

# Transcendental $L^2$ -Betti numbers Atiyah's question

Thomas Schick

Göttingen

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## Definition (Atiyah)

$M$  = closed Riemannian manifold,  $\pi_1(M) = \Gamma$ , universal covering  $\tilde{M}$  ( $M = \tilde{M}/\Gamma$ ) with fundamental domain  $F$ .

$L^2$ -Betti numbers := normalized dimension (space of  $L^2$ -harmonic forms):  
 $pr: L^2\Omega^k(\tilde{M}) \rightarrow L^2\Omega^k(\tilde{M})$  be orthogonal projection onto the space of harmonic  $L^2$ -forms =  $\ker(\Delta)$ . It has a smooth integral kernel, and

$$b_{(2)}^k(\tilde{M}; \Gamma) := \int_F \text{tr}_x pr(x, x) dx.$$

(Here: use a lifted Riemannian metric).

- $\Gamma = 1$  or more generally  $|\Gamma| < \infty$ :

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- harmonic 0-forms are constant functions which are not  $L^2$  if  $\tilde{M}$  is non-compact, therefore

$$b_{(2)}^0(\tilde{M}; \Gamma) = 0; \quad \text{if } |\Gamma| = \infty.$$

- **Multiplicative under coverings.** If  $M'$  is a finite covering of  $M$  with fundamental group  $\Gamma' \subset \Gamma$ , then  $\tilde{M}$  is the universal covering also of  $M'$  and

$$b_{(2)}^k(\tilde{M}; \Gamma') = [\Gamma : \Gamma'] b_{(2)}^k(\tilde{M}; \Gamma).$$

- in particular, if  $M$  covers itself non-trivially (like the torus  $T^n$ ) then  $b_{(2)}^k(\tilde{M}; \Gamma) = 0$  for all  $k$ .

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- $b_{(2)}^k(\overline{M}, \Gamma)$  only depends on the homotopy type of  $M$ .

# Euler characteristic

A special case of Atiyah's  $L^2$ -index theorem states

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Lück's (and others) precise reformulation:

- $b_{(2)}^k(\tilde{M}; \Gamma) \in \mathbb{Q}$ .
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- If  $\Gamma$  is torsion-free then  $b_{(2)}^k(\tilde{M}; \Gamma) \in \mathbb{Z}$ .
- Let  $A_\Gamma \subset \mathbb{Q}$  be the additive subgroup generated by  $1/|F|$  where  $F$  runs through the finite subgroups of  $\Gamma$ . Then  $b_{(2)}^k(\tilde{M}; \Gamma) \in A_\Gamma$ .
- the above assertion, but only if there is a bound on the orders of finite subgroups of  $\Gamma$ .

# Generalization

Instead of working with the universal covering with action of  $\Gamma$  by deck transformations, one can use any normal covering  $\bar{M} \rightarrow M$  with deck transformation action by  $\pi$  (and  $M = \bar{M}/\pi$ ).

One then gets

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**Remark:**

- universal covering —  $\Gamma = \pi_1(M)$  —  $\Gamma$  finitely presented
- general normal covering — arbitrary quotient of  $\pi_1(M)$  — group finitely generated

# Combinatorial description

$K =$  finite simplicial (or CW-) complex (e.g. triangulation of  $M$ ). Let  $\tilde{K} =$  induced cell decomposition of universal covering.

Consider the cellular cochain complex, and the subcomplex of square summable cochains.

The combinatorial  $L^2$ -cohomology is its reduced cohomology

$$H_{(2)}^k(\tilde{K}; \Gamma) := \ker(d) / \overline{\text{im}(d)} \cong \ker(d^*d + dd^*).$$

$\Gamma$  acts simplicially and freely on  $\tilde{K}$ :

$$C_{(2)}^k(\tilde{K}) \cong \bigoplus_{d_k} l^2(\Gamma),$$

$d_k =$  numer of  $k$ -cells in  $K$ .

Under this identification, differential  $d_k: C_{(2)}^k \rightarrow C_{(2)}^{k+1}$  is left (convolution) multiplication with a matrix  $A$  over  $\mathbb{Z}[\Gamma]$ .

# Example: $S^1$

$K = S^1$ , with one 0-cell and one 1-cell. Then  $\tilde{K} = \mathbb{R}$ ,  $\Gamma = \mathbb{Z}$  with one orbit  $\{0\} \times \mathbb{Z}$  of 0-cells and one orbit  $[0, 1] \times \mathbb{Z}$  of 1-cells. We obtain cellular  $L^2$ -cochain complex

$$l^2(\mathbb{Z}) \xrightarrow{z-1} l^2(\mathbb{Z})$$

( $z$  the generator of  $\mathbb{Z}$ ).

# Combinatorial $L^2$ -Betti numbers

$pr \in B(C_{(2)}^k(\tilde{K})) \cong B(l^2(\Gamma)^{n_k})$  := orthogonal projection onto  $\ker(d^*d + dd^*)$ .

This is a measurable function of  $d^*d + dd^* \in M_{n_k}(\mathbb{C}\Gamma) \subset B(l^2\Gamma^{n_k})$ , therefore lies in the von Neumann closure  $M_n(\mathbb{C}) \otimes L\Gamma$ .

This is a finite von Neumann algebra with trace  $\tau = Tr \otimes \tau_e$  ( $\tau_e(f) = \langle f(\delta_e), \delta_e \rangle_{l^2\Gamma}$  the standard trace on  $L\Gamma$ ).

Define  $b_{(2)}^k(\tilde{K}, \Gamma) := \tau(pr) := \dim_{\Gamma}(\ker(d^*d + dd^*))$ .

Theorem (Dodziuk's  $L^2$ -Hodge-de Rham theorem)

*Analytic and combinatorial  $L^2$ -Betti numbers of a closed manifold coincide.*



# Algebraic reformulation of the Atiyah conjecture

If  $\Gamma$  is finitely presented, for every matrix  $A$  over  $\mathbb{Z}[\Gamma]$  one can construct a closed  $M$  with  $\pi_1(M) = \Gamma$  and such that  $A^*A$  is a combinatoral Laplacian. Therefore, equivalent to the above Atiyah conjecture is:

- $\dim_{\Gamma}(\ker(A^*A)) \in \mathbb{Q}$  for all  $A \in M_n(\mathbb{Z}\Gamma)$
- $\dim_{\Gamma}(\ker(A^*A)) \in \mathbb{Z}$  if  $\Gamma$  is torsion-free
- $\dim_{\Gamma}(\ker(A^*A)) \in A_{\Gamma}$  for general  $\Gamma$ .

If  $\Gamma'$  is finitely generated (but not finitely presented), one can still construct  $M$  with a  $\Gamma'$ -covering such that  $A^*A$  is a combinatoral of this covering.

$$\begin{array}{ccccccc}
 \mathbb{Z}[\Gamma] & \longrightarrow & \mathbb{C}[\Gamma] & \longrightarrow & C_{red}^*\Gamma & \longrightarrow & L\Gamma \\
 \downarrow & & \downarrow & & \downarrow & & \downarrow \\
 D_{\mathbb{Q}}\Gamma & \longrightarrow & D_{\mathbb{C}}\Gamma & \longrightarrow & & \longrightarrow & U\Gamma
 \end{array}$$

$U\Gamma$  is the algebra of *affiliated operators*, i.e. densely defined operators on  $l^2(\Gamma)$  all whose spectral projections belong to  $L\Gamma$  (needs that  $L\Gamma$  is finite to define addition and multiplication).  $D_{\mathbb{Q}}\Gamma$  is the division closure: the smallest subalgebra of  $U\Gamma$  containing  $\mathbb{Q}\Gamma$  and closed under taking inverses in  $U\Gamma$ .

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We are interested in special projections in  $L\Gamma$ , namely kernel projectors for  $A \in \mathbb{Z}\Gamma$ . Without this condition, always projections in  $L\Gamma$  with arbitrary trace exist. On the other hand, in  $C^*\Gamma$ , and certainly in  $\mathbb{Q}\Gamma$  almost no traces exist.

In some sense this is non-commutative algebraic geometry: understand solution sets of non-commutative polynomial equations.

# Example

Specialize to  $\Gamma = \mathbb{Z}$ . Then we obtain (via the Fourier transform isomorphism) the diagram

$$\begin{array}{ccccccc} \mathbb{Z}[z, z^{-1}] & \longrightarrow & \mathbb{C}[z, z^{-1}] & \longrightarrow & C(S^1) & \longrightarrow & L^\infty(S^1) \\ \downarrow & & \downarrow & & \downarrow & & \downarrow \\ \mathbb{Q}(z) & \longrightarrow & \mathbb{C}(z) & \longrightarrow & & \longrightarrow & \{f: S^1 \xrightarrow{\text{measurable}} \mathbb{C}\}. \end{array}$$

## Theorem (Linnell)

*If  $\Gamma$  is torsion free, then the Atiyah conjecture for  $\Gamma$  holds if and only if  $D_{\mathbb{Q}}\Gamma$  is a skew field.*

*In this case, the  $L^2$ -Betti numbers are dimensions over this skew-field (and therefore integers).*

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## Theorem (Knebusch-Linnell-Schick)

*If  $\Gamma$  has a bound on the orders of finite subgroups, then the Atiyah conjecture (slightly refined) holds if and only if  $D_{\mathbb{Q}}\Gamma$  is a (certain) finite direct sum of matrix algebras over skew fields (number and size given by the lattice of finite subgroups).*

The Atiyah conjecture is known for the following groups with bound on the order of finite subgroups

- for  $\mathbb{Z}^n$  (folklore)
- for free groups (Linnell)
- for (extensions of free by) elementary amenable groups (Linnell)
- for residually torsion-free elementary amenable groups (S.)
- for braid groups (Linnell-S.)
- for congruence subgroups of  $Sl(n, \mathbb{Z})$  (Farkas-Linnell)

With bound on the orders of finite subgroups, there is no known counterexample.

# Negative results

Let  $\Gamma = (\bigoplus_{\mathbb{Z}} \mathbb{Z}/2) \rtimes \mathbb{Z}$  be the lamplighter group.

Then “the” Markov operator

$$A = \sum_{g \in \mathbb{Z}/2} gt + t^{-1} \sum_{g \in \mathbb{Z}/2} g \in \mathbb{Q}\Gamma$$

satisfies

$$\dim_{\Gamma}(\ker(A)) = \frac{1}{3}.$$

In particular, the strong Atiyah conjecture does not hold for  $\Gamma$  (because all its finite subgroups of  $\Gamma$  are 2-groups).



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First proof by Grigorchuk-Zuk. Later, Dicks-S. generalize to other groups. Moreover, a complete diagonalization with explicit computation of eigenspaces is given.

Indeed, the structure of the operator (and the group) lets the kernel break up as a sum of countably many contributions whose  $L^2$ -dimensions adds up to  $1/3$ .

One gets a contribution for each finite connected subgraph (containing the origin) of the line, the Cayley graph of the quotient group  $\mathbb{Z}$ . More precisely, we have to understand a chosen rational eigenvalue of the graph Laplacian.

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Lehner-Neuhauser-Woess show that the same applies if  $\mathbb{Z}$  is replaced by any other group.

# Curious example

Dicks-S. construct another example with

$$\dim_{\Gamma}(\ker(A^*A)) = b_{(2)}^k(\tilde{M}; \Gamma) = \sum_{k=1}^{\infty} \frac{\phi(k)}{(2^k - 1)^2}.$$

**Question:** is this real number rational?  
(if so, the denominator is larger than  $10^{100}$ ).

The lamplighter group does not admit a finite presentation. However, there is an easy induction principle: if  $\Gamma \subset H$ , also  $\mathbb{Z}[\Gamma] \subset \mathbb{Z}[H]$ . The von Neumann dimension of the kernel of  $a \in M_n(\mathbb{Z}\Gamma)$  remains the same if we consider it via this embedding as element of  $M_n(\mathbb{Z}[H])$ . The lamplighter group can easily (and explicitly) be embedded into a finitely presented group which is 2-step solvable and we work with that one.

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More generally, every group with a recursive presentation can (by Higman's embedding result) be explicitly embedded into a finitely presented group.

# Tim Austin's idea I

Continue to work with wreath products  $\oplus_H \mathbb{Z}/2 \rtimes H$ . But change from the usual Markov operator to a better operator:

Via Fourier transform in the abelian base group  $\oplus_H \mathbb{Z}/2$  we have to look at pointwise multiplication operators acting on  $L^2(\prod_H \mathbb{Z}/2)$  (rational linear combinations of characteristic functions of cylinder sets). Then useful operators are sums of such multiplication ops composed with translation by the generators of  $H$ .

Result: for  $H$  free get contributions only from (certain) locally determined paths in the Cayley graph whose graph Laplacian can be understood.

## Tim Austin's idea II

This is still not good enough, as the contributions stack up to regularly and one gets probably still rational  $L^2$ -Betti numbers.

Change the base group  $\oplus_H \mathbb{Z}/2$  to

$$(\oplus_H \mathbb{Z}/2) / V; \quad \Gamma_V = (\oplus_H \mathbb{Z}/2) / V \rtimes H$$

for an  $H$ -invariant sub-vector space  $V$ : then we can still form the semidirect product. In the dual picture, we pass to a subgroup of the dual group. Explicit eigenspace calculations are still possible: we get the same with slightly shifted weights.



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However, in Austin's work the combinatorics was too complicated to really carry out the calculations. Still, he has estimates to see: using different  $V$ , there is a Cantor set (i.e. uncountably many) different  $L^2$ -Betti numbers, among them therefore transcendental ones.

**Problem:** The groups Austin produces can not be embedded into finitely presented groups (counting argument: in total there are only countably many matrices over the integral group rings of finitely presented groups!)

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## Theorem (Pichot-S.-Zuk)

*Taking up the basic construction of Austin, but with a couple of crucial improvements to make explicit calculations possible:*

- 1 *with finite generated groups, every non-negative real number is an  $L^2$ -Betti number*
- 2 *with finitely presented groups, there are explicit transcendental  $L^2$ -Betti numbers (like  $e, \pi, \dots$ ), also every algebraic number is an  $L^2$ -Betti number*
- 3 *these examples can be realized with solvable groups.*

**Grabowski** developed the Dicks-S. ideas in a different direction, combined with algebraic implementations of Turing machines, to obtain the same results as above with different groups (which are slightly easier).

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- All the examples have arbitrarily large finite subgroups. What about the torsion-free case?
- What precisely is the set of real numbers obtained as  $L^2$ -Betti numbers for finitely presented groups (i.e. for universal coverings)? It is known that this set is countable, and there are some weak computability restrictions.