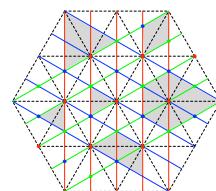
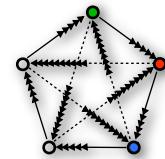


Matrix Factorizations, D-branes and Homological Mirror Symmetry

W.Lerche, ISM 2008, Chennai

- Motivation, general remarks
- Mirror symmetry and D-branes
- Matrix factorizations and LG models
- Toy example: eff. superpotential for intersecting branes, applications

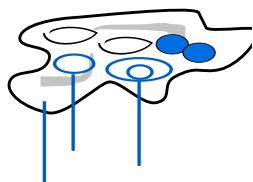
much more diverse instantons
than for closed strings
(world-sheet and D-brane
instantons)



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Part I Motivation: D-brane worlds

Typical brane + flux configuration on a Calabi-Yau space



closed string (bulk) moduli t

open string (brane location + bundle) moduli u

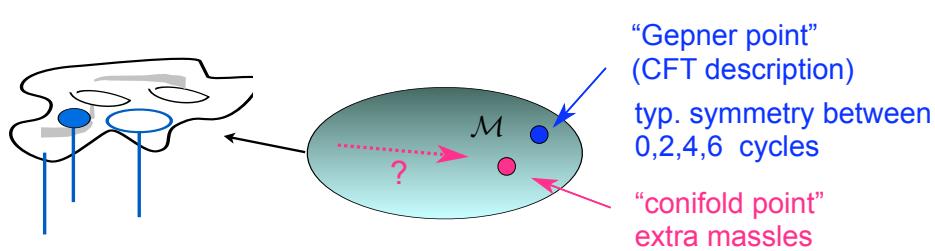
3+1 dim world volume with effective $N=1$ SUSY theory

What are the exact effective superpotential, the vacuum states, gauge couplings, etc ?

$$\mathcal{W}_{\text{eff}}(\Phi, t, u) = ?$$

Quantum geometry of D-branes

Classical geometry ("branes wrapping p-cycles", gauge bundle configurations on top of them) makes sense only at weak coupling/large radius!



Classical geometry:
cycles, gauge ("bundle")
configurations on them

Quantum corrected geometry:
(instanton) corrections wipe out
notions of classical geometry

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Quantum geometry of D-branes

Classical geometry ("branes wrapping p-cycles", gauge bundle configurations on top of them) makes sense only at weak coupling/large radius!

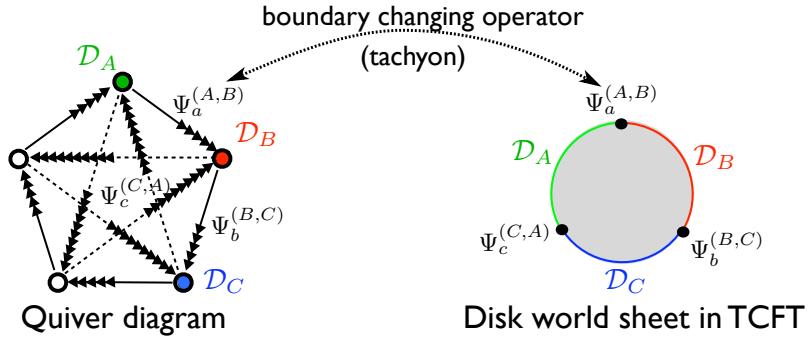


Need to develop formalism capable of describing the physics of general D-brane configurations (here: topological B-type D-branes), incl their continuous deformation families over the moduli space

....well developed techniques (mirror symmetry) mostly for non-generic (non-compact, non-intersecting, integrable) brane configurations branes only !

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Intersecting branes: eff. potential for quivers



Superpotential \sim closed paths in quiver

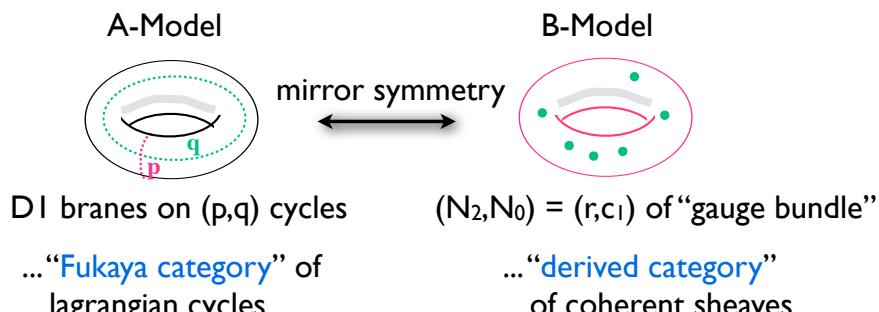
$$\mathcal{W}_{eff}(T, u, t) = T_a T_b T_c \underbrace{\langle \Psi_a^{(A,B)} \Psi_b^{(B,C)} \Psi_c^{(C,A)} \rangle}_{C_{abc}(t,u)} + T_a T_b T_c T_d \underbrace{\langle \Psi_a^{(A,B)} \Psi_b^{(B,C)} \Psi_c^{(C,D)} \Psi_d^{(D,A)} \rangle}_{C_{abcd}(t,u)} + \dots$$

↑
tachyons ↑
closed and open string
moduli $\sim \text{const} + \mathcal{O}(e^{-t}, e^{-u})$
instanton corrections... how to
compute?

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D-branes: homological mirror symmetry

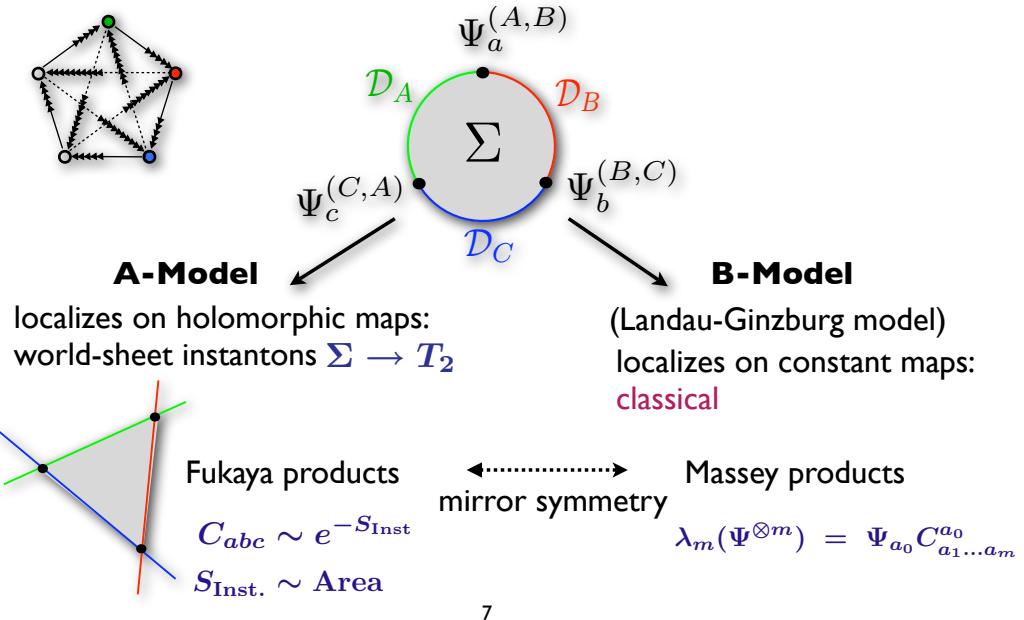
- Mirror symmetry acts between full categories descr. A- and B-branes!



- There is much more to this than just quantum numbers (K-theory)
Homological mirror symmetry also preserves the higher A_∞ products
(open string correlators)

Mirror symmetry and open string TFT correlators

Disk amplitude for intersecting branes $C_{abc}(\tau; u_A, u_B, u_C) = \langle \Psi_a^{(A,B)} \Psi_b^{(B,C)} \Psi_c^{(C,A)} \rangle$



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Part II D-branes and Matrix Factorizations

Seek: Description of topol. B-type D-branes that captures the mathematical intricacies, while allowing to do explicit computations



LG formulation of B-type branes in terms of matrix factorizations

Important: moduli (complex str) dependence of all quantities

Landau-Ginzburg description of B-type D-branes

- Consider bulk d=2 N=(2,2) LG model with superpotential:

$$\int_{\Sigma} d^2z d\theta^+ d\theta^- W_{LG}(x) + \text{cc.}$$

B-type SUSY variations induce boundary (“Warner”)-term:

$$\begin{aligned} \int_{\Sigma} d^2z d\theta^+ d\theta^- (\bar{Q}_+ + \bar{Q}_-) W_{LG} &= \int_{\Sigma} d^2z d\theta^+ d\theta^- (\theta^+ \partial_+ + \theta^- \partial_-) W_{LG} \\ &= \int_{\partial\Sigma} d\sigma d\theta W_{LG} \end{aligned}$$

- Restore SUSY by adding boundary fermions $\Pi = (\pi + \theta^+ \ell)$
(... not quite chiral: $\bar{D}\Pi = E(x)|_{\partial\Sigma}$)

via a boundary potential: $\delta S = \int_{\partial\Sigma} d\sigma d\theta \Pi J(x)$

Condition for SUSY:

$$J(x)E(x) = W_{LG}(x)$$

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Matrix factorizations

- BRST operator: $Q(x) = \pi J(x) + \bar{\pi} E(x) = \begin{pmatrix} & J(x) \\ E(x) & \end{pmatrix}$

thus SUSY condition implies a **matrix factorization** of W:

$$Q(x) \cdot Q(x) = W_{LG}(x) 1$$

Total BRST operator $\mathcal{Q} = Q + Q_{bulk}$

then squares to zero: $\mathcal{Q}^2 = 0$

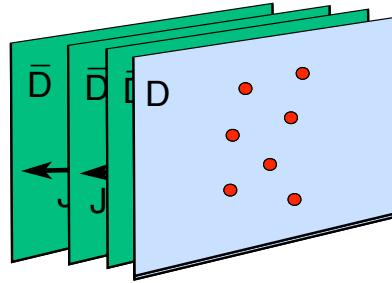
- Generalization for n LG fields: need $N=2^n$ boundary fermions, and

$$J_{N \times N} \cdot E_{N \times N} = E_{N \times N} \cdot J_{N \times N} = W_{LG} 1_{N \times N}$$

Physical interpretation

- N... Chan-Paton labels of space-filling $D\bar{D}$ pairs

Boundary potentials J, E form a **tachyon profile** that describes condensation to given B-type D-brane configuration in IR limit



$$\text{eg. } J(x, u) = \prod (x - u_i)$$

- Geometrically: Maps J, E are sections of certain bundles

$\text{Ker } J, \text{Ker } E$ encode bundle data of branes: $(r, c_1, \dots; u)$

II

Open string cohomology

- Physical open string spectrum is determined by the cohomology of the BRST operator:

$$\begin{array}{ccc}
 \mathcal{D}_A & \circlearrowleft \Omega_A & [Q_A, \Omega_A] = 0, \quad \Omega_A \neq [Q_A, \Lambda] \\
 & \uparrow \downarrow \Psi^{(A,B)} & \text{boundary preserving (Hom)} \\
 & & \text{(square matrices)} \\
 & \Psi^{(B,A)} & Q_A \Psi^{(A,B)} - (-)^f \Psi^{(A,B)} Q_B = 0 \\
 & \downarrow \uparrow & \text{boundary changing (Ext)} \\
 \mathcal{D}_B & \circlearrowleft \Omega_B & [Q_B, \Omega_B] = 0, \quad \Omega_B \neq [Q_B, \Lambda]
 \end{array}$$

Kontsevich's category C_W

The LG model provides a concrete physical realization of a certain triangulated Z_2 -graded category C_W : all maps have explicit LG representatives

- objects: “complexes” (\sim composites of $D\bar{D}$ branes):

$$D_\ell \cong \left(P_1^{(\ell)} \xrightleftharpoons[\mathcal{E}^{(\ell)}]{J^{(\ell)}} P_0^{(\ell)} \right), \quad J^{(\ell)} \mathcal{E}^{(\ell)} = W$$

- maps (boundary Q-cohomology):

$$\begin{array}{ccc} D_{\ell_1} & \cong & \left(P_1^{(\ell_1)} \xrightleftharpoons[\mathcal{E}^{(\ell_1)}]{J^{(\ell_1)}} P_0^{(\ell_1)} \right) \\ \downarrow & & \downarrow \phi_\alpha^{\ell_1, \ell_2} \\ D_{\ell_2} & & \left(P_1^{(\ell_2)} \xrightleftharpoons[\mathcal{E}^{(\ell_2)}]{J^{(\ell_2)}} P_0^{(\ell_2)} \right) \end{array}$$

$\psi_\alpha^{\ell_1, \ell_2}$ $\psi_\alpha^{\ell_1, \ell_2}$ $\phi_\alpha^{\ell_1, \ell_2}$

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Kontsevich's category C_W

The LG model provides a concrete physical realization of a certain triangulated Z_2 -graded category C_W : all maps have explicit LG representatives

Category of Matrix factorizations is isomorphic to $D(Coh(M))$, the derived category of coherent sheaves on M = category of B-type D-branes!

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Test example: branes on the elliptic curve

- Simplest Calabi-Yau: the cubic torus

complex str modulus

$$T_2 : \quad W = \frac{1}{3}(x_1^3 + x_2^3 + x_3^3) - a(\tau)x_1x_2x_3 = 0$$

- Mirror map:

$$\frac{3a(a^3 + 8)}{\Delta} = J(\tau)^{1/3}, \quad \Delta \equiv a^3 - 1$$

flat coo of complex structure moduli space =
Kahler parameter of mirror curve

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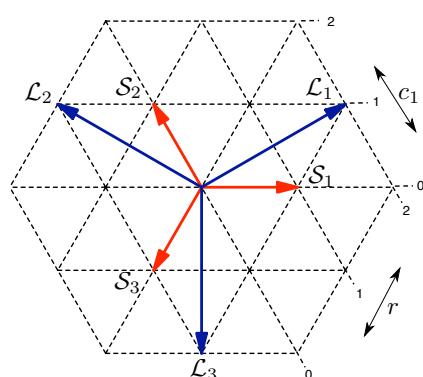
Test example: branes on the elliptic curve

- B-type D-branes are composites of D2, D0 branes,
characterized by $(N_2, N_0; u) = (\text{rank}(V), c_1(V); u)$

... these are mirror to A-type D1-branes
with wrapping numbers $(p, q) = (N_2, N_0)$

- We will consider the
“long-diagonal” branes with charges

$$(N_2, N_0)_{\mathcal{L}_A} = \{(-1, 0), (-1, 3), (2, -3)\}$$



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3x3 matrix factorization

- Factorizations corresponding to the long diagonal branes L_i

$$J_i = \begin{pmatrix} \alpha_1^{(i)} x_1 & \alpha_2^{(i)} x_3 & \alpha_3^{(i)} x_2 \\ \alpha_3^{(i)} x_3 & \alpha_1^{(i)} x_2 & \alpha_2^{(i)} x_1 \\ \alpha_2^{(i)} x_2 & \alpha_3^{(i)} x_1 & \alpha_1^{(i)} x_3 \end{pmatrix} \quad (i=1,2,3)$$

$$E_i = \begin{pmatrix} \frac{1}{\alpha_1^{(i)}} x_1^2 - \frac{\alpha_1^{(i)}}{\alpha_2^{(i)} \alpha_3^{(i)}} x_2 x_3 & \frac{1}{\alpha_3^{(i)}} x_3^2 - \frac{\alpha_3^{(i)}}{\alpha_1^{(i)} \alpha_2^{(i)}} x_1 x_2 & \frac{1}{\alpha_2^{(i)}} x_2^2 - \frac{\alpha_2^{(i)}}{\alpha_1^{(i)} \alpha_3^{(i)}} x_1 x_3 \\ \frac{1}{\alpha_2^{(i)}} x_3^2 - \frac{\alpha_2^{(i)}}{\alpha_1^{(i)} \alpha_3^{(i)}} x_1 x_2 & \frac{1}{\alpha_1^{(i)}} x_2^2 - \frac{\alpha_1^{(i)}}{\alpha_2^{(i)} \alpha_3^{(i)}} x_1 x_3 & \frac{1}{\alpha_3^{(i)}} x_1^2 - \frac{\alpha_3^{(i)}}{\alpha_1^{(i)} \alpha_2^{(i)}} x_2 x_3 \\ \frac{1}{\alpha_3^{(i)}} x_2^2 - \frac{\alpha_3^{(i)}}{\alpha_1^{(i)} \alpha_2^{(i)}} x_1 x_3 & \frac{1}{\alpha_2^{(i)}} x_1^2 - \frac{\alpha_2^{(i)}}{\alpha_1^{(i)} \alpha_3^{(i)}} x_2 x_3 & \frac{1}{\alpha_1^{(i)}} x_3^2 - \frac{\alpha_1^{(i)}}{\alpha_2^{(i)} \alpha_3^{(i)}} x_1 x_2 \end{pmatrix}$$

These satisfy $J_i E_i = E_i J_i = W_{LG} 1$

if the parameters satisfy the cubic equation themselves:

$$W_{LG}(\alpha_i) \equiv \alpha_1^3 + \alpha_2^3 + \alpha_3^3 + a(\tau) \alpha_1 \alpha_2 \alpha_3 = 0$$

Thus the parameters parametrize the (jacobian) torus and can be represented by theta-sections:

$$\alpha_\ell^{(i)} \sim \Theta \left[\frac{1-\ell}{3} - \frac{1}{2} - \frac{1}{2} \mid 3u_i, 3\tau \right] \quad u, \tau \dots \text{flat coordinates of open/closed moduli space (Kahler moduli in mirror A-model)}$$

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Open string BRST cohomology

Solving for the BRST cohomology yields explicit t,u-moduli dependent matrix valued maps, eg (a=1,2,3):

- q=1 marginal operators corr. to brane locations

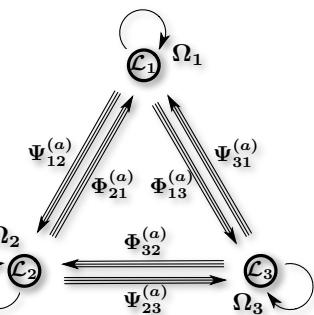
$$\text{Ext}^*(\mathcal{L}_A, \mathcal{L}_A) : \Omega_A = \partial_{u_A} Q(u_A)$$

- q=1/3 tachyon operators

$$\text{Ext}^*(\mathcal{L}_A, \mathcal{L}_B) : \Psi_{AB}^{(a)} = \begin{pmatrix} 0 & F_{AB}^{(a)} \\ G_{AB}^{(a)} & 0 \end{pmatrix}$$

with eg, $F_{12}^{(1)} = \begin{pmatrix} \zeta_1 & 0 & 0 \\ 0 & 0 & \zeta_2 \\ 0 & \zeta_3 & 0 \end{pmatrix}$ $G_{12}^{(1)} = \begin{pmatrix} \frac{\zeta_1}{\alpha_1^{(1)} \alpha_1^{(2)}} x_1 & \frac{\zeta_3}{\alpha_1^{(1)} \alpha_2^{(2)}} x_2 & \frac{\zeta_2}{\alpha_1^{(1)} \alpha_3^{(2)}} x_3 \\ \frac{\zeta_2}{\alpha_1^{(2)} \alpha_3^{(1)}} x_2 & \frac{\zeta_1}{\alpha_2^{(2)} \alpha_3^{(1)}} x_3 & \frac{\zeta_3}{\alpha_3^{(1)} \alpha_2^{(2)}} x_1 \\ \frac{\zeta_3}{\alpha_1^{(2)} \alpha_2^{(1)}} x_3 & \frac{\zeta_2}{\alpha_2^{(1)} \alpha_2^{(2)}} x_1 & \frac{\zeta_1}{\alpha_2^{(1)} \alpha_3^{(2)}} x_2 \end{pmatrix}$

and $\zeta_\ell \sim \Theta \left[\frac{1-\ell}{3} - \frac{1}{2} - \frac{1}{2} \mid 3u_2 - 3u_1, 3\tau \right]$



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Superpotential on brane intersection I

- Compute 3-point disk correlators = Yukawa couplings

$$\mathcal{W}_{eff} = T_a T_b T_c \underbrace{\langle \Psi_{AB}^a \Psi_{BC}^b \Psi_{CA}^c \rangle}_{C_{abc}(\tau, u_i)} + \dots$$

$$C_{abc}(\tau, u_1, u_2, u_3) = \langle \Psi_{13}^a(u_1, u_3) \Psi_{32}^b(u_3, u_2) \Psi_{21}^c(u_2, u_1) \rangle$$

Use super-residue formula (from localization of path integral) for our matrix-valued, moduli-dependent operators:

$$= \frac{1}{2\pi i} \oint \text{Str} \left[\left(\frac{dQ}{dW} \right)^{\otimes \wedge 3} \Psi_{13}^{(a)} \Psi_{32}^{(b)} \Psi_{21}^{(c)} \right]$$

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(A side remark)

- 90% of the actual work is not explained here
The point is to determine proper “flat cohomology representatives” which includes their t-dependent normalization
- For the closed string, this is achieved by the Picard-Fuchs differential equations, which are based on the theory of Hodge variations ... the heart of mirror symmetry
For open strings, a suitable non-commutative variant is not known
- Developed an approach based on contact terms that mimics Hodge theory for matrix valued operators, and leads to a matrix diffeq of the form:

$$\nabla_t \bar{\Psi}_a(t) = d \left(\frac{\phi \bar{\Psi}_a}{dW} \right)_+$$

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Superpotential on brane intersection II

- Make heavy use of theta-function identities such as the addition formula:

$$\theta_a[u_1] \cdot \theta_b[u_2] = \sum \theta_{a-b+c}[u_1 - u_2] \theta_{a+b+c}[u_1 + u_2]$$

(math: expresses product in Fukaya-category)

- Final result: theta functions

$$C_{111}(\tau, \xi) = e^{6\pi i \xi_1 \xi_2} q^{3\xi_2^2/2} \sum_m q^{3m^2/2} e^{6\pi i m \xi}$$

$$C_{123}(\tau, \xi) = e^{6\pi i \xi_1 \xi_2} q^{3\xi_2^2/2} \sum_m q^{3(m+1/3)^2/2} e^{6\pi i (m+1/3) \xi}$$

$$C_{132}(\tau, \xi) = e^{6\pi i \xi_1 \xi_2} q^{3\xi_2^2/2} \sum_m q^{3(m-1/3)^2/2} e^{6\pi i (m-1/3) \xi}$$

$(\xi \equiv u_1 + u_2 + u_3 = \xi_1 + \tau \xi_2)$

What's the interpretation of the q-series?

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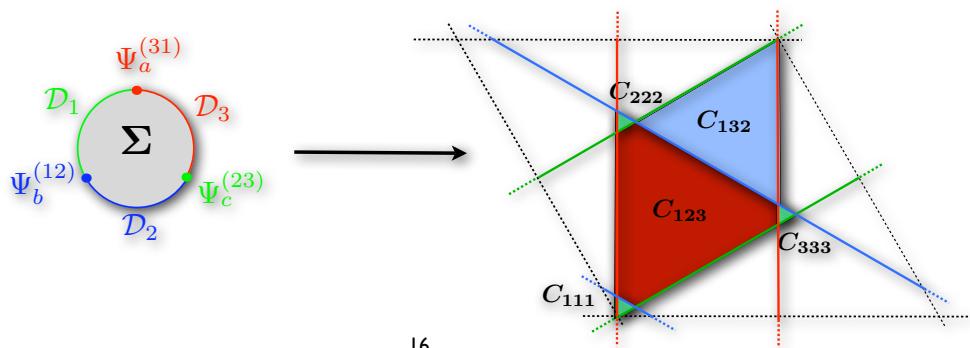
The topological A-Model: instantons

- Interpretation of q-series: In A model mirror language, these are contributions from triangular disk instantons whose world-sheets are bounded by the three D1-branes:

$$C_{abc} \sim e^{-S_{\text{inst}}} \sim q^{\Delta_{abc}} + \dots$$

(the u -dependence corresponds to position and Wilson line moduli)

Count maps: $\Sigma \rightarrow T_2$

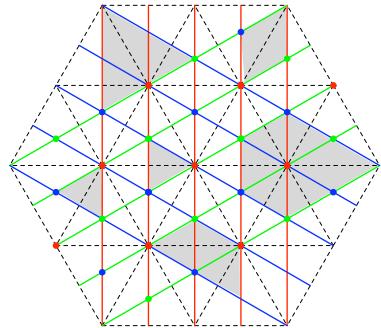


Complete effective potential (long diag branes)

- B-model: difficult to compute higher N-point Massey products with $N > 3$!
For (flat) elliptic curve, A-model is simpler....
 - Generically, N-point functions get contributions from N-gonal instantons

General structure:
indefinite theta-functions summing over
all lattice translates, positive areas

$$\sum'_{m,n} q^{mn} \equiv \left(\sum_{m,n>0} - \sum_{m,n<0} \right) q^{mn}$$



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Polygons and instantons

N=4: trapezoids

$$\begin{aligned} T_{ab\bar{c}\bar{d}}(\tau, u_i) &= \delta_{a+b, \bar{c}+\bar{d}}^{(3)} \Theta_{trap} \left[\begin{array}{c} [b-\bar{c}]_3 \\ [\bar{d}-\bar{c}+3/2]_3 \end{array} \right] (3\tau|3(u_1+u_2+u_4), 3(u_1-u_3)) \\ \Theta_{trap} \left[\begin{array}{c} a \\ b \end{array} \right] (3\tau|3u, 3v) &= \sum_{m,n} q^{\frac{1}{6}(a+3n)(a+3n+2(b+3m))} e^{2\pi i ((a+3n)(u-1/6)+(b+3m)v)} \end{aligned}$$

N=4: parallelograms

$$\mathcal{P}_{a\bar{b}c\bar{d}}(\tau, u_i) = \delta_{a+c, \bar{b}+\bar{d}}^{(3)} \Theta_{para} \left[\begin{array}{c} [c-\bar{b}]_3 \\ [\bar{d}-c]_3 \end{array} \right] (3\tau|3(u_1-u_3), 3(u_4-u_2))$$

$$\Theta_{para} \left[\begin{array}{c} a \\ b \end{array} \right] (3\tau|3u, 3v) \equiv \sum_{m,n}' q^{\frac{1}{3}(a+3n)(b+3m)} e^{2\pi i ((b+3m)u + (a+3n)v)}$$

N=5: pentagons

$$\Theta_{penta} \left[\begin{array}{c} a \\ b \\ c \end{array} \right] (3\tau | 3u, 3v, 3w) \equiv \sum_{m,n,k} q^{\frac{1}{3}(a>+3(n+k))(b>+3(m+k)) - \frac{1}{6}(c+3k)^2} e^{2\pi i \left((a>+3(n+k))u + (b>+3(m+k))v + (c+3k)(w-1/6) \right)}$$

N=6: hexagons

$$\begin{aligned} \mathcal{H}_{\bar{a}\bar{b}\bar{c}\bar{d}\bar{e}\bar{f}}(\tau, u_i) &= \delta_{0,\bar{a}+\bar{b}+\bar{c}+\bar{d}+\bar{e}+\bar{f}}^{(3)} \Theta_{hexa} \left[\begin{array}{l} [-b-c-d]_3 \\ [c+d+e]_3 \\ [c-d+\frac{3}{2}]_3 \\ [a-f+\frac{3}{2}]_3 \end{array} \right] (3\tau|3(u_5-u_2), 3(u_1-u_4), 3(u_3+u_2+u_4), 3(-u_6-u_1-u_5)) \\ \Theta_{hexa} \left[\begin{array}{l} a \\ b \\ c \\ d \end{array} \right] (3\tau|3u, 3v, 3w, 3z) &\equiv \sum'_{m,n,k,l} q^{\frac{1}{6}(a+3n)(b+3m)-\frac{1}{6}(c+3k)^2-\frac{1}{6}(d+3l)^2} e^{2\pi i \left((a+3n)u + (b+3m)v + (c+3k)(w-1/6) + (d+3l)(z+1/6) \right)} \end{aligned}$$

$$24 \quad \sum'_{m,n,k,l} = \sum_{m,n \geq 0}^{\infty} \sum_{k \geq 0}^{<k_{max}} \sum_{l \geq 0}^{<l_{max}} - \sum_{m,n \leq -1}^{-\infty} \sum_{k \leq -1}^{>k_{min}} \sum_{l \leq -1}^{>l_{min}}$$

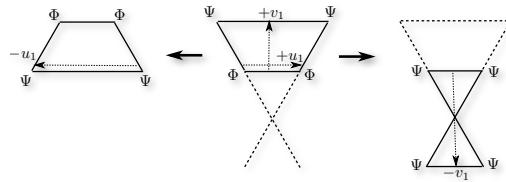
Global properties of open string moduli space

- Indefinite theta-fcts: singularities due to colliding branes

eg., rewrite trapezoidal function in terms of Appel function:

$$\Theta_{trap} \left[\begin{matrix} a \\ b \end{matrix} \right] (3\tau | 3u, 3v) = e^{2\pi i v b} \sum_{n \in \mathbb{Z}} \frac{q^{\frac{1}{6}(a+3n)(a+2b+3n)} e^{2\pi i (a+3n)(u-1/6)}}{1 - q^{a+3n} e^{6\pi i v}}$$

- analytic continuation



Area becomes negative:
resum instantons in terms
of different geometry

“instanton flop”

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Global properties of open string moduli space

- Indefinite theta-fcts: singularities due to colliding branes

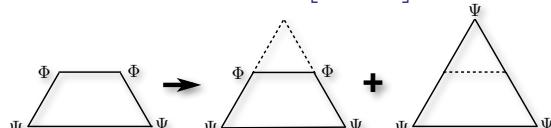
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- monodromy

$$\Theta_{trap} \left[\begin{matrix} a \\ b \end{matrix} \right] (3\tau | 3(u \pm \tau), 3v) = e^{\mp 6\pi i v} \Theta_{trap} \left[\begin{matrix} a \\ b \end{matrix} \right] (3\tau | 3u, 3v) \\ \mp e^{-2\pi i (u - \frac{1}{6})(b - \frac{3}{2} \pm \frac{3}{2})} e^{2\pi i v(b - \frac{3}{2} \mp \frac{3}{2})} q^{-\frac{1}{6}(b - \frac{3}{2} \pm \frac{3}{2})^2} \Theta \left[\begin{matrix} a+b \\ -3/2 \end{matrix} \right] (3\tau | 3u)$$

induces “homotopy transformation”,
modular anomaly of eff action
(compensate by non-lin field redef)



$$\mathcal{T}_{ab\bar{c}\bar{d}} \rightarrow \mathcal{T}_{ab\bar{c}\bar{d}} + f_{\bar{c}\bar{d}}^e \Delta_{abe}$$



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Summary and Outlook

- math: Cat of matrix factorizations \longleftrightarrow $D(\text{Coh}(M))$
 phyz: Boundary LG theory \longleftrightarrow Open string B-type top. CFT
- Represent all quantities in a quiver diagram (objects and maps) by explicit moduli-dependent, matrix-valued operators
- Combined with mirror symmetry this allows to explicitly compute instanton-corrected superpotentials (in particular, for intersecting brane configs.).
- Generalization to $M = \text{CY 3-folds}$, eg. for quintic is cumbersome:

