# Lower bounds on designs in symmetric spaces

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#### Definition

A design of strength *t* is a subset  $D \subseteq X$  such that for any simple function *f* on *X* holds

$$\frac{1}{|D|}\sum_{x\in D}f(x)=\int_Xf(x)d\mu(x)$$

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- Or... show no small designs exist.

## What is a design?

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- Combinatorics: Some designs turn out to be very nice combinatorial objects, such as combinatorial designs.
- Somewhere in between: The (main) goal of this line of research is to obtain a better understanding of the Delsarte theory of linear programming bounds for codes and designs.



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  - **1** *X* is the Hamming cube  $\{0, 1\}^n$  or  $\{-1, 1\}^n$ . The group of symmetries **S** is generated by shifts  $T_a : x \to x + a$  and permutations of coordinates.

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- **3** *X* is the Johnson space,  $\binom{[n]}{k}$ , also known as the Hamming sphere. The group of symmetries **S** are the permutations.
- In all these examples **S** is 2-transitive.

• For the Hamming cube  $X = \{-1, 1\}^n$ , simple functions of strength *t* are multilinear polynomials of degree at most *t*, that is the span of  $\prod_{i \in T} x_i$  for  $T \subseteq [n]$ ,  $|T| \leq t$ .

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• For the Hamming sphere... There is a notion of simplicity leading to nice designs

$$\mathbb{S}^1 ~=~ (\mathit{cos}(\phi), \mathit{sin}(\phi)), ~~ 0 \leq \phi < 2\pi$$

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• Simple functions are spanned by  $cos(k\phi)$ ,  $sin(k\phi)$ , k = 0, ..., t on  $[0, 2\pi)$ .

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• For 
$$t = 2$$
, take  $D = \{0, \frac{2\pi}{3}, \frac{4\pi}{3}\}$ .

$$\cos(0)+\cos\left(\frac{2\pi}{3}\right)+\cos\left(\frac{4\pi}{3}\right)=\sin(0)+\sin\left(\frac{2\pi}{3}\right)+\sin\left(\frac{4\pi}{3}\right)=0$$

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- Nice question, nice answer.

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- That is, any coordinate to be 1 and -1 same number of times on *D*.
- Take  $D = \{(1, 1, ..., 1), (-1, -1, ..., -1)\}.$

Hamming cube - continued

• t = 2. Want for all i < j

$$\sum_{x\in D} x_i x_j = 0$$

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Hamming cube - continued

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• Easy to check: any pair (i, j) of coordinates has to contain all 4 choices (1, 1), (-1, 1), (1, -1), (-1, -1) same number of times.

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• Can take *D* to be a Hadamard code or any binary linear code with dual distance 3.  $|D| \approx \log n$  and this is optimal.

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- In general, for any *t*, need a *t*-wise independent set.
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- Nice question, mostly nice answers... Downhill from now on...

• There is a notion of simple functions of strength t, t = 0, ..., k.

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For this notion a design of strength *t* is a combinatorial design S<sub>λ</sub>(*t*, *k*, *v*).

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- a not-so-nice question, nice answer.
- What about lower bounds on the size of designs?

• We have considered spaces with many symmetries, that is isometries - distance preserving transformations.

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- Now, more attention to the distance. In our example spaces:
  - 1 The Hamming cube: the Hamming distance (number of coordinates two strings differ in).
  - 2 The Euclidean sphere: The Euclidean distance.
  - The Hamming sphere: The Hamming distance divided by 2.

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• An (Error-correcting) code of minimal distance *d* is a subset  $C \subseteq X$  such that the distance between any two distinct points in *X* is at least *d*.

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- Want to construct large codes, or prove that large codes do not exist.
- Here: The second goal. What is the largest possible cardinality A(X, d) of a code with minimal distance d?
- Classical bounds:

$$\frac{|X|}{|B(d)|} \le A(X, d) \le \frac{|X|}{|B(d/2)|}$$

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#### Linear programming bounds Delsarte '73

• Hamming's bound

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• In this talk we use 2-transitivity of the symmetry group. Delsarte's approach holds in higher generality.

• Shifts and permutations form a 2-transitive group of isometries for the Hamming cube.

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- Shifts and permutations form a 2-transitive group of isometries for the Hamming cube.
- For any two pairs of points (x, y), (x', y') with d(x, y) = d(x', y') there is an isometry *I* such that

$$I: x \mapsto x'$$
 and  $y \mapsto y'$ 

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- Pairwise distances in the space. Let

$$A_i(x,y) = 1$$
 if  $d(x,y) = i$  and  $A_i(x,y) = 0$  if  $d(x,y) \neq i$ 

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• This is a Bose-Mesner algebra of the Hamming association scheme. Association schemes were introduced by statisticians to deal with difficulties in constructing combinatorial designs.

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• Pairwise distances - in the code C.

$$a_i = rac{1}{|\mathcal{C}|} \cdot \left| \{ (x, y) \in \mathcal{C} \times \mathcal{C} : d(x, y) = i \} \right|$$

The distance distribution  $(a_0...a_n)$  satisfies a system of linear constraints derived from the matrix algebra.

Delsarte's linear program



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## Delsarte's linear program



• A linear programming relaxation of the original problem.

• Any feasible solution of the dual program gives an upper bound on A(n, d).

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- Any feasible solution of the dual program gives an upper bound on A(n, d).
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- Any feasible solution of the dual program gives an upper bound on A(n, d).
- The coefficients  $Q_{i,k}$  of the linear constraints on distance distribution are bad news.
- Delsarte these coefficients are values of orthogonal polynomials.
- Obtaining an LP bound reduces to an extremal problem for orthogonal polynomials.

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## The best known bounds

• MRRW '77, using orthogonal polynomial theory: The first linear programming bound for codes

$$A(X, d) \leq 2^{H\left(\frac{1}{2} - \sqrt{\delta(1-\delta)}\right) \cdot n}$$

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• The chain of events: Combinatorial problem -> relaxation (using algebra) -> optimization problem -> analytic problem (extremal problem in orthogonal polynomials).

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• What about designs?

## Designs in association schemes

• Delsarte: in nice familiar spaces the distance distribution of a design satisfies linear constraints:

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## Designs in symmetric spaces

• Delsarte: in nice familiar spaces the distance distribution of a design satisfies linear constraints:

$$\sum_{k=0}^{n} Q_{i,k} a_k = 0, \quad i = 1...t$$

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• This immediately leads to Delsarte's linear program for designs.

Delsarte's linear program for designs



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• A formal dual of the coding problem.

• The MRRW solution leads to the first linear programming bound for designs.

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• Recap: Linear programming approach reduces the problem of bounds for codes and designs to a difficult analytic problem in orthogonal polynomials.

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- Linear programming approach reduces the problem of bounds for codes and designs to a difficult analytic problem in orthogonal polynomials.
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- Linear programming approach reduces the problem of bounds for codes and designs to a difficult analytic problem in orthogonal polynomials.
- It works very well, leading to the best known bounds for codes and designs.
- Is MRRW's solution leading to LP bounds optimal?
- In fact, MRRW conjecture it is not optimal.
- However, there are results (Barg-Jaffe etc ) suggesting its optimality.

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- It works mysteriously well, leading to the best known bounds for codes and designs.
- Is MRRW's solution leading to LP bounds optimal?
- Why does the LP approach work so well?
- Can we improve on LP bounds? Failing that, can we at least understand them better?

Some history

• Schrijver'05, Bachoc-Valentin'07: semi-definite relaxation for the coding problem. Improves over LP bounds for small parameters.

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• Schrijver'05, Bachoc-Valentin'07: semi-definite relaxation for the coding problem. Improves over LP bounds for small parameters. Hard to analyze analytically.

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• Navon-S.'05: Combining the two approaches above. A Fourier-analytic proof of lower bounds for designs in the Hamming cube.

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• Navon-S.'05: Combining the two approaches above. A Fourier-analytic proof of lower bounds for designs in the Hamming cube. A design is large because a union of small Hamming balls around its points "covers" the whole space.

• We prove lower bounds on designs in symmetric spaces, generalizing the result for the Hamming cube.

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• We prove lower bounds on designs in symmetric spaces, generalizing the result for the Hamming cube. A design is large because a <u>union</u> of small <u>metric balls</u> around its points "covers" the whole space.

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• Our proof is easy, using simple linear algebra and the symmetries of the space directly (rather than going through Fourier analysis).

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• Our proof is easy, using simple linear algebra and the symmetries of the space directly (rather than going through Fourier analysis). However, in the general case, need the language and some claims from Fourier analysis on symmetric spaces.

### Some examples

• For any *t* there is a radius r = r(t) (depending on the space) such that

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  - **3** Union of "Hamming spherical caps" of radius r(t) around a combinatorial *t*-design "covers" the Hamming sphere.

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• Recovers the first linear programming bound for these spaces.

## Some ingredients of this approach

• A simple description of simple functions.



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- The Laplacian.

**1** For the Euclidean space  $\mathbb{R}^n$ 

$$Lf = -\left(\frac{\partial^2 f}{\partial x_1^2} + \dots + \frac{\partial^2 f}{\partial x_n^2}\right)$$

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Provide Provide an approximate and a sphere S<sup>n-1</sup> the Laplacian is the restriction of the Laplacian on ℝ<sup>n</sup>. (Less easy than it looks.)

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- 2 For the Euclidean sphere S<sup>n-1</sup> the Laplacian is the restriction of the Laplacian on ℝ<sup>n</sup>.
- 3 For k-regular graphs it is the usual graph Laplacian

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- 2 For the Euclidean sphere S<sup>n-1</sup> the Laplacian is the restriction of the Laplacian on ℝ<sup>n</sup>.
- Solution For k-regular graphs it is the usual graph Laplacian (This takes care of the Hamming cube and sphere.)

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## Some ingredients of this approach - continued

• Definition: The space of simple functions of strength *t* is spanned by the eigenfunctions of the Laplacian which belong to the first *t* eigenvalues.

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# Some ingredients of this approach - continued

- Definition: The space of simple functions of strength *t* is spanned by the eigenfunctions of the Laplacian which belong to the first *t* eigenvalues.
- Definition: The eigenvalue of a subset  $\Omega \subseteq X$  is the minimal eigenvalue of the Laplacian restricted to functions supported on  $\Omega$  (with appropriate boundary and smoothness conditions if needed.)

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Let *D* be a design of strength *t* on *X*. Let  $\Omega$  be a subset of *X* with eigenvalue  $\lambda$ . Then, assuming *X* and  $\Omega$  are sufficiently symmetric, and  $\lambda < \lambda_t$ , we have

$$|D| \ge rac{\lambda_t - \lambda}{\lambda_t} \cdot rac{|X|}{|\Omega|}$$

In fact, a union of isomorphic copies of  $\Omega$  taken around each point of *D* covers *X* (up to a  $\frac{\lambda_t - \lambda}{\lambda_t}$ -factor).

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# Proof by hand-waiving

• *D* is a design of strength *t*. Let |D| = d. Let  $\Omega_1, ..., \Omega_d$  be copies of  $\Omega$  around the points of *D*.

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- We define a function F on  $\cup_i \Omega_i$  and show

$$\frac{\|F\|_2^2}{\|F\|_1^2} \le \frac{\lambda_t}{\lambda_t - \lambda}$$

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• This means the support size of *F* is at least  $\frac{\lambda_t - \lambda}{\lambda_t} \cdot |X|$ . (Done).

• Let  $f_i$  be a function with eigenvalue  $\lambda = \lambda(\Omega)$  supported on  $\Omega_i$ . Take  $F = \sum_{i=1}^d f_i$ .

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$$\langle f_i, Lf_i \rangle \leq \lambda \langle f_i, f_i \rangle \implies \langle F, LF \rangle \leq \lambda \langle F, F \rangle$$

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 $\langle F, \phi \rangle = 0$  for any eigenfunction  $\phi$  with eigenvalues  $\lambda_1 < ... < \lambda_t$ 

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- This has to mean F has a large constant component. Done