

### Solutions to Home-work 4

1. (a)  $\Rightarrow$  (b): Let  $S$  be a linearly independent set in  $V$ . By hypothesis, there exists a basis  $B$  for  $V$  with  $n$  elements, and also  $|S| = n$ . By the lemma proved in last class, (since  $B$  spans  $V$ ), there exists a decomposition  $B = B_1 \amalg B_2$  of  $B$  with  $|B_1| = |S|$  such that  $S \amalg B_2$  spans  $V$ . The hypotheses imply that  $|B_1| = |B|$  so  $B_2 = \emptyset$ , and hence  $S = S \amalg \emptyset$  is a spanning set for  $V$ . Thus  $sp(S) = V$ , as desired.

(b)  $\Rightarrow$  (c): If  $S$  is a spanning set for  $V$ , with  $|S| = n$ , and if  $B$  is any basis for  $V$ , then by the lemma alluded to in the last paragraph, there is a decomposition  $S = S_1 \amalg S_2$  such that  $n = |B| = |S_1|$  and  $B \amalg S_2$  is a spanning set for  $V$ . This means that  $S_2 = \emptyset$  so that  $S = B$  is a basis.

(c)  $\Rightarrow$  (a): Obvious.

2. Suppose If  $(a, b, c) = \alpha(1, 1, 1) + \beta(0, 1, 1) + \gamma(0, 0, 1)$ , then it is easy to see that  $a = \alpha, \beta = b - \alpha = b - a$  and similarly that  $\gamma = c - a - \beta$ . Since  $(a, b, c)$  is arbitrary, we see that the given set of three vectors does indeed span  $\mathbb{R}^3$ , and hence must be a basis for  $\mathbb{R}^3$ , by the previous problem.
3. We leave it as an exercise to the reader to verify that

$$\{(1, 1, 1, 1), (1, 1, -1, -1), (1, -1, 1, -1), (1, -1, -1, 1)\}$$

is a linearly independent (or spanning) set, and hence a basis for  $\mathbb{R}^4$ .

4. By the remark in the solution to 3(c) of HW-3, the set  $\{(1, 2), (3, 6)\}$  is linearly dependent, while  $\{(1, 2), (3, 8)\}$  is linearly independent, and hence a basis for  $\mathbb{R}^2$ .
5. If  $x = (x_1, x_2, x_3), y = (y_1, y_2, y_3) \in W, \alpha, \beta \in \mathbb{R}$  and  $z = (z_1, z_2, z_3) = \alpha x + \beta y$ , then

$$\begin{aligned} 2z_1 + z_2 - z_3 &= 2(\alpha x_1 + \beta y_1) + (\alpha x_2 + \beta y_2) - (\alpha x_3 + \beta y_3) \\ &= \alpha(2x_1 + x_2 - x_3) + \beta(2y_1 + y_2 - y_3) \\ &= 0 \end{aligned}$$

so indeed also  $z \in W$  and  $W$  is a subspace of  $\mathbb{R}^3$ . Hence  $\dim(W) \leq 3$ . On the other hand, it is easy to see that  $\{(1, 0, 2), (1, 0, 1)\}$  is a linearly independent set in  $W$  so that  $\dim(W) \geq 2$ . So indeed  $W$  is a two-dimensional (subspace of  $\mathbb{R}^3$  and hence a) vector space.

6. For the first assertion, we may clearly assume  $V \neq \{0\}$ . Suppose  $V$  admits a finite spanning set  $S$  (which is not  $\{0\}$ ). We have seen (in problem 5 of HW-3) that then  $S$  contains a subset which is a minimal spanning set, hence a basis (call it  $B$ ), for  $V$ . Let  $|B| = \dim(V) = n$ . We shall now prove the following:

*Assertion* If  $L$  is any linearly independent set in  $V$ , then there exists a basis which contains  $L$ .

We prove this assertion by induction on  $n - |L|$ . First suppose  $|L| = n$ . Then if  $v \in V \setminus L$ ,  $|L \cup \{v\}| > n$ , and hence  $L \cup \{v\}$  cannot be linearly independent, since any linearly independent set in an  $n$ -dimensional vector space can have at most  $n$  elements. So there must be a non-trivial linear combination of  $L \cup \{v\}$  which vanishes. Since  $L$  is linearly independent, the coefficient of  $v$  in this combination should be non-zero, or in other words, we must have  $v \in \text{sp}(L)$ . Since  $v$  was arbitrary, this shows that  $L$  spans  $V$  and must already be a basis.

Suppose the result is true for any linearly independent set  $L_1$  with  $n - |L_1| < n - |L| (> 0)$ . Let  $W = \text{sp}(L)$ . Then  $\dim W < n$ . Pick any  $v \in V \setminus W$ . Notice that the set  $L_1 = L \cup \{v\}$  is linearly independent. (*Reason:* If  $L = \{v_1, \dots, v_m\}$  and set  $v = v_{m+1}$ . If  $\sum_{i=1}^{m+1} \alpha_i v_i = 0$ , the assumption  $v_{m+1} \notin W$  implies that  $\alpha_{m+1} = 0$  (since  $L \subset W$ ). The linear independence of  $L$  next forces  $\alpha_i = 0 \forall i \leq m$ .) Since  $n - |L_1| = n - (|L| + 1) < n - |L|$ , it follows from the induction hypothesis that  $L_1$  - and hence also  $L$  - can be extended to a basis, as desired.

Now if  $W$  is any subspace of  $V$ , by what we have just shown,  $W$  has a basis, say  $L$ . Since  $L$  is a linearly independent set (in  $W$  as well as in  $V$ ), this  $L$  is a subset of a basis, say  $B$ , for  $V$ ; deduce that  $\dim(W) = |L| \leq |B| = \dim(V)$ .

7. Since any (finite) spanning set for  $V$  can be shrunk to a basis, and since every linearly independent set in a (finite-dimensional vector space)  $V$  can be expanded to a basis for  $V$ , it is clear that if  $S, B$  and  $L$  denote an arbitrary spanning set, basis and linearly independent set for  $V$ , then

$$|L| \leq |B| \leq |S|.$$

So if there exists an  $S$  with  $|S| = n$ , then we must have  $|L| \leq n < n + 1$ , as asserted. The final assertion follows easily. One consequence of this is, for instance, that the vector space of all polynomials is not finite-dimensional.