

Goldbach Conjecture: An invitation to Number Theory  
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# Definition and basic properties of prime numbers

If  $n$  has no divisor other than 1 and  $n$ , then  $n$  is called *prime*.

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*If  $p$  is a prime and  $p$  divides  $ab$ , then  $p$  divides  $a$  or  $p$  divides  $b$ .*

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Before stating other theorem, it is better to introduce a notation (of congruance) due to Gauss.

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### Remark

*The most popular public key cryptosystem, called RSA (due to Rivest, Shamir and Adleman) is based on Theorem 4.*

# Some more properties of primes

## Theorem (5. Euler)

If  $a \not\equiv 0 \pmod{p}$ , then

$$a^{\frac{p-1}{2}} \begin{cases} \equiv 1 \pmod{p} & \text{if } a \equiv x^2 \pmod{p} \text{ for some } x, \\ \equiv -1 \pmod{p} & \text{otherwise.} \end{cases}$$

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An important theorem, connecting the behaviour of two primes  $p$  and  $q$  is quadratic reciprocity law (due to Gauss).

## Theorem (Wilson)

$$(p-1)! \equiv -1 \pmod{p}.$$

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Since every number has a prime factor,  $N$  also has a prime factor and the primes  $p_1, p_2, \dots, p_r$  can not be prime factors of  $N$ . Hence there exists atleast one more prime.



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Incidentally this proves that

$$\sum_p \frac{1}{p} = \infty.$$

Hence there are “more” primes than squares.

# Number of primes: Conjectures of Gauss

Now a natural question is: How many primes are there upto  $N$ ?

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Since  $\text{li}(N)$  is a “difficult” function to handle, one considers

$$\psi(N) = \text{the primes } p \text{ upto } N \text{ counted with a weight by } \log p.$$

Then  $\pi(N) \sim \text{li}(N)$  is same  $\psi(N) \sim N$ . This statement is called Prime Number Theorem.

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The minor gap was fixed by Jacques Hadamard and de la Valée Poussin in 1898 - 1899 independently and Prime Number was proved.

# Primes in an arithmetic progression

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Obvious constraints

- $A = \{9 \pmod{15}\} = (9, 24, 39, 54, 69, \dots)$ . Here every number is divisible by 3 and hence it contains no primes.
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If we ignore such exceptions, then every  $A$  has infinitely many primes.

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In fact if  $d \leq (\log x)^{100}$ , then the number of primes  $\leq x$ , which are in  $A$  is around

$$\frac{1}{\phi(d)} \frac{x}{\log x},$$

where  $\phi(d)$  is the Euler's totient function, defined as the number of integers less than  $d$ , having no common factor with  $d$ .

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The result is proved using the analytic properties of the functions of the following kind.

$$\sum_n \frac{\chi(n)}{n^s},$$

where  $\chi : \mathbb{Z} \rightarrow \mathbb{C}^*$  is a periodic function with period  $d$  and satisfies  $\chi(nm) = \chi(n)\chi(m)$ .

# Additive Number theory

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- (Fermat)(a) If a prime  $p$  is of the form  $4k + 1$ , then it can be written as  $a^2 + b^2$ .  
(b) If a prime  $p$  is of the form  $4k + 3$ , then it can not be written as  $a^2 + b^2$ .

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(b) If a prime  $p$  is of the form  $4k + 3$ , then it can not be written as  $a^2 + b^2$ .

Proof of (b) is easy. First note that every square is of the form  $4k$  or  $4k + 1$ . Hence sum of two squares can only be of the form  $4k$  or  $4k + 1$  or  $4k + 2$ .

## Theorem

*A positive integer  $n$  can be written as  $x^2 + y^2$ , if and only if*

$$n = 2^a p_1^{b_1} p_2^{b_2} \cdots p_r^{b_r} q_1^{2c_1} \cdots q_2^{2c_2} \cdots q_s^{c_s},$$

*where  $p$ 's are primes of the form  $4k + 1$  and  $q$ 's are primes of the form  $4k + 3$ .*

In other words, in the prime factorisation of  $n$ , 2 and  $p$  can appear to any power. But  $q$ 's appear only with even power.

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In other words, in the prime factorisation of  $n$ , 2 and  $p$  can appear to any power. But  $q$ 's appear only with even power.

## Theorem

*If an integer  $n$  is not of the form  $4^k(8l + 7)$ , then it can be written as  $a^2 + b^2 + c^2$ .*



# Goldbach Conjecture

Goldbach's conjecture is an interesting example of a problem in additive number theory, involving prime numbers.

## Conjecture

*Every even number  $\geq 6$  is a sum of two prime numbers;*

$$2n = p_1 + p_2.$$

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This conjecture (with a few related conjectures) appeared in a letter by Goldbach to Euler on June 17, 1742.

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It seems that this conjecture was observed by Descartes even earlier. Still (as remarked by Erdős), we shall continue to call this Goldbach's conjecture.

# Probabilistic evidence

Given any  $n$  consider all the solution of the equation

$$2n = a + b \tag{1}$$

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The probability that  $a$  is prime is around  $\frac{1}{\log n}$ . Therefore the probability that both  $a$  and  $b$  are primes is around  $\frac{1}{\log^2 n}$ . Hence there are atleast  $c \frac{n}{\log^2 n}$  solutions of (1) with both  $a$  and  $b$  primes. We need to prove that there is at least one such presentation.

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When we relook at the above argument, one has some misgivings. For example it shows that any odd integer can be also written as a sum of two primes. However since primes are odd (except for one of them, an oddity), it is not possible that  $2N + 1$  can be written as a sum of two primes.

Hardy and Littlewood formulated a conjecture which takes care of local obstructions and in particular gives a number of ways an integer can be written as a sum of two primes.

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Oliveira e Silva in 2008 showed that the conjecture is true if  $n \leq 12 \times 10^{17}$ .



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$$A + B = \{x \in \mathbb{Z} : x = a_1 + b_1 \text{ for some } a_1 \in A, b_1 \in B\}.$$

# Methods of attack: Additive combinatorics

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Suppose one knows the lower bound for the cardinality of  $A, B$  then one can try to get a lower bound for the cardinality of  $A + B$ ; Particularly if one knows that if  $r(n)$  is the number of ways of writing  $n$  as  $a + b$ , then  $r^2(n)$  is small (at least on average), then  $|A + B|$  becomes very large.

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Given  $A \subset \mathbb{N}$  we write  $A(n)$  to denote the number of elements  $a \in A$  with  $a \leq n$ .

### Theorem (Mann)

If  $A(n) \geq \alpha n$  and  $B(n) \geq \beta n$  then

$$(A + B)(n) \geq (\alpha + \beta)n \quad \forall n.$$

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Ramaré and Saouter: Every odd integer upto  $1.13 \times 10^{22}$  is a sum of 3 primes.

# Methods of attack: Circle method

So far the best method of attack for Goldbach conjecture seems to be circle method.

This method was developed by Hardy and Ramanujan to get the approximate value of partition function  $p(n)$ .

The method has been successively used to solve the universal Waring's problem (Hardy-Littlewood, Davenport, Vinogradov, Thanigasalam, Vaughan).

# Description of circle method for Goldbach problem

$$\text{Let } I(N) = \int_0^1 \left( \sum_{p \leq N} e^{2\pi i p \alpha} \right)^2 e^{-2\pi i N \alpha} d\alpha = \int_0^1 \sum_{p_1, p_2 \leq N} e^{2\pi i (p_1 + p_2 - N) \alpha} d\alpha.$$



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Since we have

$$\int_0^1 e^{2\pi i n \alpha} d\alpha = \begin{cases} 0 & \text{if } n \neq 0, \\ 1 & \text{if } n = 0. \end{cases},$$

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we have

$$I(N) = \#\{(p_1, p_2) : N = p_1 + p_2\}.$$

The integrand is big when  $\alpha$  is very close to a rational number with a small denominator and small otherwise.

Evaluation of the integral in a close neighbourhood of rational numbers with small denominator gives the contribution of the order of  $\frac{N}{\log^2 N}$ .

If one can prove the rest of the contribution is negligible, one has the result. This method has enabled one to prove.

- (Vinogradov 1937)  $2N + 1 = p_1 + p_2 + p_3$  if  $N \geq N_0$ .

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Montgomery and Vaughan showed that the number of even natural numbers which are  $\leq x$  and can not be written as a sum of two primes is at most  $x^{1-c}$ , where  $c > 0$  is an absolute constant.