

# Properties of Baryons in Holographic QCD

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Based on

→ **arXiv:0806.3122** K.Hashimoto, T.Sakai and S.S.  
**hep-th/0701280** H.Hata, T.Sakai, S.S. and S.Yamato

Closely related works:

**arXiv:0807.0033** K.Y.Kim and I.Zahed

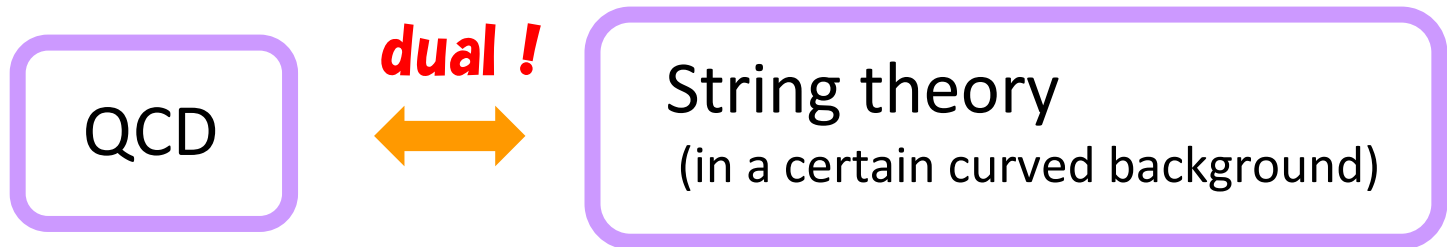
**arXiv:0803.0180** H.Hata, M.Murata and S.Yamato

**hep-th/0701276, arXiv:0705.2632, arXiv:0710.4615, ...**  
D.K.Hong, M.Rho, H.U.Yee and P.Yi

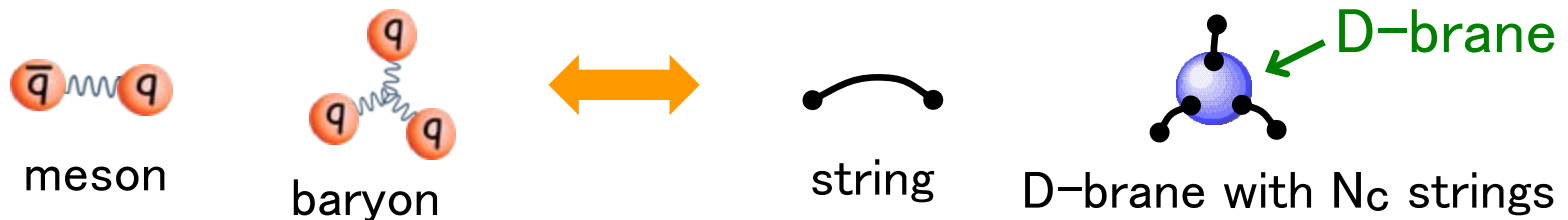
# 1 Introduction

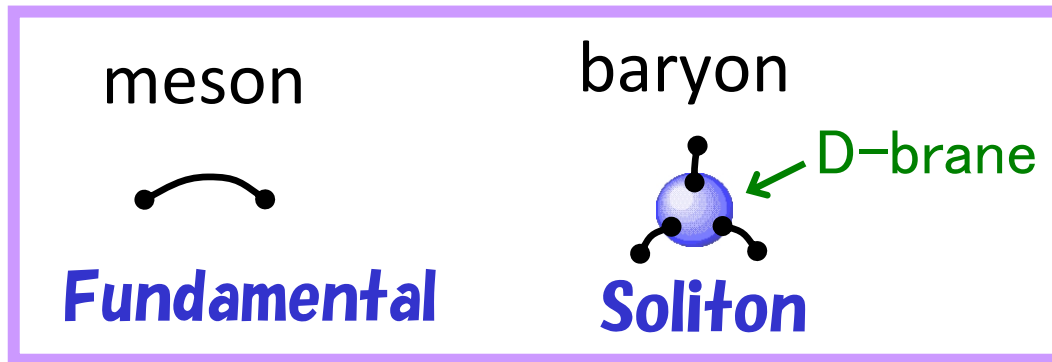
## Claim :

Hadrons can be described by **string theory**  
**without using quarks !**



**“ Holographic QCD ”**





Prototype: **Skyrme model**

- In 1961, Skyrme proposed  
Baryons are solitons (Skyrmion) in the pion effective theory.
- In 1983, Adkins-Nappi-Witten (ANW)  
succeeded to calculate the static properties  
(charge radii, magnetic moments, axial coupling, etc.)  
by quantizing the collective modes of the Skyrmion.  
➡ Roughly agree with the experimental data!

Q. Can we apply the idea of ANW to holographic QCD ?

- Recently, based on gauge/string duality + probe approximation, we proposed that meson effective theory is given by a 5 dim  $U(N_f)$  YM-CS theory in a curved space-time.

$$S_{5\text{dim}} \simeq S_{\text{YM}} + S_{\text{CS}}$$

$$S_{\text{YM}} = \kappa \int d^4x dz \text{Tr} \left( \frac{1}{2} h(z) F_{\mu\nu}^2 + k(z) F_{\mu z}^2 \right) \quad S_{\text{CS}} = \frac{N_c}{24\pi^2} \int_5 \omega_5(A)$$

CS5-form  
↓

- This system is equivalent to 4 dim effective theory with (infinitely) many mesons.  $\pi, \rho, a_1, \rho', a'_1, \dots$
- Masses and couplings calculated in this system roughly agree with the experimental data!
- Baryons are realized as instantons localized on the 4 dim space.

$(x^1, x^2, x^3, z)$



**Goal:** extract properties of baryons using this description

# Plan

- ✓ 1 Introduction
- 2 Brief summary of the model
- 3 Baryons as instantons
- 4 Quantization
- 5 Currents
- 6 Exploration
- 7 Conclusion

## 2 Brief summary of the model [Sakai-S.S. 2004]

Type IIA string theory  
in Witten's D4 background  
+  $N_f$  Probe D8-branes  
(assuming  $N_c \gg N_f$ )

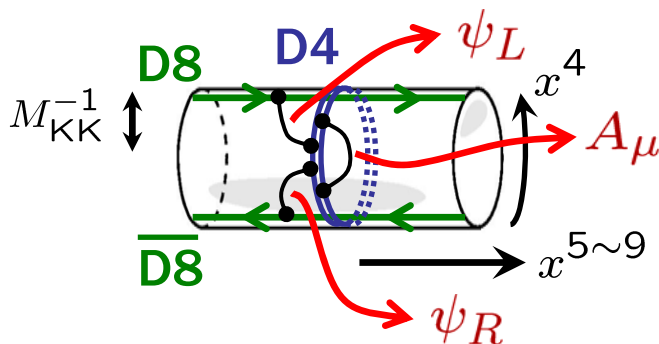
4 dim QCD with  
 $N_f$  massless quarks  
at low energy



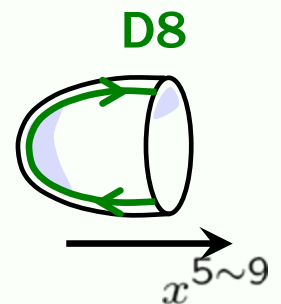
$N_c$   $N_f$  pairs  
D4-D8- $\overline{\text{D8}}$  system  
on ~~SUSY~~  $S^1$

QCD with  $N_f$  massless quarks  
(at low energy)

dual



String theory  
in the D4 background  
+  $N_f$  probe D8-branes  
(assuming  $N_c \gg N_f$ )

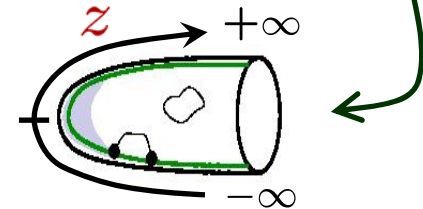


# ● The effective theory on the D8-branes

$N_f$  D8-branes extended along  $(x^\mu, z) \times S^4 \subset \mathbf{R}^{1,3} \times \mathbf{R}^2 \times S^4$

↓ ← Low energy

9 dim  $U(N_f)$  gauge theory



↓ ← Reducing  $S^4$  (Here we only consider  $SO(5)$  invariant states)

5 dim  $U(N_f)$  YM-CS theory

$A_\mu(x^\nu, z), A_z(x^\nu, z) \quad \mu, \nu = 0 \sim 3$   
5 dim gauge field

$$S_{5\text{dim}} \simeq S_{\text{YM}} + S_{\text{CS}}$$

$$S_{\text{YM}} = \kappa \int d^4x dz \text{Tr} \left( \frac{1}{2} h(z) F_{\mu\nu}^2 + k(z) F_{\mu z}^2 \right) \quad S_{\text{CS}} = \frac{N_c}{24\pi^2} \int_5 \omega_5(A)$$

$\kappa = \frac{\lambda N_c}{216\pi^3} \equiv a\lambda N_c$ 
 $k(z) = 1 + z^2$ 
 $h(z) = (1 + z^2)^{-1/3}$ 
 $(M_{\text{KK}} = 1 \text{ unit})$

CS5-form

### 3 Baryons as instantons

- Baryon is described as D4 wrapped on  $S^4$  [Witten, Gross-Ooguri 1998]

D4-brane

$$\mathbf{R} \times S^4$$

$x^0$

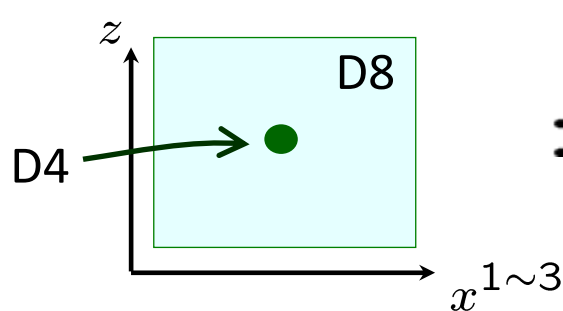
D8-brane

$$\mathbf{R}^{1,3} \times \mathbf{R} \times S^4 \subset \mathbf{R}^{1,3} \times \mathbf{R}^2 \times S^4$$

$x^\mu$   $z$

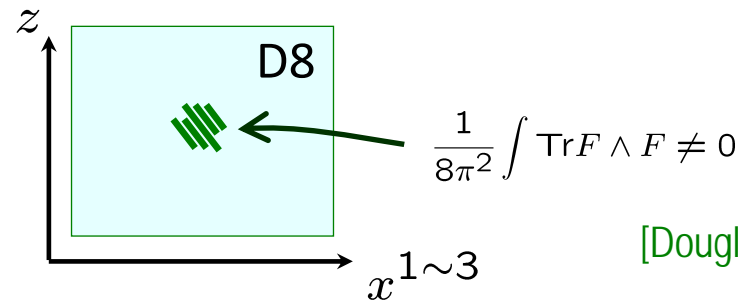
Topology of the background

Behaves as a point-like particle  $\rightarrow$  **baryon**



D4 within D8

=



[Douglas 1995]

**Instanton** in  $x^M = (\vec{x}, z) \in \mathbf{R}^4$

$M = 1, 2, 3, z$

$$\text{Baryon number} = \text{number of D4} = \frac{1}{8\pi^2} \int_{4\text{dim}} \text{tr} F \wedge F$$

$(x^1, x^2, x^3, z)$



# ● Classical solution (We concentrate on the $N_f = 2$ case.)

- The instanton solution for the Yang-Mills action

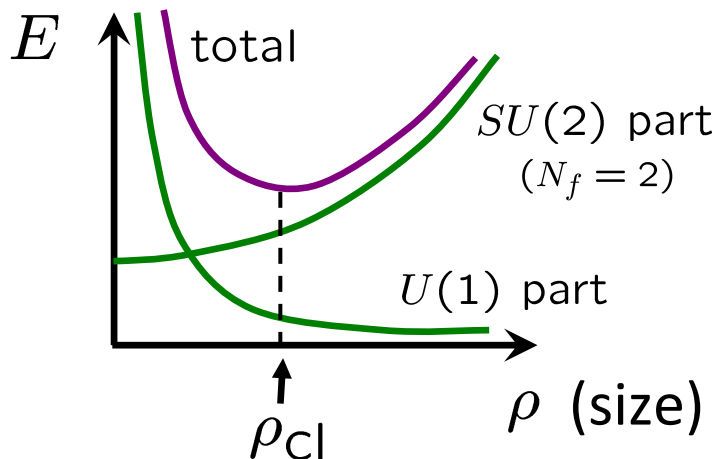
$$S_{\text{YM}} = \kappa \int d^4x dz \text{Tr} \left( \frac{1}{2} h(z) F_{\mu\nu}^2 + k(z) F_{\mu z}^2 \right)$$

shrinks to **zero size** !

- The Chern-Simons term makes it larger

$$S_{\text{CS}} = \frac{N_c}{24\pi^2} \int_5 \omega_5(A) = \frac{N_c}{16\pi^2} \int d^4x dz A_0^{U(1)} \underbrace{\epsilon^{ijk} \text{Tr} F_{ij} F_{kz}}_{\text{Non-zero for instanton}} + \dots$$

→ source of the U(1) charge



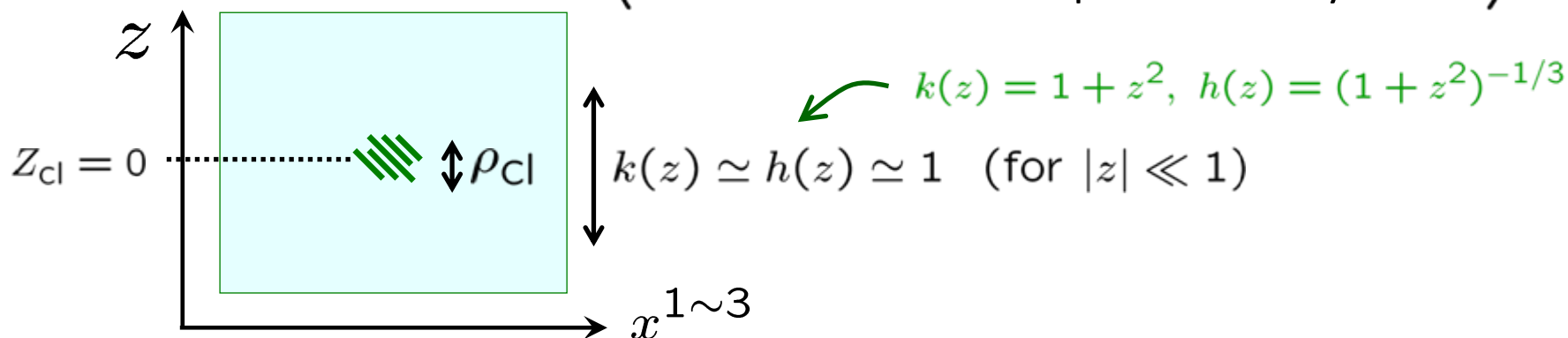
→ Stabilized at  $\rho_{\text{CI}}^2 = \frac{N_c}{8\pi^2 \kappa} \sqrt{\frac{6}{5}}$

[Hong-Rho-Yee-Yi 2007]

[Hata-Sakai-S.S.-Yamato 2007]

- Note that  $\rho_{\text{cl}} \sim \mathcal{O}(\lambda^{-1/2})$   $\lambda$  : 't Hooft coupling  
(assumed to be large)

If  $\lambda$  is large enough, the 5 dim space-time can be approximated by the flat space-time. ( The effect of the non-trivial  $z$ -dependence is taken into account perturbatively. )



➔ The leading order classical solution is the **BPST instanton** with  $\rho = \rho_{\text{cl}}$  and  $Z = Z_{\text{cl}} = 0$

$$A_M^{\text{cl}} = -i \frac{\xi^2}{\xi^2 + \rho^2} g \partial_M g^{-1} \quad g = \frac{(z - Z) - i(\vec{x} - \vec{X}) \cdot \vec{\tau}}{\xi}$$

$M = 1, 2, 3, z$

$$\xi = \sqrt{(\vec{x} - \vec{X})^2 + (z - Z)^2}$$

$\rho$  : size  $(\vec{X}, Z)$  : position of the instanton

# 4 Quantization

[Hata-Sakai-S.S.-Yamato 2007]

- Consider a slowly moving (rotating) baryon configuration.  
moduli space approximation method :

Instanton moduli  $\mathcal{M} \ni (X^\alpha) \rightarrow (X^\alpha(t))$  ( $\alpha = 1, 2, \dots, \dim \mathcal{M}$ )

$A_M(t, x) \sim A_M^{\text{cl}}(x; X^\alpha(t))$

$\uparrow$   
time

$S_{5\text{dim}}$   $\rightarrow$  Quantum Mechanics for  $X^\alpha(t)$

- For SU(2) one instanton,

$$\mathcal{M} \simeq \{(\underbrace{\vec{X}}_{\text{position}}, \underbrace{Z}_{\text{size}}, \underbrace{\rho}_{\text{SU(2) orientation}})\} \times SU(2)/\mathbf{Z}_2 \quad \mathbf{Z}_2 : a \rightarrow -a$$

$\rightarrow L_{\text{QM}} = \frac{G_{\alpha\beta}}{2} \dot{X}^\alpha \dot{X}^\beta - U(X^\alpha) \quad U(X^\alpha) = 8\pi^2 \kappa \left( 1 + \left( \frac{\rho^2}{6} + \frac{3^6 \pi^2}{5 \lambda^2 \rho^2} + \frac{Z^2}{3} \right) + \dots \right)$

**Note**  $(\vec{X}, a)$  : genuine moduli (the same as in the Skyrme model)

$(\rho, Z)$  : new degrees of freedom, added since they are light compared with the other massive modes.

- Solving the Schrodinger equation for this Quantum mechanics, we obtain the baryon states

→ Generalization of Adkins-Nappi-Witten including **vector mesons** and  **$\rho, Z$  modes**

We can construct baryon states for

$$n, p, \Delta(1232), N(1440), N(1530), \dots$$

Example    **Nucleon wave function:**

$$\psi(\vec{X}, \mathbf{a}, \rho, Z) \propto e^{i\vec{p} \cdot \vec{X}} R(\rho) \psi_Z(Z) T(\mathbf{a})$$

$$\left( \begin{array}{ll} R(\rho) = \rho^{\tilde{l}} e^{-A\rho^2} & \tilde{l} = -1 + 2\sqrt{1 + N_c^2/5} \\ \psi_Z(\rho) = e^{-AZ^2} & A = \frac{8\pi^2\kappa}{\sqrt{6}} \\ T(\mathbf{a}) = a_1 + ia_2 \text{ for } |p \uparrow\rangle \text{ etc.} & \end{array} \right)$$

# 5 Currents

- Chiral symmetry

$$U(N_f)_L \times U(N_f)_R \xrightarrow{\text{gauge}} (A_{L\mu}(x), A_{R\mu}(x))$$

- Interpreted as

$$A_{L\mu}(x) = \lim_{z \rightarrow +\infty} A_\mu(x, z) \quad A_{R\mu}(x) = \lim_{z \rightarrow -\infty} A_\mu(x, z)$$

$$\Rightarrow S_{5 \text{ dim}}|_{\mathcal{O}(A_L, A_R)} = - \int d^4x \left( A_{L\mu}^a J_L^{a\mu} + A_{R\mu}^a J_R^{a\mu} \right)$$

with

$$J_{L\mu} = -\kappa (k(z) F_{\mu z}) \Big|_{z=+\infty} \quad J_{R\mu} = +\kappa (k(z) F_{\mu z}) \Big|_{z=-\infty}$$

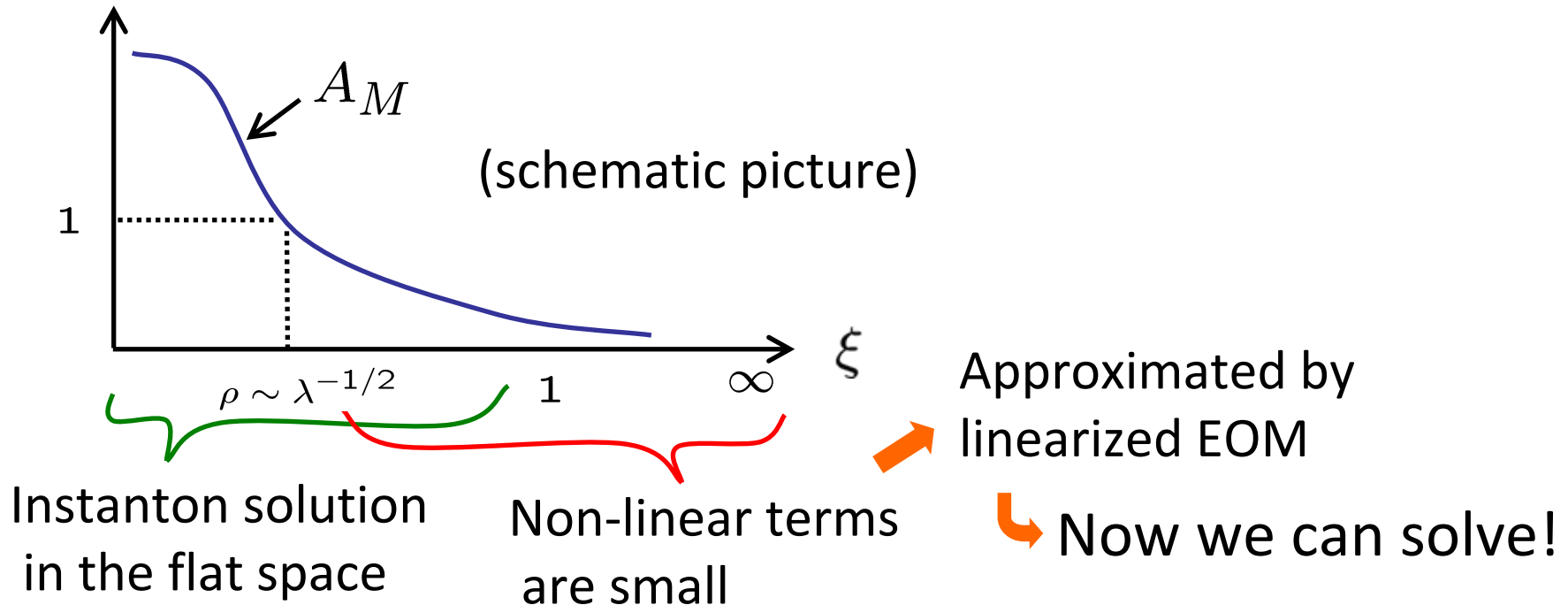
- vector and axial vector currents

$$J_V^\mu \equiv J_L^\mu + J_R^\mu = -\kappa \left[ k(z) F^{\mu z} \right]_{z=-\infty}^{z=+\infty}$$

$$J_A^\mu \equiv J_L^\mu - J_R^\mu = -\kappa \left[ \psi_0(z) k(z) F^{\mu z} \right]_{z=-\infty}^{z=+\infty} \quad (\psi_0(\pm\infty) = \pm 1)$$

# ● How to calculate

- We need to know how  $F_{\mu z}(x, z)$  behaves at  $z \rightarrow \pm\infty$ 
  - ➔ We cannot use the solution in the flat space.
- The EOM are complicated non-linear equations.
  - ➔ difficult to solve exactly.
- We use the following trick to calculate the currents.



## 6 Exploration

Now we are ready to calculate various physical quantities

But, don't trust too much !

- $\lambda$  may not be large enough.
- Higher derivative terms may contribute.
- $N_c = 3$  is not large enough.
- The model deviates from real QCD at high energy  $\sim M_{KK}$
- We use  $M_{KK} \simeq 949$  MeV (value consistent with  $\rho$  meson mass)  
But we know this is too large to fit the baryon mass differences.

## ● Baryon number current

$$J_B^\mu = -\frac{2}{N_c} \kappa \left[ k(z) F_{U(1)}^{\mu z} \right]_{z=-\infty}^{z=+\infty}$$

← U(1) part of the U(2) gauge field



$$J_B^0 \simeq \left[ k(z) \partial_z G \right]_{z=-\infty}^{z=+\infty} \quad J_B^i \simeq -\frac{J^j}{16\pi^2 \kappa} \epsilon^{ijk} \partial_k J_B^0 + \dots$$

$$\left( \begin{array}{ll} G : \text{Green's function} & (h(z)\partial_i^2 + \partial_z k(z)\partial_z)G = \delta^3(\vec{x} - \vec{X})\delta(z - Z) \\ J^j : \text{Spin operator} & J^j = -i4\pi^2 \kappa \rho^2 \text{tr}(\tau^j \mathbf{a}^{-1} \dot{\mathbf{a}}) \end{array} \right)$$

Note:  $k(z) \sim z^2$ ,  $\partial_z G \sim 1/z^2$  at  $z \rightarrow \pm\infty$

→  $J_B^\mu$  is non-zero, finite.



- Isoscalar mean square radius

$$\langle r^2 \rangle_{I=0} = \int d^3x r^2 J_B^0 \simeq (0.742 \text{ fm})^2$$



Numerical estimate using  $M_{\kappa\kappa} \simeq 949 \text{ MeV}$   
(fixed by  $\rho$ -meson mass)

$$\left( \text{cf. } \langle r^2 \rangle_{I=0}^{1/2} \Big|_{\text{exp}} = 0.806 \text{ fm}, \langle r^2 \rangle_{I=0}^{1/2} \Big|_{\text{ANW}} = 0.59 \text{ fm} \right)$$

## ● Isoscalar magnetic moment

$$\mu_{I=0}^i = \frac{1}{2} \epsilon^{ijk} \int d^3x x^j J_B^k \simeq \frac{J^i}{16\pi^2\kappa} \quad J_B^i \simeq -\frac{J^j}{16\pi^2\kappa} \epsilon^{ijk} \partial_k J_B^0 + \dots$$

For a spin up proton state  $|p \uparrow\rangle$

$$\langle p \uparrow | \mu_{I=0}^i | p \uparrow \rangle = \frac{\delta^{i3}}{32\pi^2\kappa} \equiv \frac{g_{I=0}}{4M_N} \delta^{i3}$$

Isoscalar g-factor

Nucleon mass  
( $M_N \simeq 940$  MeV)

$$\Rightarrow g_{I=0} = \frac{M_N}{8\pi^2\kappa M_{\text{KK}}} \simeq 1.68$$

$M_{\text{KK}} \simeq 949$  MeV,  $\kappa \simeq 0.00745$   
(fixed by  $m_\rho$ ) (fixed by  $f_\pi$ )

$$\left( \text{cf. } g_{I=0}|_{\text{exp}} \simeq 1.76, \quad g_{I=0}|_{\text{ANW}} = 1.11 \right)$$

# Summary of the results

	our result	exp.	ANW
$\langle r^2 \rangle_{I=0}^{1/2}$	0.742 fm	0.806 fm	0.59 fm
$\langle r^2 \rangle_{I=1}^{1/2}$	0.742 fm	0.939 fm	$\infty$ ✖
$\langle r^2 \rangle_A^{1/2}$	0.537 fm	0.674 fm	—
$g_{I=0}$	1.68	1.76	1.11
$g_{I=1}$	7.03	9.41	6.38
$g_A$	0.734	1.27	0.61

✖ pion loop contribution is log divergent in the chiral limit.  
Our calculation corresponds to the tree level in ChPT.

- We can also evaluate these for excited baryons such as  $\Delta(1232)$ ,  $N(1440)$ ,  $N(1535)$ , ...

# ● Form factors

Dirac form factor

Pauli form factor

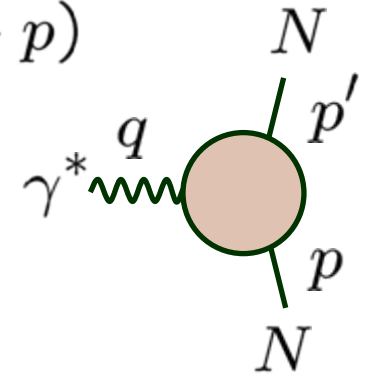
$$\langle N, \vec{p}' | J_{\text{em}}^\mu(0) | N, \vec{p} \rangle = \bar{u}(p', s') \left[ \gamma^\mu F_1(q^2) + \frac{i}{2m_N} \sigma^{\mu\nu} q_\nu F_2(q^2) \right] u(p, s)$$

Breit frame:  $\vec{p}' = -\vec{p} = \vec{q}/2$

$$(q = p' - p)$$

$$\langle N, \vec{q}/2 | J_{\text{em}}^0(0) | N, -\vec{q}/2 \rangle = G_E(\vec{q}^2) \chi_{s'}^\dagger \chi_s$$

$$\langle N, \vec{q}/2 | J_{\text{em}}^i(0) | N, -\vec{q}/2 \rangle = \frac{i}{2m_N} G_M(\vec{q}^2) \chi_{s'}^\dagger (\vec{q} \times \vec{\sigma}) \chi_s$$



Sachs form factor

$$G_E(q^2) = F_1(q^2) + \frac{q^2}{4m_N^2} F_2(q^2)$$

$$G_M(q^2) = F_1(q^2) + F_2(q^2)$$

Electric form factor

Magnetic form factor

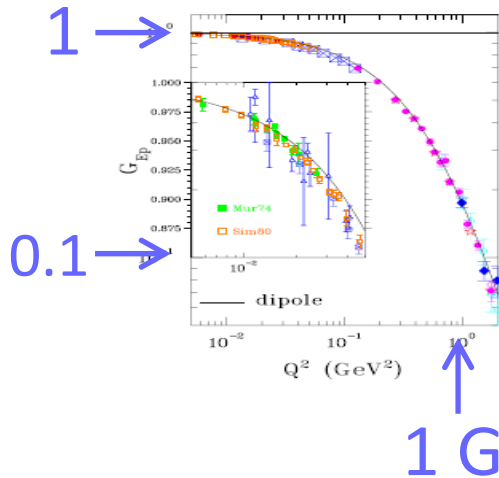
# ● Dipole behavior

Experimental data suggest

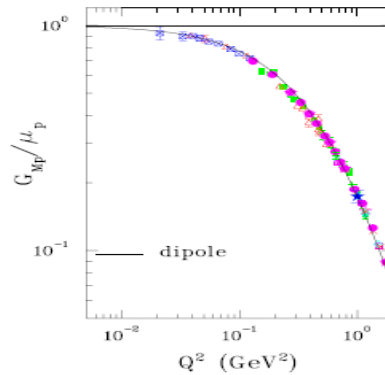
dipole ( $\Lambda \simeq 0.71 \text{ GeV}^2$ )

$$G_E^p(Q^2) \simeq \frac{1}{\mu_p} G_M^p(Q^2) \simeq \frac{1}{\mu_n} G_M^n(Q^2) \simeq \frac{1}{(1 + \frac{Q^2}{\Lambda^2})^2} \quad G_E^n(Q^2) \simeq 0$$

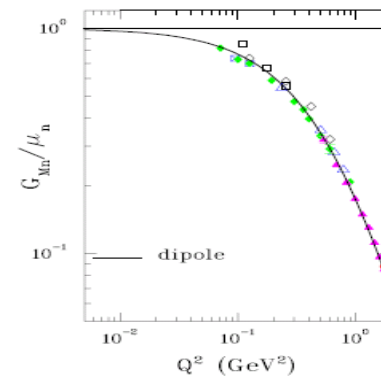
$G_E^p$



$G_M^p / \mu_p$

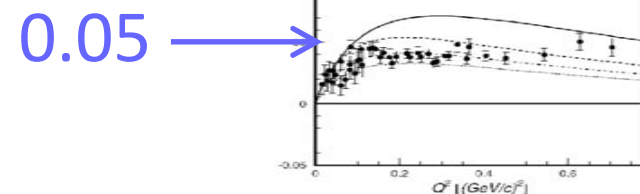


$G_M^n / \mu_n$



— : dipole  
dots : data

$G_E^n$

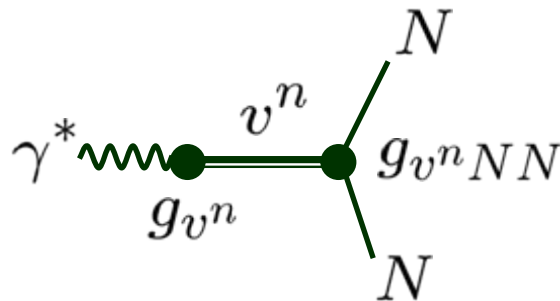


## ● Our result

$$G_E^p(Q^2) = \frac{1}{\mu_p} G_M^p(Q^2) = \frac{1}{\mu_n} G_M^n(Q^2) = \sum_{n \geq 1} \frac{g_{v^n} g_{v^n NN}}{Q^2 + m_n^2} \quad G_E^n(Q^2) = 0$$

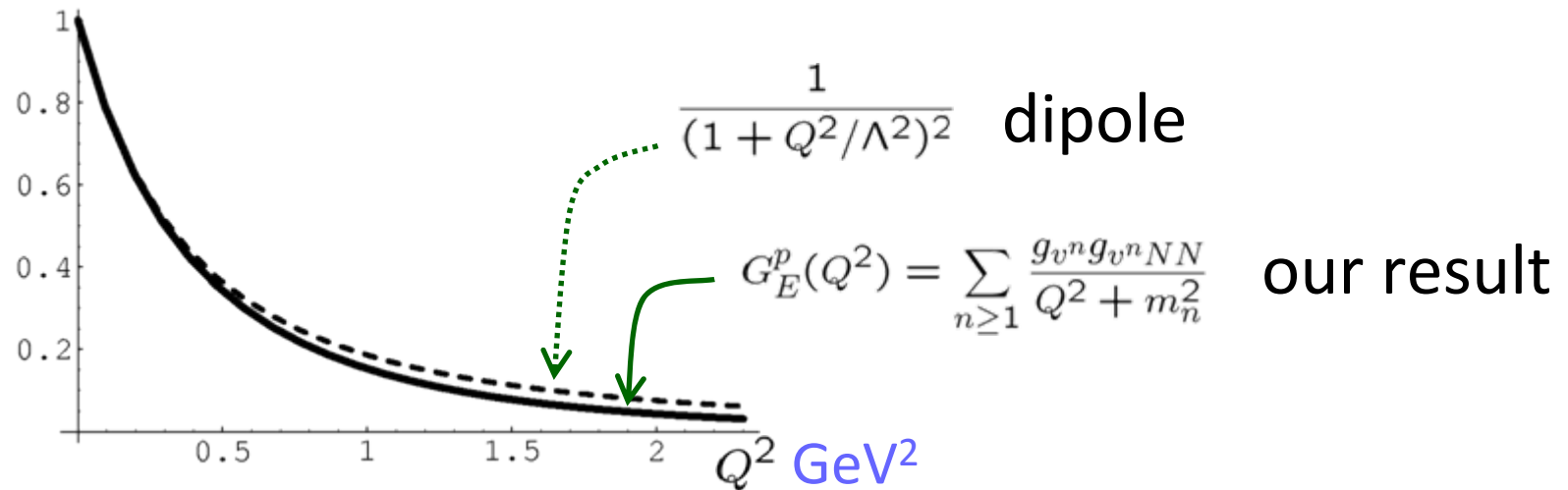
with  $g_{v^n} = -2\kappa(k(z)\partial_z \psi_{2n-1})|_{z=+\infty}$

$$g_{v^n NN} = \langle \psi_{2n-1}(Z) \rangle$$



Vector meson dominance

- Can this be compatible with dipole?



- Taylor expansion

$$\left( \begin{array}{l} \text{✖ here we use the approximation} \\ g_{v^n} NN = \langle \psi_{2n-1}(Z) \rangle \simeq \psi_{2n-1}(0) \end{array} \right)$$

$$G_E^p(Q^2) \simeq 1 - 2.38Q^2 + 4.02(Q^2)^2 - 6.20(Q^2)^3 + 9.35(Q^2)^4 - 14.0(Q^2)^5 + \dots$$

$$\frac{1}{(1 + Q^2/\Lambda^2)^2} \simeq 1 - 2.38Q^2 + 4.24(Q^2)^2 - 6.71(Q^2)^3 + 9.97(Q^2)^4 - 14.2(Q^2)^5 + \dots$$

$$\text{with } \Lambda^2 = 0.758 \text{ GeV}^2$$

$$(M_{KK} = 1 \text{ unit})$$

## 5 Conclusion

- We proposed a new method to analyze static properties of baryons.
- Our model automatically includes the contributions from various massive vector and axial-vector mesons.
- Compared with the similar analysis in the Skyrme model (ANW), the agreement with the experimental values are improved in most of the cases.
- But, we should keep in mind that our analysis is very crude and there are a lot of ambiguities remain unsolved.