DIVERGENT TORUS ORBITS IN HOMOGENEOUS SPACES OF Q-RANK TWO

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ABSTRACT

Let **G** be a semisimple algebraic Q-group, let Γ be an arithmetic subgroup of **G**, and let **T** be an R-split torus in **G**. We prove that if there is a divergent $\mathbf{T}_{\mathbf{R}}$ -orbit in $\Gamma \setminus \mathbf{G}_{\mathbf{R}}$, and Q-rank $\mathbf{G} \leq 2$, then dim $\mathbf{T} \leq \mathbb{Q}$ -rank **G**. This provides a partial answer to a question of G. Tomanov and B. Weiss.

1. Introduction

Let **G** be a semisimple algebraic Q-group, let Γ be an arithmetic subgroup of **G**, and let **T** be an R-split torus in **G**. The **T**_R-orbit of a point Γx_0 in $X = \Gamma \backslash \mathbf{G}_{\mathbb{R}}$ is **divergent** if the natural orbit map $\mathbf{T}_{\mathbb{R}} \to X$: $t \mapsto \Gamma x_0 t$ is proper. G. Tomanov and B. Weiss [TW, p. 389] asked whether it is possible

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for there to be a divergent $\mathbf{T}_{\mathbb{R}}$ -orbit when dim $\mathbf{T} > \mathbb{Q}$ -rank G. B. Weiss [W1, Conjecture 4.11A] conjectured that the answer is negative.

1.1. CONJECTURE: Let

- G be a semisimple algebraic group that is defined over Q,
- Γ be a subgroup of $\mathbf{G}_{\mathbb{R}}$ that is commensurable with $\mathbf{G}_{\mathbb{Z}}$,
- T be a connected Lie subgroup of an \mathbb{R} -split torus in $G_{\mathbb{R}}$, and
- $x_0 \in \mathbf{G}_{\mathbb{R}}$.

If the *T*-orbit of Γx_0 is divergent in $\Gamma \backslash \mathbf{G}_{\mathbb{R}}$, then dim $T \leq \mathbb{Q}$ -rank **G**.

The conjecture easily reduces to the case where **G** is connected and Q-simple. Furthermore, the desired conclusion is obvious if Q-rank $\mathbf{G} = 0$ (because this implies that $\Gamma \setminus \mathbf{G}_{\mathbb{R}}$ is compact), and it is easy to prove if Q-rank $\mathbf{G} = 1$ (see §2). Our main result is that the conjecture is also true in the first interesting case:

1.2. THEOREM: Suppose \mathbf{G} , Γ , T, and x_0 are as specified in Conjecture 1.1, and assume Q-rank $\mathbf{G} \leq 2$. If the T-orbit of Γx_0 is divergent in $\Gamma \backslash \mathbf{G}_{\mathbf{R}}$, then dim $T \leq \mathbb{Q}$ -rank \mathbf{G} .

The proof is based on the fact that if f is any continuous map from the 2sphere S^2 to any simplicial complex Σ^k of dimension k < 2, then there exist two antipodal points x and y of S^2 , such that f(x) = f(y).

For higher Q-ranks, we prove only the upper bound dim $T < 2(\mathbb{Q}\operatorname{-rank} \mathbf{G})$ (see 6.1). The factor of 2 in this bound is due to the existence of maps $f: S^n \to \Sigma^k$, with $k = \lceil (n+1)/2 \rceil$, such that no two antipodal points of S^n have the same image in Σ^k (see 6.3).

The first partial result on the conjecture was proved by G. Tomanov and B. Weiss [TW, Theorem 1.4], who showed that if Q-rank $\mathbf{G} < \mathbb{R}$ -rank \mathbf{G} , then dim $T < \mathbb{R}$ -rank \mathbf{G} . After seeing a preliminary version of our work, B. Weiss [W2] has recently proved the conjecture in all cases.

GEOMETRIC REFORMULATION. We remark that, by using the well-known fact that flats in a symmetric space of noncompact type are orbits of \mathbb{R} -split tori in its isometry group [H, Proposition 6.1, p. 209], the conjecture and our theorem can also be stated in the following geometric terms.

Suppose \widetilde{X} is a symmetric space, with no Euclidean (local) factors. Recall that a **flat** in \widetilde{X} is a connected, totally geodesic, flat submanifold of \widetilde{X} . Up to isometry, $\widetilde{X} = G/K$, where K is a compact subgroup of a connected, semisimple Lie group G with finite center. Then \mathbb{R} -rank G has the following geometric interpretation:

1.3. FACT: R-rank G is the largest natural number r, such that \widetilde{X} contains a topologically closed, simply connected, r-dimensional flat.

Now let $X = \Gamma \setminus \widetilde{X}$ be a locally symmetric space modeled on X, and assume that X has finite volume. Then Q-rank Γ is a certain algebraically defined invariant of Γ [M, §9D]. It can be characterized by the following geometric property:

1.4. PROPOSITION: Q-rank Γ is the smallest natural number r, for which there exists collection of finitely many r-dimensional flats in X, such that all of X is within a bounded distance of the union of these flats.

It is clear from this that the Q-rank does not change if X is replaced by a finite cover, and that it satisfies Q-rank $\Gamma \leq \mathbb{R}$ -rank G. Furthermore, the algebraic definition easily implies that if Q-rank $\Gamma = r$, then some finite cover of X contains a topologically closed, simply connected flat of dimension r. If Conjecture 1.1 is true, then there are no such flats of larger dimension. In other words, Q-rank should have the following geometric interpretation, analogous to (1.3):

1.5. CONJECTURE: Q-rank Γ is the largest natural number r, such that some finite cover of X contains a topologically closed, simply connected, r-dimensional flat.

More precisely, Conjecture 1.1 is equivalent to the assertion that \mathbb{Q} -rank Γ is the largest natural number r, such that \widetilde{X} contains a topologically closed, simply connected, r-dimensional flat F, for which the composition $F \hookrightarrow \widetilde{X} \to X$ is a proper map.

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2. Example: A proof for Q-rank 1

To illustrate the ideas in our proof of Theorem 1.2, we sketch a simple proof that applies when \mathbb{Q} -rank $\mathbf{G} = 1$. (A similar proof appears in [W1, Proposition 4.12].)

Proof: Suppose G, Γ , T, and x_0 are as specified in Conjecture 1.1. For convenience, let π : $\mathbf{G}_{\mathbb{R}} \to \Gamma \backslash \mathbf{G}_{\mathbb{R}}$ be the natural covering map. Assume that \mathbb{Q} -rank $\mathbf{G} = 1$, that dim T = 2, and that the *T*-orbit of $\pi(x_0)$ is divergent in $\Gamma \backslash G$. This will lead to a contradiction.

Isr. J. Math.

Let $E_1 = \Gamma \backslash \mathbf{G}_{\mathbb{R}}$. Because Q-rank $\mathbf{G} = 1$, reduction theory (the theory of Siegel sets) implies that there exist

- a compact subset E_0 of $\Gamma \backslash \mathbf{G}_{\mathbb{R}}$, and
- a Q-representation $\rho: \mathbf{G} \to \mathbf{GL}_m$ (for some m),

such that, for each connected component \mathcal{E} of $G_{\mathbb{R}} \smallsetminus \pi^{-1}(E_0)$, there is a nonzero vector $v \in \mathbb{Q}^m$, such that

(2.1) if
$$\lim_{n \to \infty} \Gamma g_n = \infty$$
 in $\Gamma \backslash \mathbf{G}_{\mathbb{R}}$, and $\{g_n\} \subset \mathcal{E}$, then $\lim_{n \to \infty} \rho(g_n)v = 0$

(In geometric terms, this is the fact that, because $E_1 \\ \leq E_0$ consists of disjoint "cusps," $\mathbf{G}_{\mathbb{R}} \\ \leq \pi^{-1}(E_0)$ consists of disjoint "horoballs.")

Given $\epsilon > 0$, let T_R be a large circle (1-sphere) in T, centered at the identity element. Because the T-orbit of $\pi(x_0)$ is divergent, we may assume $\pi(x_0T_R)$ is disjoint from E_0 . Then, because $T_R \approx S^1$ is connected, the set x_0T_R must be contained in a single component of $G_{\mathbb{R}} \smallsetminus \pi^{-1}(E_0)$. Thus, there is a vector $v \in \mathbb{Q}^n$, such that $\|\rho(t)v\| < \epsilon \|v\|$ for all $t \in T_R$.

Fix $t \in T_R$. Then t^{-1} also belongs to T_R , so $\|\rho(t)v\|$ and $\|\rho(t^{-1})v\|$ are both much smaller than $\|v\|$. This is impossible (see 3.2).

The above proof does not apply directly when Q-rank $\mathbf{G} = 2$, because, in this case, there are arbitrarily large compact subsets C of $\Gamma \backslash \mathbf{G}_{\mathbb{R}}$, such that $\mathbf{G}_{\mathbb{R}} \backslash \pi^{-1}(C)$ is connected. Instead of only E_0 and E_1 , we consider a more refined stratification $E_0 \subset E_1 \subset E_2$ of $\Gamma \backslash G$. (It is provided by the structure of Siegel sets in Q-rank two.) The set E_0 is compact, and, for $i \geq 1$, each component \mathcal{E} of $\pi^{-1}(E_i \backslash E_{i-1})$ has a corresponding representation ρ and vector v, such that (2.1) holds. Thus, it suffices to find a component of either $\pi^{-1}(E_1 \backslash E_0)$ or $\pi^{-1}(E_2 \backslash E_1)$ that contains two antipodal points of T_R . Actually, we replace E_1 with a slightly larger set that is open, so that we may apply the following property of S^2 :

2.2. PROPOSITION (see 3.1): Suppose $n \ge 2$, and that $\{V_1, V_2\}$ is an open cover of the *n*-sphere S^n that consists of only 2 sets. Then there is a connected component C of some V_i , such that C contains two antipodal points of S^n .

2.3. Remark: In §5, we do not use the notation $E_0 \subset E_1 \subset E_2$. The role of E_0 is played by $\pi(QS_{\Delta}^+)$, the role of an open set containing E_1 is played by $\pi(QS_{\alpha} \cup QS_{\beta})$, and the role of $E_2 \smallsetminus E_1$ is played by $\pi(QS_*)$.

3. Preliminaries

The classical Borsuk-Ulam Theorem implies that if $f: S^n \to \mathbb{R}^k$ is a continuous map, and $n \ge k$, then there exist two antipodal points x and y of S^n , such that f(x) = f(y). We use this to prove the following stronger version of Proposition 2.2:

3.1. PROPOSITION: Suppose \mathcal{V} is an open cover of S^n , with $n \geq 2$, such that no point of S^n is contained in more than two of the sets in \mathcal{V} . Then some $V \in \mathcal{V}$ contains two antipodal points of S^n .

Proof: Because S^n is compact, we may assume the open cover \mathcal{V} is finite. Let $\{\phi_V\}_{V\in\mathcal{V}}$ be a partition of unity subordinate to \mathcal{V} . This naturally defines a continuous function Φ from S^n to the simplex

$$\Delta_{\mathcal{V}} = \left\{ (x_V)_{V \in \mathcal{V}} \middle| \sum_{V \in \mathcal{V}} x_V = 1 \right\} \subset [0, 1]^{\mathcal{V}}.$$

Namely, $\Phi(x) = (\phi_V(x))_{V \in \mathcal{V}}$. Our hypothesis on \mathcal{V} implies that no more than two components of $\Phi(x)$ are nonzero, so the image of Φ is contained in the 1-skeleton $\Delta_{\mathcal{V}}^{(1)}$ of $\Delta_{\mathcal{V}}$. Because S^n is simply connected, Φ lifts to a map $\tilde{\Phi}$ from S^n to the universal cover $\widetilde{\Delta_{\mathcal{V}}^{(1)}}$ of $\Delta_{\mathcal{V}}^{(1)}$. The universal cover is a tree, which can be embedded in \mathbb{R}^2 , so the Borsuk–Ulam Theorem implies that there exist two antipodal points x and y of S^n , such that $\tilde{\Phi}(x) = \tilde{\Phi}(y)$. Thus, there exists $V \in \mathcal{V}$, such that $\phi_V(x) = \phi_V(y) \neq 0$. So $x, y \in V$.

For completeness, we also provide a proof of the following simple observation.

3.2. LEMMA: Let T be any abelian group of diagonalizable $n \times n$ real matrices. There is a constant $\epsilon > 0$, such that if

- v is any vector in \mathbb{R}^n , and
- t is any element of T,

then either $||tv|| \ge \epsilon ||v||$ or $||t^{-1}v|| \ge \epsilon ||v||$.

Proof: The elements of T can be simultaneously diagonalized. Thus, after a change of basis (which affects norms by only a bounded factor), we may assume that each standard basis vector e_i is an eigenvector for every element of T.

Write $v = (v_1, \ldots, v_n)$, and let t_i be the eigenvalue of t corresponding to the eigenvector e_i . Because any two norms differ only by a bounded factor, we may assume || || is the sup norm on \mathbb{R}^n ; therefore, we have $||v|| = |v_j|$ for some j. We may assume $|t_j| \ge 1$, by replacing t with t^{-1} if necessary. Then

$$||tv|| = ||(t_1v_1, \dots, t_nv_n)|| \ge |t_jv_j| = |t_j| \cdot ||v|| \ge ||v||,$$

as desired.

4. Properties of Siegel sets

We present some basic results from reduction theory that follow easily from the fundamental work of A. Borel and Harish-Chandra [BH] (see also [B, §13–§15]). Most of what we need is essentially contained in [L, §2], but we are working in G, rather than in $\tilde{X} = G/K$. We begin by setting up the standard notation.

4.1. Notation (cf. $[L, \S1]$): Let

- G be a connected, almost simple Q-group, with Q-rank G = 2,
- G be the identity component of $\mathbf{G}_{\mathbb{R}}$,
- Γ be a finite-index subgroup of $G_{\mathbb{Z}} \cap G$,
- P be a minimal parabolic Q-subgroup of G,
- A be a maximal Q-split torus of G,
- A be the identity component of $A_{\mathbb{R}}$, and
- K be a maximal compact subgroup of G.

We may assume $A \subset P$. Then we have a Langlands decomposition P = UMA, where U is unipotent and M is reductive. We remark that U and A are connected, but M is not connected (because P is not connected).

4.2. Notation (cf. [L, §1]): The choice of P determines an ordering of the Q-roots of G. Because Q-rank G = 2, there are precisely two simple Q-roots α and β (so the base Δ is $\{\alpha, \beta\}$). Then α and β are homomorphisms from A to \mathbb{R}^+ .

Any element g of G can be written in the form g = pak, with $p \in UM$, $a \in A$, and $k \in K$. The element a is uniquely determined by g, so we may use this decomposition to extend α and β to continuous functions $\tilde{\alpha}$ and $\tilde{\beta}$ defined on all of G:

 $\tilde{\alpha}(g) = \alpha(a)$ if $g \in UMaK$ and $a \in A$, $\tilde{\beta}(g) = \beta(a)$ if $g \in UMaK$ and $a \in A$.

4.3. Notation (cf. [L, §2]):

• Fix a subset Q of $\mathbf{G}_{\mathbf{O}} \cap G$, such that

Q is a set of representatives of $\Gamma \setminus (\mathbf{G}_{\mathbb{Q}} \cap G) / (\mathbf{P}_{\mathbb{Q}} \cap P)$.

Note that Q is finite.

• For $\tau > 0$, let $A_{\tau} = \{a \in A \mid \alpha(a) > \tau \text{ and } \beta(a) > \tau \}$.

- For $\tau > 0$ and a precompact, open subset ω of UM, let $S_{\tau,\omega} = \omega A_{\tau}K$. This is a **Siegel set** in G.
- We fix $\tau > 0$ and a precompact, open subset ω of UM, such that, letting $\mathcal{S} = \mathcal{S}_{\tau,\omega}$, we have

QS is a fundamental set for Γ in G.

That is,

- $\Gamma QS = G$, and
- $\{\gamma \in \Gamma \mid \gamma QS \cap pQS \neq \emptyset\}$ is finite, for all $p \in G_{\mathbb{Q}} \cap G$. Let $\mathcal{D} = \left\{ p^{-1}\gamma q \mid \begin{array}{c} p, q \in Q, \gamma \in \Gamma, \\ pS \cap \gamma qS \text{ is not precompact} \end{array} \right\} \subset \mathbf{G}_{\mathbb{Q}} \cap G$. Note that \mathcal{D} is finite.
- Fix r > 0, such that, for $q \in \mathcal{D}$, we have
 - if $\tilde{\alpha}$ is bounded on $S \cap qS$, then $\tilde{\alpha}(S \cap qS) < r$, and
 - if $\tilde{\beta}$ is bounded on $S \cap qS$, then $\tilde{\beta}(S \cap qS) < r$.
- Fix any $r^* > r$.
- Define
 - $\mathcal{S}_* = \{x \in \mathcal{S} \mid \tilde{\alpha}(x) > r \text{ and } \tilde{\beta}(x) > r\},\$
 - $\mathcal{S}_{\alpha} = \{ x \in \mathcal{S} \mid \tilde{\alpha}(x) < r^* \},\$
 - $S_{\beta} = \{x \in S \mid \tilde{\beta}(x) < r^*\}, \text{ and }$
 - $S_{\Lambda} = S_{\alpha} \cap S_{\beta}$.

Note that $\{S_*, S_\alpha, S_\beta\}$ is an open cover of S (whereas [L, p. 398] defines $\{\mathcal{S}_*, \mathcal{S}_{\alpha}, \mathcal{S}_{\beta}\}$ to be a partition of \mathcal{S} , so not all sets are open). We have

$$G = \Gamma Q \mathcal{S}_* \cup \Gamma Q \mathcal{S}_\alpha \cup \Gamma Q \mathcal{S}_\beta.$$

• For $p, q \in Q$, let

 $\mathcal{D}_{0}^{p,q} = \{ \gamma \in \Gamma \mid pS \cap \gamma qS \text{ is precompact and nonempty} \},\$ $\mathcal{D}^{p,q}_{\alpha} = \{ \gamma \in \Gamma \mid p\mathcal{S}_{\alpha} \cap \gamma q\mathcal{S}_{\alpha} \text{ is precompact and nonempty} \},\$ $\mathcal{D}^{p,q}_{\beta} = \{ \gamma \in \Gamma \mid p\mathcal{S}_{\beta} \cap \gamma q\mathcal{S}_{\beta} \text{ is precompact and nonempty} \},\$ $\mathcal{D}^{p,q}_{\alpha,\beta} = \{ \gamma \in \Gamma \mid p\mathcal{S}_{\alpha} \cap \gamma q\mathcal{S}_{\beta} \text{ is precompact and nonempty} \},\$

and, using an overline to denote the closure of a set,

$$S_{\Delta}^{+} = \bigcup_{\gamma \in \mathcal{D}_{0}^{p,q}} (\overline{pS \cap \gamma qS}) \cup \bigcup_{\gamma \in \mathcal{D}_{\alpha}^{p,q}} (\overline{pS_{\alpha} \cap \gamma qS_{\alpha}}) \\ \cup \bigcup_{\gamma \in \mathcal{D}_{\beta}^{p,q}} (\overline{pS_{\beta} \cap \gamma qS_{\beta}}) \cup \bigcup_{\gamma \in \mathcal{D}_{\alpha,\beta}^{p,q}} (\overline{pS_{\alpha} \cap \gamma qS_{\beta}}).$$

Note that $\mathcal{D}_0^{p,q}$, $\mathcal{D}_{\alpha}^{p,q}$, $\mathcal{D}_{\beta}^{p,q}$, and $\mathcal{D}_0^{p,q}$ are finite (because QS is a fundamental set), so \mathcal{S}_{Δ}^+ is compact. And $\Gamma \mathcal{S}_{\Delta}^+$ is closed.

• For $\Theta \subset \Delta$, we use P_{Θ} to denote the corresponding standard parabolic Q-subgroup of G corresponding to Θ . In particular, $P_{\emptyset} = P$ and $P_{\Delta} = G$. There is a corresponding Langlands decomposition $P_{\Theta} = U_{\Theta} M_{\Theta} A_{\Theta}$.

We now state two propositions from [L], that we will use repeatedly in the proofs of the next few lemmas. These propositions hold more generally for semisimple Q-algebraic groups of arbitrary Q-rank.

4.4. PROPOSITION ([L, Proposition 2.3]): Let $p, q \in Q$ and $\gamma \in \Gamma$, such that the intersection $pS \cap \gamma qS$ is not precompact. Then $p^{-1}\gamma q \in P_{\Theta} \cap G_{\mathbb{Q}}$ where Θ is the collection of all the roots $\lambda \in \Delta$ for which $\tilde{\lambda}(S \cap p^{-1}\gamma qS)$ is bounded.

- 4.5. PROPOSITION ([L, Lemma 2.4(i)]): For all $\gamma, \tilde{\gamma} \in \Gamma$ and $p, q \in Q$, we have:
 - (1) If $p^{-1}\gamma q \in P$, then p = q and $p^{-1}\gamma q \in (UM)_{\mathbb{Q}}$.
 - (2) Let $\Theta \subset \Delta$. If both $p^{-1}\gamma q$ and $p^{-1}\tilde{\gamma}q$ are in P_{Θ} , then

$$(p^{-1}\gamma q)^{-1}p^{-1}\tilde{\gamma}q = q^{-1}\gamma^{-1}\tilde{\gamma}q \in (U_{\Theta}M_{\Theta})_{\mathbb{Q}}$$

- 4.6. LEMMA: For all $\gamma \in \Gamma$ and $p, q \in Q$, we have:
 - (1) $pS_{\alpha} \cap \gamma qS_{\beta}$ is precompact, and
 - (2) $p\mathcal{S}_{\alpha} \cap \gamma q\mathcal{S}_{\beta} \subset \mathcal{S}_{\Delta}^+$.

Proof: It suffices to prove (1), for then (2) is immediate from the definition of \mathcal{S}^+_{Δ} (and $\mathcal{D}^{p,q}_{\alpha,\beta}$). Thus, let us suppose that $p\mathcal{S}_{\alpha} \cap \gamma q\mathcal{S}_{\beta}$ is not precompact. This will lead to a contradiction.

Because $\tilde{\alpha}$ is bounded on S_{α} , but $S_{\alpha} \cap p^{-1}\gamma qS_{\beta}$ is not precompact, we know that $\tilde{\beta}$ is unbounded on $S_{\alpha} \cap p^{-1}\gamma qS_{\beta}$ (and, hence, on $S \cap p^{-1}\gamma qS$). Therefore, Proposition 4.4 implies that

$$p^{-1}\gamma q \in P_{\alpha}$$

Similarly (replacing γ with γ^{-1} and interchanging p with q and α with β), because $\gamma^{-1}pS_{\alpha} \cap qS_{\beta} = \gamma^{-1}(pS_{\alpha} \cap \gamma qS_{\beta})$ is not precompact, we see that

$$q^{-1}\gamma^{-1}p \in P_{\beta}.$$

Noting that $q^{-1}\gamma^{-1}p = (p^{-1}\gamma q)^{-1}$, we conclude that $p^{-1}\gamma q \in P_{\alpha} \cap P_{\beta} = P_{\emptyset}$, so Proposition 4.5 (1) tells us that p = q and $p^{-1}\gamma q \in UM$. Therefore

$$\tilde{\alpha}(\mathcal{S}_{\alpha} \cap p^{-1}\gamma q \mathcal{S}_{\beta}) \subset \tilde{\alpha}(\mathcal{S}_{\alpha})$$

 and

$$\tilde{\beta}(\mathcal{S}_{\alpha} \cap p^{-1}\gamma q \mathcal{S}_{\beta}) \subset \tilde{\beta}(p^{-1}\gamma q \mathcal{S}_{\beta}) \subset \tilde{\beta}(UM\mathcal{S}_{\beta}) = \tilde{\beta}(\mathcal{S}_{\beta})$$

are precompact. So $S_{\alpha} \cap p^{-1} \gamma q S_{\beta}$ is precompact, which contradicts our assumption that $pS_{\alpha} \cap \gamma q S_{\beta}$ is not precompact.

4.7. LEMMA: If $\gamma \in \Gamma$ and $p, q \in Q$, such that $pS_* \cap \gamma qS_* \not\subset S_{\Delta}^+$, then p = q and $p^{-1}\gamma q \in (UM)_{\mathbb{Q}}$.

Proof: It suffices to show that both $\tilde{\alpha}$ and $\tilde{\beta}$ are unbounded on $S \cap p^{-1}\gamma qS$, for then the desired conclusion is obtained from Proposition 4.4 and Proposition 4.5 (1). Thus, let us suppose (without loss of generality) that

 $\tilde{\alpha}$ is bounded on $S \cap p^{-1} \gamma q S$.

This will lead to a contradiction.

CASE 1: Assume $\tilde{\beta}$ is also bounded on $S \cap p^{-1}\gamma qS$. Then $pS \cap \gamma qS = p(S \cap p^{-1}\gamma qS)$ is precompact, so, by definition, $pS \cap \gamma qS \subset S_{\Delta}^+$. Therefore

$$p\mathcal{S}_* \cap \gamma q\mathcal{S}_* \subset p\mathcal{S} \cap \gamma q\mathcal{S} \subset \mathcal{S}_{\Delta}^+.$$

This contradicts the hypothesis of the lemma.

CASE 2: Assume $\tilde{\beta}$ is not bounded on $S \cap p^{-1}\gamma qS$. As $\tilde{\alpha}$ is bounded on $S \cap p^{-1}\gamma qS$, from the definition of S_{α} , we see that $pS \cap \gamma qS \subset pS_{\alpha}$. Therefore

$$p\mathcal{S}_* \cap \gamma q\mathcal{S}_* \subset p\mathcal{S}_* \cap p\mathcal{S}_{\alpha} = \emptyset \subset \mathcal{S}_{\Delta}^+.$$

This contradicts the hypothesis of the lemma.

4.8. COROLLARY: If x and y are two points in the same connected component of $\Gamma QS_* \setminus \Gamma S_{\Delta}^+$, then there exist $\gamma_0, \gamma \in \Gamma$ and $q \in Q$, such that $x \in \gamma_0 qS_*$, $y \in \gamma_0 \gamma qS_*$, and $q^{-1}\gamma q \in (UM)_{\mathbb{Q}}$.

4.9. LEMMA:

- (1) If $\gamma \in \Gamma$ and $p, q \in Q$, such that $pS_{\alpha} \cap \gamma qS_{\alpha} \notin S_{\Delta}^+$, then $p^{-1}\gamma q \in (P_{\alpha})_{\mathbb{Q}}$.
- (2) For each $p, q \in Q$, there exists $h_{p,q} \in (P_{\alpha})_{\mathbb{Q}}$, such that $p^{-1}\Gamma q \cap (P_{\alpha})_{\mathbb{Q}} \subset h_{p,q}(U_{\alpha}M_{\alpha})_{\mathbb{Q}}$.

Proof: (1) Because $pS_{\alpha} \cap \gamma qS_{\alpha} \not\subset S_{\Delta}^+$, we know from the definition of S_{Δ}^+ (and $\mathcal{D}_{\alpha}^{p,q}$) that $pS_{\alpha} \cap \gamma qS_{\alpha}$ is not precompact. Since $\tilde{\alpha}$ is bounded on S_{α} , we conclude that $\tilde{\beta}$ is **not** bounded on $S_{\alpha} \cap p^{-1} \gamma q S_{\alpha}$ (and, hence, on $S \cap p^{-1} \gamma q S$). Then Proposition 4.4 asserts that $p^{-1} \gamma q \in (P_{\Theta})_{\mathbb{Q}}$, for $\Theta = \{\alpha\}$ or \emptyset . Because $P_{\emptyset} \subset P_{\alpha}$, we conclude that $p^{-1} \gamma q \in (P_{\alpha})_{\mathbb{Q}}$.

(2) From Proposition 4.5 (2), we see that the coset $(p^{-1}\gamma q)(U_{\alpha}M_{\alpha})_{\mathbb{Q}}$ does not depend on the choice of γ , if we require γ to be an element of Γ , such that $p^{-1}\gamma q \in (P_{\alpha})_{\mathbb{Q}}$.

4.10. COROLLARY: If x and y are two points in the same connected component of $\Gamma QS_{\alpha} \setminus \Gamma S_{\Delta}^{+}$, then there exist $\gamma_{0}, \gamma \in \Gamma$ and $p, q \in Q$, such that $x \in \gamma_{0}pS_{\alpha}$, $y \in \gamma_{0}\gamma qS_{\alpha}$, and $p^{-1}\gamma q \in h_{p,q}(U_{\alpha}M_{\alpha})_{\mathbb{Q}}$.

5. Proof of the Main Theorem

Let G, Γ, T and x_0 be as described in the hypotheses of Theorem 1.2, and assume dim $T \geq 3$. (This will lead to a contradiction.) Let $\{R_n\}$ be an increasing sequence of positive real numbers, such that $\lim_{n\to\infty} R_n = \infty$. For every n, let T_{R_n} be the sphere in T with radius R_n (centered at the identity element). Because S_{Δ}^+ is compact and the T-orbit of Γx_0 is divergent in $\Gamma \backslash G$, we may assume that

(5.1)
$$(x_0 T_{R_n}) \cap (\Gamma S_{\Delta}^+) = \emptyset$$
 for all n .

Let

$$W_n^* = \{t \in T_{R_n} \mid x_0 t \in \Gamma Q \mathcal{S}_*\}$$

and

$$W_n = \{ t \in T_{R_n} \mid x_0 t \in \Gamma Q \mathcal{S}_\alpha \cup \Gamma Q \mathcal{S}_\beta \}.$$

From Proposition 2.2, we know that for all n there exists $t_n \in T_{R_n}$, and a connected component C_n of either W_n^* or W_n , such that t_n and t_n^{-1} both belong to C_n .

CASE 1: Assume that there are infinitely many n for which C_n is a component of W_n^* . By passing to a subsequence, if necessary, we may assume that C_n is a connected component of W_n^* for all n. From Corollary 4.8, we see that for each n there exist $\gamma_{0n}, \gamma_n \in \Gamma$ and $q_n \in Q$, such that $x_0 t_n \in \gamma_{0n} q_n S_*$, $x_0 t_n^{-1} \in \gamma_{0n} \gamma_n q_n S_*$, and $q_n^{-1} \gamma_n q_n \in (UM)_{\mathbb{Q}}$. Because $\lim_{n\to\infty} \Gamma x_0 t_n = \infty$ and $\lim_{n\to\infty} \Gamma x_0 t_n^{-1} = \infty$ in $\Gamma \setminus G$, by passing to a subsequence if necessary, we must have

(1) either

$$\lim_{n \to \infty} \tilde{\alpha}(q_n^{-1}\gamma_{0n}^{-1}x_0t_n) = \infty$$

or

$$\lim_{n \to \infty} \tilde{\beta}(q_n^{-1}\gamma_{0n}^{-1}x_0t_n) = \infty,$$

and

(2) either

$$\lim_{n \to \infty} \tilde{\alpha}(q_n^{-1}\gamma_n^{-1}\gamma_{0n}^{-1}x_0t_n^{-1}) = \infty$$

or

$$\lim_{n\to\infty}\tilde{\beta}(q_n^{-1}\gamma_n^{-1}\gamma_{0n}^{-1}x_0t_n^{-1})=\infty.$$

Since $q_n^{-1}\gamma_n q_n \in (UM)_{\mathbb{Q}}$ is sent to the identity element by both $\tilde{\alpha}$ and $\tilde{\beta}$ for all n, we have

(2') either

$$\lim_{n \to \infty} \tilde{\alpha}(q_n^{-1}\gamma_{0n}^{-1}x_0t_n^{-1}) = \infty$$

or

$$\lim_{n \to \infty} \tilde{\beta}(q_n^{-1}\gamma_{0n}^{-1}x_0t_n^{-1}) = \infty.$$

Let

- $V = \bigwedge^d \mathfrak{g}$, where $d = \dim U$,
- $\rho: G \to \operatorname{GL}(V)$ be the d^{th} exterior power of the adjoint representation of G on V,
- $v_{\mathfrak{u}}$ be a nonzero element of $V_{\mathbb{Z}}$ in the one-dimensional subspace $\bigwedge^{d} \mathfrak{u}$, and

• $v_{\mathbf{u},n} = \rho(x_0^{-1}\gamma_{0n}q_n)v_{\mathbf{u}}$ for all n.

It is important to note that $||v_{u,n}||$ is bounded away from 0, independent of the choice of q_n , γ_{0n} and n. (The key point is that, for each q_n , the vector $\rho(q_n)v_u$ is a Q-element of V, so its $\mathbf{G}_{\mathbb{Z}}$ -orbit is bounded away from 0. There are only finitely many choices of q_n , so q_n is not really an issue.)

On the other hand, for any $g \in P_{\emptyset}$, we have

$$\rho(g^{-1})v_{\mathfrak{u}} = \tilde{\alpha}(g)^{-\ell_1}\tilde{\beta}(g)^{-\ell_2}v_{\mathfrak{u}},$$

for some positive integers ℓ_1 and ℓ_2 (because the sum of the positive Q-roots of **G** is $\ell_1 \alpha + \ell_2 \beta$). Therefore, from (1) and (2'), we see that

$$\lim_{n \to \infty} \rho(t_n^{-1}) v_{\mathfrak{u},n} = \lim_{n \to \infty} \rho((q_n^{-1} \gamma_{0n}^{-1} x_0 t_n)^{-1}) v_{\mathfrak{u}} = 0$$

and

$$\lim_{n \to \infty} \rho(t_n) v_{\mathfrak{u},n} = \lim_{n \to \infty} \rho((q_n^{-1} \gamma_{0n}^{-1} x_0 t_n^{-1})^{-1}) v_{\mathfrak{u}} = 0.$$

This contradicts Lemma 3.2.

CASE 2: Assume that there are infinitely many n for which C_n is a component of W_n . By passing to a subsequence, if necessary, we may assume that C_n is a connected component of W_n for all n. From Lemma 4.6(2), we see that x_0C_n is contained in either ΓQS_{α} or ΓQS_{β} for all n. Assume, without loss of generality, that $x_0C_n \subset \Gamma QS_{\alpha}$, for all n. From Corollary 4.10, we see that for all n there exist $\gamma_{0n}, \gamma_n \in \Gamma$ and $p_n, q_n \in Q$, such that

$$x_0t_n \in \gamma_{0n}p_n\mathcal{S}_{\alpha}, \ x_0t_n^{-1} \in \gamma_{0n}\gamma_nq_n\mathcal{S}_{\alpha}, \text{ and } p_n^{-1}\gamma_nq_n \in h_{p_n,q_n}(U_{\alpha}M_{\alpha})_{\mathbb{Q}}.$$

Let \mathfrak{u}_{α} be the Lie algebra of U_{α} , let $V_{\alpha} = \bigwedge^{d_{\alpha}} \mathfrak{g}$, where $d_{\alpha} = \dim \mathfrak{u}_{\alpha}$, and let $\rho_{\alpha} \colon G \to \operatorname{GL}(V_{\alpha})$ be the $d_{\alpha}^{\operatorname{th}}$ exterior power of the adjoint representation of G.

We can obtain a contradiction by arguing as in Case 1, with the representation ρ_{α} in the place of ρ . To see this, note that:

• For $a \in \ker \alpha$, we have $\rho_{\alpha}(a^{-1})v_{\mathfrak{u}_{\alpha}} = \beta(a)^{-\ell}v_{\mathfrak{u}_{\alpha}}$, for some positive integer ℓ . Since $\rho_{\alpha}(UM) \subset \rho_{\alpha}(U_{\alpha}M_{\alpha})$ fixes $v_{\mathfrak{u}_{\alpha}}$, and $\rho_{\alpha}(K)$ is compact, there exist constants A, B > 0 such that

$$A\tilde{\beta}(g)^{-\ell} \|v_{\mathfrak{u}_{\alpha}}\| \le \|\rho_{\alpha}(g^{-1})v_{\mathfrak{u}_{\alpha}}\| \le B\tilde{\beta}(g)^{-\ell} \|v_{\mathfrak{u}_{\alpha}}\| \quad \text{for } g \in \mathcal{S}_{\alpha}.$$

- Because $\lim_{n\to\infty} \Gamma x_0 t_n = \infty$ and $\lim_{n\to\infty} \Gamma x_0 t_n^{-1} = \infty$ in $\Gamma \backslash G$, and $\tilde{\alpha}$ is bounded on S_{α} , we must have
 - (1) $\lim_{n\to\infty} \tilde{\beta}(p_n^{-1}\gamma_{0n}^{-1}x_0t_n) = \infty$, and
 - (2) $\lim_{n\to\infty}\tilde{\beta}(q_n^{-1}\gamma_n^{-1}\gamma_{0n}^{-1}x_0t_n^{-1})=\infty.$

Therefore, letting $v_{\mathfrak{u}_{\alpha},n} = \rho_{\alpha}(x_0^{-1}\gamma_{0n}p_n)v_{\mathfrak{u}_{\alpha}}$ for all n, we have

(1*)
$$\lim_{n\to\infty}\rho_{\alpha}(t_n^{-1})v_{\mathfrak{u}_{\alpha},n}=0.$$

Because $h_{p_n,q_n} \in P_{\alpha}$ normalizes U_{α} , we have

$$\rho_{\alpha}(h_{p_n,q_n})v_{\mathfrak{u}_{\alpha}}=c_{p_n,q_n}v_{\mathfrak{u}_{\alpha}},$$

for some scalar c_{p_n,q_n} . Since $(p_n^{-1}\gamma_n q_n)h_{p_n,q_n}^{-1} \in (U_{\alpha}M_{\alpha})_{\mathbb{Q}}$ fixes $v_{\mathfrak{u}_{\alpha}}$, and $\{c_{p_n,q_n}\}$, being finite, is bounded away from 0, we see that

$$\begin{aligned} \rho_{\alpha}(t_{n})v_{\mathfrak{u}_{\alpha},n} &= \rho_{\alpha}(t_{n}x_{0}^{-1}\gamma_{0n}p_{n})v_{\mathfrak{u}_{\alpha}} \\ &= \rho_{\alpha}(t_{n}x_{0}^{-1}\gamma_{0n}p_{n}(p_{n}^{-1}\gamma_{n}q_{n})h_{p_{n},q_{n}}^{-1})v_{\mathfrak{u}_{\alpha}} \\ &= c_{p_{n},q_{n}}^{-1}\rho_{\alpha}(t_{n}x_{0}^{-1}\gamma_{0n}\gamma_{n}q_{n})v_{\mathfrak{u}_{\alpha}}. \end{aligned}$$

Therefore we have

$$(2^*)\lim_{n\to\infty}\rho_{\alpha}(t_n)v_{\mathfrak{u}_{\alpha},n}=\lim_{n\to\infty}c_{p_n,q_n}^{-1}\rho_{\alpha}(t_nx_0^{-1}\gamma_{0n}\gamma_nq_n)v_{\mathfrak{u}_{\alpha}}=0.$$

This contradicts Lemma 3.2 and the proof of Theorem 1.2 is completed.

6. Results for higher Q-rank

The proof of Theorem 1.2 generalizes to establish the following result:

6.1. THEOREM: Suppose \mathbf{G} , Γ , T, and x_0 are as specified in Conjecture 1.1, and assume \mathbb{Q} -rank $\mathbf{G} \geq 1$. If the T-orbit of Γx_0 is divergent in $\Gamma \setminus \mathbf{G}_{\mathbb{R}}$, then $\dim T \leq 2(\mathbb{Q}\text{-rank }\mathbf{G}) - 1$.

Sketch of proof: As in [L, §1 and§2], let Δ be the set of simple Q-roots, construct a fundamental set QS, define the finite set \mathcal{D} , and choose r > 0, such that, for $q \in \mathcal{D}$ and $\alpha \in \Delta$, we have

if $\tilde{\alpha}$ is bounded on $S \cap qS$, then $\tilde{\alpha}(S \cap qS) < r$.

Fix an increasing sequence $r = r_0 < r_0^* < r_1 < r_1^* < \cdots < r_d < r_d^*$ of real numbers. For each subset Θ of Δ , let

$$\mathcal{S}_{\Theta} = \{ x \in \mathcal{S} \mid \tilde{\alpha}(x) < r^*_{\#\Theta}, \ \forall \alpha \in \Theta \}$$

and

$$\mathcal{S}_{\Theta}^{-} = \{ x \in \mathcal{S} \mid \tilde{\alpha}(x) \le r_{\#\Theta}, \ \forall \alpha \in \Theta \},\$$

and choose $h_{p,q}^{\Theta}$ such that $p^{-1}\Gamma q \cap (P_{\Theta})_{\mathbb{Q}} \subset h_{p,q}^{\Theta}(U_{\Theta}M_{\Theta})_{\mathbb{Q}}$ for $p,q \in Q$. Set $d = \mathbb{Q}$ -rank **G**, and, for $i = 0, \ldots, d$, let

$$E_i = \bigcup_{\substack{\Theta \subset \Delta \\ \#\Theta = i}} \mathcal{S}_{\Theta} \quad \text{and} \quad E_i^- = \bigcup_{\substack{\Theta \subset \Delta \\ \#\Theta = i}} \mathcal{S}_{\Theta}^-.$$

Then $\{Q(E_0 \smallsetminus E_1^-), Q(E_1 \smallsetminus E_2^-), \ldots, Q(E_{d-1} \smallsetminus E_d^-), QE_d\}$ is an open cover of $\Gamma \backslash G$, and E_d is precompact.

For $p, q \in Q$ and $\Theta_1, \Theta_2 \subset \Delta$, let

 $\mathcal{D}^{p,q}_{\Theta_1,\Theta_2} = \{ \gamma \in \Gamma \mid pS_{\Theta_1} \cap \gamma qS_{\Theta_2} \text{ is precompact and nonempty} \}.$

Define

$$\mathcal{S}_{\Delta}^{+} = \bigcup_{\substack{p,q \in Q \\ \Theta_1, \Theta_2 \subset \Delta \\ \gamma \in \mathcal{D}_{\Theta_1, \Theta_2}}} (\overline{p\mathcal{S}_{\Theta_1} \cap \gamma q\mathcal{S}_{\Theta_2}}).$$

Suppose dim $T \ge 2d$. Then we may choose a (2d-1)-sphere T_R in T, so large that $\Gamma x_0 T_R$ is disjoint from $E_d \cup S_{\Delta}^+$. Proposition 6.2 below implies that there exists $t \in T_R$ and a component C of some $E_{i-1} \smallsetminus E_i^-$ (with $1 \le i \le d$), such that $x_0 t$ and $x_0 t^{-1}$ belong to C. Since $x_0 T_R$ is disjoint from ΓS_{Δ}^+ , then there

Isr. J. Math.

exist $\Theta \subset \Delta$ (with $\#\Theta = i - 1$), $\gamma_0, \gamma \in \Gamma$, and $p, q \in Q$, such that $x_0 t \in \gamma_0 p S_{\Theta}$, $x_0 t^{-1} \in \gamma_0 \gamma q S_{\Theta}$, and $p^{-1} \gamma q \in h_{p,q}^{\Theta}(U_{\Theta}M_{\Theta})_{\mathbb{Q}}$. We obtain a contradiction as in Case 1 of §5, using u_{Θ} in the place of \mathfrak{u} .

The following result is obtained from the proof of Proposition 3.1, by using the fact that any simplicial complex of dimension d-1 can be embedded in \mathbb{R}^{2d-1} .

6.2. PROPOSITION: Suppose $n \ge 2d - 1$, and that $\{V_1, V_2, \ldots, V_d\}$ is an open cover of the *n*-sphere S^n that consists of only *d* sets. Then there is a connected component *C* of some V_i , such that *C* contains two antipodal points of S^n .

6.3. Remark: For $k \ge 1$, it is known [S, IJ] that there exist a simplicial complex Σ^k of dimension k and a continuous map $f: S^{2k-1} \to \Sigma^k$, such that no two antipodal points of S^{2k-1} map to the same point of Σ^k . This implies that the constant 2d-1 in Proposition 6.2 cannot be improved to 2d-3.

6.3. Remark: If Q-rank G = 2, then the conclusion of Theorem 1.2 is stronger than that of Theorem 6.1. The improved bound in (1.2) results from the fact that if d = 2, then the universal cover of any (d - 1)-dimensional simplicial complex embeds in $\mathbb{R}^2 = \mathbb{R}^{2d-2}$. (See the proof of Proposition 3.1.) When d > 2, there are examples of (simply connected) (d - 1)-dimensional simplicial complexes that embed only in \mathbb{R}^{2d-1} , not \mathbb{R}^{2d-2} .

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