## THE LINDELÖF CLASS OF L-FUNCTIONS, II

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ABSTRACT. In 2002, the second author [7] introduced a class of *L*-functions  $\mathbb{M}$ , which contains the Selberg class and forms a ring. In this article, we study this class and prove that the invariant  $c_F^*$ , which is the generalization of degree in the Selberg class cannot take non-integer values between 0 and 1. We also study the ring structure of  $\mathbb{M}$  showing that it is non-Noetherian.

## 1. INTRODUCTION

In 1989, A. Selberg [9] introduced a class of L-functions S satisfying properties similar to that of the Riemann zeta-function. The Selberg class can be regarded as a model for Lfunctions coming from arithmetic and geometry. Many naturally occurring L-functions such that the Riemann zeta-function, the Dirichlet L-functions and Dedekind zeta-functions are members of the Selberg class. Since then, the Selberg class has been extensively studied and many interesting properties on the structure of this class have been discovered. In [9], Selberg made two key conjectures on this class which vaguely claim that distinct L-functions in S do not interact with each other. These conjectures have far reaching consequences. As shown by M. Ram Murty [8], the Selberg's orthogonality conjecture implies the strong Artin's holomorphy conjecture. Despite its generality, the Selberg class has many limitations. It is not closed under addition and many naturally occurring L-functions such as the Hurwitz zeta-function, Lerch zeta-function or Epstein zeta-function are not members of the Selberg class.

This motivated the second author [7] to introduce a class of L-functions  $\mathbb{M}$ , which is defined based on growth conditions. This class  $\mathbb{M}$  contains the Selberg class and forms a ring. In this article, we study this class by introducing an invariant which generalizes the notion of degree in the Selberg class and prove that it does not take non-integer values between 0 and 1. We also introduce a method to construct non-trivial ideals of  $\mathbb{M}$  and prove that  $\mathbb{M}$  is non-Noetherian.

#### 2. The Selberg Class

The Selberg class S consists of meromorphic functions F(s) satisfying the following properties.

(1) **Dirichlet series-** F can be expressed as a Dirichlet series

$$F(s) = \sum_{n=1}^{\infty} \frac{a_F(n)}{n^s},$$

which is absolutely convergent in the region  $\Re(s) > 1$ . We also normalize the leading coefficient as  $a_F(1) = 1$ .

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- (2) Analytic continuation There exists a non-negative integer k, such that  $(s-1)^k F(s)$  is an entire function of finite order.
- (3) Functional equation There exist real numbers Q > 0 and  $\alpha_i > 0$ , complex numbers  $\beta_i$  and  $w \in \mathbb{C}$ , with  $\Re(\beta_i) \ge 0$  and |w| = 1, such that

$$\Phi(s) \coloneqq Q^s \prod_i \Gamma(\alpha_i s + \beta_i) F(s) \tag{1}$$

satisfies the functional equation

$$\Phi(s) = w\Phi(1-\bar{s}).$$

(4) **Euler product** - There is an Euler product of the form

$$F(s) = \prod_{p \text{ prime}} F_p(s), \tag{2}$$

where

$$\log F_p(s) = \sum_{k=1}^{\infty} \frac{b_{p^k}}{p^{ks}}$$

with  $b_{p^k} = O(p^{k\theta})$  for some  $\theta < 1/2$ .

(5) Ramanujan hypothesis - For any  $\epsilon > 0$ ,

$$|a_F(n)| = O_\epsilon(n^\epsilon). \tag{3}$$

The constants in the functional equation (1) depend on F. Although the functional equation may not be unique, because of the duplication formula of  $\Gamma$ -function, we have some well-defined invariants, such as the degree  $d_F$  of F, which is defined as

$$d_F \coloneqq 2\sum_i \alpha_i.$$

The factor Q in the functional equation gives rise to another invariant referred to as the conductor  $q_F$ , which is defined as

$$q_F \coloneqq (2\pi)^{d_F} Q^2 \prod_i \alpha_i^{2\alpha_i}.$$
 (4)

It is an interesting conjecture that both the degree and the conductor associated to elements of the Selberg class are non-negative integers.

#### 3. The class $\mathbb{M}$

In [7], the second author defined a class of *L*-functions based on growth conditions. We start by defining two growth parameters  $\mu$  and  $\mu^*$ .

**Definition 3.1. The class**  $\mathbb{T}$ . Define the class  $\mathbb{T}$  to be the set of meromorphic functions F(s) satisfying the following conditions.

(1) **Dirichlet series** - For  $\Re(s) > 1$ , F(s) is given by the absolutely convergent Dirichlet series

$$F(s) = \sum_{n=1}^{\infty} \frac{a_F(n)}{n^s}.$$

- (2) Analytic continuation There exists a non-negative integer k, such that  $(s-1)^k F(s)$  is an entire function of order  $\leq 1$ .
- (3) Ramanujan hypothesis  $|a_F(n)| = O_{\epsilon}(n^{\epsilon})$  for any  $\epsilon > 0$ .

**Definition 3.2.** Let  $F \in \mathbb{T}$  be entire. Define  $\mu_F(\sigma)$  as

$$\mu_F(\sigma) \coloneqq \left\{ \begin{array}{l} \inf\left\{\lambda \in \mathbb{R} : |F(s)| \le (|s|+2)^{\lambda}, \text{ for all } s \text{ with } \Re(s) = \sigma \right\}, \\ \infty, \text{ if the infimum does not exist.} \end{array} \right.$$
(5)

Also define:

$$\mu_F^*(\sigma) \coloneqq \left\{ \begin{array}{l} \inf \left\{ \lambda \in \mathbb{R} : |F(\sigma + it)| \ll_{\sigma} (|t| + 2)^{\lambda} \right\}, \\ \infty, \quad if \ the \ infimum \ does \ not \ exist. \end{array} \right.$$
(6)

In the definition of  $\mu_F^*(\sigma)$ , the implied constant depends on both F and  $\sigma$ , whereas in  $\mu_F(\sigma)$ , the constant only depends on F and is independent of  $\sigma$ .

We further extend the definition of  $\mu_F$  and  $\mu_F^*$  to all the elements in the class  $\mathbb{T}$  as follows. Suppose  $F \in \mathbb{T}$  has a pole of order k at s = 1. Consider the function

$$G(s) \coloneqq \left(1 - \frac{2}{2^s}\right)^k F(s). \tag{7}$$

Clearly, G(s) is an entire function and belongs to  $\mathbb{T}$ . We define

$$\mu_F(\sigma) \coloneqq \mu_G(\sigma), \mu_F^*(\sigma) \coloneqq \mu_G^*(\sigma).$$

Intuitively,  $\mu_F^*(\sigma)$  does not see how F(s) behaves close to the real axis. On the other hand,  $\mu_F(\sigma)$  captures an absolute bound for F(s) on the entire vertical line  $\Re(s) = \sigma$ .

It follows from the definition that

$$\mu_F^*(\sigma) \le \mu_F(\sigma)$$

for any  $\sigma$ . From the above definition, we immediately conclude the following.

**Proposition 3.3.** Let  $F \in \mathbb{T}$ . For  $\sigma > 1 + \epsilon$ ,

$$\mu_F^*(\sigma) = 0$$
$$\mu_F(\sigma) \ll_{F,\epsilon} 1.$$

for any  $\epsilon > 0$ .

*Proof.* Since  $F \in \mathbb{T}$ , it is given by a Dirichlet series  $F(s) = \sum_n a_n/n^s$ , which is absolutely convergent for  $\sigma > 1$  and hence bounded in the region  $\sigma \ge 1 + \epsilon$ , with the bound depending on F and  $\epsilon$ , but independent of  $\sigma$ . Hence, we have the proposition.

Note that  $\mu_F(\sigma)$  and  $\mu_F^*(\sigma)$  are always non-negative for all  $\sigma$ . This is because  $\mu_F^*(\sigma) = 0$  for  $\sigma > 1$  by Proposition 3.3. Hence, if  $\mu_F(\sigma_1) < 0$ , then by Phragmén-Lindelöf theorem,  $\mu_F(\sigma) \leq 0$  in the strip  $\sigma_1 \leq \Re(s) \leq \sigma$ . Thus, we get a vertical strip where F(s) is bounded and tends to 0 as  $\Im(s) \to \infty$ . This is a contradiction. By a similar argument, we also conclude that  $\mu_F(\sigma)$  is always non-negative.

If  $F \in \mathbb{S}$ , by the functional equation (1), using Stirling's formula, we have (see [7], Sec.2.1])

$$\mu_F^*(\sigma) \le \frac{1}{2} d_F(1 - 2\sigma) \text{ for } \sigma < 0.$$
(8)

Using the Phragmén-Lindelöf theorem, we deduce that

$$\mu_F^*(\sigma) \leq \frac{1}{2} d_F(1-\sigma) \text{ for } 0 < \sigma < 1.$$

The same results hold for  $\mu_F$  up to a constant depending on F. To see this, we use the functional equation for F,

$$F(s) = \Delta_F(s)\overline{F(1-\overline{s})},$$

where

$$\Delta_F(s) \coloneqq \omega Q^{1-2s} \prod_{j=1}^k \frac{\Gamma(\alpha_j(1-s) + \overline{\beta}_j)}{\Gamma(\alpha_j s + \beta_j)}.$$

Using Stirling's formula, we get

**Lemma 3.4.** For  $F \in \mathbb{S}$  and  $t \ge 1$ , uniformly in  $\sigma$ ,

$$\Delta_F(\sigma+it) = \left(\alpha Q^2 t^{d_F}\right)^{1/2-\sigma-it} \exp\left(itd_F + \frac{i\pi(\beta-d_F)}{4}\right) \left(\omega + O\left(\frac{1}{T}\right)\right),$$

where

$$\alpha \coloneqq \prod_{j=1}^k \alpha_j^{2\alpha_j} \text{ and } \beta \coloneqq 2\sum_{j=1}^k (1-2\beta_j).$$

By Lemma 3.4, we conclude that

$$\mu_F(\sigma) \le \frac{1}{2} d_F(1 - 2\sigma) + O(1) \text{ for } \sigma < 0.$$
(9)

and

$$\mu_F(\sigma) \leq \frac{1}{2} d_F(1-\sigma) + O(1) \text{ for } 0 < \sigma < 1.$$

Thus, for  $F \in \mathbb{S}$ , these parameters  $\mu_F(\sigma)$  and  $\mu_F^*(\sigma)$  are well-defined (i.e.,  $\mu_F(\sigma), \mu_F^*(\sigma) < \infty$  $\infty$ ). We use this behaviour of  $\mu$  and  $\mu^*$  to introduce a growth condition. This leads to the definition of class  $\mathbb{M}$ .

**Definition 3.5.** The class  $\mathbb{M}$ . Define the class  $\mathbb{M}$  (see [7, sec.2.4]) to be the set of meromorphic functions F(s) satisfying the following conditions.

(1) Dirichlet series - F(s) is given by a Dirichlet series

$$\sum_{n=1}^{\infty} \frac{a_F(n)}{n^s},$$

which is absolutely convergent in the right half plane  $\Re(s) > 1$ .

- (2) Analytic continuation There exists a non-negative integer k such that  $(s-1)^k F(s)$ is an entire function of order  $\leq 1.$
- (3) Growth condition The quantity  $\frac{\mu_F(\sigma)}{(1-2\sigma)}$  is bounded for  $\sigma < 0$ . (4) Ramanujan hypothesis  $|a_F(n)| = O_{\epsilon}(n^{\epsilon})$  for any  $\epsilon > 0$ .

Notice that in the condition of analytic continuation, we have to force the complex order to be  $\leq 1$ . In case of the Selberg class, this condition is implicit due to the functional equation.

We now define some invariants for  $\mathbb{M}$ , which generalize the notion of degree in  $\mathbb{S}$ .

**Definition 3.6.** For  $F \in \mathbb{M}$ , define

$$c_F \coloneqq \limsup_{\sigma < 0} \frac{2\mu_F(\sigma)}{1 - 2\sigma},$$
$$c_F^* \coloneqq \limsup_{\sigma < 0} \frac{2\mu_F^*(\sigma)}{1 - 2\sigma}.$$

By the growth condition,  $c_F$  and  $c_F^*$  are bounded for  $F \in \mathbb{M}$ . Moreover, since  $\mu_F^*(\sigma) \leq \mu_F(\sigma)$  for all  $\Re(s) = \sigma$ , we have

$$c_F^* \leq c_F$$

Note that  $c_F$  and  $c_F^*$  are  $\geq 0$ . Using the Phragmén-Lindelöf theorem, we have

$$\mu_F(\sigma) \leq \frac{1}{2}c_F(1-\sigma),$$
  
$$\mu_F^*(\sigma) \leq \frac{1}{2}c_F^*(1-\sigma)$$

for  $0 < \sigma < 1$ . We mention a few examples below.

**Example 1.** Any Dirichlet polynomial F belongs to  $\mathbb{M}$  and  $c_F = c_F^* = 0$ .

**Example 2.** Any Dirichlet series F which is absolutely convergent on the whole complex plane has  $c_F^* = 0$ .

**Example 3.** The Riemann zeta-function  $\zeta(s)$  is in  $\mathbb{M}$  and  $c_{\zeta} = c_{\zeta}^* = 1$ .

**Example 4.** If F is an element in the Selberg class, then F also belongs to  $\mathbb{M}$ . Moreover,  $c_F = c_F^*$  and is given by the degree of F.

The proof of Examples 3 and 4 will follow from Proposition 3.12.

**Example 5.** Linear combinations of elements in the Selberg class  $\mathbb{S}$  are in  $\mathbb{M}$ .

We shall see this later, when we prove that  $\mathbb{M}$  forms a ring.

Another example of L-functions in  $\mathbb{M}$ , which are not constructed from linear combination of elements in  $\mathbb{S}$  are the translates of Epstein zeta-functions.

**Example 6.** For a given real positive definite  $n \times n$ -matrix T, the Epstein zeta-function is defined as (see [3], [4])

$$\zeta(T,s) \coloneqq \sum_{\boldsymbol{0} \neq \boldsymbol{v} \in \mathbb{Z}^n} (\boldsymbol{v}^t T \boldsymbol{v})^{-s}.$$

This series is absolutely convergent for  $\Re(s) > n/2$ . It can be analytically continued to  $\mathbb{C}$  except for a simple pole at s = n/2 with residue

$$\frac{\pi^{n/2}}{\Gamma(n/2)\sqrt{\det T}}.$$

Moreover, it satisfies a functional equation. Let

$$\psi(T,s) \coloneqq \pi^{-s/2} \Gamma(s) \zeta(T,s)$$

Then,

$$\psi(T,s) = (\det T)^{-1/2} \psi \left(T^{-1}, \frac{n}{2} - s\right)$$

Thus, the function  $\zeta(T, s + n/2 - 1)$  is an element in  $\mathbb{M}$ . The growth condition is satisfied because of the functional equation and further we have  $c_{\zeta(T,s+n/2-1)} = c^*_{\zeta(T,s+n/2-1)} = 2$ .

**Example 7.** If F(s) belongs to  $\mathbb{M}$ , then all its translates given by F(s) + a also belong to  $\mathbb{M}$ . If F(s) is analytic at s = 1, then the scalar shifts F(rs) also belong to  $\mathbb{M}$  for  $a \in \mathbb{C}$  and real  $r \ge 1$ . Furthermore, if F is analytic at s = 1, then for  $\Re(a) \ge 0$ , F(s + a) is also in  $\mathbb{M}$ . In all the above cases, they have the same values of  $c_F$  and  $c_F^*$ .

In order to ensure that  $\mathbb{M}$  is closed under addition, we need to establish that these invariants  $c_F$  and  $c_F^*$  in fact satisfy an ultrametric inequality.

**Proposition 3.7** ([7], Prop. 1). For  $F, G \in \mathbb{M}$ ,

$$c_{FG} \leq c_F + c_G$$
 and  $c_{F+G} \leq \max(c_F, c_G)$ .

Similarly,

$$c_{FG}^* \leq c_F^* + c_G^*$$
 and  $c_{F+G}^* \leq \max(c_F^*, c_G^*)$ .

In fact, if  $c_F > c_G$  (resp.  $c_F^* > c_G^*$ ), then

$$c_{F+G} = c_F (resp. \ c_{F+G}^* = c_F^*).$$

*Proof.* The proof of the above inequalities for  $c_F^*$  follows immediately from the definition of  $\mu_F^*$ . This is because, for any fixed  $\sigma$  and |t| > 1, we have

$$|F(\sigma + it)| \ll_{\sigma} |t|^{\mu_F^*(\sigma) + \epsilon}$$

for any  $\epsilon > 0$ . Therefore, for any  $F, G \in \mathbb{M}$ , we have

$$|FG(\sigma+it)| \ll_{\sigma} |t|^{\mu_F^*(\sigma)+\mu_G^*(\sigma)+\epsilon}$$

Similarly,

$$|(F+G)(\sigma+it)| \ll_{\sigma} |t|^{\mu_F^*(\sigma)+\epsilon} + |t|^{\mu_G^*(\sigma)+\epsilon}$$
$$\ll_{\sigma} |t|^{\max(\mu_F^*(\sigma),\mu_G^*(\sigma))+\epsilon}.$$

Incorporating this into the definition of  $c_F^*$ , we are done. By a similar argument, we also get that  $c_{FG} \leq c_F + c_G$ .

We are left to prove  $c_{F+G} \leq \max(c_F, c_G)$ . For a fixed  $\sigma$ , without loss of generality, assume  $\mu_F(\sigma) \geq \mu_G(\sigma)$ . For  $s = \sigma + it$  and any  $\epsilon > 0$ , we have

$$|(F+G)(s)| \le |F(s)| + |G(s)|$$
  
$$\le (|s|+2)^{\mu_F(\sigma)+\epsilon} + (|s|+2)^{\mu_G(\sigma)+\epsilon}$$
  
$$\le 2(|s|+2)^{\mu_F(\sigma)+\epsilon}.$$

Hence, from the definition of  $\mu_F$  we get,

$$\mu_{F+G}(\sigma) \le \mu_F(\sigma) + \frac{\log 2}{\log(|s|+2)}$$

Using the above inequality in the definition of  $c_F$ , we get

$$c_{F+G} \leq \max(c_F, c_G)$$

It follows from the above Proposition 3.7 that M forms a ring.

The degree conjecture for the Selberg class claims that the degree of any element in S must be a non-negative integer. The question arises if we can make similar claims about these invariants  $c_F$  and  $c_F^*$ . As it turns out,  $c_F$  can take non-integer values. In fact, one can

manufacture functions in  $\mathbb{M}$  with any arbitrary positive real value of  $c_F$ , as we shall see in (14). But, we expect the analogue of the degree conjecture to be true for the invariant  $c_F^*$ .

# **Conjecture 1.** If $F \in \mathbb{M}$ , then $c_F^*$ is a non-negative integer.

In this direction, following the argument of Conrey-Ghosh [2], in which they showed that the degree  $d_F$  in the Selberg class cannot take non-integer values between 0 and 1, we obtain the following result.

**Proposition 3.8** (Corrected version of [7], Prop. 3). Suppose  $F \in \mathbb{M}$ . Then  $c_F < 1$  implies  $c_F^* = 0$ .

*Proof.* Let  $F \in \mathbb{M}$ . For  $\sigma > 1$ , let  $F(s) = \sum_{n=1}^{\infty} \frac{a_F(n)}{n^s}$ . Then we know that,

$$f(x) \coloneqq \sum_{n=1}^{\infty} a_F(n) e^{-nx} = \frac{1}{2\pi i} \int_{(2)} F(s) \Gamma(s) x^{-s} ds,$$

where the integration is on the line  $\Re(s) = 2$ .

By the growth condition and convexity, F has a polynomial growth in |t| in vertical strips. Thus, moving the line of integration to the left and taking into account the possible pole at s = 1 of F(s) and poles of  $\Gamma(s)$  at  $s = 0, -1, -2, \cdots$ , we get that

$$f(x) = \frac{P(\log x)}{x} + \sum_{n=0}^{\infty} \frac{F(-n)}{n!} (-x)^n,$$
(10)

where P is a polynomial. By the definition of  $c_F$ , we have

$$|F(-n)| \ll n^{\frac{1}{2}c_F(1+2n)+\epsilon}.$$

Using Stirling's formula, i.e,

$$n! \sim n^{n-1/2} e^{-n} (2\pi)^{-1/2},$$

we get

$$\left|\frac{F(-n)}{n!}(-x)^n\right| \ll n^{\frac{c_F+1}{2}+\epsilon} \left(\frac{e|x|n^{c_F}}{n}\right)^n.$$

If  $c_F < 1$ , then the series in equation (10) converges absolutely for all values of x. Hence, the function f(x) is analytic in  $\mathbb{C} \setminus \{x \leq 0 : x \in \mathbb{R}\}$ . But, this function is also periodic with period  $2\pi i$  and so it converges for all x. Thus, the function

$$f(z) = \sum_{n=1}^{\infty} a_F(n) e^{-nz}$$

is entire. Taking z = -1, we get that

$$\sum_{n=1}^{\infty} a_F(n) e^n$$

is convergent and thus,  $a_F(n) = o(e^{-n})$ . So, the coefficients have exponential decay and therefore,  $a_F(n)n^k \ll 1$  for all  $k \ge 1$ . Hence, we have that

$$\sum_{n=1}^{\infty} \frac{a_F(n)}{n^s}$$

is absolutely convergent for all values of s. Therefore,  $\mu_F^*(\sigma) = 0$  for all  $\sigma$  and hence  $c_F^* = 0$ .  $\Box$ 

We can completely characterize all  $F \in \mathbb{M}$  such that  $c_F^* = 0$ . These are precisely all the functions, which when multiplied by a suitable Dirichlet polynomial give a Dirichlet series which is convergent on the whole complex plane. We invoke the following theorem of Landau to prove this result.

**Theorem 3.9** (Landau, [5] Chapter VII, sec. 10, Thm. 51). Let F(s) be an entire function. Suppose F(s) has a Dirichlet series representation

$$F(s) = \sum_{n=1}^{\infty} \frac{a_n}{n^s},$$

which is absolutely convergent for  $\Re(s) > 1$ . Also, suppose that

$$a_n = O(n^{\epsilon})$$

for all positive  $\epsilon$ . If

$$F(s) = O(|t|^{\beta}) \quad (\beta > 0)$$

uniformly in the half plane  $\Re(s) > \eta$ , then the Dirichlet series is convergent in the half plane  $\Re(s) > \eta_1$ , where

$$\eta_1 = \begin{cases} \frac{\eta + \beta}{1 + \beta}, & \text{if } \eta + \beta > 0\\ \eta + \beta, & \text{if } \eta + \beta < 0 \end{cases}$$

Using the above Theorem 3.9, we get the following result classifying all elements in  $\mathbb{M}$  with  $c_F^* = 0$ .

**Proposition 3.10.** Suppose  $F \in \mathbb{M}$  and let

$$H(s) = 1 - \frac{2}{2^s}.$$

If  $c_F^* = 0$ , then the Dirichlet series given by

$$H(s)^k F(s) = \sum_{n=1}^{\infty} \frac{b_n}{n^s},$$

is absolutely convergent on the whole complex plane, where k is the order of the possible pole of F(s) at s = 1.

*Proof.* Let  $F \in \mathbb{M}$ . Suppose  $\sigma_0(F)$  is the abscissa of absolute convergence for the Dirichlet series associated with F. If the Dirichlet series is not convergent on the whole complex plane, then we have  $\sigma_0(F) > -\infty$ . Note that

$$G(s) \coloneqq F(s + \sigma_0(F) - 1)$$

is in  $\mathbb{M}$  whose abscissa of absolute convergence is  $\sigma_0(G) = 1$ . Therefore, without loss of generality we assume that the Dirichlet series  $F(s) = \sum_{n=1}^{\infty} a_n/n^s$  has abscissa of absolute convergence  $\sigma_0(F) = 1$ .

Since  $c_F^* = 0$ , we can choose a  $\sigma_1 < 0$  such that

$$F(\sigma_1 + it) = O((|t| + 2)^{\epsilon(1/2 - \sigma_1)}),$$

for any  $\epsilon > 0$ . Using the Phragmén-Lindelöf theorem, we get

$$F(\sigma + it) = O((|t|+2)^{\epsilon\left(\frac{1}{2} - \sigma_1\right)\left(\frac{1 - \sigma}{1 - \sigma_1}\right)}$$
(11)

for  $\sigma_1 < \sigma < 1$ . Let  $\sigma$  be fixed. For any  $\epsilon_1 > 0$ , choose  $\epsilon = \frac{2\epsilon_1(1-\sigma_1)}{(1-2\sigma_1)(1-\sigma)}$  in (11) to get  $|F(\sigma + it)| = O((|t|+2)^{\epsilon_1}).$  Suppose F(s) has a pole of order k at s = 1. Define

$$G(s) \coloneqq \left(1 - \frac{2}{2^s}\right)^k F(s).$$

G(s) is analytic on the whole complex plane and  $G \in \mathbb{M}$  with the Dirichlet series representation

$$G(s) = \sum_{n=1}^{\infty} \frac{b_n}{n^s}$$

with abscissa of absolute convergence at  $\sigma_0(G) = 1$ . Also  $c_G^* = c_F^* = 0$  by the definition of  $\mu_F^*$ . Moreover, for  $\sigma_1 \ll 0$  and any  $\epsilon > 0$ , we have

$$|G(\sigma + it)| = O(|t|^{\epsilon})$$

uniformly for  $\sigma > \sigma_1$ . By Theorem 3.9, we conclude that the Dirichlet series representation of

$$G(s) = \sum_{n=1}^{\infty} \frac{b_n}{n^s}$$

converges in the half plane  $\Re(s) > \sigma_1 + \epsilon$ . Therefore, the abscissa of absolute convergence is  $\sigma_0(G) < \sigma_1 + 1 + \epsilon < 1$ , which contradicts the assumption that  $\sigma_0 = 1$ .

Hence  $\sigma_0(G) = -\infty$  and the Dirichlet series representation of

$$G(s) = \sum_{n=1}^{\infty} \frac{b_n}{n^s}$$

is convergent on the whole complex plane and the proposition follows.

Next, we show that the analogue of the degree conjecture given by Conjecture 1 holds between 0 and 1, i.e.,  $c_F^*$  does not take non-integer values between 0 and 1.

**Theorem 3.11.** If  $F(s) \in \mathbb{M}$ , then  $c_F^* < 1$  implies  $c_F^* = 0$ .

*Proof.* Let  $c_F^* < 1$ . If the Dirichlet series representation of  $F(s) = \sum_n a_n/n^s$  is convergent on the whole complex plane, then  $c_F^* = 0$ . Now, suppose  $\sum_n a_n/n^s$  absolutely converges in the half plane  $\Re(s) > a$ . Since  $F \in \mathbb{M}$ ,  $a \leq 1$ . If a < 1, we can consider the shift F(s + a - 1) such that its half-plane of absolute convergence is  $\Re(s) > 1$ . Hence, without loss of generality, we assume

$$F(s) = \sum_{n=1}^{\infty} \frac{a_n}{n^s}$$

has abscissa of absolute convergence  $\sigma_0(F) = 1$ . If F has a pole of order k at s = 1, we consider

$$G(s) \coloneqq \left(1 - \frac{2}{2^s}\right)^k F(s).$$

As discussed in the proof of Proposition 3.10,

$$G(s) = \sum_{n=1}^{\infty} \frac{b_n}{n^s}$$

also has abscissa of absolute convergence at  $\sigma_0(G) = 1$ . Moreover,  $c_G^* = c_F^*$ .

Hence, for any  $\epsilon > 0$ , we have a  $\sigma_1 < 0$ , such that

$$|G(\sigma_1 + it)| = O\left((|t| + 2)^{c_F^*(1/2 - \sigma_1) + \epsilon}\right).$$
(12)

Using Phragmén-Lindelöf theorem on the strip  $\sigma_1 < \Re(s) < 1$ , we get

$$|G(\sigma+it)| = O\left((|t|+2)^{c_F^*\left(\frac{1}{2}-\sigma_1\right)\left(\frac{1-\sigma}{1-\sigma_1}\right)+\epsilon}\right)$$
(13)

for  $\sigma > \sigma_1$ . By Theorem 3.9, we conclude that the Dirichlet series of G(s) converges in the half plane

$$\Re(s) > \sigma + c_F^* \left(\frac{1}{2} - \sigma_1\right) \left(\frac{1 - \sigma}{1 - \sigma_1}\right) + \epsilon_1$$

for  $\sigma_1 < \sigma < 0$  and  $\epsilon_1 > 0$ . Since  $c_F^* < 1$ , by (12), picking  $\sigma_1$  highly negative and choosing  $\sigma \ll 0$  such that  $\sigma - c_F^* \sigma < -2$ , we have

$$\sigma + c_F^* \left(\frac{1}{2} - \sigma_1\right) \left(\frac{1 - \sigma}{1 - \sigma_1}\right) = (\sigma - c_F^* \sigma) + c_F^* - \frac{c_F^* (1 - \sigma)}{2(1 - \sigma_1)}$$
  
< -1.

Therefore, the Dirichlet series of G(s) converges on  $\Re(s) > -1$ . Since the abscissa of absolute convergence for the Dirichlet series of G(s) is  $\sigma_0(G) = 1$ , we know that the abscissa of convergence  $\sigma_c(G) \ge 0$ , which leads to a contradiction.

We finally show that if  $F \in S$ , then  $c_F$  and  $c_F^*$  in fact coincide with the degree of F in the Selberg class.

**Proposition 3.12.** Let  $F \in \mathbb{M}$ , and suppose that F has a functional equation of the Riemann type as in the Selberg class. Then  $c_F = d_F$ , where  $d_F$  is the degree of F in the sense of the Selberg class.

*Proof.* For simplicity, assume F has only one  $\Gamma$ -factor. We have

$$Q^{s}\Gamma(as+b)F(s) = wQ^{1-\overline{s}}\Gamma(a(1-\overline{s})+b)F(1-\overline{s}),$$

where a, Q are non-negative real numbers,  $b \in \mathbb{C}$  with  $\Re(b) \ge 0$  and |w| = 1. We know from (8) that  $c_F \le d_F$ . So we only need to show that  $c_F$  is at least  $d_F$ . We shall first show it for the Riemann zeta-function and use this template to prove it in general. Substituting s = 1 - 2k for any integer k > 0 in the functional equation for  $\zeta(s)$  gives

$$\pi^{-(1-2k)/2}\zeta(1-2k)\Gamma\left(\frac{1-2k}{2}\right) = \pi^{-k}\zeta(2k)\Gamma(k).$$

Thus, we get

$$\zeta(1-2k) = \frac{\pi^{1/2-2k}\zeta(2k)\Gamma(k)}{\Gamma(1/2-k)}$$

Evaluating the right hand side, we have

$$\zeta(1-2k) = \frac{\pi^{1/2-2k}(k-1)!(2k)!}{(-4)^k k!} \zeta(2k).$$

Since  $\zeta(2k)$  is bounded, using Stirling's formula we conclude that

$$|\zeta(1-2k)| \sim (2k)^{2k-\frac{1}{2}} A$$

where  $A \ll k^k$ . Thus, we conclude that  $c_{\zeta} = 1$  simply by considering the values taken by  $\zeta$  on the real line.

Imitating this proof in general, substitute s = 1 - ck for any integer k > 0 and any positive constant c, such that a(1 - ck) + b is not an integer. The functional equation gives

$$Q^{1-ck} \Gamma(a+b-ack) F(1-ck) = w Q^{ck} \Gamma(ack+b) F(ck).$$

Thus, we get

$$F(1-ck) = \frac{w Q^{2ck-1} \Gamma(ack+b) F(ck)}{\Gamma(a+b-ack)}.$$

Repeatedly using the identity  $\Gamma(s+1) = s\Gamma(s)$  and then using Stirling's formula, we get

$$|F(1-ck)| \sim |ack+b|^{2ack+c'}.A,$$

where  $A \ll k^k$  and c' is a constant independent of k. Thus, we conclude that  $c_F = 2a$ , which is the degree of F.

Moreover, if  $F \in \mathbb{M}$  satisfies a functional equation of the Riemann type, then  $c_F^*$  is also the same as the degree  $d_F$  of F. This is an immediate consequence of Lemma 3.4.

In the absence of a functional equation,  $c_F$  and  $c_F^*$  can be very different. For  $F \in \mathbb{M}$ , it can happen that  $c_F^*$  is 0 and  $c_F$  is arbitrarily large. We exhibit examples below of Dirichlet series which are absolutely convergent on the whole complex plane and hence have  $c_F^* = 0$ , yet take large values of  $c_F$ .

Let

$$F(s) = \sum_{n=1}^{\infty} \frac{e^{-n}}{n^s}.$$

This Dirichlet series is absolutely convergent on the whole complex plane. But at the negative integers we have,

$$F(-k) = \sum_{n=1}^{\infty} e^{-n} n^k \sim \int_1^{\infty} e^{-t} t^k dt = \Gamma(k+1) + O(1).$$

Using Stirling's formula, we conclude that  $c_F = 1$ . Moreover,  $F^r(s)$  is in  $\mathbb{M}$  for any integer r > 0 and  $c_{F^r}^* = 0$  and  $c_{F^r} = r$ . In fact, if we start with the Dirichlet series

$$F(s) = \sum_{n=1}^{\infty} e^{-n^r} n^{-s},$$
(14)

by a similar argument we see that, for any real r > 0,  $c_F = r$  but  $c_F^* = 0$ .

## 4. The Lindelöf class

Let  $\mathbb{M}_0$  and  $\mathbb{M}_0^*$  be the subsets of  $\mathbb{M}$  with  $c_F = 0$  and  $c_F^* = 0$ , respectively. Note that both  $\mathbb{M}_0$  and  $\mathbb{M}_0^*$  form subrings of  $\mathbb{M}$ , which follows immediately from Proposition 3.7. Therefore, all the non-zero elements of  $\mathbb{M}_0$  (resp.  $\mathbb{M}_0^*$ ) form a multiplicatively closed set. Call it  $\mathbb{M}_{00}$  (resp.  $\mathbb{M}_{00}^*$ ).

We define the Lindelöf class [7] by localizing at these sets,

$$\mathfrak{L} = \mathbb{M}_{00}^{-1} \mathbb{M}.$$
$$\mathfrak{L}^* = \mathbb{M}_{00}^{*}^{-1} \mathbb{M}.$$

Elements of the rings  $\mathfrak{L}$  and  $\mathfrak{L}^*$  satisfy growth conditions coming from  $\mathbb{M}$ . Moreover, after multiplication by an entire Dirichlet series, they are analytic on  $\mathbb{C}$  and have Dirichlet series representation which is absolutely convergent on  $\mathfrak{R}(s) > 1$ . This is a consequence of Proposition 3.10. Since a Dirichlet polynomial is entire and has many zeroes, elements of these classes  $\mathfrak{L}$  and  $\mathfrak{L}^*$  may have many poles. But for any  $F \in \mathfrak{L}$  (resp.  $\mathfrak{L}^*$ ), one can always find a right half plane, where F does not have a pole. Note that  $\mathbb{S} \subset \mathbb{M} \subset \mathfrak{L}^* \subset \mathfrak{L}$  and  $\mathbb{S} \cap \mathbb{M}_{00} = \{1\}$ . Further since  $\mathbb{M}$  is a ring, the group ring  $\mathbb{C}[\mathbb{S}]$  is contained in  $\mathbb{M}$ .

We extend the definition of the function  $c_F$  (resp.  $c_F^*$ ) on  $\mathfrak{L}$  (resp.  $\mathfrak{L}^*$ ). If  $G \in \mathfrak{L}$  (resp.  $\mathfrak{L}^*$ ), then  $G = F/\mu$ , where  $\mu \in \mathbb{M}_{00}$  (resp.  $\mathbb{M}_{00}^*$ ) and  $F \in \mathbb{M}$ . We define  $c_G = c_F$  (resp.  $c_G^* = c_F^*$ ). Note that this definition is compatible with the definition of  $c_F$  based on the growth condition. We first check that the function  $c_F$  (resp.  $c_F^*$ ) is well-defined on  $\mathfrak{L}$  (resp.  $\mathfrak{L}^*$ ). If we write  $G = H/\nu$ for some other  $H \in \mathbb{M}$  and  $\nu \in \mathbb{M}_{00}$ , and suppose  $c_H > c_F$ , then  $c_{F\nu-H\mu} = c_H$ . But, by definition  $c_{F\nu-H\mu} = 0$ , which leads to a contradiction. Thus,  $c_F$  is well-defined on  $\mathfrak{L}$ . Moreover, we also have that a non-zero F is a unit of  $\mathfrak{L}$  if and only if  $c_F = 0$ . We use the same argument to show that  $c_F^*$  is well-defined on  $\mathfrak{L}^*$ .

#### 5. Ring theoretic properties of $\mathbb{M}$ , $\mathfrak{L}$ and $\mathfrak{L}^*$

The classes of *L*-functions  $\mathbb{M}, \mathfrak{L}$  and  $\mathfrak{L}^*$  form rings. Moreover, since they consist of meromorphic functions, they form integral domains. We further show that the ring  $\mathbb{M}$  is non-Noetherian and non-Artinian.

## **Proposition 5.1.** $\mathbb{M}, \mathfrak{L}$ and $\mathfrak{L}^*$ are non-Artinian.

*Proof.* Consider  $F \in \mathbb{M}$  with  $c_F^* > 0$ . Let  $\langle F \rangle$  be the ideal generated by F in  $\mathbb{M}$ , which is clearly a non-trivial proper ideal of  $\mathbb{M}$ . We have the following strictly decreasing sequence of ideals in  $\mathbb{M}$  given by

$$\langle F \rangle \not\supseteq \langle F^2 \rangle \supseteq \langle F^3 \rangle \cdots$$
 (15)

Thus,  $\mathbb{M}$  is not Artinian. Moreover,  $\langle F \rangle \subset \mathbb{M}$  generates a non-trivial ideal  $I \subset \mathfrak{L}$  and  $I^* \in \mathfrak{L}^*$ and we have strictly decreasing sequence of ideals.

$$I \not\supseteq I^2 \supseteq I^3 \cdots,$$
$$I^* \supseteq (I^*)^2 \supseteq (I^*)^3 \cdots.$$

Hence, we conclude that  $\mathfrak{L}$  and  $\mathfrak{L}^*$  are non-Artinian.

Now we show that  $\mathbb{M}$  is non-Noetherian.

**Lemma 5.2.** If  $F(s) \in \mathbb{M}$  and F(s) is entire, then  $F_1(s) \coloneqq F(s+s_0) \in \mathbb{M}$  for  $\Re(s_0) > 0$ .

*Proof.* Again, properties (1),(2) and (4) in the definition of  $\mathbb{M}$  hold clearly. We only need to check the growth condition.

$$c_{F_2} = \limsup_{\sigma < 0} \frac{2\mu_{F_2}(\sigma)}{1 - 2\sigma} = \limsup_{\sigma < 0} \frac{2\mu_F(\sigma)}{1 - 2\sigma} = c_F.$$

Lemma 5.2 shows that shifts of entire functions in  $\mathbb{M}$  also lie in  $\mathbb{M}$ . Recall that in  $\mathbb{S}$ , vertical shifts of entire functions in  $\mathbb{S}$  lie in  $\mathbb{S}$ . In case of  $\mathbb{M}$ , we no longer have to restrict ourselves to only vertical shifts.

## **Proposition 5.3.** M is non-Noetherian.

*Proof.* As earlier, we prove this by explicitly constructing a strictly increasing infinite chain of ideals. For  $s \neq 1$ , let

$$I_s \coloneqq \{F \in \mathbb{M} : F(s) = 0\}.$$

Then,  $I_s$  forms an ideal of M. Define

$$K_1 \coloneqq \bigcap_{k=1}^{\infty} I_{-2k}.$$

We know that  $L(s,\chi) \in K_1$ , where  $\chi$  is any even primitive Dirichlet character, because it vanishes at all negative even integers. By Lemma 5.2,  $L(s+2n,\chi) \in \mathbb{M}$  and it vanishes on all even negative integers except  $\{-2k : k \leq n, k \in \mathbb{N}\}$ . We define

$$K_i \coloneqq \bigcap_{k=i}^{\infty} I_{-2k}.$$

Clearly,  $K_1 \subseteq K_2 \subseteq K_3 \subseteq \dots$  Since we have exhibited that the function  $L(s + 2n, \chi)$  belongs to  $K_{n+1}$  but not  $K_n$ . Thus, we get

$$K_1 \not\subseteq K_2 \not\subseteq K_3 \not\subseteq \dots$$

Therefore,  $\mathbb{M}$  is non-Noetherian.

We are not yet able to show that  $\mathfrak{L}$  and  $\mathfrak{L}^*$  are non-Noetherian, although we expect it to be true. Note that the ring  $\mathbb{C}[\mathbb{S}]$  is a subring of  $\mathbb{M}$ . We expect that  $\mathbb{C}[\mathbb{S}]$  is also non-Noetherian. Indeed we show below that this is a consequence of Selberg's orthonormality conjecture. This was already known due to Molteni [6].

**Definition 5.4.** An element  $F \neq 1 \in \mathbb{S}$  is said to be a primitive element if it cannot be further factorized in  $\mathbb{S}$  i.e., if  $F = F_1F_2$  with  $F_i \in \mathbb{S}$ , then either  $F_1 = 1$  or  $F_2 = 1$ .

Selberg's orthonormality conjecture states that:

**Conjecture** (Selberg's Orthonormality Conjecture). Let  $F, G \in S$  be any two primitive elements, whose Dirichlet series expansion on  $\Re(s) > 1$  is given by  $F(s) = a_F(n)n^{-s}$  and  $G(s) = a_G(n)n^{-s}$ . Then,

$$\sum_{p \le x} \frac{a_p(F)a_p(G)}{p} = \begin{cases} \log \log x + O(1), & \text{if } F = G\\ O(1), & \text{if } F \neq G. \end{cases}$$

**Proposition 5.5.** Selberg's orthonormality conjecture implies that  $\mathbb{C}[\mathbb{S}]$  is non-Noetherian.

*Proof.* It was observed by Selberg in [9] and Bombieri-Hejhal in [1] that distinct elements in the Selberg class are linearly independent over  $\mathbb{C}$ . We show that Selberg's conjecture implies that distinct primitive elements in the Selberg class are algebraically independent. Since there are infinitely many primitive elements in  $\mathbb{S}$ , we conclude that  $\mathbb{C}[\mathbb{S}]$  is non-Noetherian. Selberg's orthonormality conjecture implies that the factorization into primitive elements in the Selberg class is unique, see [2]. Suppose,  $F_1, F_2, ..., F_n$  are distinct primitive elements in  $\mathbb{S}$  satisfying a polynomial  $P(x_1, x_2, ..., x_n) \in \mathbb{C}[x_1, x_2, ..., x_n]$ . By linear independence of distinct elements in  $\mathbb{S}$ , we conclude that not all terms in the polynomial expansion of  $P(F_1, ..., F_n)$  are distinct. Thus, we have relations of the form

$$F_1^{a_1} F_2^{a_2} \dots F_n^{a_n} = F_1^{b_1} F_2^{b_2} \dots F_n^{b_n}, (16)$$

where not all the  $a_i$ 's are the same as the  $b_i$ 's. But, both left hand side and right hand side in (16) are elements in the Selberg class. This contradicts the unique factorization.

Since  $\mathbb{C}[S] \subseteq \mathbb{M}$  and we know that  $\mathbb{M}$  is non-Noetherian, the above proposition can be thought of as some indicative evidence towards the validity of unique factorization into primitives in the Selberg class.

5.1. Primitive elements in  $\mathbb{M}$  and  $\mathfrak{L}^*$ . The notion of primitive elements in  $\mathbb{S}$  can be extended to the class  $\mathbb{M}$  and  $\mathfrak{L}^*$ .

**Definition 5.6.** We say that an element  $F \in \mathfrak{L}^*$  (resp.  $\mathbb{M}$ ) is primitive if  $c_F^* > 0$  and  $F = F_1F_2$  implies that either  $c_{F_1}^* = 0$  or  $c_{F_2}^* = 0$ .

Note that every element  $F \in \mathfrak{L}^*$  (resp.  $\mathbb{M}$ ) with  $c_F^* = 1$  is primitive, which directly follows from Theorem 3.11. But this is not quite true for  $\mathfrak{L}$ . This is because we could have an entire Dirichlet series F(s) with  $c_F > 0$ , which can be written as a product of infinitely many entire Dirichlet series  $\prod_i F_i(s)$ , each of which have  $c_{F_i} > 0$  for each *i*. Hence, we avoid defining the notion of primitive elements for the class  $\mathfrak{L}$ .

We now show that every element in  $\mathbb{M}$  and  $\mathfrak{L}^*$  can be written as a product of primitive elements. We follow the same argument as in the case of the Selberg class  $\mathbb{S}$ .

**Proposition 5.7.** Let  $F \in \mathfrak{L}^*$  (resp.  $\mathbb{M}$ ), then F(s) can be be written as a finite product of primitive elements in  $\mathfrak{L}^*$  (resp.  $\mathbb{M}$ ).

*Proof.* Let  $F \in \mathfrak{L}^*$  (resp.  $\mathbb{M}$ ) and suppose

 $F = F_1 F_2,$ 

where  $F_1, F_2 \in \mathfrak{L}^*$  (resp. M). By properties of  $c_F^*$ , we know that  $c_F^* \leq c_{F_1}^* + c_{F_2}^*$ . If  $c_{F_i}^* < 1$ , then by Theorem 3.11,  $c_{F_i}^* = 0$ . Hence, we cannot factorize F indefinitely into non-units (i.e. elements with  $c^* > 0$ ). Therefore, F(s) has a factorization into primitive elements.

With the above notion of primitivity, we may ask whether this factorization is unique.

**Conjecture 2.** Every element  $F \in \mathfrak{L}^*$  (resp.  $\mathbb{M}$ ) can be uniquely factorized into primitive elements.

Assuming unique factorization, we conclude the algebraic independence of distinct primitive elements in  $\mathbb{M}$  and  $\mathfrak{L}^*$ .

**Proposition 5.8.** Conjecture 2 implies that linearly independent primitive elements in  $\mathfrak{L}^*$  (resp.  $\mathbb{M}$ ) are algebraically independent.

*Proof.* The proof follows similar approach of Proposition 5.5. Let  $F, G \in \mathfrak{L}^*$  be linearly independent primitive elements satisfying a polynomial equation P(F,G) = 0. From Proposition 3.7, we conclude that if the terms in the polynomial with largest  $c^*$  value, say d, must cancel each other. In other words, if  $P(x, y) = \sum_{m,n} a_{m,n} x^m y^n$ , then

$$\sum_{\substack{c_F^* + nc_G^* = d}} a_{m,n} F^m G^n = 0$$

Since F and G are linearly independent, we also have that d > 0. Cancelling the common factors, we get an expression of the form

$$F^k = \sum_{m < k, n} b_{m,n} F^m G^n,$$

where each term in the RHS has a factor of G and hence  $F^k/G \in \mathfrak{L}^*$  (resp.  $\mathbb{M}$ ). This contradicts the unique factorization for  $F^k$ . Hence, F and G are algebraically independent.

We now show that  $\mathbb{M}, \mathfrak{L}$  and  $\mathfrak{L}^*$  are closed under differentiation.

m

**Proposition 5.9.** If  $F \in \mathbb{M}$ , then  $F' \in \mathbb{M}$ . This is also true if we replace  $\mathbb{M}$  by  $\mathfrak{L}$  or  $\mathfrak{L}^*$ . Moreover,  $c_F \ge c_{F'}$  and  $c_F^* \ge c_{F'}^*$ . Proof. If  $F \in \mathbb{M}$ , then the properties (1),(2) and (4) clearly hold for F'. We only have to check the growth condition. Suppose f(z) is a meromorphic function on  $\mathbb{C}$ . If f is bounded on the half plane  $\{z : \Re(z) < -N_1\}$  by M, for some  $N_1 > 0$ , then f'(z) is also bounded on the half-plane  $\{z : \Re(z) < -N_2\}$ , for some  $N_2 > 0$ . We can see this by using Cauchy's formula namely,

$$f'(a) = \frac{1}{2\pi i} \int_{C(\epsilon,a)} \frac{f(z)}{(z-a)^2} dz,$$

where  $C(\epsilon, a)$  is the circle of radius  $\epsilon$  centered at a and f is analytic in the interior of  $C(\epsilon, a)$ . Since f(z) is bounded by M on  $C(\epsilon, a)$ , we get

$$|f'(a)| \le \frac{M}{\epsilon}.$$

If we choose  $N_2 > N_1 + 2$ , for every point in  $\{z : \Re(z) < -N_2\}$ , we can set  $\epsilon > 1$ , and thus get f'(z) is bounded by M in the region  $\{z : \Re(z) < -N_2\}$ .

Now, if  $F(z) \in \mathbb{M}$ , then by the growth condition we know that for any  $\epsilon > 0$ ,

$$g(z) \coloneqq \frac{F(z)}{z^{c_F(1-2\sigma)+\epsilon}}$$

is bounded in the half-plane  $\{z : \Re(z) < -N_1\}$ , for some  $N_1 > 0$ . Therefore, we have for some  $N_2 > 0$ , in the half-plane  $\{z : \Re(z) < -N_2\}$ ,

$$\left| \left( \frac{F(z)}{z^{c_F(1-2\sigma)+\epsilon}} \right)' \right| = \left| \frac{F'(z)z^{c_F(1-2\sigma)+\epsilon} - \left( z^{c_F(1-2\sigma)+\epsilon} \right) \right)' F(z)}{z^{2c_F(1-2\sigma)+2\epsilon}} \right| < M$$
$$\implies |F'(z)||z|^{c_F(1-2\sigma)+\epsilon} < M|z|^{2c_F(1-2\sigma)+2\epsilon} + \left| \left( z^{c_F(1-2\sigma)+\epsilon} \right)' \right| |F(z)| = 0$$

If we fix  $\sigma$ , then

$$|F'(z)||z|^{c_F(1-2\sigma)+\epsilon} < M'|z|^{2c_F(1-2\sigma)+2\epsilon}$$

Hence,

$$F'(z)| < M''|z|^{c_F(1-2\sigma)+\epsilon}$$

Thus, we get the growth condition on F'(z). Moreover, we also conclude that if  $F \in \mathbb{M}$ , then  $c_{F'} \leq c_F$  and  $c_{F'}^* \leq c_F^*$ . The proof of the statement for  $\mathfrak{L}$  (resp.  $\mathfrak{L}^*$ ) follows by proving the fact that the derivative of a unit in  $\mathfrak{L}$  (resp.  $\mathfrak{L}^*$ ) is also a unit in  $\mathfrak{L}$  (resp.  $\mathfrak{L}^*$ ). Then, for any  $F \in \mathfrak{L}$  (resp.  $\mathfrak{L}^*$ ), we can find a unit  $\nu \in \mathfrak{L}$  (resp.  $\mathfrak{L}^*$ ), such that  $\nu F \in \mathbb{M}$ , after which we can use the above argument to say  $(\nu F)' \in \mathfrak{L}$  (resp.  $\mathfrak{L}^*$ ). But  $(\nu F)' = \nu' F + \nu F'$  and thus  $F' \in \mathfrak{L}$  (resp.  $\mathfrak{L}^*$ ).

## 6. Ideals in $\mathfrak{L}$ and $\mathfrak{L}^*$

As a first step to understanding the ideal theory of  $\mathfrak{L}$  (resp.  $\mathfrak{L}^*$ ), we construct some nontrivial ideals of  $\mathfrak{L}$  (resp.  $\mathfrak{L}^*$ .) We use the following proposition for the construction.

**Proposition 6.1.** If F(s) is a non-constant entire Dirichlet series, then F(s) cannot have zeroes on any arithmetic progression,

$$S = \{a, a - d, a - 2d, \dots\},$$
(17)

where  $d \in \mathbb{R}^+$  and  $a \in \mathbb{C}$ .

*Proof.* First, we show that if

$$F(s) = \sum_{n=1}^{\infty} \frac{a_n}{n^s}$$

is convergent on the whole complex plane, it cannot have zeroes on non-positive integers. In other words, it cannot vanish on  $\{0, -1, -2, -3...\}$ . Since,  $\sum_{n=1}^{\infty} a_n n^{-s}$  is entire, we have

$$|a_n| \ll \frac{1}{n^k}.\tag{18}$$

for all  $k \in \mathbb{N}$ . Define

$$f(x) \coloneqq \sum_{n=1}^{\infty} a_n x^n.$$

By the root test and using (18), we conclude that the power series f(x) defines an analytic function on the whole complex plane. Consider the Taylor series expansion around x = 1, given by,

$$f(x) = \sum_{n=0}^{\infty} b_n (x-1)^n,$$
(19)

where,

$$b_n = \frac{f^{(n)}(1)}{n!}$$

Now, suppose F(s) takes zeroes on all non-positive integers, we have

$$F(-k) = \sum_{n=1}^{\infty} a_n n^k = 0,$$

for all  $k \in \mathbb{N} \cup \{0\}$ . In particular, we get  $b_0 = F(0) = 0$  and  $b_1 = F(-1) = 0$ . In general,

$$b_k = \sum_{n=k}^{\infty} \binom{n}{k} a_n.$$

We write it as,

$$\sum_{n=k}^{\infty} \binom{n}{k} a_n = \sum_{n=1}^{\infty} \frac{n(n-1)\dots(n-k+1)}{k!} a_n,$$
(20)

where the first (k-1)-terms of the right hand side of (20) are 0. Moreover, each term in the right hand side is a polynomial in n of degree k. Since,  $\sum_{n=1}^{\infty} a_n n^k$  is absolutely convergent for all k, we can rearrange the terms in the summation. Thus, we get,

$$b_k = \sum_{n=1}^{\infty} \binom{n}{k} a_n = c_0 \sum_{n=1}^{\infty} a_n + c_1 \sum_{n=1}^{\infty} na_n + \dots + c_k \sum_{n=1}^{\infty} n^k a_n,$$

where  $c_i$ 's are some real constants. Therefore,

$$b_k = c_0 F(0) + c_1 F(-1) + \dots + c_k F(-k) = 0$$

for all k. Hence, f(x) is identically zero, which leads to F(s) being identically 0.

We deduce the general case of an arithmetic progression S as in the statement of the proposition, by considering

$$F_1(s) \coloneqq F(sd+a) = \sum_{n=1}^{\infty} \frac{a_n}{n^a} \frac{1}{n^{sd}}.$$

This function is no longer a standard Dirichlet series but it converges for all  $s \in \mathbb{C}$  and vanishes at all non-positive integers. Now, consider the series

$$f(x) \coloneqq \sum_{n=1}^{\infty} \frac{a_n}{n^a} x^{n^d}$$

Choosing the principal branch of log, the function f(x) is well-defined and absolutely convergent on  $\mathbb{C}\backslash\mathbb{R}_{\leq 0}$ . The rest of the proof is similar as above.

Consider the ideal in  $\mathbb{M}$  given by,

$$I_S = \bigcap_{s \in S} I_s,$$

where  $I_s$  is as in Proposition 5.3 and S is an arithmetic progression as in (17).  $I_S$  is non-empty since it contains elements in S. By Proposition 6.1,  $I_S$  does not contain any non-zero entire Dirichlet series. By definition,

$$\mathfrak{L} ( \text{ resp. } \mathfrak{L}^* ) = \mathbb{M}_{00}^{-1} \mathbb{M} ( \text{ resp. } \mathbb{M}_{00}^{*^{-1}} \mathbb{M} ),$$

where  $\mathbb{M}_{00}$  and  $\mathbb{M}_{00}^*$  consist of Dirichlet series which are convergent on  $\mathbb{C}$ , up to a Dirichlet polynomial (by Proposition 3.10). Hence,  $I_S$  generates a non-trivial ideal in  $\mathfrak{L}$  (resp.  $\mathfrak{L}^*$ ).

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