#### Exact Algorithms for Steiner Tree

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#### Outline

- Problem introduction and classical results
- 2 Exact algorithms for the general undirected case
- Oirected variants and algorithms for them
- Algorithms for Steiner problems in sparse graphs



#### Steiner Tree

**Given:** Undirected graph G = (V, E) and a set  $T \subseteq V$ **Find:** A minimum size tree H = (V', E') subgraph of G such that  $T \subseteq V'$ .



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- The vertices in T are called *terminals*
- The vertices in  $V \setminus T$  are called *Steiner points*
- Denote n := |V|, m := |E|, and t := |T|

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• Denote 
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,  $m := |E|$ , and  $t := |T|$ 

A minimum size:

- Vertex cardinality: |V'| or rather  $|S| := |V' \setminus T|$  (default)
- Edge cardinality: |E'| = |V'| 1, this is equal to the above
- Node weighted: Given  $w: V \to \mathbb{N}$  minimize w(S)
- Edge weighted: Given  $w : E \to \mathbb{N}$  minimize w(E')

#### STEINER TREE (default decision variant)

**Given:** Undirected graph G = (V, E), a set  $T \subseteq V$ , and  $k \in \mathbb{N}$ **Question:** Is there a tree H = (V', E'), subgraph of G, such that  $T \subseteq V'$ and  $|V' \setminus T| < k$ .

#### Importance

- Fundamental problem of network design
- Motivated by applications in, e.g.,
  - VLSI routing
  - phylogenetic tree reconstruction
  - network routing
- Several books devoted to Steiner Trees
  - Dietmar Cieslik: Steiner minimal trees, Kluwer Academic, 1989
  - Frank K. Hwang, Dana S. Richards, Pawel Winter: The Steiner tree problem, North-Holland, 1992
  - Alexandr O. Ivanov, Alexei A. Tuzhilin: Minimal networks the Steiner problem and its generalizations, CRC Press, 1994
  - Hans J. Prömel, Angelika Steger: The Steiner Tree Problem A Tour through Graphs, Algorithms, and Complexity, Springer, 2002
- 11th DIMACS Implementation Challenge (ending two weeks ago) devoted to Steiner Tree problems

## Hardness and Approximability Results

- NP-complete [Garey & Johnson 1979], even on planar graphs [Garey & Johnson, SIAM J. Appl. Math 1977]
- approximable to within O(log n) but not within (1 − ε)(log t) unless NP ⊆ DTIME[N<sup>polylogn</sup>] [Klein & Ravi, Journal of Algorithms 1995];
- The edge weighted variant is APX-complete even on complete graphs with weights 1 and 2 [Bern, Plassmann, *Inf. Proc. Lett.* 1989]
- There are many approximation results on various variants of Steiner tree basically on every conference, e.g.,
  - Bateni, Hajiaghayi, Marx: Approximation schemes for Steiner Forest on planar graphs and graphs of bounded treewidth STOC 2010
  - Bateni, Checkuri, Ene, Hajiaghayi, Korula, Marx: Prize-collecting Steiner problems on planar graphs SODA 2011.
  - ► STOC 2014, FOCS 2013, and elsewhere
- An online compendium of approx. results: Hauptmann and Karpinski: *A Compendium on Steiner Tree Problems*, University of Bonn.

# Exact Algorithms

In this talk:

- Parameterized algorithms exponential in some presumably small parameter:
  - number of Steiner points in the solution  $k := |S| = |V' \setminus T|$
  - number of terminals t
  - total cardinality of the tree t + k
- Ø Kernelizations
- Sector exponential time algorithms exponential in the "input size"

# **Trivial Algorithms**



Simple algorithm:

- Leaves of an optimal tree are terminals.
- An optimal tree contains at most t vertices of degree at least 3.
- Once the set T' of vertices of degree ≥ 3 is known, the optimal tree can be computed as minimum spanning tree of T ∪ T', where the lengths are distances in G.
- This gives  $n^{O(t)}$  and  $O(2^{\frac{2}{3}n}n^{O(1)}) = O(1.6181^n)$  algorithm for edge weighted variant of STEINER TREE.

Parameterization by the Solution Size

#### Theorem

STEINER TREE is W[2]-hard with respect to the number k of Steiner points in the solution.

• We show a parameterized reduction from

#### Set Cover

**Given:** A universe U, a family  $\mathcal{F}$  of its subsets, and  $k \in \mathbb{N}$ **Question:** Is there a subfamily  $\mathcal{F}' \subset \mathcal{F}$ ,  $|\mathcal{F}'| \leq k$  such that  $\bigcup_{F \in \mathcal{F}'} F = U$ ?

• SET COVER was shown W[2]-hard with respect to k in Downey & Fellows 1999

## Hardness of Steiner Tree, continued

- For an instance (U, F, k) of SET COVER consider the following instance (G = (V, E), T, k) of STEINER TREE :
- $V = U \cup \mathcal{F} \cup \{t_0\}$
- $E = \{\{u, F\} \mid u \in F \in \mathcal{F}\}$  $\cup \{\{t_0, F\} \mid F \in \mathcal{F}\}$
- $T = U \cup \{t_0\}$
- Steiner points 1-1 correspond to the sets in *F*
- we claim that the instances are equivalent



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#### Corollary

If for any  $k \ge 3$  and  $\varepsilon > 0$  STEINER TREE can be solved in time  $O(n^{k-\varepsilon})$  then the Strong ETH fails.

- follows from the results of Patrascu and Williams [SODA 2010], since
- DOMINATING SET is a special case of SET COVER,

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# Parameterization by Terminals

Theorem [Dreyfus & Wagner, Networks 1971] and [Levin 1971] Edge weighted STEINER TREE can be solved in time  $O(3^t \cdot n + 2^t \cdot n^2 + n(n \log n + m)).$ 

Proof:

- The proof goes by dynamic programming.
- Pick any terminal  $t_0$  and let  $T' = T \setminus \{t_0\}$
- For every nonempty  $X \subset T'$  and every  $v \in V$  we compute:

ST(X, v) = minimum edge weight of a Steiner tree for  $(X \cup \{v\})$ 

- Note that we allow  $v \in X$
- The answer is stored in  $ST(T', t_0)$
- The trivial case: If  $X = \{x\}$  for some  $x \in T'$  then for every  $v \in V$  we set  $ST(\{x\}, v) = dist_G(x, v)$ .

## Dreyfus-Wagner Algorithm continued

- Now suppose  $|X| \ge 2$
- Look at the tree from v
- Starting from v go along the tree until you reach either a vertex in X or a vertex of degree at least 3. Let us call it u. Possibly u = v.



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- Starting from v go along the tree until you reach either a vertex in X or a vertex of degree at least 3. Let us call it u. Possibly u = v.
- If  $u \in X$  then we let  $X' = \{u\}$ .
- Otherwise we let X' be the vertices in X in one connected component of the tree with {u} removed.



# D-W Algorithm Recurrence

- We have  $\emptyset \neq X' \subsetneq X$  and the tree can be split into three pieces
  - the path from v to u (possibly trivial)
  - ▶ a tree for u and X' (possibly trivial)
  - a tree for u and  $X \setminus X'$

v v v

• The table can be computed using the following recurrence:

 $\operatorname{ST}(X,v) = \min_{v \in V} (\operatorname{dist}_G(v,u) + \min_{\emptyset \neq X' \subsetneq X} (\operatorname{ST}(X',u) + \operatorname{ST}(X \setminus X',u)))$ 

• Both X' and  $X \setminus X'$  are strictly smaller and nonempty

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Running time:

- Each vertex of  $\mathcal{T}'$  can be either in X', in  $X \setminus X'$ , or in  $\mathcal{T}' \setminus X$
- There are  $3^{t-1}n^2$  evaluations of the recurrence.
- One can save by precomputing the second minimum.
- The running time follows

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#### Improvements of the D-W Algorithm

- The 1971 D-W algorithm achieves time  $O(3^t \cdot n + 2^t \cdot n^2 + n(n \log n + m))$
- This can be improved to O(3<sup>t</sup> · n + 2<sup>t</sup>(n log n + m)) by computing the distances more cleverly on demand [Erickson, Monma, Veinott Mathematics of Operations Research 1987]
- In 2007 Fuchs, Kern, and Wang [Math. Meth. Oper. Res.] improved this to O(2.684<sup>t</sup>n<sup>O(1)</sup>) and
- Mölle, Richter, and Rossmanith [STACS 2006] to  $O((2 + \varepsilon)^t n^{f(e^{-1})})$
- later the above two groups together [*Theory Comput. Syst.* 2007] improved the exponent to  $O((\frac{\varepsilon}{-\ln \varepsilon})^{-\delta})$  for any  $1/2 < \delta$  which gives, e.g.,  $O(2.5^t n^{14.2})$  or  $O(2.1^t n^{57.6})$
- By using subset convolution and Möbius inversion, one can get to a running time of  $\tilde{O}(2^t n^2 + nm)$  for the node weighted case with bounded weights [Björklund, Husfeldt, Kaski, Koivisto *STOC* 2007]

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- All these algorithms take exponential space.

# Polynomial Space Algorithms

- First polynomial space algorithm:  $O(6^t n^{O(\log t)})$  for edge weighted variant [Fomin, Grandoni, Kratsch *ESA 2008*]
- Combining with, e.g., D&W for  $t < \log n$  one obtains  $O^*(2^{O(t \log t)})$ -time polynomial space algorithm for the edge weighted variant.
- Finally, Nederlof [*ICALP* 2009] gave a 2<sup>t</sup> n<sup>O(1)</sup>-time polynomial space algorithm for edge weighted variant with bounded weights
- It is pretty simple and based on the inclusion-exclusion principle

## Further Improvements

- Can we hope for an algorithm running in  $(2 \varepsilon)^t n^{O(1)}$ -time?
- This would imply an algorithm for SET COVER with running time  $(2 \varepsilon)^{|U|} |\mathcal{F}|^{O(1)}$  (by the presented reduction)



Set Cover Conjecture (SeCoCo) [Cygan, Dell, Lokshtanov, Marx, Nederlof, Okamoto, Paturi, Saurabh, Wahlström *CCC* 2012] There is no  $(2 - \varepsilon)^{|U|} |\mathcal{F}|^{O(1)}$ -time algorithm for SET COVER.

• OPEN: Is there any relation between SeCoCo and SETH?

# Kernelization

Theorem [folklore / Dom, Lokshtanov, Saurabh ACM Transactions on Algorithms 2014 / ICALP 2009]

There is no polynomial kernel for STEINER TREE parameterized by t + k unless NP $\subseteq$ coNP/poly, which would imply the collapse of the Polynomial Hierarchy to the third level.

Proof:

- By the framework of Bodlaender, Downey, Fellows, and Hermelin [J. Comput. Syst. Sci. 2009/ ICALP 2008] we have to show that STEINER TREE is compositional with this parameterization
- Consider instances  $(G_1, T_1, k_1), \ldots, (G_s, T_s, k_s)$
- We may assume that  $|T_1| = |T_2| = \ldots = |T_s| = t$  and  $k_1 = k_2 = \ldots = k_s = k$
- We denote  $T_1 = \{t_1^1, \ldots, t_t^1\}$ , etc.



• We let  $T = \{t_1, ..., t_t\}$  and  $k' = t \cdot (k+2) + k$ .



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- We claim that (G, T, k') is a yes instance if and only if  $\exists i \in \{1, ..., s\}$  such that  $(G_i, T_i, k)$  is a yes-instance.
- If  $G_i$  is a yes-instance, then use the solution and add the paths to it.
- A solution for G, must contain at least one path for each  $t_i$
- Hence, exactly one path.
- If they ended in different  $G_i$ 's, then the graph would be disconnected.

## Exponential Time Algorithms

- the fast exponential algorithms are obtained by combining branching for large values of t and FPT algorithms for small t
- the fastest for weighted case is based on the algorithm of Mölle et al. achieving  $O(1.42^n)$  in exponential space
- The only paper devoted to such algorithms is by Fomin, Grandoni, Kratsch, Lokshtanov, Saurabh [*Algorithmica* 2009 / *ESA* 2008]
- It uses more involved branching, quasi FPT algorithm, and analyses the running time by Measure & Conquer.
- It is polynomial space and originally achieved running time  $O(1.59^n)$  for the weighted case and  $O(1.55^n)$  for the cardinality case.
- Plugging in the  $O^*(2^t)$  algorithm of Nederlof, the running time can be improved to  $O(1.36^n)$  for the cardinality case.

# Steiner Problems in Directed Graphs

In directed graphs "to be connected" can mean several things:

- Connect one distinguished root by directed paths to all other terminals DIRECTED STEINER TREE (DST)
- Connect all terminals among each other STRONGLY CONNECTED STEINER SUBGRAPH (SCSS)



• DSN hard to approximate within  $O(2^{\log^{1-\epsilon}n})$  unless  $NP \subseteq TIME(2^{polylog(n)})$  [Dodis, Khanna STOC 1999]

#### Parameterization by Steiner Points-Directed

With respect to the number k of Steiner points in the solution:

- The hardness reduction is easy to modify to show that both DIRECTED STEINER TREE and STRONGLY CONNECTED STEINER SUBGRAPH are W[2]-hard.
- It is enough to orientate all the edges "towards the universe"



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- It is enough to orientate all the edges "towards the universe"
- and add backward arcs.
- Hence Directed Steiner Network is also W[2]-hard.



#### Parameterization by Terminals—Directed

With respect to the number t of terminals:

- All the FPT algorithms for STEINER TREE can be adapted to solve DIRECTED STEINER TREE.
- Feldman, Ruhl [FOCS 1999/ SIAM J. Comput. 2006]:
  - SCSS can be solved in  $O(mn^{2t-3} + n^{2t-2}\log n)$  time
  - ▶ DSN can be solved in  $O(mn^{4t-2} + n^{4t-1} \log n)$  time,
- Guo, Niedermeier, S.[ISAAC 2009/SIAM J. Disc. Math. 2011]:
  - SCSS is FPT with respect to t for the augmentation case add arcs to existing graph to achieve the requested connectivity
  - SCSS is equivalent to TSP on the terminals if the graph is complete and the edge weights are 1 and 2.
  - SCSS is W[1]-hard for the cardinality case

## Hardness of SCSS

#### Theorem [Guo, Niedemeier,S. 2009]

SCSS is W[1]-hard with respect to k + t for the cardinality case.

• We reduce

#### MULTICOLORED CLIQUE(MCC)

**Given:** A graph G = (V, E),  $k \in \mathbb{N}$  and a coloring  $c : V \to \{1, ..., k\}$ . **Decide:** Is there a clique in *G* taking exactly one vertex of each color? **Parameter:** k

- MCC is W[1]-hard [Pietrzak, JCSS 2003]
- we use the edge representation strategy by Fellows, Hermelin, Rosamond and Vialette [*Theor. Comp. Sci.* 2009]



- For each color *i* introduce a vertex *t<sub>i</sub>* and for each vertex *v* of color *i* we introduce an oriented triangle *t<sub>i</sub>*, *v*, *v'*
- For each pair of colors  $i \neq j$ , introduce two vertices  $t_{i,j}$  and  $t_{j,i}$ .
- For each edge uv with c(u) = i and c(v) = j, introduce two triangles  $t_{i,j}, x_{uv}, x'_{uv}$  and  $t_{j,i}, x_{vu}, x'_{vu}$
- add arcs  $(x'_{uv}, x_v)$ ,  $(x'_u, x_{uv})$ ,  $(x'_{vu}, x_u)$ ,  $(x'_v, x_{vu})$
- let  $T = \{t_1, ..., t_k\} \cup \{t_{i,j} \mid i \neq j\}$  and  $k' = 2k + 2\binom{k}{2}$

# SCSS Hardness Proof Continued 2 t1,2

• We claim that the instance (G, k, c) of MCC is equivalent to the constructed instance of SCSS



- Selecting a vertex or edge in *G* correspond to selecting the vertices of the corresponding triangle in the constructed digraph.
- If there is a mcc, then we can connect the terminals (easy to check).
- If the terminals are connected, then for each color there is one triangle selected, otherwise the terminal would be isolated.
- The same for pairs of colors.
- Exactly one triangle selected for each terminal.
- The selected edges must be between selected vertices.
- The selected vertices form a clique.

# SCSS Running Time Lower Bound

#### Corollary

SCSS cannot be solved in  $n^{o(t/\log t)}$  time unless ETH fails.

- the reduction can be done the same way with PARITIONED SUBGRAPH ISOMORPHISM
- the lower bound follows from Marx [FOCS 2007 / Theory of Computing 2010]

## Sparse graphs

Sparse graph classes often studied:

- Graphs of bounded treewidth
- planar graphs
- $K_h$ -minor free graphs that do not contain  $K_h$  as a minor
- K<sub>h</sub>-topological minor free for topological minor, one can only contract edge if one of the endpoints has degree 2
- *d*-degenerate ⇔ every subgraph has vertex of degree at most *d* Sparse directed = sparse underlying undirected

## Graphs of Bounded Treewidth

- Classical dynamic programming gives  $2^{O(tw \log tw)} \cdot n$ -time algorithm for STEINER TREE
- This was slightly improved to  $O(B_{tw+2}^2 \cdot tw \cdot n)$ , where  $B_k$  is the Bell number, by Chimani, Mutzel, Zey [*IWOCA* 2011/ *J. of Disc. Algor.* 2012]
- There is a 3<sup>tw</sup> · n<sup>O(1)</sup> randomized algorithm, with no false positives and probability of false negative at most <sup>1</sup>/<sub>2</sub> [Cygan, Nederlof, Pilipczuk, Pilipczuk, van Rooij, Wojtaszczyk FOCS 2011]
- It is based on a technique called "Cut & Count"

## Cut and Count for Steiner Tree



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- We count the number of *all* subgraphs of given size containing *T*.
- We do it in a way that the connected ones are counted once, while the others are counted an even number of times.
- If there was an odd number of connected ones, then the total number will be also odd.

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- If there was an odd number of connected ones, then the total number will be also odd.
- We use random weights on vertices to ensure that, with high probability, there is a unique Steiner tree of minimum weight.

# Achieving Unique Solution



Isolation Lemma [Mulmuley, Vazirani, Vazirani Combinatorica 1987]

Let  $\emptyset \neq \mathcal{F} \subseteq 2^U$ . For each  $u \in U$ , choose a weight  $w(u) \in \{1, \ldots, N\}$ uniformly and independently at random. Then the probability that a set of minimum weight in  $\mathcal{F}$  is unique is at least  $1 - \frac{|U|}{N}$ .

- We use N = 2n.
- We guess the minimum weight, i.e., we compute the parity of the number of subgraphs for each possible weight.

#### Cut and Count — What We Count

- We pick an arbitrary terminal  $t_1 \in T$ .
- We actually count the parity of the number of pairs of
  - ▶ a subgraph (X, F) with  $T \subseteq X$  of given size and particular weight and
  - a cut  $(X_1, X_2)$  such that
    - ★  $X_1 \cap X_2 = \emptyset$ ,
    - $\star X_1 \cup X_2 = X$
    - ★  $t_1 \in X_1$
    - ★ there is no edge with one endpoint in X₁ and one in X₂



- For connected subgraphs only the cut  $X_1 = X$  is possible.
- For a disconnected subgraph, there are  $2^{cc(X)-1}$  possible cuts.
- The number can only become odd if there is a Steiner tree of the given size.

## Cut and Count-Running Time



- There are three possible states of a vertex: in  $X_1$ , in  $X_2$ , outside X
- Correctness of the cut can be checked in polynomial times
- Using principle of inclusion and exclusion, we get to  $3^{tw} \cdot tw^{O(1)} \cdot n$  for given size and weight
- The weights are between 1 and  $2n^2$ , sizes between 1 and n
- Total running time  $3^{tw} \cdot n^{O(1)}$

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- In the same paper: If there is a constant  $\varepsilon > 0$  and an  $(3 \varepsilon)^{pw} \cdot n^{O(1)}$  algorithm for STEINER TREE, then the Strong ETH fails.
- There are more recent approaches which are deterministic and apply to weighted or counting variants [Bodlaender, Cygan, Kratsch, Nederlof *ICALP* 2013] [Fomin, Lokshtanov, Saurabh *SODA* 2014]
- OPEN: On directed graphs nothing better than  $O^*(2^{tw^2})$  is known

## Steiner Tree in Planar Graphs

- Consider STEINER TREE in planar graphs parameterized by the solution size *k*
- We can contract any edge connecting two terminals
- Hence, every other vertex on any path is Steiner
- Thus, the graph has diameter  $\leq 2k$
- The treewidth is bounded by O(k) and hence it is FPT wrt k.
- Recently Pilipczuk, Pilipczuk, Sankowski, van Leeuwen [*STACS* 2013] presented an algorithm running in time  $O(2^{O(((k+t)\log(k+t))^{2/3})}n)$
- And even more recently this was improved to  $O(2^{O(\sqrt{(k+t)\log(k+t)})}n)$ [Pilipczuk, Pilipczuk, Sankowski, van Leeuwen *FOCS* 2014]
- OPEN: Is there a subexponential algorithm parameterized only by t or only by k?

## Directed Steiner Tree in Sparse Graphs

- DIRECTED STEINER TREE in sprase graphs studied by Jones, Lokshtanov, Ramanujan, Saurabh, S. [*ESA* 2013]
- In directed planar graphs we can only contract strongly connected components formed by terminals.
- Hence we cannot bound the treewidth of the graph.
- Moreover, after contracting the strongly connected the graph may no longer be sparse.
- In fact, DST is W[2]-hard with respect to k even on 2-degenerate graphs.

# DST Hardness in Degenerate Graphs

#### Observation

DST is W[2]-hard with respect to k even on 2-degenerate graphs.

- Use the reduction from setcover, but replace each terminal by a cycle of vertices of degree three.
- Subdivide the edges in these cycles.
- All new vertices are terminals



# Directed Steiner Tree in Sparse Graphs continued

D[T] arbitrary

- O\*(3<sup>hk+o(hk)</sup>)-time on K<sub>h</sub>-minor free digraphs (the algorithm is based on a novel branching rule in combination with the Nederlof's algorithm)
- O<sup>\*</sup>(f(h)<sup>k</sup>)-time on K<sub>h</sub>-topological minor free digraphs (using the decomposition theorem of Grohe and Marx [STOC 2012])

D[T] acyclic

- $O^*(3^{hk+o(hk)})$ -time on  $K_h$ -topological minor free digraphs
- $O^*(3^{dk+o(dk)})$ -time on *d*-degenerate graphs
  - ► DST is FPT wrt k on o(log n)-degenerate graph classes
  - ▶ FPT algorithm for undirected STEINER TREE on *d*-degenerate
- For any constant c > 0, no f(k)n<sup>o(k/log k)</sup>-time algorithm on graphs of degeneracy c log n unless ETH fails.
  - ▶ no  $O^*(2^{o(d)f(k)})$ -time algorithm unless ETH fails
- no  $O^*(2^{f(d)o(k)})$ -time algorithm unless ETH fails

# Kernelization in Sparse Graphs

- STEINER TREE does not have a polynomial kernel with respect to k + t even on 2-degenerate graphs, unless NP⊆coNP/poly
  [Cygan, Pilipczuk, Pilipczuk, Wojtaszczyk Disc. App. Math. 2012]
- STEINER TREE has an  $O((k + t)^{142})$ -size kernel on planar graphs [Pilipczuk, Pilipczuk, Sankowski, van Leeuwen FOCS 2014]
- a polynomial kernel also exists on bounded genus graphs and for the edge weighted variant
- OPEN: Kernel on planar graphs with respect to only k or only t?
- OPEN: Does it have a kernel on *K<sub>h</sub>*-minor free or *K<sub>h</sub>*-topological-minor free graphs?
- OPEN: Improve the size of the kernel.

- SCSS in planar graphs was recently studied by Chitnis, Hajiaghayi, Marx [*SODA* 2014]
- They show a  $2^{O(t \log t)} \cdot n^{O(\sqrt{t})}$ -time algorithm and also
- that an  $f(k) \cdot n^{o(\sqrt{t})}$  algorithm would imply that ETH fails.
- OPEN: Can the algorithm for DIRECTED STEINER NETWORK be also somehow speed up on planar graphs?

The main open problems are (not repeating all already mentioned)

- Subexponential algorithm for STEINER TREE with respect to only t
- Improvement to the kernels for planar graphs
- generalizing the result to the higher connectivity settings (first results for SCSS obtained by Chitnis, Esfandiari, Hajiaghayi, Khandekar, Kortsarz, Seddighin [*IPEC* 2014])

# Thank you for your attention!