

Intersecting branes and Nambu–Jona-Lasinio model

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Nobel for NJL

2008: The Year of Nobel Prize for NJL model

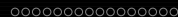
References

This talk is based on an ongoing project with Partha Nag.
Other references, which are of immediate relevance, are:

- Antonyan, Harvey, Jensen and Kutasov, *NJL and QCD from string theory*, hep-th/0604017
- Antonyan, Harvey and Kutasov, *Chiral symmetry breaking from intersecting D-branes*, Nucl. Phys. **B784** (2007) 1, hep-th/0608177
- Sakai and Sugimoto, *Low energy hadron physics in holographic QCD*, Prog. Theor. Phys. **113**, 843 (2005), hep-th/0412141

Prehistory

- The NJL model - First example of dynamical chiral symmetry breaking and fermion mass generation in a simple effective field theory setting.
 - **Y. Nambu** and G. Jona-Lasinio, *Dynamical Model Of Elementary Particles Based On An Analogy with Superconductivity. I*, Phys. Rev. **122** (1961) 345
- Original model - Fermions in the four-fermi interaction are nucleons. Interest in the model has endured for two main reasons:
 - It appears to give a rather accurate description of chiral symmetry breaking and its consequences for low-energy hadron phenomenology
 - Appropriately replacing the original nucleons by coloured quarks, the model can be argued to describe all of the low-energy physics of QCD, including the anomaly term



Prehistory

First papers to discuss NJL for **quarks** and derive meson lagrangians, with the correct anomaly terms

- Dhar and Wadia, *Nambu–Jona-Lasinio Model: An Effective Lagrangian for Quantum Chromodynamics at Intermediate Length Scales*, Phys. Rev. Lett. **52** (1984) 959.
- Dhar, Shankar and Wadia, *Nambu–Jona-Lasinio–type effective Lagrangian: Anomalies and nonlinear Lagrangian of low-energy large- N QCD*, Phys. Rev. **D31** (1985) 3256.

Plan

What I plan to do

- **NJL from QCD**
 - local approximation, critical coupling
 - generalization to a nonlocal model
- **Nonlocal NJL in Sakai-Sugimoto intersecting brane configuration** - motivation from noncompact limit
 - weak coupling, hierarchy of length scales
 - integrating out Yang-Mills degrees of freedom
- **χ SB in nonlocal NJL**
 - nonlinear gap equation
 - scale of χ SB, the condensate
- **Summary**

NJL from QCD

Yang-Mills with $U(N_c)$ gauge group and N_f massless quark flavours confines and develops a mass scale Λ

$$\Lambda \sim m e^{-1/\beta_0 g_4^2}, \quad \beta_0 = \frac{1}{24\pi^2} (11N_c - 2N_f)$$

- At energies below the confining scale, an effective NJL model for quarks captures the dynamics
- There is no systematic way of integrating out the Yang-Mills degrees of freedom from QCD to get an effective action for quarks

NJL from QCD: A scenario

- Start from a nonperturbative formulation of Yang-Mills, say lattice, with massless quarks (masses much smaller than the confining scale)
- Integration of Yang-Mills degrees of freedom would lead to effective multi-quark interactions
- The range of these interactions must be short, of the order of $1/\Lambda$, because of confinement
- At energies below Λ a local approximation would be adequate. The NJL interaction between gauge-invariant quark bilinears is the leading term compatible with gauge symmetry and global symmetries of QCD

χ SB in NJL

$$S_{\text{NJL}} = \int d^4x \left(q_L^{\dagger\alpha}(x) \bar{\sigma}^\mu i \partial_\mu q_L^\alpha(x) + q_R^{\dagger\alpha}(x) \sigma^\mu i \partial_\mu q_R^\alpha(x) \right. \\ \left. + g_{\text{eff}}^2 [q_L^{\dagger\alpha}(x) q_R^\beta(x)] [q_R^{\dagger\beta}(x) q_L^\alpha(x)] \right)$$

- Standard Gaussian trick

$$T^{\alpha\beta}(x) = g_{\text{eff}}^2 [q_R^{\dagger\beta}(x) q_L^\alpha(x)]$$

- Effective action for scalar fields is obtained by integrating fermions from the resulting quadratic action

χ SB in NJL

- For vacuum configurations, set $T^{\alpha\beta}(x) = T_0\delta^{\alpha\beta}$.
- T_0 is the mass gap. It is determined by the gap equation

$$\frac{T_0}{N_c g_{\text{eff}}^2} = 2T_0 \int^M \frac{d^4k}{(2\pi)^4} \frac{1}{k^2 + T_0^2}$$

- Two possible solutions: $T_0 = 0$ and $T_0 \neq 0$
- For $g_{\text{eff}}^2 > g_c^2 = \frac{8\pi^2}{N_c M^2}$, the solution with $T_0 \neq 0$ is stable to small fluctuations, while the solution with $T_0 = 0$ is unstable
- $\Rightarrow \chi$ SB for $g_{\text{eff}} > g_c$

Nonlocal NJL and QCD

- Integrating out Yang-Mills degrees of freedom would generally lead to a nonlocal effective theory
- In QCD, the χ SB scale M_χ coincides with the confinement scale Λ . So, at energies below Λ a local approximation is adequate
- Suppose, however, the two scales are separated by some new physics, as happens in the SS intersecting brane configuration
- In this case, for studying χ SB we need the effective four-fermi theory at energies larger than the mass gap Λ

Nonlocal NJL and QCD

- Suppose $M_\chi \gg \Lambda$, i.e. the energies of quarks involved in the four-fermi interaction are much larger than Λ
- In this case, we can present a more precise derivation of the effective interaction, because of asymptotic freedom.
- **The leading contribution to the interaction comes from a one-gluon exchange approximation, which can be calculated exactly:**

$$S_{\text{NJL}} = g_4^2 \int d^4x d^4y \Delta(x-y) [q_L^\dagger(x) q_R(y)] [q_R^\dagger(y) q_L(x)]$$

$$\Delta(x) = \int \frac{d^4k}{(2\pi)^4} \frac{e^{ik \cdot x}}{k^2}$$

Nonlocal NJL and QCD

- The effective four-fermi theory resulting from integrating out the gluon degrees of freedom is

$$S_{\text{NJL}} = \int d^4x \left(q_L^\dagger(x) \bar{\sigma}^\mu i \partial_\mu q_L(x) + q_R^\dagger(x) \sigma^\mu i \partial_\mu q_R(x) \right) \\ + g_4^2 \int d^4x d^4y G_0(x-y) [q_L^\dagger(x) q_R(y)] [q_R^\dagger(y) q_L(x)]$$

$$G_0(x) = \int \frac{d^4k}{(2\pi)^4} e^{ik \cdot x} \tilde{G}_0(k)$$

Nonlocal NJL and QCD

- $\tilde{G}_0(k)$ satisfies:
 - Confinement \Rightarrow for $k \ll \Lambda$, $\tilde{G}_0(k) \sim \text{constant} \sim 1/\Lambda^2$
 - Asymptotic freedom \Rightarrow for $\Lambda \ll k \ll M_\chi$, $\tilde{G}_0(k) \sim 1/k^2$
- A simple example of a function $\tilde{G}_0(k)$ which satisfies these two properties is (with a cut-off $k \lesssim M_\chi$)

$$\tilde{G}_0(k) = \frac{1}{k^2 + \Lambda^2}$$

- A version with smooth cut-off is

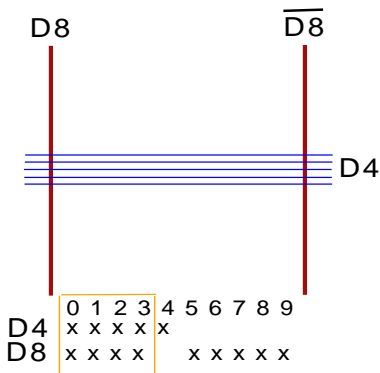
$$\tilde{G}_0(k) = \frac{e^{-kM_\chi}}{k^2 + \Lambda^2}$$

Nonlocal NJL and QCD

- Integrating out gluon degrees of freedom from QCD (or any other confining theory) is expected to give rise to a far more complicated effective fermion action
- However, one may expect this simple model to be more amenable to analytical treatment of qualitative questions about χ SB
- Alternatively, one may consider it as an interesting model to study in its own right

Weakly coupled SS intersecting brane configuration

- N_c $D4$ -branes wrapping a thermal circle of radius $R \Rightarrow$ colour degrees of freedom
- open strings between $D4$ -branes and $D8$ and anti- $D8$ -branes separated by $L \leq \pi R$ apart on the circle \Rightarrow flavour degrees of freedom



Length scales

- The SS model has several length scales:

$$l_s, R, L, g_5^2$$

where $g_5^2 = 4\pi^2 g_s l_s$ is the 5-d YM coupling

- Alternatively, there is the 5-dimensional 't Hooft coupling $\lambda_5 = g_5^2 N_c$
- There is also the confinement length scale

$$\Lambda \sim (\pi R)^{-1} e^{-2\pi R/\beta_0 g_5^2}$$

Hierarchy of length scales

$$\lambda_5 \ll l_s \ll L \leq \pi R \ll \Lambda^{-1}$$

- Stringy corrections to low-energy action are small if $\lambda_5/l_s \ll 1$
- For a metastable brane-anti-brane system we need $l_s \ll L$
- For separation L between the flavour branes, strings of mass L can get excited. Corrections due to these are small if $\lambda_5/L \ll 1$

Low-energy, weakly coupled SS model

At scales much smaller than the string length, the dynamics of the weakly coupled SS model is governed by the action

$$\begin{aligned}
 S = & -\frac{1}{4g_5^2} \int d^4x \int_0^{2\pi R} dx^4 (F_{MN}^a(x, x^4))^2 \\
 & + \int d^4x q_L^{\alpha\dagger}(x) \bar{\sigma}^\mu \left(i\partial_\mu + t^a A_\mu^a(x, -L/2) \right) q_L^\alpha(x) \\
 & + \int d^4x q_R^{\alpha\dagger}(x) \sigma^\mu \left(i\partial_\mu + t^a A_\mu^a(x, L/2) \right) q_R^\alpha(x)
 \end{aligned}$$

$$\begin{aligned}
 A_M^a(x, x^4) = & \sum_{n=1}^{\infty} \left(A_M^{a(n)}(x) e^{inx^4/R} + A_M^{a(n)*}(x) e^{-inx^4/R} \right) \\
 & + A_M^{a(0)}(x)
 \end{aligned}$$

Integrating out YM degrees of freedom

$$S = S_0 + S_1 + \dots$$

$\Rightarrow S_0$ is QCD action with $g_4^2 = g_5^2/2\pi R$

$$S_1 = g_4^2 \sum_{n=1}^{\infty} \int d^4x d^4y \Delta_n(x-y) J_{n\mu}^{a*}(x) J_n^{a\mu}(y)$$

$$J_n^{a\mu}(x) = \left(q_L^\dagger(x) \bar{\sigma}^{\mu t^a} q_L(x) e^{inL/2R} + q_R^\dagger(x) \sigma^{\mu t^a} q_R(x) e^{-inL/2R} \right)$$

$$\Delta_n(x) = \int \frac{d^4k}{(2\pi)^4} \frac{e^{ik \cdot x}}{(-k^2 + \frac{n^2}{R^2})}$$

Nonlocal NJL from SS

$$S_1 = g_4^2 \int d^4x d^4y G_1(x-y)[q_L^\dagger(x)q_R(y)][q_R^\dagger(y)q_L(x)]$$

$$G_1(x) = \int \frac{d^4k}{(2\pi)^4} e^{ik \cdot x} \tilde{G}_1(k)$$

$$\tilde{G}_1(k) = \frac{\pi R \cosh k(\pi R - L)}{k \sinh k\pi R} - \frac{1}{k^2}$$

- This result is valid for all values of L and R , since we have summed over the exchange of all the Kaluza-Klein modes. In particular, in the limit $R \rightarrow \infty$, keeping L fixed, we get

$$\tilde{G}_1(k) \rightarrow \frac{\pi R}{k} e^{-kL}$$

Nonlocal NJL model

$$S_{\text{eff}} = \int d^4x \left(q_L^\dagger(x) \bar{\sigma}^\mu i \partial_\mu q_L(x) + q_R^\dagger(x) \sigma^\mu i \partial_\mu q_R(x) \right) \\ + g_4^2 \int d^4x d^4y G(x-y) [q_L^\dagger(x) q_R(y)] [q_R^\dagger(y) q_L(x)]$$

- $\tilde{G}(k)$ satisfies:
 - For $k \sim \Lambda$, $\tilde{G}(k) \sim 1/(k^2 + \Lambda^2)$. This follows from the hierarchy of scales $\Lambda \ll 1/\pi R \leq 1/L$
 - For $\Lambda \ll k \ll 1/\pi R$, $\tilde{G}(k) \sim 1/k^2$. Asymptotically free regime of 4-d
 - For $k \gg 1/\pi R$, $\tilde{G}(k) \sim \pi R e^{-kL}/k$. Here 4-d \Rightarrow 5-d. The nonlocal NJL interaction has a short-distance cut-off at a scale of order L

Nonlocal NJL model

- A simple function that contains all the three scales and capture all the essential features of $\tilde{G}(k)$ is

$$\tilde{G}(k) = \left(\frac{1 + \pi Rk}{k^2 + \Lambda^2} \right) e^{-kL}$$

Remember the hierarchy of scales is $L \ll \pi R \ll \Lambda^{-1}$

- $G(x)$ can be computed
 - For $|x| = r \sim L$,

$$G(x) \sim \frac{1}{(r^2 + L^2)^{3/2}}$$

- For $r \gg \Lambda^{-1}$,

$$G(x) \sim \frac{e^{-r\Lambda}}{r^{3/2}}$$

χ SB in nonlocal NJL

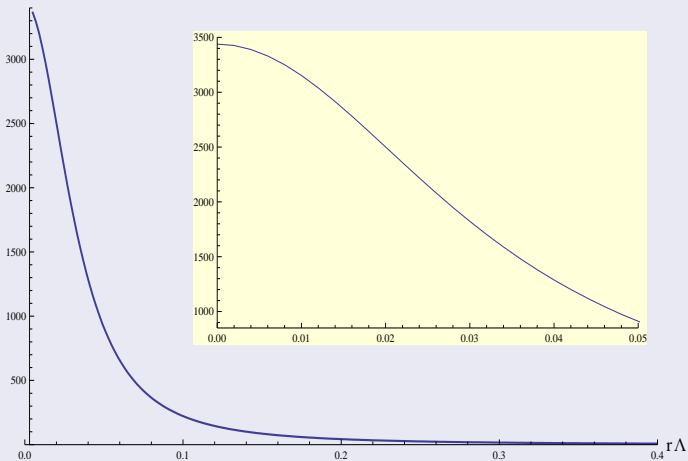


Figure: $G(x)$ as a function of $r\Lambda$

The order parameter

- The next step is to introduce scalars

$$T^{\alpha\beta}(x, y) = g_4^2 G(x - y) q_R^{\dagger\beta}(y) q_L^\alpha(x)$$

- For classical ground state solutions, it is sufficient to take

$$T^{\alpha\beta}(x, y) = \delta^{\alpha\beta} T(|x - y|)$$

- The order parameter is

$$\phi(x) = \frac{1}{N_c} \langle q_L^{\dagger\alpha}(x) q_R^\alpha(0) \rangle = \frac{T(x)}{g_4^2 N_c G(x)}$$

Gap equation

- Integrating out the fermions gives an effective action for $T(x)$:

$$\frac{S_{\text{eff}}}{VN_c N_f} = \int d^4x \frac{|T(x)|^2}{g_4^2 N_c G(x)} - \int \frac{d^4k}{(2\pi)^4} \ln \left(1 + \frac{|\tilde{T}(k)|^2}{k^2} \right)$$

- The equation of motion - **the gap equation**

$$\int \frac{d^4x}{(2\pi)^4} e^{-ik \cdot x} \frac{T(x)}{g_4^2 N_c G(x)} = \tilde{\phi}(k) = \frac{\tilde{T}(k)}{k^2 + |\tilde{T}(k)|^2}$$

- $T(x) = 0$ is a solution with $S_{\text{eff}} = 0$.

Gap equation

- On-shell value of S_{eff} :

$$\frac{S_{\text{eff}}}{VN_c N_f} = \int \frac{d^4 k}{(2\pi)^4} \left[\frac{|\tilde{T}(k)|^2}{k^2 + |\tilde{T}(k)|^2} - \ln \left(1 + \frac{|\tilde{T}(k)|^2}{k^2} \right) \right]$$

- As a function of $|\tilde{T}(k)|/k$, the integrand always decreases. Since for $|\tilde{T}(k)|/k = 0$, the integrand vanishes, it is always negative for any value of k
- $\Rightarrow T(x) \neq 0$ solution has negative $S_{\text{eff}} \Rightarrow \chi\text{SB for arbitrarily weak coupling}$
- For finiteness of S_{eff} , need the asymptotic behaviour

$$|\tilde{T}(k)| \sim k^{-\gamma}, \quad \gamma > 0$$

Solving gap equation

- For sufficiently large k , we may assume $|\tilde{T}(k)| \ll k$. (This must be so for $k \gg L^{-1}$). Then the nonlinearities can be neglected and the gap equation can be approximated as

$$k^2 \tilde{\phi}(k) = \tilde{T}(k), \quad k \gg L^{-1}$$

- In coordinate space, on spherically symmetric functions, it takes the form

$$\partial_r^2 \phi(r) + \frac{3}{r} \partial_r \phi(r) + g_4^2 N_c G(r) \phi(r) = 0$$

- ϕ_0 , the normalization of ϕ is not determined. But ϕ_0 determines beyond what value of k this approximation can be used.

Solving gap equation

- Need to use nonlinearity of gap equation to determine ϕ_0
- For sufficiently small k , $|\tilde{T}(k)| \gg k$. In this case, the gap equation becomes

$$\tilde{\phi}(k) = 1/\tilde{T}^*(k), \quad k \ll \Lambda$$

- This must certainly be the case for $k \ll \Lambda$ since in this region we expect the order parameter to decay (in coordinate space) as $e^{-r\Lambda}$
- Take as ansatz: $\phi(r) = \phi_0 x^{-\delta} e^{-rLx}$. One can now determine both $\tilde{\phi}(k)$ and $|\tilde{T}(k)|$ (both turn out to be constants) and hence ϕ_0 .

Solving gap equation

- We have obtained the solution analytically in these two limits:

$$\tilde{T}(k) \sim k^{-\gamma}, \quad k \gg 1/L$$

and

$$\tilde{T}(k) \sim \text{constant}, \quad k \ll \Lambda$$

- The scale of χ SB can be obtained from the solution which interpolates between these two. Because of nonlinearity of the gap equation, this can be done only numerically.
- Work on the numerical solution is in progress and should be completed soon

$R \rightarrow \infty$ limit

- The nonlocal NJL model of Antonyan, Harvey, Jensen and Kutasov was obtained from the weakly coupled SS model in which the circle is noncompact
- The confining scale, which is related to R as

$$\Lambda \sim \frac{1}{\pi R} e^{-2\pi R/\beta_0 g_5^2}$$

vanishes in this limit, giving rise to a long range interaction of the fermions

- This makes the limit subtle and it seems that a consistent model cannot be obtained in the limit

Summary

- Nonlocal NJL models arise as effective low-energy theories in a variety of situations, e.g. low-energy QCD, low-energy string theory on intersecting brane systems, etc.
- Unlike in the original local NJL model, these models may have χ_{SB} at arbitrarily weak coupling. Also, if the underlying microscopic theory is confining, these effective theories may have the scale of χ_{SB} separated from the confinement scale.
- We proposed and discussed in detail a nonlocal NJL model, which has features similar to the nonlocal NJL model one gets from the SS model at weak coupling. We argued that a vacuum solution with the above properties exists. We are working on explicitly obtaining a complete solution to the nonlinear gap equation.