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# A heuristic quasi-polynomial algorithm for discrete logarithm in small characteristic

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### Context

#### The discrete logarithm problem (DLP)

In a cyclic group G, given a generator g and an element  $g^a$ , FIND a. We can search the smallest positive integer solution a or, more common, the residue of a modulo a prime factor  $\ell$  of #G.

#### **Choices for G**

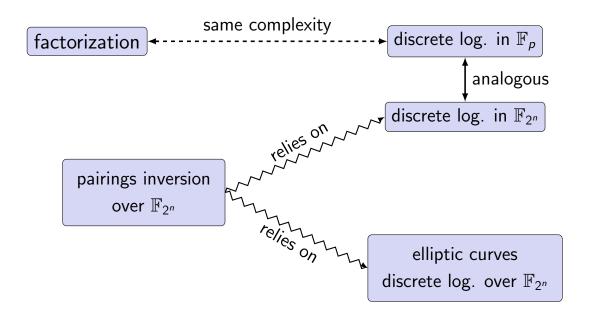
- 1. elliptic curves (estimated of exponential difficulty);
- 2. multiplicative group of finite fields (subexponential)
  - 2.1 small characteristic, e.g.  $\mathbb{F}_{2^n}$  and  $\mathbb{F}_{3^n}$ ,
  - 2.2 non-small characteristic, e.g.  $\mathbb{F}_p$  and  $\mathbb{F}_{p^2}$

#### Example

When  $G = (\mathbb{F}_p)^*$ , given two integers g and h, if it exists, FIND x in

$$g^x \equiv h \mod p$$
.

### **Motivation**



 $F_Q$  is the field of Q elements, Q prime power.

### Shanks' baby-step giant-step algorithm

Let  $K \approx \sqrt{N}$  and write the discrete log of x as

 $x = x_0 + K x_1$ , with  $0 \le x_0 < K$  and  $0 \le x_1 < N/K$ .

#### Algorithm

1. Compute **Baby Steps**:

For all *i* in [0, K - 1], compte  $g^i$ .

Store in a hash table the resulting pairs  $(g^i, i)$ .

2. Compute Giant Steps:

For all j in  $[0, \lfloor N/K \rfloor]$ , compute  $hg^{-Kj}$ . If the resulting element is in the BS table, then get the corresponding i, and return x = i + Kj.

#### Theorem

Discrete logarithms in a cyclic group of order N can be computed in less than  $2\lceil\sqrt{N}\rceil$  operations.

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Multiplicative group of finite fields is **not** a generic groups!

## History

For two constatnts  $\alpha \in [0,1]$  and c > 0, we put

$$L_Q(lpha, c) = \exp\left(c + o(1))(\log Q)^lpha (\log \log Q)^{1-lpha}
ight)$$

Put  $n = \log Q$ .

- $L_Q(0) = n^{O(1)}$  i.e. polynomial;
- $L_Q(1) = 2^{O(n)}$  i.e. exponential;
- $L_Q(1/2) \approx 2^{\sqrt{n}}$ ; DLP algorithms invented in 1979 1994.
- $L_Q(1/3) \approx 2^{\sqrt[3]{n}}$ ; DLP algorithms invented in 1984 2006.

## **Smoothness**

#### Definition

A polynomial in  $\mathbb{F}_q[t]$  is *m*-smooth if it factors into polynomials of degree less than or equal to *m*.

#### Computation

One can test if a polynomial is smooth by factoring it (probabilistic polynomial).

#### Theorem (Panario–Gourdon–Flajolet)

The probability that a degree-*n* polynomial is *m*-smooth is  $1/u^{u(1+o(1))}$  where  $u = \frac{n}{m}$ .

Cases:

- ▶ n = D, m = D/6 gives a constant probability;
- ▶ n = D, m = 1 gives a probability  $1/D! \approx 1/D^D$ .

▶  $n = \log_q L_x(\alpha, \cdot)$ ,  $m = \log_q L_x(\beta, \cdot)$  gives a probability of  $1/L_x(\alpha - \beta, \cdot)$ ;

The finite field  $\mathbb{F}_{q^k}$  is represented as  $\mathbb{F}_q[t]/\varphi$ for an irreducible polynomial  $\varphi \in \mathbb{F}_q[t]$  of degree k.

#### Example

Take q = 3, k = 5,  $\varphi = t^5 + t^4 + 2t^3 + 1$ ,  $g = t \in \mathbb{F}_{3^5}$ . We have

$$t^5 \equiv 2(t+1)(t^3+t^2+2t+1) \mod arphi$$

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$$t^7 \equiv 2(t+2)(t+1)(t+1) \mod \varphi$$

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$$t^{7} \equiv 2(t+2)(t+1)(t+1) \mod \varphi$$

The last relation gives:

$$7 \log_g t \equiv \log_g 2 + 1 \log_g (t+2) + 2 \log_g (t+1) \mod 11$$

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$$7 \log_g t \equiv 1 \log_g (t+2) + 2 \log_g (t+1) \mod 11$$

#### Proposition

If  $a \in \mathbb{F}_q^*$  and  $\ell$  is a factor of  $q^k - 1$  coprime to (q - 1), then  $\log a \equiv 0 \mod \ell$ .

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#### **Example**

Take q=3, k=5,  $arphi=t^5+t^4+2t^3+1$ ,  $g=t\in\mathbb{F}_{3^5}.$  We have

t <sup>5</sup>	$\equiv$	$2(t+1)(t^3+t^2+2t+1)$	${\sf mod}  \varphi$
t <sup>6</sup>	$\equiv$	$2(t^2+1)(t^2+t+2)$	${\rm mod}\ \varphi$

$$t^8 \equiv \ldots$$

The last relation gives:

$$7 \log_g t \equiv 1 \log_g (t+2) + 2 \log_g (t+1) \mod 11$$
  
 $8 \log_g (t+1) = 1 \log_g (t+2) \mod 11$ 

$$9\log_g(t+2) = 2\log_g t \mod 11$$

We find  $\log_g(t+1) \equiv 158 \mod 11$  and  $\log_g(t+2) \equiv 54 \mod 11$ .

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### Descent

#### Example (cont'd)

Let us compute  $\log_g P$  for an arbitrary polynomial, say  $P = t^4 + t + 2$ . We have

$$\begin{array}{rcl} P^2 &\equiv& t^4 + t^3 + 2t^2 + 2t + 2 &\mod \varphi\\ P^3 &\equiv& 2(t+1)(t+2)(t^2+1) &\mod \varphi\\ P^4 &\equiv& (t+1)(t+2)t^2 &\mod \varphi \end{array}$$

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By taking discrete logarithms we obtain

$$4\log_g P = 1\log_g(t+1) + 1\log_g(t+2) + 2\log_g t.$$

So  $\log_g P = 114$ .

### **Discrete logarithms of constants**

Here  $\ell$  is a prime factor of the group order  $q^k - 1$ , larger than q - 1.

Elements of  $\mathbb{F}_q \subset \mathbb{F}_{q^k}$  are represented in  $\mathbb{F}_q[t]/\langle \varphi \rangle$  by constants *a*. They satisfy  $a^{q-1} = 1$ , so we have  $\log_g(a^{q-1}) \equiv \log_g(1) \equiv 0 \mod \ell.$ Hence,

$$(q-1)\log_{g}a\equiv 0 \mod \ell.$$

Since  $\ell$  is prime and larger than q-1,

$$\log_g a \equiv 0 \mod \ell.$$

### Comments

#### Index calculus family

All L(1/2) and L(1/3) DLP algorithms follow the same scheme (of Kraitchik 1922):

- Relation collection;
- Linear algebra to get logs of factor base elements;
- Individual log, to handle any element.

#### **New algorithms**

Joux's L(1/4) algorithm still uses this terminology (but very different in nature).

Quasi-polynomial time algorithm: it's time to stop speaking about factor base!

### **Records for fields** $\mathbb{F}_{2^n}$ with prime *n*

Let us compare to the factoring record: 768 bits in 2009.

**FFS** is the choice in practice, and its variants

- Coppersmith (inseparable polynomials);
- Two rational sides FFS (Joux-Lercier).

GIPS=giga instructions per second

n	date	GIPS year	algo.	author
401	1992	0.2	Copp.	Gordon,McCurley
512 <sup>1</sup>	2002	0.4	FFS	Joux,Lercier
607	2002	20	Copp.	Thomé
607	2005	1.6	FFS	Joux,Lercier
613	2005	1.6	FFS	Joux,Lercier
619	2012	pprox 0	FFS	Caramel
809	2013	16	FFS	Caramel

<sup>&</sup>lt;sup>1</sup>Using the same algorithm as for prime degrees.

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The Caramel group completed the relation collection stage for n = 1039 with a computation of 384 GIPS years. Linear algebra must be adapted to larger sizes.

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## Composite degrees n

#### **Motivation**

To attack pairing-based cryptosystems, one can solve DLP in fields  $\mathbb{F}_{p^{\kappa n}}$  for a small constant  $c \neq 1$ . The security of pairings is evaluated under the hypothesis

DLP in  $\mathbb{F}_{p^n}$  is equally hard when *n* is prime or composite.

#### Theorem (Joux & Lercier 2006)

Under the same assumptions as in the classical variante of FFS, if n has a small factor  $\kappa$ , then one can speed up

- 1. the relations collection phase by a factor  $\kappa$ ;
- 2. the linear algebra stage by a factor  $\kappa^2$ .

#### Joux-Lercier improvement in practice

Two teams computed discrete logs in  $\mathbb{F}_{3^{6n}}$  (pairings):

- a 2010 record for n = 71 (676 bits) using  $\kappa = 6$ ; cost 14 GIPS year.
- a 2012 record for n = 97 (923 bits) using  $\kappa = 3$ ; cost 290 GIPS years.

## **Complexity improvements in 2013 for small characteristic**

#### Linear polynomials

One computes discrete logs. of linear polynomials in polynomial time.

- Göloğlu, Granger, McGuire and Zumbrägel;
- Joux.

Expressing  $\log P$  as a sum of logs. of linear polynomials dominates the computations.

#### Any polynomial

- Joux:  $L_Q(1/4 + o(1))$  operations;
- (this work): quasi-polynomial  $L_Q(o(1))$  operations.

### Main result

#### Theorem (based on heuristics)

Let K be any finite field  $\mathbb{F}_{q^k}$ . A discrete logarithm in K can be computed in heuristic time

 $\max(q,k)^{O(\log k)}.$ 

#### Cases:

- ▶  $K = \mathbb{F}_{2^n}$ , with prime *n*. Complexity is  $n^{O(\log n)}$ . Much better than  $L_{2^n}(1/4 + o(1)) \approx 2^{\sqrt[4]{n}}$ .
- ▶  $K = \mathbb{F}_{q^k}$ , with  $q = k^{O(1)}$ . Complexity is log  $Q^{O(\log \log Q)}$ , where Q = #K. Again, this is  $L_Q(o(1))$ .
- ▶  $K = \mathbb{F}_{q^k}$ , with  $q \approx L_{q^k}(\alpha)$ . Complexity is  $L_{q^k}(\alpha + o(1))$ , i.e. better than Joux-Lercier or FFS for  $\alpha < 1/3$ .

## A well-chosen model for $\mathbb{F}_{q^{2k}}$

#### Simple case first

We suppose first  $k \approx q$  and  $k \leq q + 2$ .

#### Choosing $\varphi$ (same as for Joux' algorithm)

Try random  $h_0, h_1 \in \mathbb{F}_{q^2}[t]$  with deg  $h_0$ , deg  $h_1 \leq 2$  until  $T(t) := h_1(t)t^q - h_0(t)$  has an irreducible factor  $\varphi$  of degree k.

#### Heuristic

The existence of  $h_0$  and  $h_1$  is heuristic, but found in practice in O(k) trials.

#### Properties of $\varphi$

- $h_1(t)t^q \equiv h_0(t) \mod \varphi;$
- $P(t^q) \equiv P\left(\frac{h_0}{h_1}\right) \mod \varphi;$

• 
$$P^q\equiv ilde{P}(t^q)\equiv ilde{P}\left(rac{h_0}{h_1}
ight) \mod arphi$$
,

where the tilde denotes the conjugation in  $\mathbb{F}_{q^2}$ .

### A famous identity

Recall the identity

$$x^q - x = \prod_{\alpha \in \mathbb{F}_q} (x - \alpha).$$

We further have  $x^q y - xy^q = \prod_{(\alpha:\beta)\in\mathbb{P}^1(\mathbb{F}_q)} (\beta x - \alpha y).$ 

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#### A machine to make relations

- x = t and y = 1: h<sub>0</sub>/h<sub>1</sub> t ≡ t<sup>q</sup> t ≡ Π<sub>α∈ℝ<sub>q</sub></sub>(t α).
   If the numerator of the left hand side is smooth, we obtain relations among linear polynomials.
- x = t + a,  $a \in \mathbb{F}_q$ , and y = 1: same relation.
- x = t + a,  $a \in \mathbb{F}_{q^2}$ , and y = 1: new relations. Joux' algorithm uses this idea.
- Let P be the polynomial whose logarithm is requested.

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,  $a \in \mathbb{F}_q$ , and  $y = 1$ : same relation.

- x = t + a,  $a \in \mathbb{F}_{q^2}$ , and y = 1: new relations. Joux' algorithm uses this idea.
- Let P be the polynomial whose logarithm is requested.
   x = aP + b and y = cP + d, a, b, c, d ∈ 𝔽<sub>q<sup>2</sup></sub>: let us show that the left side is congruent to a small degree polynomial, whereas the right hand side is smooth in some new sense.

### The right hand side is "smooth"

$$(aP+b)^q(cP+d) - (aP+b)(cP+d)^q = \prod_{(\alpha,\beta)\in\mathbb{P}^1(\mathbb{F}_q)} \beta(aP+b) - \alpha(cP+d)$$

$$=\prod_{(\alpha,\beta)\in\mathbb{P}^1(\mathbb{F}_q)}(-c\alpha+a\beta)P-(d\alpha-b\beta)$$

$$\lambda = \lambda \prod_{(lpha,eta)\in \mathbb{P}^1(\mathbb{F}_q)} \left( \mathsf{P} - rac{dlpha - beta}{aeta - clpha} 
ight),$$

Here q + 1 out of the  $q^2 + 1$  elements of  $\{1\} \bigcup \{P + \gamma : \gamma \in \mathbb{F}_{q^2}\}$  occur.

### The left hand side is small

For  $m \in \operatorname{GL}_2(\mathbb{F}_{q^2})$ , let  $\mathcal{L}_m$  be the residue

$$\mathcal{L}_m \mathrel{\mathop:}= h_1^{\deg P} \left( (aP+b)^q (cP+d) - (aP+b)(cP+d)^q 
ight) \mod arphi(t).$$

### The left hand side is small

For  $m \in \operatorname{GL}_2(\mathbb{F}_{q^2})$ , let  $\mathcal{L}_m$  be the residue

$$\mathcal{L}_m := h_1^{\deg P} \left( (aP+b)^q (cP+d) - (aP+b)(cP+d)^q \right) \mod \varphi(t).$$

We have deg  $\mathcal{L}_m \leq 3 \deg P$ . Indeed, we have

$$\mathcal{L}_{m} = h_{1}^{\deg P} (\tilde{a}\tilde{P}(t^{q}) + \tilde{b})(cP + d) - (aP(t) + b)(\tilde{c}\tilde{P}(t^{q}) + \tilde{d})$$

$$= h_{1}^{\deg P} \left(\tilde{a}\tilde{P}\left(\frac{h_{0}}{h_{1}}\right) + \tilde{b}\right)(cP + d) - (aP + b)\left(\tilde{c}\tilde{P}\left(\frac{h_{0}}{h_{1}}\right) + \tilde{d}\right).$$

For a constant proportion of matrices m,  $\mathcal{L}_m$  is  $(\deg P)/2$ -smooth.

### **Procedure to "break" a polynomial** *P*

Each matrix *m* in the quotient set  $\mathcal{P}_q := \mathrm{PGL}_2(\mathbb{F}_{q^2})/\mathrm{PGL}_2(\mathbb{F}_q)$  such that  $\mathcal{L}_m$  is  $(\deg P)/2$ -smooth leads to a different equation

$$\prod_{i} P_{i,m}^{e_{i,m}} = \lambda \prod_{\gamma \in \mathbb{P}^1(\mathbb{F}_{q^2})} (P + \gamma)^{v_m(\gamma)},$$

where

- ▶ deg  $P_i \leq (\text{deg } P)/2;$
- $\triangleright$   $v_m(\gamma)$  are integer exponents;
- $\triangleright$   $\lambda$  is a costant in  $\mathbb{F}_{q^2}$ .

By taking discrete logarithm we find

$$\sum_{i} e_{i,m} \log P_{i,m} \equiv \sum_{\gamma} v_m(\gamma) \log(P + \gamma) \mod \ell.$$

#### Heuristic

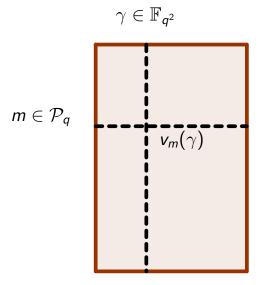
We have enough equations and we can combine them to obtain

$$\sum_{i,m} e'_{i,m} \log P_{i,m} \equiv \log P \mod \ell.$$

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### Linear algebra step for *P*

Since  $\#PGL_2(\mathbb{F}_{q^i}) = q^{3i} - q^i$ ,  $\#\mathcal{P}_q = q^3 + q$ . A constant fraction give linear equations among logarithms, so the matrix below has more rows than columns.



The heuristic states that we can combine the rows to obtain row

$$(1, 0, \ldots, 0).$$

## Arguments in favor of the heuristic

#### **Experiments**

- The discriminant of matrices obtained for various polynomials P have no systematic common factor other than the divisors of  $q^3 q$ .
- The heuristic is used in the algorithm of Joux for degree two polynomials.
- For random instances of P, every randomly chosen matrix formed of q<sup>2</sup> + 1 rows has maximal rank.

#### Theory

The <u>full</u> matrix of  $q^3 + q$  rows has maximal rank. We use the fact that

- there are a fixed number  $c_1$  of blocks passing by each point of  $\mathbb{F}_{q^2}$ ;
- there are a fixed number  $c_2$  of blocks passing by two points.

Does the matrix formed of a constant fraction of rows have maximal rank?

## Building block of the quasi-polynomial algorithm

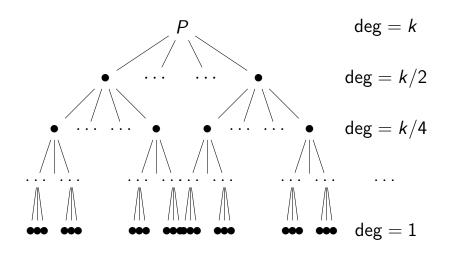
We have just proved:

#### **Proposition (Under heuristic assumptions)**

There exists an algorithm whose complexity is polynomial in q and k and which can be used for the following two tasks.

- 1. Given an element of  $\mathbb{F}_{q^{2k}}$  represented by a polynomial  $P \in \mathbb{F}_{q^2}[t]$  with  $2 \leq \deg P \leq k 1$ , the algorithm returns an expression of  $\log P$  as a linear combination of at most  $O(kq^2)$  logarithms  $\log P_i$  with  $\deg P_i \leq \lceil \frac{1}{2} \deg P \rceil$  and of  $\log h_1$ .
- 2. The algorithm returns the logarithm of  $h_1$  and the logarithms of all the elements of  $\mathbb{F}_{q^{2k}}$  of the form t + a, for a in  $\mathbb{F}_{q^2}$ .

## Complexity



#### **Tree characteristics**

- depth=log k because we half the degree at each level;
- arity=O(q<sup>2</sup>k) because the sons are polynomials in the LHS of the q<sup>2</sup> equations used;
- number of nodes= $q^{O(\log k)}$  because  $k \le q+2$ .

### Extending to the general case

When q < k - 2 we embed  $\mathbb{F}_{q^k}$  in  $\mathbb{F}_{q'^{2k}}$  with  $q' = q^{\lceil \log_q k \rceil}$ . The complexity  $q^{O(\log k)}$  transforms into  $\max(q, k)^{O(\log k)}$ .

Note that  $q' \leq qk$ . The input size *n* is replaced by  $n \log n$ . For any constant *c* 

$$\exp\left(c(\log n)^2\right) \Rightarrow \exp\left(c(\log n + \log \log n)^2\right) = \exp\left((c + o(1))(\log n)^2\right).$$

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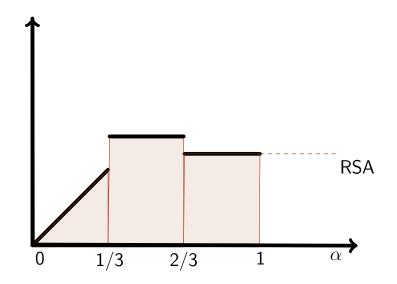
#### Example

- 1. For  $\mathbb{F}_{2^{1003}}$  we compute logs in  $\mathbb{F}_{1024^{2\cdot 1003}}=\mathbb{F}_{2^{20060}}.$
- 2. The field  $\mathbb{F}_{3^{6\cdot 509}}$  can be embedded in  $\mathbb{F}_{q^{2k}}$  with  $q = 3^6$  and k = 509.

Fields of composite degree (specific to pairings) embed in small fields.

### Hardness of DLP with respect to the size of characteristic

The complexity of QPA when  $q = L_{q^k}(\alpha)$  is  $L_{q^k}(\alpha + o(1))$ 



## The traps of Cheng–Wan–Zhuang

#### Trap

In reaction to our preprint, Cheng, Wan and Zhuang noticed that our descent fails on divisors of  $h_1 t^q - h_0$ .

Indeed, if P is such a divisor we cannot find relations

$$\prod_{i} P_{i,m}^{e_{i,m}} = \lambda \prod_{\gamma \in \mathbb{P}^1(\mathbb{F}_{q^2})} (P + \gamma)^{\nu_m(\gamma)} \mod (h_1 t^q - h_0),$$

containing P in the RHS. Indeed, it forces P to occur in the LHS too, so it cannot be  $(\deg P)/2$ -smooth.

#### **Our solution**

We have

$$h_1^D P^q \equiv h_1^D \widetilde{P}(h_0/h_1) \mod x^q h_1 - h_0.$$

The RHS is always divisible by P (it is problematic). Taking logs, we get

$$D\log h_1 + (q-1)\log P = \log Q$$
,

where Q is the RHS divided by P.

In general,  $P \not| Q$ , and, if deg  $h_0, h_1 \leq 2$ , then deg  $Q \leq D$ . So we have related log P to other logarithms, and the descent can continue.

### Very weak fields

Assume that k = q - 1 (same is true for q + 1 and q). For many values of q we can take  $h_1 = 1$  and  $h_0 = Ax$  for some generator A of  $\mathbb{F}_{q^2}^*$ . Then  $\varphi = x^{q-1} - A$ .

Then, for any  $a \neq \mathbb{F}_{q^2}$ , we have

$$(x+a)^q = x^q + \tilde{a}$$
  
=  $x^{q-1}x + \tilde{a}$   
=  $A(x + \tilde{a}/A)$ 

where  $\tilde{a}$  is the Frobenius conjugate of a. We obtain  $q \log(x + a) = \log(x + \tilde{a}/A)$ .

Hence we can reduce the factor base by a factor k. For example for  $2^{6168}$ , the linear algebra time was accelerated by  $k^2 = 66049$ .

#### Remark

The smoothness probabilities are improved. For example, The proportion of matrices  $m \in \mathcal{P}_q$  which produce relations for the linear polynomials is 1/6! = 1/620 when max(deg  $h_0$ , deg  $h_1$ ) = 2 and it is 1/3! for the weak case (Kummer).

## Records

#### Algorithms in practice

- 1. relations collection (degree one and two): variants of GGMZ or Joux algorithm;
- 2. descent (degree three and more): variants of Joux' algorithm.

No QPA descent yet.

#### Kummer and twisted Kummer extensions

field	bitsize	date	CPU time	author
$GF(2^{24\cdot 255})$	6120	Apr 13	0.7k h	GGMZ
$GF((2^{24\cdot 257}))$	6168	May 13	0.5k h	J
$\mathrm{GF}(2^{18\cdot513})$	9234	Jan 14	400k h	GKZ

#### General extensions of composite degree

field	bitsize	date	CPU time	author
GF(3 <sup>6·137</sup> )	1303	Jan 14	1k h	AMOR
$GF(2^{12\cdot 367}) *$	4404	Jan 14	52k h	GKZ
$\mathrm{GF}(3^{5\cdot479})$	3796	Aug 14	9k h	JP

\* using a non-general speed-up: target elements in a subfield.

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## **Consequences and perspectives**

#### Consequences

- DLP in small characteristic finite fields is asymptotically weak.
- Small characteristic pairings are broken for the sizes proposed for cryptography.

#### Perspectives

- even more practical improvements and records;
- eliminating the heuristics (a new quasi-polynomial algorithm was proposed by Granger, Kleinjung and Zumbrägel)(next talk);
- improvements in non-small characteristic: multiple field variants, new methods of polynomial selection.