### Asymptotics of Powers in Finite Reductive Groups

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#### Word maps

G a finite group.

- A word *w* is an element of the free group  $F_d$  in *d* variables  $X_1, \ldots, X_d$ .
- For example,  $w = X_{i_1}^{j_1} \cdots X_{i_k}^{j_k}$ .
- Word map

$$w\colon G^d\to G$$

given by

$$(g_1,\ldots,g_d)\mapsto w(g_1,\ldots,g_d).$$

• *w* is said to be non-trivial if  $w(G) \neq \{1\}$ .



#### **Broad questions**

• How big is the image  $w(G) := w(G^d) \subset G$ ?

- In particular, is w surjective?
- ▶ What's the width of < w(G) > with respect to w(G)?
- ► For example, determine the diameter of the Cayley graph of *G* with respect to the set w(G) when *w* is surjective?
- When G is finite, estimate  $\frac{|w(G)|}{|G|}$ ?



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#### Some classic examples

1. **Commutator map:** When  $w(X_1, X_2) = X_1 X_2 X_1^{-1} X_2^{-1}$  the map

$$w: G \times G \to G$$

given by

$$(g,h)\mapsto ghg^{-1}h^{-1}$$

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is called a commutator map.

2. **Power map:** When  $w(X) = X^M$  the map  $w: G \to G$  given by

$$g \mapsto g^M$$

is called a power map.



#### Motivation



#### Ore's conjecture

Ore, in 1951, conjectured that the commutator map is surjective for all non-Abelian finite simple groups.



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### Ore, in 1951, conjectured that the commutator map is surjective for all non-Abelian finite simple groups.

Now this conjecture is proved in affirmative.

- Ore himself proved it for the alternating groups in 1951.
- Thompson for  $SL_n$  in 1961.
- Ellers and Gordeev proved for all finite simple groups of Lie type (except some small size) in 1998.
- Liebeck, O'Brien, Shalev and Tiep completed the proof in 2010.



#### The Waring problem in number theory:

Given k > 1, does there exist g(k) such that every natural number is a sum of g(k) many *k*-th powers of natural numbers.

That is, find g(k) (smallest) such that  $X_1^2 + \cdots + X_{g(k)}^2$  is surjective on  $\mathbb{N}$ .



#### Waring-like problem

#### The Waring problem in number theory:

For example, the four square theorem says g(2) = 4, i.e, every positive integer is a sum of four squares.

$$g(3) = 9, g(4) = 19, g(5) = 37, g(6) = 73$$
 etc.

This has some well known contribution of R. Balasubramanian.

**Conjecture:**  $g(k) = 2^k + \lfloor (3/2)^k \rfloor - 2$ .



#### Waring-like problem

## Waring-like problem for non-Abelian finite simple (and quasi-simple) groups:

Given *n*, does there exist f(n) such that, the word map

 $X_1^n \cdots X_{f(n)}^n$ 

is surjective on all non-Abelian finite simple (quasi-simple) groups?

In other words, consider the set  $S = \{g^n \mid g \in G\}$  where *G* is a FSG. Does *S* generate *G* and determine the width of *G* with respect to the set *S*?



#### Borel dominance theorem (Borel 1983)

*G* a simple algebraic group and  $w \in F_d$ , a non-trivial word. Then, the word map defined by *w* is a dominant morphism.

Thus, for simple algebraic groups, f(k) = 2.

P. Chatterjee and Steinberg have studied surjectivity of power map for algebraic groups.



Notation: *G* a **non-abelian finite simple group (FSG)**, *w* a non-trivial word.



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- Shalev (Annals of Math 2009) : there exists N such that when |G| > N, we have w(G)<sup>3</sup> = G.
- ► Larsen, Shalev & Tiep (Annals of Math 2011) : there exists N such that when |G| > N, we have w(G)<sup>2</sup> = G.



Mainly from the work of Larsen, Shalev and Tiep, it follows that for large enough G, we have

• 
$$f(w(G)) = 2$$
 when G is finite simple and

► 
$$f(w(G)) = 3$$
 when G is finite quasi-simple.

See the survey article by Shalev titled "Some results and problems in the theory of word maps".



#### Surjectivity of certain maps on FSG

In a series of four papers by Liebeck, O'Brien, Shalev and Tiep and another paper together with Guralnick the following results are proved:

- Every element of every FSG is a product of two squares.
- Every element of every FSG is a product of two *n*th powers where n = p<sup>k</sup> or p<sup>a</sup>q<sup>b</sup>. It is not true when n is a product of three prime powers.



#### Lie groups and Chevalley groups

Hui, Larsen and Shalev, in 2015, proved similar results for certain Lie groups and Chevalley groups.

- ► There exists *N* such that if *G* is a classical connected real compact Lie group of rank at least *N* then  $w(G)^2 = G$ .
- ► Over ℝ or Q<sub>p</sub>, for a simple Chevalley group G over F, we have w(G)<sup>3</sup> = G.



### Image Size



Larsen and Shalev, 2009 proved the following:

For each non-trivial word *w* and  $\epsilon > 0$ , there exists  $N = N(w, \epsilon)$  such that if n > N then

$$\frac{|w(Alt_n)|}{|Alt_n|} \geq \frac{1}{n^{\frac{29}{9}+\epsilon}}.$$



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#### Estimating image size

In the same paper, Larsen and Shalev proved the following:

For all non-trivial *w* there exists N = N(w) such that if *G* is a finite simple group of Lie type of rank *n* which is not of type  $A_n$  or  ${}^2A_n$  and  $|G| \ge N$  then

$$\frac{|w(G)|}{|G|} \ge \frac{c}{n}$$

where c is an absolute constant depending on w.



#### Shalev's conjecture

Shalev conjectured that the bound, in the last inequality, should hold for the groups of type  $A_n$  and  ${}^2A_n$  too.



## Theorem (Galt, Kulshrestha, Singh, Vdovin: Journal of Group Theory 2019)

We proved the Shalev's conjecture for power maps.

Let  $w = X^M$  be the power word on  $G = PSL_n(q)$  or  $PSU_n(q)$ where q is odd. Then, there exists N = N(M) such that if |G| > N we have,

$$\frac{|w(G)|}{|G|} \ge \frac{\ln(n)}{2nM^2}.$$



#### **Groups of type** A<sub>1</sub>

It is known that every element of  $SL_2(\mathbb{F}_q)$  is a product of two-squares. (In fact, results for more general words are known.)

Theorem (Kulshrestha, Singh: Proc. Indian Acad. Sci. Math. Sci: 2020)

Suppose characteristic of k is not 2.

- 1. Every element of  $SL_2(k)$  is a product of 2 squares.
- In addition, if 2 is a square in k, then every element of SL₁(Q) is a product of two squares if and only if −1 is a square in SL₁(Q), where Q is a quaternion division algebra.



#### Lower triangular matrix groups

Notation:

- q power of a prime p,
- T(n,q) lower triangular matrix group,
- U(n,q) uni-triangular subgroup and

► 
$$U_{p-1}(n,q) = \{(a_{ij}) \in U(n,q) \mid a_{ij} = 0, \forall i - j \le p - 1\}.$$

Then,

1. 
$$U(n,q)^p \subset U_{p-1}(n,q)$$
.

2.  $U(n,q)^p = 1$  if and only if  $n \le p$ , and  $U(n,q)^p = U_{p-1}(n,q)$  if and only if n = p + 1, p + 2.



# Theorem (Dolfi, Singh, Yadav: Journal of Algebra and its application, 2020)

1. Let  $n \ge p + 3$ . Then, the set  $U(n,q)^p$  is a proper generating subset of  $U_{p-1}(n,q)$  and when  $q \ge n - p - 1$ ,

$$|U(n,q)^p| > \frac{1}{3}|U_{p-1}(n,q)|.$$

2. Suppose q > n - p - 1. Then, for the group T = T(n,q) we have,

$$\frac{|T^p|}{|T|} \ge \frac{2^{n-2}}{9(q-1)^{n-2}q^{(p-1)(n-p)}}.$$

In fact, every element of  $U_{p-1}(n,q)$  is a product of two elements from  $U(n,q)^p$ .



# Generating functions for the powers in GL(n,q) (Kundu and Singh, 2020)

For the group GL(n, q) and  $M \ge 2$ , we have computed the generating function for the number of semisimple, regular and regular semisimple classes and elements which are  $M^{th}$  powers in GL(n, q).

Polya's cycle index for symmetric groups is generalised by Kung and Stong to cycle index for GL(n, q) and, by Fulman for other classical groups. Our results generalise the known results for M = 1 due to Wall, Macdonald, Fulman, Miller etc.



#### **Asymptotic Bounds**



#### Finite reductive groups

- Let  $\mathbb{F}_q$  be a finite field and  $k = \overline{\mathbb{F}_q}$ .
- Let *G* be a connected reductive group over *k* with Frobenius map *F*, so that  $G(\mathbb{F}_q) = G^F$  is a finite group of Lie type.
- This allows us to consider  $G(\mathbb{F}_q) \subset G$ .



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- This allows us to consider  $G(\mathbb{F}_q) \subset G$ .
- We consider the power map  $\omega : G \to G$  given by  $x \mapsto x^M$ . Clearly, this map is defined over  $\mathbb{F}_q$ .
- ► We consider the image of the set G(F<sub>q</sub>) under this map, denoted as G(F<sub>q</sub>)<sup>M</sup>.



#### Examples to keep in mind

• Consider the group GL(n) over k.

- ▶ Define  $F: GL(n) \to GL(n)$  by  $(a_{i,j}) \mapsto (a_{i,j}^q)$ . This is a Frobenius map and  $GL(n)^F = GL(n)(\mathbb{F}_q) = GL(n,q)$ .
- Consider the map *F* on GL(n) given by  $(a_{i,j}) \mapsto {}^{t}\!(a_{i,j}^{q})^{-1}$ . The fixed point set is the unitary group  $GL(n)^{F} = U(n,q) \subset GL(n,q^{2})$ .



#### Notation

Denote the set of *M*-power regular semisimple elements as

$$G(\mathbb{F}_q)^M_{rs} := G(\mathbb{F}_q)^M \cap G(\mathbb{F}_q)_{rs},$$

the set of *M*-power semisimple elements as

$$G(\mathbb{F}_q)^M_{ss} := G(\mathbb{F}_q)^M \cap G(\mathbb{F}_q)_{ss},$$

and M-power regular elements as

$$G(\mathbb{F}_q)^M_{rg} := G(\mathbb{F}_q)^M \cap G(\mathbb{F}_q)_{rg}.$$



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#### Question

We are interested in studying the asymptotic values of the following as  $q \to \infty$ :

$$\frac{|G(\mathbb{F}_q)^M|}{|G(\mathbb{F}_q)|}, \frac{|G(\mathbb{F}_q)_{rs}^M|}{|G(\mathbb{F}_q)|}, \frac{|G(\mathbb{F}_q)_{ss}^M|}{|G(\mathbb{F}_q)|}, \frac{|G(\mathbb{F}_q)_{rg}^M|}{|G(\mathbb{F}_q)|}.$$



#### Theorem (Kulshrestha, Kundu, Singh: 2020)

- G a connected reductive group defined over  $\mathbb{F}_q$
- $M \ge 2$  an integer



#### Theorem (Kulshrestha, Kundu, Singh: 2020)

- G a connected reductive group defined over  $\mathbb{F}_q$
- $M \ge 2$  an integer
- ► Then,

$$\lim_{q \to \infty} \frac{|G(\mathbb{F}_q)^M|}{|G(\mathbb{F}_q)|} = \lim_{q \to \infty} \frac{|G(\mathbb{F}_q)^M_{rs}|}{|G(\mathbb{F}_q)|} = \lim_{q \to \infty} \frac{|G(\mathbb{F}_q)^M_{ss}|}{|G(\mathbb{F}_q)|}$$
$$= \lim_{q \to \infty} \frac{|G(\mathbb{F}_q)^M_{rg}|}{|G(\mathbb{F}_q)|} = \sum_{T=T_{d_1,\cdots,d_s}} \frac{1}{|W_T|(M,d_1)\cdots(M,d_s)}$$

- ► where the sum varies over non-conjugate maximal tori *T* in *G*(𝔽<sub>*q*</sub>),
- ►  $T = T_{d_1, \dots, d_s} \cong C_{d_1} \times \dots \times C_{d_s}$  reflects the cyclic structure of *T*, and the group  $W_T = N_{G(\mathbb{F}_q)}(T)/T$ .



#### Example GL(2,q)

- There are two maximal tori up to conjugacy.
- ► The split maximal torus  $T_1 \cong C_{q-1} \times C_{q-1}$  with  $|W_{T_1}| = 2$ , and the anisotropic torus  $T_2 \cong C_{q^2-1}$  with  $|W_{T_2}| = 2$ .
- Thus, the probability of finding a  $M^{th}$  power in GL(2,q) is

$$=\frac{1}{2.(M,q-1)(M,q-1)}+\frac{1}{2.(M,q^2-1)}$$



#### Example GL(2,q)

▶ When M = 2 the probability is  $\frac{1}{2 \cdot (2,q-1)^2} + \frac{1}{2 \cdot (2,q^2-1)} = \frac{3}{8}$  if q is odd and 1 if q is even.

• When M = 3, the probability would be:

$$\begin{cases} 1 & \text{if } q = 0 \pmod{3} \\ \frac{2}{9} & \text{if } q = 1 \pmod{3} \\ \frac{2}{3} & \text{if } q = 2 \pmod{3}. \end{cases}$$



#### Example GL(2,q) and M = 2

From direct computation following the work in Kundu and Singh (Generating functions for the powers in GL(n, q): 2020), we have the following:



q	$ GL(2,q)_{rg}^2 $	$ GL(2,q)_{ss}^2 $	$ GL(2,q)_{rs}^2 $
odd	$\frac{3}{8}q^4 - \frac{5}{8}q^3 +$	$\frac{3}{8}q^4 - \frac{9}{8}q^3 +$	$\frac{3}{8}q^4 - \frac{9}{8}q^3 +$
	$\frac{1}{8}q^2 - \frac{3}{8}q - \frac{1}{2}$	$\frac{5}{8}q^2 + \frac{9}{8}q - 1$	$\frac{5}{8}q^2 + \frac{1}{8}q$
even	$q^4 - 2q^3 + q$	$q^4 - 2q^3 + 2q - 1$	$q^4 - 2q^3 + q$



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GL(2,q) and M = 3

q	$ GL(2,q)^3 $	$\lim_{q \to \infty} \frac{ GL(2,q)^3 }{ GL(2,q) }$
0	$q^4 - 2q^3 + 2q - 1$	1
1	$\frac{2}{9}(q^4-q^3-q^2+q)$	$\frac{2}{9}$
2	$\frac{2}{3}(q^4-q^3-q^2+q)$	$\frac{2}{3}$





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#### Some ideas involved in the proof of Main Theorem



#### Main Theorem (Kulshrestha, Kundu, Singh: 2020)

•  $G/\mathbb{F}_q$  connected reductive;  $M \ge 2$  be an integer. Then,

$$\lim_{q \to \infty} \frac{|G(\mathbb{F}_q)^M|}{|G(\mathbb{F}_q)|} = \lim_{q \to \infty} \frac{|G(\mathbb{F}_q)^M_{rs}|}{|G(\mathbb{F}_q)|} = \lim_{q \to \infty} \frac{|G(\mathbb{F}_q)^M_{ss}|}{|G(\mathbb{F}_q)|} = \lim_{q \to \infty} \frac{|G(\mathbb{F}_q)^M_{rs}|}{|G(\mathbb{F}_q)|}$$
$$= \sum_{T=T_{d_1,\cdots,d_s}} \frac{1}{|W_T|(M,d_1)\cdots(M,d_s)}$$

sum varies over non-conjugate maximal tori *T* in *G*(𝔽<sub>q</sub>), *T* = *T*<sub>d1</sub>,...,ds</sub> ≅ *C*<sub>d1</sub> × ··· × *C*<sub>ds</sub> cyclic structure of *T*,
the group *W*<sub>T</sub> = *N*<sub>G(𝔽<sub>q</sub>)</sub>(*T*)/*T*.



#### The key Lemma

The key step to prove the Main Theorem is,

$$\frac{|G(\mathbb{F}_q)_{rs}^M|}{|G(\mathbb{F}_q)|} = \sum_{T=T_{d_1,\cdots,d_s}} \frac{1}{|W_T|(M,d_1)\cdots(M,d_s)} + \mathcal{O}(q^{-1})$$

- ► where the sum varies over non-conjugate maximal tori *T* in *G*(𝔽<sub>q</sub>),
- ►  $T = T_{d_1, \dots, d_s} \cong C_{d_1} \times \dots \times C_{d_s}$  reflects the cyclic structure, and the group  $W_T = N_{G(\mathbb{F}_q)}(T)/T$ .



#### **Regular semisimple elements**

Regular semisimple elements  $\equiv$  connected component of centralizers of these elements is a maximal torus.

Regular semisimple elements are dense in  $G(\mathbb{F}_q)$ , thus we work with them. In fact,

$$|G(\mathbb{F}_q)_{rs}| = |G(\mathbb{F}_q)|(1 + \mathcal{O}(q^{-1})).$$

For example, when G = GL(n, k) these are the ones which are conjugate to a diagonal matrix with distinct entries over algebraic closure.



#### Where do we find rs?

A semisimple element belongs to a maximal torus.

A regular semisimple element belongs to a unique maximal torus.

For example, maximal tori, up to conjugacy, in GL(n,q) are in one-one correspondence with partitions of *n*.

When n = 3,  $(1, 1, 1) \leftrightarrow \mathbb{F}_q^* \times \mathbb{F}_q^* \times \mathbb{F}_q^*$  (the split torus),  $(1, 2) \leftrightarrow \mathbb{F}_q^* \times \mathbb{F}_{q^2}^*$  and  $(3) \leftrightarrow \mathbb{F}_{q^3}^*$  (anisotropic torus).



#### Estimate over a maximal torus

Let  $T = \overline{T}(\mathbb{F}_q)$  be a maximal torus in  $G(\mathbb{F}_q)$  where  $\overline{T}$  is a *F*-stable maximal torus of *G*.

$$|T \cap G(\mathbb{F}_q)_{rs}| = q^r + \mathcal{O}(q^{r-1}).$$

► 
$$|T^M \cap G(\mathbb{F}_q)_{rs}| = |T^M| + \mathcal{O}(q^{r-1}) = \frac{1}{(M,d_1)\cdots(M,d_s)}|T| + \mathcal{O}(q^{r-1}).$$

For the last one,  $T(\mathbb{F}_q) \cong C_{d_1} \times \cdots \times C_{d_s}$  is Abelian and the power map is a group homomorpism.



#### **Final estimate**

Hence,

$$\begin{aligned} & \frac{|G(\mathbb{F}_q)_{rs}^M|}{|G(\mathbb{F}_q)|} \\ &= \frac{1}{|G(\mathbb{F}_q)|} \sum_{\bar{T} \in \tau, T = \bar{T}(\mathbb{F}_q)} |T^M \cap G(\mathbb{F}_q)_{rs}| \\ &= \frac{1}{|G(\mathbb{F}_q)|} \sum_{\bar{T} \in \tau, T = \bar{T}(\mathbb{F}_q)} \left(\frac{1}{(M, d_1) \cdots (M, d_s)} |T| + \mathcal{O}(q^{r-1})\right) \end{aligned}$$

Now, we take  $T = T_{d_1,...,d_s}$  up to conjugacy.



#### **Final estimate**

$$= \frac{1}{|G(\mathbb{F}_q)|} \cdot \frac{|G(\mathbb{F}_q)|}{|N_{G(\mathbb{F}_q)}(T)|} \left( \sum_{T=T_{d_1,\dots,d_s}} \frac{1}{(M,d_1)\cdots(M,d_s)} |T| + \mathcal{O}(q^{r-1}) \right)$$

$$= \left( \sum_{T=T_{d_1,\dots,d_s}} \frac{1}{(M,d_1)\cdots(M,d_s)} \frac{1}{|W_T|} \right) + \frac{1}{|W_T||T|} \mathcal{O}(q^{r-1})$$

$$= \sum_{T=T_{d_1,\dots,d_s}} \frac{1}{(M,d_1)\cdots(M,d_s)} \frac{1}{|W_T|} + \mathcal{O}(q^{-1}).$$



## Thank You.

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