mathcal{N}_2$  with the corresponding  $W^*$ -subalgebras of  $\mathcal{M}$ , let  $P_1: \mathcal{M} \to \mathcal{N}_1$  and  $P_2: \mathcal{M} \to \mathcal{N}_2$  be the canonical faithful normal conditional expectations. By Proposition 29.3, the dual weights  $\varphi_1 = \tau_1 \circ P_1$  and  $\varphi_2 = \tau_2 \circ P_2$  are lacunary, of infinite multiplicity on  $\mathcal{M}$ , and  $\mathcal{N}_1 = \mathcal{M}^{\varphi_1}$ ,  $\mathcal{N}_2 = \mathcal{M}^{\varphi_2}$ . If  $\tau_1 \circ \theta_1 \leqslant \lambda_1 \tau_1$  and  $\tau_2 \circ \theta_2 \leqslant \lambda_2 \tau_2$  with  $\lambda_1, \lambda_2 \in (0,1)$ , then we can find  $\varepsilon > 0$  such that  $\lambda_1 e^{\varepsilon} < 1$  and  $\lambda_2 e^{\varepsilon} < 1$ . By Proposition 29.7, there exist non-zero projections  $e_1 \in \mathcal{Z}(\mathcal{N}_1)$ ,  $e_2 \in \mathcal{Z}(\mathcal{N}_2)$  and a \*-isomorphism

such that, putting  $\sigma_1 = \sigma^{\varphi_1}$ ,  $\sigma_2 = \sigma^{\varphi_2}$ , we have

(2) 
$$Sp_{\sigma_i}(\Phi(x)) \subset Sp_{\sigma_i}(x) \cdot exp \left[-\varepsilon, \varepsilon\right] \quad (x \in e_1 \mathcal{M}e_1).$$

By Proposition 29.4, we have  $e_1 \mathcal{M} e_1 \approx \mathcal{R}(\mathcal{N}_1 e_1, \theta_1 e_1)$ , the canonical image of  $\mathcal{N}_1 e_1$  in the crossed product corresponding to the  $W^*$ -subalgebra  $\mathcal{N}_1 e_1$  of  $e_1 \mathcal{M} e_1$ , and there is a unitary element  $u_1 \in e_1 \mathcal{M} e_1$  such that

$$e_1 \mathcal{M} e_1 = \mathcal{R} \{ \mathcal{N}_1 e_1, u_1 \},$$

(4) 
$$\theta_{1e_1} = \operatorname{Ad}(u_1) \mid \mathcal{N}_1 u_1,$$

$$Sp_{\sigma_1}(u_1) \subset (0, \lambda_1).$$

Then  $v_2 = \Phi(u_1) \in e_2 \mathcal{M} e_2$  is a unitary element and from (3) and (1) it follows that

(6) 
$$e_2 \mathcal{M} e_2 = \mathcal{R} \{ \mathcal{N}_2 e_2, \ v_2 \}.$$

Using (4) and (1) we obtain

(7) 
$$v_2(\mathcal{N}_2 e_2) v_2^* = \mathcal{N}_2 e_2,$$

and from (5), (2) and the choice of  $\varepsilon$ , it follows that

(8) 
$$Sp_{\sigma_1}(v_2) \subset (0, 1).$$

Since, by Proposition 29.4,  $(\mathcal{N}_2e_2, \theta_{2e_1}, \tau_{2e_1})$  is a discrete decomposition of type III<sub>0</sub> and  $e_2 \mathcal{M} e_2 \approx \mathcal{R}(\mathcal{N}_2e_2, \theta_{2e_1})$ , using statement 29.3.(3), we infer from (6), (7) and (8) the existence of a unitary element  $w_2 \in \mathcal{N}_2e_2$  such that the unitary element  $u_2 = w_2c_2 \in e_2 \mathcal{M} e_2$  satisfies the equality  $\theta_{2e_2} = \operatorname{Ad}(u_2) |\mathcal{N}_2e_2|$ .

We have thus obtained a \*-isomorphism  $\Phi: (\mathcal{N}_1e_1, \theta_{1e_1}) \to (\mathcal{N}_2e_2, \theta'_2)$ , where  $\theta'_2 = \operatorname{Ad}(v_2) \mid \mathcal{N}_2e_2$  and  $(\theta'_2)^{-1}\theta_{2e_1} = \operatorname{Ad}(w) \in \operatorname{Int}(\mathcal{N}_2e_2)$ . Using Theorem 23.13, we conclude that  $(\mathcal{N}_1e_1, \theta_{1e_1}) \approx (\mathcal{N}_2e_2, \theta_{2e_1})$ .

A discrete decomposition  $(\mathcal{N}, \theta, \tau)$  of type III<sub>0</sub> such that  $\mathcal{R}(\mathcal{N}, \theta) \approx \mathcal{M}$  will be called a discrete decomposition of the type III<sub>0</sub> factor  $\mathcal{M}$ . For every non-zero projection  $e \in \mathcal{Z}(\mathcal{N})$ ,  $(\mathcal{N}e, \theta_e, \tau_e)$  is also a discrete decomposition of  $\mathcal{M}$ . The previous proof and Proposition 29.3 show that the lacunary weights of infinite multiplicity on  $\mathcal{M}$  are those dual to the traces appearing in some discrete decomposition of  $\mathcal{M}$ .

30.4. In this Section we recapitulate the construction which leads to the discrete decomposition of type III factors  $(0 \le \lambda < 1)$  and establish the notation for the following Sections, where we shall relate the continuous decomposition to the discrete decomposition. The results which follow have already been proved for type III<sub>0</sub> and can be easily checked, with similar arguments, also for type III<sub>2</sub> with  $\lambda \neq 0$ .

Let  $\mathcal{M}$  be a factor of type  $III_{\lambda}$  ( $0 \le \lambda < 1$ ). We choose a lacunary weight  $\varphi$  of infinite multiplicity on  $\mathcal{M}$  (29.5, 29.6). Then

$$\mathcal{N} = \mathcal{M}^{\varphi}$$

is a type  $II_{\infty} W^*$ -algebra (30.3). If  $\lambda = 0$ , then the centre  $\mathscr{Z}(\mathcal{N})$  of  $\mathcal{N}$  is diffuse (30.3). If  $\lambda > 0$ , then we can choose  $\varphi$  so that  $\mathcal{N}$  is a factor (30.1). In both cases we have (29.9)

 $\tau = \omega \mid \mathcal{N}$ 

$$\mathcal{N}' \cap \mathcal{M} = \mathcal{Z}(\mathcal{N}).$$

There exists a unique faithful normal conditional expectation (10.9, 10.17)

Also (10.9) 
$$P: \mathcal{M} \to \mathcal{N}$$
.

is an n.s.f. trace on  $\mathcal N$  such that

$$\varphi = \tau \circ P.$$

There exists a unitary element  $u \in \mathcal{M}$  such that (30.2)

$$\mathscr{M} = \mathscr{R}\{\mathscr{N}, u\},$$

$$(5) u \mathcal{N} u^* = \mathcal{N},$$

$$Sp_{\mathfrak{s}^{0}}(u) \subset (0,1).$$

Let  $\lambda_0 = \sup Sp_{\varphi}(u) < 1$ . Then (30.3)

(7) 
$$\theta = \mathrm{Ad}(u) \mid \mathcal{N} \in \mathrm{Aut}(\mathcal{N}),$$

$$\tau \cdot \theta \leqslant \lambda_0 \tau.$$

For each  $n \in \mathbb{Z}$  there exists a unique nonsingular positive self-adjoint operator  $A_n$ , affiliated to  $\mathcal{Z}(\mathcal{N})$ , such that

$$\tau \circ \theta^n = \tau_{A_n}.$$

Put  $A = A_1$ . It is easy to check that

$$A_{m+n} = A_m \theta^{-m} (A_n),$$

$$(11) n > 0 \Rightarrow A_n \leqslant \lambda_0^n < 1,$$

$$(12) n < 0 \Rightarrow A_n > \lambda_0^n > 1,$$

(13) 
$$\varphi \cdot (\mathrm{Ad}(u))^n = \varphi_{A_n},$$

(14) 
$$\sigma_r^{\varphi}(u^n) = u^n A_n^{is},$$

$$Sp(A) = Sp_{-\bullet}(u).$$

If  $v \in \mathcal{M}$  is another unitary element satisfying conditions (4), (5), (6) and  $\theta' = \operatorname{Ad}(v) | \mathcal{N}$ , then (29.3.(3))  $u^*v \in \mathcal{N}$ , hence  $\theta^{-1}\theta' \in \operatorname{Int}(\mathcal{N})$  and  $\tau \cdot \theta^n = \tau \cdot \theta'^n$ ; thus, the corresponding operators  $A_n$  remain unchanged.

Recall that if H and K are positive self-adjoint operators, then we write H < K if  $H \le K$  and s((K - H)s(K)) = s(K).

30.5. Let  $\mathcal{M}$  be a factor of type III<sub> $\lambda$ </sub>  $(0 \le \lambda < 1)$  and let  $\varphi$  be a lacunary weight of infinite multiplicity on  $\mathcal{M}$ . We use the notation introduced in Section 30.4.

Theorem. Let

$$a \in \mathcal{N}^+$$
 with  $As(a) \leq a < 1$  and  $\psi = \varphi_a = \tau_a \cdot P$ ,  $a' \in \mathcal{N}^+$  with  $As(a') \leq a' < 1$  and  $\psi' = \varphi_{a'} = \tau_{a'} \cdot P$ .

If  $v \in \mathcal{M}$ ,  $vv^* = s(\psi)$ ,  $v^*v = s(\psi')$  and  $\psi' = \psi_v$ , then  $v \in \mathcal{N}$ . In particular, if  $\varphi_a = \varphi_{a^*}$  in  $\mathcal{M}$ , then  $\tau_a = \tau_{a^*}$  in  $\mathcal{N}$ .

Proof. Let B=a+A(1-s(a)), B'=a'+A(1-s(a')). Then B and B' are nonsingular positive self-adjoint operators affiliated to  $\mathcal N$  and  $A\leqslant B<1$ ,  $A\leqslant B'<1$ . Since  $\psi'=\psi_v$ , we have (23.1)  $[D\psi':D\varphi]_t=v^*[D\psi:D\varphi]_t\sigma_t^q(v)$   $(t\in\mathbb R)$ . Since  $[D\psi':D\varphi]_t=a'^{it}$ ,  $[D\psi:D\varphi]_t=a^{it}$  and  $s(a')=s(\psi')=v^*v$ ,  $s(a)=s(\psi)=vv^*$ , it follows that  $a'^{it}=v^*a^{it}\sigma_t^q(v)$  and  $vB'^{it}=vs(a')B'^{it}=va'^{it}=vv^*a^{it}\sigma_t^q(v)=s(a)a^{it}\sigma_t^q(v)=B^{it}\sigma_t^q(v)=B^{it}\sigma_t^q(v)$ , i.e.

$$vB^{\prime it}=B^{it}\sigma_t^{\varphi}(v) \qquad (t\in\mathbb{R}).$$

Since  $\mathcal{M} = \mathcal{R}(\mathcal{N}, \theta)$ , we can write  $v = \sum_{k} x_k u^k$  with  $x_k \in \mathcal{N}$ ; we have  $B^{it}\sigma_i^{\varphi}(v) = B^{it}(\sum_{k} x_k \sigma_i^{\varphi}(u^k)) = B^{it}(\sum_{k} x_k u^k A_k^{it}) = \sum_{k} B^{it}x_k \theta^k (A_k)^{it} u^k$  and  $vB^{it} = \sum_{k} x_k u^k B^{it} = \sum_{k} x_k \theta^k (B^i)^{it} u^k$ . Consequently, it follows from (1) that  $B^{it}x_k \theta^k (A_k)^{it} = x_k \theta^k (B^i)^{it}$ , i.e.

(2) 
$$B^{it}x_k = x_k \theta^k (B'A_k^{-1})^{it} \qquad (k \in \mathbb{Z}, \ t \in \mathbb{R}).$$

We choose and fix  $k \neq 0$  and show that  $x_k = 0$ . Note that in general if  $u_k$  and  $u_2$  are unitary elements and x = w|x| is the polar decomposition of some operator x such that  $u_1x = xu_2$ , then  $u_1w = wu_2$ ,  $u_2(w^*w) = (w^*w)u_2$  and  $u_1(ww^*) = (ww^*)u_1$ . Consequently, we can assume that the operator  $x_k$  appearing in (2) is a partial isometry such that  $x_k^*x_k$  commutes with  $\theta^k(B'A_k^{-1})^{il}$  and  $x_kx_k^*$  commutes with  $B^{il}$ . If k > 0, then

$$(3) B^{it}x_kx_k^* = x_k\theta^k(B'A_k^{-1})^{it}x_k (t \in \mathbb{R})$$

and if k < 0, then

$$(4) x_k^* x_k \theta^k(B')^{it} = x_k^* (B \theta^k(A_k))^{it} (t \in \mathbb{R})$$

since  $B^{it}\theta^k(A_k)^{it}x_k = x_k\theta^k(B')^{it}$ . On the other hand, if k > 0, then  $0 \le B < 1$  and  $A_k \le A \le B'$ , so that

$$0 \leqslant B \leqslant 1 \text{ and } \theta^k(B'A_k^{-1}) \geqslant 1;$$

if k < 0, then  $0 \le B' \le 1$  and  $1 = A_0 = A_{-k+k} = A_{-k}\theta^k(A_k)$ , i.e.  $\theta^k(A_k) = A_{-k}^{-1}$ ,  $\theta^k(A_k)^{-1} = A_{-k} \le A \le B$ , and hence

(6) 
$$0 \le \theta^k(B') \le 1 \text{ and } B\theta^k(A_k) \ge 1.$$

Note that, in general, if H and K are nonsingular positive self-adjoint operators such that  $0 \le H \le 1$  and  $K \ge 1$ , then the functions

$${z \in \mathbb{C}; \ \operatorname{Im}(z) \leq 0} \ni z \mapsto H^{iz}$$

$${z \in \mathbb{C}; \ \operatorname{Im}(z) \geqslant 0} \ni z \mapsto K^{iz}$$

are analytic and bounded, hence an identity of the form  $xH^{it} = yK^{it}$   $(t \in \mathbb{R})$  leads to a bounded entire analytic function which is necessarily constant (by Liouville's theorem), so that  $xH^{it} = 0$  and x = 0.

Therefore, it follows from (3) and (5) that  $x_k = 0$  for all k > 0, and it follows from (4) and (6) that  $x_k = 0$  for all k < 0, so that  $v = x_0 \in \mathcal{N}$ .

Corollary. Let  $a \in \mathcal{N}$  with  $As(a) \leq a < 1$ . Then

$$\mathcal{M}^{\Phi_a} = \mathcal{N}^{\tau_a} = \{ x \in \mathcal{N} \; ; \; xa = ax \}.$$

In particular, every subweight of  $\varphi_a$  is of the form  $\varphi_b$  for some  $b \in \mathcal{N}$  with  $As(b) \leq b < 1$ .

*Proof.* Put  $\psi = \varphi_a$ ,  $\mu = \tau_a$ . Let  $v \in \mathcal{M}^{\psi}$  be unitary. We have  $vv^* = v^*v = s(\psi)$  and  $\psi = \psi_v$  by Proposition 2.21. According to the previous Theorem, it follows that  $v \in \mathcal{N}$ . Hence  $\mathcal{M}^{\psi} \subset \mathcal{N}$ .

Since  $\psi = \mu \circ P$ , for  $x \in \mathcal{N}$  we have (11.9)  $\sigma_t^{\psi}(x) = \sigma_t^{\mu}(x) = a^{it}xa^{-it}$   $(t \in \mathbb{R})$ . Thus,  $\mathcal{M}^{\psi} = \mathcal{N}^{\mu} = \{x \in \mathcal{N} : xa = ax\}$ .

A subweight of  $\psi$  is of the form  $\psi_e$  for some projection  $e \in \mathcal{M}$ , and is hence of the form  $\varphi_b$  with  $b = ae \in \mathcal{N}$ ,  $As(b) \le b < 1$ .

30.6. Recall that the operators  $A_n$  are affiliated to  $\mathscr{Z}(\mathcal{N})$  and that we have  $A_n \leq \lambda_0 A_{n-1}$ .

We now show that for every positive self-adjoint operator D affiliated to  $\mathcal N$  there exists a sequence of mutually orthogonal projections  $\{e_n\}_{n\in\mathbb Z}\subset\{D\}'\cap\mathcal N$ , uniquely determined, such that

(1) 
$$\sum_{n} e_{n} = s(D),$$

$$(2) e_n A_n \leqslant e_n D < e_n A_{n-1}.$$

Indeed, let  $e_n = s((A_{n-1} - D)^+) - s((A_n - D)^+)$ ,  $(n \in \mathbb{Z})$ . Then  $e_n$  are clearly mutually orthogonal projections and

$$\sum_{n} e_{n} = \lim_{n \to -\infty} s((A_{n} - D)^{+}) - \lim_{n \to +\infty} s((A_{n} - D)^{+}).$$

If  $e \in \mathcal{N}$  is a projection such that  $e \leq s((A_n - D)^+)$  for all n > 0, then  $e(A_n - D) > 0$  and  $eD \leq eA_n \leq \lambda_0^n e \to 0$ , hence  $e \leq 1 - s(D)$ . Consequently,  $\lim_{n \to +\infty} s((A_n - D)^+) = 1 - s(D)$ . On the other hand, if  $e > s((A_n - D)^+)$  for every n < 0, then  $1 - e \leq 1 - s((A_n - D)^+) = 1 - s(A_n - D) + s((A_n - D)^-)$ , and  $(1 - e)(A_n - D) \leq 0$ , or  $(1 - e)D > (1 - e)A_n > \lambda_0^n (1 - e) \to +\infty$ , and hence 1 - e = 0, e = 1. Consequently,  $\lim_{n \to -\infty} s((A_n - D)^+) = 1$ . Hence

$$\sum_{n} e_{n} = s(D).$$

Since  $e_n \le s((A_{n-1} - D)^+)$ , we have  $e_n(A_{n-1} - D) \ge 0$ , i.e.  $e_nD \le e_nA_{n-1}$ . If  $e \le e_n$  and  $e(A_{n-1} - D) = 0$ , then  $e_n(A_{n-1} - D) = 0$ , so that  $e_n(A_{n-1} - D)^+ = 0$  and  $e_n(A_{n-1} - D)^+ = 0$ . Therefore,

$$e_n D < e_n A_{n-1}.$$

Since  $e_n \le 1 - s((A_n - D)^+)$ , we have  $e_n(A_n - D) \le 0$ , hence

$$e_n A_n \leqslant e_n D$$
.

Thus, if  $D \neq 0$ , then there exist  $n \in \mathbb{Z}$  and a non-zero projection  $e \in \{D\}' \cap \mathcal{N}$ , such that

$$eA_n \leqslant eD < eA_{n-1}.$$

Consider now a normal semifinite weight  $\mu$  on  $\mathcal{N}$ . There exists a unique positive self-adjoint operator  $D_{\mu}$  affiliated to  $\mathcal{N}$ , such that

$$\mu = \tau_{D_{\mathbf{g}}}.$$

For each  $k \in \mathbb{Z}$  we have a normal semifinite weight  $\mu_k = \mu \cdot \theta^k$  on  $\mathcal{N}$  and a normal semifinite weight  $\psi_k = \mu_k \cdot P$  on  $\mathcal{M}$ . Note that  $\mu = \mu_0$  and write  $\psi = \psi_0 = \mu \cdot P$ . Then

$$\psi = \varphi_{D_{\mathbf{g}}}.$$

For every  $k \in \mathbb{Z}$  we have  $\psi_k \approx \psi$ , more precisely

(6) 
$$\psi_k = \psi \cdot \operatorname{Ad}(u^k) \quad (k \in \mathbb{Z}).$$

Indeed, for every  $x \in \mathcal{M}^+$  we have  $\psi_k(x) = \mu_k(P(x)) = \mu(\theta^k(P(x))) = \mu(u^k P(x)u^{-k}) = \mu(P(u^k x u^{-k})) = (\psi \cdot \operatorname{Ad}(u^k))(x)$ .

Also,

(7) 
$$D_{\mu_k} = A_k \theta^{-k}(D_{\mu}) \qquad (k \in \mathbb{Z}).$$

Indeed, for every  $x \in \mathcal{N}^+$  we have  $\mu_k(x) = \mu(\theta^k(x)) = \tau(D_\mu \theta^k(x)) = \tau(\theta^k(\theta^{-k}(D_\mu)x)) = \tau(A_k \theta^{-k}(D_\mu)x)$ .

Finally, for every  $k \in \mathbb{Z}$  we have

(8) 
$$s(D_{\mu})A_n \leqslant D_{\mu} < s(D_{\mu})A_{n-1} \Rightarrow s(D_{\mu_k})A_{k+n} \leqslant D_{\mu_k} < s(D_{\mu_k})A_{k+n-1}$$

Indeed, the desired conclusion can be obtained by applying  $\theta^{-k}$  to the left hand side, multiplying by  $A_k$  and using the fact that

$$A_k \theta^{-k}(A_m) = A_{k+m}$$
.

By (3), we can show inductively that the inequalities in (8) are satisfied, starting with an arbitrary non-zero normal semifinite weight  $\mu$  and then considering a convenient subweight of  $\mu$ .

Also, the previous arguments show that if  $\mu$  is a normal semifinite weight on  ${\mathcal N}$  such that

$$s(D_{\mu})A_{n} \leqslant D_{\mu} < s(D_{\mu})A_{n-1}$$

for some  $n \in \mathbb{Z}$ , then, for any  $m \in \mathbb{Z}$ ,  $\nu = \mu_{m-n}$  is a normal semifinite weight on  $\mathcal{N}$ ,  $\nu \circ P \approx \mu \circ P$  on  $\mathcal{M}$  and

$$\mathrm{s}(D_{_{\boldsymbol{\nu}}})A_{_{\boldsymbol{m}}}\leqslant D_{_{\boldsymbol{\nu}}}<\mathrm{s}(D_{_{\boldsymbol{\nu}}})A_{_{\boldsymbol{m}-1}}.$$

30.7. Let  $\mathcal{M}$  be a factor of type  $III_{\lambda}$   $(0 \le \lambda < 1)$  and  $\varphi$  a lacunary weight of infinite multiplicity on  $\mathcal{M}$ . We use the notation introduced in Section 30.4.

Theorem. For every normal semifinite weight  $\psi$  on  $\mathcal{M}$  there exists  $a \in \mathcal{N}$  with  $As(a) \leq a < 1$  such that  $\psi = \varphi_a = \tau_a \circ P$ .

*Proof.* (I) We assume first that the weight  $\psi$  is of infinite multiplicity and show that there exists a non-zero positive self-adjoint operator D, affiliated to  $\mathcal{N}$ , such that  $\varphi_D \lesssim \psi$ .

Since 1 is an isolated point in  $S(\mathcal{M}) \cap \mathbb{R}_{+}^+$ , we can assume using Theorem 28.3.(2) that  $(Sp \, \sigma^{\psi}) \cap \exp((-2\varepsilon, -\varepsilon) \cup (\varepsilon, 2\varepsilon)) = \emptyset$  for some  $\varepsilon > 0$ . By Proposition 15.12, it follows that there exists an invertible positive element  $c \in \mathcal{Z}(\mathcal{M}^{\psi})$  such that the weight  $\psi_c$  is lacunary. Then  $\mathcal{M}^{\psi} \subset \mathcal{M}^{\psi_c}$ , and so  $\psi_c$  is also of infinite multiplicity.

By Proposition 29.7, there exist non-zero projections  $e \in \mathcal{Z}(\mathcal{M}^{\bullet})$ ,  $f \in \mathcal{Z}(\mathcal{M}^{\bullet}c)$  and  $v \in \mathcal{M}$  such that  $e = v^*v$ ,  $f = vv^*$  and

$$v(\mathcal{M}^{\Psi_c})v^* = \mathcal{M}^{(\Psi_c)}f = \mathcal{M}^{\Psi_c}f.$$

We have  $c^{-1} \in \mathcal{M}^{\psi_c}$  and  $\psi = (\psi_c)_{c^{-1}}$ ; as  $f \in \mathcal{Z}(\mathcal{M}^{\psi_c})$ , f commutes with  $c^{-1}$  and so,  $\sigma_i^{\psi}(f) = c^{-it}\sigma_i^{\psi_c}(f)c^{it} = f$   $(t \in \mathbb{R})$ , i.e.  $f \in \mathcal{M}^{\psi}$ . It is therefore meaningful to consider the weight  $\psi_f$  and we have  $\psi_f = ((\psi_c)_{c^{-1}})_f = (\psi_c)_{c^{-1}}_f$ , i.e.

$$\psi_f = (\psi_c)_b$$
,

where  $b = c^{-1}f$ . Since  $vv^* = f \in \mathcal{M}^{\Psi}f$ , we may also consider the weight

$$(\psi_f)_o = \psi_o \lesssim \psi$$

and we have

$$\psi_{fv} = ((\psi_c)_b)_v = (\psi_c)_{bv} = ((\psi_c)_v)_{v \sim bv}$$

Since

$$\mathcal{M}^{(\psi_c)_v} = v^*(\mathcal{M}^{\psi_c}f)v = \mathcal{M}^{\psi_c},$$

there exists by Corollary 29.11 a positive self-adjoint operator H, affiliated to  $\mathcal{Z}(\mathcal{M}^{\bullet_e})$ , such that

 $(\varphi_e)_v = (\varphi_e)_H.$ 

It follows that the positive self-adjoint operator  $D = eHv^*bv$  is affiliated to  $\mathcal{N} = \mathcal{M}^*$  and  $\varphi_D = \psi_v \lesssim \psi$ .

(II) Using the results of Section 30.6, it follows from (I) that if  $\psi$  is of infinite

multiplicity, then there is an  $a \in \mathcal{N}$  with  $As(a) \leq a < 1$  such that  $\varphi_a \lesssim \psi$ .

(III) We now prove the same result, but without assuming that  $\psi$  is of infinite multiplicity.

We have  $\psi \lesssim \tilde{\psi}$  with  $\tilde{\psi}$  of infinite multiplicity (23.15). Thus, there exists a projection  $f \in \mathcal{M}^{\tilde{\nu}}$  such that  $\psi \approx (\tilde{\psi})_f$  and, by (II), there exists  $a \in \mathcal{N}$  with  $As(a) \leqslant a < 1$  such that  $\varphi_a \lesssim \tilde{\psi}$ ; consequently,  $\varphi_a \approx (\tilde{\psi})_e$  for some projection  $e \in \mathcal{M}^{\tilde{\nu}}$ . By the comparison theorem ([L], 4.6), there exists a projection  $p \in \mathcal{Z}(\mathcal{M}^{\tilde{\nu}})$  such that  $pe \prec pf$  and  $(1-p)f \prec (1-p)e$  in  $\mathcal{M}^{\tilde{\nu}}$ .

If  $p \neq 0$ , there exists  $0 \neq v \in \mathcal{M}^{\tilde{\nu}}$  with  $vv^* = pe$  and  $v^*v \leqslant pf$ . Using the

If  $p \neq 0$ , there exists  $0 \neq v \in \mathcal{M}^{\flat}$  with  $vv^{\bullet} = pe$  and  $v^{\bullet}v \leq pf$ . Using the results of Section 2.21, we obtain  $(\check{\psi}_{pe})_v = (\check{\psi}_{pf})_{v^{\bullet}v} \leq \check{\psi}_{pf} \leq \psi$  and  $(\check{\psi}_{pe})_v = \psi_{ep} \leq \varphi_e$  so that, by Corollary 30.5, we have  $(\check{\psi}_{pe})_v = \varphi_b$  for some  $b \in \mathcal{N}$  with  $As(b) \leq b < 1$ , and thus  $\varphi_b \leq \psi$ .

If p = 0, then f < e in  $\mathcal{M}^{\psi}$ , and there exists  $v \in \mathcal{M}^{\psi}$  such that  $vv^{\bullet} = f$  and  $v^{\bullet}v \le e$ . By the results of Section 2.21, we have  $\psi = (\check{\psi})_f = ((\check{\psi})_f)_o = ((\check{\psi})_e)_e$ ,  $\le \varphi_o$  and, again by Corollary 30.5,  $\psi = \varphi_o$  for some  $b \in \mathcal{N}$  with  $As(b) \le b < 1$ .

(IV) The above part of the proof shows that if  $\{e_n\}$  is a maximal family of mutually orthogonal non-zero projections in  $\mathcal{M}$  such that for each n there exists  $a_n \in \mathcal{N}$  with  $As(a_n) \leq a_n < 1$  and  $\psi_{e_n} = \varphi_{e_n}$ , then  $\sum_n e_n = s(\psi)$ . The supports  $s(a_n) = s(\varphi_{e_n}) = s(\psi_{e_n}) = e_n$  are mutually orthogonal, hence  $a = \sum_n a_n \in \mathcal{N}$ ,  $As(a) \leq a < 1$  and  $\psi = \varphi_e$ .

In view of the results presented in Section 30.6, Theorems 30.5 and 30.7 remain still valid when the condition  $A_n(a) \le a < 1$  is replaced by the condition  $A_n(a) \le a < A_{n-1}(a)$  for some  $n \in \mathbb{Z}$ .

**Corollary.** For every n.s.f. weight  $\psi$  on a factor  $\mathcal{M}$  of type  $III_0$  we have  $\mathscr{Z}(\mathcal{M}^{\psi})' \cap \mathcal{M} = \mathcal{M}^{\psi}$ .

**Proof.** Since  $\mathcal{M}$  is of type  $\text{III}_0$ ,  $\mathcal{Z}(\mathcal{N})$  is diffuse and  $\theta$  acts ergodically on  $\mathcal{Z}(\mathcal{N})$  (30.3), hence the action  $\theta \colon \mathbb{Z} \to Aut(\mathcal{Z}(\mathcal{N}))$  is free (29.2), and  $\mathcal{Z}(\mathcal{N})' \cap \mathcal{M} = \mathcal{N}$ .

By the previous Theorem, we may assume that  $\psi = \varphi_a$  for some  $a \in \mathcal{N}$  with s(a) = 1 and  $A \leq a < 1$ . In this case, by Theorem 30.5 we have  $\mathcal{M}^{\psi} = \{y \in \mathcal{N}; ya = ay\}$ , whence  $\mathcal{Z}(\mathcal{N}) \subset \mathcal{Z}(\mathcal{M}^{\psi})$ . Let  $x \in \mathcal{Z}(\mathcal{M}^{\psi})' \cap \mathcal{M}$ . Then  $x \in \mathcal{Z}(\mathcal{N})' \cap \mathcal{M} = \mathcal{N}$  and, as  $a \in \mathcal{Z}(\mathcal{M}^{\psi})$ , we have xa = ax, so that  $x \in \mathcal{M}^{\psi}$ .

30.8. Consider now a fixed dominant weight  $\nu$  on  $\mathcal{N}$  which defines the (smooth) flow of weights  $(\mathcal{Z}(\mathcal{N}^{\nu}), F^{\mathcal{N}})$  on  $\mathcal{N}$  (24.1).

Recall that for every normal semifinite weight  $\mu$  on  $\mathcal{N}$  we denote by  $D_{\mu}$  the unique positive self-adjoint operator affiliated to  $\mathcal{N}$ , such that  $\mu = \tau_{D_{R}}$ .

Let H and K be positive self-adjoint operators affiliated to  $\mathscr{Z}(\tilde{\mathscr{N}})$  such that H < K. Put

$$W(H, K) = \{ \mu \in W_{int}^{\infty}(\mathcal{N}); \ \mathsf{s}(D_{\mu})H \leqslant D_{\mu} < \mathsf{s}(D_{\mu})K \},$$
$$[H, K) = \bigvee \{ c_r(\mu); \ \mu \in W(H, K) \} \in Proj(\mathcal{Z}(\mathcal{N}^r)).$$

It is easy to check that

$$\mu' \in W_{int}^{\infty}(\mathcal{N}), \ \mu' \lesssim \mu \in W(H, K) \Rightarrow \mu' \in W(H, K),$$
$$\{\mu_{n}\} \subset W(H, K), \ \mu = \sum_{n=1}^{\infty} \mu_{n} \Rightarrow \mu \in W(H, K)$$

and, using these remarks, it follows for  $\mu \in W_{int}^{\infty}(\mathcal{N})$  that

(1) 
$$c_r(\mu) \leqslant [H, K) \Leftrightarrow s(D_\mu)H \leqslant D_\mu < s(D_\mu)K.$$

In particular, we show that, for the projections  $[A_n, A_{n-1}) \in \mathcal{Z}(\mathcal{N}^r)$ ,

(2) 
$$\sum_{n \in \mathbb{Z}} [A_n, A_{n-1}) = 1.$$

Indeed, let n < m. If  $\mu \in W^{\infty}_{lm}(\mathcal{N})$  and  $c_{\nu}(\mu) \leq [A_n, A_{n-1}), c_{\nu}(\mu) \leq [A_m, A_{m-1})$ , then, by (1),  $A_n s(D_{\mu}) \leq D_{\mu} < A_{m-1} s(D_{\mu})$ , while  $A_{m-1} < A_n$  since n < m. It follows that  $D_{\mu} = 0$ ,  $\mu = 0$  and  $c_{\nu}(\mu) = 0$ . Hence

$$[A_n, A_{n-1})[A_n, A_{n-1}] = 0.$$

On the other hand, using the first result in Section 30.6, we see that every  $\mu \in W_{in}^{\infty}(\mathcal{N})$  can be written in the form  $\mu = \sum_{n \in \mathbb{Z}}^{\oplus} \mu_n$  with  $c_{\nu}(\mu_n) \leq [A_n, A_{n-1})$ , so that  $c_{\nu}(\mu) \leq \sum_{n \in \mathbb{Z}} [A_n, A_{n-1})$ . This proves (2).

The \*-automorphism  $\theta \in Aut(\mathcal{N})$  defines a \*-automorphism (25.1)

$$mod(\theta) \in Aut(F^r) \subset Aut(\mathcal{Z}(\mathcal{N}^r)),$$

uniquely determined, such that  $[mod(\theta)](c_{\nu}(\mu)) = c_{\nu}(\mu \circ \theta^{-1})$  for every  $\mu \in W_{nn}(\mathcal{N})$ . Using the definitions of  $mod(\theta)$  and  $[A_n, A_{n-1})$ , and assertion 30.6.(8) with k = -1, we get

$$[mod(\theta)]([A_n, A_{n-1})) = [A_{n-1}, A_{n-2}).$$

Furthermore, by (2) and (3), it follows that the mappings

$$\Phi_{\mathbf{n}}\colon \mathscr{Z}(\mathcal{N}^r)^{mod(\theta)}\ni z\mapsto z[A_n,A_{n-1})\in \mathscr{Z}(\mathcal{N}^r)\left[A_n,A_{n-1}\right)$$

$$\Psi_n \colon \mathscr{Z}(\mathcal{N}^r) \left[ A_n, A_{n-1} \right) \ni z \mapsto \sum_{n \in \mathbb{Z}} \left[ mod(\theta) \right]^n (z) \in \mathscr{Z}(\mathcal{N}^r)^{mod(\theta)}$$

are \*-isomorphisms, inverse to one another. Thus,

(4) 
$$\mathscr{Z}(\mathcal{N}^r)^{mod(\theta)} \approx \mathscr{Z}(\mathcal{N}^r)[A_n, A_{n-1}).$$

Note that for  $\mu \in W_{as}(\mathcal{N})$  and  $\psi = \mu \circ P \in W_{as}(\mathcal{M})$  we have

(5) 
$$\psi \in W_{int}(\mathcal{M}) \Leftrightarrow \mu \in W_{int}(\mathcal{N}).$$

Indeed, if  $\mu \in W_{lat}(\mathcal{N})$ , there exists a net  $\{y_i\} \subset \mathcal{N}^+$  with  $\int \sigma_i^{\mu}(y_i) \, \mathrm{d}t < +\infty$ , such that  $y_i \xrightarrow{\omega} 1$ . Since  $\sigma_i^{\psi} | \mathcal{N} = \sigma_i^{\mu}$   $(t \in \mathbb{R})$ , the integrability of  $\psi$  follows using the net. Conversely, if  $\psi \in W_{lat}(\mathcal{M})$ , then there exists a net  $\{x_i\} \subset \mathcal{M}^+$  with  $\int \sigma_i^{\mu}(x_i) \, \mathrm{d}t < +\infty$  such that  $x_i \xrightarrow{\omega} 1$ . Then  $y_i = P(x_i) \in \mathcal{N}^+$ ,  $y_i \xrightarrow{\omega} 1$  and (10.5)  $\int \sigma_i^{\mu}(y_i) \, \mathrm{d}t = \int \sigma_i^{\mu}(P(x_i)) \, \mathrm{d}t = \int P(\sigma_i^{\psi}(x_i)) \, \mathrm{d}t = P\left(\int \sigma_i^{\psi}(x_i) \, \mathrm{d}t\right) < +\infty$ , whence  $\mu \in W_{lat}(\mathcal{N})$ .

The previous remark and Theorems 30.7, 30.5, show that, given an arbitrary  $n \in \mathbb{Z}$ , every weight  $\psi \in W^{\infty}_{int}(\mathcal{M})$  is equivalent to a weight of the form  $\tau_a \circ P$  with  $a \in \mathcal{N}$ ,  $A_n s(a) \leq a < A_{n-1} s(a)$  and  $\tau_a \in W^{\infty}_{int}(\mathcal{N})$ ; moreover the equivalence class of the weight  $\tau_a$  with these properties depends only on the equivalence class of the weight  $\psi$ .

We now consider a dominant weight  $\omega$  on  $\mathcal{M}$  which defines the (smooth) flow of weights  $(\mathcal{Z}(\mathcal{M}^{\omega}), F^{\mathcal{M}})$  on  $\mathcal{M}$  (24.1).

Define a mapping

$$I: Proj(\mathscr{Z}(\mathscr{N}^{\nu})) \to Proj(\mathscr{Z}(\mathscr{M}^{\omega}))$$

by  $I(c_{\mathbf{v}}(\mu)) = c_{\omega}(\mu \circ P) \ (\mu \in W_{int}^{\infty}(\mathcal{N})).$ 

This mapping is well defined and increasing, since if  $\mu, \mu' \in W_{int}^{\infty}(\mathcal{N})$  and  $c_{\nu}(\mu) \leq c_{\nu}(\mu')$ , then (24.4)  $\mu \lesssim \mu'$  in  $\mathcal{N}$ , so that  $\mu \circ P \lesssim \mu' \circ P$  in  $\mathcal{M}$  and hence  $c_{\infty}(\mu \circ P) \leq c_{\infty}(\mu' \circ P)$ . Similarly, one shows that this mapping is completely additive.

For  $n \in \mathbb{Z}$ , the restriction of the mapping I to  $[A_n, A_{n-1}]$  is bijective, so that it defines a \*-isomorphism

$$I: \mathscr{Z}(\mathscr{N}^{\nu})[A_n, A_{n-1}) \to \mathscr{Z}(\mathscr{M}^{\omega}).$$

Indeed, this follows from the fact that every weight  $\psi \in W^{\infty}_{int}(\mathcal{M})$  can be written in the form  $\psi = \mu \circ P$  for some  $\mu \in W_{int}(\mathcal{M})$  with  $c_{\nu}(\mu) \leq [A_n, A_{n-1})$ ; in this case we have (30.5)  $\mathcal{M}^{\mu} = \mathcal{M}^{\nu}$ , hence  $\mu \in W^{\infty}_{int}(\mathcal{M})$ , and the equivalence class of  $\mu$  depends only on that of  $\psi$ .

Also, we have

$$I \cdot mod(\theta) = I$$
.

Indeed, for  $\mu \in W^{\infty}_{int}(\mathcal{N})$  we have (30.6.(6))  $I([mod(\theta)](c_{\nu}(\mu))) = I(c_{\nu}(\mu \circ \theta^{-1})) = c_{\omega}(\mu \circ \theta^{-1} \circ P) = c_{\omega}(\mu \circ P \circ Ad(u^{-1})) = c_{\omega}(\mu \circ P) = I(c_{\nu}(\mu)).$ 

It follows that  $J(z) = I(\Phi_n(z)) (z \in \mathcal{Z}(\mathcal{N}^r)^{mod(\theta)})$  defines a \*-isomorphism

$$J \colon \mathscr{Z}(\mathscr{N}^{\nu})^{mod(\theta)} \to \mathscr{Z}(\mathscr{M}^{\infty})$$

which is independent of  $n \in \mathbb{Z}$ . Moreover,

$$JF_{\lambda}^{\mathcal{X}} = F_{\lambda}^{\mathcal{A}}J \qquad \lambda \in \mathbb{R}_{+}^{+}$$

since for  $\mu \in W^{\infty}_{int}(\mathcal{M})$  and  $\lambda \in \mathbb{R}^+_{\bullet}$  we have  $I(F^{\mathcal{N}}_{\lambda}(c_{\nu}(\mu))) = I(c_{\nu}(\lambda\mu)) = c_{\infty}((\lambda\mu) \circ P) = c_{\infty}(\lambda(\mu \circ P)) = F^{\mathcal{M}}_{\lambda}(c_{\infty}(\mu \circ P)) = F^{\mathcal{M}}_{\lambda}(c_{\infty}(\mu))$ .

Thus, we obtain the following result for the smooth flows of weights  $(\mathcal{P}_{\mathcal{A}}, F^{\mathcal{A}})$  and  $(\mathcal{P}_{\mathcal{F}}, F^{\mathcal{A}})$ :

Theorem.  $(\mathcal{P}_{\mathcal{M}}, F^{\mathcal{M}}) \approx ((\mathcal{P}_{\mathcal{N}})^{mod(\theta)}, F^{\mathcal{N}}).$ 

A similar result can be obtained for the global flow of weights (24.9).

30.9. We mention the following result concerning the fundamental homomorphism  $mod: Out(\mathcal{M}) \to Aut(F^{\mathcal{M}}).$ 

Proposition. Let  $\mathcal{M}$  be a factor of type III<sub> $\lambda$ </sub>  $(0 \le \lambda < 1)$  with separable predual and let  $\sigma \in Aut(\mathcal{M})$ . The following statements are equivalent:

- (i)  $mod(\sigma) = 1$ ;
- (ii) there exist  $\sigma' \in Aut(\mathcal{M})$  with  $\sigma'/Int(\mathcal{M}) = \sigma/Int(\mathcal{M})$  and an n.s.f. weight  $\psi$ on M such that  $\psi \circ \sigma' = \psi$  and  $\sigma' \mid \mathcal{Z}(M^{\psi}) = 1$ ;
- (iii) for each  $\varepsilon > 1$  with  $S(\mathcal{M}) \cap [\varepsilon^{-1}, \varepsilon] = \{1\}$  there exist  $\sigma' \in Aut(\mathcal{M})$  with  $\sigma'/Int(\mathcal{M}) = \sigma/Int(\mathcal{M})$  and a faithful normal state  $\psi$  on  $\mathcal{M}$  such that  $\psi \circ \sigma' = \psi$ ,  $\sigma'(\mathscr{Z}(\mathscr{M}^{\psi}) = i \text{ and } Sp(\Delta_{\psi}) \cap [\varepsilon^{-1}, \varepsilon] = \{1\}.$

Proof. (iii) ⇒ (ii). Obvious.

(ii)  $\Rightarrow$  (i). Assume that there exists an n.s.f. weight  $\psi$  on  $\mathcal{M}$  such that  $\psi \cdot \sigma = \psi$ and  $\sigma|\mathcal{Z}(\mathcal{M}^*)=1$ . Since  $\mathcal{M}$  is properly infinite, modifying  $\sigma$  if necessary by an inner \*-automorphism, we may assume that  $(\mathcal{M}, \sigma) \approx (\mathcal{M} \otimes \mathcal{F}_{\infty}, \sigma \otimes I)$ (20.14). Let  $\omega_0$  be the n.s.f. weight on  $\mathscr{F}_{\infty} = \mathscr{A}(\mathscr{L}^2(\mathbb{R}))$  with  $[D\omega_0: Dt]_t = \rho(t)$   $(t \in \mathbb{R})$ , where, as usual,  $\rho$  stands for the right regular representation of IR. Put  $\tilde{\mathcal{M}} = \mathcal{M} \otimes \mathcal{F}_{\infty} \otimes \mathcal{F}_{\infty}$ ,  $\tilde{\sigma} = \sigma \otimes \iota \otimes \iota$ ,  $\tilde{\omega} = \psi \otimes \omega_0 \otimes \iota r$ . Then  $\tilde{\omega}$  is a dominant weight on  $\tilde{\mathcal{M}}$  and we have

$$\tilde{\omega} \cdot \tilde{\sigma} = \tilde{\omega}.$$

On the other hand,  $\widetilde{\mathcal{M}}^{\omega} = (\mathcal{M} \otimes \mathcal{F}_{\omega})^{\vee \bullet \omega_0} \otimes \mathcal{F}_{\omega} = (\mathcal{M} \otimes \mathcal{F}_{\omega})^{\circ \vee \bullet \operatorname{Ad}(P)} \otimes \mathcal{F}_{\omega};$ hence (19.13, 21.6.(1))  $\tilde{\mathcal{M}}^{\circ} = \mathcal{R}(\mathcal{M}, \sigma^{\vee}) \otimes \mathcal{F}_{\infty}$  and  $\mathcal{Z}(\tilde{\mathcal{M}}^{\circ}) = \mathcal{Z}(\mathcal{R}(\mathcal{M}, \sigma^{\vee})) \otimes \mathbb{C} \subset$ ⊂  $\mathcal{Z}(\mathcal{M}^r) \otimes \mathcal{L}(\mathbb{R}) \otimes \mathbb{C}$ , and therefore

(2) 
$$\tilde{\sigma}|\mathscr{Z}(\tilde{\mathscr{M}}^{\tilde{\omega}})=1.$$

From (1), (2) and 25.1.(7) it follows that  $mod(\sigma) = mod(\bar{\sigma}) = 1$ .

(i)  $\Rightarrow$  (iii). Let  $\omega$  be a dominant weight on  $\mathcal{M}$ . Using 25.1.(7) and modifying  $\sigma$  if necessary by an inner \*-automorphism, we can rephrase the condition  $mod(\sigma) = 1$ as follows:

(3) 
$$\omega \cdot \sigma = \omega, \ \sigma | \mathscr{Z}(\mathscr{M}^{\omega}) = \iota.$$

As in the first part of the proof of Theorem 30.7, we can find an element  $a \in \mathcal{Z}(\mathcal{M}^{\bullet})^{+}$ such that  $\psi' = \omega_a$  is a lacunary weight, namely

(4) 
$$(Sp \ \sigma^{\psi}) \cap [\varepsilon^{-1}, \varepsilon] = \{1\}.$$

Since  $\psi' = \omega_a$  with  $a \in \mathscr{Z}(\mathscr{M}^{\omega})$ , we infer from (3) that

$$\psi' \circ \sigma = \psi'.$$

Let  $e = s(\psi') = s(a) \in \mathcal{Z}(\mathcal{M}^{\omega})$ . For  $x \in e\mathcal{M}^{\omega}e$  we have  $\sigma_i^{\psi'}(x) = a^{it}\sigma_i^{\omega}(x) a^{-it} = a^{it}xa^{-it} = x$   $(t \in \mathbb{R})$ , whence  $e\mathcal{M}^{\omega}e \subset \mathcal{M}^{\psi'}$ . Using the relative commutant theorem (23.19) we get  $\mathcal{Z}(\mathcal{M}^{\psi'}) \subset (\mathcal{M}^{\psi'})' \cap \mathcal{M} \subset (e\mathcal{M}^{\omega}e)' \cap \mathcal{M} \subset \mathcal{Z}(\mathcal{M}^{\omega})$  and so from (3) it follows that

(6) 
$$\sigma \mid \mathscr{Z}(\mathscr{M}^{\mathbf{v}'}) = \iota.$$

Being lacunary, the weight  $\psi'$  is strictly semifinite and hence  $\mu = \psi' | \mathcal{M}^{\psi'}$  is an n.s.f. trace on  $\mathcal{M}^{\psi'}$ . Since  $\mu \circ \sigma = \mu$  and  $\sigma | \mathcal{Z}(\mathcal{M}^{\psi'}) = \iota$ , it follows that every projection  $p \in \mathcal{M}^{\psi'}$  is equivalent in  $\mathcal{M}^{\psi'}$  to  $\sigma(p)$ . Let  $p \in \mathcal{M}^{\psi'}$  be a projection such that  $0 \neq \psi(p) = \mu(p) < +\infty$ . Since  $p \sim \sigma(p)$ ,  $e-p \sim \sigma(e-p) = e-\sigma(p)$ , there exists  $v \in \mathcal{M}^{\psi}$  such that  $v^*v = vv^* = e$  and  $\sigma(p) = v^*pv$ . Composing with the inner \*-automorphism defined by the unitary operator u = v + (1 - e), we may assume that  $\sigma(p) = p$ . Then  $\psi'' = \psi'(p \cdot p)/\psi'(p)$  is a faithful normal state on  $p\mathcal{M}p$  which satisfies conditions similar to (4), (5), (6).

Since  $\mathcal{M}$  is a countably decomposable type III factor, the projection p is equivalent to 1 in  $\mathcal{M}$  and so there exists  $w \in \mathcal{M}$  such that  $w^*w = 1$  and  $ww^* = p$ . We then define  $\psi = \psi''_w$  and  $\sigma' \in Aut(\mathcal{M})$  by  $\sigma'(x) = w^*\sigma(wxw^*)w$   $(x \in \mathcal{M})$  i.e.  $\sigma' = Ad(w^*\sigma(w)) \circ \sigma$ . Then  $\psi$  and  $\sigma'$  satisfy the requirements of statement (iii).

30.10. Consider now a factor  $\mathcal{M}$  of type III<sub> $\lambda$ </sub>  $(0 < \lambda < 1)$  with separable predual and let  $(\mathcal{N}, \theta, \tau)$  be the discrete decomposition of  $\mathcal{M}$  (30.1). By Theorem 30.8, we know that  $(\mathcal{P}_{\mathcal{M}}, F^{\mathcal{M}}) \approx ((\mathcal{P}_{\mathcal{N}})^{mod(\theta)}, F^{\mathcal{N}})$ . On the other hand, by the results of Sections 24.8 and 25.6, we have  $(\mathcal{P}_{\mathcal{N}}, F^{\mathcal{N}}) \approx (\mathcal{L}^{\infty}(\mathbb{R}^+_+), Ad(\lambda))$  and  $mod(\theta) = F_{\lambda}^{r} - 1 = Ad(\lambda(\lambda^{-1}))$ , since  $\tau \circ \theta = \lambda \tau$ . As  $\Gamma(\mathcal{M}) = S(\mathcal{M}) \cap \mathbb{R}^+_+ = \{\lambda^n; n \in \mathbb{Z}\}$ , it follows that

(1) 
$$(\mathscr{F}_{\mathscr{M}}, F^{\mathscr{M}}) \approx (\mathscr{L}^{\infty}(\mathbb{R}^{+}/\Gamma(\mathscr{M})), \operatorname{Ad}(\lambda)).$$

It is now easy to check that the mapping

(2) 
$$\mathbb{R}^+_*/\Gamma(\mathcal{M})\ni \nu/\Gamma(\mathcal{M})\mapsto F^{\mathcal{M}}_*\in Aut(F^{\mathcal{M}})$$

is a continuous surjective group isomorphism. Since  $\mathbb{R}_{\bullet}^+/\Gamma(\mathcal{M})$  is compact, this mapping is also a topological isomorphism.

Let  $\sigma \in Aut(\mathcal{M})$ . Then  $mod(\sigma) \in Aut(F^{\mathcal{M}})$  and there exists  $v \in \mathbb{R}^+$  such that  $mod(\sigma) = F_r^{\mathcal{M}}$ , i.e.  $\psi \circ \sigma^{-1} = v\psi$  for every  $\psi \in W_{in}^{\infty}(\mathcal{M})$ . On the other hand, by Proposition 29.5, there exists a  $\lambda$ -trace  $\varphi$  on  $\mathcal{M}$  and  $\mu \in \mathbb{R}^+$  such that  $\varphi \circ \sigma^{-1} = \mu \varphi$ . We shall show that  $\mu v^{-1} \in \Gamma(\mathcal{M})$ :

(3) 
$$mod(\sigma) = F_{r}^{\mathcal{M}}, \quad \varphi \circ \sigma^{-1} = \mu \varphi \Rightarrow \mu v^{-1} \in \Gamma(\mathcal{M}).$$

Indeed, let  $\varepsilon > 0$ . As in Section 23.18, there exists  $a_{\varepsilon} \in \mathcal{M}^{\bullet}$  such that  $1 - \varepsilon \leq a_{\varepsilon} \leq 1 + \varepsilon$  and  $\psi = \varphi_{a_{\varepsilon}} \in W^{\infty}_{int}(\mathcal{M})$ . Also, there exist  $u, v \in U(\mathcal{M})$  such that  $\varphi \cdot \sigma^{-1} = \mu \varphi \cdot \mathrm{Ad}(u)$  and  $\psi \cdot \sigma^{-1} = v \psi \cdot \mathrm{Ad}(v)$ . Then, for  $x \in \mathcal{M}^+$  we have

$$v\varphi(a_{\epsilon}^{1/2}vxv^*a_{\epsilon}^{1/2}) = v\varphi_{a}(vxv^*) = v(\psi \cdot \operatorname{Ad}(v))(x) = \psi(\sigma^{-1}(x))$$

$$= \varphi(a_{\epsilon}^{1/2}\sigma^{-1}(x)a_{\epsilon}^{1/2}) = (\varphi \cdot \sigma^{-1})(\sigma(a_{\epsilon}^{1/2})x\sigma(a_{\epsilon}^{1/2}))$$

$$= \mu(\varphi \cdot \operatorname{Ad}(u))(\sigma(a_{\epsilon}^{1/2})x\sigma(a_{\epsilon}^{1/2}))$$

or  $v\varphi(vv^*a_s^{1/2}vxv^*a_s^{1/2}vv^*) = \mu(\varphi \circ \mathrm{Ad}(u)) (\sigma(a_s)^{1/2}x\sigma(a_s)^{1/2})$ , i.e.

$$v(\varphi \circ \operatorname{Ad}(v))_{v \circ a_{\varepsilon} v} = \mu(\varphi \circ \operatorname{Ad}(u))_{\sigma(a_{\varepsilon})}.$$

It follows that

$$[D(\varphi \circ \operatorname{Ad}(v))_{\sigma^{\bullet}a_{\sigma}v} \colon D(\varphi \circ \operatorname{Ad}(u))_{\sigma(a_{\theta})}]_{t} = \mu^{\operatorname{it}_{V} - \operatorname{it}}$$

for every  $t \in \mathbb{R}$ . Using the "chain rule" we deduce that

$$v^*a^{it}_{\bullet}v[D(\varphi \circ \mathrm{Ad}(v)):D(\varphi \circ \mathrm{Ad}(u))]_t\sigma(a^{-it}_{\bullet})=\mu^{it}v^{-it}$$

for all  $t \in \mathbb{R}$ . For  $t = -2\pi/\ln(\lambda)$  we have

$$[D(\varphi \circ \mathrm{Ad}(v)) \colon D(\varphi \circ \mathrm{Ad}(u))]_t = v^* \sigma_t^{\varphi}(v) \sigma_t^{\varphi}(u^*) u = 1,$$

so that

$$v^*a_s^{it}v\sigma(a_s^{-it})=\mu^{it}v^{-it}$$

and therefore, letting  $\varepsilon$  tend to 0,  $(\mu v^{-1})^{i} = 1$ ; this means that  $\mu v^{-1} \in \Gamma(\mathcal{M})$ .

30.11. For factors of type III, with  $0 < \lambda < 1$  it is possible also to compute the group  $Out(\mathcal{M})$  in terms of the discrete decomposition (compare with Theorem 26.4):

Theorem. Let  $\mathcal{M}$  be a factor of type  $\text{III}_{\lambda}$   $(0 < \lambda < 1)$  with discrete decomposition  $(\mathcal{N}, \theta, \tau)$ . Let  $t = -2\pi/\ln(\lambda)$  and denote by G the quotient of the commutant of  $\mathfrak{o}_{\mathcal{N}}(\theta)$  in  $Out(\mathcal{N})$  by  $\{\mathfrak{o}_{\mathcal{N}}(\theta)^k; k \in \mathbb{Z}\}$ . There exists a group homomorphism  $\gamma:Out(\mathcal{M}) \to G$  such that the following sequence is exact:

$$(1) \qquad \{0\} \longrightarrow \mathbb{Z} \stackrel{n \to nt}{\longrightarrow} \mathbb{R} \stackrel{\delta_{\mathcal{A}}}{\longrightarrow} Out(\mathcal{M}) \longrightarrow G \longrightarrow \{1\}.$$

*Proof.* Recall that  $\mathcal{M} = \mathcal{R}(\mathcal{N}, \theta)$  and let  $\pi: \mathcal{N} \to \pi(\mathcal{N}) \subset \mathcal{M}$  denote the canonical embedding,  $P: \mathcal{M} \to \pi(\mathcal{N})$  the faithful normal conditional expectation,  $\varphi = \tau \circ \pi^{-1} \circ P$  the corresponding  $\lambda$ -trace on  $\mathcal{M}$  and  $u = 1 \otimes \lambda(1)$  the unitary element of  $\mathcal{M}$  such that  $\pi(\theta(x)) = u\pi(x) u^* (x \in \mathcal{N})$ .

Let  $\xi \in Out(\mathcal{M})$  and  $\sigma_1 \in Aut(\mathcal{M})$  be such that  $\mathfrak{o}_{\mathcal{M}}(\sigma_1) = \xi$ . Then  $\varphi \circ \sigma_1$  is also a  $\lambda$ -trace on  $\mathcal{M}$  so that, by Proposition 29.5, there exists  $\sigma \in Aut(\mathcal{M})$  such that  $\mathfrak{o}_{\mathcal{M}}(\sigma) = \xi$  and  $\varphi \circ \sigma$  is proportional to  $\varphi$ . Since  $\pi(\mathcal{N}) = \mathcal{M}^{\varphi} = \mathcal{M}^{\varphi \circ \sigma}$ , it follows that  $\sigma(\pi(\mathcal{N})) = \pi(\mathcal{N})$  and so there exists  $v \in Aut(\mathcal{N})$  such that  $\sigma \circ \pi = \pi \circ v$ . For  $x \in \mathcal{N}$  we have  $\pi(v\theta v^{-1}\theta^{-1}(x)) = \sigma(u)u^*\pi(x)u\sigma(u)^*$  and, since  $\sigma_s^{\varphi}(u) = \lambda^{is}u$  and  $\sigma_s^{\varphi}(\sigma(u)) = \sigma_s^{\varphi \circ \sigma^{-1}}(\sigma(u)) = \sigma(\sigma_s^{\varphi}(u)) = \lambda^{is}\sigma(u)$  ( $s \in \mathbb{R}$ ), we have  $\sigma(u)u^* \in \mathcal{M}^{\varphi} = \pi(\mathcal{N})$ . Consequently,  $v\theta v^{-1}\theta^{-1} \in Int(\mathcal{N})$  and so  $\mathfrak{o}_{\mathcal{N}}(v)$  belongs to the commutant of  $\mathfrak{o}_{\mathcal{N}}(\theta)$  in  $Out(\mathcal{N})$ . In order to define

(2) 
$$\gamma(\xi) = \text{the image of } \sigma_{\mu}(\nu) \text{ in } G$$

we must show that  $\gamma(\xi)$  does not depend on  $\sigma \in Aut(\mathcal{M})$  with  $\mathfrak{o}_{\mathcal{M}}(\sigma) = \xi$  and that  $\varphi \circ \sigma$  is proportional to  $\varphi$ .

Accordingly, consider  $\sigma$ ,  $\sigma' \in Aut(\mathcal{M})$  with  $\mathfrak{o}_{\mathcal{M}}(\sigma) = \mathfrak{o}_{\mathcal{M}}(\sigma') = \xi$  and  $\varphi \circ \sigma$ ,  $\varphi \circ \sigma'$  both proportional to  $\varphi$ . By the above arguments, there exist v,  $v' \in Aut(\mathcal{N})$  such that  $\sigma \circ \pi = \pi \circ v$ ,  $\sigma' \circ \pi = \pi \circ v'$  and  $\mathfrak{o}_{\mathcal{N}}(v)$ ,  $\mathfrak{o}_{\mathcal{N}}(v')$  belong to the commutant of  $\mathfrak{o}_{\mathcal{N}}(\theta)$  in  $Out(\mathcal{N})$ . We have  $\sigma^{-1}\sigma' \in Int(\mathcal{M})$  and  $\sigma^{-1}\sigma'(\pi(\mathcal{N})) = \pi(\mathcal{N})$ , so that  $\sigma^{-1}\sigma' = \mathrm{Ad}(v)$  for some  $v \in U(\mathcal{M})$  with  $v\pi(\mathcal{N})v^* = \pi(\mathcal{N})$ . Then v belongs to the normalizer (10.17)  $\mathcal{M}(P)$  of P. Since  $u \in \mathcal{M}(P)$  and  $\mathcal{M} = \mathcal{M}\{\pi(\mathcal{N}), u\}$ , we infer by Proposition 22.4 that  $v^{-1}v'$  belongs to the full group  $[\mathrm{Ad}(u^n)|\mathcal{N}; n \in \mathbb{Z}] \subset Aut(\mathcal{N})$ ; since  $\mathcal{N}$  is a factor it follows that there exists  $k \in \mathbb{Z}$  such that  $v^{-1}v'\theta^{-k} \in Int(\mathcal{N})$  and hence  $\mathfrak{o}_{\mathcal{N}}(v)^{-1}\mathfrak{o}_{\mathcal{N}}(v') = \mathfrak{o}_{\mathcal{N}}(\theta)^k$ . The mapping  $\gamma:Out(\mathcal{M}) \to G$  is thus well defined by (2). Also, by construction, it is a group homomorphism.

We now compute the kernel of  $\gamma$ . Let  $\xi \in Out(\mathcal{M})$  be such that  $\gamma(\xi) = i$ . Then there is a  $\sigma \in Aut(\mathcal{M})$  with  $\sigma_{\mathcal{M}}(\sigma) = \xi$  and  $\varphi \circ \sigma$  proportional to  $\varphi$  and a  $v \in Aut(\mathcal{N})$  with  $\sigma \circ \pi = \pi \circ v$  such that  $\sigma_{\mathcal{N}}(v) \in \{\sigma_{\mathcal{N}}(\theta)^k; k \in \mathbb{Z}\}$ . Thus there exist  $k \in \mathbb{Z}$  and  $a \in U(\mathcal{N})$  such that  $v \circ \theta^k \circ \mathrm{Ad}(a) = i$ . Replacing  $\sigma$  by  $\sigma \circ \mathrm{Ad}(u^k a)$  we may assume that v = i, i.e.  $\sigma \circ \pi = \pi$ . Then for  $x \in \mathcal{N}$  we get  $\sigma(u)\pi(x)\sigma(u^*) = \sigma(u\pi(x)u^*) = u\pi(x)u^*$ , hence  $u^*\sigma(u) \in \pi(\mathcal{N})' \cap \mathcal{M} = \mathbb{C} \cdot 1_{\mathcal{M}}$  and so  $u^*\sigma(u) = \lambda^{is}$  for some  $s \in \mathbb{R}$ . Since also  $\sigma_s^{\varphi} \circ \pi = \pi$  and  $\sigma_s^{\varphi}(u) = \lambda^{is} u$ , it follows that  $\sigma = \sigma_s^{\varphi}$ , and so  $\xi = \delta_{\mathcal{M}}(s)$ . Thus, the sequence (1) is exact at  $Out(\mathcal{M})$ .

Finally, we compute the range of  $\gamma$ . Let  $g \in G$ . There exists  $v \in Aut(\mathcal{N})$  with  $\mathfrak{O}_{\mathcal{N}}(v) \, \mathfrak{O}_{\mathcal{N}}(\theta) = \mathfrak{O}_{\mathcal{N}}(\theta) \, \mathfrak{O}_{\mathcal{N}}(v)$  such that g is the image of  $\mathfrak{O}_{\mathcal{N}}(v)$  in G. Then  $v \cdot \theta = \mathrm{Ad}(a) \cdot \theta \cdot v$  for some  $a \in U(\mathcal{N})$ . Let  $\pi_1 = \pi \cdot v : \mathcal{N} \to \mathcal{M}$ . For  $x \in \mathcal{N}$  we have  $\pi_1(\theta(x)) = \pi(v(\theta(x))) = \pi(a) \, \pi(\theta(v(x))) \, \pi(a)^* = \pi(a) \, u\pi(v(x))u^*\pi(a)^* = (\pi(a)u) \, \pi_1(x) \, (\pi(a) \, u)^*$ . Also,  $\pi_1(\mathcal{N}) = \pi(\mathcal{N})$ ,  $\mathcal{M} = \mathcal{R}\{\pi_1(\mathcal{N}), \pi(a) \, u\}$  and there exists a faithful normal conditional expectation  $P: \mathcal{M} \to \pi_1(\mathcal{N}) = \pi(\mathcal{N})$  such that  $P(\pi(a)u) = \pi(a) \, P(u) = 0$ . By Proposition 22.2 we infer that there exists  $\sigma \in Aut(\mathcal{M})$  such that  $\sigma \cdot \pi = \pi_1$  and  $\sigma(u) = \pi(a)u$ . It follows that  $\sigma \cdot \sigma$  and  $\sigma(u) = \pi(u)u$  and  $\sigma(u) = \pi(u)u$ . The expectance of  $\sigma(u) = \pi(u)u$ . The expectance of  $\sigma(u) = \pi(u)u$  and  $\sigma(u) = \pi(u)u$ . The expectance of  $\sigma(u) = \pi(u)u$  and  $\sigma(u) = \pi(u)u$ . The expectance of  $\sigma(u)u$  and  $\sigma(u)u$ 

The exactness of (1) at  $\mathbb{Z}$  and  $\mathbb{R}$  is obvious.

## 30.12. Finally, we state without proof two important results:

Theorem 1. (U. Haagerup). Let  $\mathcal{M}$  be a factor of type  $\text{III}_{\lambda}$   $(0 < \lambda < 1)$  and let  $\varphi, \psi$  be n.s.f. weights on  $\mathcal{M}$ . If either  $\varphi(1) = \psi(1) = 1$  or  $\varphi(1) = \psi(1) = +\infty$ , then there exists a unitary element  $u \in \mathcal{M}$  such that  $\lambda \psi \leq \varphi \cdot \text{Ad}(u) \leq \lambda^{-1} \psi$ .

This result might suggest that any two faithful normal states on a factor of type III<sub>1</sub> are unitarily equivalent. However, if this is the case then  $\mathcal{M} = \mathbb{C} \cdot \mathbb{I}_{\mathcal{A}}$ ([105]). Instead, the following holds:

Theorem 2. (A. Connes, E. Størmer). Let M be a factor of type III1. For any two faithful normal states  $\varphi, \psi$  on  $\mathcal M$  and  $\varepsilon > 0$  there exists a unitary element  $u \in \mathcal{M}$  such that  $||\psi - \varphi \cdot \mathrm{Ad}(u)|| \leq \varepsilon$ .

Note that if M is a factor of type III, then the flow of weights F. is trivial,  $F_{\lambda}^{\mathcal{M}} = i$   $(\lambda \in \mathbb{R}_{+}^{+})$ . It follows that any integrable n.s.f. weight of infinite multiplicity on M is dominant. Using Theorem 23.18, it is easy to see that for any two n.s.f. weights of infinite multiplicity  $\varphi, \psi$  on  $\mathcal M$  and for any  $\varepsilon > 0$  there exists a unitary element  $u \in \mathcal{M}$  such that  $d(\psi, \varphi \cdot \mathrm{Ad}(u)) < \varepsilon$  and  $\mathfrak{M}_{\phi} = u \mathfrak{M}_{\phi} u^{\phi}$  ((see [61], II.4.8—II.4.10).

30.13. Notes. The existence and (essential) uniqueness of the discrete decomposition for factors of types III<sub> $\lambda$ </sub> (0  $\leq \lambda <$  1), as well as the computation of Out(A) in terms of the discrete decomposition, are due to Connes [36]. The connection between the discrete and the continuous decompositions was established by Connes and Takesaki [61]. The results mentioned in Section

30.12 are due to Haagerup [105], and Connes and Størmer [60].

The structure theory developed by Connes ([36], [52]) for factors of types III,  $(0 \le \lambda < 1)$ , made necessary the study of outer conjugacy classes of eautomorphisms of Windowski and Størmer [60]. W\*-algebras. This work was done by Connes ([41], [42]; see also [53], [54]) for the approximately finite dimensional factors of types II1 and II . A similar classification for measure space auto-

morphisms was obtained by Connes and Krieger [59].

From the work of Araki and Woods [9] (see also [36], 3.6.3) it is known that the Powers factor  $a_{\lambda}$  is the only Araki—Woods factor of type III<sub> $\lambda$ </sub> (0 <  $\lambda$  < 1). As a consequence of the work of Connes ([41], [42], [43]), we now know that  $a_{\lambda}$  is in fact the only injective factor of type III<sub> $\lambda$ </sub> (0 <  $\lambda$  < 1). Nevertheless, for each fixed 0 <  $\lambda$  < 1, there exists an uncountable infinity of non-injective factor of type III (1361 4.4.5)

table infinity of non-isomorphic (non-injective) factors of type III, ([36], 4.4.5).

Connes ([43], [46]) proved that every injective factor of type III, is a Krieger factor so that, according to the classification theorem of Krieger [150], it follows that two injective factors of type III. are .- isomorphic if and only if their flows of weights are isomorphic; moreover, any ergodic non-transitive flow appears in this way. A direct proof of this result has been proposed by Connes ([54], p. 476). There are also uncountable many non-injective non-isomorphic factors of type III,

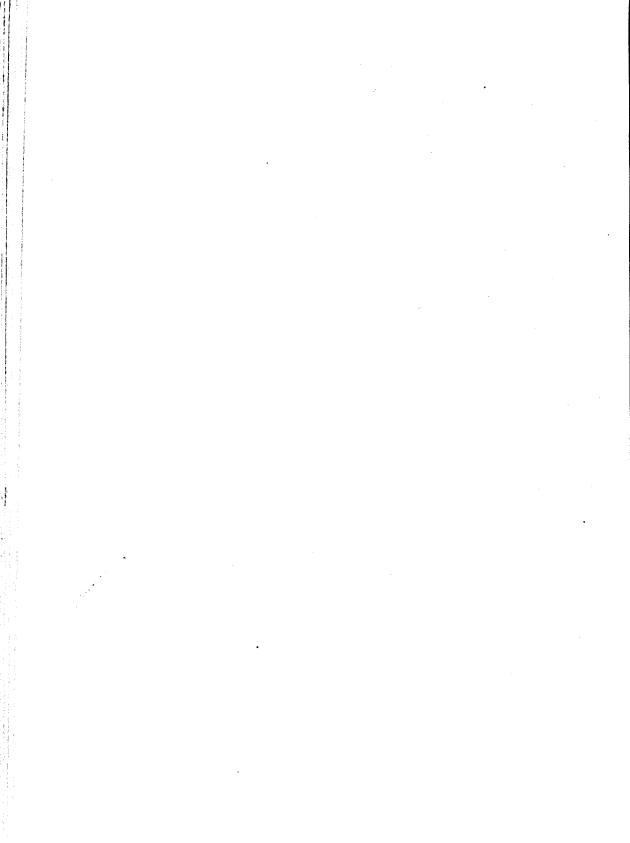
There are factors of type III, for which there is nothing resembling a discrete decomposition ([45], 5.5); this fact makes the classification of type III, factors more difficult. Araki and Woods [9] proved the existence of a unique Araki-Woods factor of type III, but it is still an open problem whether this factor is the only injective factor of type III1. There are uncountably many non-isomorphic factors of type III, ([45], 4.5).

For details concerning the classification of injective factors we refer to the fundamental

contributions of Connes [41], [42], [43] and the survey articles [52], [53], [54], [55].

The classification of non-injective factors is an open problem. A definite negative result in this direction says that the Borel space of isomorphism classes of non-injective type III factors acting on a separable Hilbert space is not standard and not even countably separated ([272]; [36], 3.6.4; [45], 4.5). So far as type II, factors are concerned, besides the uncountable infinity pointed out by D. McDuff and S. Sakai (see [204]), we have the examples given by Connes [40].

For our exposition we have used [36], [54], [60], [61], and [105].



## Appendix

In this Section we review some facts used in the main text concerning positive self-adjoint operators in Hilbert spaces,  $W^*$ -algebras and infinite tensor products. Throughout this Section,  $\mathcal{H}$  will denote a complex Hilbert space.

A.1. Let A be a positive self-adjoint linear operator on  $\mathscr H$  with domain  $D(A) \subset \mathscr H$ . For each  $n \in \mathbb N$  we put  $e_n(A) = \chi_{[0,n]}(A) = \chi_{[1/(n+1),1]}((1+A)^{-1})$  (see [L], 9.9) and for each  $\varepsilon > 0$  we define  $A_{\varepsilon} = A(1+\varepsilon A)^{-1}$ ; note that  $\varepsilon A_{\varepsilon} + (1+\varepsilon A)^{-1} = 1$ . From ([L], 9.9–9.11) we see, using Lebesgue's dominated convergence theorem, that a vector  $\xi \in \mathscr H$  belongs to  $D(A^{1/2})$  if and only if

$$||A^{1/2}\xi||^2 = \lim_{n\to\infty} (Ae_n(A)|\xi||\xi) = \lim_{\epsilon\to 0} (A_{\epsilon}\xi||\xi) < +\infty;$$

if  $\xi \notin D(A^{1/2})$ , then we put  $||A^{1/2}\xi|| = +\infty$ , so that the above limit gives  $||A^{1/2}\xi||$  for any vector  $\xi \in \mathcal{H}$ .

Recall ([L], 9.20) that the restriction of A to  $s(A)\mathcal{H}$  is the analytic generator of the so-continuous unitary representation  $\mathbb{R}\ni t\mapsto A^{it}\in\mathcal{B}(s(A)\mathcal{H})$ ; the operators  $A^{it}$  can be also regarded as partial isometries acting on the whole of  $\mathcal{H}$ .

A.2. Let  $\Omega$  be a subset of  $\mathbb{C}$ . A continuous function  $f: \Omega \to \mathbb{C}$  is said to be operator continuous if the mapping

$$\{x \in \mathcal{B}(\mathcal{H}); x \text{ normal, } Sp(x) \subset \Omega\} \ni x \mapsto f(x) \in B(H)$$

is continuous with respect to the so-topology and the s-topology.

Theorem (I. Kaplansky, R. V. Kadison). Let  $\Omega \subset \mathbb{C}$  be such that  $(\overline{\Omega} \setminus \Omega) \cap \Omega = \emptyset$  and let  $f: \Omega \to \mathbb{C}$  be a continuous function such that  $\sup \{|f(\lambda)|(1+|\lambda|)^{-1}; \lambda \in \Omega\} < +\infty$ . Then f is operator continuous.

*Proof.* We first prove the Theorem for  $\Omega = \mathbb{C}$ . Note that the functions  $\lambda \mapsto \lambda$ ,  $\lambda \mapsto \lambda$  and  $\lambda \mapsto (1 + |\lambda|^2)^{-1}$  are operator continuous on  $\mathbb{C}$ . Indeed, if  $x_i$ ,  $x \in \mathcal{B}(\mathcal{H})$  are normal operators such that  $x_i \xrightarrow{so} x$  and  $\xi \in \mathcal{H}$ , then

$$||x_i^*\xi - x^*\xi||^2 = ||x_i^*\xi||^2 - (x_i^*\xi|x^*\xi) - (x^*\xi|x_i^*\xi) + ||x^*\xi||^2$$
$$= ||x_i\xi||^2 - (\xi|x_ix^*\xi) - (x_ix^*\xi|\xi) + ||x\xi||^2 \to 0$$

and

$$\begin{aligned} &\|(1+x_i^*x_i)^{-1}\xi - (1+x^*x)^{-1}\xi\| \\ &= \|(1+x_i^*x_i)^{-1}[x_i^*(x_i-x) + (x_i^*-x^*)x](1+x^*x)^{-1}\xi\| \\ &\leq \|(x_i-x)(1+x^*x)^{-1}\xi\| + \|(x_i^*-x^*)x(1+x^*x)^{-1}\xi\| \to 0. \end{aligned}$$

A similar argument holds for the s-topology.

Denote by  $\mathscr C$  the set of all operator continuous functions on  $\mathbb C$  and by  $\mathscr C_b$  the subset of bounded functions in  $\mathscr C$ . Then  $\mathscr C$  is a uniformly closed self-adjoint vector subspace of the \*-algebra of all continuous complex functions on  $\mathbb C$  and  $\mathscr C_b\mathscr C\subset \mathscr C$ . Hence  $\mathscr C_b$  is a uniformly closed \*-subalgebra containing the functions  $\lambda \to (1+|\lambda|^2)^{-1}$  and  $\lambda \mapsto \lambda(1+|\lambda|^2)^{-1}$ . By the Stone—Weierstrass theorem it follows that  $\mathscr C_b$  contains the \*-subalgebra  $\mathscr C_0(\mathbb C)$  of continuous complex functions vanishing at infinity on  $\mathbb C$ . Let  $f: \mathbb C \to \mathbb C$  be a continuous function such that  $\sup \{|f(\lambda)|(1+|\lambda|)^{-1}; \ \lambda \in \mathbb C\} < +\infty$ . Then  $\lambda \to (1+|\lambda|^2)^{-1}f(\lambda)$  belongs to  $\mathscr C_0(\mathbb C) \subset \mathscr C_b$  and since  $\mathscr C_b\mathscr C \subset \mathscr C$  it follows that  $\lambda \to \lambda(1+|\lambda|^2)^{-1}f(\lambda)$  belongs to  $\mathscr C$  (actually to  $\mathscr C_b$ ), so that  $\lambda \mapsto \lambda \lambda(1+|\lambda|^2)^{-1}f(\lambda)$  belongs to  $\mathscr C$  and we conclude that f, as a sum of two functions in  $\mathscr C$ , also belongs to  $\mathscr C$ .

Consider now the general case. Let  $x_i$ ,  $x \in \mathcal{B}(\mathcal{X})$  be normal operators with  $Sp(x_i)$  and Sp(x) contained in  $\Omega$  and  $x_i \stackrel{so}{\to} x$ . Since  $(\overline{\Omega} \setminus \Omega) \cap \Omega = \emptyset$ , there exists a compact neighbourhood N of Sp(x) such that  $(\overline{\Omega} \setminus \Omega) \cap N = \emptyset$ . Then  $\Omega \cap N = \overline{\Omega} \cap N$ , hence  $\Omega$  is closed in  $\Omega \cup N$  and, by the Tietze-Urysohn extension theorem, f can be extended to a continuous function g on  $\Omega \cup N$ . Let  $h: \mathbb{C} \to \mathbb{C}$  be a continuous function such that  $supp\ h \subset N$  and  $h(\lambda) = 1$  for  $\lambda \in Sp(x)$ . We obtain a continuous function with compact support by putting  $k(\lambda) = g(\lambda)\ h(\lambda)$  for  $\lambda \in \Omega \cup N$  and  $k(\lambda) = 0$  for  $\lambda \notin \Omega \cup N$ . Consider also the functions  $l: \mathbb{C} \to \mathbb{C}$ ,  $F: \Omega \to \mathbb{C}$  defined by  $l(\lambda) = (1 + |\lambda|)(1 - h(\lambda))$  and  $F(\lambda) = f(\lambda)(1 + |\lambda|)^{-1}$ . By construction, l(x) = 0 and, by assumption, there exists a  $\mu_0 \in (0, +\infty)$  such that  $|F(\lambda)| \leq \mu_0$  for all  $\lambda \in \Omega$ . Since  $f(\lambda) = f(\lambda)\ h(\lambda) + f(\lambda)(1 - h(\lambda)) = k(\lambda) + F(\lambda)\ l(\lambda)$   $(\lambda \in \Omega)$ , it follows that

$$f(x) - f(x_i) = [k(x) - k(x_i)] + F(x_i)[l(x) - l(x_i)].$$

By the first part of the proof, we have  $k(x_i) \stackrel{so}{\to} k(x)$  and  $l(x_i) \stackrel{so}{\to} l(x) = 0$ , while  $||F(x_i)||$  remains bounded by  $\mu_0$ , so that  $f(x_i) \stackrel{so}{\to} f(x)$ . The same argument applies for the s-topology. Hence f is operator continuous.

Note that this result holds in particular for  $\Omega = [0, +\infty)$ .

A.3. Let  $A_k$ , A be positive self-adjoint operators on  $\mathcal{H}$ . We shall write  $A_k \stackrel{so}{\to} A$  if  $(1 + A_k)^{-1} \to (1 + A)^{-1}$ . If  $A_k \stackrel{so}{\to} A$ , then  $A_k^{it} \stackrel{so}{\to} A^{it}$  for all  $t \in \mathbb{R}$ . Actually, we

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have (see [193], VIII.21 and Exercise VIII.21; [10], [134]):

(1) 
$$A_k \stackrel{so}{\to} A \Leftrightarrow A_k^{it} \stackrel{so}{\to} A^{it} \text{ for all } t \in \mathbb{R}$$

(2) 
$$A_k \xrightarrow{so} A \Leftrightarrow A_k^{it} \xrightarrow{so} A^{it}$$
 uniformly for  $|t| \leqslant t_0$ .

It follows that

(3) 
$$A_k \stackrel{so}{\to} A \Leftrightarrow (1 + \varepsilon A_k)^{-1} \stackrel{so}{\to} (1 + \varepsilon A)^{-1} \Leftrightarrow (A_k)_{\varepsilon} \stackrel{so}{\to} A_{\varepsilon} \qquad (\varepsilon > 0)$$

**Proposition.** Let  $A_k$ , A be positive self-adjoint operators on  $\mathcal{H}$ . If there exists a vector subspace  $D \subset D(A) \cap \bigcap_k D(A_k)$  such that  $\overline{A|D} = A$  and  $A_k \xi \to A \xi$  for all  $\xi \in D$ , then  $A_k \stackrel{so}{\to} A$ .

*Proof.* Indeed, let  $\xi \in D$  and  $\eta = (1 + A) \xi$ . Then

$$\|(1+A)^{-1}\eta-(1+A_k)^{-1}\eta\|=\|(1+A_k)^{-1}(A_k\xi-A\xi)\|\leqslant \|A_k\xi-A\xi\|\to 0.$$

Since  $\overline{A \mid D} = A$ , by ([L], E.9.1), (1+A) D is dense in  $\mathscr{H}$  and  $(1+A_k)^{-1} \xrightarrow{so} (1+A)^{-1}$ . **A.4.** Let A, B be positive self-adjoint operators on  $\mathscr{H}$ . We shall write  $A \le B$  if  $(1+A)^{-1} \ge (1+B)^{-1}$ . This condition means that ([L], E.2.6)  $(1+B)^{-1/2} = (1+A)^{-1/2}x$  for some  $x \in \mathscr{B}(\mathscr{H})$ ,  $||x|| \le 1$ , that is  $D((1+B)^{1/2}) \subset D((1+A)^{1/2})$  and  $||(1+A)^{1/2}\xi||^2 \le ||(1+B)^{1/2}\xi||^2$  for every  $\xi \in D((1+B)^{1/2})$ . Using ([L], E.9.29) we infer that

(1) 
$$A \leq B \Leftrightarrow D(B^{1/2}) \subset D(A^{1/2}) \text{ and } ||A^{1/2}\xi|| \leq ||B^{1/2}\xi||, (\xi \in D(B^{1/2})).$$

It follows that

(2) 
$$A \leq B \Leftrightarrow (1 + \varepsilon A)^{-1} \geqslant (1 + \varepsilon B)^{-1} \Leftrightarrow A_{\varepsilon} \leq B_{\varepsilon}; (\varepsilon > 0)$$

$$(3) A \leqslant B \text{ and } B \leqslant A \Leftrightarrow A = B.$$

Also, if  $A \le B$ , then  $A^{1/2} \le B^{1/2}$  and  $x + A \le x + B$  for every  $x \in \mathcal{B}(\mathcal{H})^+$ . Note that there exist characterizations of the relation  $A \le B$  in terms of the so-continuous unitary representations associated with A and B, which are in fact particular cases of Corollary 3.13. and Proposition 4.5 in the main text.

A.5. Let  $A_k$ , A be positive self-adjoint operators on  $\mathcal{H}$ . We shall write  $A_k \uparrow A$  if  $(1 + A_k)^{-1} \downarrow (1 + A)^{-1}$ ; this means that  $\{(1 + A_k)^{-1}\}$  is a decreasing net and

$$(1 + A_k)^{-1} \xrightarrow{so} (1 + A)^{-1}$$
. Using (A.2, A.4) we obtain

(1) 
$$A_k \uparrow A \Leftrightarrow (1 + \varepsilon A_k)^{-1} \downarrow (1 + \varepsilon A)^{-1} \Leftrightarrow (A_k)_\varepsilon \uparrow A_\varepsilon \quad (\varepsilon < 0).$$

Note that

$$(2) A_{\varepsilon} \uparrow A \text{ for } \varepsilon \downarrow 0$$

since  $0 < \varepsilon' \le \varepsilon \Rightarrow A_{\varepsilon} \le A_{\varepsilon'} \le A$  and, with  $a = (1+A)^{-1}$ , we have  $(1+A_{\varepsilon})^{-1} = (a+\varepsilon(1-a))(1+\varepsilon(1-a))^{-1} \xrightarrow{so} a = (1+A)^{-1}$  by ([L], 2.20). Also,

$$(3) Ae_n(A) \uparrow A for n \uparrow \infty$$

since 
$$m \le n \Rightarrow Ae_m(A) \le Ae_n(A)$$
 and  $(1 + Ae_n(A))^{-1} = (1 - e_n(A)) + (1 + A)^{-1} e_n(A) \xrightarrow{so} (1 + A)^{-1}$ .

Let  $B_k$ , B to other positive self-adjoint operators on  $\mathcal{H}$ . Using (1) and (A.4) it is easy to check that

(4) 
$$A_k \uparrow A, B_k \uparrow B, A_k \leqslant B_k \text{ for all } k \Rightarrow A \leqslant B.$$

**Proposition.** Let  $\{A_k\}$  be an increasing net of positive self-adjoint operators on  $\mathcal{H}$  There exists a positive self-adjoint operator A on  $\mathcal{H}$  such that  $A_k \uparrow A$  if and only if the vector subspace  $D = \{\xi \in \mathcal{H}; \lim_k \|A_k^{1/2}\xi\| < + \infty\}$  is dense in  $\mathcal{H}$ . In this case,  $D(A^{1/2}) = D$ .

Proof. Assume that  $A_k \uparrow A$ . Since  $(A_k)_{\varepsilon} \uparrow A_{\varepsilon}$ ,  $(A_k)_{\varepsilon} \uparrow A_k$  and  $||A^{1/2}\xi||^2 = \lim (A_{\varepsilon}\xi|\xi)$  for every  $\xi \in \mathcal{H}$ , we have  $\xi \in D(A^{1/2}) \Rightarrow ((A_k)_{\varepsilon}\xi|\xi) \leqslant (A_{\varepsilon}\xi|\xi) \leqslant (A_{\varepsilon}\xi|\xi) \leqslant (A_{\varepsilon}\xi|\xi) \leqslant ||A^{1/2}\xi||^2 \leqslant 1 + \infty$  for all k and  $k \Rightarrow (A_k\xi|\xi) \leqslant ||A^{1/2}\xi||^2 \leqslant 1 + \infty$  for all  $k \Rightarrow \xi \in D$  and  $k \Rightarrow 0 \Leftrightarrow ||A^{1/2}\xi||^2 \leqslant 1 + \infty \Rightarrow ||A^{1/2}\xi||^2 \leqslant$ 

Conversely, assume that D is dense in  $\mathscr{H}$ . Since the net  $\{A_k\}$  is increasing, we infer from ([L], 2.16) that  $(1 + A_k)^{-1} \downarrow a$  for some  $a \in \mathscr{B}(\mathscr{H})$ ,  $0 \le a \le 1$ . If  $\eta \in \mathscr{H}$  and  $a\eta = 0$ , then for every  $\xi \in D$  we have

$$\begin{aligned} |(\xi|\eta)|^2 &= |(\xi|(1+A_k)^{1/2}(1+A_k)^{-1/2}\eta)|^2 \\ &= |((1+A_k)^{1/2}\xi|(1+A_k)^{-1/2}\eta)|^2 \\ &\leq ((1+A_k)\xi|\xi) ((1+A_k)^{-1}\eta|\eta) \to 0, \end{aligned}$$

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hence  $\eta \perp D$  and  $\eta = 0$  since D is dense in  $\mathcal{H}$ . Thus, a is injective, so that  $A = a^{-1} - 1$  is a positive self-adjoint operator on  $\mathcal{H}$ . Moreover,

$$(1+A_k)_{\varepsilon}=(\varepsilon+(1+A_k)^{-1})^{-1}\uparrow(\varepsilon+a)^{-1}=(1+A)_{\varepsilon},$$

so that  $1 + A_k \uparrow 1 + A$  and  $A_k \uparrow A$ .

**A.6.** Let A, B be positive self-adjoint operators on  $\mathcal{H}$  and assume that A and B commute ([L], E.9.24). Then

$$D = \bigcup_{n,m} e_n(A)e_m(B) \mathcal{H}$$

is a dense vector subspace of  $\mathscr{H}$  and the linear operator AB defined by  $(AB) \xi = A(B\xi) \ (\xi \in D)$  is positive and  $AB \subset (AB)^*$ , so that AB is preclosed,  $\overline{AB}$  is positive and  $\overline{AB} \subset (AB)^*$ . Conversely, let  $\eta \in D((AB)^*)$ . There exists  $\eta^* = (AB)^* \eta \in \mathscr{H}$  such that  $(AB\xi \mid \eta) = (\xi \mid \eta^*)$  for all  $\xi \in D$ . With  $p_{nm} = e_n(A) e_m(B)$  we have  $D \ni p_{nm} \eta \xrightarrow[m]{} \eta$  and, for every  $\xi \in D$ ,

$$\begin{aligned} (\xi | ABp_{nm}\eta) &= (\xi | (AB)^*p_{nm}\eta) = (AB\xi | p_{nm}\eta) \\ &= (ABp_{nm}\xi | \eta) = (p_{nm}\xi | \eta^*) = (\xi | p_{nm}\eta^*), \end{aligned}$$

whence  $ABp_{nm}\eta = p_{nm}\eta^* \underset{n,m}{\longrightarrow} \eta^* = (AB)^*\eta$ . Thus,  $\eta \in D(\overline{AB})$  and  $(\overline{AB})\eta = (AB)^*\eta$ . We conclude that  $\overline{AB}$  is a positive self-adjoint operator on  $\mathcal{H}$ . Since  $Ae_n(A)Be_m(B)\xi \underset{n,m}{\longrightarrow} AB\xi$  for all  $\xi \in D$ , by Proposition A.3 we obtain

(1) 
$$Ae_n(A)Be_m(B) \uparrow \overline{AB}$$
.

Also, for fixed  $n, m \in \mathbb{N}$ ,  $\xi \in \mathcal{H}$  and  $\varepsilon \downarrow 0$ ,  $\delta \downarrow 0$  we have

 $A_{\varepsilon}B_{\delta}e_{n}(A)e_{m}(B)\xi = (Ae_{n}(A))_{\varepsilon}(Be_{m}(B))_{\delta}\xi \rightarrow Ae_{n}(A)Be_{m}(B)\xi = ABe_{n}(A)e_{m}(B)\xi$  whence

$$A_{\delta}B_{\delta}\uparrow \overline{AB}.$$

In particular,  $\overline{AB} = \overline{BA}$ . Using ([L], 9.20) it is easy to check that

(3) 
$$(\overline{AB})^{ij} = A^{it}B^{it} \qquad (t \in \mathbb{R}).$$

A.7. A positive quadratic form on  $\mathcal{H}$  is a mapping  $q: D(q) \to [0, +\infty)$  such that:

(1) 
$$D(q)$$
 is a dense vector subspace of  $\mathcal{H}$ 

(2) 
$$q(\lambda \xi) = |\lambda|^2 q(\xi)$$
 for all  $\xi \in D(q)$  and all  $\lambda \in \mathbb{C}$ 

(3) 
$$q(\xi + \eta) + q(\xi - \eta) = 2q(\xi) + 2q(\eta) \text{ for all } \xi, \eta \in D(q).$$

Then

$$q(\xi,\eta) = \frac{1}{4} \left( q(\xi+\eta) - q(\xi-\eta) + iq(\xi+i\eta) - iq(\xi-i\eta) \right) \quad (\xi,\eta \in D(q))$$

define a positive sesquilinear form  $q: D(q) \times D(q) \to \mathbb{C}$ , uniquely determined, such that

$$q(\xi, \xi) = q(\xi)$$
  $(\xi \in D(q)).$ 

The positive quadratic form q is said to be *closed* if D(q) is complete with respect to the scalar product  $(\xi | \eta)_q = (\xi | \eta) + q(\xi, \eta)$ ,  $(\xi, \eta \in D(q))$ , i.e. if the conditions

$$\{\xi_n\}\subset D(q),\ \xi\in\mathscr{H},\ \|\xi_n-\xi\|\to 0,\ q(\xi_n-\xi_m)\to 0$$

imply  $\xi \in D(q)$  and  $q(\xi_n - \xi) \to 0$ .

For every positive self-adjoint operator A on  $\mathcal{H}$  we obtain a closed positive quadratic form  $q_A$  on  $\mathcal{H}$  by putting

$$q_A(\xi) = ||A^{1/2}\xi||^2 \qquad (\xi \in D(q_A) = D(A^{1/2})).$$

Conversely,

**Proposition.** Let q be a closed positive quadratic form on  $\mathcal{H}$ . There exists a unique positive self-adjoint operator A on  $\mathcal{H}$  such that  $q_A = q$ .

*Proof.* For any fixed  $\xi \in \mathcal{H}$ , the mapping  $D(q) \ni \eta \mapsto \overline{(\xi \mid \eta)}$  is a bounded linear functional on the Hilbert space D(q) with norm  $\leqslant \|\xi\|$  (since  $|\overline{(\xi \mid \eta)}| \leqslant \|\xi\| \|\eta\| \leqslant \|\xi\| \|\eta\|_q$  for  $\eta \in D(q)$ ) and therefore there is a unique vector  $T\xi \in D(q)$ ,  $\|T\xi\|_q \leqslant \|\xi\|$ , such that

(4) 
$$(\xi|\eta) = (T\xi|\eta)_q = (T\xi|\eta) + q(T\xi,\eta) \qquad (\eta \in D(q)).$$

We thus obtain a bounded linear operator  $T: \mathcal{H} \to D(q)$  with norm  $\leq 1$  such that

(5) 
$$q(T\xi,\eta)=(\xi-T\xi|\eta) \qquad (\xi\in\mathcal{H},\ \eta\in D(q)).$$

From (4) it follows that T is injective and  $T(\mathcal{H})$  is dense in the Hilbert space D(q), so that  $T(\mathcal{H})$  is dense in  $\mathcal{H}$ . Therefore, we obtain a densely defined linear operator A on  $\mathcal{H}$  by putting

$$A\zeta = T^{-1}\zeta - \zeta \qquad (\zeta \in D(A) = T(\mathcal{H}) \subset D(q))$$

and we have

(6) 
$$q(\zeta,\eta)=(A\zeta|\eta) \qquad (\zeta\in D(A),\ \eta\in D(q)).$$

It follows that A is positive and, as  $(1+A) D(A) = T^{-1}(T(\mathcal{H})) = \mathcal{H}$ , A is self-adjoint ([L], 9.5). For  $\zeta \in D(A) \subset D(A^{1/2})$  we have  $||A^{1/2}\zeta||^2 = (A\zeta|\zeta) = q(\zeta)$ .

Let  $\xi \in D(q)$ . Since  $D(A) = T(\mathcal{H})$  is dense in the Hilbert space D(q), there exists a sequence  $\{\xi_n\} \subset D(A)$  such that  $\|\xi_n - \xi\| \to 0$  and  $\|A^{1/2}\xi_n - A^{1/2}\xi_m\|^2 = q(\xi_n - \xi_m) \to 0$ . Since  $A^{1/2}$  is closed, it follows that  $\xi \in D(A^{1/2})$  and  $\|A^{1/2}\xi_n - A^{1/2}\xi\| \to 0$ , so that  $\|A^{1/2}\xi\|^2 = \lim \|A^{1/2}\xi_n\|^2 = \lim q(\xi_n) = q(\xi)$ .

Conversely, using the fact that  $A^{1/2} = \overline{A^{1/2}|D(A)}$  and the closedness of the form q, we see that  $D(A^{1/2}) \subset D(q)$ .

Hence  $q_A = q$ . The uniqueness of A, subject to this condition, follows from (6).

**A.8.** Let p, q be two positive quadratic forms on  $\mathcal{H}$ . We say that p is an extension of q if  $D(q) \subset D(p)$  and  $p(\xi) = q(\xi)$  for every  $\xi \in D(q)$ . The form q is said to be preclosed (or closable) if it has a closed extension.

Proposition. A positive quadratic form q on H is preclosed if and only if

(1) 
$$\{\xi_n\} \subset D(q), \ \|\xi_n\| \to 0, \ q(\xi_n - \xi_m) \to 0 \Rightarrow q(\xi_n) \to 0.$$

In this case there exists a closed extension  $\overline{q}$  of q such that any closed extension of q is also an extension of  $\overline{q}$ .

*Proof.* Assume that q is preclosed and let p be a closed extension of q. Then from the assumptions in (1) it follows that  $q(\xi_n) = p(\xi_n - 0) \to 0$ .

Conversely, assume that (1) holds. Let  $D(\overline{q})$  be the set of all  $\xi \in \mathcal{H}$  such that there exists a sequence  $\{\xi_n\} \subset D(q)$  with the properties  $\|\xi_n - \xi\| \to 0$  and  $q(\xi_n - \xi_m) \to 0$ . From (1) it follows that for  $\xi \in D(\overline{q})$  the number  $\overline{q}(\xi) = \lim_{n \to \infty} q(\xi_n)$ 

does not depend on the sequence  $\{\xi_n\} \subset D(q)$  with  $\|\xi_n - \xi\| \to 0$  and  $q(\xi_n - \xi_m) \to 0$ . It is then easy to check that  $\overline{q}: D(\overline{q}) \to [0, +\infty)$  is a closed extension of q and that any closed extension of q is also an extension of  $\overline{q}$ .

The form  $\overline{q}$  is called the *closure* of q.

**A.9.** Consider again a positive quadratic form  $q: D(q) \to [0, +\infty)$  on  $\mathcal{H}$ . We extend the definition of q to the whole of  $\mathcal{H}$  by putting  $q(\xi) = +\infty$  for  $\xi \in \mathcal{H} \setminus D(q)$ .

**Proposition.** Let q be a positive quadratic form on  $\mathcal{H}$ . Then

(1) 
$$q$$
 is closed  $\Leftrightarrow q$  is lower semicontinuous on  $\mathcal{H}$ ;

(2) 
$$q$$
 is preclosed  $\Leftrightarrow q$  is lower semicontinuous on  $D(q)$ .

*Proof.* Assume that q is closed and let A be the unique positive self-adjoint operator on  $\mathcal{H}$  such that  $q = q_A$  (A.7). Then

(3) 
$$q(\xi) = \sup \{ |(A\zeta| \ \xi)|; \ \zeta \in D(A), \ (A\zeta| \ \zeta) = 1 \} \qquad (\xi \in \mathcal{H}).$$

Indeed, for every  $\zeta \in D(A) \subset D(A^{1/2})$  and  $\xi \in D(q)$  we have  $|(A\zeta|\xi)|^2 = |(A^{1/2}\zeta|A^{1/2}\xi)|^2 \le ||A^{1/2}\zeta||^2 ||A^{1/2}\xi||^2 = q(\zeta) q(\xi)$ , hence

$$(4) |(A\zeta|\xi)|^2 \leq q(\zeta) q(\xi) (\zeta \in D(A), \xi \in \mathcal{H})$$

and (3) follows replacing  $\zeta$  here by  $\zeta_n = (Ae_n(A) \xi | \xi)^{-1/2}e_n(A) \xi$  and taking the limit as  $n \to +\infty$ . Now from (3) it is clear that q is (even weakly) lower semi-continuous on  $\mathcal{H}$ .

If q is preclosed, then its closure  $\overline{q}$  is lower semicontinuous on  $\mathcal{H}$ , and q is lower semicontinuous on D(q).

Conversely, assume that q is lower semicontinuous on D(q) and consider  $\{\xi_n\} \subset D(q), \|\xi_n\| \to 0, \ q(\xi_n - \xi_m) \to 0$ . Let  $\varepsilon > 0$  and choose  $n_{\varepsilon} \in \mathbb{N}$  such that  $q(\xi_n - \xi_m) \le \varepsilon$  for  $n, m \ge n_{\varepsilon}$ . Since  $\xi_n \to 0$  and q is lower semicontinuous on D(q), it follows that  $q(\xi_n) \le \lim \inf q(\xi_n - \xi_m) \le \varepsilon$  for all  $n \ge n_{\varepsilon}$ . Hence  $q(\xi_n) \to 0$ .

By Proposition A.8 it follows that q is preclosed.

Finally, assume that q is preclosed but is not closed. Then there exists  $\xi \in D(\overline{q})$ ,  $\xi \notin D(q)$ . By the construction of  $\overline{q}$  (A.8) there exists a sequence  $\{\xi_n\} \subset D(q)$ ,  $\|\xi_n - \xi\| \to 0$ , such that  $\lim_n q(\xi_n) = \overline{q}(\xi) < +\infty = q(\xi)$ . Thus q is not lower semicontinuous on  $\mathscr{H}$ .

**A.10.** Let  $q: D(q) \to [0, +\infty)$  be a lower semicontinuous positive quadratic form on  $\mathcal{H}$ . By Propositions A.7—A.9 there exists a unique positive self-adjoint operator A on  $\mathcal{H}$  such that  $q_A = \overline{q}$ , that is

(1) 
$$||A^{1/2}\zeta||^2 = \overline{q}(\xi) \qquad (\xi \in D(A^{1/2}) = D(\overline{q})).$$

In particular,

(2) 
$$D(A^{1/2}) \supset D(q) \text{ and } ||A^{1/2}\xi||^2 = q(\xi) \text{ for all } \xi \in D(q)$$

and, as is easily verified,

(3) 
$$A^{1/2} = \overline{A^{1/2}|D(q)}.$$

Moreover, A is the greatest positive self-adjoint operator on  $\mathcal{H}$  satisfying (2). Indeed, let B be another positive self-adjoint operator in  $\mathcal{H}$  such that  $D(B^{1/2}) \supset D(q)$  and  $||B^{1/2}\xi||^2 = q(\xi)$  for all  $\xi \in D(q)$ . Let  $\xi \in D(A^{1/2}) = D(\overline{q})$ . There exists a sequence  $\{\xi_n\} \subset D(q)$  such that  $\|\xi_n - \xi\| \to 0$  and  $q(\xi_n) \to \overline{q}(\xi)$ . Then

$$||B^{1/2}||^2 \le \lim_n ||B^{1/2}\xi_n||^2 = \lim_n q(\xi_n) = \overline{q}(\xi) = ||A^{1/2}\xi||^2.$$

Consequently,  $B \leq A$ .

Recall (A.9) that if q is lower semicontinuous on the whole  $\mathcal{H}$ , then  $q = \overline{q}$  is closed, so that in this case the best characterization of A is given by (1).

**A.11.** Let A, B be positive self-adjoint operators in  $\mathcal{H}$ . Assume that  $D = D(A^{1/2}) \cap D(B^{1/2})$  is dense in  $\mathcal{H}$ . Then  $q: \mathcal{H} \ni \xi \to q_A(\xi) + q_B(\xi) \in [0, +\infty]$  is a lower semicontinuous positive quadratic form on  $\mathcal{H}$  with D(q) = D. By (A.9) it follows that there exists a unique positive self-adjoint operator A + B on  $\mathcal{H}$  such that

(1) 
$$||(A + B)^{1/2}\xi||^2 = ||A^{1/2}\xi||^2 + ||B^{1/2}\xi||^2 \qquad (\xi \in D((A + B)^{1/2}) = D).$$

The operator  $A + \hat{A}$  is called the weak sum (or form sum) of A and B.

**A.12.** Let  $\varphi : \mathbb{R} \setminus \{0\} \to \mathbb{R}$  be the function defined by

$$\varphi(t)=2(e^{\pi t}-e^{-\pi t})^{-1} \qquad (t\in\mathbb{R}\setminus\{0\}).$$

For any bounded continuous function  $f: \mathbb{R} \to \mathbb{C}$  we shall write

$$\operatorname{PV} \int_{-\infty}^{+\infty} \varphi(t) f(t) dt = \lim_{\epsilon \to 0} \left[ \int_{-\infty}^{-\epsilon} \varphi(t) f(t) dt + \int_{+\epsilon}^{+\infty} \varphi(t) f(t) dt \right]$$
$$= \lim_{\epsilon \to 0} \int_{+\epsilon}^{+\infty} \varphi(t) (f(t) - f(-t)) dt$$

whenever the limit exists. Similar notation will be used for functions f with values in  $\mathcal{H}$  or  $\mathcal{B}(\mathcal{H})$ .

Proposition. For every nonsingular positive self-adjoint operator A on H we have

(1) 
$$\operatorname{PV} \int_{-\infty}^{+\infty} \varphi(t) A^{it} \xi \, \mathrm{d}t = \mathrm{i}(A-1)(A+1)^{-1} \xi \qquad (\xi \in \mathcal{H}).$$

*Proof.* Since  $(1 + A^{1/2})^{-1} + (1 + A^{-1/2})^{-1} = 1$ , we have  $D(A^{1/2}) + D(A^{-1/2}) = \mathcal{H}$ ; thus it is sufficient to prove (1) only for  $\xi \in D(A^{1/2})$  and for  $\xi \in D(A^{-1/2})$ .

Let  $\xi \in D(A^{1/2})$ . Then ([L], 9.15) the function  $\alpha \mapsto A^{\alpha}A^{1/2}\xi$  is defined, bounded, continuous on the strip  $\{\alpha \in \mathbb{C}; -1/2 \leq \text{Re } \alpha \leq 0\}$  and analytic in the interior of the strip. On the other hand, the function  $\alpha \mapsto 2(e^{-\pi i\alpha} + e^{\pi i\alpha})^{-1}$  has the same properties, except at the point  $\alpha = -1/2$  where it has a pole with residue  $(\pi i)^{-1}$ ; moreover, this function tends to zero when  $z \to \infty$  on this strip. Therefore, by integrating along the boundary of the strip the function  $\alpha \mapsto 2(e^{-\pi i\alpha} + e^{\pi i\alpha})^{-1} A^{\alpha}A^{1/2}$ , we obtain

$$\int_{-\infty}^{+\infty} 2(e^{\pi t} + e^{-\pi t})^{-1} A^{it} A^{1/2} \xi dt = \xi + PV \int_{-\infty}^{+\infty} -i\varphi(t) A^{it} \xi dt.$$

By ([L], Corollary 9.23), the left hand side is equal to  $2A(A+1)^{-1}\xi$  and (1) follows. For  $\xi \in D(A^{-1/2})$  note that  $(A-1)(A+1)^{-1} = -(A^{-1}-1)(A^{-1}+1)^{-1}$  and apply the first part of the proof.

A.13. Let  $b \in \mathcal{B}(\mathcal{H})$ ,  $0 \le b \le 1$ , and let A be a nonsingular positive self-adjoint on  $\mathcal{H}$ . Put

(1) 
$$a = \int_{-\infty}^{+\infty} 2(e^{\pi t} + e^{-\pi t})^{-1} A^{it} b A^{-it} dt \in \mathcal{B}(\mathcal{H})$$

and note that  $0 \le a \le 1$ . With the same arguments as in Section A.12, applied to the function  $\alpha \mapsto 2(e^{-\pi i\alpha} + e^{\pi i\alpha})^{-1} (bA^{-\alpha}A^{-1/2}\xi \mid A^{-\alpha}A^{1/2}\eta)$  on the strip  $\{\alpha \in \mathbf{C}; -1/2 \le \text{Re } \alpha \le 0\}$ , we obtain

(2) 
$$PV \int_{-\infty}^{+\infty} \varphi(t) (A^{it}bA^{-it}\xi \mid \eta) dt = i(aA^{-1/2}\xi \mid A^{1/2}\eta) - i(b\xi \mid \eta)$$

whenever  $\xi \in D(A^{-1/2})$  and  $\eta \in D(A^{1/2})$ .

**Proposition.** If  $\xi \in D(\ln(A))$ , then  $\text{PV} \int_{-\infty}^{+\infty} \varphi(t) A^{it} b A^{-it} \xi \, dt$  exists. If, moreover,  $\xi \in D(A^{-1/2})$ , then  $aA^{-1/2} \xi \in D(A^{1/2})$  and

$$A^{1/2}aA^{-1/2}\,\xi=b\xi-\mathrm{i}\;\mathrm{PV}\int\limits_{-\infty}^{+\infty}\varphi(t)\,A^{\mathrm{i}t}bA^{-\mathrm{i}t}\xi\;\mathrm{d}t.$$

*Proof.* Note that  $A^{it}aA^{-it}\xi = A^{it}a\xi + A^{it}u(A^{-it}-1)$  and that if  $\xi \in D(\ln(A))$ , then (see [193], Theorem VIII.7) the function

$$t \mapsto \varphi(t) A^{it} a(A^{-it} - 1) \xi = \frac{2t}{e^{\pi t} - e^{-\pi t}} a \left[ \frac{A^{-it} - 1}{t} \xi \right]$$

is continuous at t=0 and hence everywhere; as it tends to zero rapidly at infinity it is integrable. This remark, combined with Proposition A.12 proves the first statement.

The second statement is now an obvious consequence of (2) since  $A^{1/2}$  is selfadjoint.

A.14. Let  $\mathscr{H}$  be the Hilbert space  $\mathscr{L}^2(\mathbb{R})$ . Consider the operator  $b \in \mathscr{B}(\mathscr{H})$ ,  $0 \leq b \leq 1$ , defined as multiplication by the function

$$b(s) = 1$$
 when  $s < 0$  and  $b(s) = 0$  when  $s \ge 0$ ,

the unique nonsingular positive self-adjoint operator A on  $\mathcal{H}$  such that ([L], 9.20)

$$[A^{it}\xi](s) = \xi(s-t)$$
  $(\xi \in \mathcal{L}^2(\mathbb{R}), s, t \in \mathbb{R})$ 

and the corresponding operator  $a \in \mathcal{B}(\mathcal{H})$ ,  $0 \le a \le 1$ , defined by A.13.(1). Note that if we replace A by  $A^{-1}$ , the operator a remains unchanged.

We shall show, by an indirect argument, that

(1) either there exists 
$$\zeta \in D(A^{-1/2})$$
 such that  $aA^{-1/2}\zeta \notin D(A^{1/2})$  or there exists  $\zeta \in D(A^{1/2})$  such that  $aA^{1/2}\zeta \notin D(A^{-1/2})$ .

So assume that  $\zeta \in D(A^{-1/2}) \Rightarrow aA^{-1/2} \zeta \in D(A^{1/2})$  and  $\zeta \in D(A^{1/2}) \Rightarrow aA^{1/2} \zeta \in D(A^{1/2})$  $\in D(A^{-1/2})$ . Then we can define the linear operators  $T_1$ ,  $T_2$  on  $\mathscr{H}$  by

$$\begin{split} T_1 \zeta &= A^{1/2} a A^{-1/2} \zeta - b \zeta & (\zeta \in D(A^{-1/2})) \\ T_2 \zeta &= -A^{-1/2} a A^{1/2} \zeta + b \zeta & (\zeta \in D(A^{1/2})) \end{split}$$

For  $\xi \in D(A^{-1/2}) \cap D(A^{1/2})$  we have  $\xi \in D(\ln(A))$  (see [193], Theorem VIII.7 and [L], Corollary 9.21) and hence, by Proposition A.13,

$$T_1\xi = -\mathrm{i} \ \mathrm{PV} \int_{-\infty}^{+\infty} \varphi(t) A^{\mathrm{i}t} b A^{-\mathrm{i}t} \xi \ \mathrm{d}t = T_2 \xi.$$

As  $\mathscr{H} = D(A^{-1/2}) + D(A^{1/2})$ , we can define a linear operator T on  $\mathscr{H}$  by putting

$$T(\xi_1 + \xi_2) = T_1 \xi_1 + T_2 \xi_2$$
  $(\xi_1 \in D(A^{-1/2}), \ \xi_2 \in D(A^{1/2})).$ 

For  $\xi \in D(A^{-1/2}) \cap D(A^{1/2})$  and  $\eta \in D(A^{-1/2})$  we have

$$(-T\xi \mid \eta) = (-T_2\xi \mid \eta) = (A^{-1/2}aA^{1/2}\xi \mid \eta) - (b\xi \mid \eta)$$
$$= (\xi \mid A^{1/2}aA^{-1/2}\eta) - (\xi \mid b\eta) = (\xi \mid T_1\eta) = (\xi \mid T\eta).$$

Hence  $-T \subset T^*$ , so that T is closed and hence bounded. Furthermore, for  $\zeta \in D(A^{-1/2}) \cap D(\ln(A))$  and  $\zeta \in D(A^{1/2}) \cap D(\ln(A))$  we have

$$T\zeta = -\mathrm{i} \ \mathrm{PV} \int_{-\infty}^{+\infty} \varphi(t) A^{\mathrm{i}t} b A^{-\mathrm{i}t} \zeta \ \mathrm{d}t.$$

Since  $D(\ln(A)) = D(\ln(A)) \cap D(A^{-1/2}) + D(\ln(A)) \cap D(A^{1/2})$ , this identity holds for any  $\zeta \in D(\ln(A))$ . As T is bounded, it follows that the mapping

(2) 
$$D(\ln(A)) \ni \zeta \mapsto \text{PV} \int_{-\infty}^{+\infty} \varphi(t) A^{it} b A^{-it} \zeta \, dt \in \mathcal{H}$$

is continuous.

However, using again Proposition A.13 and Fubini's theorem, for  $\zeta \in D(\ln(A))$ and  $\eta \in \mathcal{H}$  we get

$$\operatorname{PV} \int_{-\infty}^{+\infty} \varphi(t) \left( A^{it} b A^{-it} \zeta \mid \eta \right) dt = \int_{-\infty}^{+\infty} \psi(s) \, \zeta(s) \, \overline{\eta(s)} \, ds$$

with  $\psi(s) = \int_{|s|}^{+\infty} \varphi(t) \, \mathrm{d}t (s \in \mathbb{R})$ . Since  $\varphi(t) \sim t^{-1}$  for small |t|,  $\varphi$  is not integrable

and therefore  $\psi$  is not bounded. In this case it is easy to see that the mapping (2) cannot be continuous. Indeed, consider the functions  $\zeta_n(t) = n^{1/2} \exp(-n^2 t^2)$   $t \in \mathbb{R}$ ). Then  $\zeta_n \in D(\ln(A))$  (since the  $\zeta_n$  are "entire analytic vectors" for  $t \mapsto A^{(t)}$ ,

 $\|\zeta_n\|$  is independent of n, while  $\int_{-\infty} \psi(s)\zeta_n(s)\overline{\eta(s)}\,\mathrm{d}s \to +\infty$  whenever  $\eta$  is not zero

in a neighbourhood of s = 0.

This contradiction proves (1)

This contradiction proves (1).

In the next Sections we review some basic facts concerning W\*-algebras.

**A.15.** Let  $\mathscr{A}$  be a  $C^*$ -algebra, let  $\mathscr{H}_{\mathscr{A}} = \bigoplus \{\mathscr{H}_{\varphi}; \ \varphi \in S(\mathscr{A})\}$  and let

$$\pi_{\mathscr{A}} = \underset{\varphi \in S(\mathscr{A})}{\otimes} \pi_{\varphi} \colon \mathscr{A} \to \mathscr{B}(\mathscr{H}_{\mathscr{A}})),$$

where  $S(\mathcal{A})$  denotes the set of all positive linear forms  $\varphi$  on  $\mathcal{A}$  with  $\|\varphi\|=1$  and  $\pi_{\varphi}\colon \mathcal{A}\to \mathcal{B}(\mathcal{H}_{\varphi})$  is the corresponding Gelfand-Naimark-Segal representation ([L], 5.18; [204]; [236]). Then  $\pi_{\mathscr{A}}$  is an isometric non-degenerate \*-representation of  $\mathscr{A}$ , called the universal \*-representation of  $\mathscr{A}$ , the w-closure  $\mathscr{N}_{\mathscr{A}}$  of  $\pi_{\mathscr{A}}(\mathscr{A})$  is a von Neumann subalgebra of  $\mathscr{B}(\mathcal{H}_{\mathscr{A}})$  called the enveloping von Neumann algebra of  $\mathscr{A}$  and the following statements hold:

For every  $\psi \in \mathscr{A}^*$  there exists a unique wo-continuous form  $F_{\mathscr{A}}(\psi)$  on  $\mathscr{N}_{\mathscr{A}}$  such that  $\psi = F_{\mathscr{A}}(\psi) \circ \pi_{\mathscr{A}}$  and the map  $F_{\mathscr{A}}$  is a linear isometry of  $A^*$  onto  $(\mathscr{N}_{\mathscr{A}})_*$ .

The map  $\Phi_{\mathscr{A}}: \mathscr{N}_{\mathscr{A}} \to \mathscr{A}^{**}$  defined by  $[\Phi_{\mathscr{A}}(y)](\psi) = [F_{\mathscr{A}}(\psi)](y)$   $(\psi \in A^*, y \in \mathscr{N}_{\mathscr{A}})$  is a surjective linear isometry and a  $(w, \sigma(\mathscr{A}^{**}, \mathscr{A}_{*}))$ -homeomorphism;  $\Phi_{\mathscr{A}} \circ \pi_{\mathscr{A}}$  is the canonical embedding of  $\mathscr{A}$  into  $\mathscr{A}^{**}$ .

A.16. A  $W^*$ -algebra is a  $C^*$ -algebra  $\mathcal{M}$  which is isometrically isomorphic to the dual space of some Banach space. In this case there is a unique norm-closed vector subspace F of  $\mathcal{M}^*$  such that the map  $\Phi \colon \mathcal{M} \to F^*$  defined by  $[\Phi(x)](\psi) = \psi(x)(x \in \mathcal{M}, \psi \in F)$ , is a surjective linear isometry. We write  $\mathcal{M}_* = \mathcal{F}$  and call  $\mathcal{M}_*$  the predual of  $\mathcal{M}_*$ .

Let  $\pi_{\mathcal{M}}: \mathcal{M} \to \mathcal{N}_{\mathcal{M}} \subset \mathcal{B}(\mathcal{H}_{\mathcal{M}})$  be the universal \*-representation of  $\mathcal{M}$ . There exists a unique central projection  $p_{\mathcal{M}}$  in  $\mathcal{N}_{\mathcal{M}}$  such that the map  $x \mapsto \pi_{\mathcal{M}}(x) p_{\mathcal{M}}$  is a \*-isomorphism of  $\mathcal{M}$  onto the von Neumann algebra  $\mathcal{N}_{\mathcal{M}}p_{\mathcal{M}} \subset \mathcal{B}(p_{\mathcal{M}}\mathcal{H}_{\mathcal{M}})$ . Moreover, this map is a  $(\sigma(\mathcal{M}, \mathcal{M}_*), w)$  homeomorphism and  $\mathcal{M}_* = p_{\mathcal{M}}\mathcal{M}^*$ .

A linear form  $\psi \in \mathcal{M}^*$  satisfies  $\psi = p_{\mathcal{M}} \cdot \psi$ , that is  $\psi \in \mathcal{M}_*$ , if and only if  $\psi(\sum_{I \in I} e_I) = \sum_{I \in I} \psi(e_I)$  for every family  $\{e_i\}_{i \in I}$  of mutually orthogonal projections in  $\mathcal{M}$ . In this case  $\psi$  is called a *normal linear form* on  $\mathcal{M}$ .

A linear functional  $\psi \in \mathcal{M}^*$  satisfies  $p_{\mathcal{M}} \cdot \psi = 0$  if and only if for every non-zero projection  $e \in \mathcal{M}$  there exists a non-zero projection  $f \in \mathcal{M}$ ,  $f \leq e$ , with  $\psi(f) = 0$ ; moreover, f can be chosen such that  $f \cdot \psi \cdot f = 0$ . In this case,  $\psi$  is called a *singular linear form* on  $\mathcal{M}$ .

Thus,  $W^*$ -algebras are essentially the same thing as von Neumann algebras. The  $\sigma(\mathcal{M}, \mathcal{M}_*)$ -topology on  $\mathcal{M}$  is also called the w-topology on  $\mathcal{M}$ . The s-topology (resp. the s\*-topology) on  $\mathcal{M}$  is defined by the semi-norms  $x \mapsto \psi(x^*x)^{1/2}$  (resp.  $x \mapsto \psi(x^*x + xx^*)^{1/2}$ ) where  $\psi$  ranges over all normal positive forms on  $\mathcal{M}$ .

The uniqueness of the predual of a  $W^*$ -algebra shows that every \*-isomorphism between  $W^*$ -algebras is w-continuous, and also s-continuous and  $s^*$ -continuous.

Every \*-isomorphism of a  $W^*$ -algebra  $\mathcal{M}$  onto a von Neumann algebra will be called a *realization* of  $\mathcal{M}$  as a von Neumann algebra. A  $W^*$ -algebra  $\mathcal{M}$  with separable predual has a realization as a von Neumann algebra acting on a separable Hilbert space.

A  $W^*$ -subalgebra of the  $W^*$ -algebra  $\mathcal{M}$  is a w-closed \*-subalgebra of  $\mathcal{M}$ . Note that, while every  $W^*$ -algebra  $\mathcal{M}$  has a unit element  $1_{\mathcal{M}}$ , the unit element of a  $W^*$ -subalgebra  $\mathcal{N}$  of  $\mathcal{M}$  can be a proper projection  $e_{\mathcal{N}}$  in  $\mathcal{M}$ . If  $e_{\mathcal{N}}=1_{\mathcal{M}}$ , then  $\mathcal{N}$  is called a unital  $W^*$ -subalgebra of  $\mathcal{M}$ .

If  $\mathscr A$  is any  $C^*$ -algebra, there exists a unique  $C^*$ -algebra structure on the Banach space  $\mathscr A^{**}$  such that the canonical image of  $\mathscr A$  in  $\mathscr A^{**}$  is a  $C^*$ -subalgebra of  $\mathscr A$ . Moreover, the  $C^*$ -algebra  $\mathscr A^{**}$  is a  $W^*$ -algebra, \*-isomorphic to the enve-

loping von Neumann algebra of A.

Let  $\Phi$  be a \*-isomorphism of the von Neumann algebra  $\mathcal{M} \subset \mathcal{B}(\mathcal{H})$  onto the von Neumann algebra  $\mathcal{N} \subset \mathcal{B}(\mathcal{X})$ . By ([L], 9.25),  $\Phi$  extends to a one-to-one correspondence  $A \mapsto B = \Phi(A)$  between the positive self-adjoint operators A in  $\mathcal{H}$  affiliated to  $\mathcal{M}$  and the positive self-adjoint operators B in  $\mathcal{K}$  affiliated to  $\mathcal{N}$ ;  $\Phi$  is unique subject to the condition  $\Phi((1+A)^{-1}) = (1+B)^{-1}$ . It is therefore meaningful to speak about positive self-adjoint operators A affiliated to a  $W^*$ -algebra  $\mathcal{M}$  without explicitly mentioning the specific realization of  $\mathcal{M}$  as a von Neumann algebra.

For the proof of the preceding results we refer to [204], [236], [244].

**A.17.** For each  $k \in \mathbb{N}$  consider a Hilbert space  $\mathcal{H}_k$  and a vector  $\xi_k \in \mathcal{H}_k$ ,  $\|\xi_k\| = 1$ . Then the mappings

$$I_k: \mathcal{H}_1 \ \overline{\otimes} \ \ldots \ \overline{\otimes} \ \mathcal{H}_k \ni \zeta \mapsto \zeta \otimes \zeta_{k+1} \in \mathcal{H}_1 \ \overline{\otimes} \ \ldots \ \overline{\otimes} \ \mathcal{H}_k \otimes \mathcal{H}_{k+1}$$

are linear and isometric. The completion of the direct limit of the Hilbert spaces  $\mathcal{H}_1 \boxtimes \ldots \boxtimes \mathcal{H}_k$  along the mappings  $I_k$  is again a Hilbert space, denoted by  $\bigotimes (\mathcal{H}_k, \xi_k)$  and called the (incomplete) infinite tensor product of the Hilbert spaces  $\mathcal{H}_k$  along the vectors  $\xi_k \in \mathcal{H}_k$ . For any sequence of vectors  $\eta_k \in \mathcal{H}_k$  such that

(1) 
$$\sum_{k} |1 - ||\eta_{k}|| | < +\infty \text{ and } \sum_{k} |1 - (\eta_{k} | \xi_{k})| < +\infty$$

there corresponds a "decomposable vector"

(2) 
$$\bigotimes_{k} \eta_{k} = \lim_{k} \eta_{1} \otimes \ldots \otimes \eta_{k} \otimes \xi_{k+1} \otimes \xi_{k+2} \otimes \ldots \in \bigotimes_{k} (\mathscr{H}_{k}, \xi_{k})$$

which depends linearly on each  $\eta_k$ . If  $\underset{k}{\otimes} \zeta_k \in \underset{k}{\otimes} (\mathcal{H}_k, \xi_k)$  is another decomposable vector, then

(3) 
$$(\bigotimes_{k} \eta_{k} \mid \bigotimes_{k} \zeta_{k}) = \prod_{k} (\eta_{k} \mid \zeta_{k})$$

where the infinite product is absolutely convergent. The set of decomposable vectors is total in  $\otimes$   $(\mathcal{H}_k, \xi_k)$ .

Let  $x_k \in \mathcal{B}(\mathcal{H}_k)$   $(k \in \mathbb{N})$ . For each fixed  $k_0 \in \mathbb{N}$  there exists a unique bounded linear operator  $x_1 \otimes \ldots \otimes x_{k_0} \otimes 1$  on  $\otimes (\mathcal{H}_k, \xi_k)$  such that

$$(4) \qquad (x_1 \otimes \ldots \otimes x_{k_0} \otimes 1) (\bigotimes_k \eta_k) = x_1 \eta_1 \otimes \ldots \otimes x_{k_0} \eta_{k_0} \otimes \eta_{k_0+1} \otimes \eta_{k_0+2} \otimes \ldots$$

for each decomposable vector  $\underset{k}{\otimes} \eta_k$ . In certain special situations, the sequence  $\{x_1 \otimes \ldots \otimes x_k \otimes 1\}_{k \in \mathbb{N}}$  is so-convergent to a bounded linear operator on  $\underset{k}{\otimes} (\mathscr{H}_k, \xi_k)$  which is then denoted by  $\underset{k}{\otimes} x_k$ .

For each  $k \in \mathbb{N}$  consider also a von Neumann algebra  $\mathcal{M}_k \subset \mathcal{B}(\mathcal{H}_k)$ . Then

(5) 
$$\bigotimes_{k} (\mathcal{M}_{k}, \, \xi_{k}) = \{x_{1} \otimes \ldots \otimes x_{k} \otimes 1; \, x_{1} \in \mathcal{M}_{1}, \, \ldots, \, x_{k} \in \mathcal{M}_{k}, \, k \in \mathbb{N}\}^{\prime \prime} \subset$$

$$\subset \mathcal{B}(\bigotimes (\mathcal{H}_{k}, \, \xi_{k}))$$

is a von Neumann algebra, called the infinite tensor product of the von Neumann algebras  $\mathcal{M}_k \subset \mathcal{B}(\mathcal{H}_k)$  along the vectors  $\xi_k \in \mathcal{H}_k$ .

On the other hand, for each  $k \in \mathbb{N}$ , let  $\mathcal{M}_k$  be a  $W^*$ -algebra and let  $\varphi_k$  be a normal state on  $\mathcal{M}_k$ . Let  $\pi_k \colon \mathcal{M}_k \to \pi_k(\mathcal{M}_k) \subset \mathcal{B}(\mathcal{H}_k)$  be the normal cyclic \*-representation of  $\mathcal{M}_k$  associated with  $\varphi_k$ , with the cyclic vector  $\xi_k \in \mathcal{H}_k, \|\xi_k\| = 1$ , i.e.  $\varphi_k(x) = (\pi_k(x) \xi_k \mid \xi_k)$  for every  $x \in \mathcal{M}_k$   $(k \in \mathbb{N})$ . Then the von Neumann algebra

(6) 
$$\underset{k}{\otimes} (\mathcal{M}_k, \varphi_k) = \underset{k}{\otimes} (\pi_k(\mathcal{M}_k), \xi_k)$$

is called the *infinite tensor product of the W\*-algebras*  $\mathcal{M}_k$  along the normal states  $\varphi_k$  on  $\mathcal{M}_k$ . An alternative equivalent definition is given in [204], 4.4.

If all the  $\mathcal{M}_k$  are factors, then  $\bigotimes_k (\mathcal{M}_k, \, \xi_k)$  and  $\bigotimes_k (\mathcal{M}_k, \, \varphi_k)$  are also factors.

Assume that each  $\mathcal{M}_k$  is a finite discrete factor, say of type  $I_{n_k}$   $(1 < n_k < +\infty)$  and denote by  $tr_k$  the canonical trace on  $\mathcal{M}_k$   $(tr_k(1) = n_k)$ . For any (normal) state  $\varphi_k$  on  $\mathcal{M}_k$  there exists a unique positive element  $a_k \in \mathcal{M}_k$  such that  $\varphi_k = tr_k(a_k \cdot)$ . We shall denote by

(7) 
$$Sp(\varphi_k/\mathcal{M}_k) = \{\lambda_{k,1} \geqslant \lambda_{k,2} \geqslant \ldots \geqslant \lambda_{k,n_k}\}$$

the set of eigenvalues of  $a_k$ , each repeated according to its multiplicity; note that  $\lambda_{k,1}+\ldots+\lambda_{k,n_k}=1$ . In this case, the factor  $\bigotimes(\mathscr{M}_k,\varphi_k)$  is called an Araki-Woods factor, or an ITPFI-factor (ITPFI = infinite tensor product of factors of type I), and the set  $\{\lambda_{k,j}; 1 \le j \le n_k, k \in \mathbb{N}\}$  is called the eigenvalue list of  $\bigotimes(\mathscr{M}_k,\varphi_k)$ . The \*-isomorphy class of an ITPFI-factor depends only on its eigenvalue list.

In particular, assume that all  $\mathcal{M}_k$  are factors of type  $I_2$ . Then  $Sp(\varphi_k|\mathcal{M}_k) = \{q_k, p_k\}$  with  $q_k \ge p_k \ge 0$  and  $p_k + q_k = 1$ , and the \*-isomorphism class of  $\bigotimes(\mathcal{M}_k, \varphi_k)$  depends only on  $\lambda_k = p_k/q_k \in [0, 1]$   $(k \in \mathbb{N})$ . We shall denote this factor by

$$\mathscr{R}(\{\lambda_k\}_{k\in\mathbb{N}}) = \underset{k}{\otimes} (\mathscr{M}_k, \varphi_k).$$

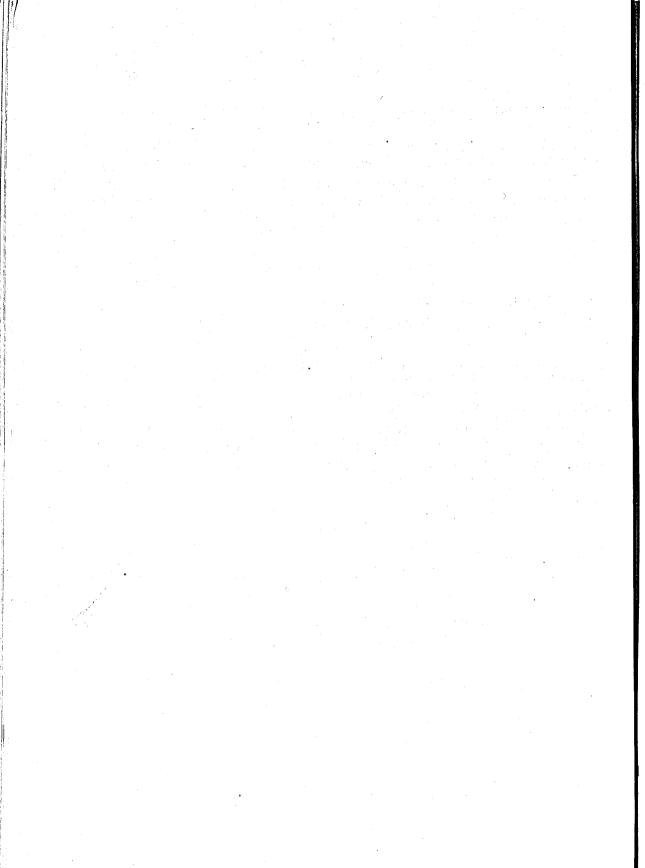
If  $\lambda_k = \lambda \in (0,1]$  for all  $k \in \mathbb{N}$ , then

$$\mathscr{R}_{\lambda} = \bigotimes_{k} (\mathscr{M}_{k}, \varphi_{k})$$

is called the *Powers factor* corresponding to  $\lambda \in (0,1]$ . For  $\lambda = 1$ ,  $\mathcal{R}_1$  is nothing but the hyperfinite type  $II_1$  factor  $\mathcal{R} \approx \mathfrak{L}(S(\infty))$  (see 10.31, 22.6, 6, 22.16).

For details concerning the construction of infinite tensor products we refer to [9], [19], [20], [36], [99], [171], [204], [220].

A.18. Notes. The material contained in Sections A.12—A.14 is due to van Daele [66]. Proposition A.9 is a result due to Kato (cf. [215]). The other results in this Appendix are classical. For our exposition we have used [9], [10], [19], [20], [66], [91], [99], [128], [134], [135], [137], [171], [187], [193], [204], [215], [220], [236], and [244].



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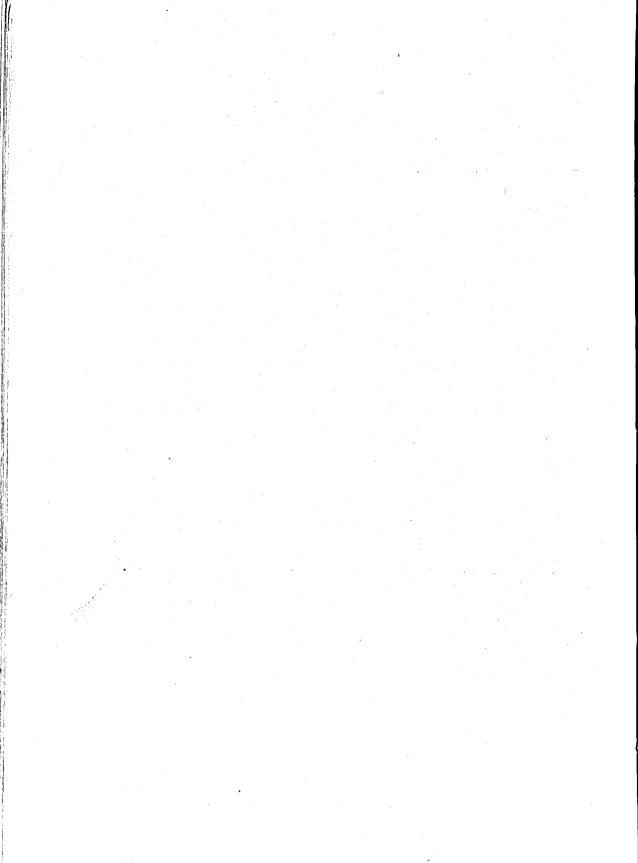
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## **Notation Index**

### General notation:

- IN, Z, Q, R, C, T: the usual number sets (natural, integral, rational, real, complex, complex unimodular)
- 1: the unit element of an algebra; whenever it appears in a tensor product of algebras, it stands for the scalar algebra C·1
- t: the identity mapping
- $\mathcal{A}(G)$  (18.7);  $\mathfrak{A}_{\varphi}$  (2.12);  $\mathfrak{A}'_{\varphi}$  (2.12);  $A_{\tau, u}$  (27.4);  $\mathrm{Ad}(u)$  (2.23);  $\mathrm{Ad}(\sigma)$  (15.15);  $\mathrm{ad}(\delta)$  (15.15);  $\mathrm{Aut}(\mathcal{M})$  (2.23);  $\mathrm{Aut}_{\varphi}(\mathcal{M})$  (2.25);  $\mathrm{Aut}(F^{\mathcal{M}})$  (25.1)
- $\mathbb{B} (28.10); \, \mathcal{B}_{w}(\mathcal{M}) (2.23); \, \mathcal{B}(\mathcal{X}, \mathcal{Y}) (13.1); \, \mathcal{B}_{w}(\mathcal{X}, \mathcal{Y}) (13.1); \, \mathcal{B}_{w}(\mathcal{X}, \mathcal{Y})_{*} (13.1); \, \mathcal{B}(\mathcal{F}^{\mathcal{M}}) (26.1)$
- c(a, b) (20.2); c(e, f) (17.15);  $c_{\varphi}(\psi)$  (24.2); co (convex hull);  $\overline{co}^{w}$  (w-closed convex hull)
- D(A), Dom(A) (domain of an operator, A.1);  $D(\mathcal{H}, \psi)$  (7.1);  $d(\varphi_1, \varphi_2)$  (6.10); dg, dy (18.8);  $d\mu/d\nu$  (Radon-Nikodym derivative);  $[DF: DE]_t$  (11.15);  $[D\psi: D\varphi]_t$  (3.1)  $[D\psi: D\varphi]_c$  (26.3)
- $E_{\mathcal{X}}^{\varphi}$  (9.8, 12.18);  $E_{\varphi}^{\mathcal{X}}$  (10.4);  $e_n(4.4)$ ;  $e_n(A)$  (A.1);  $\{e_{ij}\}_{1 \leq i}, j \leq n$  (system of matrix units, 3.2);  $\mathcal{M}_{exp}^{\sigma}$  (11.10)
- F (18.8);  $\mathscr{F}(\sigma)$  (16.2);  $\mathscr{F}_n$  (the type  $I_n$  factor);  $F_k$  (22.6);  $F_{\lambda} = F_{\lambda}^{\mathcal{M}}$  (24.1);  $\mathscr{F}_{\lambda}^{\mathcal{M}}$  (24.9);  $\mathscr{F}_E$  (11.5);  $\mathscr{F}_{\omega}$  (1.15)
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- $\mathcal{M}(G)$  (13.2);  $\mathfrak{M}_{\varphi}$  (1.1);  $\mathfrak{M}_{E}$  (11.5);  $\mathbf{m}(g)$  (18.8);  $\mathbf{m}(\gamma)$  (18.9);  $mod(\sigma)$  (25.1);  $Mat_{2}(\mathcal{M})$  (matrix algebra over  $\mathcal{M}$ , 3.1, 3.3)
- $\mathfrak{N}(E)$  (10.17);  $\mathfrak{N}_{\varphi}(1.1)$ ;  $\mathfrak{N}_{E}$  (11.5)
- $\mathfrak{o}_{\mathcal{M}}$  (3.2);  $Out(\mathcal{M})$  (3.2)

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P_{\delta} (18.19, 19.7); P_{\sigma} (18.20), 19.16); P_{\hat{\sigma}} (19.8, 22.2); P_{\omega} (24.6) (\mathcal{P}_{\mathcal{M}}, F^{\mathcal{M}}) (25.1);
       \mathscr{P}_{\lambda}(22.16); \mathfrak{P}_{\varphi}(2.23); p_{u}(\cdot) (14.10); p(\sigma) (17.2); p_{\varphi}(24.5); p_{\sigma}(25.1); PV \setminus (A.12);
        Proj(\mathcal{M}) (the projection lattice of \mathcal{M}; p_{\xi} (cyclic projection); P(\mathcal{M}, \mathcal{N}) (11.5).
Q(\sigma; E) (15.5); q(\sigma; E) (15.5); q_i^{\sigma}(15.6); q_{\infty}^{\sigma} (15.6)
\mathcal{R}(G)\ (18.4);\ R_{\eta}^{0},\ R_{\eta}(2.12);\ \mathcal{R}(\mathcal{M},\delta)\ (19.1);\ \mathcal{R}(\mathcal{M},\sigma)\ (19.1,22.1)\ \mathrm{R}_{\eta}^{\psi}\ (7.1);\ \mathbb{R}_{d}\ (28.10);
       \mathcal{R}_{\lambda} (A.17); \mathcal{R}(\{\lambda_k\}_{k\in\mathbb{N}}) (A.17); r(\varphi, \psi) (23.17); r(G) (28.6); r(\varphi) (4.19); r(x)
        (right support of an element, [L]), \mathcal{R}\{\mathcal{X}\} (von Neumann algebra generated by \mathcal{X})
S(\mathcal{M}) (18.2); \mathfrak{S}_{\varphi} (6.5); S_{\varphi}^{0}, S_{\varphi} (2.12); S_{\psi,\varphi}^{0}, S_{\psi,\varphi} (3.11); S_{t}^{\varphi} (7.6); S_{p} U (14.5);
        Sp_{n}(x) (14.2); Sp(x), Sp_{n}(x) (usual spectrum, 14.6); Sp(\varphi_{k}|\mathcal{M}_{k}) (A.17);
        \hat{S}(\infty)(22.6); s(e,f)(17.15); s(\phi)(2.1,4.19); s(E)(11.5); s(x) (support of an
        element); \mathscr{S}(A) (\mathscr{S}_A, [L, 9.9)])
T(\mathcal{M}) (27.1); \mathfrak{T}_{\varphi} (2.12); \mathscr{F}'_{\varphi} (2.4); T_{f}^{\sigma} (18.21); T_{a}^{\sigma} (22.1); tr (the canonical trace
        on \mathscr{B}(\mathscr{H})
U(\mathcal{H}) (2.23); U(\mathcal{M}) (3.2); u_{\sigma} (2.23)
 V_G (18.4); V_{\psi,\varphi} (3.16)
 W_G (18.4); W_n(\mathcal{M}) (§23); W_{ns}(\mathcal{M}) (§23); W_{nsf}(\mathcal{M}) (6.9), §23); W_{int}(\mathcal{M}) (24.1);
         W_{int}^{\infty}(\mathcal{M}) (24.1); W(\omega) (25.4); W(H,K) (30.8)
Z_{\sigma}(G; \mathcal{M}) (5.1, 20.1); Z_{\sigma}(G; U(\mathcal{M})) (20.3); Z(F^{\mathcal{M}}) (26.1); Z(\mathcal{J}) (14.1); \mathscr{Z}(\mathcal{M})
         (centre of \mathcal{M}).
\Gamma(\sigma) (16.1); \Gamma(\mathcal{M}) (30.10)
\Delta_G (18.4); \Gamma_{\sigma} (2.12); \Delta_{\psi\sigma} (3.11); \Delta(\phi/\psi) (7.3)
\delta_G(18.7); \delta_G^*(18.7); \delta_{\mathcal{M}}(3.2, 26.4); \delta_x, \delta_a(10.29, 15.13); \delta_t^s (Kronecker symbol, 22.1)
\partial = \partial_{\sigma} (25.3); \partial: U(\mathscr{P}_{\mathscr{M}}) \to Z(F^{\mathscr{M}}) (26.1)
 \nabla_{G} (18.4)
 \chi_I (characteristic function of I)
 A_f (18.22)
 λ (18.4)
 \mu_{G} (18.4)
 v_{o} (24.7)
 \pi_G (18.5); \pi_{\omega} (1.2); \pi_{\sigma}(18.6); \pi_{\omega}^{\delta} (18.10)
 ρ (18.4)
  \sum \psi_n (23.15)
 \sigma_t^{\varphi} (2.12); \sigma_c^{\varphi} (26.3); \sigma_a^{\varphi} (2.14); \sigma_t^{E} (11.15); \sigma_t^{\psi, \varphi} (3.10); \sigma_a^{\psi, \varphi} (3.12); \sigma(\mathcal{X}, \mathcal{Y}) (weak
 topology defined by \mathscr{Y} on \mathscr{X})
 \theta(\varphi,\psi) (3.1)
 \tau_{\alpha} (24.6)
 \omega_G (18.4)
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### Other symbols:

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\approx: isomorphism
\sim: e \sim f (equivalence of projections); \sigma \sim \tau (15.11); (\mathcal{M}, \sigma) \sim (\mathcal{N}, \tau) (20.13);
      a \sim b \ (20.2); \ \sim : \mathcal{H} \ \overline{\otimes} \ \mathcal{H} \rightarrow \mathcal{K} \ \overline{\otimes} \ \mathcal{H} \ (18.1); \ \sim : \mathcal{M} \ \overline{\otimes} \ \mathcal{N} \rightarrow \mathcal{N} \ \overline{\otimes} \ \mathcal{M} \ (18.1)
\approx: a \approx b (20.2); \psi \approx \varphi (23.1)
\lesssim : a \lesssim b (20.2); \psi \lesssim \varphi (23.1)
\leq: A \leq B (A.4); \varphi_2 \leq \varphi_1 (\lambda) (6.9)
<: H < K (30.4)
^: Fourier transform \hat{f}, \hat{\mu} (14.1), \hat{\xi} (18.8), \hat{f} (28.1), \hat{x}(k) (16.17), \hat{x}(\gamma) (21.3);
      dual group \hat{G} (14.1); dual homomorphism \hat{\varphi} (14.9); dual action \hat{\sigma} (19.3), \hat{\delta} (19.4);
      dual weight \hat{\varphi} (19.8, 91.17); E^{\uparrow}(1.6)
 \dot{b} (20.7); \dot{\phi} (23.15)
*: predual space \mathcal{M}_* (A.16); \mathcal{B}_w(\mathcal{X}, \mathcal{Y})_* (13.1); convolution (18.4), 18.22)
#: involution (18.4, 18.22)
+: positive part \mathcal{X}^+, \mathcal{X}_+^* (1.6); \overline{\mathcal{M}}^+ (11.1); sum A + B (A.11)
  : closure \overline{A}, \overline{q} (A.9); \overline{\mathcal{M}}^+ (11.1); \overline{t} (26.2)
\perp: E<sup>1</sup> (16.4); H<sup>1</sup> (21.5); orthogonality
4: canonical centre valued trace (12.14)
[]: full group [G] (17.3); matrix [x_{ii}]
 \cdot : \varphi(\cdot a), \varphi(a \cdot) (2.13); h \cdot k, \varphi \cdot k (18.3); k(\cdot) (18.7)
   : k^0 (18.3)
    : \varphi' (2.12); commutant
  \uparrow: A_k \uparrow A \text{ (A.5)}
\infty: \mathcal{M}^{\varphi}_{\infty} (2.15)
 \times : G \times_{\sigma} T (22.10)
⊗: various tensor products (3.9, 8.1, 8.2, 9.4, 12.8, 20.4, A.17, [L], [204], [236],
       [244]); \xi \otimes \bar{\eta} (4.23)
|| || : ||x||_{\varphi}, ||x||_{\varphi}^{\#} (1.2, 7.20); ||x||_{1}, ||x||_{2} (17.17)
(|): (a | b)_{\omega} (1.2)
\langle , \rangle : \langle t, \gamma \rangle (14.1); \langle x, \varphi \rangle (18.1)
(,): [H, K) (30.8)
juxtaposition: \varphi_a (4.1); \varphi_A (4.4); A_{\varepsilon} (A.1); _a\sigma (20.1); a^p (20.1); a_{\varphi} (1.2); \sigma^e (15.4);
                           \varphi_e (2.21); \mathcal{M}_e (reduced algebra); \mathcal{M}^{\varphi} (2.21); \mathcal{M}^a (20.1); \mathcal{N}^{\lambda} (25.7);
                           U_{\mu}, U_{f} (13.2); \varphi_{\nu} (2.21); \mathscr{X}^{U} (14.3); \mathscr{X}(U; E) (14.3); \mathscr{X}_{0} (U; E) (14.3);
                           \mathscr{X}_{00}(U;E) \ (14.3)
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