

# Derived birational Invariants <sup>(1)</sup> and Unramified Cohomology

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(jt. w/ B. KAHN)

- Study of birational invariants an old and venerated topic in algebraic geometry.
- Eg: Unramified Cohomology  
 $X$  a smooth, projective algebraic variety over a field  $k$ ,  $n$  an integer invertible in  $F$ . For every (Zariski) open  $U \subseteq X$ ,  
$$U \mapsto H_{\text{ét}}^i(U, \mu_n^{\otimes r})$$
defines a Zariski presheaf, the associated sheaf is denoted  $\mathcal{H}^i(\mu_n^{\otimes r})$ .  
Global sections  $H^0(X, \mathcal{H}^i(\mu_n^{\otimes r}))$  is a birational invariant.

- Unramified cohomology with coefficients in  $\mu_n^{\otimes i}$ ; shorter notation  $H_{nr}^i(X, \mu_n^{\otimes i})$ .
- $H^0(X, \mathcal{X}^i(\mu_n^{\otimes i})) \subseteq H^i(k(X), \mu_n^{\otimes i})$ ; consists of elements which at any point  $P \in X$  of codimension 1 and local ring  $\mathcal{O}_{X,P}$ , residue field  $k_P$ , comes from  $H^i(\mathcal{O}_{X,P}, \mu_n^{\otimes i})$  under the natural map

$$H^i(\mathcal{O}_{X,P}, \mu_n^{\otimes i}) \longrightarrow H^i(k(X), \mu_n^{\otimes i})$$

• Can also be described in terms of valuations on  $k(X)$  and the associated 'residue' maps.

$$\begin{aligned} \text{Eg: } H^1(X, \mathcal{X}^1(\mu_n)) &: {}_n\text{Pic}(X) \quad \left\{ \begin{array}{l} n\text{-torsion in Picard gp.} \end{array} \right. \\ H^0(X, \mathcal{X}^2(\mu_n)) &: {}_n\text{Br}(X) \quad \left\{ \begin{array}{l} n\text{-torsion in Brauer gp.} \end{array} \right. \end{aligned}$$

•  $X/k$  s.t.  $k(X)$  is purely transcendental over  $k$ .

Then  $H_{nr}^i(X, \mu_n^{\otimes i}) = H^i(\text{Gal}(k(X)/k), \mu_n^{\otimes i})$

Thus these are "stable birational invariants."

→  $X/k$  stably birational over  $k$  to Projective space, then "know" these unramified cohomology gps.

→ Next Simplest: Anisotropic quadrics /  $k$ . Quadrics

$X/k$ , smooth projective s.t.  $X(k) = \emptyset$ .  $H_{nr}^i(X, \mu_n^{\otimes i})$ ?

(cf. KAHN-S)

→ See also Colliot-Thélène-Ojanguren, Peyre, Kahn. (Projective homogeneous varieties —). (3)

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### AIM OF TODAY'S LECTURE:

- These unramified cohomology groups are just "the tip of an iceberg", and that there are in fact a whole array of related birational invariants, when viewed from the standpoint of Categories and Voevodsky's motives.
- We will try to give a sample of this array and how they fit with other classical invariants.
- Freely acknowledge that our results are computably sparse, but we find it fascinating that there exists a whole array of these birational invariants, though their exact computation is a challenge.

Assumption:  $F$  a perfect field.

Recall: Voevodsky's triangulated category.

$DM_{-}^{eff}(F)$ : Triangulated category of bounded above effective motivic complexes.

$DM^{eff}(F)$ : Unbounded version of the same.

PST = Category of presheaves with transfers (4)  
= Mod-SmCor(F) (cov. functor  $\text{SmCor}(F) \rightarrow \mathbb{A}^1$ )

NST = Category of Nisnevich sheaves with transfers; full subcategory of PST formed by those presheaves which are sheaves in the Nisnevich topology.

HI  $\subseteq$  NST: Category of homotopy invariant Nisnevich sheaves with transfers.

$$(\exists (X \times \mathbb{A}^1) \cong F(X)).$$

HI $^\circ \subseteq$  PST: full subcategory; consisting of those presheaves  $F$  that are birationally invariant;  $F(X) \cong F(U)$  for any open immersion  $U \subset X$ .

N.B.: Any  $F \in$  PST which is birationally invariant is automatically a sheaf in the Nisnevich topology.

Recall also: SmCor(k) (Category of Correspondences)

Sm(k)

$$L: K^b(\text{SmCor}) \longrightarrow \mathcal{D}(\text{PST}) \quad | \quad X \mapsto L(X)$$

$X$  smooth proj. / k.

$L(X)$  NST.

Prop: One has  $HI^\circ \subset HI$

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Recap:

$$DM^{ur}(F) \longrightarrow DM^\circ(F)$$

•  $DM^{ur}(F)$  equipped with a homotopy  $t$ -structure whose heart is  $HI(F)$ .

•  $DM^\circ(F)$ : Localisation of  $DM^{ur}(F)$ ; Triangulated category, heart  $HI^\circ(F)$ .

$$DM^{ur}(F) \begin{array}{c} \xrightarrow{R_{ur}} \\ \xleftarrow{i^\circ} \end{array} DM^\circ(F)$$

$\cup$

$\cup$

$$HI(F) \supset HI^\circ(F)$$

$i^\circ$  fully faithful.

$Z \in HI(F)$  is in  $HI^\circ(F)$  if and only if it is locally constant in the Zariski topology.

$$DM^{ur}(F) \begin{array}{c} \xrightarrow{R_{ur}} \\ \xleftarrow{i^\circ} \\ \xrightarrow{V_{ur}} \end{array} DM^\circ(F)$$

•  $R_{ur}$  right adjoint to  $i^\circ$

•  $V_{ur}$  left adjoint to  $i^\circ$ .

Defn: a)  $R_{nr}^0 = \mathcal{H}^0 R_{nr} : HI(F) \rightarrow HI^0(F)$  (6)

(Both  $HI(F)$  and  $HI^0(F)$  are abelian Categories.)

b)  $\mathcal{F} \in HI(F)$ ; the contraction of  $\mathcal{F}$ , denoted  $\mathcal{F}_{-1}$ , is defined  $\mathcal{F}_{-1} := \underline{\text{Hom}}_{HI}(G_m, \mathcal{F})$ . Here  $\underline{\text{Hom}}$  is the internal Hom on  $HI$ ;  $G_m$  the simplest example of a homotopy invariant element in NST.

$$\begin{array}{ccc} HI(F) & \xrightarrow{i^0} & HI^0(F) \\ \mathcal{F} & \longmapsto & R_{nr}^0(\mathcal{F}) \end{array}$$

is right adjoint to  $i^0$

c)  $\mathcal{F} \in HI(F)$ ,  $X$  a smooth, connected  $F$ -variety.

$$\mathcal{F}_{nr}(X) = \text{Ker} \left( \mathcal{F}(K) \xrightarrow{\partial_v} \prod_v \mathcal{F}_{-1}(F(v)) \right)$$

$K = F(X)$  function field

$v$  varies over all divisorial discrete valuations on  $K$ , trivial on  $F$ , and  $F(v)$  is residue field for  $v$ .

d)  $\mathcal{F}_{nr} := R_{nr}^0 \mathcal{F}$ , "unramified part of  $\mathcal{F}$ ."



⑦

Connecting back: Let  $i \geq 0$ ,  $j \in \mathbb{Z}$ ,  $n$  positive integers invertible in  $F$ .

Consider the Nisnevich sheaf  $\mathcal{F} = \mathcal{H}_{\text{ét}}^i(\mathcal{M}_n^{\text{ét}})$  associated to the presheaf

$$U \mapsto H_{\text{ét}}^i(U, \mathcal{M}_n^{\text{ét}})$$

defines an object of  $\text{HI}$ , and  $R_m^0 \mathcal{F}$  is the usual unramified cohomology defined above.



Note that we now have an 'enhanced' object which contains more information! Namely, if  $\mathcal{F} \in \text{HI}$ , then  $R_m(\mathcal{F})$  is a complex, and we set:

$$R_m^q(\mathcal{F}) := \mathcal{H}^q(R_m(\mathcal{F}))$$



$$R_m^q(\mathcal{F}) \in \text{HI}^0(F)!$$

We thus obtain lots of birational sheaves with transfers, associated with  $\mathcal{F} \in \text{HI}$ , and thus birational invariants. As explained above, unramified cohomology is  $R_m^0$ !

§: Computations: Simplest examples:  $F = \mathbb{G}_m$

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("sheaf  $\mathcal{K}_1$ "), and  $F = \mathcal{K}_2$ .

Caveats:

- Cannot explicitly compute beyond  $q=2$  for
- Can do better for varieties of  $\dim \leq 2$ , and this already yields interesting connections with other birational invariants.

◆◆ Further assumptions:

- (For simplicity):  $F = \bar{F}$ , algebraically closed.
  - Cohomology will be NISNEVICH Cohomology.
  - $\alpha$ : Projection of the étale site on smooth  $F$ -varieties onto the corresponding Nisnevich site.
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$X$  connected smooth projective  $F$ -variety. ②

- $\text{Pic}^0(X) =$  Group of cycle classes in  $\text{Pic}(X) \subset \text{CH}^1(X)$  which are numerically equivalent to 0.
- $A_1^{\text{alg}}(X) =$  Group of 1-cycles ~~classes~~ in  $\text{Pic}(X)$  on  $X$  modulo algebraic equivalence.
- $N_1(X) =$  Group of 1-cycles on  $X$  modulo numerical equivalence.
- $N_1'(X) = \frac{\text{Pic}(X)}{\text{Pic}^0(X)}$ , finitely generated.
- $D^1(X) = \text{Coker}(N_1'(X) \rightarrow \text{Hom}(N_1(X), \mathbb{Z}))$   
(finite group) induced by intersection pairing.
- $\text{NS}_1(X, \sigma)$ : Groups introduced by Ayoub - Barbieri-Viale.
- $\text{Griff}_1(X) := \text{Ker}(A_1^{\text{alg}}(X) \rightarrow N_1(X))$



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Theorem 1: Let  $X$  be a connected, smooth, projective  $F$ -variety. Then:

(i)  $R_{\text{na}}^0 \mathbb{G}_m(X) = F^*$

(ii)  $R_{\text{na}}^1 \mathbb{G}_m(X) \cong \text{Pic}^{\tau}(X)$

(iii) There is a short exact sequence

$$0 \rightarrow D'(X) \rightarrow R_{\text{na}}^2 \mathbb{G}_m(X) \rightarrow \text{Hom}(\text{Griff}_1(X), \mathbb{Z}) \rightarrow 0$$

(iv) For  $q \geq 3$ , we have short exact sequences

$$0 \rightarrow \text{Ext}_2^{\mathbb{Z}}(\text{NS}(X, q-3), \mathbb{Z}) \rightarrow R_{\text{na}}^q \mathbb{G}_m(X) \rightarrow \text{Hom}_2(\text{NS}(X, q-2), \mathbb{Z}) \rightarrow 0$$

For any smooth, projective  $X/F$ , put

$$\mathbb{G}_m^{\text{ét}} := R d_{\ast} d^{\ast} \mathbb{G}_m \quad (d \rightarrow \text{change of site})$$

There is a natural map  $\mathbb{G}_m \rightarrow \mathbb{G}_m^{\text{ét}}$ .

Can show that there is an exact sequence

$$0 \rightarrow R_{\text{na}}^2 \mathbb{G}_m(X) \rightarrow R_{\text{na}}^2 \mathbb{G}_m^{\text{ét}}(X) \rightarrow \text{Br}(X).$$

$\mathcal{F} = \mathcal{K}_2$ :

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Theorem: For any smooth projective variety  $X/\mathbb{F}$ , we have an exact sequence

$$0 \rightarrow \text{Pic}^{\mathbb{Z}}(X) \otimes F^* \rightarrow (R_m^1 \mathcal{K}_2)(X) \rightarrow H_{\text{ind}}^1(X, \mathcal{K}_2) \\ \rightarrow \text{Hom}(\text{Gr}_m \mathcal{O}_X(X), F^*) \rightarrow (R_m^2 \mathcal{K}_2)(X) \rightarrow \text{CH}^2(X)$$

Here:

•  $H_{\text{ind}}^1(X, \mathcal{K}_2) = \text{Coker}(\text{Pic}(X) \otimes F^* \rightarrow H^1(X, \mathcal{K}_2)).$

•  $\text{Pic}^{\mathbb{Z}}(X) \otimes F^* = \text{Im}(\text{Pic}^{\mathbb{Z}}(X) \otimes F^* \rightarrow H^1(X, \mathcal{K}_2)).$

Thank you for your attention!

Vive la Coopération Franco-Indienne