

# Complete invariants for complex semisimple Hopf algebras

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## Abstract

We obtain a complete (and finite) list of isomorphism invariants of complex semisimple Hopf algebras of a fixed dimension. We do this by proving a generalisation of a theorem due to Procesi and Razmyslov (which, in turn, was used to prove Artin's conjecture).

## 1 Introduction

In this paper, we consider the problem of distinguishing two complex semisimple Hopf algebras of dimension  $n$  specified in terms of their structure constants with respect to some bases. As a solution to this problem, we give a finite list of polynomials in the structure constants that are isomorphism invariant and that distinguish the isomorphism classes.

The methods are those of classical invariant theory [Wyl] supplemented by the diagrammatic formalism of Hopf algebras due to Kuperberg [Kpr] as expounded by Kauffman and Radford [KfRdf]. We also rely on the theorem of Stefan [Stf] that there are only finitely many complex semisimple Hopf algebras of any fixed dimension.

In §2 we show that complex semisimple Hopf algebras (of dimension  $n$ ) form a nonsingular subvariety of the variety of complex bialgebras (of dimension  $n$ ) and that polynomial invariants separate their isomorphism classes. We devote §3 - which is self contained - to a proof of a result in invariant theory that generalises the Procesi-Razmyslov theorem proving Artin's conjecture. §4 describes the finite list of polynomial invariants which distinguish Hopf algebras. A final §5 contains some remarks, examples and questions.

## 2 The variety of semisimple Hopf algebras

For the rest of this paper, we fix a positive integer  $n$  which will be the dimension of the bialgebras and Hopf algebras that we consider. Let  $V$  be a complex vector space of dimension  $n$  and  $v_1, v_2, \dots, v_n$  be a fixed basis of  $V$ .

A bialgebra structure on  $V$  is specified by giving its structure constants with respect to this basis. With the usual notations  $\mu, \Delta, \eta$  and  $\epsilon$  for the multiplication, comultiplication, unit and counit maps respectively, a bialgebra structure on  $V$  is specified by giving complex numbers  $\mu_{jk}^i, \Delta_i^{jk}, \eta^i$  and  $\epsilon_i$  - here and in the sequel, all indices range from 1 to  $n$  and we will use the Einstein summation convention where each index that occurs as an ‘upper’ index and a ‘lower’ index in a product is summed over its range - that satisfy the following equations:

$$\begin{aligned}
 \mu_{jk}^t \mu_{tl}^i &= \mu_{kl}^t \mu_{jt}^i \\
 \Delta_i^{jt} \Delta_t^{kl} &= \Delta_i^{tl} \Delta_t^{jk} \\
 \eta^t \mu_{it}^j &= \delta_i^j = \eta^t \mu_{ti}^j \\
 \Delta_i^{tj} \epsilon_t &= \delta_i^j = \Delta_i^{jt} \epsilon_t \\
 \mu_{ij}^t \Delta_t^{kl} &= \Delta_i^{pq} \Delta_j^{rs} \mu_{pr}^k \mu_{qs}^l \\
 \eta^t \Delta_t^{ij} &= \eta^i \eta^j \\
 \mu_{ij}^t \epsilon_t &= \epsilon_i \epsilon_j \\
 \eta^t \epsilon_t &= 1
 \end{aligned}$$

Thus the bialgebra structures on  $V$  form an affine variety  $B \subseteq \mathbb{A}_{\mathbb{C}}^d$  where  $d = 2n^3 + 2n$ .

These equations are easier to appreciate in the symbolic notation due to Kuperberg [Kpr] as explained in Kauffman and Radford [KfRdf]. We will give a very brief summary of this. The bialgebra structure maps are represented as:

$$\begin{array}{c} \swarrow \\ \mu \rightarrow \\ \nearrow \end{array} \rightarrow \begin{array}{c} \rightarrow \Delta \\ \swarrow \\ \searrow \end{array} \quad \eta \rightarrow \quad \text{and} \quad \rightarrow \epsilon ,$$

while the equations defining the variety  $B$  are symbolically written:

$$\begin{array}{c} \swarrow \\ \rightarrow \mu \rightarrow \\ \nearrow \end{array} \begin{array}{c} \rightarrow \mu \\ \rightarrow \\ \nearrow \end{array} \rightarrow = \begin{array}{c} \rightarrow \mu \\ \rightarrow \\ \nearrow \end{array} \begin{array}{c} \swarrow \\ \rightarrow \mu \\ \nearrow \end{array} \rightarrow \quad (2.1)$$

$$\begin{array}{c} \nearrow \\ \rightarrow \Delta \rightarrow \Delta \rightarrow \\ \searrow \end{array} = \begin{array}{c} \rightarrow \Delta \rightarrow \Delta \rightarrow \\ \searrow \end{array} \quad (2.2)$$

$$\begin{array}{c} \searrow \\ \eta \rightarrow \mu \rightarrow \\ \nearrow \end{array} = \rightarrow = \begin{array}{c} \eta \rightarrow \mu \rightarrow \\ \nearrow \end{array} \quad (2.3)$$

$$\begin{array}{c} \rightarrow \Delta \rightarrow \epsilon \\ \searrow \end{array} = \rightarrow = \begin{array}{c} \rightarrow \Delta \rightarrow \epsilon \\ \nearrow \end{array} \quad (2.4)$$

$$\begin{array}{c} \searrow \\ \mu \rightarrow \Delta \\ \nearrow \end{array} = \begin{array}{c} \rightarrow \Delta \rightarrow \mu \rightarrow \\ \times \\ \rightarrow \Delta \rightarrow \mu \rightarrow \end{array} \quad (2.5)$$

$$\begin{array}{c} \nearrow \\ \eta \rightarrow \Delta \\ \searrow \end{array} = \begin{array}{c} \eta \rightarrow \\ \eta \rightarrow \end{array} \quad (2.6)$$

$$\begin{array}{c} \searrow \\ \mu \rightarrow \epsilon \\ \nearrow \end{array} = \begin{array}{c} \rightarrow \epsilon \\ \rightarrow \epsilon \end{array} \quad (2.7)$$

$$\eta \rightarrow \epsilon = 1. \quad (2.8)$$

Equations (2.1) - (2.8) are to be interpreted thus. Each is an equality of one or more ‘pictures’. A picture with  $k$  inputs and  $l$  outputs represents a map from  $V^{\otimes k}$  to  $V^{\otimes l}$ . By convention, the inputs for each picture are read anticlockwise and the outputs clockwise. A general endomorphism  $\rho$  of  $V$  is represented by  $\rightarrow \rho \rightarrow$  while the identity endomorphism of  $V$  is represented by  $\rightarrow$ .

There is also a structure constant interpretation for such a picture as a tensor with  $l$  upper and  $k$  lower indices. A picture that has a ‘bound’ arrow - one that is neither an input nor an output - involves a contraction of a tensor. In a structure constant interpretation of a pictorial equation, each arrow is decorated with an index, bound arrows correspond to summing over the corresponding index, and the

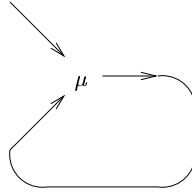


Figure 1: An element of  $V^*$

equation is deemed to hold for all values of the indices of the ‘free’ arrows.

We will illustrate these interpretations for a picture that will play a particularly important role in the sequel. Consider the picture in Figure 1 which has one input and no outputs and so represents an element of  $V^*$ . In terms of structure constants, this corresponds to the picture of Figure 2 which is read as  $\mu_{it}^t$ . The free arrow here is

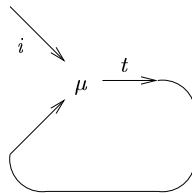


Figure 2: Structure constant interpretation

labelled by  $i$  and the bound arrow by  $t$ .

Fix a point  $(\mu, \Delta, \eta, \epsilon)$  on  $B$ . This gives a bialgebra structure on  $V$  for which, for instance,  $v_i v_j = \mu_{ij}^t v_t$ . The trace of  $v_i$  in the left regular representation is therefore  $\mu_{it}^t$ . Hence the picture in Figure 1 represents the trace on  $V$  in its left regular representation.

Similarly, it may be verified that the picture in Figure 3 represents

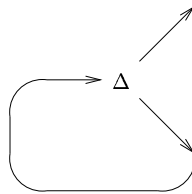


Figure 3: An element of  $V$

the trace on  $V^*$  in its left regular representation. In order to simplify drawing various pictures that we will need, we will henceforth use  $\rightarrow \phi$  for the picture in Figure 1 and  $h \rightarrow$  for the picture in Figure 3.

There is a natural action of the group  $G = GL_n(\mathbb{C})$  on  $\mathbb{A}_{\mathbb{C}}^d$  defined as follows: For a point  $(\mu, \Delta, \eta, \epsilon) = (\mu_{jk}^i, \Delta_i^{jk}, \eta^i, \epsilon_i) \in \mathbb{A}_{\mathbb{C}}^d$  and  $g \in G$ , define  $g \cdot (\mu, \Delta, \eta, \epsilon) = (\tilde{\mu}, \tilde{\Delta}, \tilde{\eta}, \tilde{\epsilon})$  where

$$\begin{aligned}\tilde{\mu}_{jk}^i &= g_p^i (g^{-1})_j^q (g^{-1})_k^r \mu_{qr}^p, \\ \tilde{\Delta}_i^{jk} &= (g^{-1})_i^p g_q^j g_r^k \Delta_p^{qr}, \\ \tilde{\eta}^i &= g_p^i \eta^p, \quad \text{and} \\ \tilde{\epsilon}_i &= (g^{-1})_i^p \epsilon_p.\end{aligned}$$

It is easy to see that this action carries  $B$  onto itself and that points of  $B$  lie in the same  $G$ -orbit precisely when they correspond to isomorphic bialgebra structures on  $V$ .

We will be interested in the points on  $B$  that correspond to semisimple Hopf algebra structures. We summarise some well known facts about such Hopf algebras in the following proposition. See [LrsRdf] and [LrsRdf2] for proofs. Recall that a two-sided integral in a Hopf algebra is an element  $h$  such that  $hx = \epsilon(x)h = xh$  for each  $x$  in the algebra.

**PROPOSITION 1.** *Let  $H$  be a complex semisimple Hopf algebra of dimension  $n$  with antipode  $S$  and let  $H^*$  be the dual Hopf algebra. Let  $\phi \in H^*$  (resp.  $h \in H$ ) be the trace on  $H$  (resp.  $H^*$ ) in its left regular representation. Then,*

- (a)  $H^*$  is also semisimple,
- (b)  $\phi$  (resp.  $h$ ) is a two-sided integral for  $H^*$  (resp.  $H$ ),
- (c)  $\phi(h) = n$ , and
- (d)  $S$  is involutive, i.e.,  $S^2 = id_H$ . □

Let  $SCH$  be the subset of  $B$  of all points that give semisimple Hopf algebra structures on  $V$ .

**LEMMA 2.** *For a point  $(\mu, \Delta, \eta, \epsilon) \in B$ , the following two conditions are equivalent :*

- (i)  $(\mu, \Delta, \eta, \epsilon) \in SCH$ .
- (ii) *The following pictorial equations hold:*

$$h \begin{array}{c} \searrow \\ \rightarrow \mu \rightarrow \end{array} = \begin{array}{c} \rightarrow \epsilon \\ h \rightarrow \end{array} = h \begin{array}{c} \rightarrow \mu \rightarrow \\ \nearrow \end{array} \quad (2.9)$$

$$\begin{array}{c} \rightarrow \Delta \rightarrow \phi \\ \searrow \end{array} = \begin{array}{c} \rightarrow \phi \\ \eta \rightarrow \end{array} = \begin{array}{c} \rightarrow \Delta \nearrow \phi \\ \rightarrow \end{array} \quad (2.10)$$

$$h \rightarrow \phi = n. \quad (2.11)$$

*Proof.* Since the pictorial equations are equivalent to the requirements that  $\phi$  and  $h$  be two-sided integrals for  $H$  and  $H^*$  respectively with  $\phi(h) = n$ , Proposition 1(b,c) show that (i)  $\Rightarrow$  (ii). To see the reverse implication, consider a point  $(\mu, \Delta, \eta, \epsilon) \in B$  satisfying the equations (2.9) - (2.11) and define an endomorphism  $S$  of  $V$  by the equation:

$$\begin{array}{c} \rightarrow \mu \leftarrow \Delta \rightarrow \\ \downarrow \quad \uparrow \\ \phi \quad h \end{array} \rightarrow nS \rightarrow =$$

The calculation in Figure 4 below shows that  $\sum_{(x)} S(x_{(1)})x_{(2)} = \epsilon(x) 1$  (in ‘Sweedler’s notation’),

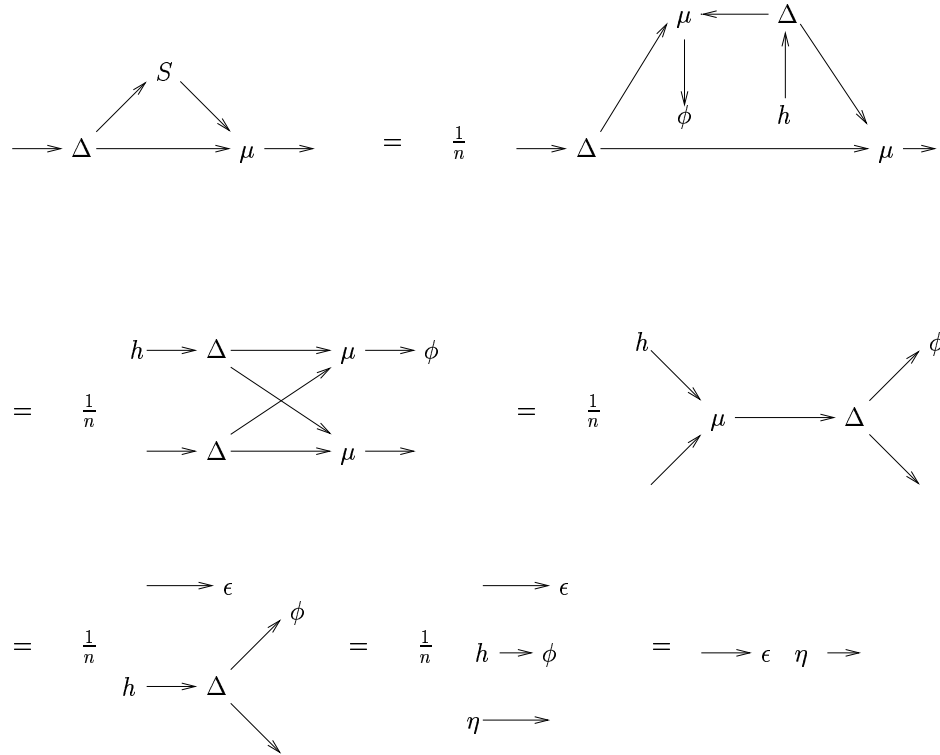


Figure 4: Antipode verification

while another such similar calculation shows that  $\sum_{(x)} x_{(1)} \mathcal{S}(x_{(2)}) = \epsilon(x)$ .1. Hence this bialgebra structure on  $V$  admits an antipode and is therefore a Hopf algebra structure on  $V$ . Consider now the calculation in Figure 5 where the first equality follows from equation (2.4) and the second since the trace of the identity endomorphism of  $V$  is  $n$ . This shows that  $\epsilon(h) = n \neq 0$  and therefore by [LrsSwd] the Hopf

Figure 5: Semisimplicity verification

algebra structure on  $V$  is semisimple. Thus (ii)  $\Rightarrow$  (i).  $\square$

COROLLARY 3. *Every point of SCH satisfies the following pictorial equation:*

$$\begin{array}{ccccccccc} \rightarrow & \mu & \leftarrow & \Delta & \rightarrow & \mu & \leftarrow & \Delta & \rightarrow \\ & \downarrow & & \uparrow & & \downarrow & & \uparrow & \\ & \phi & & h & & \phi & & h & \end{array} = n^2 (\rightarrow) \quad (2.12)$$

*Proof.* The lemma states an equality of two endomorphisms of  $V$  - the right side being  $n^2$  times the identity endomorphism, and the left side being the square of the endomorphism

$$\begin{array}{ccc} \rightarrow & \mu & \leftarrow & \Delta & \rightarrow \\ & \downarrow & & \uparrow & \\ & \phi & & h & \end{array} ;$$

this latter endomorphism is, however, seen to be nothing but  $nS$  (see the displayed picture defining  $nS$  in the proof of Lemma 2). An appeal to Proposition 1(d) completes the proof.  $\square$

PROPOSITION 4. *The subset SCH is a nonsingular  $G$ -stable (closed) subvariety of  $B$  that is a union of finitely many closed  $G$ -orbits.*

*Proof.* Since isomorphic bialgebra structures on  $V$  lie in the same  $G$ -orbit,  $SCH$  is a union of  $G$ -orbits and hence  $G$ -stable, while Lemma 2 shows that  $SCH$  is a closed subvariety of  $B$ . By the results of Stefan - see Corollary 1.5, Corollary 1.6, Theorem 2.1 of [Stf] - and

Proposition 1(a), there are only finitely many orbits of semisimple Hopf algebras in  $B$  each of which is open in  $B$  and therefore also in  $SCH$ . Thus each such orbit is also closed in  $SCH$  and being the finite disconnected union of closed nonsingular orbits,  $SCH$  is itself nonsingular.  $\square$

Consider the dual action of the group  $G$  on the coordinate ring  $R = \mathbb{C}[\mu_{jk}^i, \Delta_i^{jk}, \eta^i, \epsilon_i]$  of  $\mathbb{A}_{\mathbb{C}}^d$ , which is a polynomial ring in  $d = 2n^3 + 2n$  variables. Let  $R^G$  denote the ring of invariants. Given a bialgebra  $A$  of dimension  $n$  and an element  $f \in R^G$  one may ‘evaluate  $f$  on  $A$ ’ by taking the structure constants of  $A$  with respect to an arbitrary basis as the coordinates of a point on  $B$  and evaluating  $f$  at that point. The result, which we will denote  $f(A)$ , is independent of the chosen basis since a change of basis corresponds to moving in a  $G$ -orbit on  $B$  and  $f \in R^G$ .

**COROLLARY 5.** *Two complex semisimple Hopf algebras  $H_1$  and  $H_2$  of dimension  $n$  are isomorphic if and only if for each  $f \in R^G$ , we have  $f(H_1) = f(H_2)$ .*

*Proof.* If  $H_1$  and  $H_2$  are isomorphic, then they have the same structure constants with respect to appropriately chosen bases and therefore for each  $f \in R^G$ ,  $f(H_1) = f(H_2)$ . Conversely, if  $H_1$  and  $H_2$  are not isomorphic, then their structure constants with respect to any choice of bases belong to different  $G$ -orbits in  $SCH$ . Since the  $G$ -orbits in  $SCH$  are closed by Proposition 4, it follows from what [MmfFgrKrw] refers to as the ‘only really important geometric property implied by the reductivity of  $G$ ’ - see Corollary 1.2 of Chapter 1, §2 - that there is an  $f \in R^G$  that is 1 on  $H_1$  and 0 on  $H_2$ .  $\square$

**REMARK 6.** *The results of this section hold, mutatis mutandis, when  $SCH$  is the subset of semisimple and cosemisimple Hopf algebra structures - and therefore the choice of notation  $SCH$  - of the variety  $B$  of bialgebra structures on an  $n$ -dimensional vector space over an algebraically closed field of arbitrary characteristic.*

### 3 Invariants of tensors

Let  $V$  be a complex vector space of dimension  $n$ , and let  $G = GL(V)$ . For non-negative integers  $t$  and  $b$ , let  $V_b^t$  be the  $G$ -module  $V^{\otimes t} \otimes (V^*)^{\otimes b}$ .

Given tuples  $(t_i, b_i)$  of non-negative integers, for  $i = 1, 2, \dots, k$ , consider the  $G$ -module defined by  $W (= W(\{(t_i, b_i) : i = 1, 2, \dots, k\}))$



$= \oplus_{i=1}^k V_{b_i}^{t_i}$ . We wish to describe, in this section, the polynomial invariants of the  $G$ -module  $W$  - by which is meant the following: regard  $W$  as an affine variety with a  $G$  action and consider the dual action on the coordinate ring  $\mathbb{C}[W^*]$ ; a polynomial invariant of  $W$  is just a  $G$ -invariant element of  $\mathbb{C}[W^*]$ .

Explicitly, choose a basis  $v_1, \dots, v_n$  of  $V$  and let  $v^1, \dots, v^n$  be the dual basis of  $V^*$ . Then, a basis of  $V_b^t$  is given by all  $v_{u_1} \otimes v_{u_2} \otimes \dots \otimes v_{u_t} \otimes v^{l_1} \otimes \dots \otimes v^{l_b}$  where the indices  $u_1, \dots, u_t, l_1, \dots, l_b$  all range from 1 to  $n$ . Let  $T_{l_1 \dots l_b}^{u_1 \dots u_t}$  be the coordinate function on  $V_b^t$  that gives the coefficient of  $v_{u_1} \otimes v_{u_2} \otimes \dots \otimes v_{u_t} \otimes v^{l_1} \otimes \dots \otimes v^{l_b}$ . Thus  $\mathbb{C}[(V_b^t)^*]$  is identified with the polynomial ring  $\mathbb{C}[T_{l_1 \dots l_b}^{u_1 \dots u_t}]$  in  $n^{b+t}$  variables. The group  $G$  is identified with  $GL_n(\mathbb{C})$  using the basis of  $V$ . The dual action of  $G$  on this polynomial ring is then given by:

$$g(T_{l_1 \dots l_b}^{u_1 \dots u_t}) = \prod_{i=1}^t (g^{-1})_{\tilde{u}_i}^{u_i} \prod_{j=1}^b (g)_{l_j}^{\tilde{l}_j} T_{\tilde{l}_1 \dots \tilde{l}_b}^{\tilde{u}_1 \dots \tilde{u}_t},$$

where, of course, the summation convention is used.

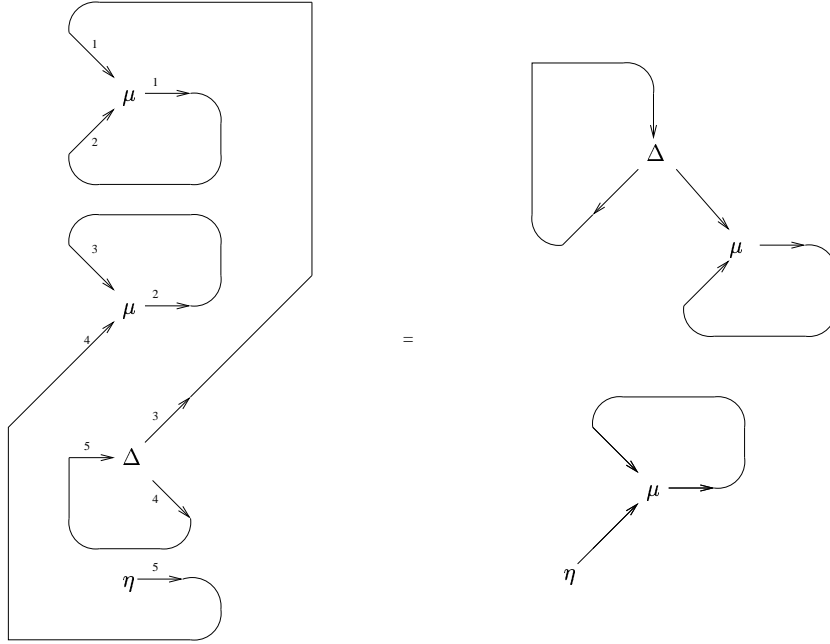
More generally, for  $W = W(\{(t_i, b_i) : i = 1, 2, \dots, k\})$ , we identify the coordinate ring  $\mathbb{C}[W^*]$  with the polynomial ring  $\mathbb{C}[T(i)_{l_1 \dots l_{b_i}}^{u_1 \dots u_{t_i}}]$  in  $\sum_{i=1}^k n^{b_i+t_i}$  variables. Here,  $i$  ranges from 1 to  $k$  and all the indices of the  $T(i)$  from 1 to  $n$ . This polynomial ring has a  $\mathbb{N}^k$ -grading where  $\deg(T(i)_{l_1 \dots l_{b_i}}^{u_1 \dots u_{t_i}}) = (0, 0, \dots, 0, 1, 0, \dots, 0)$  - where the 1 is in the  $i^{\text{th}}$  place - independent of the sub- and super-scripts. The  $G$ -action preserves this grading and so the ring of invariants is a graded subring of  $\mathbb{C}[W^*]$ .

Fix  $W = W(\{(t_i, b_i) : i = 1, 2, \dots, k\})$ . By a **picture invariant on  $W$**  we shall mean the following: it is determined by the data of (a) a  $k$ -tuple of non-negative integers  $\underline{m} = (m_1, \dots, m_k)$  such that  $\sum_{i=1}^k m_i t_i = \sum_{i=1}^k m_i b_i = N$  for some  $N \in \mathbb{N}$ , and (b) a permutation  $\sigma \in \Sigma_N$  - the symmetric group on  $N$  letters. The associated picture invariant is the following element of  $\mathbb{C}[W^*]$ :

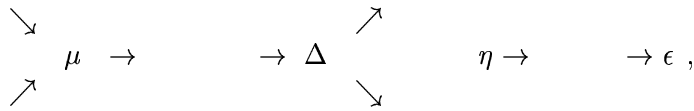
$$\prod_{i=1}^k \left( \prod_{j=1}^{m_i} T(i)_{r_{(\sum_{p<i} m_p t_p + (j-1)t_i + 1)}, \dots, r_{(\sum_{p<i} m_p t_p + j t_i)}}^{r_{(\sum_{p<i} m_p b_p + (j-1)b_i + 1)}, \dots, r_{(\sum_{p<i} m_p b_p + j b_i)}} \right)$$

i.e., we take  $N$  dummy indices  $r_1, \dots, r_N$ , take a product of  $m_1$   $T(1)$ 's,  $m_2$   $T(2)$ 's,  $\dots$ ,  $m_k$   $T(k)$ 's and write the lower indices in order and the upper indices in the permuted order given by  $\sigma$ . It should be clear that this 'picture invariant' is homogeneous of degree  $(m_1, \dots, m_k)$  in the  $\mathbb{N}^k$ -grading. (We will soon show - see Proposition 7 - that picture invariants are indeed invariant.)

By means of one example - which is the main case of interest for our purposes - we will explain how picture invariants are represented by pictures. Suppose that  $W = V_2^1 \oplus V_1^2 \oplus V_0^1 \oplus V_1^0$ . We will use  $\mu, \Delta, \eta$  and  $\epsilon$  instead of  $T(1), T(2), T(3)$  and  $T(4)$ . Consider, for instance, the 4-tuple  $(2, 1, 1, 0)$  for which  $2(1, 2) + 1(2, 1) + 1(1, 0) + 0(0, 1) = (5, 5)$  and the permutation  $(123)(45) \in \Sigma_5$ . The picture invariant associated to this data is equal to  $\mu_{r_1 r_2}^{r_2} \mu_{r_3 r_4}^{r_3} \Delta_{r_5}^{r_1 r_5} \eta^{r_4}$ . To this 'picture invariant', we shall associate the following picture:



In the picture on the left, we have numbered the input and output arrows so as to make clear the role of the permutation in drawing the invariant. So briefly, a picture invariant - in this case, i.e., when  $k = 4$  and  $W$  is specified by the tuple  $\{(1, 2), (2, 1), (1, 0), (0, 1)\}$  as above - is constructed by taking, in order, a collection of basic pictures of the types



the numbers of each of which are specified by the 4-tuple, and then joining the  $i^{th}$  output arrow to the  $\sigma(i)^{th}$  input arrow for each  $i$  to get a 'closed picture', i.e., one with no free arrows. We will not dis-

tinguish between a picture invariant and any picture that represents it.

Note that if a picture invariant is disconnected - as in the example considered - then, its components also define picture invariants, the product of all of which gives the full picture invariant.

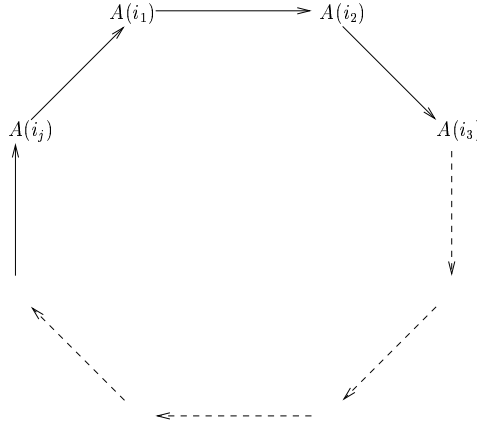
We may now state our main observation about the picture invariants.

**PROPOSITION 7.** *Let  $V$  be a finite dimensional complex vector space and  $(t_i, b_i)$  for  $i = 1, 2, \dots, k$  be tuples of non-negative integers. Let  $W = \bigoplus_{i=1}^k V_{b_i}^{t_i}$  and set  $R = \mathbb{C}[W^*]$ . Then,  $R^G$ , for the  $G = GL(V)$  action, is linearly spanned by the picture invariants on  $W$ .*

Before proving this, we pause to point out a corollary - see Theorem 1.3 of [Prc] and [Rzm].

**COROLLARY 8.** *The ring of invariants of the group  $GL_n(\mathbb{C})$  acting by simultaneous conjugation on  $k$  square matrices  $A(1), \dots, A(k)$  is linearly spanned by monomials in the  $tr(A(i_1)A(i_2) \cdots A(i_j))$  where  $A(i_1)A(i_2) \cdots A(i_j)$  is any possible (non-commutative) monomial.*

*Proof.* This corresponds to choosing all the  $k$  tuples to be equal to  $(1, 1)$ . The basic pictures in this case are  $\rightarrow A(i) \rightarrow$  and so any connected picture invariant must be as illustrated. In terms of



the entries of the matrices  $A(i)$ , this picture invariant evaluates to  $tr(A(i_1)A(i_2) \cdots A(i_j))$ . A general picture invariant that is possibly disconnected is therefore a monomial in the  $tr(A(i_1)A(i_2) \cdots A(i_j))$  and now an appeal to Proposition 7 completes the proof.  $\square$

*Proof of Proposition 7.* We need to see that the picture invariants span the invariant ring  $\mathbb{C}[W^*]^G$ . Note that  $C[W^*] = \text{Sym}_{\mathbb{C}}(W^*) = \bigoplus_{d \geq 0} \text{Sym}_{\mathbb{C}}^d(W^*) = \bigoplus_{d \geq 0} \bigoplus_{\{(m_1, \dots, m_k) : \sum_i m_i = d\}} \bigotimes_{i=1}^k \text{Sym}_{\mathbb{C}}^{m_i}((V_{b_i}^{t_i})^*)$  - as  $G$ -modules. Hence it suffices to see that picture invariants span each  $\left(\bigotimes_{i=1}^k \text{Sym}_{\mathbb{C}}^{m_i}((V_{b_i}^{t_i})^*)\right)^G$ .

As the natural map of  $\bigotimes_{i=1}^k ((V_{b_i}^{t_i})^*)^{\otimes m_i}$  onto  $\bigotimes_{i=1}^k \text{Sym}_{\mathbb{C}}^{m_i}((V_{b_i}^{t_i})^*)$  is a  $G$ -map, the reductivity of  $G$  implies that  $\left(\bigotimes_{i=1}^k ((V_{b_i}^{t_i})^*)^{\otimes m_i}\right)^G$  maps onto  $\left(\bigotimes_{i=1}^k \text{Sym}_{\mathbb{C}}^{m_i}((V_{b_i}^{t_i})^*)\right)^G$ . Clearly,  $\bigotimes_{i=1}^k ((V_{b_i}^{t_i})^*)^{\otimes m_i}$  is isomorphic as a  $G$ -module to  $V_M^N$  where  $N = \sum_i m_i b_i$  and  $M = \sum_i m_i t_i$ .

We now appeal to the fact from classical invariant theory - see Theorem 4.3.1 in [GdmW11] - that non-zero  $GL(V)$  invariants exist in  $V_M^N$  only if  $N = M$  and in that case the space of invariants is spanned by all  $v_{r_1} \otimes v_{r_2} \otimes \dots \otimes v_{r_N} \otimes v^{r_{\sigma(1)}} \otimes v^{r_{\sigma(2)}} \otimes \dots \otimes v^{r_{\sigma(N)}}$  as  $\sigma$  ranges over  $\Sigma_N$ .

Chasing through the isomorphisms, the images of the  $G$ -invariants in  $V_M^N$  are seen to be precisely the picture invariants, thereby completing the proof.  $\square$

## 4 Invariants of semisimple Hopf algebras

Let  $V$  be a finite dimensional complex vector space,  $G = GL(V)$  and  $W = V_2^1 \oplus V_1^2 \oplus V_0^1 \oplus V_1^0$  for which we label the coordinate tensors  $\mu, \Delta, \eta$  and  $\epsilon$ .

Corollary 5 may be restated to say that isomorphism classes of complex semisimple Hopf algebras are separated by the polynomial invariants of  $W$ . By Proposition 7, these are exactly the picture invariants built out of  $\mu, \Delta, \eta$  and  $\epsilon$ . Our goal is to identify a suitable ‘small’ subset of the picture invariants which accomplishes the same task. In this section, we shall be slightly sloppy and also refer to a scalar multiple of a picture invariant as a picture invariant.

**DEFINITION 9.** *Two picture invariants on  $W$  are said to be equivalent modulo  $SCH$  if they agree on  $SCH$ .*

Pictorially, if a picture invariant can be transformed into another by ‘moves’ that locally replace a subpicture appearing on one side of an equality in equations (2.1)-(2.12) by one appearing on the other, then, the two picture invariants are equivalent modulo  $SCH$ . Thus, for instance, Corollary 3 shows that any arrow in a picture may be replaced by a more complicated sub-picture which contains no

directed path from the beginning to the end, so that the resulting picture is equivalent modulo *SCH* to the initial one.

In order to state our next proposition we will find it convenient to introduce some notation for iterated products and coproducts - see p.108 of [Kpr] - as well as for certain picture invariants. First, let

$$\rightarrow \Delta_1 \rightarrow = \rightarrow = \rightarrow \mu_1 \rightarrow$$

and for  $p, q > 1$ , inductively define

$$\begin{array}{c} \nearrow \\ \rightarrow \Delta_p \vdots = \rightarrow \Delta \rightarrow \Delta_{p-1} \vdots \\ \searrow \qquad \qquad \searrow \end{array}$$

and

$$\begin{array}{c} \searrow \\ \vdots \mu_q \rightarrow = \vdots \mu_{q-1} \rightarrow \mu \rightarrow \\ \nearrow \qquad \qquad \nearrow \end{array}$$

Also, if  $\mathbf{p} = (p_1, \dots, p_k)$ ,  $\mathbf{q} = (q_1, \dots, q_l)$  are tableaux of equal size  $N$  (say) - i.e.,  $p_1 \geq \dots \geq p_k > 0$ ,  $q_1 \geq \dots \geq q_l > 0$ , and  $\sum_{i=1}^k p_i = \sum_{j=1}^l q_j = N$  - and if  $\sigma \in \Sigma_N$ , we shall define the picture invariant  $\mathcal{I}(\mathbf{p}, \mathbf{q}, \sigma)$  to be the following picture:

$$\begin{array}{c} \begin{array}{c} \nearrow \\ h \rightarrow \Delta_{p_1} \vdots \\ \searrow \\ \vdots \\ \nearrow \\ h \rightarrow \Delta_{p_k} \vdots \\ \searrow \end{array} \left| \begin{array}{c} - - - \\ \sigma \\ - - - \end{array} \right| \begin{array}{c} \searrow \\ \vdots \mu_{q_1} \rightarrow \phi \\ \nearrow \\ \vdots \\ \searrow \\ \vdots \mu_{q_l} \rightarrow \phi \\ \nearrow \end{array} \end{array}$$

where the central 'box' labelled  $\sigma$  is meant to indicate that the  $i$ -th output of the picture to the left of the box is to be joined to the  $\sigma(i)$ -th input of the picture to the right of the box, and we have used the symbols  $h$  and  $\phi$  for the pictures associated with them in Figures 3 and 1 respectively.

**PROPOSITION 10.** *Any picture invariant on  $W$  is equivalent modulo *SCH* to an  $\mathcal{I}(\mathbf{p}, \mathbf{q}, \sigma)$ . If the total number of  $\mu$ 's and  $\Delta$ 's in a picture invariant is  $k$ , then we may choose  $\mathcal{I}(\mathbf{p}, \mathbf{q}, \sigma)$  so that  $\sum p_i = \sum q_j \leq 13k/2$ .*

*Proof.* Begin with a picture invariant, say  $P$ , on  $W$ . From its ‘equivalence class modulo  $SCH$ ’, pick a picture invariant, say  $P_1$ , for which the total number  $k(P_1)$  of  $\mu$ ’s and  $\Delta$ ’s is minimal. Next, pick a picture invariant, say  $P_2$ , in the ‘equivalence class modulo  $SCH$ ’ of  $P$  such that the total number of  $\eta$ ’s and  $\epsilon$ ’s in  $P_2$  is minimal among all picture invariants  $Q$  in the ‘equivalence class modulo  $SCH$ ’ of  $P$  for which  $k(Q) = k(P_1)$ .

We assert that  $P_2$  has no  $\eta$ ’s or  $\epsilon$ ’s. For suppose that there is an  $\eta$ . Its output must go into either a  $\mu$  or a  $\Delta$  or a  $\epsilon$ . In these cases, it follows from equations (2.3), (2.6) and (2.8) that the minimality requirements defining  $P_2$  (on  $\mu$ ’s and  $\Delta$ ’s in the first two cases, and on  $\eta$ ’s and  $\epsilon$ ’s in the last case) are violated. A similar argument shows that  $P_2$  cannot have any  $\epsilon$ ’s either.

Since  $P_2$  is a closed picture - i.e., has no free arrows - it is easy to see that the number of  $\mu$ ’s = number of  $\Delta$ ’s =  $l$ , where  $2l = k(P_2) \leq k(P) = k$ ; from which it follows that the total number of arrows in  $P_2$  is  $3l$ . Now use Corollary 3 to replace each arrow of  $P_2$  to get an equivalent picture modulo  $SCH$ , say  $P_3$ , with  $13l$  each of the  $\mu$ ’s and  $\Delta$ ’s - the original  $l$  together with the 4 new ones introduced for each of the  $3l$  arrows replaced. (Recall that each  $h$  (resp.,  $\phi$ ) is a picture with a self-loop containing one  $\Delta$  (resp.,  $\mu$ ).)

We claim that  $P_3$  has no directed loops - except possibly for self loops on the  $\mu$ ’s and  $\Delta$ ’s that are inherent in  $h$  and  $\phi$ . Note first that the only arrows of  $P_3$  are the newly introduced ones; and the newly introduced substitutes for the edges of  $P_2$  are seen to not contain any edges that can be part of a non-trivial loop. This establishes the claim about ‘no loops in  $P_3$ ’. Further, an inspection of the newly introduced substitutes for the edges of  $P_2$  also reveals that  $P_3$  contains no directed edge from a  $\mu$  to a  $\Delta$ .

To finish the proof it suffices to see that if a picture invariant on  $W$  (a) involves only  $\mu$ ’s and  $\Delta$ ’s, (b) has no directed loops except for self loops, and (c) has no directed edge from a  $\mu$  to a  $\Delta$ , then such a picture invariant is necessarily equivalent modulo  $SCH$  to a  $\mathcal{I}(\mathbf{p}, \mathbf{q}, \sigma)$ .

We prove this as follows. Begin with such a picture invariant and delete all arrows that go from a  $\Delta$  to a  $\mu$ . Consider a connected component of the picture that remains. Each such component contains either only  $\mu$ ’s or only  $\Delta$ ’s. Fix a component, say  $C$ , containing only  $\Delta$ ’s, say  $p$  of them. Each edge of  $C$  feeds into a different  $\Delta$  (since the ‘in-degree’ of  $\Delta$  is one) so there are exactly  $p$  edges.

Let  $C_1$  denote the graph obtained by removing self-loops from  $C$  and regarding the remaining graph as an undirected graph. We

assert that  $C_1$  is a tree. To see this, since it is clearly connected, it is enough to verify that  $C_1$  contains no loops. Suppose  $L$  were such a loop. Let us associate the ordered pair  $(d_{in}, d_{out})$  of ‘in-’ and ‘out’-degrees to every vertex of  $L$  when regarded as a vertex of the directed subgraph of  $C$  corresponding to  $L$ . Each such ordered pair must *a priori* be  $(1, 1)$ ,  $(2, 0)$  or  $(0, 2)$ ; but our observation about ‘no directed loops in  $C$ ’ means that not all pairs can be  $(1, 1)$ . So at least one vertex must correspond to  $(2, 0)$  or  $(0, 2)$ . Since the sum of the in-degrees (as also the out-degrees) of all the vertices of  $L$  must be equal to the number of edges of  $L$ , we may conclude that at least one vertex of  $L$  must have in-degree 2; but our graph  $C$  contains only  $\Delta$ ’s which have in-degree 1.

Since a tree with  $p$  vertices has exactly  $(p - 1)$  edges, we deduce that  $C$  contains exactly one self loop.

Let  $C_2$  be the picture obtained from  $C$  as a result of adding all those arrows of  $P_3$  which emanated from a  $\Delta$  of  $C$  and terminated in a  $\mu$ . It is a consequence of co-associativity in Hopf algebras, that  $C$  is ‘equivalent modulo  $SCH$ ’ to the standard picture (independent of the structure of the tree  $C_1$ ):

$$h \rightarrow \Delta_p \begin{array}{c} \nearrow \\ \vdots \\ \searrow \end{array} \cdot$$

(We have been slightly glib in using the expression ‘equivalent modulo  $SCH$ ’ for general pictures which are not picture invariants (but more general tensors); we trust the meaning should be clear.)

A dual verification shows that a component containing only  $\mu$ ’s - say  $q$  of them - is equivalent modulo  $SCH$  to the picture

$$\begin{array}{c} \searrow \\ \vdots \\ \nearrow \end{array} \mu_q \rightarrow \phi \cdot$$

Let  $k$  denote the number of components (such as  $C$  above) which contain only  $\Delta$ ’s, and suppose  $p_1 \geq \dots \geq p_k$  is the non-increasing of the numbers of vertices in these components. Let  $l$  and  $q_1 \geq \dots \geq q_l$  denote the corresponding numbers for the ‘only  $\mu$  components’. It should then be clear that our picture invariant  $P_3$  (and hence also  $P$ ) is equivalent modulo  $SCH$  to  $\mathcal{I}(\mathbf{p}, \mathbf{q}, \sigma)$  for an appropriately chosen permutation  $\sigma$ .

Finally,  $\Sigma p_i = \Sigma q_j = 13l \leq 13k/2$ . □

**THEOREM 11.** *Two complex semisimple Hopf algebras  $H_1$  and  $H_2$  of dimension  $n$  are isomorphic if and only if for every positive integer  $N$ , tableaux  $\mathbf{p}, \mathbf{q}$  of size  $N$  and permutation  $\sigma \in \Sigma_N$ , we have  $\mathcal{I}(\mathbf{p}, \mathbf{q}, \sigma)(H_1) = \mathcal{I}(\mathbf{p}, \mathbf{q}, \sigma)(H_2)$ . It suffices to verify this only for  $N \leq (2n + 1)^{(2n^2 + 5)}$ .*

*Proof.* By Corollary 5,  $H_1$  and  $H_2$  are isomorphic if and only if  $f(H_1) = f(H_2)$  for all  $f \in R^G$ . Now Proposition 7 and Proposition 10 immediately imply the first assertion of the theorem. The bound on  $N$  follows from computational invariant theory - see §4.7 of [DrkKmp]. By Proposition 4.7.16 and Theorem 4.7.4 of [DrkKmp]  $R^G$  is generated as an algebra by its elements of degree at most  $k = \frac{3}{8}(2n^3 + 2n)(n + 1)^2(2n + 1)^{2n^2}$  - the numbers  $2n^3 + 2n$ ,  $n + 1$ ,  $2n + 1$  and  $n^2$  being upper bounds for what they call  $r, C, A$  and  $m$  respectively. Therefore picture invariants involving at most  $k$   $\mu$ 's and  $\Delta$ 's separate isomorphism classes of semisimple Hopf algebras. Now the second assertion of Proposition 10 finishes the proof.  $\square$

## 5 Remarks and questions

This section is a collection of a simple example, some possibly naive questions and a possibly rash conjecture.

**EXAMPLE 12 (GROUP ALGEBRAS).** *Evaluated on a semisimple Hopf algebra  $H$ , we may write*

$$\mathcal{I}(\mathbf{p}, \mathbf{q}, \sigma)(H) = \langle \Delta_{p_1}(h) \otimes \cdots \otimes \Delta_{p_k}(h) \mid \sigma \mid \Delta_{q_1}(\phi) \otimes \cdots \otimes \Delta_{q_l}(\phi) \rangle$$

where this means: compute the elements of  $H^{\otimes N}$  and  $(H^*)^{\otimes N}$  given by the left and the right sides of the above expression and pair them off by pairing the  $i$ -th tensor factor on the left with the  $\sigma(i)$ -th tensor factor on the right. In the case when  $H$  is the complex group algebra of a finite group  $G$ , it is not hard to see that these picture invariants give essentially the data of the number of solutions in  $G$  of all systems of equations of the form  $m_1 = m_2 = \cdots = m_l = 1$  where  $1$  is the identity element of  $G$  and  $m_1, \cdots, m_l$  are monomials in the (non-commuting) variables  $X_1, \cdots, X_k$ . Theorem 11 then implies that - as can also be seen by a pleasant application of the inclusion-exclusion principle - these numbers determine the group  $G$ .

**QUESTION 13 (RELATIONS BETWEEN INVARIANTS AND RECONSTRUCTION).** *A natural question that arises is what the "second fundamental theorem" for these invariants is. Explicitly, consider a polynomial ring in the infinitely many variables  $X_{(\mathbf{p}, \mathbf{q}, \sigma)}$  and determine*



the ideal  $I_n$  of all polynomials that vanish when evaluated on any  $n$ -dimensional semisimple Hopf algebra. A related problem is to reconstruct the Hopf algebra from the invariants.

CONJECTURE 14 (THE CHARACTERISTIC  $p$  CASE). *We conjecture that the picture invariants separate isomorphism classes of semisimple and cosemisimple Hopf algebras over an algebraically closed field of arbitrary characteristic. Note that the analogue to the Procesi-Razmyslov theorem has been proved by Donkin in [Dnk] and it is not clear how to interpret this pictorially. Our “justifications” for making this conjecture are the results of Etingof and Gelaki - see [TngGlk] - on lifting theorems from characteristic  $p$  to characteristic 0.*

QUESTION 15 (SUBFACTORS). *The original motivation for considering this problem comes from subfactor theory where the problem we wish to solve is: decide whether or not two finite-depth hyperfinite subfactors are isomorphic. Considering the gauge group action on the space of flat connections on the graph invariants, we expect that a similar invariant theoretic answer must exist. The difference now will be that the groups involved are real Lie groups acting on smooth manifolds. This will be the subject of a future paper.*

QUESTION 16 (THE GENERAL ISOMORPHISM PROBLEM). *Is there an explicit decision procedure for the isomorphism problem for general (not necessarily semisimple) finite - dimensional complex Hopf algebras ?*

QUESTION 17 (EFFICIENT COMPUTABILITY). *This relates to finding better bounds on the number and size of invariants needed to distinguish semisimple Hopf algebras. In particular, can this be ‘done in polynomial time’?*

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