

Mutually Unbiased Bases: complementary observables in finite-dimensional Hilbert spaces

Prabha Mandayam
Chennai Mathematical Institute

Aspects of Mathematics
The Institute of Mathematical Sciences

- Mutually Unbiased Bases: an introduction

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- Existence and Constructions :
 - Generators of the Weyl-Heisenberg group in prime dimensions
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- **Applications**: Quantum State Tomography and Quantum Cryptography

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- **Applications**: Quantum State Tomography and Quantum Cryptography
- Maximal sets in other composite dimensions?
Unextendible sets of MUBs

Mutually Unbiased Bases

- Let \mathbb{H}^d be a finite-dimensional Hilbert space¹.
State space of any finite quantum system.
- **Definition:-** Two orthonormal bases $\mathcal{A} \equiv \{|a_0\rangle, |a_1\rangle, \dots, |a_{d-1}\rangle\}$ and $\mathcal{B} \equiv \{|b_0\rangle, |b_1\rangle, \dots, |b_{d-1}\rangle\}$ in \mathbb{H}^d are *mutually unbiased* if

$$|\langle a_i | b_j \rangle| = \frac{1}{\sqrt{d}}, \quad \forall i, j = 0, 1, \dots, d-1.$$

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- **Complementary Observables:** If a physical system is *prepared* in an eigenstate of basis \mathcal{A} (say $|a_i\rangle$), and *measured* in basis \mathcal{B} , the probability of outcome j is:

$$p(j||a_i) := |\langle b_j | a_i \rangle|^2 = \frac{1}{d}, \quad \forall j.$$

All outcomes are **equally** probable!

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Mutually Unbiased Bases : Examples

- **Pauli matrices** X, Z on \mathbb{C}^2 :

$$Z = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}; \quad X = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$$

Eigenbases of Z, X :

$$\mathcal{B}_Z = \{|0\rangle, |1\rangle\}; \quad \mathcal{B}_X = \left\{ |+\rangle = \frac{|0\rangle+|1\rangle}{\sqrt{2}}, |-\rangle = \frac{|0\rangle-|1\rangle}{\sqrt{2}} \right\}$$

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- A set of k mutually unbiased bases (MUBs): a set of orthonormal bases $\{\mathcal{B}_1, \mathcal{B}_2, \dots, \mathcal{B}_k\}$ in \mathbb{H}^d , where every pair of bases in the set is mutually unbiased.

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- A **third** MUB in \mathbb{C}^2 : eigenbasis of Y

$$Y = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}, \quad \mathcal{B}_Y = \left\{ \frac{|0\rangle + i|1\rangle}{\sqrt{2}}, \frac{|0\rangle - i|1\rangle}{\sqrt{2}} \right\}$$

MUBs : Existence and Constructions

A pair of mutually unbiased bases

- There exist a pair of MUBs in \mathbb{C}^d , for *any* dimension d .

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$$|\tilde{k}\rangle = \frac{1}{\sqrt{d}} \sum_{j=0}^{d-1} e^{-i2\pi jk/d} |j\rangle$$

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$$\langle j|\tilde{k}\rangle = \frac{1}{\sqrt{d}} e^{-i2\pi jk/d}, \quad \forall j, k = 0, 1, \dots, d-1.$$

- Define the **cyclic** operators:

$$\mathcal{X}|j\rangle = |(j+1) \bmod d\rangle; \quad \mathcal{Z}|j\rangle = e^{i2\pi j/d} |j\rangle, \quad \text{with } (\mathcal{X})^d = (\mathcal{Z})^d = \mathbb{I}.$$

Eigenbases of \mathcal{X} and \mathcal{Z} are mutually unbiased!

MUBs in prime dimensions using \mathcal{X} and \mathcal{Z}

- **Three** MUBs in \mathbb{C}^d : eigenbases of $\{\mathcal{X}, \mathcal{Z}, \mathcal{X}\mathcal{Z}\}$. (**Generalized** Pauli operators)

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- **Lemma 1:** Let $\mathcal{B} = \{|b_0\rangle, |b_1\rangle, \dots, |b_{d-1}\rangle\}$ be a basis in \mathbb{C}^d . If there exists a **unitary** operator

$$V : V|b_i\rangle = \beta_i |b_{(i+1) \bmod d}\rangle, \quad |\beta_i| = 1,$$

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- *Proof:* Let $V|v_i\rangle = \lambda_i|v_i\rangle$, $i = 0, 1, \dots, d-1$. ($|\lambda_i| = 1$)

$$\begin{aligned} |\langle v_i|b_j\rangle| &= |\langle v_i|V|b_j\rangle| = |\langle v_i|b_{(j+1)\bmod d}\rangle|, \quad \forall i, j. \\ \Rightarrow |\langle v_i|b_0\rangle| &= |\langle v_i|b_1\rangle| = \dots = |\langle v_i|b_{d-1}\rangle|, \quad \forall i. \\ \Rightarrow |\langle v_i|b_j\rangle|^2 &= \frac{1}{d}, \quad \forall i, j. \quad \left(\sum_j |\langle v_i|b_j\rangle|^2 = 1, \quad \forall i.\right) \end{aligned}$$

$(d + 1)$ MUBs in prime dimensions

- Consider the operators $\{\mathcal{X}, \mathcal{Z}, \mathcal{X}\mathcal{Z}, \mathcal{X}(\mathcal{Z})^2, \dots, \mathcal{X}(\mathcal{Z})^{d-1}\}$ over \mathbb{C}^d . They are **unitary** and **cyclic**, i.e., $(\mathcal{X}(\mathcal{Z})^k)^d = \mathbb{I}$ for $0 \leq k \leq d - 1$.

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$$\mathcal{X}(\mathcal{Z})^k |j\rangle = (e^{i2\pi j/d})^k |(j + 1) \bmod d\rangle.$$

- If $|\psi_t^{(k)}\rangle, t = 0, 1, \dots, d - 1$ denote eigenstates of $\mathcal{X}(\mathcal{Z})^k$, for prime d ,

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- **Lemma 2** : When d is **prime**, the eigenvectors of $\mathcal{X}(\mathcal{Z})^k$ are **cyclically shifted** under the action of $\mathcal{X}(\mathcal{Z})^l$, for all $l \neq k$ ($0 \leq l, k \leq d - 1$).

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- From Lemmas 1 & 2: For any **prime** d , the set of bases comprising eigenvectors of $\{\mathcal{X}, \mathcal{Z}, \mathcal{X}\mathcal{Z}, \mathcal{X}(\mathcal{Z})^2, \dots, \mathcal{X}(\mathcal{Z})^{d-1}\}$ is a set of **$d + 1$** MUBs in \mathbb{C}^d .

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where $\omega = e^{2\pi i/3}$.

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 - The operators $\{\mathcal{X}(\mathcal{Z})^k\}$ have shorter periods. Eg. $(\mathcal{Z}^p)^q = \mathbb{I}$.
 - Cyclic shift property no longer holds.
 - Numerical evidence shows, we obtain no more than 3 MUBs using this approach: the eigenbases of $\{\mathcal{X}, \mathcal{Z}, \mathcal{X}\mathcal{Z}\}$.

MUBs : Role in Quantum Information Processing

Identifying an unknown quantum state

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 - To specify a general density matrix $\rho \in \mathbb{C}^d$: need $d^2 - 1$ real parameters.
 - Measurement in one orthonormal basis $\mathcal{B}^j = \{|\psi_0^j\rangle, \dots, |\psi_{d-1}^j\rangle\}$ yields only $d - 1$ independent probabilities:

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- Mutual **unbiasedness** implies that statistical errors are minimized when measuring finite samples.

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- Let $H(\mathcal{B}^j || \phi)$ be the **entropy** of the distribution $p(i | \mathcal{B}^j)_{|\phi\rangle}$.
An entropic *uncertainty* relation (EUR) for the set of bases $\{\mathcal{B}^1, \dots, \mathcal{B}^L\}$ is:

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- Lower bound $c_{\mathcal{B}^1, \dots, \mathcal{B}^L}$ captures the **mutual incompatibility** of the set $\{\mathcal{B}^1, \dots, \mathcal{B}^L\}$.

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- **Example : Massen and Uffink bound :-**

For measurement bases $\mathcal{A} = \{|a_1\rangle, \dots, |a_d\rangle\}$ and $\mathcal{B} = \{|b_1\rangle, \dots, |b_d\rangle\}$ in \mathbb{C}^d ,

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- *Security* of quantum cryptographic protocols relies on this property of MUBs.

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- **Key:** n -bit string $X = x_1x_2 \dots x_n$, $x_i \in \{0, 1\}$.

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The participants (**A** and **B**) want to generate a *secret key* about which an eavesdropper (**E**) cannot obtain significant information.

- **Example** of a protocol using states in \mathbb{C}^2 (**qubits**):

- **Key:** n -bit string $X = x_1x_2 \dots x_n$, $x_i \in \{0, 1\}$.

- **A** *encodes* each bit x_i in an eigenstate of one a pair of **complementary bases**, $\{|0\rangle, |1\rangle\}$ or $\{|+\rangle, |-\rangle\}$ in \mathbb{C}^2 :

$$x_i \rightarrow |x_i\rangle \text{ or } x_i \rightarrow (|x_i\rangle + |\bar{x}_i\rangle)/\sqrt{2}.$$

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- Amount of information **E** has about the *key* is a measure of **incompatibility** of the set of bases used by **A**.

The case of prime-power dimensions

The Weyl-Heisenberg Group

- **Weyl-Heisenberg group** \mathcal{H}_d : Finite **non-abelian** group generated by the cyclic shift operator \mathcal{X} and the phase operator \mathcal{Z} . They satisfy the **Weyl** commutation rule:

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- Each element of \mathcal{H}_d can be uniquely represented (upto a phase) as $U_{m,n} = (\mathcal{X})^m(\mathcal{Z})^n$, $0 \leq m, n \leq d-1$. $U_{m',n'}$ and $U_{m,n}$ commute iff $mn' - nm' = 0 \pmod{d}$.

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- The elements of \mathcal{H}_d are pairwise **trace orthogonal**:

$$\text{tr}[(\mathcal{X}^m \mathcal{Z}^n)(\mathcal{X}^{m'} \mathcal{Z}^{n'})] = \delta_{mm'} \delta_{nn'}.$$

The operators $\{U_{m,n}\}$ form a ON **basis** for the space of $d \times d$ complex matrices $\mathbb{M}_d(\mathbb{C})$.

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- Suppose there exists a partitioning of $\mathcal{S} \setminus \{\mathbb{I}\}$ into **Mutually Disjoint Maximal Commuting Classes**: $\{\mathcal{C}_1, \mathcal{C}_2, \dots, \mathcal{C}_L\}$ where, $\mathcal{C}_j \subset \mathcal{S} \setminus \{\mathbb{I}\}$ of size $|\mathcal{C}_j| = d - 1$ are such that

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 - (a) the elements of \mathcal{C}_j commute for all $1 \leq j \leq L$, and,
 - (b) $\mathcal{C}_j \cap \mathcal{C}_k = \emptyset$ for all $j \neq k$.
- **Theorem 1:** The common eigenbases of each of $\{\mathcal{C}_1, \mathcal{C}_2, \dots, \mathcal{C}_L\}$ form a set of L mutually unbiased bases.

Proof of Theorem 1

- Consider a maximal commuting class \mathcal{C}_j ($1 \leq j \leq d+1$) :

$$\mathcal{C}_j = \{U_{j,0}, U_{j,1}, U_{j,2}, \dots, U_{j,d-1}\}, \quad (U_{j,0} = \mathbb{I})$$

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- **Orthogonality** of the unitaries implies, for every pair $j \neq k$,

$$\text{tr}[U_{j,s}^\dagger U_{k,t}] = d \delta_{s,0} \delta_{t,0}, \quad \forall 0 \leq s, t \leq d-1.$$

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- Inverting this system of equations, for every $j \neq k$,

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$\{\mathcal{B}^1, \mathcal{B}^2, \dots, \mathcal{B}^L\}$ is thus a set of L **MUBs** in \mathbb{C}^d .

Unitary Operator Bases and MUBs - II

- **Conversely**, let $\{\mathcal{B}^1, \mathcal{B}^2, \dots, \mathcal{B}^L\}$ be a set of L MUBs in \mathbb{C}^d . Then, there exists a set of $L(d-1)$ mutually orthogonal unitary operators that can be **partitioned** into L mutually disjoint maximal commuting classes.

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$$U_{j,s} = \sum_{l=0}^{d-1} e^{2\pi i s l / d} |\psi_l^j\rangle \langle \psi_l^j|, \quad \forall 0 \leq s \leq d-1, \quad 1 \leq j \leq L.$$

Clearly, $U_{j,s}$ and $U_{j,t}$ **commute** for every j .

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- These unitaries are indeed **mutually orthogonal**:

$$\begin{aligned} \text{tr}[U_{j,s}^\dagger U_{k,t}] &= \sum_{l,m=0}^{d-1} e^{2\pi i (tl - sm) / d} |\langle \psi_l^j | \psi_m^k \rangle|^2 \\ \Rightarrow \text{tr}[U_{j,s}^\dagger U_{j,t}] &= d \delta_{s,t}, \quad \text{tr}[U_{j,s}^\dagger U_{k,t}] = 0, \quad j \neq k, \quad (s,t) \neq (0,0). \end{aligned}$$

Unitary operator basis and MUBs - III

- **Corollary** : The cardinality of a set of MUBs in \mathbb{C}^d cannot be more than $d + 1$.
Let $N(d)$ be the *maximal* number of MUBs in d -dimensions, then,
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Common eigenbases of $\mathcal{S}_1, \mathcal{S}_2, \dots, \mathcal{S}_5$ form a set of 5 MUBs in \mathbb{C}^4 .

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- There exists a partitioning of \mathcal{S} into $d + 1$ mutually disjoint maximal commuting classes \mathcal{C}_i .
A partitioning of d^2 elements of the Weyl-Heisenberg group into $d + 1$ **Abelian subgroups**.

Composite Dimensions: Unextendible MUBs

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- Question of whether a **maximal** set of MUBs exists in **non-prime-power** dimensions still remains unresolved.

Maximal set of MUBs in $d = 6$?

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- All known triples of MUBs in $d = 6$ are **unextendible** to a maximal set!

- **Definition [Unextendibility]:** A set of MUBs $\{\mathcal{B}_1, \mathcal{B}_2, \dots, \mathcal{B}_m\}$ in \mathbb{C}^d is *unextendible* if there does not exist another basis in \mathbb{C}^d that is unbiased with respect to $\{\mathcal{B}_j, j = 1, \dots, m\}$.

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- **Definition [Strongly Unextendibility]:** $\{\mathcal{B}_1, \mathcal{B}_2, \dots, \mathcal{B}_m\}$ is *strongly unextendible* if there does not exist another **vector** that is unbiased with respect to $\mathcal{B}_j, j = 1, \dots, m$.
Eigenbases of \mathcal{X}, \mathcal{Z} and $\mathcal{X}\mathcal{Z}$ in $d = 6$ are strongly unextendible.

- **Definition [Unextendible Classes]:** A set of L mutually disjoint maximal commuting classes $\{\mathcal{C}_1, \mathcal{C}_2, \dots, \mathcal{C}_L\}$ of Pauli operators in $d = 2^n$ is **unextendible** if another maximal commuting class cannot be formed out of the remaining operators in $\mathcal{P}_n \setminus \{\mathbb{I} \cup_{i=1}^L \mathcal{C}_i\}$.

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- **Example:** a set of 3 unextendible maximal commuting Pauli classes in $d = 4$.

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- **Weakly Unextendible Sets:** The common eigenbases of an unextendible set of Pauli classes form a **weakly unextendible** set of MUBs: There does not exist another MUB that can be realized as a common eigenbasis of a maximal commuting class $\mathcal{C}_{L+1} \subset \mathcal{P}_n \setminus \{\mathbb{I}\}$.

Unextendible Sets in $d = 2^n$

- Given any two maximal commuting Pauli classes \mathcal{C}_1 and \mathcal{C}_2 in $d = 4$, there always exists a third class \mathcal{C}'_3 , of commuting Paulis such that $\{\mathcal{C}_1, \mathcal{C}_2, \mathcal{C}'_3\}$ constitute an unextendible set of **three** maximal commuting Pauli classes in $d = 4$.

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- In $d = 2^n$: we conjecture the existence of unextendible sets of $\frac{d}{2} + 1$ maximal commuting Pauli classes.

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Thank You!