### A GENERALIZATION OF THE ENRIGHT-VARADARAJAN MODULES

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For a semisimple Lie group admitting discrete series Enright and Varadarajan have constructed a class of modules. denoted  $D_{P,\lambda}$  (cf. [3]). Their infinitesimal description based on the theory of Verma modules parallels that of finite dimensional irreducible modules. The introduction of the modules  $D_{P,\lambda}$  in [3] was primarily to give an infinitesimal characterization of discrete series but we feel that [3] may well be a starting point for a fresh approach towards dealing with the problem of classification of irreducible representations of a general semisimple Lie algebra.

In order to give more momentum to such an approach we first construct modules which broadly generalize those in [3]. We briefly describe them now.

Let  $g_0$  be any real semisimple Lie algebra.  $g_0 = k_0 + p_0$  a Cartan decomposition and  $\theta$  the associated Cartan involution. Let g = k + pbe the complexification. Let U(g), U(k) be the enveloping algebras of g, k respectively and let  $U^k$  be the centralizer of k in U(g). For each  $\theta$  stable parabolic subalgebra q of g we associate in this paper a class of irreducible k finite U(g) modules having the following property: Like finite dimensional irreducible modules and like the Enright-Varadarajan modules  $D_{P,\lambda}$ , any member of this class comes with a special irreducible k-type occurring in it with multiplicity one, with an explicit description of the action of  $U^k$  on the corresponding isotypical k-type. We obtain these modules by extending the techniques in [3].

To see in what way these modules are related to the  $\theta$  invariant parabolic subalgebra q we refer the reader to §2.

When our parabolic subalgebra q is minimal in g and when rank of g = rank of k, the class of U(g) modules which we associate to this q coincides with the class of modules  $D_{P,\lambda}$  of [3] (with a slight difference

in parametrization). On the other hand when q = g is the maximal

parabolic subalgebra, the class we obtain is just the class of all finite dimensional irreducible representations of g. If k has trivial center, the trivial one dimensional U(g) module is not equivalent to any of the modules  $D_{P,\lambda}$  of [3]. This gap is bridged by the introduction of our class of U(g) modules for every intermediate  $\theta$  invariant parabolic subalgebra q between q = g and  $q = a \theta$  invariant Borel subalgebra of g.

We have to point out that the knowledge of [3] is a necessary prerequisite to read this paper. If an argument or construction needed at some stage of this paper is parallel to that in [3] then instead of repeating them, we simply refer to [3].

### §1. $\theta$ -stable parabolic subalgebras

As in the introduction, g = k + p is the complexified Cartan decomposition arising from a real one  $g_0 = k_0 + p_0$ . Let  $\theta$  be the Cartan involution. Let b be the complexification of a fixed Cartan subalgebra  $b_0$  of  $k_0$ . Then the centralizer of b in g is a  $\theta$  stable Cartan subalgebra h of g. We can write

$$(1.1) h = b + a$$

where  $a = p \cap h$ . Let  $a_0 = a \cap g_0$  and  $h_0 = h \cap g_0$ . Let  $\Delta$  be the set of roots of (g, h). For  $\alpha$  in  $\Delta$ , denote by  $g^{\alpha}$  the corresponding rootspace.

(1.2) LEMMA: Let  $r_k$  be a Borel subalgebra of k containing b. Let q be a  $\theta$  stable parabolic subalgebra of g containing h and assume that q contains  $r_k$ . Then q contains a  $\theta$  stable Borel subalgebra r of g such that (i)  $h \subseteq r$  and (ii)  $r_k \subseteq r$ .

PROOF. Let *u* be the unipotent radical of *q*. Define a  $\theta$  invariant element  $\mu$  of  $h^{\chi}(= \operatorname{Hom}_{C}(h, C))$  by  $\mu(H) = \operatorname{trace} (ad(H)|u)$ . Let  $H'_{\mu}$  in *h* be defined by  $\lambda(H'_{\mu}) = (\lambda, \mu)$  for every  $\lambda$  in  $h^{\chi}$ . (Here and in the following the bilinear form is the nondegenerate one induced by the Killing form of *g*). Then

(1.3) 
$$\theta(H'_{\mu}) = H'_{\mu} \text{ so } H'_{\mu} \in b.$$

Let

(1.4) 
$$\Delta(q) = \{ \alpha \in \Delta | \alpha(H'_{\mu}) \ge 0 \}.$$

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Then one can see that

(1.5) 
$$q = h + \sum_{\alpha \in \mathfrak{Z}(q)} g^{\alpha}.$$

Let  $C_k$  be the open Weyl chamber in  $ib_0$  for (k, b) defined by the Borel subalgebra  $r_k$ . Since we assumed that  $r_k \subseteq q$ , it follows from 1.5 that

(1.6) 
$$H'_{\mu} \in \overline{C}_k$$
 = the closure of  $C_k$ .

Let  $\alpha$  be in  $\Delta$ . If  $\alpha$  is identically zero on b, it would follow that b is not maximal abelian in k. Hence  $\alpha$  is not identically zero on b. Let  $C'_k$ be the open subset of  $C_k$  got by deleting points of  $C_k$  where some  $\alpha$ belonging to  $\Delta$  vanishes. Then  $C'_k$  is the disjoint union

(1.7) 
$$C'_{k} = U_{j=1,...,N} C'_{kj}$$

of its connected components and one has

(1.8) 
$$\bar{C}_k = U_{j-1,\ldots,N} \bar{C}'_{k,j}.$$

Choose an index M between 1 and N such that

Now choose an element  $X_i$  in  $C'_{k,i}$  and consider the weight space decomposition of g with respect to  $ad(X_i)$ . We now define a Borel subalgebra  $r^i$  of g by

(1.10)  $r^{i}$  = the sum of the eigenspaces for  $ad(X_{i})$  with nonnegative eigenvalues.

If we define

(1.11) 
$$P^{i} = \{ \alpha \in \Delta | \alpha(X_{i}) > 0 \}$$

then clearly  $P^i$  is a positive system of roots in  $\Delta$  and  $r^i = h + \sum_{\alpha \in P^i} g^{\alpha}$ . Since  $X_i$  belongs to k clearly both  $r^i$  and  $P^i$  are  $\theta$  stable. 1.9 implies that for every  $\alpha$  in  $P^M$ ,  $\alpha(H'_{\mu})$  is nonnegative. Hence from 1.4 and 1.5 56

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Also since  $X_M$  belongs to  $C_k$ . (1.10) implies that

(1.13)

#### $r_k$ is contained in $r^M$ .

(q.e.d.)

(1.14) COROLLARY: Let  $r_k$  be as in Lemma 1.2. Let r be a  $\theta$  stable Borel subalgebra of g containing  $r_k$ . Then r equals one of the N Borel subalgebras  $r^i$  of (1.10).

**PROOF:** Since r contains b, r contains a Cartan subalgebra of g containing b, h is the unique Cartan subalgebra of g containing b. Hence r contains h. In the proof of Lemma 1.2 take q = r. Then it is seen  $r = r^{M}$ .

(q.e.d.)

Rather than starting with a Borel subalgebra  $r_k$  of k containing b, we want to start with an arbitrary  $\theta$  invariant parabolic subalgebra of g and recover the set up in Lemma 1.2. For this we prove the following lemma.

(1.15) LEMMA: Let q be an arbitrary  $\theta$  stable parabolic subalgebra of g. Then q contains a Borel subalgebra of k.

**PROOF:** Let Ad(g) be the adjoint group of g and Q the parabolic subgroup with Lie algebra q. Let  $G^{\mu}$  be the compact form of Ad(g)with Lie algebra  $k_0 + ip_0$ . Note that  $G^{\mu}$  is  $\theta$ -stable. It is well known that  $G^{\mu} \cap Q$  is a compact form of a reductive Levi factor of Q (cf. [8, §1.2]). But  $G^{\mu} \cap Q$  is  $\theta$  stable since  $G^{\mu}$  and Q are  $\theta$  stable. Thus, going to the Lie algebra level, q has a reductive Levi supplement which is  $\theta$  stable. In this reductive Levi supplement we can surely find some  $\theta$  stable Cartan subalgebra h' of g. Then, as in the proof of Lemma 1.2, we can find an element  $H'_{\mu}$  in h' such that  $\theta(H'_{\mu}) = H'_{\mu}$ and such that q is the sum of the nonnegative eigenspaces of  $ad(H'_{\mu})$ . Since  $H'_{\mu}$  lies in  $h' \cap k$ , clearly it follows that q contains a Borel subalgebra of k.

(q.e.d.)

(1.16) COROLLARY: Let r by any  $\theta$  stable Borel subalgebra of g. Then  $r \cap k$  is a Borel subalgebra of k.

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# §2. The objects r, r', P, P' and the choice of P'' associated with a $\theta$ stable parabolic subalgebra q

Now let q be a  $\theta$  stable parabolic subalgebra of g. By (1.15) we can find a Borel subalgebra  $r_k$  of k contained in q. We fix a Cartan subalgebra  $b_0$  of  $k_0$  contained in  $r_k$ . Let  $a_0$  be the centralizer of  $b_0$  in  $p_0$ . Then  $h_0 = b_0 + a_0$  is a  $\theta$  stable Cartan subalgebra of  $g_0$ . Let h = b + a be its complexification. Note that  $h \subseteq q$ . By (1.2), we can find a  $\theta$  stable Borel subalgebra r of g such that  $r_k \subset r$  and  $r \subset q$ . One has then  $h \subset r$ . There is a unique Borel subalgebra r' of g contained in q such that

#### $r \cap r' = h + u$ , where u is the unipotent radical of q.

Since  $\theta(r')$  has the same property, we have  $\theta(r') = r'$ . Let  $r'_k = r' \cap k$ . Then by (1.16),  $r'_k$  is a Borel subalgebra of k. We observe that  $r'_k$  is the unique Borel subalgebra of k such that

(2.2) 
$$r_k \cap r'_k = b + u_k$$
, where  $u_k$  is the unipotent radical of  $q_k (= q \cap k)$ .

We denote by  $W_k$  the Weyl group of (k, b) and by  $W_k$  the Weyl group of (g, h).  $W_k$  is naturally imbedded in  $W_g$  as follows: if s belongs to  $W_k$  then s normalizes b, hence also normalizes the centralizer of b in g which is precisely h. Thus s belongs to  $W_g$ .

We will now define two distinguished elements of the Weyl group  $W_k$ . Let t be the unique element of  $W_k$  such that  $t(P_k) = -P_k$ . Next we denote by  $\tau$  the unique element of the Weyl group  $W_k$  such that  $\tau(P_k) = P'_k$ . The class of U(g) modules associated to q will be parametrized by some subsets of  $h^X$ . We now prepare to describe these. Let  $\Delta_k$  be the set of roots for (k, b). Whenever possible we will denote elements of  $\Delta_k$  by  $\varphi$  while elements of  $\Delta$  (= the roots of (g, h)) will be denoted by  $\alpha$ . For a root  $\varphi$  in  $\Delta_k$ , denote by  $X_{\varphi}$  a nonzero root vector in k of weight  $\varphi$ . For  $\alpha$  in  $\Delta$ , we denote by  $E_{\alpha}$  a nonzero root in  $\Delta$  defined respectively by r and r'. Next let  $P_k$  and  $P'_k$  be the sets of positive roots in  $\Delta_k$  defined respectively by  $r_k$  and  $P'_k$  and  $e_k$  and

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Let P'' be a  $\theta$  stable positive system of roots in  $\Delta$  such that if r'' is the corresponding  $\theta$  stable Borel subalgebra of g then

- $(2.3) r'' \supseteq r'_k \text{ and }$
- $(2.4) P'' \supseteq P' \cap -P.$

(2.5) REMARK: If one takes P'' = P' then (2.3) and (2.4) are clearly satisfied. If q is a Borel subalgebra, then P' = P and any P'' which satisfies (2.3) also satisfies (2.4). If q = g, then P' = -P; the only candidate which satisfies (2.3) and (2.4) is P'' = P'.

We can now describe the modules that we want to construct. As usual for  $\alpha$  in P denote by  $H_{\alpha}$  the element of  $ib_0 + a_0$  such that  $\lambda(H_{\alpha}) = 2(\lambda, \alpha)/(\alpha, \alpha)$  for every  $\lambda$  in  $h^X$ . Similarly for  $\varphi$  in  $P_k$ , denote by  $H_{\varphi}^k$  the element of  $ib_0$  such that  $\lambda(H_{\varphi}^k) = 2(\lambda, \varphi)/(\varphi, \varphi)$  for every  $\lambda$ in  $b^X$ . (Note: The Killing form of g induces a nondegenerate bilinear form on b which in turn induces one on  $b^X$ .)

Let F(P'': q, r) be the set of all elements  $\mu$  in  $h^X$  with the following properties:

- (2.6)  $\mu(H_{\alpha})$  is a nonnegative integer for every  $\alpha$  in P''.
- (2.7)  $\mu(H_{\varphi}^{k})$  is nonzero for every  $\varphi$  in  $P_{k}$  and  $\mu(H_{\varphi})$  is nonzero for every  $\alpha$  in  $P \cap -P'$ .

EXAMPLE: Suppose  $\mu$  belonging to  $h^x$  is such that  $\mu(H_{\alpha})$  is a positive integer for every  $\alpha$  in P''. Then one can show that  $\mu$  belongs to F(P'':q, r). The method of showing that  $\mu(H_{\varphi}^k)$  is nonzero for every  $\varphi$  in  $P_k$  can be found in the proof of (3.6).

We now use some definitions and notations from [3, §§2, 5] (cf. also §§3, 5 here). Let  $U^k$  be the centralizer of k in U(g). Let  $\mu \in F(P^n; q, r)$ . Our aim is to construct a k-finite irreducible U(g) module, denoted  $D_{P^n;q,k}(\mu)$  in which the irreducible k type with highest weight  $-t(\tau\mu + \tau\delta - \tau\delta_k - \delta_k)$  (cf. 3.7) occurs with multiplicity one and such that on the corresponding isotypical U(k) submodule, elements of  $U^k$ act by scalars given by the homomorphism  $\chi_{P,-\mu-\delta}$  (cf. §5).

(2.8) REMARK: Fix q and r. For any compatible choice of P" and for any element  $\mu$  in F(P":q, r), we will show (cf. 3.6) that (i)  $-\mu - \delta(H_{\alpha})$  is a nonnegative integer for every  $\alpha$  in  $P \cap -P'$  and (ii)  $\tau\mu + \tau\delta - \tau\delta_k - \delta_k(H_{\varphi}^k)$  is a nonnegative integer for every  $\varphi$  in  $P_k$ . Now define  $\overline{F}(q, r)$  to consist of all  $\mu$  in  $h^x$  satisfying (i) and (ii) above. In general  $\overline{F}(q, r)$  properly contains  $U_P \cdot F(P":q, r)$ . Our constructions

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and proofs in §§3, 4, 5 go through perfectly well for any  $\mu$  in  $\overline{F}(q, r)$ and so we do have a k-finite irreducible U(g) module in which the irreducible k type with highest weight  $-t(\tau\mu + \tau\delta - \tau\delta_k - \delta_k)$  occurs with multiplicity one and such that on the corresponding isotypical U(k) submodule elements of  $U^k$  act by scalars given by  $\chi_{P,-\mu-\delta}$ . We have restricted ourselves to the subsets F(P'';q,r) rather than all of  $\overline{F}(q,r)$ only because condition (ii) is the definition of  $\overline{F}(q,r)$  is quite incomprehensible.

#### §3

Choose and fix an element  $\mu$  in F(P'':q, r) as in §2 (cf. (2.6) and (2.7)). For facts about Verma modules that we will be using we refer to [1, 2, 5, 6].

Let *M* be any U(g) module. Let *Q* be a subset of  $\Delta_k$ . An element *v* of *M* is said to be *Q* extreme if  $X_e \cdot v = 0$  for every  $\varphi$  in *Q*. For  $\lambda$  in  $b^X$ , *v* is called a weight vector of weight  $\lambda$  with respect to *b* if  $H \cdot v = \lambda(H) \cdot v$  for all *H* in *b*. By J(M) we denote the set of all  $\lambda$  in  $b^X$  for which there exists a nonzero weight vector of weight  $\lambda$  in *M*, which is  $P_k$  extreme where  $P_k$  is the positive system of roots in  $\Delta_k$  defined in §2. For  $\varphi$  in  $\Delta_k$ , *M* is said to be  $X_e$  free if  $X_e \cdot v = 0$  implies v = 0. For a subalgebra *s* of *g*, *M* is said to be *s*-finite if every vector of *M* lies in a finite dimensional *s* submodule of *M*. For any  $\eta$  in  $\pi_k$ , let  $m(\eta)$  denote the subalgebra of *g* spanned by the elements  $X_{\eta}, X_{-\eta}$  and  $H_{\eta'}^k$ . For the notion of U(k) module of 'type  $P_k$ ' we refer to [3, §2].

Let  $P_0$  be a positive system of roots of  $\Delta$  and let  $\lambda \in h^X$ . The Verma module  $V_{g,P_0,\lambda}$  of U(g) is defined as follows: It is the quotient of U(g) by the left ideal generated by the elements  $H - \lambda(H)$ ,  $(H \in h)$  and  $E_a(\alpha \in P_0)$ . The Verma modules of U(k) are defined similarly. We will suppress g and write  $V_{P_0,\lambda}$  for the Verma module  $V_{g,P_0,\lambda}$ .

We have the inclusions  $h \subseteq r \subseteq q$  (cf. §2). Let  $\pi$  be the set of simple roots for *P*. The parabolic subalgebras of *g* containing *r* are in one to one correspondence with subsets of  $\pi$ . The subset of  $\pi$  corresponding to *q* is got as follows: Let  $\sigma$  in  $h^X$  be defined by  $\sigma(H) = \text{trace}(ad H)|u$ . Then

$$\pi(q) = \{ \alpha \in \pi | (\sigma, \alpha) = 0 \}$$

From standard facts about parabolic subalgebras (cf. [8, §1.2]) we know that elements of  $P \cap -P'$  are of the form  $\sum m_i \alpha_i$  where  $m_i$  are nonnegative integers and  $\alpha_i$  are in  $\pi(q)$ . For  $\alpha$  in  $\Delta$  the element  $s_{\alpha}$  of

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 $W_g$  is the reflection corresponding to  $\alpha$ . It is given by  $s_{\alpha}(\lambda) = \lambda - 2(\lambda, \alpha)/(\alpha, \alpha) \cdot \alpha$ . We now define a U(g) module  $W_1$  by

$$(3.3) W_1 = V_{P,-\mu-\delta}$$

considered as a U(k) module it has some nice properties.

(3.4) LEMMA:  $W_1$  considered as a module for U(k) is a weight module with respect to b; i.e.  $W_1$  is the sum of the weight spaces with respect to b. Denoting also  $-\mu - \delta$  the restriction of  $-\mu - \delta$  to b. all the weights are of the form  $-\mu - \delta - \sum n_i \varphi_i$  where  $\varphi_i$  are elements of P and  $n_i$  are positive integers. Finally the weight spaces are finite dimensional and the weight space corresponding to  $-\mu - \delta$  is one dimensional.

**PROOF:** Since as a U(g) module  $W_1$  is the sum of weight spaces with respect to h = b + a, the first statement is clear. Since no root  $\alpha$ in  $\Delta$  is identically zero on b, we can pick up an element H in b such that for every  $\alpha$  in  $P, \alpha(H)$  is real and positive. As a U(g) module, the weights of  $W_1$  with respect to h are of the form  $-\mu - \delta - \sum m_i \alpha_i$  $(\alpha_i \in P, m_i \text{ nonnegative integers})$ . By considering the action of H it is clear that weight spaces of  $W_1$  with respect to b are finite dimensional and the weight space of b with weight  $-\mu - \delta$  is one dimensional. Finally since P is  $\theta$  stable the restriction to b of the weights with respect to h are of the form  $-\mu - \delta - \sum n_i \varphi_i$  where  $\varphi_i$  are in P and  $n_i$ nonnegative integers.

(q.e.d.)

(3.5) COROLLARY: The U(k) submodule of  $W_1$  generated by the unique weight vector in  $W_1$  of weight  $-\mu - \delta$  is isomorphic to the U(k) Verma module  $V_{k,P_1-\mu-\delta} \cdot W_1$  is  $X_{-\epsilon}$  free for every  $\varphi$  in  $P_k$ .

**PROOF:** Let  $v_1$  be the nonzero weight vector in  $W_1$  of weight  $-\mu - \delta \cdot v_1$  is killed by every element of [r, r] hence in particular by every element of  $[r_k, r_k]$ . On the other hand let  $\bar{r}$  be the unique Borel subalgebra of g such that  $\bar{r} \cap r = h$  and let  $n(\bar{r})$  be the unipotent radical of  $\bar{r}$ . If  $\bar{r}_k = \bar{r} \cap k$ , then  $\bar{r}_k$  is the unique Borel subalgebra of k such that  $\bar{r}_k \cap r_k = b$ . Let  $U(n(\bar{r}))$  and  $U(n(\bar{r}_k))$  denote the corresponding enveloping algebras considered as subalgebras of U(g). One knows that  $W_1$  is  $U(n(\bar{r}))$  free, [2]. Hence in particular it is  $U(n(\bar{r}_k))$  free. The corollary now follows from [2, 7.1.8].

(q.e.d.)

There is an ascending chain of U(k) Verma modules containing  $V_{k,P_k,-\mu-\delta}$ . This chain will give rise to a chain of U(g) modules, which is fundamental in the work [3].

Recall the two distinguished elements t and  $\tau$  of  $W_k$  from §2. The highest weight of the special irreducible representation of k which the U(g) module  $D_{P^*:q,r}(\mu)$  will contain is described in the corollary to the lemma below.

(3.6) LEMMA: (i)  $-\mu - \delta(H_{\alpha})$  is a nonnegative integer for every  $\alpha$  in  $P \cap -P'$  and (ii)  $\tau \mu + \tau \delta - \tau \delta_k - \delta_k(H_{\varphi}^k)$  is a nonnegative integer for every  $\varphi$  in  $P_k$ .

**PROOF:** By (2.4), (2.7) and (2.8), one sees that  $-\mu(H_{\alpha})$  is a positive integer for every  $\alpha$  in  $P \cap -P'$ . The elements of  $P \cap -P'$  are non-negative integral linear combinations of elements of  $\pi(q)$ . Since  $\delta(H_{\alpha}) = 1$  for every  $\alpha$  in  $\pi(q)$  it now follows that  $-\mu - \delta(H_{\alpha})$  is a nonnegative integer for every  $\alpha$  in  $P \cap -P'$ .

To prove (ii) first suppose  $\varphi$  lies in  $P'_k \cap P_k$ . We will show that  $\tau \mu - \delta_k(H_e^k)$  and  $\tau \delta - \tau \delta_k(H_e^k)$  are both nonnegative integers. For this it is enough to show that  $\tau \mu(H_e^k)$  is a positive integer for every  $\varphi$  in  $P_{\mu}$ and that  $\tau \delta(H_{\varphi}^{k})$  is a positive integer for every  $\varphi$  in  $\tau P_{k}$ . By (2.6) there exists a finite dimensional representation of g having a weight vector v of weight  $\mu$  with respect to the Cartan subalgebra h and such that v is annihilated by [r'', r''] (cf. (2.3)). Since  $r'_k \subseteq r''$ , v is in particular annihilated by  $[r'_k, r'_k]$ . It is clear from this that  $\mu(H^k_{\varphi})$  is a nonnegative integer for every  $\varphi$  in  $P'_k$ . In view of (2.7),  $\mu(H^k_{\varphi})$  is then a positive integer for every  $\varphi$  in  $P'_k$ . Note that  $\tau P'_k = P_k$ . Hence  $\tau \mu(H^k_{\varphi})$  is a positive integer for every  $\varphi$  in  $P_k$ . It remains to show that  $\tau \delta(H_{\varphi}^k)$  is a positive integer for every  $\varphi$  in  $\tau P_k$ . For this consider the representation  $\rho$  of g having a weight vector v of weight  $\delta$  with respect to the Cartan subalgebra h and annihilated by [r, r]. Clearly then v is annihilated by  $[r_k, r_k]$ , hence  $\delta(H_e^k)$  is a nonnegative integer for every  $\varphi$  in  $P_k$ . To show that  $\delta(H_e^k)$  is nonzero we give the following reason: one can easily see that the stabilizer of v in g is exactly r. If  $\delta(H_{\varphi}^k)$  is zero for some  $\varphi$  in  $P_k$ , then  $X_{-\varphi}$  would stabilize v. But  $X_{-\varphi}$  does not belong to r. Hence  $\delta(H_{\varphi}^k)$  is a positive integer for every  $\varphi$  in  $P_k$ , so that  $\tau \delta(H_k^k)$  is a positive integer for every  $\varphi$  in  $\tau P_k$ .

Now suppose  $\varphi$  lies in  $P_k \cap -P'_k$ . Let r(q) be the maximal reductive subalgebra of q defined by  $r(q) = h + \sum_{\alpha \in P \cap -P'} (g^{\alpha} + g^{-\alpha})$ . By (ii)  $-\mu - \delta(H_{\alpha})$  is a nonnegative integer for every  $\alpha$  in  $P \cap -P'$ . Hence, if  $n_{r(q)} = \sum_{\alpha \in P \cap -P'} g^{\alpha}$ , there exists a finite dimensional representation of r(q) and a weight vector for h of weight  $-\mu - \delta$  annihilated by all of

 $n_{r(q)}$ , hence in particular by  $k \cap n_{r(q)}$ . Observe that  $P_k \cap -P'_k$  is precisely the set of roots in  $P_k$ , whose corresponding root spaces span  $k \cap n_{r(q)}$ . Thus there exists a finite dimensional representation of  $b + \sum_{\varphi \in P_k \cap -P_k} (C \cdot X_{\varphi} + C \cdot X_{-\varphi})$  with a weight vector for b of weight  $-\mu - \delta$  annihilated by  $X_{\varphi}$  for every  $\varphi$  in  $P_k \cap -P'_k$ . Hence we conclude that  $-\mu - \delta(H_{\varphi}^k)$  is a nonnegative integer for every  $\varphi$  in  $P_k \cap -P'_k$ . Since  $-\tau(P_k \cap -P'_k) = P_k \cap -P'_k$ . On the other hand  $\tau \delta_k = \delta'_k = half$  the sum of the roots in  $P'_k$ , while  $\delta_k + \delta'_k(H_{\varphi}^k) = 0$  for every  $\varphi$  in  $P_k \cap -P'_k$ . Thus  $\tau\mu = \tau\delta - \tau\delta_k - \delta_k(H_{\varphi}^k)$  is a nonnegative integer for every  $\varphi$  in  $\varphi_k \cap -P'_k$ .

This completes the proof of (3.6). (q.e.d.)

(3.7) COROLLARY:  $-t(\tau\mu + \tau\delta - \tau\delta_k - \delta_k)(H_{\varphi}^k)$  is a nonnegative integer for every  $\varphi$  in  $P_k$ .

PROOF: Clear since 
$$-tP_k = P_k$$
. (q.e.d.)

Let  $\pi_k$  be the set of simple roots of  $P_k$ . For  $\varphi$  in  $P_k$ , let  $s_{\varphi}$  be the reflection  $s_{\varphi}(\lambda) = \lambda - \lambda(H_{\varphi})\varphi$  of  $b^X$ . If  $\varphi$  lies in  $\pi_k$ ,  $s_{\varphi}$  is called a simple reflection. For w in  $W_k$ , the length N(w) of w is the smallest integer N such that w is a product of N simple reflexions. A reduced word for w is an expression of w as a product of N(w) simple reflections. Choose any reduced word for the element  $\tau t$  of  $W_k$ . Following the notation in [5, §4.15], we write it as

$$\tau t = s_1 s_2 \dots s_m$$

where  $s_i = s_{\eta_i}$ ,  $\eta_i = \varphi_{i_i}$ ,  $\varphi_{i_i} \in \pi_k$ . For  $\lambda$  in  $b^X$  and w in  $W_k$  write  $w'(\lambda) = w(\lambda + \delta_k) - \delta_k$ . Having chosen the element  $\mu$  in F(P''; q, r), we now define elements  $\mu_i$  of  $b^X$  as follows:

$$\mu_{m+1} = -t(\tau\mu + \tau\delta - \tau\delta_k - \delta_k) \text{ and}$$
$$\mu_i = (s_i s_{i+1} \dots s_m)' \mu_{m+1} \quad (i = 1, \dots, m)$$

(3.9) Note that  $\mu_1 = (\tau t)' \mu_{m+1} = -\mu - \delta$  and that  $\mu_1$  and  $\mu_{m+1}$  are independent of the reduced expression (3.8). We now define the positive integers  $e_i$  by

(3.10) 
$$e_i = \mu_{i+1} + \delta_k(H_m^k) \cdot (i = 1, ..., m).$$

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With  $\mu_i$  defined as above, the following inclusion relations between Verma modules are well known [2, 6]:

$$(3.11) V_{k,P_k,\mu_1} \subseteq V_{k,P_k,\mu_2} \subseteq \cdots \subseteq V_{k,P_k,\mu_{m+1}}.$$

Define elements  $v_1, v_2, \ldots, v_{m+1}$  of  $V_{k, P_k, \mu_{m+1}}$  as follows:  $v_{m+1}$  is the unique nonzero weight vector of  $V_{k, P_k, \mu_{m+1}}$  of weight  $\mu_{m+1}$ . For  $i = 1, 2, \ldots, m$ ,  $v_i = X_{i_{n_i}}^{\epsilon_i} \cdot v_{i+1}$ . Then one knows that  $v_i$  is of weight  $\mu_i$  and that  $V_{k, P_k, \mu_i} = U(k)v_i$ . Associated to the reduced word (3.8) and  $\mu$  in F(P''; q, r) is a fundamental chain of U(g) modules:  $W_1 \subseteq W_2 \subseteq \cdots \subseteq W_{m+1}$ . It will turn out that  $W_1$  and  $W_{m+1}$  are independent of the reduced expression (3.8). They are defined as follows:  $W_1$  is defined to be  $V_{P,-\mu-\delta}$  as in (3.3). Then  $W_{m+1}$  is given by the following lemma.

(3.12) LEMMA: There exists a U(g) module  $W_{m+1} = U(g) \cdot v_{m+1}$ such that (a)  $W_1$  is a U(g) submodule of  $W_{m+1}$ , (b)  $v_1$  belongs to  $U(k)v_{m+1}$ , (c)  $v_{m+1}$  is a  $P_k$  extreme weight vector (with respect to b) of weight  $\mu_{m+1}$ , (d)  $W_{m+1}$  is  $X_{-\varphi}$  free for all  $\varphi$  in  $P_k$  and (e)  $W_{m+1}$  is a sum of U(k) submodules of type  $P_k$ .

**PROOF:** Start with the inclusion of  $V_{k,P_{k,\mu_1}}$  in  $W_1$  given by Corollary 3.5 and the inclusion of  $V_{k,P_{k,\mu_1}}$  in  $V_{k,P_{k,\mu_{m-1}}}$  given by 3.11. By 3.5 we know that  $W_1$  is  $X_{-\varphi}$  free for every  $\varphi$  in  $P_k$ . Now [3, Lemma 4] gives us the module  $W_{m+1}$  with the properties required in the lemma. (One easily sees that the results of [3, §2] do not depend on the assumption there that rank of g = rank of k). (q.e.d.)

(3.13) REMARK: If V and  $\overline{V}$  are Verma modules for, say, U(k) then the space of U(k) homomorphisms of V into  $\overline{V}$  has dimension equal to zero or one. Thus the inclusion of  $V_{k,P_k,\mu_1}$  into  $V_{k,P_k,\mu_{m+1}}$  given by (3.11) is independent of the reduced expression (3.8) for  $\tau t$ . Hence also the U(g) module  $W_{m+1}$  and the inclusion of  $W_1$  in  $W_{m+1}$  with the properties listed in Lemma 3.12 can be chosen to be independent of the reduced expression (3.8).

Having defined  $W_1$  and  $W_{m+1}$  as above, now for any given reduced word for  $\tau t$  such as (3.8), we define submodules  $W_2, W_3, \ldots, W_m$  of  $W_{m+1}$  by

$$(3.14) W_i = U(g)v_i$$

where  $v_i$  are the elements of  $W_{m+1}$  defined after (3.11). We have

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 $W_1 \subseteq W_2 \subseteq \cdots \subseteq W_{m+1}$  because  $v_i$  belongs to  $U(k)v_{i+1}$ , (i = 1, ..., m). The properties of this chain of U(g) modules are summarized below from the work of [3, §3]:

- (3.15)  $W_1 = V_{P,-\mu-\delta}$  and each  $W_i$  is the sum of its weight spaces with respect to b. Moreover as a U(k) module  $W_i$  is the sum of U(k) submodules of type  $P_k$ .
- (3.16) Each  $W_i$  is a cyclic U(g) module with a cyclic vector  $v_i$ , which is a  $P_k$  extreme weight vector of weight  $\mu_i$  with respect to b, i = 1, ..., m + 1.
- (3.17) The  $P_k$  extreme vectors of weight  $\mu_i$  in  $W_i$  are scalar multiples of  $v_i$ ; for i = 1, ..., m + 1, the vector  $v_i$  does not belong to  $W_{i-1}$ .
- (3.18) Each  $W_i$  is  $X_{-\varphi}$  free for every  $\varphi$  in  $P_k$  and  $W_{i+1}/W_i$  is  $m(\eta_i)$  finite (i = 1, ..., m).

(3.19) 
$$v_i = X_{-\eta_i}^{\epsilon_i} v_{i+1} \ (i = 1, ..., m).$$

(3.20) Let w be in  $W_k$ . Let i = 1, ..., m. Suppose  $w'(\mu_{m+1})$  belongs to  $J(W_i)$ . Then N(w) equals at least m + 1 - i.

We will not prove the properties (3.15) to (3.20) here since they are essentially proved in [3, Lemma 5]. Though (3.20) has the same form as [3, Lemma 5, vi] its proof is different in our case. It is important to first know the case i = 1 of (3.20) to carry over the inductive arguments of [3, §3] to our situation. To this end we prove the following lemma. Before that we make the following remark.

(3.21) REMARK: Let  $H'_q$  be the element of h defined by  $(H'_q, H) =$ trace (ad H|u), for every H belonging to h, where u is the unipotent radical of q. Since q and h are  $\theta$  invariant  $\theta(H'_q) = H'_q$ ; hence  $H'_q$ belongs to b. One can easily prove the following: For every  $\alpha$  in  $P \cap -P'$ ,  $\alpha(H'_q)$  equals zero; for every  $\alpha$  in  $P \cap P'$ ,  $\alpha(H'_q)$  is a positive real number; and for every  $\varphi$  in  $P_k \cap -P'_k$ ,  $\varphi(H'_q)$  equals zero while for every  $\varphi$  in  $P_k \cap P'_k$ ,  $\varphi(H'_q)$  is a positive real number. (Observe that any  $\varphi$  in  $P_k \cap -P'_k$  is the restriction to h of some  $\alpha$  in  $P \cap -P'$ ).

Now we come to the lemma which is basic to carry over the inductive arguments of [3, \$3].

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#### A generalization of the Enright-Varadarajan modules

(3.22) LEMMA: Let w be in  $W_k$ . Suppose  $w'(\mu_{m+1})$  belongs to  $J(W_1)$ . Then N(w) is greater than or equal to m.

**PROOF:** Since  $w'(\mu_{m+1})$  belongs to  $J(W_1)$  it is in particular a weight of  $W_1$  of for b. Hence by (3.4).  $w'(\mu_{m+1})$  is of the form  $\mu_1 - \sum n_i \alpha_i | b$ , where  $n_i$  are nonnegative integers and  $\alpha_i$  are in P. That is  $w(\mu_{m+1} + \delta_k) - \delta_k = \mu_1 - \sum n_i \alpha_i | b = \tau t(\mu_{m+1} + \delta_k) - \delta_k - \sum n_i \alpha_i b$ . Thus,

$$\tau t(\mu_{m+1}+\delta_k)-w(\mu_{m+1}+\delta_k)=\sum |n_i\alpha_i|b.$$

Write  $\mu'_{m+1} = -t\mu_{m+1}$ . Hence

$$(3.23) \qquad -\tau(\mu_{m+1}'+\delta_k)+wt(\mu_{m+1}'+\delta_k)=\sum n_i\alpha_i|b|$$

where  $n_i$  are nonnegative integers and  $\alpha_i$  are in P. The left side of the equality in (3.23) is the sum of  $wt(\mu'_{m+1} + \delta_k) - (\mu'_{m+1} + \delta_k)$  and  $(\mu'_{m+1} + \delta_k) - \tau(\mu'_{m+1} + \delta_k)$ . We claim that (3.23) implies

(3.24) 
$$P_k \cap -wt P_k$$
 is contained in  $P_k \cap -\tau P_k$ .

To see this enumerate the elements of  $P_k \cap -wt P_k$  in a sequence  $(\epsilon_1, \epsilon_2, \dots, \epsilon_k)$  such that  $\epsilon_1$  is a simple root of  $P_k$  and  $\epsilon_{i+1}$  is a simple root of  $s_{\epsilon_1} \dots s_{\epsilon_1} P_k$   $(i = 1, \dots, k-1)$ . Then  $wt = s_{\epsilon_1} \dots s_{\epsilon_1}$  (cf. (5, 4.15.10] and [7, 8.9.13]). By induction on *i* one can show that  $(\mu'_{m+1} + \delta_k) - s_{\epsilon_1} \dots s_{\epsilon_1} (\mu'_{m+1} + \delta_k)$  can be written as  $\sum_{j=1}^{i} d_{j,j}\epsilon_j$  where  $d_{j,i}$  are positive integers. Thus  $(\mu'_{m+1} + \delta_k) - wt(\mu'_{m+1} + \delta_k)$  can be written as  $d_1\epsilon_1 + d_2\epsilon_2 + \dots + d_k\epsilon_k$  where  $d_j$  are positive integers. Similarly  $(\mu'_{m+1} + \delta_k) - \tau(\mu'_{m+1} + \delta_k)$  can be written as  $d'_1\epsilon'_1 + d'_2\epsilon'_2 + \dots + d'_k\epsilon'_k$  where  $d_i$  are positive integers. Similarly  $(\mu'_{m+1} + \delta_k) - \tau(\mu'_{m+1} + \delta_k)$  can be written as  $d'_1\epsilon'_1 + d'_2\epsilon'_2 + \dots + d'_k\epsilon'_k$  where  $d'_i$  are positive integers. Similarly  $(\mu'_{m+1} + \delta_k) - \tau(\mu'_{m+1} + \delta_k)$  can be written as  $d'_1\epsilon'_1 + d'_2\epsilon'_2 + \dots + d'_k\epsilon'_k$  where  $d'_i$  are positive integers. Similarly  $(\mu'_{m+1} + \delta_k) - \tau(\mu'_{m+1} + \delta_k)$  can be written as  $d'_1\epsilon'_1 + d'_2\epsilon'_2 + \dots + d'_k\epsilon'_k$  where  $d'_i$  are positive integers. Similarly  $(\mu'_{m+1} + \delta_k) - \tau(\mu'_{m+1} + \delta_k)$  can be written as  $d'_1\epsilon'_1 + d'_2\epsilon'_2 + \dots + d'_k\epsilon'_k$  where  $d'_i$  are positive integers. Similarly  $(\mu'_{m+1} + \delta_k) - \tau(\mu'_{m+1} + \delta_k)$  can be written as  $d'_1\epsilon'_1 + d'_2\epsilon'_2 + \dots + d'_k\epsilon'_k$  where  $d'_i$  are positive integers.

(3.25) 
$$-\tau(\mu'_{m+1}+\delta_k)+wt(\mu'_{m+1}+\delta_k)$$
$$=(d'_1\epsilon'_1+\cdots+d'_k\epsilon'_k)-(d_1\epsilon_1+\cdots+d_k\epsilon_k)$$

where  $d'_1, \ldots, d'_h, d_1, \ldots, d_k$  are positive integers. Let  $H'_q$  be the element of *h* defined by  $(H'_q, H) = \text{trace}(ad H|u)$ , where *u* is the unipotent radical of *q*. Then  $H'_q$  belongs to *b*. We can apply remark (3.21) to (3.25) and conclude that  $[-\tau(\mu'_{m+1} + \delta_k) + wt(\mu'_{m+1} + \delta_k)](H'_q)$  is a strictly negative real number unless (3.24) holds. But by looking at the right hand side of (3.23) and applying remark (3.21), we see that  $[-\tau(\mu'_{m+1} + \delta_k) + wt(\mu'_{m+1} + \delta_k)](H'_q)$  is a nonnegative real number.

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Thus we have proved the validity of (3.24). Now (3.24) implies that N(wt) is less than or equal to  $N(\tau)$ . But note that N(wt) = N(t) - N(w), while  $N(\tau) = N(t) - N(\tau t) = N(t) - m$ . Hence N(w) is greater than or equal to m.

(q.e.d.)

(3.22) enables us to carry over the inductive arguments in [3, §3] without any further change and obtain the properties (3.15) to (3.20).

#### §4. The k-finite quotient U(g) module of $W_{m+1}$

The difference between the special situation in [3] and our more general situation becomes more apparent in this section which parallels [3, §4].

Start with an arbitrary reduced word (3.8) for  $\tau t$  and let  $W_1 \subseteq W_2 \subseteq \cdots \subseteq W_{m+1}$  be a fundamental chain of U(g) modules satisfying (3.15) through (3.20). Recall  $W_1 = V_{P,-\mu-\delta}$ . Recall the subset  $\pi(q) \subseteq \pi$  corresponding to the parabolic subalgebra q. For  $\alpha$  in  $\pi$  and  $\lambda$  in  $h^X$  define  $s^X_{\alpha}(\lambda) = s_{\alpha}(\lambda + \delta) - \delta$ . By Lemma 3.6,  $-\mu - \delta(H_{\alpha})$  is a nonnegative integer for every  $\alpha$  in  $P \cap -P'$ , hence in particular for every  $\alpha$  in  $\pi(q)$ . Thus one has the inclusion of the Verma modules  $V_{P,s^+_{\alpha}(1-\mu-\delta)} \subseteq V_{P,-\mu-\delta}$  for every  $\alpha$  in  $\pi(q)$ . We now define a U(g) submodule

(4.1) 
$$W_0 = \sum_{\alpha \in \pi(q)} V_{P, s_{\alpha}^{\perp}(-\mu-\delta)} \text{ of } W_1.$$

As is well known the Verma modules have unique proper maximal submodules. Let I be the proper maximal U(g) submodule of  $V_{P,-\mu-\delta}$ . Then each  $V_{P,s_{\delta}^{*}(-\mu-\delta)}$  ( $\alpha \in \pi(q)$ ) is contained in I. Hence

#### (4.2) $v_1$ does not belong to $W_0$ .

Now fix some i, (i = 1, ..., m). Define a U(g) submodule (relative to some reduced word (3.8) for  $\tau t$ )  $\overline{W}_i$  of  $W_{m+1}$  as follows: Let  $W_{i,0}$  be the U(g) submodule of all vectors in  $W_{m+1}$  that are  $m(\eta_i)$  finite mod  $W_{i-1}$ ; once  $W_{i,0}, \ldots, W_{i,p-1}$  are defined,  $W_{i,p}$  is the U(g) submodule of all vectors in  $W_{m+1}$  that are  $m(\eta_{i+p})$  finite mod  $W_{i,p-1}$ ,  $p = 1, 2, \ldots, m - i$ . We have  $W_{i,0} \subseteq \cdots \subseteq W_{i,m-i}$ . We then define  $\overline{W}_i =$  $W_{i,m-i}$ . Define

(4.3) 
$$\bar{W} = W_m + \bar{W}_1 + \bar{W}_2 + \dots + \bar{W}_m$$

Thus for each reduced expression (3.8) for  $\tau t$ , we have defined a U(g) submodule  $\overline{W}$  of  $W_{m+1}$ .

(4.4) PROPOSITION: For any reduced word (3.8) for  $\tau t$ , define the U(g) submodule  $\overline{W}$  of  $W_{m+1}$  as above. Then  $v_{m+1}$  does not belong to  $\overline{W}$ . If  $\lambda \in b^X$  is such that  $W_{m+1}$  has a nonzero  $P_k$  extreme weight vector (with respect to b) of weight  $\lambda$  which is nonzero mod  $\overline{W}$ , then  $(\tau t)'\lambda$  is a  $P_k$  extreme weight of  $W_1/W_0$ .

PROOF: We refer to the proof of [3, Lemma 9].

Since we do not have a full chain of U(g) modules corresponding to a reduced word for t as in [3] but only a shorter chain corresponding to a reduced word for  $\tau t$ , we have to work more to obtain a k-finite quotient U(g) module of  $W_{m+1}$ . We now define

(4.5)  $W_x = \Sigma \bar{W}$ , the summation being over all reduced expressions (3.8) for  $\tau t$ .

(4.6) LEMMA:  $v_{m+1}$  does not belong to  $W_x$ . Let  $\lambda \in b^x$  be such that there is a  $P_k$  extreme vector in  $W_{m+1}$  of weight  $\lambda$  which is nonzero mod  $W_x$ . Then  $(\tau t)'\lambda(H_{\varphi}^k)$  is a nonnegative integer for every  $\varphi$  in  $P_k \cap -P'_k$ .

PROOF:  $v_{m+1}$  is a  $P_k$  extreme weight vector in  $W_{m+1}$  of weight  $\mu_{m+1}$ . From (3.7) and the definition of  $\mu_{m+1}$ , we know that  $\mu_{m+1}(H_{\varphi}^k)$  is a nonnegative integer for every  $\varphi$  in  $P_k$ . Now suppose  $v_{m+1}$  belongs to  $W_X$ . Since  $W_X = \Sigma \bar{W}$ ,  $W_X$  is a quotient of the abstract direct sum  $\oplus \bar{W}$ , the summation being over all reduced words (3.8) for  $\tau t$ . We can then apply [3, Lemma 7] and conclude that for some reduced word (3.8) for  $\tau t$ , the corresponding  $\bar{W}$  has a nonzero  $P_k$  extreme vector of weight  $\mu_{m+1}$ . This vector has to be a nonzero scalar multiple of  $v_{m+1}$  in view of (3.17). Hence  $v_{m+1}$  belongs to that  $\bar{W}$ . But this contradicts (4.4). This proves the first assertion in (4.6).

Next let  $\lambda$  be as in the lemma. Let c be the reductive component of q defined by  $c = h + \sum_{\alpha \in P \cap -P'} (g^{\alpha} + g^{-\alpha})$ . We claim that  $W_1/W_0$  is c-finite. For this it is enough to show that the image  $\bar{v}_1$  in  $W_1/W_0$  of  $v_1$  is c-finite. For any  $\alpha$  in  $\pi(q)$  the submodule  $V_{g,P,s_a^{2}(\mu_1)}$  of  $W_1$  coincides with  $U(g)X_{-\alpha}^{\mu_1(H_a)+1} \cdot v_1$  (cf. [2, 7.1.15]). Thus we have  $W_0 = \sum_{\alpha \in \pi(q)} U(g)X_{-\alpha}^{\mu_1(H_a)+1} \cdot v_1$ . Hence the annihilator in U(g) of  $\bar{v}_1$  contains  $U(g)X_{-\alpha}^{\mu_1(H_a)+1}$  for every  $\alpha$  in  $\pi(q)$ . This suffices in view of [2, 7.2.5] to conclude that  $\bar{v}_1$  is c-finite. Thus  $W_1/W_0$  is c-finite.

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Let  $c_k = c \cap k$ . Then in particular  $W_1/W_0$  is  $c_k$ -finite. But note that  $c_k = b + \sum_{\varphi \in P_k \cap -P_k} (C \cdot X_{\varphi} + C \cdot X_{-\varphi}).$ 

Now choose some reduced word (3.8) for  $\tau t$  and relative to it define  $\overline{W}$  as in (4.3). Note that  $\overline{W} \subseteq W_X$ . For  $\lambda$  as in the lemma, choose a  $P_k$  extreme weight vector v in  $W_{m+1}$  which is nonzero mod  $W_X$  and is of weight  $\lambda$ . Then v is in particular nonzero mod  $\overline{W}$ . Hence from (4.4),  $(\tau t)'\lambda$  is a  $P_k$  extreme weight of  $W_1/W_0$ . Since  $W_1/W_0$  is  $c_k$ -finite, it now follows that  $(\tau t)'\lambda(H_{\tau}^k)$  is a nonnegative integer for every  $\varphi$  in  $P_k \cap -P'_k$ .

(q.e.d.)

For our proof of the k-finiteness of  $W_{m+1}/W_k$ , we need one more lemma.

(4.7) LEMMA: Let  $\eta$  be in  $b^X$ . Suppose  $\eta(H_{\varphi}^k)$  is nonnegative for every  $\varphi$  in  $P_k$ . Let s be in  $W_k$ . Suppose  $(\tau ts)'\eta(H_{\varphi}^k)$  is nonnegative for every  $\varphi$  in  $P_k \cap -P'_k$ . Then  $N(\tau t) = N(\tau ts) + N(s^{-1})$ .

**PROOF:**  $(\tau ts)'\eta = \tau ts(\eta + \delta_k) - \delta_k$ . Since  $\eta(H_{\varphi}^k)$  is nonnegative for every  $\varphi$  in  $P_k$ ,  $\tau ts(\eta + \delta_k)(H_{\varphi}^k)$  is negative for every  $\varphi$  in  $-\tau ts P_k$ . Also  $-\delta_k(H_{\varphi}^k)$  is negative for every  $\varphi$  in  $P_k$ . Hence  $(\tau ts)'\eta(H_{\varphi}^k)$  is negative for every  $\varphi$  in  $(-\tau ts P_k) \cap P_k$ . Hence the assumption implies

(4.8)  $P_k \cap -\tau ts P_k \subseteq \text{complement of } P_k \cap -P'_k \text{ in } P_k.$ 

Note that  $tP_k = -P_k$  and  $\tau P_k = P'_k$ . So,  $-P'_k = \tau tP_k$ . So, the complement of  $P_k \cap -P'_k$  in  $P_k$  is  $P_k \cap -\tau tP_k$ . Hence from (4.8) we have

$$(4.9) P_k \cap (-\tau tsP_k) \subseteq P_k \cap (-\tau tP_k).$$

Let  $(\epsilon_1, \epsilon_2, \dots, \epsilon_j, \epsilon_{j+1}, \dots, \epsilon_m)$  be an enumeration of the elements of  $(-\tau tP_k) \cap P_k$  such that  $\epsilon_1$  is a simple root of  $P_k, \epsilon_2$  is a simple root of  $s_{\epsilon_1}P_k, \dots, \epsilon_{i-1}$  is a simple root of  $s_{\epsilon_i}s_{\epsilon_{i-1}} \dots s_{\epsilon_1}P_k$   $(i = 1, \dots, m-1)$ . Because of (4.9) we can further assume  $(\epsilon_1, \dots, \epsilon_j)$  is an enumeration of  $(-\tau tsP_k) \cap P_k$ . Let

$$\varphi'_{\mathbf{f}} = s_{\epsilon_1} \dots s_{\epsilon_{i-1}}(\epsilon_i) \quad (i = 1, \dots, m) \quad (\varphi'_1 = \epsilon_1).$$

Then  $\varphi'_i$  belongs to  $\pi_k$ . One can show that  $\tau t = s_{e_m} \dots s_{e_1}$  and a reduced word for  $\tau t$  is

(4.10) 
$$\tau t = s_{\varphi_1} s_{\varphi_2} \dots s_{\varphi_m}$$

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(cf. [5, 4.15.10] and [7, 8.9.13]). Similarly  $\tau ts = s_{\epsilon_j} \dots s_{\epsilon_i}$  and a reduced word for  $\tau ts$  is

$$\tau ts = s_{e1} \dots s_{er}$$

Note that  $N(\tau t) = m$  and  $N(\tau ts) = j$ . Now from (4.10) and (4.11) it is clear that  $s^{-1} = s_{\varphi_{j+1}} \dots s_{\varphi_m}$  is a reduced word for  $s^{-1}$ . These observations substantially prove the lemma.

(q.e.d.)

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(4.12) REMARK: With the data assumed in Lemma 4.7 we have actually proved more than what is asserted in (4.7): There exists a reduced word  $\tau t = s_{\varphi_1} \dots s_{\varphi_r} s_{\varphi_{r+1}} \dots s_{\varphi_m}$  for  $\tau t$  such that  $s^{-1} = s_{\varphi_{r+1}} \dots s_{\varphi_m}$ .

The following proposition gives the k-finite U(g) module quotient of  $W_{m+1}$ .

#### (4.13) **PROPOSITION:** The U(g) module $W_{m+1}/W_x$ is k-finite.

PROOF: Let  $\bar{v}_{m+1}$  be the image of  $v_{m+1}$  in  $W_{m+1}/W_X$ . Since  $U(g)\bar{v}_{m+1} = W_{m+1}/W_X$ , it suffices to prove that  $U(k) \cdot \bar{v}_{m+1}$  has finite dimension over C. For this again, by well known facts [2, 7.2.5] it suffices to prove that the annihilator of  $\bar{v}_{m+1}$  in U(k) contains  $X_{-\varphi}^{(\varphi)}$  for every  $\varphi$  in  $\pi_k$ , where  $e(\varphi) = \mu_{m+1}(H_{\varphi}^k) + 1$  (observe that in view of (3.7),  $\mu_{m+1}(H_{\varphi}^k)$  is a nonnegative integer for every  $\varphi$  in  $\pi_k$ ). Thus it suffices to show that for every  $\varphi$  in  $\pi_k$ ,

(4.14) 
$$X_{-\varphi}^{e(\varphi)} \cdot v_{m+1}$$
 belongs to  $W_X$ .

Suppose (4.14) is not true. Choose a  $\varphi$  in  $\pi_k$ , such that  $X_{-\varphi}^{\epsilon(w)}v_{m+1}$  does not belong to  $W_x$ . Then  $X_{-\varphi}^{\epsilon(\varphi)}v_{m+1}$  is a  $P_k$  extreme vector of weight  $s'_{\varphi}(\mu_{m+1})$  in  $W_{m+1}$  which is nonzero mod  $W_x$ . Hence by (4.6),  $(\tau ts_{\varphi})'\mu_{m+1}(H_{\varphi}^k)$  is a nonnegative integer for every  $\varphi'$  in  $P_k \cap -P'_k$ . We can now apply (4.7) and (4.12) and conclude that there exists a reduced word

(4.15) 
$$\tau t = s_{\varphi_1} s_{\varphi_2} \dots s_{\varphi_{m-1}} s_{\varphi_m} \quad (\varphi_1' \in \pi_k)$$

for  $\tau t$  such that

(4.16)

$$\varphi'_m = \varphi.$$

Take the reduced word (4.15) for  $\tau t$  in (3.8) and consider the corresponding modules  $W_m$  and  $\overline{W}$ . By definition  $W_m \subseteq \overline{W}$ . But in the fundamental chain  $W_1 \subseteq \cdots \subseteq W_m \subseteq W_{m+1}$  associated to the reduced word (4.15) for  $\tau t$ , the module  $W_m$  is simply  $U(g) \cdot X_{-\varphi}^{e(\varphi)} v_{m+1}$ . This is clear from the definitions (cf. (3.14) and the definition of  $v_i$  after (3.11)) and (4.16). Thus it follows that  $X_{-\varphi}^{e(\varphi)} v_{m+1} \in \overline{W} \subseteq W_X$ . But this is a contradiction to the hypothesis. Thus (4.14) is true and proved and with that also the k-finiteness of  $W_{m+1}/W_X$ .

(q.e.d.)

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§5

Let b be a Cartan subalgebra of k and h its centralizer in g, so that h is a  $\theta$  stable Cartan subalgebra of g. Let P be a system of positive roots for (g, h) such that  $\theta(P) = P$ . Let

$$n^+ = \sum_{\alpha \in P} g^\alpha$$

and

$$n^- = \sum_{\alpha \in P} g^{-\alpha}.$$

The following fact is standard if b = h, but it remains true in our general case.

(5.1) LEMMA: Let  $U^{b}$  be the centralizer of b in U(g). If the set P of positive roots satisfies  $\theta P = P$ , we have a unique homomorphism

$$(5.2) \qquad \qquad \beta_P: U^h \to U(h)$$

such that for any y in  $U^{b}$ 

(5.3) 
$$y = \beta_P(y) (\text{mod } U(g)n^+).$$

PROOF: We have

(5.4) 
$$U(g) = U(n^{-} + h) \oplus U(g)n^{-}$$

and this decomposition is stable under ad H for every H in h, i.e.  $ad H(U(n^- + h)) \subseteq U(n^- + h)$  and  $ad H(U(g)n^+) \subseteq U(g)n^+$ . For y in  $U^h$ , let  $y = y_0 + y_1$  be its decomposition with respect to (5.4). Define

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 $\beta_P(y) = y_0$ . We claim  $\beta_P(y)$  belongs to the subalgebra U(h) of  $U(n^- + h)$ . Since y is in  $U^b$ ,  $y_0$  and  $y_1$  are also in  $U^b$ . Let  $S(n^- + h)$  and S(h) denote the symmetric algebras and  $\lambda$  the symmetrizer map of  $S(n^- + h)$  onto  $U(n^- + h)$ . Then for H in b,  $\lambda^{-1}(y_0)$  is annihilated by ad H (extended as a derivation to  $S(n^- + h)$ ). It is enough to show that  $\lambda^{-1}(y_0)$  belongs to S(h). Using (1.14), one can show that there exists an element  $X_P$  in b such that  $\alpha(X_P)$  is a nonzero real number for every  $\alpha$  in  $\Delta$  (= the roots of (g, h)) and such that P consists of precisely those  $\alpha$  in  $\Delta$  such that  $\alpha(X_P)$  is positive. It is then clear that in  $S(n^- + h)$ , the null space for  $ad X_P$  is just S(h). Since  $ad X(\lambda^{-1}(y_0)) = 0$  for every X in b, in particular  $ad X_P(\lambda^{-1}(y_0)) = 0$ . Hence  $\lambda^{-1}(y_0)$  belongs to S(h), so that  $\beta_P(y)$  belongs to U(h).

Now suppose y and y' are in  $U^b$ . Let  $y = y_0 + y_1$  and  $y' = y'_0 + y'_1$  be their decomposition with respect to (5.4), so that  $\beta_P(y) = y_0$  and  $\beta_P(y') = y'_0$ . Then  $yy' = y_0y'_0 + y_0y'_1 + y_1y'_0 + y_1y'_1$ . Clearly  $y_0y'_0$  belongs to U(h) and  $y_0y'_1 + y_1y'_1$  belongs to  $U(g)n^+$ . Also  $y_1y'_0 \in$  $U(g)n^+ \cdot U(h) \subseteq U(g)U(h)n^+$ . Thus  $y_0y'_0$  is the component of yy' in  $U(n^- + h)$  with respect to (5.4). We already know that this component is in U(h). Thus  $\beta_P$  is a homomorphism of algebras. (q.e.d.)

The centralizer  $U^k$  of k in U(g) is contained in  $U^h$ . As usual interpret elements of S(h) as polynomials on  $h^x$ . For any  $\varphi$  in  $h^x$ , define a homomorphism  $x_{P,x}$  of  $U^k$  into C as follows:

(5.5) 
$$\chi_{P,\varphi}(y) = \beta_P(y)(\varphi) \quad (y \in U^k).$$

The main results of the previous sections can now be formulated. Let  $b_0$  be a Cartan subalgebra of  $k_0$  and b its complexification. Let q be a  $\theta$  stable parabolic subalgebra of g containing b. The centralizer h of b in g is a Cartan subalgebra of g and q contains h. Let r be a  $\theta$ stable Borel subalgebra of g contained in q (cf. (1.15) and (1.2)). Let P be the set of positive roots for (g, h) corresponding to r. Define the  $\theta$ stable Borel subalgebra  $r' \subseteq q$  by (2.1). Choose a  $\theta$  stable positive system P" of roots of (g, h) having properties (2.3) and (2.4). Denote by F(P''; q, r) the set of all elements  $\mu$  in  $h^x$  having properties (2.6) and (2.7). Now choose a  $\mu$  in F(P''; q, r) and recall the objects associated to it in §§3, 4.

#### We can now state

(5.6) THEOREM: Let q be a  $\theta$  stable parabolic subalgebra. Let  $\mu \in F(P''; q, r)$ . Let  $W_{P'';q,r} = W_{m+1}/W_X$  (cf. (3.12) and (4.5)). Then  $W_{P'';q,r}(\mu)$  is a k finite U(g) module having the following properties:

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- (i) W<sub>P<sup>\*</sup>:q,r</sub>(μ) = U(g) v
  <sub>m+1</sub>, where v
  <sub>m+1</sub> is the image of the vector v<sub>m+1</sub> of W<sub>m+1</sub>. The irreducible finite dimensional representation of k with highest weight -t(τμ + τδ τδ<sub>k</sub> δ<sub>k</sub>) occurs with multiplicity one in W<sub>P<sup>\*</sup>:q,r</sub>(μ). The corresponding isotypical U(k) submodule of W<sub>P<sup>\*</sup>:q,r</sub> is U(k)v
  <sub>m+1</sub>; on this space elements of U<sup>k</sup> act by scalars given by the homomorphism χ<sub>P-μ-δ</sub>.
- (ii) If τ<sub>λ</sub> is an irreducible finite dimensional representation of k with highest weight λ with respect to P<sub>k</sub>, then the multiplicity of τ<sub>λ</sub> in W<sub>P<sup>n</sup>:q,r</sub>(μ) is finite; it is zero if λ is not of the form (tτ)'(-μ δ Σ<sub>φ∈P</sub>m<sub>φ</sub>φ)|b where m<sub>φ</sub> are nonnegative integers.

**PROOF:** By (4.13), we know that  $W_{P^*:q,r}(\mu)$  is nonzero and k-finite. By (4.6) the vector  $v_{m+1}$  of  $W_{m+1}$  does not belong to  $W_X$ . The image of  $v_{m+1}$  in  $\dot{W}_{P^*:q,r}(\mu)$  is  $P_k$  extreme of weight  $(t\tau)'(-\mu - \delta) = -t(\tau\mu + \tau\delta - \tau\delta_k - \delta_k)$  (which is dominant by (3.7)) and this image generates an irreducible k-module with highest weight  $-t(\tau\mu + \tau\delta + \tau\delta_k - \delta_k)$  with respect to  $P_k$ .

Based on the preceding sections one can complete the proof of the theorem in the same way as [3, Theorem 1].

It is easy to conclude from (5.6) that  $W_{P^*;q,r}(\mu)$  has a unique proper maximal U(g) submodule and hence  $W_{P^*;q,r}(\mu)$  has a unique nonzero quotient U(g) module which is irreducible. We denote this U(g)module by  $D_{P^*;q,r}(\mu)$ . The following theorem is now immediate from (5.6).

(5.7) THEOREM: Let  $\mu \in F(P^{"};q,r)$ . Up to equivalence there exists a unique k-finite irreducible U(g) module  $D_{P^*;q,r}(\mu)$  having the following property: The finite dimensional irreducible U(k) module with highest weight  $-t(\tau\mu + \tau\delta - \tau\delta_k - \delta_k)$  (with respect to  $P_k$ ) occurs with multiplicity one in  $D_{P^*;q,r}(\mu)$  and the action of  $U^k$  on the corresponding isotypical U(k) submodule is given by the homomorphism  $\chi_{P,-\mu-\delta}$ .

The uniqueness follows from the well known theorem of Harish Chandra [4]: An irreducible k-finite U(g) module M is completely determined by a nonzero isotypical U(k) submodule of M and the action of  $U^k$  on it.

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